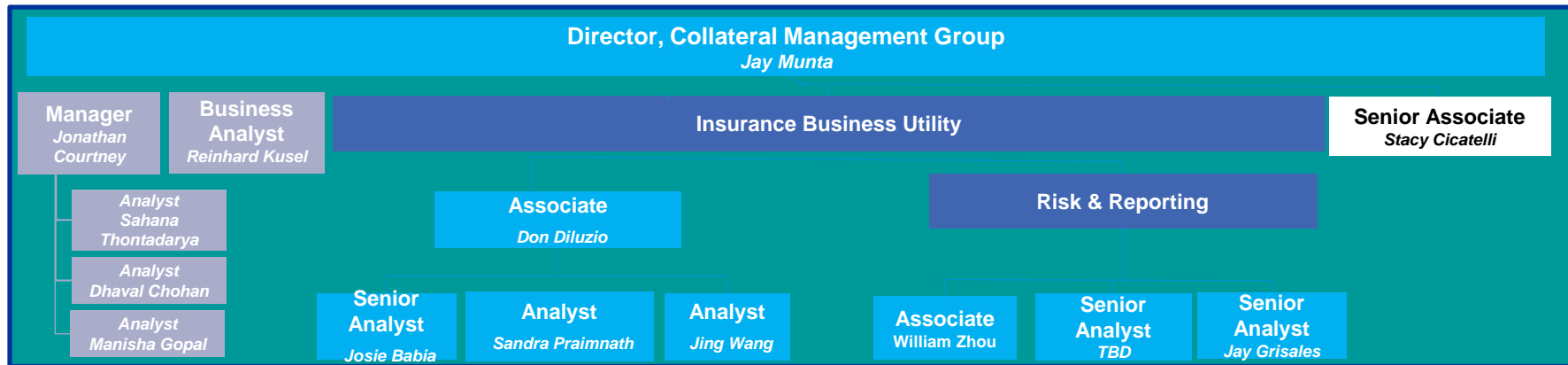
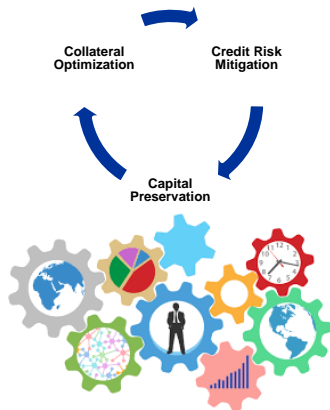


Organization Structure – AIG Treasury Collateral Management Team



Mission Statement: To support the Finance goal of driving and protecting value for AIG by serving as a collateral center of excellence for our internal stakeholders, clients, and reinsurance partners.



Vision: To establish a sustainable collateral operating model to facilitate business growth by reducing credit risk, optimizing capital offset from collateral, and optimizing the amount of collateral required and collateral management processes.

Services: The collateral management team manages the aggregation and collection of the global collateral inventory management. The team supports the business unit in onboarding, management & safekeeping, and risk reporting of trusts, and letters of credit.



New York, NY

Wilton, CT



Not a Direct Report, but functional International Support



Overview of Collateral Oversight Group

- The Collateral Management Group manages, reports, and safe keeps any security where an operating entity of AIG would enter into a transaction whereby an obligation of an insured and/or reinsurer to make a future payment is required to be secured by a letter of credit, bond, or trust account. These “securities” should conform to statutory standards in substance and must strictly conform to designated state insurance regulations and codes.
- Securities received from an insured when writing business or structuring programs where full premiums are not currently charged are classified as “non-statutory securities”. Non-statutory securities are to be acquired in accordance with underwriting directives. Corporate guidelines and procedures must be used to monitor and control all activity. These procedures will be administered by The Global Letter of Credit Management Group through an oversight role, however, primary responsibility for all activity remains with the operating entity. Non-statutory security provide practical alternatives when writing business or structuring programs where full premiums are not currently charged as a result of being either incalculable or excessive. These securities would serve the dual purpose of securing an insurer’s exposure while allowing an insured to be adequately protected without impairment to its cash flow.
- Licensed insurers are frequently the beneficiaries of securities that among other things, secure recoverable and/or reserves due from reinsurers who are not licensed or accredited in the insurer’s domicile state. Licensed insurers receive credit in statutory financial statements for these recoverable and/or reserves if they are secured by acceptable funds that are readily available without delay. These securities are classified as “statutory securities”. The Global Letter of Credit Management Department is the Treasury Operations centralized receiving point for all types of acceptable security. Each type of security is reviewed for compliance with state regulations and/or corporate policies.
- Both statutory and non-statutory security is recorded into their appropriate security databases and locked in fireproof safes for safekeeping. All requests from appropriate parties to draw down, reduce or cancel security are processed by The Global Letter of Credit Management Department provided there is proper documentation. Security inventories, expiring security reports and change reports are prepared monthly and distributed to various levels of management.

2019 Changes

1. APAC is recording changes into the LOC and FNCS Databases.
2. The Treasury Analyst will be added to the process of reviewing changes and signing-off on change reports.
3. Inclusion of EMEA and Canadian security into the LOC and FNCS databases. It should be noted, this function is for tracking foreign security only and does not include any financial reporting by CMG. The BU is still responsible for their own year-end financial reporting.

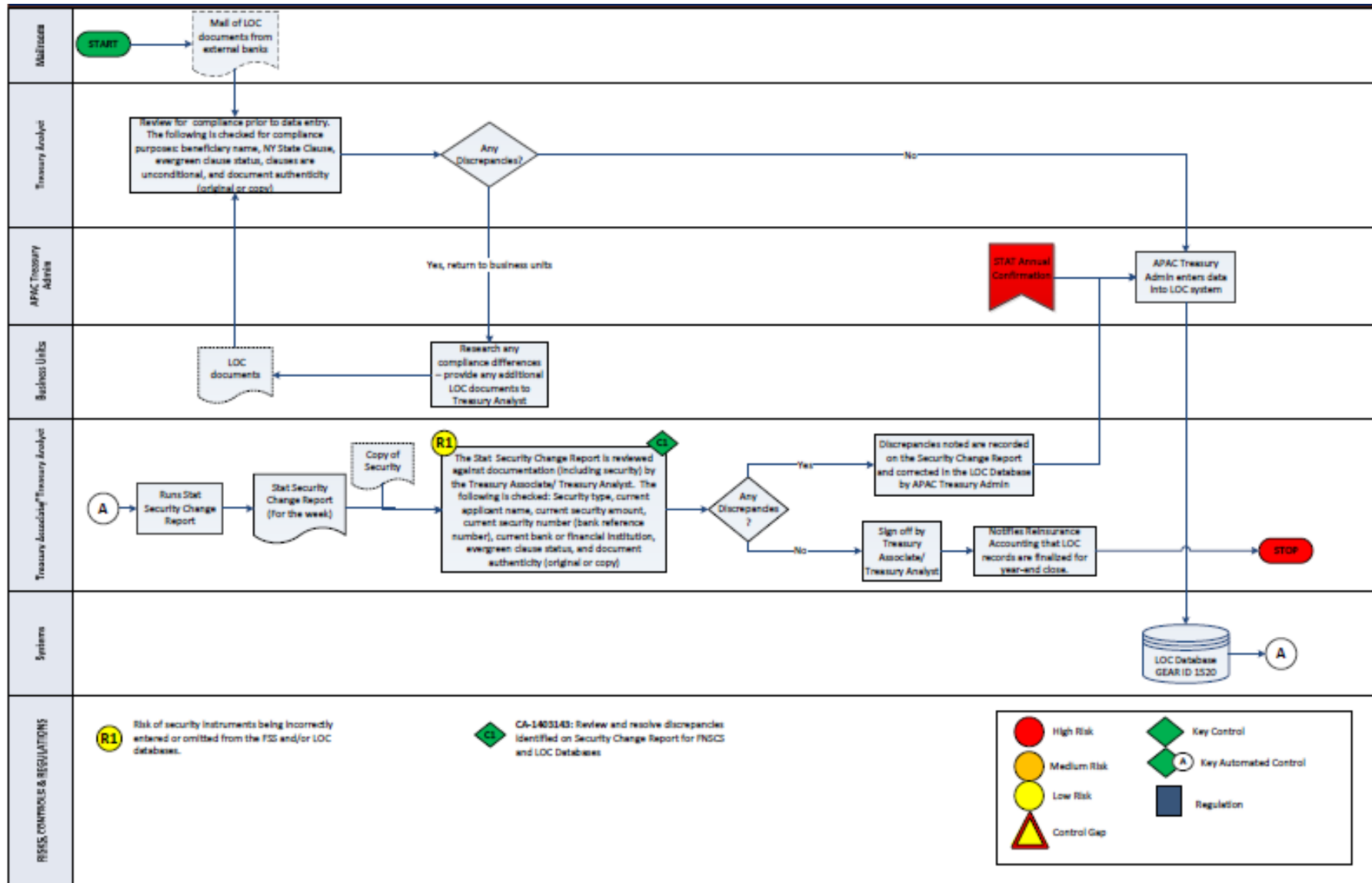
Letter of Credit (LOC) Management - SOX Controls

