

WALKTHROUGH TEMPLATE

SOX Session Gathering		FCU Ownership
		FCU Mega-Process
		FCU Process
		FCU Sub-Process
		FCU Product(s)
		FCU Universal Risk(s)
		Risk Title
		Risk Descriptions
		Control Risk Rating
		Control Assessment ID
		Control Summary
		Control Description
		Control Activity performed by Control Owner
		Domain
		PwC Reliance
		Key Control for SOX
		Key control for MAR
		MAR Legal Entity
		Control Frequency
		Automated Control
		Control Type
		Control Owner
		Control Assertions
		Application Name
		Gear ID
		Key Report (for applications)
		ITDs
		EUCs
	MARS (Models if applicable)	
	MARS ID	
	Key report (For MARS)	
	Control Category	
	any changes/updates?	
	Y/N	
	PWC Key SOX Controls as of 3.18.19	
	Additional Comments	
	Reconsider for Automation?	
	Y/N	