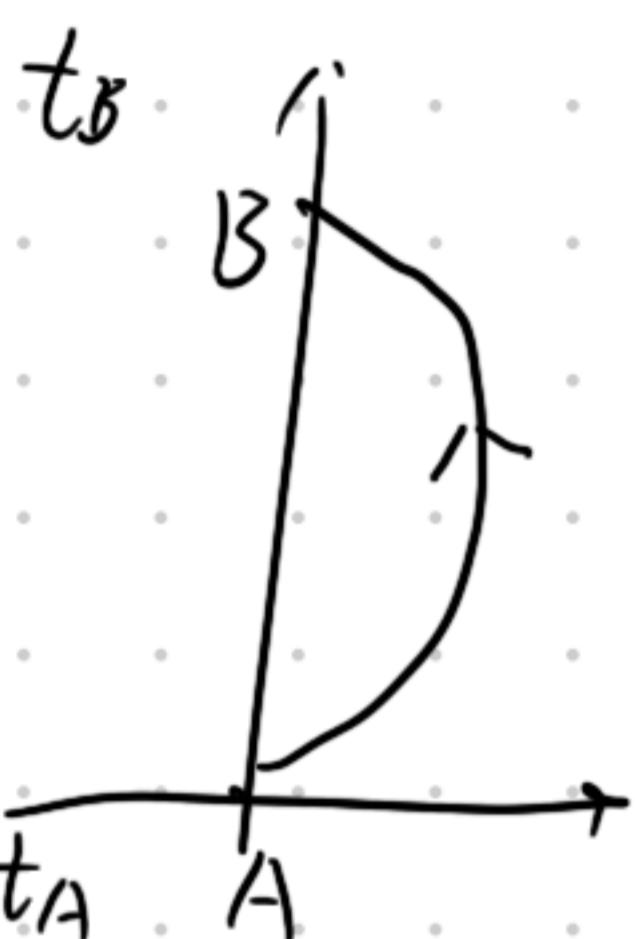


2. SR-kinematics

$$ds^2 = \eta_{\mu\nu} dx^\mu dx^\nu \quad x^\mu = (t, x, y, z) \quad \eta_{\mu\nu} = \text{diag}(-, +, +, +) \quad dt^2 = -ds^2$$

timelike curve: $\delta\tau = \int_A^B \sqrt{-ds^2} = \int_A^B \sqrt{-\eta_{\mu\nu} \frac{dx^\mu}{d\lambda} \frac{dx^\nu}{d\lambda}} d\lambda$



$$\delta\tau = \tau_{AB} = \int_A^B \sqrt{dt^2 - dr^2} < \int_A^B dt = t_B - t_A \Rightarrow \text{动钟变慢}$$



4-vector $a^\mu = (a^0, a^1, a^2, a^3)$

$$\hat{e}_\mu = (\hat{e}_0, \hat{e}_1, \hat{e}_2, \hat{e}_3) \quad \vec{a} = a^\mu \hat{e}_\mu$$

$$\hat{e}_\mu \cdot \hat{e}_\nu = \eta_{\mu\nu} \quad \vec{a} \cdot \vec{b} = a^\mu b^\nu \eta_{\mu\nu} = a^\mu b_\mu = a_\mu b^\mu$$

Lorentz 变换下: $x'^\mu = M^\mu_\nu x^\nu \quad (a_\mu = \eta_{\mu\nu} a^\nu)$

(1) 世界线, 选择参数 $\lambda = \tau$. 4-velocity $u^\mu = \frac{dx^\mu}{d\tau} \quad (u^\mu u_\mu = -1)$

4-momentum $p^\mu = mu^\mu = (E, \vec{p}) \quad p^\mu p_\mu = -m^2 = -E^2 + \vec{p}^2$

$$M^\mu_\nu = \begin{pmatrix} \gamma & -\gamma v & 0 & 0 \\ -\gamma v & \gamma & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad a^\mu = \frac{d^2 x^\mu}{d\tau^2}$$