| Control CA-1403143 (Risk – Low, Frequency – Monthly) | |
|--|---|
| Description | Additional Description |
| Monthly, the Treasury Associate or Treasury Analyst reviews and reconciles the Security Change Report, for the Financial Non-Statistical Collateral System (FNCS) and Letter of Credit (LOC) databases to supporting documentation (i.e., actual security, statement, etc.). Discrepancies noted are recorded on the Security Change Report and are corrected in the FNCS and LOC Databases. The Treasury Associate or Treasury Analyst signs off on the Security Change Report upon completion of the review. | <p>This control helps to ensure that the security type, current applicant name, current security amount, current security number (bank reference number), current bank or financial institution, evergreen clause status, and document authenticity (original or copy) was accurately captured in the FNCS and LOC Databases.</p> <p>FNCS database is used for Non-Statistical (i.e., Non-Stat) for SOX testing, and LOC database is used for Statistical (i.e., Stat) for MAR testing.</p> |

| Control CA-1403144 (Risk – Low, Frequency – Annually) | |
|--|---|
| Description | Additional Description |
| Annually, the Treasury Associate or Treasury Analyst sends all Statutory Letters of Credit (LOC) of \$5MM and over and a random sample of 100 Non-Statutory Letters of Credit excluding those that have been modified within the current year (and excluding the Wal-Mart LOC) to the issuing banks to confirm various information within the LOC and FNCS databases. The Letter of Credit Analyst researches bank responses that indicate a difference between AIG records and those of the bank to determine the root cause of the variance. The Treasury Associate or Treasury Analyst takes appropriate actions based on the bank's response including a re-sampling, if deemed necessary, as evidenced in the log. In situations where AIG records require a correction, the correction is processed immediately. | <p>Ensure a sampling of the Letters of Credit (LOC) are confirmed with the issuing banks on an annual basis. This helps to ensure that AIG Insurance Companies do not incur reductions to surplus resulting from Schedule F penalties because an invalid LOC was reported on Schedule F.</p> <p>Data to confirm within the database includes the dollar amount of the LOC, the evergreen clause is still active and the expiration date of the LOC.</p> |

On April 16, 2019 Donato Diluzio verified that the above controls are accurate for 2019.

Process Flow – Letter of Credit (LOC) Management – Review of STAT Change Report



STAT Security change report for February 2019 (Excerpts only)

FCU Selected a sample from the STAT Change report.

| | | | | | | | | | | | | | | | |
|---|-------|--------|-------|-------------------------------|--|---------------|---------------|-------------------|------------|-----------|--------------|--------------|--------------|-------------|---------------|
| 04/10/2019 | | | | | | | | | | | | | | | |
| Letter of Credit System | | | | | | | | | | | | | | | |
| Change Report - Participant From 02/01/2019 To 02/28/2019 | | | | | | | | | | | | | | | |
| Div. | A/C # | Co.No | Co.Br | Company Name | Beneficiary Name | Security No. | Sec Type | Bank Name | Xplrtn.Dt | Chng.Type | Curr.Amt. | Prev.Amt. | Change Amt | Modified By | Modified Date |
| Div. | A/C # | Co.No | Co.Br | Company Name | Beneficiary Name | Security No. | Sec Type | Bank Name | Xplrtn.Dt | Chng.Type | Curr.Amt. | Prev.Amt. | Change Amt | Modified By | Modified Date |
| AIG Group Id 24 | | | | | | | | | | | | | | | |
| | | 005870 | 001 | TransRe London Limited | | G/L 40500-200 | OCA | UNKNOWN | | Eff. Date | 816,344.34 | 816,344.34 | 0.00 | JINWANG | 02/06/2019 |
| | | 001711 | 001 | IRB Brasil Resseguros S.A. | AIOA,AH,NUFIC,NH,LEX,CI,APCC,ISOP,ASIC,GSIC,AIU,INIC,AAC | 29673527 | LOC | CITIBANK, N.A. | 12/31/2019 | Increase | 291,815.48 | 250,000.00 | 41,815.48 | JINWANG | 02/06/2019 |
| | | 003062 | 001 | TOA REINSURANCE COMPANY, LTD. | AIOA,NUFIC,LEX,AH,CI,APCC,NH,ISOP,ASIC,GSIC,AIU,INIC,AAC | 006983879 | LOC | MIZUHO BANK, LTD. | 12/31/2019 | New | 2,197,816.06 | 0.00 | 2,197,816.06 | JINWANG | 02/01/2019 |
| Group Total | | | | | | | | | | | 3,305,975.88 | 1,066,344.34 | 2,239,631.54 | | |
| | | | | | | | | | | | | | | | |
| Discrepancies noted : | | | | | | | Verified By : | | | | | | | | |
| | | | | | | | Date : | | | | | | | | |

Letter of Credit (LOC) – Control Assessment ID# CA-1403143

New letter of credit for statutory purposes which was received by the issuing bank

Citibank, N.A.

DATE : JAN. 22, 2019

BENEFICIARIES:
AMERICAN INTERNATIONAL OVERSEAS ASSOCIATION, AND/OR
AMERICAN HOME ASSURANCE COMPANY, AND/OR
NATIONAL UNION FIRE INSURANCE COMPANY OF PITTSBURGH, PA, AND/OR
NEW HAMPSHIRE INSURANCE COMPANY, AND/OR
LEXINGTON INSURANCE COMPANY, AND/OR
COMMERCE AND INDUSTRY INSURANCE COMPANY, AND/OR
AIG PROPERTY CASUALTY COMPANY, AND/OR
THE INSURANCE COMPANY OF THE STATE OF PENNSYLVANIA, AND/OR
AIG SPECIALTY INSURANCE COMPANY, AND/OR
GRANITE STATE INSURANCE COMPANY, AND/OR
AIU INSURANCE COMPANY, AND/OR
ILLINOIS NATIONAL INSURANCE CO., AND/OR
AIG ASSURANCE COMPANY

P.O BOX 923, WALL STREET STATION,
NEW YORK, NY - ZIP CODE: 10268
ATTN: DONATO DILUZIO

RE: STANDBY LETTER OF CREDIT NO. 29873527

DEAR SIR(S):

APPLICANT: IRB-BRASIL RESSEGUROS SA

WE HEREBY AMEND OUR IRREVOCABLE STANDBY LETTER OF CREDIT AS PER BELOW:

L/C AMOUNT INCREASED BY: USD 41,815.48

NEW L/C AMOUNT AFTER AMENDMENT: USD 291,815.48

ALL OTHER TERMS AND CONDITIONS OF THE ORIGINAL CREDIT INSTRUMENT REMAIN UNCHANGED.

THIS AMENDMENT(S) IS AN INTEGRAL PART OF THE ORIGINAL CREDIT AND MUST BE ATTACHED THERETO.

PLEASE DIRECT ANY INQUIRIES, QUOTING OUR REFERENCE NUMBER, TO:
CUSTOMER SERVICE DEPARTMENT,
CITIBANK N.A.
C/O ITS SERVICER, CITICORP NORTH AMERICA, INC.
3800 CITIBANK CENTER
BUILDING B, 1ST FLOOR
TAMPA, FL 33610
TEL: 866-845-6284


AUTHORIZED SIGNATURE(S).

PAGE 1 OF 2

Letter of Credit (LOC) – Control Assessment ID# CA-1403143

History Page in LOC Database - LOC # 29673527

History

Page 1 of 1

History

| | Date | Currency.code | Prev. Amount | Curr. Amount | Diff. Amount | Change Code | User ID |
|---|---------------------|---------------|--------------|--------------|--------------|-------------|---------|
| 1 | 02/06/19 3:59:31 PM | USD | 250,000.00 | 291,815.48 | 41,815.48 | Increase | JINWANG |

Sum Of Changes: 41,815.48

Letter of Credit (LOC) – Control Assessment ID# CA-1403143

Security Change Report (Statutory) for Letter of Credit (LOC) Database – Evidence of review

Change Report

Page 2 of 5

Run Dates: 02/11/2019

Letter of Credit System Change Report - Participant From 02/04/2019 To 02/10/2019

| Div | A/C # | Co.No | Co.Br | Company Name | Beneficiary Name | Security No. | Sec Type | Bank Name | Xplrtn. Dt | Chng. Type | Curr. Amt. | Prev. Amt. | Change Amt | Modified By | Modified Date |
|-----------------|-------|--------|-------|----------------------------|--|---------------|----------|----------------|------------|------------|--------------|--------------|------------|-------------|---------------|
| AIG Group Id 24 | | | | | | | | | | | | | | | |
| | | 001711 | 001 | IRB Brasil Resseguros S.A. | AIDA, AH, NUFIC, NH, LEX, CLAPCC, JSOP, ASIC, GSIC, AIU, NICA, AAC | 29673527 | LOC | CITIBANK, N.A. | 12/31/2018 | Increase | 291,815.48 | 259,000.00 | 41,815.48 | JINWANG | 02/06/2019 |
| | | 005870 | 001 | TransRe London Limited | | G/L 40500-200 | OCA | UNKNOWN | | Eff. Date | 816,344.34 | 816,344.34 | 0.00 | JINWANG | 02/06/2019 |
| Group Total | | | | | | | | | | | 1,108,159.82 | 1,066,344.34 | 41,815.48 | | |

