

Eidgenössische Technische Hochschule Zürich Swiss Federal Institute of Technology Zurich

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Question 1:

- a) Prove that the expectation semiring satisfies the semiring axioms:
 - $(\mathbb{R} \times \mathbb{R}, \oplus, \mathbf{0})$ must be a commutative monoid with identity element $\mathbf{0}$:

$$(\langle x, y \rangle \oplus \langle x', y' \rangle) \oplus \langle x'', y'' \rangle = \langle x + x', y + y' \rangle \oplus \langle x'', y'' \rangle \tag{1}$$

$$= \langle x + x' + x'', y + y' + y'' \rangle \tag{2}$$

$$= \langle x, y \rangle \oplus \langle x' + x'', y' + y'' \rangle \tag{3}$$

$$= \langle x, y \rangle \oplus (\langle x', y' \rangle \oplus \langle x'', y'' \rangle) \tag{4}$$

$$\mathbf{0} + \langle x, y \rangle = \langle 0, 0 \rangle \oplus \langle x, y \rangle \tag{5}$$

$$= \langle 0 + x, 0 + y \rangle \tag{6}$$

$$=\langle x,y\rangle\tag{7}$$

$$= \langle x + 0, y + 0 \rangle \tag{8}$$

$$= \langle x, y \rangle + \mathbf{0} \tag{9}$$

$$\langle x, y \rangle + \langle x', y' \rangle = \langle x + x', y + y' \rangle \tag{10}$$

$$= \langle x' + x, y' + y \rangle \tag{11}$$

$$= \langle x', y' \rangle + \langle x, y \rangle \tag{12}$$

• $(\mathbb{R} \times \mathbb{R}, \otimes, \mathbf{1})$ must be a monoid with identity element 1:

$$(\langle x, y \rangle \otimes \langle x', y' \rangle) \otimes \langle x'', y'' \rangle = \langle x \cdot x', x \cdot y' + y \cdot x' \rangle \otimes \langle x'', y'' \rangle \tag{13}$$

$$= \langle x \cdot x' \cdot x'', x \cdot x' \cdot y'' + (x \cdot y' + y \cdot x') \cdot x'' \rangle \tag{14}$$

$$= \langle x \cdot x' \cdot x'', x \cdot x' \cdot y'' + x \cdot y' \cdot x'' + y \cdot x' \cdot x'' \rangle \quad (15)$$

$$= \langle x, y \rangle \otimes \langle x' \cdot x'', x' \cdot y'' + y' \cdot x'' \rangle \tag{16}$$

$$= \langle x, y \rangle \otimes (\langle x', y' \rangle \otimes \langle x'', y'' \rangle) \tag{17}$$

$$\mathbf{1} \otimes \langle x, y \rangle = \langle 1, 0 \rangle \otimes \langle x, y \rangle \tag{18}$$

$$= \langle 1 \cdot x, 1 \cdot y \rangle \tag{19}$$

$$=\langle x, y \rangle \tag{20}$$

$$= \langle x \cdot 1, y \cdot 1 \rangle \tag{21}$$

$$= \langle x, y \rangle \otimes \mathbf{1} \tag{22}$$

• Multiplication left and right distributes over addition:

$$\langle x, y \rangle \otimes (\langle x', y' \rangle \oplus \langle x'', y'' \rangle) = \langle x, y \rangle \otimes \langle x' + x'', y' + y'' \rangle \tag{23}$$

$$= \langle x \cdot x' + x \cdot x'', x \cdot y' + x \cdot y'' + y \cdot x' + y \cdot x'' \rangle \quad (24)$$

$$= \langle x \cdot x', x \cdot y' + y \cdot x' \rangle \oplus \langle x \cdot x'', x \cdot y'' + y \cdot x'' \rangle \quad (25)$$

$$= (\langle x, y \rangle \otimes \langle x', y' \rangle) \oplus (\langle x, y \rangle \otimes \langle x'', y'' \rangle)$$
 (26)

$$(\langle x, y \rangle \oplus \langle x', y' \rangle) \otimes \langle x'', y'' \rangle = \langle x + x', y + y' \rangle \otimes \langle x'', y'' \rangle$$

$$= \langle x \cdot x'' + x' \cdot x'', x \cdot y'' + x' \cdot y'' + y \cdot x'' + y' \cdot x'' \rangle$$