4-force $f^\mu = ma^\mu = m \frac{d^2 x^\mu}{d\tau^2}$

free particle: $S = \int m dt = m \int_{\lambda_A}^{\lambda_B} d\lambda \sqrt{-\eta_{\mu\nu} \frac{dx^\mu}{d\lambda} \frac{dx^\nu}{d\lambda}}$

$$\lambda \rightarrow \tau \Rightarrow \frac{d^2 x^\mu}{d\tau^2} = 0$$

E-L 方程 $\frac{\partial L}{\partial x^\nu} - \frac{d}{d\lambda} \left(\frac{\partial L}{\partial (dx^\nu/d\lambda)} \right)$

(2) massless particle $\Delta\tau=0$ $x^\mu(\lambda)=b^\mu\lambda$ $b^\mu=(1, \vec{b})$ $|\vec{b}|^2=1$ \vec{b} : "光速方向"

$$u^\mu \triangleq \frac{dx^\mu}{d\lambda} \quad u^\mu = b^\mu \quad u^\mu u_\mu = 0 \quad \frac{du^\mu}{d\lambda} = 0 \quad (\alpha^\mu = 0)$$

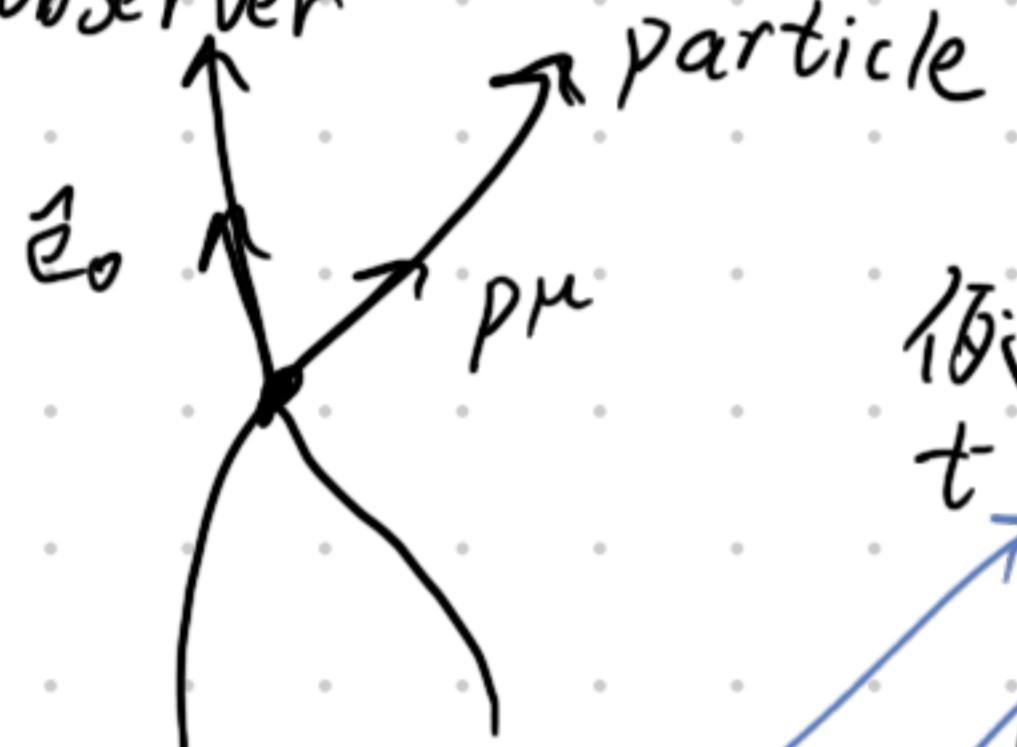
$$P^\mu \triangleq (E, \vec{p}) \text{ or } u^\mu \quad P^\mu P_\mu = 0 \quad E^2 = |\vec{p}|^2 \quad P^\mu = \hbar k^\mu \quad \underline{\hbar=1} \quad k^\mu \Rightarrow \text{doppler effect}$$

(3) moving (massive) observer 标架 $\hat{e}_0, \hat{e}_1, \hat{e}_2, \hat{e}_3$ $\hat{e}_0 = \hat{u}_{\text{obs}}$ ($\hat{u}_{\text{obs}} \cdot \hat{u}_{\text{obs}} = \hat{e}_0 \cdot \hat{e}_0 = -1$)

