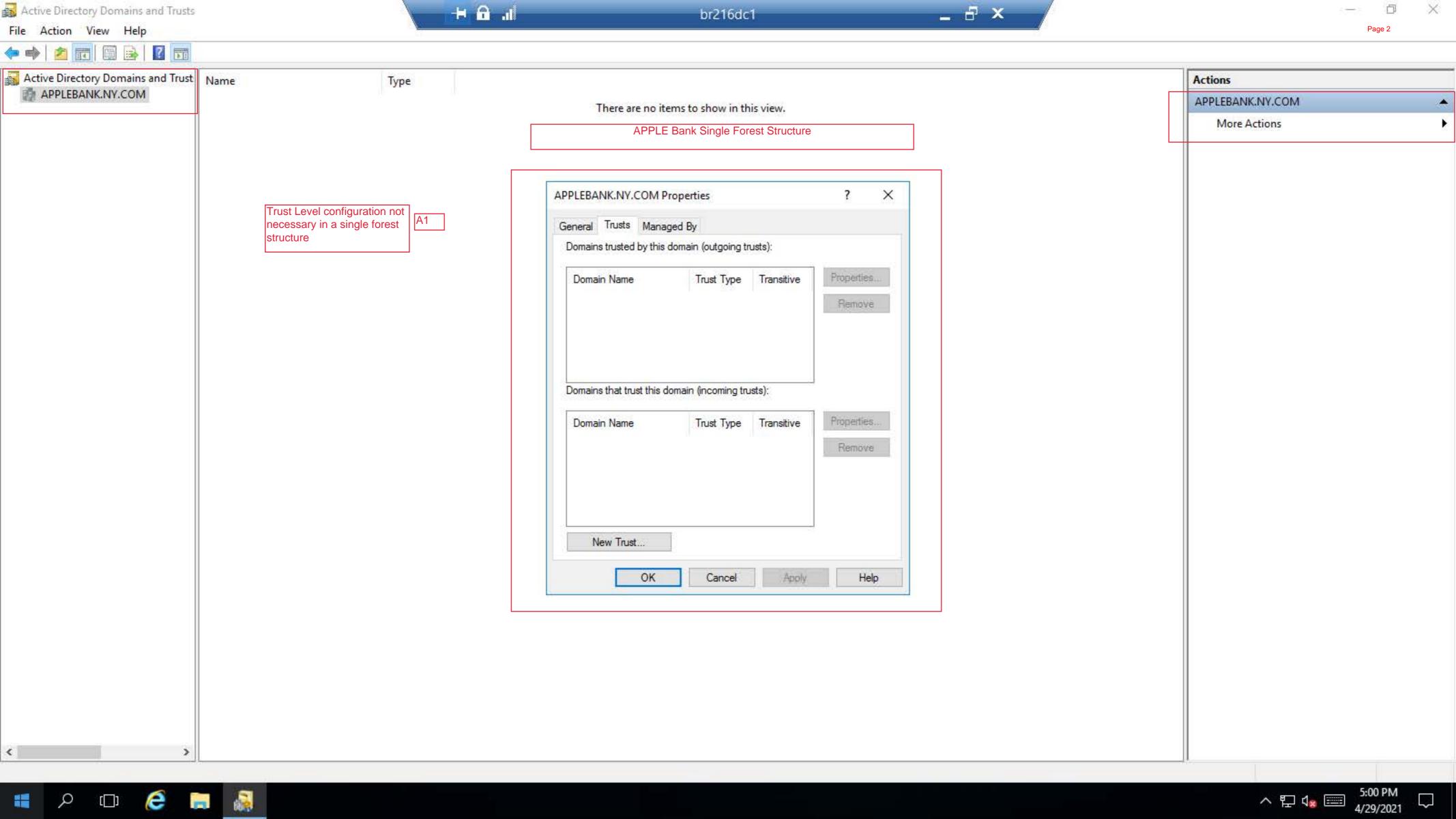
Cover

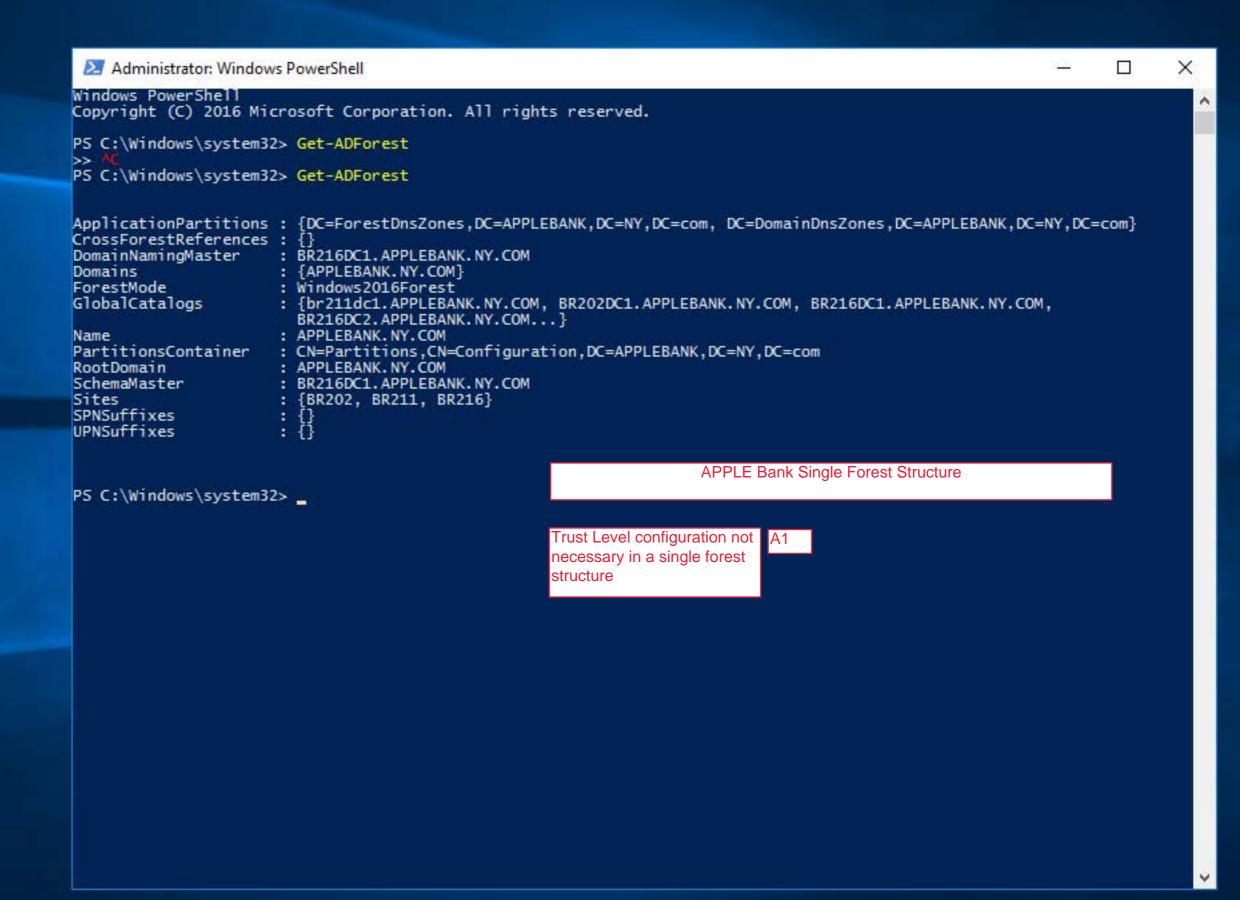
IT RCSA - Infrastructure

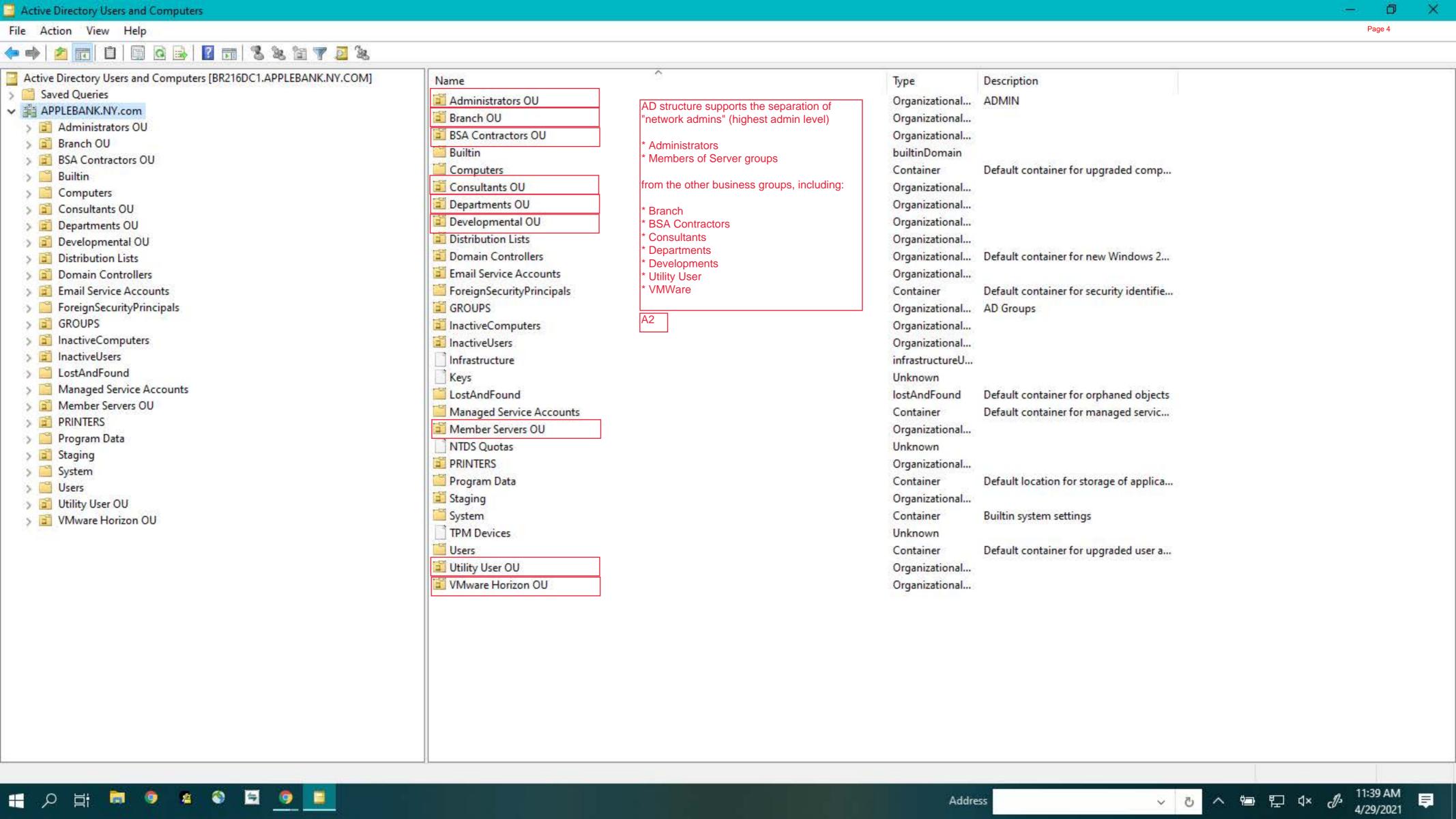
Entity	Apple Bank	
Test Name	IT Infrastructure	
Test Date	4/9/2021	
Process	IT-IFR-P8 Network Privilege Access	
Sub-process	Network Privilege Access	
Risk # and Description	IT-IFR-R8 - Access to the privilege accounts of the Bank's infratructure, if unauthorized or inadequately managed, may expose the Bank to privilege misuse and attacks from both internal and external malicious actors	
Control # and Description	IT-IFR-C14 Network Privilege Access Privilege access to ABS network and systems is restricted to appropriate individuals with relevant functions.	
