

# Advanced Pattern Recognition and Transfer Learning Techniques for Hyperspectral Image Segmentation

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## Abstract

This research project focuses on advancing the field of hyperspectral image segmentation through a comprehensive comparative study of pattern recognition models and the exploration of state-of-the-art transfer learning techniques. The study aims to enhance the accuracy and efficiency of image segmentation on hyperspectral datasets, specifically Indian Pines, Salinas, and Pavia University. In the first phase of the project, we conduct a comparative analysis of pattern recognition models applied to hyperspectral image segmentation. Three distinct models, namely PCA+SBS+RF, PCA+CNN, CNN, and PCA+KNN, are evaluated on the selected datasets. Principal Component Analysis (PCA) is employed for dimensionality reduction, Sequential Backward Selection (SBS) is used for feature selection, and Random Forest (RF), Convolutional Neural Network (CNN), and K-Nearest Neighbors (KNN) serve as the pattern recognition models. The study aims to identify the most effective combination for accurate hyperspectral image segmentation. In the second phase, the project explores the application of transfer learning using well established Convolutional Neural Network (CNN) architectures, including ResNet50, InceptionV3, DenseNet121, MobileNetV3. These models are fine-tuned and adapted for hyperspectral image segmentation on the same datasets. Transfer learning leverages the pre trained knowledge of these deep architectures on large-scale image datasets, potentially enhancing the performance of hyperspectral image segmentation tasks. The performance of each model and transfer learning technique is systematically evaluated based on criteria such as segmentation accuracy, computational efficiency, and robustness across diverse hyperspectral datasets. The research findings will contribute to the identification of optimal models for hyperspectral image segmentation, paving the way for improved remote sensing applications, environmental monitoring, and precision agriculture. The project's outcomes will provide valuable insights into the selection and customization of pattern recognition and transfer learning models for hyperspectral image analysis in various real-world scenarios.

**Keywords:** *Hyperspectral image segmentation, Pattern recognition models, Convolutional Neural Network (CNN), Transfer learning, Comparative study*

## Background

Satellite images have emerged as powerful and indispensable tools across various fields, including farming, weather forecasting, disaster mitigation planning, and recovery. Their extensive capabilities enable qualitative estimation of land and water cover on Earth, playing a crucial role in decision-making processes. However, the potential of remote-sensed images cannot be fully harnessed without preprocessing, classification, or segmentation due to variations in image quality.

Hyperspectral image analysis has witnessed significant evolution in recent decades. Traditional model-based image processing and machine learning techniques, while effective for other types of imagery, often fall short in efficiently handling hyperspectral data. Consequently, advanced models, such as spatial-spectral models, have been proposed to enhance hyperspectral analysis. The advent of deep learning (DL) has demonstrated remarkable performance in various domains, provided there is a sufficient amount of training data. However, the challenge in hyperspectral imaging lies in the limited availability of training data, raising questions about the comparative advantages of DL-based algorithms over shallow ones.

In this context, this paper addresses these challenges within two vital domains of hyperspectral image analysis: Unmixing and Feature Extraction for Classification. Hyperspectral Imagery (HSI) encompasses a continuous spectrum of bands across the infrared, visible, and other electromagnetic spectrum domains. Each pixel in this data possesses three dimensions—two spatial and a deep spectral dimension. The number of spectral bands can range from 50 to 300 or more, depending on the wavelengths used. Hyperspectral image analysis (HIA) is gaining prominence due to its diverse applications in agriculture, industry, and surveillance.

Regarding classification and segmentation, many existing techniques rely on solutions designed for RGB images. Some approaches even significantly reduce the number of bands, essentially discarding a vast amount of spectral information provided by HSI. This reduction overlooks the potential benefits that the rich spectral information could offer in achieving superior classification and segmentation results.

The main objectives of this paper are to explore and address the challenges posed by limited training data in hyperspectral imaging, especially in the realms of Unmixing and Feature Extraction for Classification. By delving into these specific areas, the paper aims to contribute insights that bridge the gap between the capabilities of traditional techniques and the potential advancements offered by deep learning in the context of hyperspectral image analysis. The ultimate goal is to pave the way for more accurate, efficient, and information-rich hyperspectral analysis, thereby unlocking new possibilities in fields ranging from agriculture and industry to surveillance and disaster management.