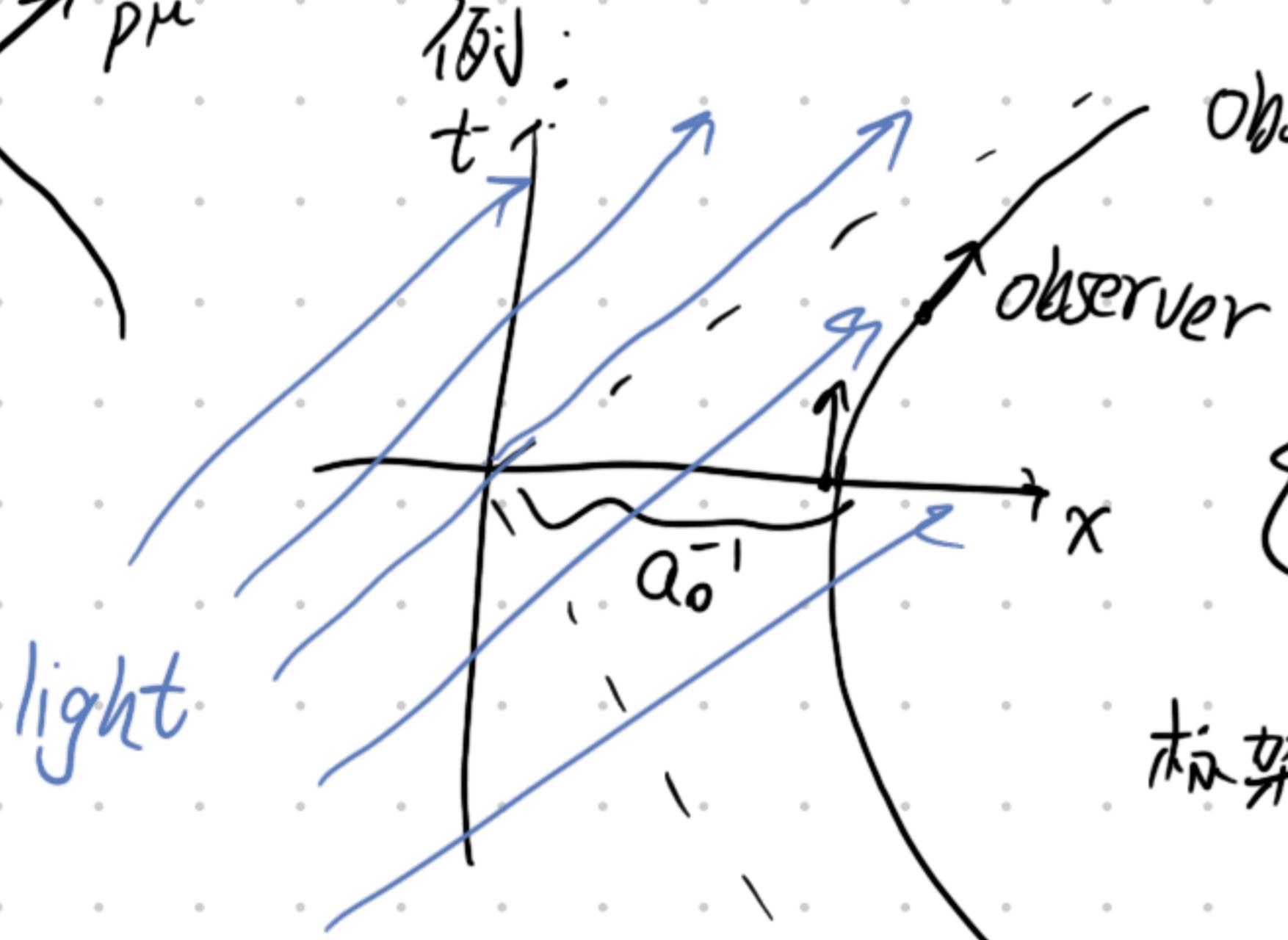
其他标架: $\hat{e}_i \cdot \hat{e}_0 = 0$ $\hat{e}_i \cdot \hat{e}_j = \delta_{ij}$