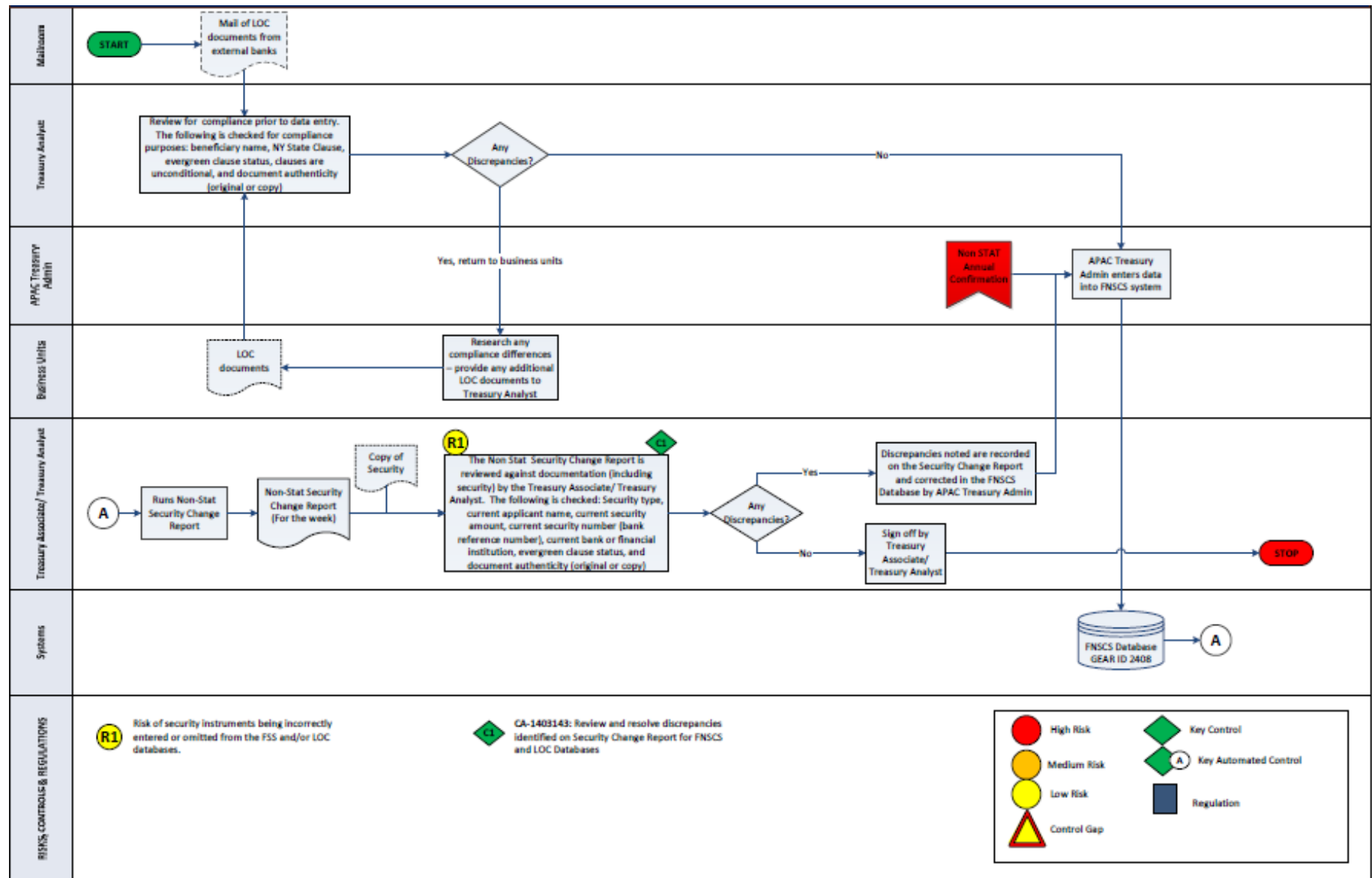
Discrepancies noted : _____

Verified By : _____

Date : _____

[Signature]
2/13/19

Process Flow – Letter of Credit (LOC) Management – Review of Non- STAT Change Report



Non -STAT Security change report for February 2019 (Excerpts only)

FCU Selected a sample from the Non - STAT Change report.

| 04/10/2019 | | | | | | | | | | | | | | | | | | |
|--|-------------------------------------|-------------|--------------|------------------------------|---|---------------|---------------|--------------------|--------------|---------------|----------------|----------------|------------|--------------------------------------|-----|-------------|---------------|----------------|
| DBG Financial Security System | | | | | | | | | | | | | | | | | | |
| Security Change Report From 02/01/2019 To 02/28/2019 | | | | | | | | | | | | | | | | | | |
| Division No. | Division Name | Account No. | Contract No. | Account Name | Applicant Name | Security No. | Security Type | Security Val. Date | Field Change | Current Amt. | Previous Amt. | Change | Exp. Date | Bank/ Financial Inst. Name | E/O | Modified By | Modified Date | Security Desc. |
| 02 | AP-Excess Workers Comp | 00002321975 | 321976 | HARRAH'S ENTERTAINMENT, INC. | ASTER INS | 23337200 | 04 | 03/31/2019 | Increment | 4,377,594.09 | 4,367,448.54 | 10,147.55 | 04/30/2010 | Wells Fargo Bank N.A. | Y | saithonta | 02/08/2019 | TRUST |
| 05 | NU-SPECIALTY PROFESSIONAL LIABILITY | 05038428797 | 038428797 | EQUIFAX INC | EQUIFAX INC | 70002879 | 01 | | Cancellation | 0 | 5,000,000.00 | -5,000,000.00 | 12/12/2018 | SUNTRUST BANK, ATLANTA | Y | mgopal | 02/24/2019 | LOC |
| 54 | GLOBAL-ENERGY | 00054466425 | 466425 | WESTERN MESQUITE MINES INC. | SOLUS ACQUIRE CO INC OBO WESTERN MESQUITE MINES INC | int53498ny | 01 | | New | 56,693.00 | 0 | 56,693.00 | 01/09/2020 | THE BANK OF NOVA SCOTIA (SCOTIABANK) | Y | saithonta | 02/01/2019 | LOC |
| 54 | GLOBAL-ENERGY | 00054523326 | 523326 | EWT HOLDINGS III CORP. | EWT HOLDINGS III CORP. | NU8CG8005405 | 01 | | Decrement | 1,398,478.00 | 2,631,970.00 | -1,233,492.00 | 03/26/2019 | JPMORGAN CHASE BANK | Y | mgopal | 02/24/2019 | LOC |
| 54 | GLOBAL-ENERGY | 00054666625 | 566625 | QUALSPEC GROUP, LLC | TEAM INC. F&O QUALSPEC GROUP LLC | 68120360 | 01 | | Cancellation | 0 | 7,500.00 | -7,500.00 | 10/30/2016 | Bank of America, NA | Y | mgopal | 02/22/2019 | LOC |
| 54 | GLOBAL-ENERGY | 00054845355 | 845355 | SOUTHWESTERN ENERGY COMPANY | SOUTHWESTERN ENERGY COMPANY INC. | 83739800 | 04 | 03/31/2019 | Increment | 1,884,408.81 | 1,882,809.79 | 1,599.02 | | Wells Fargo Bank N.A. | Y | saithonta | 02/08/2019 | TRUST |
| 54 | GLOBAL-ENERGY | 00054382863 | 382863 | PETRO HOLDINGS, INC. | WOODBURY INSURANCE CO. INC. (HYBRID) | 3085010319 | 04 | 03/31/2019 | Increment | 51,699,030.26 | 50,253,380.85 | 1,445,649.41 | | COMERICA BANK | Y | saithonta | 02/08/2019 | TRUST |
| 54 | GLOBAL-ENERGY | 00000548874 | 6874 | CENTERPOINT ENERGY, INC. | CENTERPOINT ENERGY INC. | 875095.1 | 04 | 03/31/2019 | Increment | 3,535,761.94 | 3,535,761.22 | 0.72 | 05/9/2013 | DEUTSCHE BANK AG NEW YORK BRANCH | Y | mgopal | 02/08/2019 | TRUST |
| 54 | GLOBAL-ENERGY | 00000548874 | 6874 | CENTERPOINT ENERGY, INC. | CENTERPOINT ENERGY INC. | 875095.1 | 04 | 03/31/2019 | Decrement | 3,535,761.22 | 3,535,761.94 | -0.72 | 05/9/2013 | DEUTSCHE BANK AG NEW YORK BRANCH | Y | saithonta | 02/11/2019 | TRUST |
| 54 | GLOBAL-ENERGY | 00000548874 | 6874 | CENTERPOINT ENERGY, INC. | CENTERPOINT ENERGY INC. | 875095.1 | 04 | 03/31/2019 | Increment | 3,542,727.07 | 3,535,761.22 | 6,965.85 | 05/9/2013 | DEUTSCHE BANK AG NEW YORK BRANCH | Y | saithonta | 02/11/2019 | TRUST |
| 55 | RMG-NATIONAL ACCOUNTS | 00000550709 | 070909 | CBI INDUSTRIES, INC. | PRAXAIR INC. | 83980C1400479 | 01 | | Decrement | 751,522.00 | 1,170,605.00 | -419,083.00 | 04/15/2015 | DEUTSCHE BANK AG NEW YORK BRANCH | Y | mgopal | 02/01/2019 | LOC |
| 55 | RMG-NATIONAL ACCOUNTS | 00000551547 | 1547 | ASEA BROWN BOVERI, INC. | ASEA BROWN BOVERI INC | 962198 | 01 | | Decrement | 2,552,822.00 | 2,962,030.00 | -409,208.00 | 06/30/2019 | Svenska Handelsbanken | Y | mgopal | 02/24/2019 | LOC |
| 55 | RMG-NATIONAL ACCOUNTS | 00000551547 | 1547 | ASEA BROWN BOVERI, INC. | ASEA BROWN BOVERI INC | 962198 | 01 | | Exp. Date | 2,962,030.00 | 2,962,030.00 | 0 | 06/30/2019 | Svenska Handelsbanken | Y | spalima | 02/22/2019 | LOC |
| 55 | RMG-NATIONAL ACCOUNTS | 00000551679 | 153200 | AMERICAN NATIONAL CAN CO. | REXAM BEVERAGE CAN COMPANY | 3099107 | 01 | | Exp. Date | 510,000.00 | 510,000.00 | 0 | 03/31/2010 | Bank of America, NA | Y | jabala | 02/27/2019 | LOC |
| 55 | RMG-NATIONAL ACCOUNTS | 00000551706 | 1706 | SIGNATURE FRUIT COMPANY, LLC | SENECA FOODS CORPORATION | 3062962 | 01 | | Decrement | 9,219,167.00 | 10,223,425.00 | -1,004,258.00 | 06/30/2015 | Bank of America, NA | Y | mgopal | 02/25/2019 | LOC |
| 55 | RMG-NATIONAL ACCOUNTS | 00000551936 | 193613 | ADECCO, INC. | ADECCO S.A. | 5823.859 | 01 | | Decrement | 89,131,711.00 | 105,185,754.00 | -16,054,043.00 | 03/21/2017 | CREDIT INDUSTRIEL ET COMMERCIAL | Y | mgopal | 02/24/2019 | LOC |