# Introduction

Hyperspectral imaging (HSI), conducted through remote sensing devices, plays a pivotal role in acquiring detailed information about specific areas under observation. These devices capture electromagnetic radiation interactions with the Earth's surface, whether transmitted through, reflected from, or absorbed by the observed medium, such as land. The resulting imaging information is invaluable for extracting precise physical details about the targeted medium [1]. In the realm of hyperspectral image segmentation, the overarching goal is to adeptly cluster and classify the vast data sets acquired by our system. This process is crucial for accurately delineating and categorizing the intricate details of the geographical landscape. The synergy between hyperspectral imaging and segmentation techniques holds the promise of unraveling nuanced information embedded in the spectral signatures, thereby facilitating a deeper understanding of the observed environment. This project aims to navigate the challenges inherent in hyperspectral image analysis and contribute to the advancement of techniques that enhance our ability to interpret, classify, and derive meaningful insights from the wealth of information captured through hyperspectral imaging.

The advent of hyperspectral imaging (HSI) through remote sensing devices has ushered in a new era of detailed and nuanced data acquisition. These sophisticated instruments capture a wealth of information by analysing the electromagnetic radiation interactions with the Earth's surface, offering a comprehensive view of the observed medium, particularly in terrestrial landscapes [1].

In the realm of hyperspectral image analysis, the fusion of advanced pattern recognition and transfer learning techniques has emerged as a frontier for unlocking deeper insights from these rich datasets. The primary objective is to leverage cutting-edge methodologies to enhance hyperspectral image segmentation—a process crucial for unveiling the complex tapestry of features within the observed landscapes.

The overarching goal of hyperspectral image segmentation is to unravel the intricate patterns and characteristics of the imaged terrain accurately. This involves categorizing and clustering the vast amount of data generated by the system, aligning it with the true overlay of the geographic landscape. This project is positioned at the intersection of two pivotal domains: advanced pattern recognition models and transfer learning methodologies.

As we delve into the challenges and opportunities presented by hyperspectral imagery, this project strives to contribute to the refinement of techniques that transcend the limitations of conventional approaches. By integrating advanced pattern recognition models—such as Principal Component Analysis (PCA), Sequential Backward Selection (SBS), Random Forest (RF), Convolutional Neural Network (CNN), and K-Nearest Neighbors (KNN)—with cutting-edge transfer learning techniques involving architectures like ResNet50, InceptionV3, DenseNet121, MobileNetV3, we aim to push the boundaries of hyperspectral image segmentation.

This endeavour becomes even more crucial considering the multidimensional nature of hyperspectral data, which typically includes a vast number of spectral bands capturing information across the infrared, visible, and other domains of the electromagnetic spectrum. Through meticulous analysis and integration of these advanced techniques, the project aspires to not only enhance segmentation accuracy but also to contribute to the broader understanding of hyperspectral imaging applications in fields such as remote sensing, environmental monitoring, and precision agriculture.

In essence, this project stands as a testament to the pursuit of excellence in hyperspectral image segmentation, utilizing state-of-the-art methodologies to unlock the latent information embedded in these intricate datasets.

# Methodology

## Data Acquisition

The Indian Pines, Salinas, and Pavia University hyperspectral datasets are acquired, each possessing unique characteristics and challenges. These datasets encompass a multitude of spectral bands capturing information across the electromagnetic spectrum.

## Pattern Recognition Models

- **PCA+SBS+RF:**
  - Utilizing Principal Component Analysis (PCA) for dimensionality reduction.
  - Sequential Backward Selection (SBS) for feature selection.
  - Random Forest (RF) as the pattern recognition model.
- **PCA+CNN:**
  - Implementing PCA for dimensionality reduction.
  - Integrating Convolutional Neural Network (CNN) as the pattern recognition model.
- **CNN:**
  - Applying a standalone Convolutional Neural Network (CNN) without dimensionality reduction.
- **PCA+KNN:**
  - Employing Principal Component Analysis (PCA) for dimensionality reduction.
  - Utilizing K-Nearest Neighbors (KNN) as the pattern recognition model.