计算 moving observer 观察到的物理量: 作用于该坐标系方向 $e.g. E = \cancel{\beta} \cdot \hat{e}_0 \quad p^i = \cancel{\beta} \cdot \hat{e}_i$

moving observer



例:



observer 在自身瞬时静止且以加速度 a_0 运动 $\left\{ \begin{array}{l} t(\tau) = a_0^{-1} \sinh(a_0 \tau) \\ x(\tau) = a_0^{-1} \cosh(a_0 \tau) \end{array} \right.$

$$\left\{ \begin{array}{l} u^\mu = \frac{dx^\mu}{d\tau} = (\cosh(a_0 \tau), \sinh(a_0 \tau), 0, 0) \\ \alpha^\mu = \frac{du^\mu}{d\tau} = (a_0 \sinh(a_0 \tau), a_0 \cosh(a_0 \tau), 0, 0) \quad \| \alpha \|^2 = a_0 \end{array} \right.$$

$$\text{标架 } \hat{e}_0(\tau) = (\cosh(a_0 \tau), \sinh(a_0 \tau), 0, 0) \quad \hat{e}_2(\tau) = (0, 0, 1, 0) \\ \hat{e}_1(\tau) = (\sinh(a_0 \tau), \cosh(a_0 \tau), 0, 0) \quad \hat{e}_3(\tau) = (0, 0, 0, 1)$$

dopple effect: $k^\mu = (\omega_0, \vec{w}, 0, 0)$

$$\omega = \omega_0 e^{-a_0 \tau}$$

observer 看来 $\omega = -\hat{k} \cdot \hat{e}_0 = \omega_0 [\cosh(a_0 \tau) - \sinh(a_0 \tau)]$

$\tau < 0 : \omega > \omega_0$ blueshift

$\tau > 0 : \omega < \omega_0$ redshift

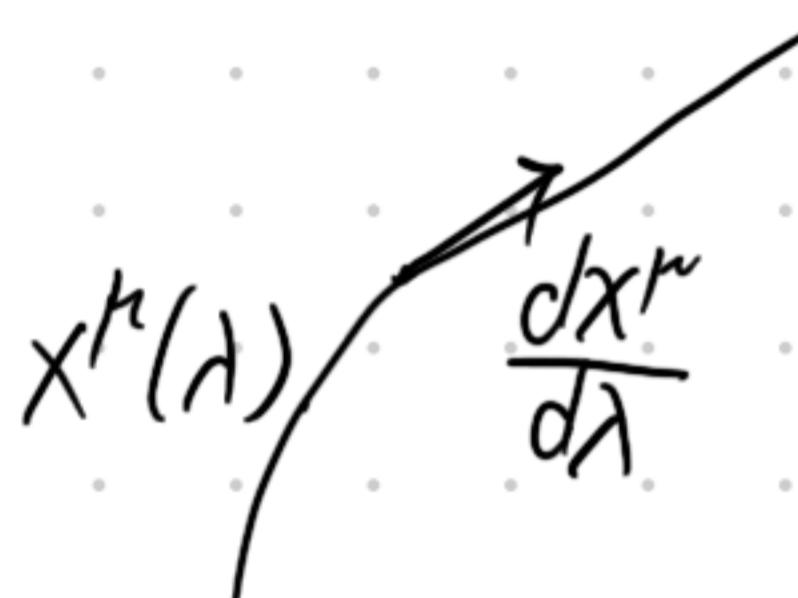
$\tau = 0 : \omega = \omega_0$

event horizon (事件视界): observer 无法观察到 $t > 0$ 时刻从原点 $x=0$ 处发出的光.

3. tensor in SR $(\alpha^\mu_j, \eta_{\mu\nu})$ 4-vector: $\bar{a} = a^\mu \hat{e}_\mu$ invariant under $x^\mu \rightarrow x^{\mu'}$
 components

General definition of vectors

any function $f(x^\mu(\lambda)) \longrightarrow \frac{df}{d\lambda} = \frac{\partial f}{\partial x^\mu} \frac{dx^\mu}{d\lambda} = t^\mu \frac{\partial f}{\partial x^\mu}$



* Define the directional derivative $\vec{t} = t^\mu \frac{\partial}{\partial x^\mu}$ acting on f $\vec{t}: \mathcal{F} \rightarrow \mathbb{R}$.