Level of Risk	High	
Control Frequency	As Needed	
Process Owner	Debi Gupta	
Procedures Performed for Validating Population	Inquiry, Observation, Inspection	
SII(s) or Exception(s) Number(s)	Self Reported by Information Security	

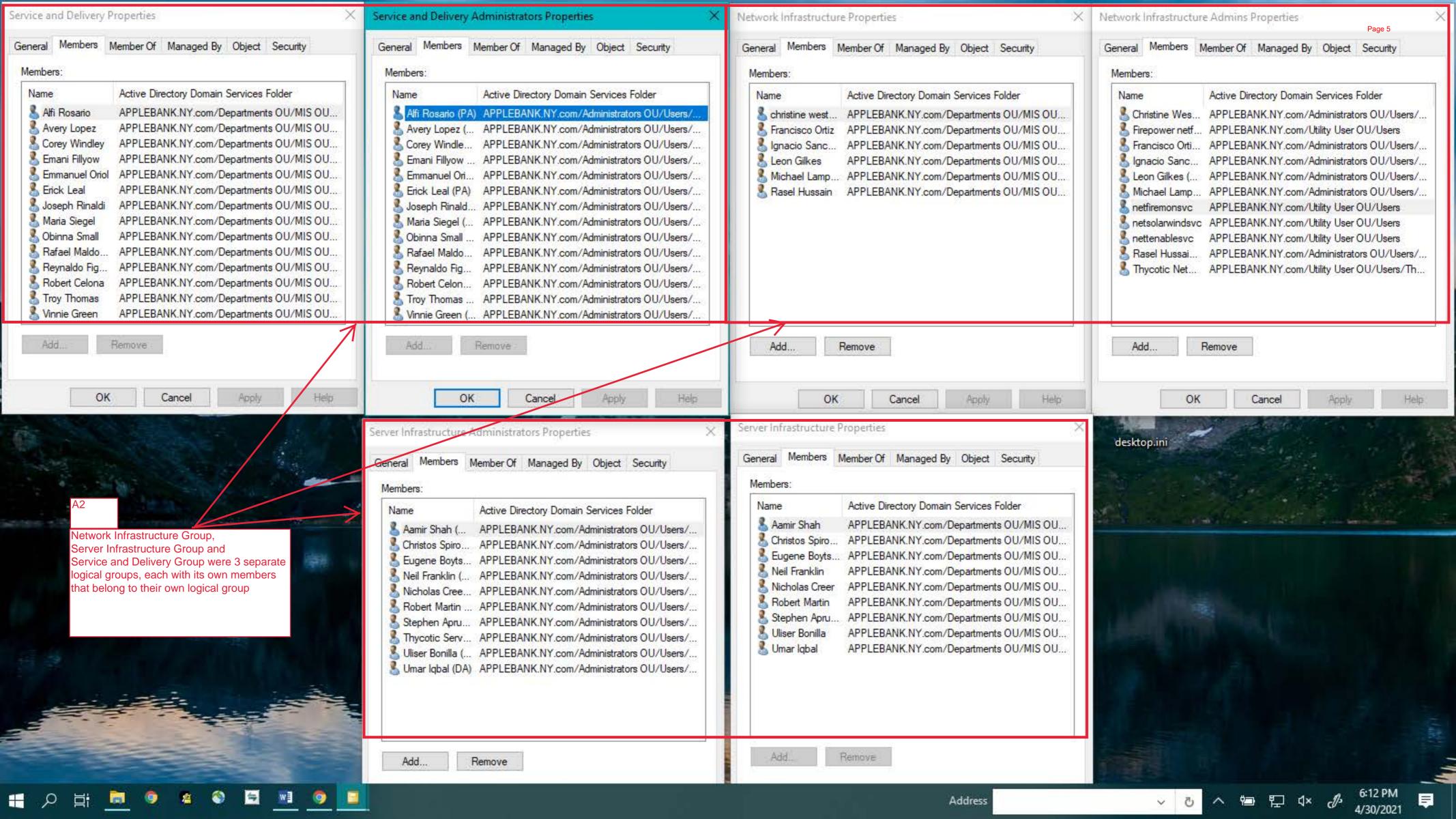
Test Sample

Control Test Procedures			
Test Step	Test Procedure		
A1	Determine whether there is more than one forest in the AD structure. If yes, determine that external trusts must be authorized. For multiple forest-structure, determine that the administrative control of computers, objects, etc. by users from other forests is restricted or not allowed	Pg. 2, 3	
A2	Determine that the AD structure supports the separation between "network admins" (highest admin level) and "network support" (responsible for user credentials, access rights, etc.)	Pg. 4, 5	