$$= \langle x \cdot x'', x \cdot y'' + y \cdot x'' \rangle \oplus \langle x' \cdot x'', x' \cdot y'' + y' \cdot x'' \rangle$$

$$= (\langle x, y \rangle \otimes \langle x'', y'' \rangle) \oplus (\langle x', y' \rangle \otimes \langle x'', y'' \rangle)$$

$$= (\langle x, y \rangle \otimes \langle x'', y'' \rangle) \oplus (\langle x', y' \rangle \otimes \langle x'', y'' \rangle)$$

$$(27)$$

$$= \langle x \cdot x'' + x' \cdot x'', x \cdot y'' + x' \cdot y'' + y \cdot x'' + y' \cdot x'' \rangle$$

$$= \langle x \cdot x'', x \cdot y'' + y \cdot x'' \rangle \oplus \langle x' \cdot x'', x' \cdot y'' + y \cdot x'' \rangle$$

$$= (\langle x, y \rangle \otimes \langle x'', y'' \rangle) \oplus (\langle x', y' \rangle \otimes \langle x'', y'' \rangle)$$

$$= (\langle x, y \rangle \otimes \langle x'', y'' \rangle) \oplus (\langle x', y' \rangle \otimes \langle x'', y'' \rangle)$$

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$$= (\langle x, y \rangle \otimes \langle x'', y'' \rangle) \oplus (\langle x', y' \rangle \otimes \langle x'', y'' \rangle)$$

• Multiplication by **0** annihilates $\mathbb{R} \times \mathbb{R}$:

$$\mathbf{0} \otimes \langle x, y \rangle = \langle 0, 0 \rangle \otimes \langle x, y \rangle \tag{31}$$

$$= \langle 0 \cdot x, 0 \cdot y \rangle \tag{32}$$

$$= \langle 0, 0 \rangle \tag{33}$$

$$= 0 \tag{34}$$

$$= \langle 0, 0 \rangle \tag{35}$$

$$= \langle x \cdot 0, y \cdot 0 \rangle \tag{36}$$

$$= \langle x, y \rangle \otimes \langle 0, 0 \rangle \tag{37}$$

$$= \langle x, y \rangle \otimes \mathbf{0} \tag{38}$$

b) Our initial graph looks like??.

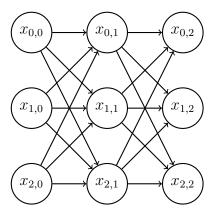


Figure 1: The initial graph

Where the columns represent the words in \mathbf{w} and the rows represent different tags. In this non lifted graph, our forward propagation will yield the following values, when using the score functions as edge weights in the graph:

$$z_{i,j} = \sum_{k=0}^{T} (z_{k,j-1} \cdot \text{score}(x_{k,j-1}, x_{i,j}, \mathbf{w}))$$
(39)

Algorithm 1: Forward pass

- 1 $\beta(\mathbf{w}, t_0) = 1$
- 2 for $n=1 \rightarrow N$ do
- 3 $\beta(\mathbf{w}, t_n) = \sum_{t_{n-1} \in \mathcal{T}} \exp(\operatorname{score}(\langle t_n, t_{n-1} \rangle, \mathbf{w})) \otimes \beta(\mathbf{w}, t_{n-1})$
- 4 end

Where we used the algorithm from the script: When we now lift the CRF into the expectation semiring, the forward propagation algorithm changes to:

Algorithm 2: Forward pass

- 1 $\beta(\mathbf{w}, t_0) = \langle 1, 0 \rangle$
- 2 for $n=1 \rightarrow N$ do
- 3 | $\beta(\mathbf{w}, t_n) = \bigoplus_{t_{n-1} \in \mathcal{T}} \langle w, -w \log w \rangle \otimes \beta(\mathbf{w}, t_{n-1})$
- 4 end

Where $w = \exp(\operatorname{score}(\langle t_n, t_{n+1} \rangle, \mathbf{w})).$

We want to show that the result of the forward propagation lifted in the semiring is the same as the unnormalized Entropy:

$$H_u(T_w) = -\sum_{\mathbf{t} \in \mathcal{T}^N} \exp(score_{\theta}(\mathbf{t}, \boldsymbol{w})) score_{\theta}(\mathbf{t}, \boldsymbol{w})$$
(40)

(41)

We show this by induction