* A general vector can be defined $\bar{a} = a^\mu \frac{\partial}{\partial x^\mu}$ basis: $\hat{e}_\mu = \frac{\partial}{\partial x^\mu}$

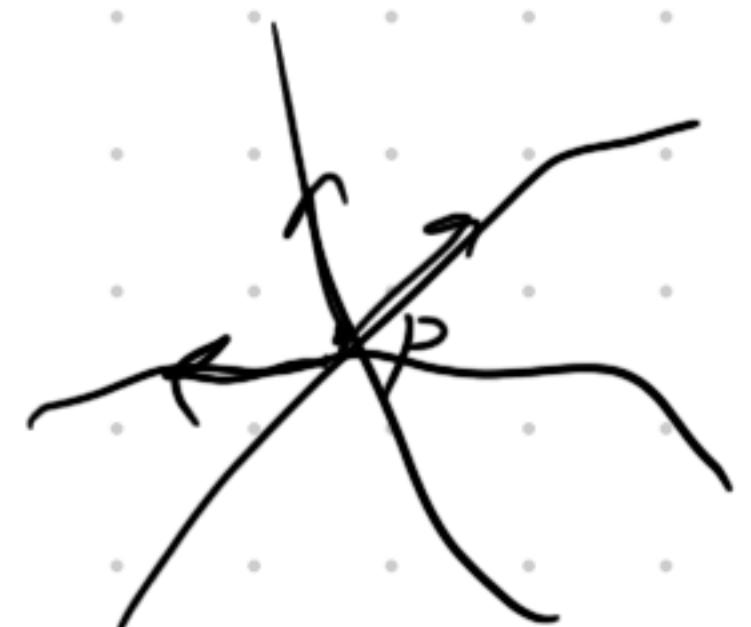
* changing of coord, basis $x^\mu \rightarrow x'^\mu$ $\bar{a} = a^\mu \frac{\partial}{\partial x^\mu} = a^\mu \frac{\partial x'^\nu}{\partial x^\mu} \frac{\partial}{\partial x'^\nu}$

transformation of a^μ : $a'^\nu = \frac{\partial x'^\nu}{\partial x^\mu} a^\mu$ $\hat{e}_\mu = \frac{\partial x'^\nu}{\partial x^\mu} \hat{e}'_\nu$ $\hat{e}'_\mu = \frac{\partial x^\nu}{\partial x'^\mu} \hat{e}_\nu$

e.g. Lorentz: $x'^\mu = \Lambda^\mu_\nu x^\nu \Rightarrow a'^\mu = \Lambda^\mu_\nu a^\nu$ for \hat{e}_ν $\hat{e}'_\mu = \Lambda_\mu^\nu \hat{e}_\nu$

(Λ_μ^ν is the inverse of Λ^μ_ν : $\Lambda_\mu^\rho \Lambda^\mu_\nu = \delta_\nu^\rho$) $\parallel \frac{\partial x^\nu}{\partial x'^\mu}$

* Tangent space T_p : all possible tangent vectors of curves at a point $p \in \mathbb{R}^{1,3}$
 (切空间) (linear space with basis $\hat{e}_\mu = \frac{\partial}{\partial x^\mu}$)



* Dual vector (1-form) $\tilde{\omega}$: linear map $\vec{a} \mapsto \tilde{\omega}(\vec{a}) \in \mathbb{R}$ $\tilde{\omega} \in \text{Hom}(\bar{T}_p, \mathbb{R}) = T_p^*$ is another vector space. (对偶矢量) (1-形式) (cotangent space) (余切空间)

$$\tilde{\omega}(a\vec{v}_1 + b\vec{v}_2) = a\tilde{\omega}(\vec{v}_1) + b\tilde{\omega}(\vec{v}_2) \quad (a\tilde{\omega}_1 + b\tilde{\omega}_2)(\vec{v}) = a\tilde{\omega}_1(\vec{v}) + b\tilde{\omega}_2(\vec{v})$$