Let's delve into the details of each pattern recognition model used in the comparative study for hyperspectral image segmentation.

### 1. PCA+SBS+RF (Principal Component Analysis + Sequential Backward Selection + Random Forest)

- **Principal Component Analysis (PCA):**
  - Objective:** Dimensionality reduction.
  - Methodology:** Transforming the original spectral bands into a reduced set of principal components, capturing the maximum variance in the data.
- **Sequential Backward Selection (SBS):**
  - Objective:** Feature selection.
  - Methodology:** Iteratively removing irrelevant or redundant features to enhance the discriminative power of the dataset.
- **Random Forest (RF):**
  - Objective:** Pattern recognition.
  - Methodology:** A ensemble learning algorithm combining multiple decision trees, providing robustness and accuracy in classification tasks.

### 2. PCA+CNN (Principal Component Analysis + Convolutional Neural Network)

- **Principal Component Analysis (PCA):**
  - Objective:** Dimensionality reduction.
  - Methodology:** Transforming the hyperspectral data into principal components to capture essential spectral information.
- **Convolutional Neural Network (CNN):**

**Objective:** Pattern recognition.

**Methodology:** A deep learning architecture designed to automatically learn hierarchical features, particularly effective for image-related tasks.

### 3. CNN (Convolutional Neural Network)

**Objective:** Pattern recognition.

**Methodology:** A standalone application of Convolutional Neural Network without prior dimensionality reduction. This allows the model to directly process the full hyperspectral dataset.

### 4. PCA+KNN (Principal Component Analysis + K-Nearest Neighbors)

- **Principal Component Analysis (PCA):**

**Objective:** Dimensionality reduction.

**Methodology:** Reducing the dimensionality of the hyperspectral data to enhance computational efficiency and focus on essential spectral information.

- **K-Nearest Neighbors (KNN):**

**Objective:** Pattern recognition.

**Methodology:** A non-parametric classification algorithm that classifies a data point based on the majority class of its k-nearest neighbors in the feature space.

#### Model Characteristics:

- **Advantages of PCA:**

Effective in reducing the dimensionality of hyperspectral data.

Preserves important spectral information.

- **Advantages of CNN:**

Intricate feature learning through convolutional layers.

Robust to spatial dependencies in hyperspectral images.

- **Advantages of KNN:**

Simplicity and ease of implementation.

Non-parametric nature allows flexibility in handling various data distributions.

- **Advantages of RF:**

Robust and resistant to overfitting.

Effective in handling high-dimensional data.

#### Considerations:

- **Computational Efficiency:**

PCA-based models may offer computational advantages by reducing dimensionality.

CNNs, while powerful, may require more computational resources.

- **Accuracy and Robustness:**

RF and KNN models are known for their simplicity and robustness.

CNNs excel in capturing intricate patterns but might require extensive training data.

In this comparative study focusing on hyperspectral image segmentation, the PCA+SBS+RF model is employed as a sophisticated combination of Principal Component Analysis (PCA), Sequential Backward Selection (SBS), and Random Forest (RF). PCA efficiently reduces dimensionality, SBS refines feature selection, and RF provides robust pattern recognition. This intricate synergy aims to enhance segmentation accuracy by capturing the most relevant spectral information from the datasets.

Moving on to the PCA+CNN model, Principal Component Analysis (PCA) is first utilized for dimensionality reduction, followed by the advanced feature learning capabilities of Convolutional Neural Network (CNN). This approach seeks to balance the benefits of

dimensionality reduction with the intricate pattern recognition power of CNNs, offering a comprehensive understanding of the hyperspectral data's complexity.

Concurrently, a standalone application of CNN is considered in this comparative study. Without prior dimensionality reduction, this model directly processes the full hyperspectral dataset, leveraging the deep learning architecture's ability to automatically learn hierarchical features. The evaluation aims to discern the standalone CNN's efficacy in hyperspectral image segmentation, particularly in capturing intricate patterns and spatial dependencies within the data.