Letter of Credit (LOC) – Control Assessment ID# CA-1403143

BU Request to Reduce the Letter of Credit (Non-STAT) Security Amount - LOC # 962198

From: [Tabraham, Christina L.](#)
To: [AIGCollateralManagementRequest](#)
Subject: K1547-1 Asea Brown Boveri, Div 55, NY - LOC Reductions
Date: Wednesday, February 06, 2019 3:47:36 PM
Attachments: [Release 28633.pdf](#)

Refer to the attachment file (Security Release Form) on slide #15

Please reduce the following LOCs. Attached please find the signed Security Release form. Please forward a copy of the release upon completion.

Non Captive LOC Reduction:

Insured: ASEA BROWN BOVERI IN
Applicant: ASEA BROWN BOVERI IN
Bank: Svenska Handelsbanken
LOC # 962198

Amount: \$2,962,030
Reduction: \$409,208
New Amount \$2,552,822

Captive LOC Reduction:
Insured: ASEA BROWN BOVERI IN
Applicant: ASEA BROWN BOVERI IN
Bank: Svenska Handelsbanken
LOC # S03142

Amount: \$811,762
Reduction: \$69,075
New Amount \$742,687

Thanks,

[Christina L. Tabraham](#)

AIG

Regional Service Coordinator

AIGRM Client Services

100 Connell Drive, 4th Floor, Berkeley Heights, NJ 07922

Tel (908) 679-4337 | Cell 732-540-2309

www.AIG.com

****Please [click here](#) to complete a survey on my customer service.**

Letter of Credit (LOC) – Control Assessment ID# CA-1403143

Security Release Form - LOC # 962198

| SECURITY RELEASE FORM | | | |
|-------------------------------------|---|---------------------------|--------------------------|
| Release# 28633 | | Print Date: 02/06/2019 | |
| Requested By: | Christina Tabraham | Requested Date: | 01/30/2019 |
| Release Status: | Approved | Status Date: | 02/06/2019 |
| Credit Division: | 55 | Region: | NEW YORK |
| Master No: | 1547-1 | Associated Contracts: | 154708 |
| Account Name: | ASEA BROWN BOVERI INC | | |
| Captive Name: | ABB INSURANCE LIMITED | | |
| Account Rating: | 6 | Rating Date: | 01/10/2018 |
| Captive Rating: | 7 | Rating Date: | 05/28/1999 |
| Program Type: | Both | Program Period: | 04/01/1999 To 04/01/2000 |
| Reserve Need (Date): | 09/30/2018 | Reserve Need is based on: | Reconciled Balance |
| Reserve Need: | | Security Held (Date): | 12/31/2018 |
| Actuarial Reserve | \$2,045,509.00 | Letter of Credit | \$3,773,792.00 |
| SIR Amount | \$0.00 | Trust Funds | \$0.00 |
| O/S Receivable | \$0.00 | Surety Bond | \$0.00 |
| Audit/Retro Adjustments | \$0.00 | Claims Payment Funds | \$0.00 |
| Pre/Post renewal credit | \$0.00 | Cash Collateral/RCAMP | \$0.00 |
| Buffer Required | \$1,250,000.00 | Money Market Funds | \$0.00 |
| | | Trust Interest | \$0.00 |
| Other | \$0.00 | Cash Interest | \$0.00 |
| Total Reserve Need: | \$3,295,509.00 | Other Amounts | \$0.00 |
| Approved Security Reduction Amount: | | Total Security Held: | \$3,773,792.00 |
| Non-Captive: | | Captive: | |
| Letter of Credit | \$409,208.00 | Letter of Credit | \$69,075.00 |
| Trust Funds | \$0.00 | Trust Funds | \$0.00 |
| Surety Bond | \$0.00 | Claims Payment Funds | \$0.00 |
| Claims Payment Funds | \$0.00 | RCAMP | \$0.00 |
| Cash Collateral | \$0.00 | Trust Interest | \$0.00 |
| Money Market Funds | \$0.00 | Cash Interest | \$0.00 |
| Trust Interest | \$0.00 | Other Amounts | \$0.00 |
| Cash Interest | \$0.00 | Total Security Release: | \$69,075.00 |
| Other Amounts | \$0.00 | | |
| Total Security Release: | \$409,208.00 | | |
| Release Instructions: | Please reduce Non-statutory LOC# 962198 from Svenska Handelsbanken by \$409,208 | | |
| | Please reduce Statutory LOC# 903142 from Svenska Handelsbanken by \$69,075 | | |

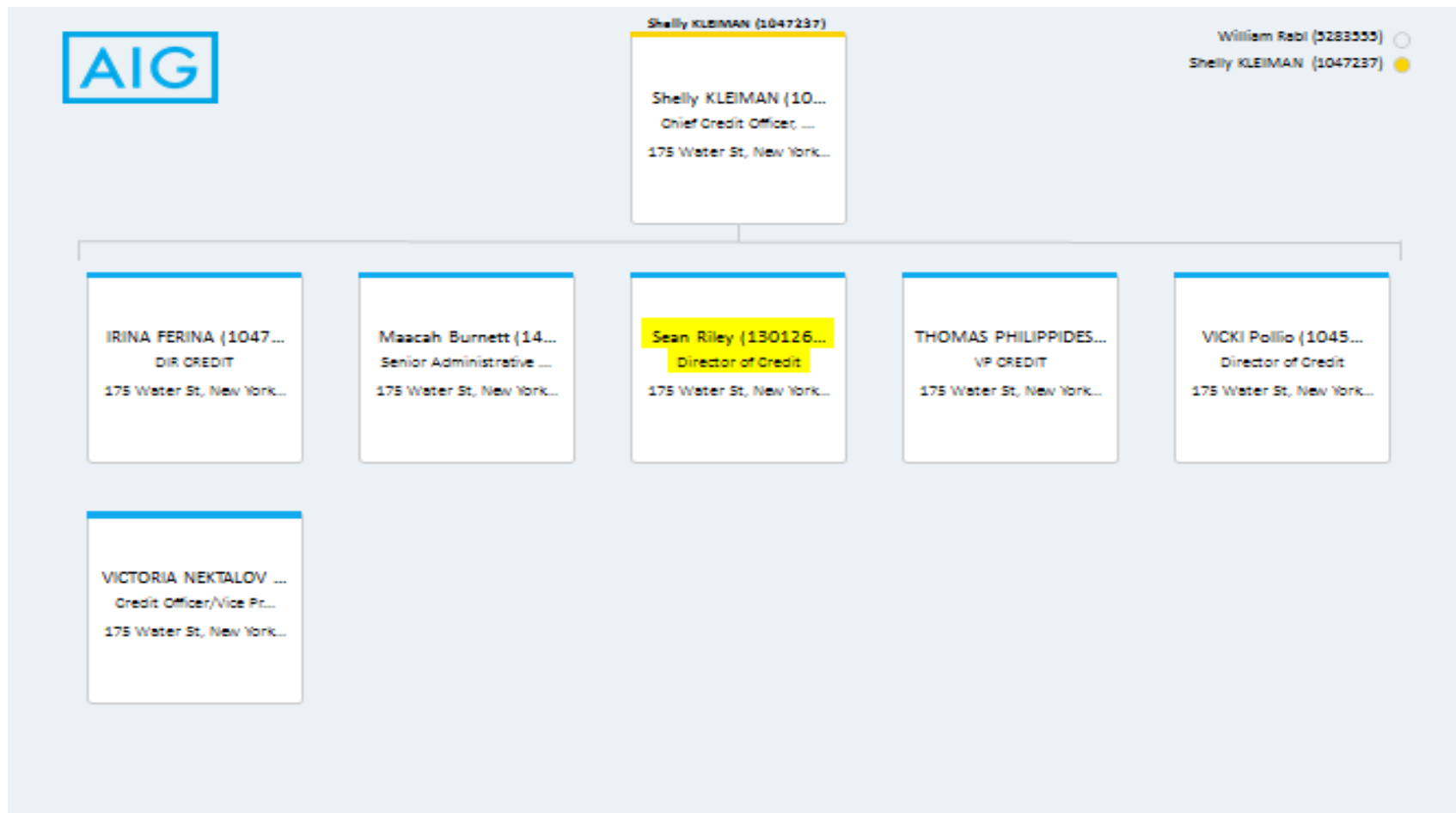
| | | | |
|----------------------------|------------|-------|------------------------|
| Release Prepared By: | RILEY SEAN | Date: | 01/30/2019 |
| Approved By: | | Date: | |
| Approved By: | RILEY SEAN | Date: | 02/06/2019 09:28:50 AM |
| Profit Center Approved By: | | Date: | |