Basis of dual vector: ∂^μ $\partial^\nu(\vec{e}_\mu) = \delta_\mu^\nu$ $\tilde{\omega} = \omega_\mu \partial^\mu$ $\tilde{\omega}(\vec{v}) = \omega_\mu \partial^\mu(v^\nu \vec{e}_\nu) = \omega_\mu v^\mu$

e.g. gradient of a scalar $f(x^\mu)$ $\frac{df}{d\lambda} = t^\mu \frac{\partial f}{\partial x^\mu}$ $df = dx^\mu \frac{\partial f}{\partial x^\mu}$ $df: \bar{T} \rightarrow t^\mu \frac{\partial f}{\partial x^\mu}$

basis $\partial^\mu = dx^\mu$ $dx^\mu(\frac{\partial}{\partial x^\nu}) = \delta_\nu^\mu$



T_p^* : all linear map $\bar{T}_p \rightarrow \mathbb{R}$ $\dim(\bar{T}_p) = \dim(T_p^*) = \dim(\mathbb{R}^{1,3})$

T_p : all linear maps $T_p^* \rightarrow \mathbb{R}$

* (k, l) -tensor a multi-linear map $\bar{T}: \underbrace{\bar{T}_p^* \otimes \bar{T}_p^* \otimes \dots \otimes \bar{T}_p^*}_{k} \otimes \underbrace{\bar{T}_p \otimes \dots \otimes \bar{T}_p}_{l} \rightarrow \mathbb{R}$

Basis $\vec{e}_\mu, \partial^\mu$ $\bar{T} = T^{\mu_1 \dots \mu_k}$

$$_{\nu_1 \dots \nu_l} \vec{e}_\mu \otimes \dots \otimes \vec{e}_{\mu_k} \otimes \partial^{\nu_1} \otimes \dots \otimes \partial^{\nu_l}$$

\otimes : tensor-product: def $\bar{T}(\tilde{\omega}^{(1)}, \dots, \tilde{\omega}^{(k)}, \vec{v}^{(1)} \dots \vec{v}^{(l)}) \bar{S}(\tilde{\omega}^{(k+1)}, \dots, \tilde{\omega}^{(k+m)}, \vec{v}^{(l+1)}, \dots, \vec{v}^{(l+n)})$

$$= (\bar{T} \otimes \bar{S}) \underbrace{(\tilde{\omega}^{(1)} \dots \tilde{\omega}^{(k+m)} \vec{v}^{(1)} \dots \vec{v}^{(l+n)})}_{(k+m, l+n)} \xrightarrow{(m, n)}$$

$$(T \otimes S)^{\mu_1 \dots \mu_{k+m}}_{\nu_1 \dots \nu_{l+n}} = T^{\mu_1 \dots \mu_k}_{\nu_1 \dots \nu_l} S^{\mu_{k+1} \dots \mu_{k+m}}_{\nu_{l+1} \dots \nu_{l+n}}$$

under Lorentz transformation

$$T'^{\mu_1 \dots \mu_k}$$

$$\nu_1 \dots \nu_l = T^{\rho_1 \dots \rho_k}$$

$$\sigma_1 \dots \sigma_l \Lambda^{\mu_1}_{\rho_1} \dots \Lambda^{\mu_k}_{\rho_k} \Lambda_{\nu_1}{}^{\sigma_1} \dots \Lambda_{\nu_l}{}^{\sigma_l}$$

* Invariant tensor: invariant under Λ transformation

$$(1) \quad \delta'^{\mu}_{\nu} = \begin{cases} 0 & \mu \neq \nu \\ 1 & \mu = \nu \end{cases} \quad (1,1) \text{ tensor}$$

$$\delta'^{\mu}_{\nu} = \Lambda^{\mu}_{\rho} \Lambda_{\nu}{}^{\sigma} \delta^{\rho}_{\sigma} = \Lambda^{\mu}_{\rho} \Lambda_{\nu}{}^{\rho} = \delta'^{\mu}_{\nu}$$

(2) metric tensor $\eta_{\mu\nu} = \text{diag}(-1, 1, 1, 1)$
(度规张量)

$$\eta'_{\mu\nu} = \Lambda_{\mu}{}^{\rho} \Lambda_{\nu}{}^{\sigma} \eta_{\rho\sigma} = \Lambda_{\mu}{}^{\rho} \eta_{\rho\sigma} (\Lambda_{\sigma}{}^{\nu})^T = \eta_{\mu\nu} \quad (\Lambda \eta \Lambda^T = \eta)$$