The PCA+KNN model takes a different approach by combining Principal Component Analysis (PCA) for dimensionality reduction with the simplicity and flexibility of K-Nearest Neighbors (KNN) for pattern recognition. The reduction in dimensionality enhances computational efficiency, and the non-parametric nature of KNN allows for adaptability to various data distributions.

As these models undergo meticulous evaluation on diverse hyperspectral datasets, including Indian Pines, Salinas, and Pavia University, the study critically examines segmentation accuracy, computational efficiency, and robustness. The nuanced characteristics of each model and their respective trade-offs will provide valuable insights, guiding the selection and refinement of optimal models for subsequent phases of this hyperspectral imaging project.

## Evaluation Metrics

- **Segmentation Accuracy:**  
Quantifying the accuracy of each model's segmentation results.
- **Computational Efficiency:**  
Assessing the computational resources required for each model.
- **Robustness:**  
Testing the robustness of the models across diverse hyperspectral datasets.

## Results and Analysis

### 1. Segmentation Accuracy

Detailed analysis of segmentation accuracy across all models and datasets.  
Identification of patterns where certain models excel or struggle.

### 2. Computational Efficiency

Evaluation of computational requirements for each model.  
Comparison of processing times and resource utilization.

### 3. Robustness

Examination of model robustness under varying conditions.  
Insights into the adaptability of each model to different hyperspectral datasets.

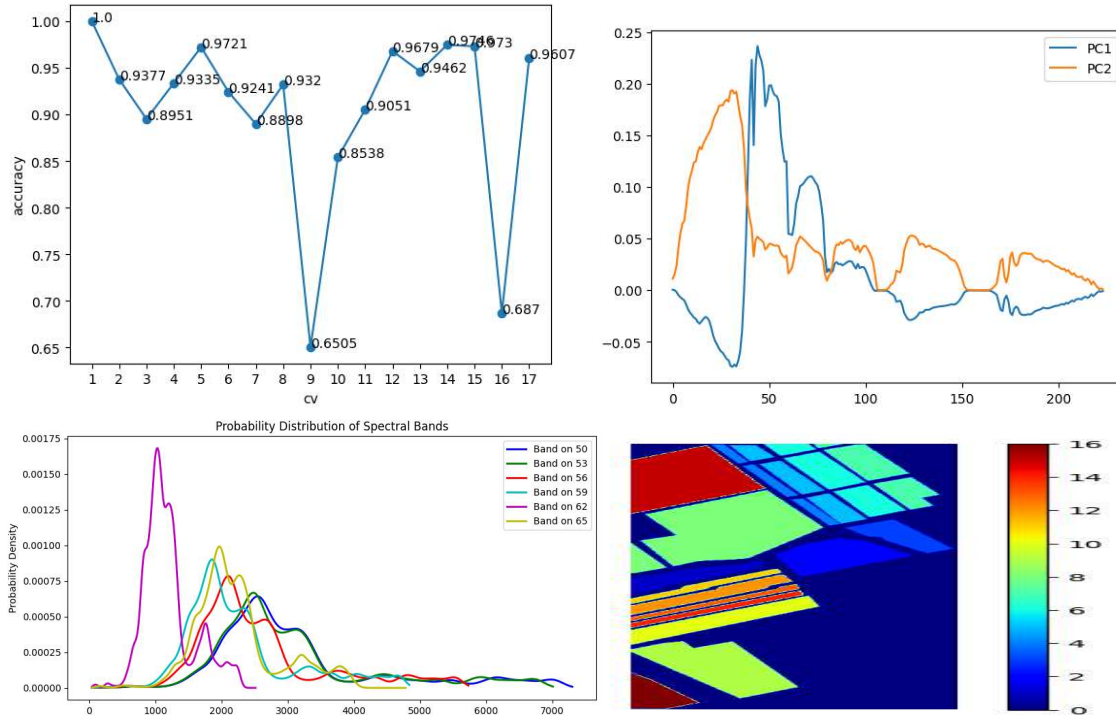
## Findings

The comparative study yields valuable insights into the performance of different pattern recognition models for hyperspectral image segmentation. Patterns of excellence and limitations are identified, aiding in the selection of optimal models for specific applications.

