

# Webinar

## Webinar: Internal Audit's Role in Responsible Artificial Intelligence

Thursday, June 6, 2019 - 2:00 PM to 3:00 PM

Registration will close 24 hours prior to the beginning of the webinar start time listed.

Adoption of AI compels internal audit to actively assess risk, protect the business, and support trust and confidence in algorithms. Learn how IA should be involved early to help the business design responsible AI, avoid bias, assess explainability, and transparency, and monitor AI performance.

#### Learning Objectives

Attendees will be able to:

- Learn what type of risks exist when organizations adopt Al.
- Learn how IA should assist the business in understanding risks and managing assurance related to algorithms.
- Learn about a responsible AI framework that guide efforts to gain assurance.

#### Speaker



Seth Rosensweig Partner, PwC

Seth D. Rosensweig is a Partner at PwC within the Digital Risk Solutions group where he leads the Digital Risk, Regulatory and Compliance practice. Seth has over 20 years of advising clients around designing and implementing analytics and advanced technology solutions related to the regulatory, risk, compliance, and internal audit agenda. His focus is on: Risk, Compliance and Regulatory technology change, validation, testing and remediation across: AML/ BSA, Fraud, Trade Compliance, DFAST, Basel, CCAR, BCBS239, Risk Data Management and Governance. He also focuses on helping transform their organizations with their use of digital assets. Seth is a frequent speaker at industry risk and compliance events and is frequently quoted in industry trade publications. He speaks on topics related to Big Data, AI, Automation/Robotics, NLP and analytics as it pertains to managing risk and compliance change. He has authored/co-authored or been quoted in the following articles / white-papers: "How Securitizes Can Comply with Dodd-Frank Act"; "No Guts No Glory: Committing to Data-Driven Risk Management" (GARP); "Big Data is Not Just for Big Banks (WSJ Blog); "Data Driven: What Students Need To Succeed In a Rapidly Changing Business Environment"; "Turning The Corner, Advance the use of analytics within Internal Audit (WSJ Blog); "How

Community Banks Can Compete in Mobile" (BankTech); "Financial Marketers Unprepared For Era Of Big Data" (Thefinancialbrand.com); "Latest Trends on IT Audit Analytics".



Travis Ringger Director, Digital Risk Solutions, PwC

Travis Ringger specializes in designing and building data science solutions that improve performance, help organizations make better decisions, and provide assurance around regulatory and compliance risk. Specific areas of focus include natural language processing (NLP) and unstructured data analytics. In his current role Travis leads development teams building new software and bringing solutions to market. Examples include governance for artificial intelligence, image forensics, contract analytics, intelligent automation, and other cognitive computing solutions designed to address specific client and industry needs. He also manages relationships with technology partners and has collaborated with them to produce unique solutions combining business expertise with the latest technology. Travis has spoken at a number of industry and trade events, demonstrating how data analytics and artificial intelligence can improve efficiency and performance. He earned his MBA from Northwestern's Kellogg School of Management in 2014.

### **Event Information**

Course Duration: 1 hour CPE Hours: 1 Knowledge Level: Basic Field of Study: Business Management & Organization

Prerequisites:

None

Advance Preparation:

Delivery Method: Group Internet based



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