Define inverse of $\eta_{\mu\nu}$: $\eta^{\mu\nu}$ $\eta^{\mu\nu} \eta_{\nu\rho} = \delta^{\mu}_{\rho}$

Inner product: $\eta_{\mu\nu} a^{\mu} b^{\nu} = \eta^{\mu\nu} a_{\mu} b_{\nu}$ is a scalar

(3) Levi-Civita tensor (invariant for only $SO(1, 3)$)

$$\epsilon'_{\mu\nu\rho} = \det(\Lambda) \epsilon_{\mu\nu\rho}$$

$$\epsilon_{\mu\nu\rho} = \begin{cases} 1 & \text{even} \\ -1 & \text{odd} \\ 0 & \text{other cases} \end{cases}$$

* Symmetric tensor if $T_{\mu_1 \dots \mu_k} = T_{\sigma(\mu_1) \dots \sigma(\mu_k)}$ (宣读)

e.g. $\eta_{\mu\nu} = \eta_{\nu\mu}$

- { General local coord trans $x^\mu \rightarrow x'^\mu(x)$ (广义协变性, GR)
 Global Lorentz trans: $x^\mu \rightarrow x'^\mu = \Lambda^\mu_\nu x^\nu$ (狭义协变性, SR)

* Symmetrisation of indices

$$\bar{T}_{(\mu_1 \dots \mu_n)} = \frac{1}{n!} \sum_{\sigma \in S_n} T_{\sigma(\mu_1) \dots \sigma(\mu_n)}$$

$$\text{Anti: } T_{[\mu_1 \dots \mu_n]} = \frac{1}{n!} \sum_{\sigma \in S_n} \text{sgn}(\sigma) T_{\sigma(\mu_1) \dots \sigma(\mu_n)}$$

$$(1) X^{(\mu\nu)} Y_{\mu\nu} = X^{(\mu\nu)} Y_{(\mu\nu)}$$

$$(2) T_{\mu\nu\rho\sigma} = T_{[\mu\nu]\rho\sigma} + T_{[\mu\nu]\rho\sigma}$$

4. EM and perfect fluids in tensors (理想流体)

$$\text{Maxwell: } \epsilon^{ijk} \partial_j B_k - \partial_0 E^i = 4\pi j^i \quad \partial_i E^i = 4\pi \rho \quad \epsilon^{ijk} \partial_j E_k + \partial_0 B^i = 0 \quad \partial_i B^i = 0$$

$$* \text{Current 4-vector } J^\mu = (\rho, j^1, j^2, j^3)$$

$$\text{Anti-Sym (2,0)} \quad F^{\mu\nu} \quad F^{0i} = E^i \quad F^{ij} = \epsilon^{ijk} B_k$$

$$\boxed{\begin{aligned} \partial_\mu F^{\mu\nu} &= 4\pi j^\nu \\ \partial_{[\mu} F_{\nu\rho]} &= 0 \end{aligned}}$$

$$F^{\mu\nu} = 2 \partial^{[\mu} A^{\nu]}$$

$$\frac{\partial L}{\partial \bar{U}^i} - \partial_\mu \left(\frac{\partial L}{\partial (\partial_\mu \bar{U}^i)} \right) = 0 \quad L = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + 4\pi A_\mu J^\mu \quad \text{fields are } A_\mu.$$

e.g. perfect fluid 通常 $N^\mu = n U^\mu = (n, nV^1, nV^2, nV^3)$

Energy density $\rho = mn$ $T^{\mu\nu}$: the flux of p^μ across a surface of constant x^ν
静止系下: $T^{00} = \rho$

$$T^{0i} = 0 \quad (\text{理想流体, 无热传导})$$

$$T^{ii} = p_i \quad (\text{压强}) \quad T^{ij} \quad (i \neq j) \quad \text{剪切应力} \quad \text{理想流体 } T^{ij} = 0$$

各向同性流体: $P_1 = P_2 = P_3 = P$

$$T^{\mu\nu} = \begin{pmatrix} \rho & 0 & 0 & 0 \\ 0 & p & 0 & 0 \\ 0 & 0 & p & 0 \\ 0 & 0 & 0 & p \end{pmatrix}$$