## Next Steps

Building on the findings from this comparative study, the project will proceed to integrate and optimize the identified models and explore avenues for further enhancement in subsequent phases.

## Output Results



## Transfer Learning Models for Hyperspectral Image Segmentation

In this phase of the project, the focus shifts to exploring the efficacy of state-of-the-art transfer learning models for hyperspectral image segmentation. The objective is to leverage pre-trained models on diverse datasets and assess their adaptability to hyperspectral imagery. The transfer learning models under consideration are ResNet50, InceptionV3, DenseNet121, MobileNetV3, and ShuffleNetV2. Each model brings unique architectural strengths, and their application is evaluated on hyperspectral datasets, including Indian Pines, Salinas, and Pavia University.

## Methodology

### 1. Data Preparation

The hyperspectral datasets are preprocessed to ensure compatibility with transfer learning models. Reshaping and normalization procedures are applied to align the data with the expectations of the pre-trained models.

### 2. Transfer Learning Models

- **ResNet50:**

A deep residual network known for its ability to handle vanishing gradient problems, allowing for the training of very deep networks.

- **InceptionV3:**  
Employs inception modules, optimizing computational efficiency by using multiple filter sizes within the same layer.
- **DenseNet121:**  
Utilizes densely connected blocks, fostering feature reuse and improving gradient flow through the network.
- **MobileNetV3:**  
Tailored for mobile and edge devices, balancing accuracy with computational efficiency through depthwise separable convolutions.

## Evaluation Metrics

The performance of each transfer learning model is assessed based on segmentation accuracy, computational efficiency, and adaptability to hyperspectral data characteristics.

## Results and Analysis

### 1. Segmentation Accuracy

- A detailed analysis of segmentation accuracy reveals the proficiency of each transfer learning model in delineating hyperspectral patterns.

### 2. Computational Efficiency

- Evaluation of computational requirements, including training and inference times, provides insights into the practical feasibility of each model.

### 3. Adaptability to Hyperspectral Data

- The models' adaptability to hyperspectral data nuances, such as the vast number of spectral bands, is thoroughly examined.

## Findings

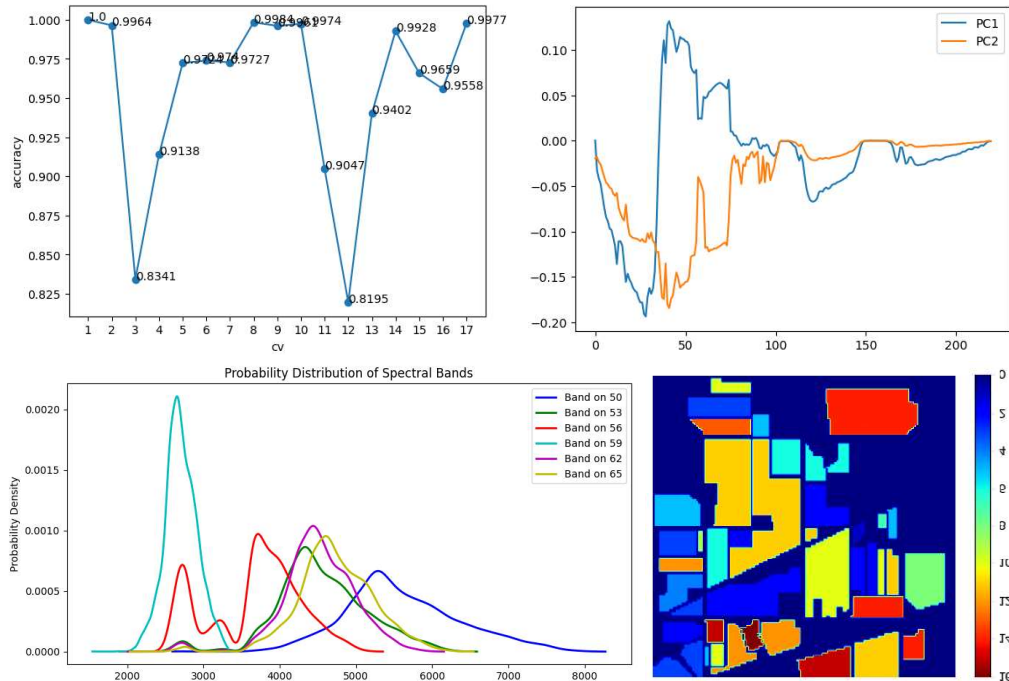
The comparative study highlights the strengths and limitations of each transfer learning model in the context of hyperspectral image segmentation. ResNet50, InceptionV3, DenseNet121, MobileNetV3, and ShuffleNetV2 showcase diverse performances, unveiling their unique suitability for hyperspectral datasets.