Approved by Sean Riley
(Director of Credit). Refer
slide #16 for the
organization Structure

Note: The Release form is valid for 45 days after approved date. The Release Form will be void after 03/13/2019

Letter of Credit (LOC) – Control Assessment ID# CA-1403143

Evidence of Approval from Chief Risk Department



Letter of Credit (LOC) – Control Assessment ID# CA-1403143

Bank Authorization Letter to Reduce the Letter of Credit (LOC) Amount



**National Union Fire Insurance Company
of Pittsburgh, PA**
175 Water Street, 29th Floor
New York, NY 10038

February 7, 2019

Svenska Handelsbanken
New York Branch
875 Third Avenue
New York, NY 10022-7218
Attn: Standby Letter of Credit Department

To Whom It May Concern:

Re: Letter of Credit Reduction
Applicant: Asea Brown Boveri Inc.
Letter of Credit No.: 962198
Amount: \$2,962,030.00

We hereby request and authorize a reduction to the above Letter of Credit in the amount of \$409,208.00
The new amount of the Letter of Credit will be \$2,552,822.00

Please send an amendment reflecting this reduction to the following address:

National Union Fire Insurance Company of Pittsburgh, PA
P. O. Box 923
Wall Street Station
New York, NY 10268
Attn: Donato DiLuzio

If you should have any questions, please contact Sandra Paimnath (212) 458-8103

Sincerely,

A handwritten signature in blue ink, appearing to read "Donato DiLuzio", is written over a light blue horizontal line.

Donato DiLuzio
Head of Letter of Credit Management
National Union Fire Insurance Co. of Pittsburgh, PA, and
American Home Assurance Company, and
The Insurance Company of the State of Pennsylvania, and
AIG Casualty Company F/K/A
Birmingham Fire Insurance Company of Pennsylvania, and
Illinois National Insurance Company, and
National Union Fire Insurance Company of Louisiana



Letter of Credit (LOC) – Control Assessment ID# CA-1403143

Asea Brown amendment confirming the FNSCS decrease

Svenska Handelsbanken
New York Branch

AMENDMENT TO IRREVOCABLE STANDBY LETTER OF CREDIT NO. 962198

FOR INTERNAL IDENTIFICATION PURPOSES ONLY

| | |
|--------------------|--|
| Our Standby L/C #: | 962198 |
| Amendment Date: | February 12, 2019 |
| Issuance Date: | December 16, 1998 |
| Expiry Date: | June 30, 2019 |
| New Amount: | \$2,552,822.00 (United States Dollars Two Million Five Hundred Fifty Two Thousand Eight Hundred Twenty Two and 00/100) |
| Applicant: | Asea Brown Boveri Inc. 501 Merritt 7 Norwalk, CT 06851 |

Beneficiary: National Union Fire Insurance Co. of Pittsburgh, PA, and
American Home Assurance Company, and
The Insurance Company of the State of Pennsylvania, and
AIG Casualty Company FKA Birmingham Fire Insurance Company of Pennsylvania, and
Illinois National Insurance Company, and
National Union Fire Insurance Company of Louisiana
P.O. BOX 923
Wall Street Station
New York, N.Y. 10268
Attn: Mr. Don DiLuzzio

AMENDMENT NO. 15

Gentlemen:


Subject to your approval, the above-referenced instrument, including any previous amendments, is amended as follows:

- L/C amount decreased from \$2,962,030.00 by \$409,208.00 to a new total amount of \$2,552,822.00.


All other terms and conditions remain unchanged.


This Amendment forms an integral part of the original Letter of Credit and should be attached thereto.

Very truly yours,


Authorized Signature

8021980ver15.amd.doc 875 Third Avenue, New York, New York 10022-7218
Telephone: (212) 328-5142


Authorized Signature

Amendment Accepted By:

Authorized Signature
Name & Title: *Deputy Director*
Head of Letter of Credit Dept.
Telephone: (212) 328-2725

ACKNOWLEDGEMENT

Letter of Credit (LOC) – Control Assessment ID# CA-1403143

Security Change Report (Non-Statutory) for Financial Non-Statistical Collateral System (FNSCS) - Evidence of review

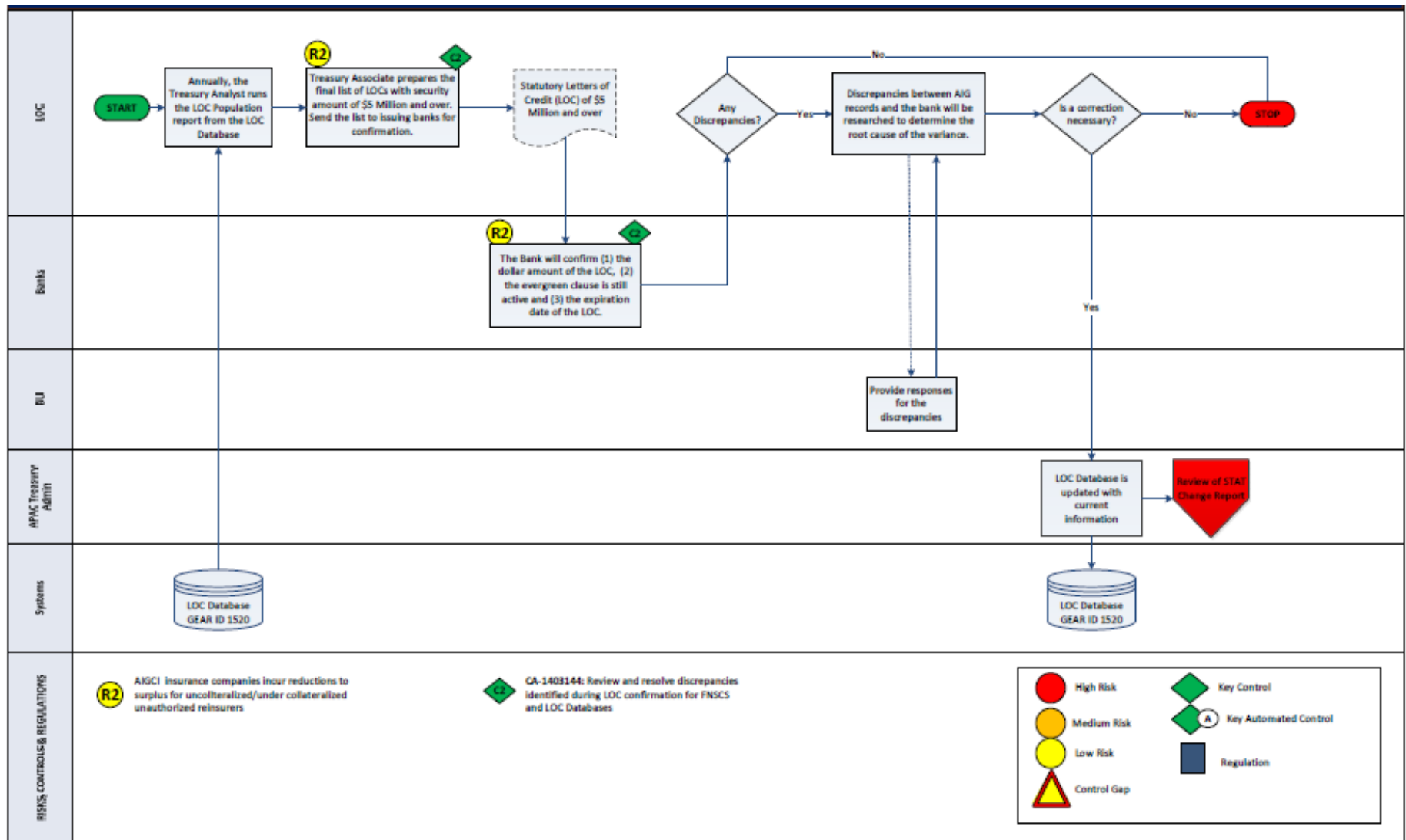
DBG Financial Security System
SECURITY CHANGE REPORT
All Divisions
LETTER OF CREDIT
From: 02/24/2019 To: 03/02/2019