B1	For global/local GPO, determine that the default policies are defined for password, account lockout, network security - force logoff (when logon hours expire), encryption	Pg. 6, 7	
B2	Determine that after 30 days inactivity, the user's remote access will automatically be turned off and account disabled	Pg. 8	
В3	Determine that the security/policy settings for privilege accounts are adequate	Pg. 9, 10, 11	
B4	For system/generic account, determine that the security/policy settingsare adequate	Pg. 12, 13, 14, 15	
B5	Determine that the system/generic accounts cannot be used to logon to the network	Pg. 16, 17	
C1	For selected shared folders, determine that the settings are appropriate to secure access from unauthorized users	Pg. 18-22	

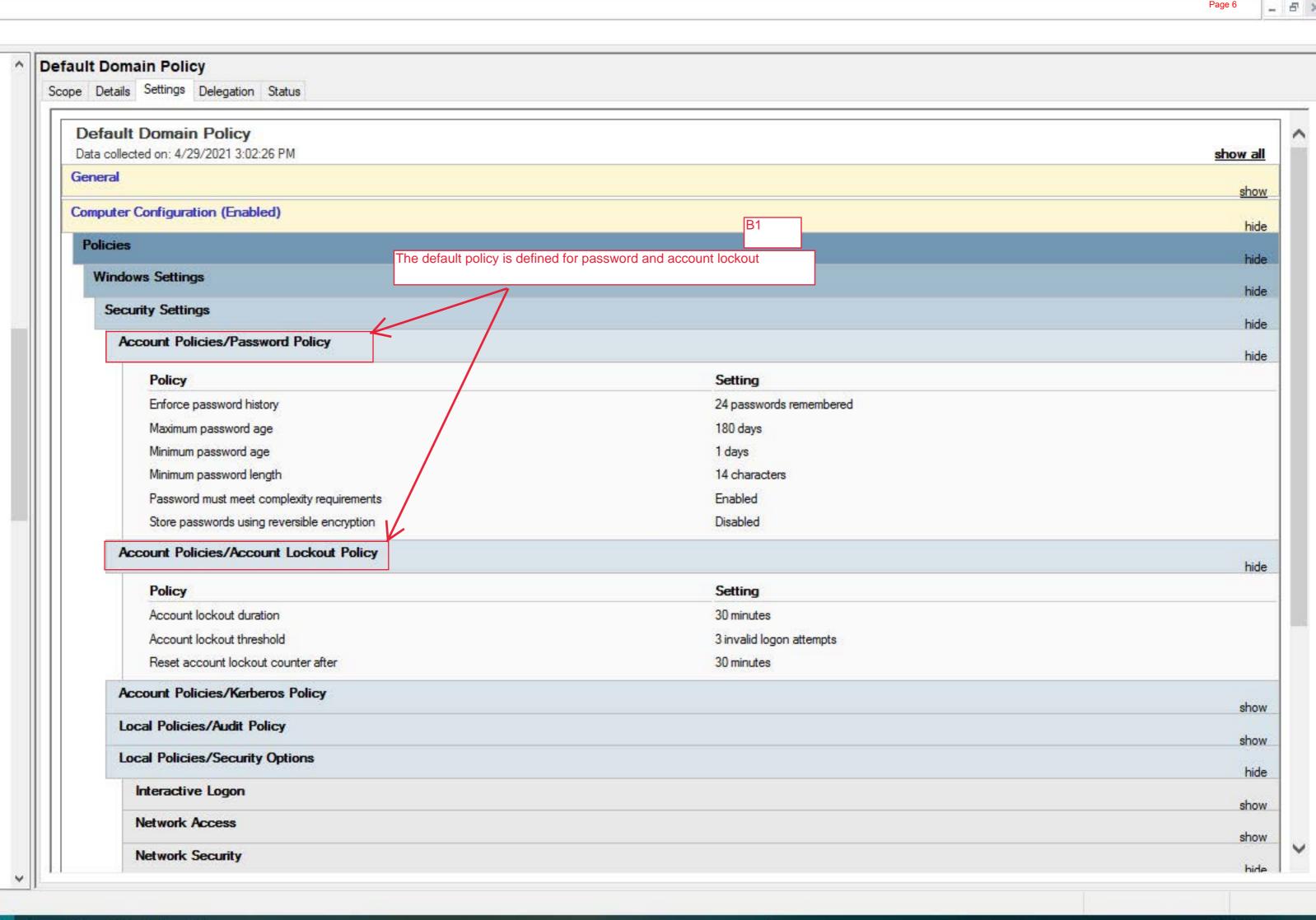












Address