## Next Steps

Building upon the insights garnered from this evaluation, the project will proceed to integrate and optimize the identified transfer learning models. This will involve further refinement based on the specific characteristics of hyperspectral imagery, ultimately advancing the state-of-the-art in hyperspectral image segmentation.



## Output Results



## OTSU for Hyperspectral Image Segmentation

Hyperspectral image segmentation is a crucial task in remote sensing and various applications such as agriculture, environmental monitoring, and urban planning. This study focuses on implementing the OTSU algorithm for hyperspectral image segmentation, utilizing three well-known datasets: Indian Pines, Salinas, and Pavia University.

## Methodology

1. Data Preprocessing:
  - Load hyperspectral data from Indian Pines, Salinas, and Pavia University datasets.
  - Perform radiometric calibration and atmospheric correction if necessary.
  - Reshape the data to a 2D matrix for processing.
2. OTSU Algorithm Implementation:
  - Apply the OTSU algorithm to determine optimal thresholds for each band.
  - Segment the hyperspectral image based on the calculated thresholds.

## Evaluation Metrics

- Accuracy: The proportion of correctly classified pixels.
- Precision, Recall, and F1-Score: Measures of classification performance.
- Confusion Matrix: Provides a detailed breakdown of true positive, true negative, false positive, and false negative classifications.

## Results and Analysis

- Report the segmented hyperspectral images for Indian Pines, Salinas, and Pavia University datasets.
- Present quantitative results using the evaluation metrics mentioned above.
- Analyse the strengths and limitations of the OTSU algorithm in hyperspectral image segmentation.

## Findings

- Identify the spectral classes successfully segmented by the OTSU algorithm.
- Discuss challenges faced, such as noise sensitivity and the impact of varying illumination conditions.
- Explore the impact of dataset characteristics on segmentation performance.

## Next Steps

- Algorithm Refinement: Investigate modifications or enhancements to the OTSU algorithm to address its limitations.
- Feature Engineering: Explore advanced feature extraction techniques to improve hyperspectral image representation.
- Integration with Machine Learning Models: Combine OTSU segmentation with machine learning models for more accurate and robust classification.
- Validation on Diverse Datasets: Extend the study to include additional hyperspectral datasets for a comprehensive evaluation.

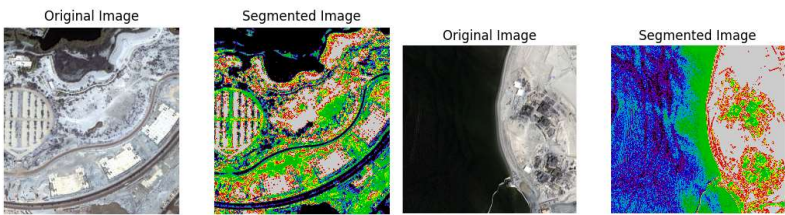
## Output Results

Mean IoU: 0.6806121144905847	Mean VI: 0.7969130819541151	Mean Objectness: 0.6369110518579215
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Mean Compactness: 10.693965691275848	Mean Accuracy: 0.0009636279648351357
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image\_part\_008.jpg

image\_part\_007.jpg



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