Date: 03/04/2019

| Div. | Account Number | Contract # | | | Account Name | Applicant Name | | | | | |
|------|----------------|------------|----------------------|---------------------|------------------------------|---|----------------|------------|---------------------------------|-----|---------|
| | Scrt. No. | | Securi ty Type | Field Chang e | Cur. Amt. | Prev. Amt. | Change | Exp. Dt. | Bank/Financial Inst. Name | E/C | Mod. By |
| 55 | 00000551547 | 1547 | | | ASEA BROWN BOVERI, INC. | ASEA BROWN BOVERI INC | | | | | |
| | 962198 | | 01 | Decre ment | 2,552,822.00 | 2,962,030.00 | -409,208.00 | 06/30/2019 | Svenska Handelsbanken | Y | mgopal |
| 55 | 00000551679 | 153200 | | | AMERICAN NATIONAL CAN CO. | REXAM BEVERAGE CAN COMPANY | | | | | |
| | 3099107 | | 01 | Exp. Date | 510,000.00 | 510,000.00 | 0.00 | 03/31/2019 | Bank of America, NA | N | rabia |
| 55 | 00000551706 | 1706 | | | SIGNATURE FRUIT COMPANY, LLC | SENECA FOODS CORPORATION | | | | | |
| | 3062952 | | 01 | Decre ment | 9,219,167.00 | 10,223,425.00 | -1,004,258.00 | 06/30/2015 | Bank of America, NA | Y | mgopal |
| 55 | 00000551936 | 193613 | | | ADECCO, INC. | ADECCO S.A. | | | | | |
| | SB23.859 | | 01 | Decre ment | 89,131,711.00 | 105,185,754.00 | -16,054,043.00 | 03/21/2017 | CREDIT INDUSTRIEL ET COMMERCIAL | Y | mgopal |
| | SB23.859 | | 01 | Decre ment | 89,067,748.00 | 89,131,711.00 | -63,963.00 | 03/21/2017 | CREDIT INDUSTRIEL ET COMMERCIAL | Y | mgopal |
| 55 | 00000552589 | 258900 | | | CENDANT CORPORATION | AVIS BUDGET CAR RENTAL LLC ON BEHALF OF AVIS BUDGET GROUP | | | | | |
| | SB-42971 | | 01 | Decre ment | 131,712.00 | 468,861.41 | -337,149.41 | 01/25/2019 | NATIXIS | Y | mgopal |

L. L. King 3/6/19

Process Flow – Letter of Credit (LOC) Management – Annual STAT Confirmation



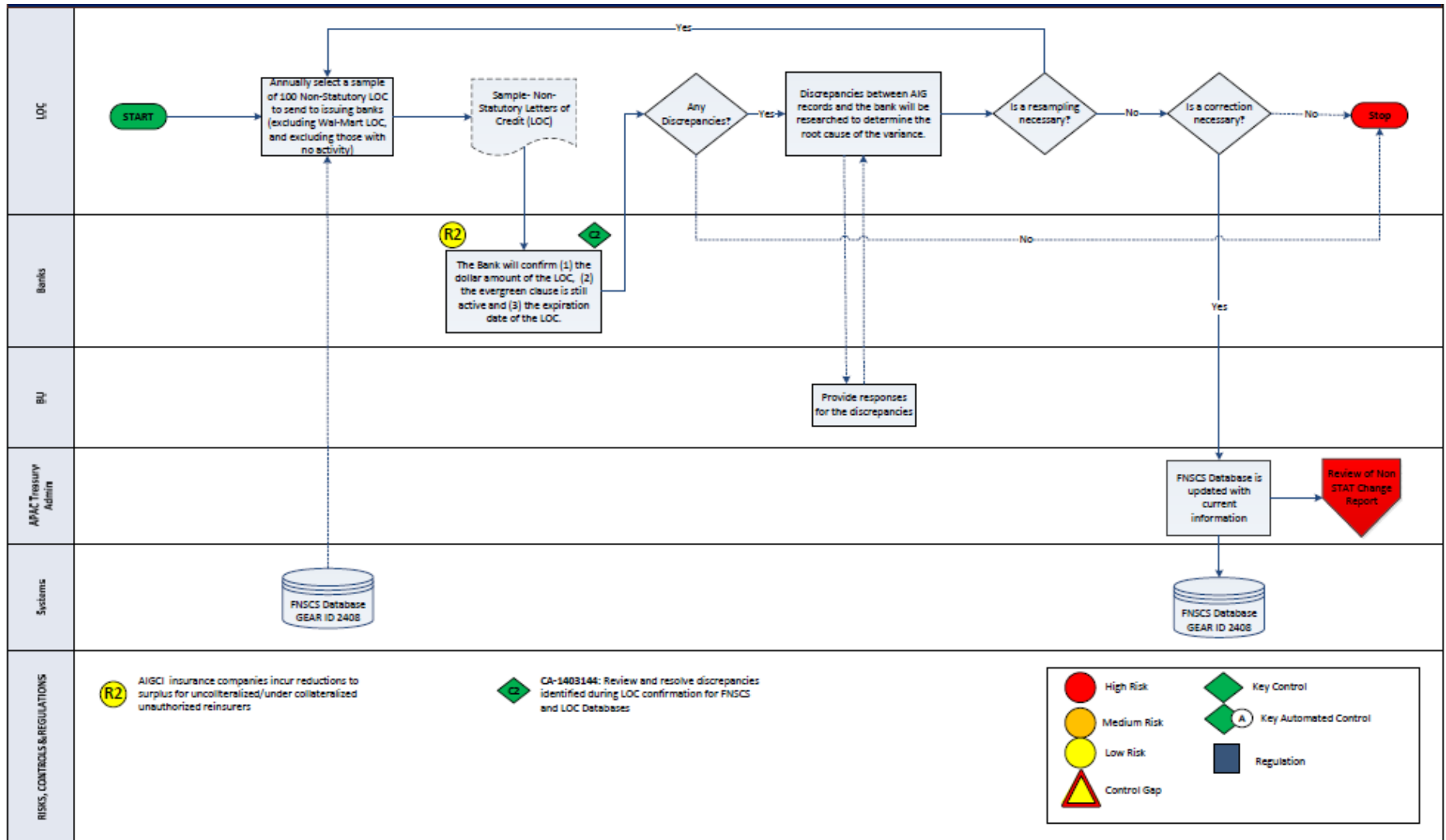
Letter of Credit (LOC) – Control Assessment ID# CA-1403144

Statutory Securities Inventory as of 2/28/2019 (Extract Only)

Trst Ind. COMPLETE STATUTORY INVENTORY
01 = Letter All Security Types
03 = Trust 02/28/19
04 = OCA

