

2019 Treasury SOX Walkthrough Agenda

Seq.	SOX Control Ref	Process	Team	Presenter	Sample	Time (GMT)
1.	Introduction (IAG, PwC, Business) - Jasmin Matthews 2019 update for Treasury - Daniel Duriancik					2.00pm-2.05pm (5 mins)
2.	CA-1404699	TRUST HR Inactive Signers Report (EMEA & LATAM)	Bank Admin Dublin	Colin O'Sullivan	<ul style="list-style-type: none"> Walkthrough the report of March 5th Discuss the removal of Thomas Bonfils Rasmussen Walkthrough the >90 days Escalation report for March 	2.05pm-2.20pm (15 mins)
3.	CA-1404699	TRUST HR Inactive Signers Report (NAMER & APAC)	Bank Admin India	Biswadeep Gupta	<ul style="list-style-type: none"> Discuss Bank Admin India's process for running the HR Inactive Signatory Report (Macro) – Ref March 4th report Monthly Reconciliation Report for March 	2.20pm-2.30pm (10 mins)
4.	CA-2324604	OLE Statement Balance Report	Bank Admin Dublin	Colin O'Sullivan	Walkthrough the report for April 1st	2.30pm – 2.40pm (10 mins)
5.	CA-1763368	New bank account entered in Openlink	Bank Admin Dublin	Colin O'Sullivan	Walkthrough a bank account opening for AIG Travel EMEA Ltd at Citi bank (No Live Example available)	2.40pm-2.55pm (15 mins)
6.	CA-1405192	Openlink system functionality	Bank Admin Dublin	Colin O'Sullivan	Demo approving a pending new bank account (Dummy) to Openlink	2.55pm – 3.05pm (10 mins)
7.	CA-1404707	MIFT Signers Report	Bank Admin India	Sunesh Kumar	Walkthrough the MIFT Signers process	3.05pm – 3.15pm (10 mins)
8.	CA-1404700	Trust Verification	Bank Admin India	Sunesh Kumar	Discuss the 2019 Trust Verification process	3.15pm – 3.25pm (10 mins)
9.	CA-1742798	Openlink Signature Report	Bank Admin India		N/A	

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10.	CA-1405230	EMEA Third Party Manual Payments	EMEA Treasury	Roisin Kekki	Walkthrough 3 rd party manual payment for AESA Germany (No Live Example available)	3.25pm – 3.40pm (15 mins)
11.	CA-1405192	Openlink & EBS system functionality	EMEA Treasury	Roisin Kekki	Demo entering and approving a AIG to AIG payment (Dummy) in (1) Openlink and Citidirect AIG Europe	3.40pm – 3.50pm (10 mins)
12.	CA-1404676	Prior Day Pool Report	L&R Treasury	Michael Lyons	Walkthrough the LQ Pool report for April 1 st with activity for March 29th	3.55pm – 4.05pm (10 mins)
13.	CA-1404701	Payee Set-Up/Modification	L&R Treasury	Michael Lyons	Walkthrough of set up for Galenia Hospital of Mexico (No Live Example available)	4.05pm – 4.15pm (10 mins)
14.	CA-1405192	Openlink system functionality	L&R Treasury	Michael Lyons	Demo entering and approving a Payee Set Up or modification (Dummy)	4.15pm – 4.25pm (10 mins)
15.	CA-1404986	Payment Template Set-Up/Modification	L&R Treasury	Michael Lyons	Walkthrough a live example of a payment template set up	4.25pm – 4.40pm (15 mins)
16.	CA-1405192	Openlink system functionality				
17.	CA-1402695	Third Party Manual Payments	L&R Treasury	Michael Lyons	Walkthrough payment for Family Services Life (No Live Example available)	4.40pm – 4.50pm (10 mins)
18.	CA-1403102	Back Valued Adjustments	L&R Treasury	David Brodigan	Discuss the process only - no 2019 example to walk through	4.50pm – 4.55pm (5 mins)
19.	CA-1404213	SOC 1 Reports			N/A	4.55pm – 5.00pm (5 mins)

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20.	CA-1405192	EBS system functionality	L&R Treasury	Michael Lyons	State Street Bank	
21.	CA-1405192	EBS system functionality	L&R Treasury	Michael Lyons	BNYM Treasury Edge	
22.	CA-1405192	EBS system functionality	Americas Treasury	TBC	TD Bank	
23.	CA-1405192	EBS system functionality	L&R Treasury	Michael Lyons	Citidirect – American General Financial Group	
24.	CA-1405192	EBS system functionality	Americas Treasury	TBC	JPMorgan Access (AIG)	
25.	CA-1405192	EBS system functionality	Americas Treasury	TBC	PNC - Pinnacle	