| Grp Id | Group Name | Div No | Acct No | Reg Cd | Br Cd | Reinsurer | Co No | Co Br | Current Amt | Loc No | Valu Dt | Bank | Effective Dt | Expiry Dt | Trst Ind | EO | Beneficiary Name | Brkr No | Brkr Br | Insured Name | Asst Cd | Sched Alg Ent Cd | Various Cd | User Labl Tx | Issue Dt | Original Loc Fl | Treaty Class Cd |
|--------|--------------------------|--------|---------|--------|-------|---|--------|-------|---------------|-------------------------|---------|----------------------------|--------------|--------------|----------|----|------------------|---------|---------|--------------|---------|------------------|------------|----------------------|--------------|-----------------|-----------------|
| 12 | AIG CANADA - REINSURANCE | | | | | ACCIDENT & GENERAL INSURANCE CO LTD | 000871 | 001 | 500,000.00 | 02819121TOR | | Bank of Nova Scotia, The | Jul 3, 2015 | Jun 30, 2016 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Jul 3, 2015 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | AIOI NISSAY DOWA INSURANCE CO (FKA DAI TOKYO F&M) | 000867 | 001 | 73,338.21 | 5222802221 | | Citibank Canada | Dec 10, 2015 | Dec 15, 2016 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 10, 2015 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | AIOI NISSAY DOWA INSURANCE CO (FKA DAI TOKYO F&M) | 000867 | 001 | 7,457.71 | 5223800007 | | Citibank Canada | Dec 28, 2011 | Dec 31, 2012 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 28, 2011 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | AIOI NISSAY DOWA INSURANCE CO (FKA DAI TOKYO F&M) | 000867 | 001 | 43,035.99 | 5224800008 | | Citibank Canada | Dec 30, 2011 | Dec 31, 2012 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 30, 2011 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | AIOI NISSAY DOWA INSURANCE CO (FKA DAI TOKYO F&M) | 000867 | 001 | 46,292.00 | 5227800019 | | Citibank Canada | Feb 17, 2012 | Dec 31, 2012 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Feb 17, 2012 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | ALLIANZ RISK TRANSFER | 000387 | 001 | 1,103,687.20 | 10007157 | | Royal Bank of Canada | Dec 18, 2016 | Dec 31, 2016 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 18, 2016 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | ARCH REINSURANCE COMPANY | 002423 | 001 | 315,000.00 | 10000946 | | Royal Bank of Canada | Nov 30, 2012 | Nov 30, 2012 | 1 | Y | CICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Nov 30, 2012 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | ARCH REINSURANCE EUROPE UNDERWRITING DAC | 004352 | 001 | 3,882,285.00 | 10001986 | | Royal Bank of Canada | Jan 2, 2019 | Dec 31, 2019 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Jan 2, 2019 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | ARCH REINSURANCE EUROPE UNDERWRITING DAC | 004352 | 001 | 284,269.00 | 10001707 | | Royal Bank of Canada | Dec 15, 2014 | Dec 15, 2014 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 15, 2014 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | ARCH REINSURANCE LTD. | 000260 | 001 | 504,801.13 | P410708702128 | | Royal Bank of Canada | Dec 19, 2011 | Dec 15, 2012 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 19, 2011 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | ARCH REINSURANCE LTD. | 000260 | 001 | 89,464.24 | P410708702128 | | Royal Bank of Canada | Dec 19, 2011 | Dec 15, 2012 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 19, 2011 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | ASPEN BERMUDA LTD | 004799 | 001 | 904,781.10 | 5228800005 | | Citibank Canada | Dec 21, 2011 | Dec 31, 2012 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 21, 2011 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | ASPEN INSURANCE UK LTD | 000389 | 001 | 1,569,955.87 | 5222800006 | | Citibank Canada | Dec 12, 2017 | Dec 31, 2017 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 12, 2017 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | ASPEN INSURANCE UK LTD | 000389 | 001 | 361,716.50 | 5222800006 | | Citibank Canada | Dec 24, 2012 | Dec 31, 2013 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 24, 2012 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | ASPEN INSURANCE UK LTD | 000389 | 001 | 609,207.00 | 5223800008 | | Citibank Canada | Dec 22, 2011 | Dec 31, 2012 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 22, 2011 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | ASPEN INSURANCE UK LTD | 000389 | 001 | 6,879.88 | 5224800025 | | Citibank Canada | Jan 11, 2016 | Dec 31, 2016 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Jan 11, 2016 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | AXA CORPORATE SOLUTIONS (FRM ABILLE RE-USE 7908) | 006263 | 001 | 161,000.00 | 5227800022 | | Citibank Canada | Mar 2, 2012 | Dec 31, 2012 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Mar 2, 2012 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | AXIS RE SE | 001807 | 001 | 1,046,886.00 | 5220800011 | | Citibank Canada | Nov 20, 2017 | Dec 31, 2017 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Nov 20, 2017 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | AXIS RE SE | 001807 | 001 | 120,819.42 | 5221800035 | | Citibank Canada | Dec 7, 2018 | Dec 31, 2018 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 7, 2018 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | AXIS RE SE | 001807 | 001 | 2,005,910.19 | 5227800004 | | Citibank Canada | Dec 13, 2018 | Dec 31, 2018 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 13, 2018 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | AXIS RE SE | 001807 | 001 | 51,210.83 | 5228800004 | | Citibank Canada | Dec 15, 2011 | Dec 31, 2012 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 15, 2011 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | AXIS SPECIALTY LTD | 000261 | 001 | 262,847.19 | 5229800008 | | Citibank Canada | Dec 29, 2011 | Dec 31, 2012 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 29, 2011 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | AXIS SPECIALTY LTD | 000261 | 001 | 460,464.00 | 5229800009 | | Citibank Canada | Jan 10, 2012 | Dec 31, 2012 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Jan 10, 2012 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | AXIS SPECIALTY LTD | 000261 | 001 | 156,295.12 | 5229800008 | | Citibank Canada | Jan 10, 2012 | Dec 31, 2013 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Jan 10, 2012 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | Canopus Reinsurance AG | 002195 | 001 | 18,999.99 | ES87801261 (LDCRC12268) | | Canadian Imperial Bank of | Mar 26, 2013 | Dec 31, 2013 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Mar 26, 2013 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | CATLIN INSURANCE COMPANY LTD. | 001190 | 001 | 50,287.00 | 5227800138 | | Citibank Canada | Dec 23, 2013 | Dec 31, 2014 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 23, 2013 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | CATLIN INSURANCE COMPANY LTD. | 001190 | 001 | 136,336.00 | 5229800138 | | Citibank Canada | Dec 23, 2013 | Dec 31, 2014 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 23, 2013 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | CATLIN RE SWITZERLAND LTD | 005045 | 001 | 556,872.00 | 5221800137 | | Citibank Canada | Dec 12, 2018 | Dec 31, 2018 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 12, 2018 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | CATLIN RE SWITZERLAND LTD | 005045 | 001 | 8,109.00 | 5222800335 | | Citibank Canada | Dec 7, 2018 | Dec 31, 2018 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 7, 2018 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | CATLIN RE SWITZERLAND LTD | 005045 | 001 | 2,101,403.05 | 5229800005 | | Citibank Canada | Dec 10, 2018 | Dec 31, 2018 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 10, 2018 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | CATLIN RE SWITZERLAND LTD | 005045 | 001 | 19,302.00 | 5227800335 | | Citibank Canada | Dec 12, 2018 | Dec 31, 2018 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 12, 2018 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | CATLIN RE SWITZERLAND LTD | 005045 | 001 | 440,116.20 | 5229800138 | | Citibank Canada | Dec 7, 2018 | Dec 31, 2018 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 7, 2018 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | CHINA PROPERTY & CASUALTY REINSURANCE CO., LTD. | 001314 | 001 | 107,731.27 | 0204761 | | Toronto-Dominion Bank, The | Dec 26, 2017 | Dec 31, 2018 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 26, 2017 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | CHINA REINSURANCE (GROUP) CORP. (PEOPLES RE) | 000625 | 001 | 69,686.04 | 0204734 | | Toronto-Dominion Bank, The | Dec 19, 2017 | Dec 31, 2018 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 19, 2017 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | CHINA REINSURANCE (GROUP) CORP. (PEOPLES RE) | 000625 | 001 | 84,063.00 | 0204756 | | Toronto-Dominion Bank, The | Dec 29, 2017 | Dec 31, 2018 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 29, 2017 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | 84 | | | | CONVOY TRUCKING INSURANCE COMPANY | 003488 | 001 | 13,012,959.00 | 05817508TOR | | Bank of Nova Scotia, The | Aug 21, 2015 | Aug 21, 2016 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Aug 21, 2015 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | 84 | | | | DISCOVERY INSURANCE LTD. | 004777 | 001 | 1,152,322.27 | 0582008200Y | | Bank of Nova Scotia, The | Aug 16, 2017 | Dec 31, 2017 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Aug 16, 2017 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | EAGLESTONE REINSURANCE COMPANY | 000689 | 001 | 4,500,000.00 | 05848396OWS | | Bank of Nova Scotia, The | Jun 28, 2018 | Dec 31, 2018 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Jun 28, 2018 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | ENDURANCE SPECIALTY INSURANCE LTD | 000267 | 001 | 925,323.96 | BM107540050S | | Bank of Montreal | Dec 4, 2017 | Dec 31, 2018 | 1 | Y | CICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 4, 2017 | Y | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | ENDURANCE SPECIALTY INSURANCE LTD | 000267 | 001 | 687,737.88 | BM107540980S | | Bank of Montreal | Jan 3, 2014 | Nov 15, 2014 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Jan 3, 2014 | N | 1 |
| 12 | AIG CANADA - REINSURANCE | | | | | ENDURANCE SPECIALTY INSURANCE LTD | 000267 | 001 | 834,462.53 | BM107540970S | | Bank of Montreal | Jan 3, 2014 | Nov 15, 2014 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Jan 3, 2014 | N | 1 |
| 12 | AIG CANADA - REINSURANCE | 84 | | | | EQUINOX INDEMNITY CO. LTD., THE | 001064 | 001 | 1,281,071.00 | BM105462750S | | Bank of Montreal | Dec 7, 2017 | Jun 30, 2018 | 1 | Y | AIGICC | 000000 | 000 | | 22 | 22 | N | AIG Canada Insurance | Dec 7, 2017 | Y | 1 |

Process Flow – Letter of Credit (LOC) Management – Annual Non STAT Confirmation



Letter of Credit (LOC) – Control Assessment ID# CA-1403144

Non-Statutory Securities Inventory as of 2/28/2019 (Extract Only)

COMPLETE INVENTORY
NON-STATUTORY(ALL SECURITY TYPES)
as of February 28, 2019

| Sec Code | Div No. | Acct No. | Contract # | Reg code | Br Code | Applicant Name | Account Name | Currency | Scrt Amount | Scrt No. | Scrt Exp Dt. | E/G | BankName |
|----------|---------|-------------|------------|----------|---------|---|---|----------|-----------------|-----------------|-----------------|-----|------------------------------|
| 01 | 02 | 00082095650 | 895650 | 14 | 14 | TMF KENT PARTNERS LLC | 164 KENT AVENUE | USD | \$1,332,179.66 | 63664319 | 12/31/2009 0:00 | Y | Citibank, N.A. |
| 04 | 02 | 00082630350 | 630350 | 03 | 03 | 300 SPEAR REALTY VENTURE LLC | 300 SPEAR REALTY VENTURE, L.L.C. | USD | \$2,602,184.65 | 554562 | 5/31/2011 0:00 | Y | Deutsche Bank, A.G. |
| 01 | 02 | 00082317325 | 317325 | 01 | 02 | 4545 EAST COAST LLC C/O TF CORNERSTONE INC. | 4545 EAST COAST LLC & 4610 EAST COAST LLC | USD | \$1,178,603.67 | 500064119 | 2/24/2015 0:00 | Y | Bank of New York Mellon N.A. |
| 01 | 59 | 00059587525 | 587525 | 01 | 01 | A&A MAINTENANCE ENTERPRISE INC. | A&A MAINTENANCE ENTERPRISE, INC. | USD | \$375,952.00 | 68110926 | 4/1/2016 0:00 | Y | Bank of America, N.A. |
| 01 | 09 | 00089316775 | 316777 | 14 | 14 | A. DUJE PYLE INC. | A. DUJE PYLE INC. | USD | \$12,209,322.00 | 5907456 | 5/10/2011 0:00 | Y | Citizens Bank, N.A. |
| 01 | 09 | 00089495675 | 495675 | 05 | 05 | PREMIER CARREIRS, LLC | A. PASSMORE & SONS, INC. | USD | \$1,215,828.00 | 68028345 | 5/31/2009 0:00 | Y | Bank of America, N.A. |
| 07 | 27 | 00279882934 | 9882934 | 01 | 01 | A. VENIERO & SONS INC. | A. VENIERO & SONS INC. | USD | \$7,500.00 | 9882934 | | Y | |
| 01 | 09 | 00089387450 | 387450 | 07 | 07 | AAA COOPER TRANSPORTATION | AAA COOPER TRANSPORTATION, INC. | USD | \$62,208.00 | 5M224204W | 2/25/2008 0:00 | Y | Wells Fargo Bank, N.A. |
| 01 | 27 | 00279882409 | 9882409 | 07 | 07 | AAA TRANSPORTATION SERVICES, INC. DBA LEWIS TRUCK LINES | AAA TRANSPORTATION SERVICES, INC. DBA LEWIS TRUCK LINES | USD | \$25,000.00 | 750473894 | 4/21/2017 0:00 | Y | Anderson Brothers Bank |
| 01 | 27 | 00279882876 | 9882876 | 07 | 07 | AAT CARRIERS INC | AAT CARRIERS, INC | USD | \$20,000.00 | 70003192 | 4/25/2019 0:00 | Y | Suntrust Bank |
| 01 | 55 | 00000559466 | 9466 | 01 | 01 | ALSTOM INC. ON BEHALF OF ALSTOM POWER IN. (API) | ABB ALSTOM POWER INC | USD | \$302,480.00 | 58V54206 | 7/9/2009 0:00 | Y | Nordea Bank PLC |
| 01 | 59 | 00000599505 | 9505 | 02 | 02 | ABC BUS COMPANIES INC. | ABC BUS COMPANIES, INC | USD | \$655,879.00 | NZ5515566 | 1/1/2005 0:00 | Y | Wells Fargo Bank, N.A. |
| 01 | 59 | 00000599505 | 9505 | 02 | 02 | ABC BUS COMPANIES, INC. | ABC BUS COMPANIES, INC | USD | \$800,590.00 | NZ5436386 | 5/1/2003 0:00 | Y | Wells Fargo Bank, N.A. |
| 01 | 09 | 00089950513 | 950513 | 02 | 45 | ABC BUS COMPANIES, INC. | ABC BUS COMPANIES, INC. | USD | \$900,000.00 | IS0019564U | 1/9/2014 0:00 | Y | Wells Fargo Bank, N.A. |
| 01 | 59 | 00059690325 | 690325 | 09 | 09 | AC SNACKS FOODS INC | AC SNACKS FOODS, INC | USD | \$207,675.00 | 68143636 | 10/5/2019 0:00 | Y | Bank of America, N.A. |
| 01 | 09 | 00089283225 | 283225 | 14 | 14 | ACADEMY EXPRESS, LLC | ACADEMY EXPRESS LLC | USD | \$758,550.00 | NZ5677469 | 5/1/2012 0:00 | Y | Wells Fargo Bank, N.A. |
| 01 | 09 | 00089283225 | 283226 | 14 | 14 | ACADEMY EXPRESS, LLC | ACADEMY EXPRESS LLC | USD | \$946,878.00 | 18116932-00-000 | 3/8/2013 0:00 | Y | PNC Bank N.A. |
| 01 | 09 | 00089283225 | 283227 | 14 | 14 | ACADEMY EXPRESS, LLC | ACADEMY EXPRESS LLC | USD | \$675,000.00 | 18119305-00-000 | 3/15/2014 0:00 | Y | PNC Bank N.A. |
| 01 | 09 | 00089283225 | 283228 | 14 | 14 | ACADEMY EXPRESS, LLC | ACADEMY EXPRESS LLC | USD | \$1,350,000.00 | IS0160667U | 3/1/2015 0:00 | Y | Wells Fargo Bank, N.A. |
| 01 | 59 | 00059283229 | 283229 | 14 | 14 | ACADEMY EXPRESS, LLC | ACADEMY EXPRESS, LLC | USD | \$1,833,357.00 | IS0284948U | 3/1/2016 0:00 | Y | Wells Fargo Bank, N.A. |
| 01 | 59 | 00059283229 | 283230 | 14 | 14 | ACADEMY EXPRESS, LLC | ACADEMY EXPRESS, LLC | USD | \$2,000,000.00 | IS0399672U | 3/1/2017 0:00 | Y | Wells Fargo Bank, N.A. |
| 01 | 09 | 00089426325 | 426325 | 08 | 26 | ACADIAN AMBULANCE SERVICE INC. | ACADIAN AMBULANCE SERVICE, INC. | USD | \$4,498,086.00 | CTC5-382826 | 10/1/2013 0:00 | Y | JP Morgan Chase Bank N.A. |
| 01 | 59 | 00059246850 | 246850 | 05 | 05 | ACCENTCARE, INC. | ACCENTCARE, INC. | USD | \$1,950,000.00 | 30099226 | 12/22/2017 0:00 | Y | Capital One Bank |
| 01 | 59 | 00059246850 | 246850 | 05 | 05 | ACCENTCARE, INC. | ACCENTCARE, INC. | USD | \$10,562,804.00 | 30099228 | 12/22/2017 0:00 | Y | Capital One Bank |
| 01 | 59 | 00059246850 | 246859 | 05 | 05 | ACCENTCARE, INC. | ACCENTCARE, INC. | USD | \$3,500,000.00 | 30099229 | 12/22/2017 0:00 | Y | Capital One Bank |
| 01 | 59 | 00059246850 | 246860 | 05 | 05 | ACCENTCARE INC. | ACCENTCARE, INC. | USD | \$2,900,000.00 | 30099227 | 12/22/2017 0:00 | Y | Capital One Bank |
| 01 | 55 | 00055NF1623 | NF1623 | 02 | 02 | ACCO BRANDS CORPORATION | ACCO BRANDS CORPORATION | USD | \$893,715.00 | 68145483 | 1/31/2020 0:00 | Y | Bank of America, N.A. |
| 01 | 59 | 00059630250 | 630251 | 09 | 09 | ACE CASH EXPRESS INC. | ACE CASH EXPRESS, INC. | USD | \$160,802.00 | LC 1735 | 6/30/2016 0:00 | Y | Texas Capital Bank |
| 01 | 09 | 00089485625 | 485625 | 01 | 20 | ACTION ENVIRONMENTAL GROUP | ACTION ENVIRONMENTAL GROUP | USD | \$384,435.00 | 663295-04 | 6/28/2019 0:00 | Y | Comerica Bank |
| 07 | 27 | 00279882740 | 9882740 | 07 | 07 | ACTION EXPEDITING LLC | ACTION EXPEDITING, LLC | USD | \$70,000.00 | 9882740 | | Y | |
| 01 | 09 | 00089493425 | 493425 | 09 | 90 | ARI LOGISTICS LLC ACTION RESOURCES INC ACTION ENVIRONMENT | ACTION RESOURCES, INC. | USD | \$390,032.22 | 55106701 | 8/31/2017 0:00 | Y | Regions Bank |
| 01 | 55 | 00055356975 | 356975 | 04 | 04 | ZEP INC. | ACUITY SPECIALTY PRODUCTS GROUP, INC. | USD | \$2,209,949.00 | 5323774 | 6/24/2016 0:00 | Y | Keybank, N.A. |
| 01 | 55 | 00055NC1623 | NC1623 | 02 | 02 | ACUSHNET COMPANY | ACUSHNET HOLDINGS CORP | USD | \$286,570.00 | IS000032197U | 2/16/2019 0:00 | Y | Wells Fargo Bank, N.A. |
| 01 | 55 | 00055185000 | 185000 | 03 | 03 | ADDUS HEALTHCARE INC. | ADDUS HEALTHCARE | USD | \$97,087.00 | 30099487 | 12/31/2018 0:00 | Y | Capital One Bank |

2019 Walkthrough – Inventory of Key Systems and IT Interdependencies

Applications and Databases

1. LOC
2. FNSCS

Models

1. None

EUTS

1. **None**

SOC1

1. None

Key Reports

| SI. No. | Control Assessment ID | Report Name | Description of Nature/Usage | Report Risk Rating | Input Source (i.e. system feeding this report) | Describe How Report is Generated(i.e. Microstrategy, Canned Report, Query, etc.) | Report Format | Where Report Stored (Shared Drive/ Personal Folder/ Software Repository) | If Report is Developed In-house, Where Query/Source Code Stored |
|---------|-----------------------|--|---|--------------------|---|--|---------------|--|---|
| 1 | CA-1403143 | DBG Financial Security System Security Change Report | Report that identifies changes made in FNSCS database for a time period (also used by FCU for sample selection) | Low | FNSCS database | Canned Report | PDF | Hard Copy Maintained | PVCS |
| 2 | CA-1403143 | Letter of Credit system Change Report | Report that identifies changes made in LOC database for a time period (also used by FCU for sample selection) | Low | LOC database | Canned Report | PDF | Hard Copy Maintained | PVCS |