一般参考系: 不考虑压强 $T^{\mu\nu} = p^\mu N^\nu = \rho U^\mu U^\nu$

e.g. (1) Dust. 尘埃 $p=0$

(2) 光子气体 $p = \frac{1}{3}\rho$

(3) 真空能 $p = -\rho$

$$U_\nu \partial_\mu T^{\mu\nu} = U_\nu \partial_\mu (\rho+p) U^\mu U^\nu + U_\nu (\rho+p) (U^\nu \partial_\mu U^\mu + U^\mu \partial_\mu U^\nu) + U_\nu \partial^\nu p$$

$$= -U^\mu \partial_\mu (\rho+p) - (\rho+p) \partial_\mu U^\mu + U^\mu \partial_\mu p = -\partial_\mu (\rho U^\mu) - p \partial_\mu U^\mu = 0$$

(理想流体能量守恒方程)

瞬时 静止参考系下

$$T^{\mu\nu} = (\rho+p) U^\mu U^\nu + p \eta^{\mu\nu}$$

$$\begin{cases} U_\nu U^\nu = -1 \\ \partial_\mu (U_\nu U^\nu) = 0 \\ U_\nu \partial_\mu U^\nu = 0 \end{cases}$$

非相对论极限 $U^\mu = (1, \vec{v})$ $|v| \ll 1$ $p \ll \rho$

$$U_\nu \partial_\mu T^{\mu\nu} = -\partial_\mu p - \vec{v} \cdot (\rho \vec{v}) = 0$$

5. Gravitation and geometry

$$\vec{F} = -\frac{GMm}{r^2} \hat{e}_r \quad \text{引力势 } \Phi = -\frac{GM}{r} \quad \vec{g} = -\nabla \Phi = -\frac{GM}{r^2} \hat{e}_r$$

$$\Phi = -G \int \frac{\rho(\vec{x}')}{|\vec{x} - \vec{x}'|} d^3x' \quad \int_S \vec{g} \cdot d\vec{S} = - \int_S \nabla \Phi \cdot d\vec{S} = - \int_V \nabla \cdot (\nabla \Phi) dV = -4\pi GM$$

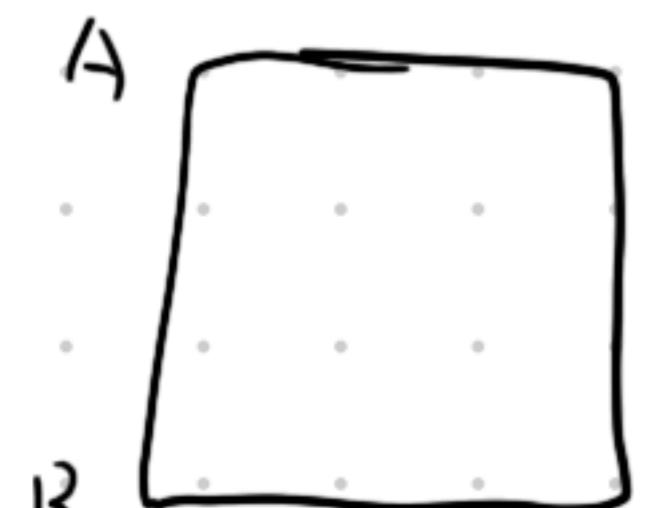
弱场效应原理 惯性质量 = 引力质量

爱因斯坦弱场效应原理 (EEP)：无法局部地区分加速度 \vec{a} 和引力 \vec{g}

引力红移：

$$\begin{array}{ccc} A & \xrightarrow{h} & E_A = m(1 - gh) = \omega_A < \omega_B \\ (gh \ll 1) & \downarrow h & \frac{\omega_B}{\omega_A} \approx 1 + gh \quad \text{redshift factor} \\ B & \xrightarrow{} & E_B = m = \omega_B \end{array}$$

加速度 $\vec{a} = -\vec{g}$ ($v \ll 1, gh \ll 1$)



$$\uparrow \vec{a} \quad z_A = h + \frac{1}{2}gt^2$$

$$z_B = \frac{1}{2}gt^2$$

$t=0$ 和 $t=\Delta t_B$ ($\Delta t_B \ll h$) 从 B 发光信号。

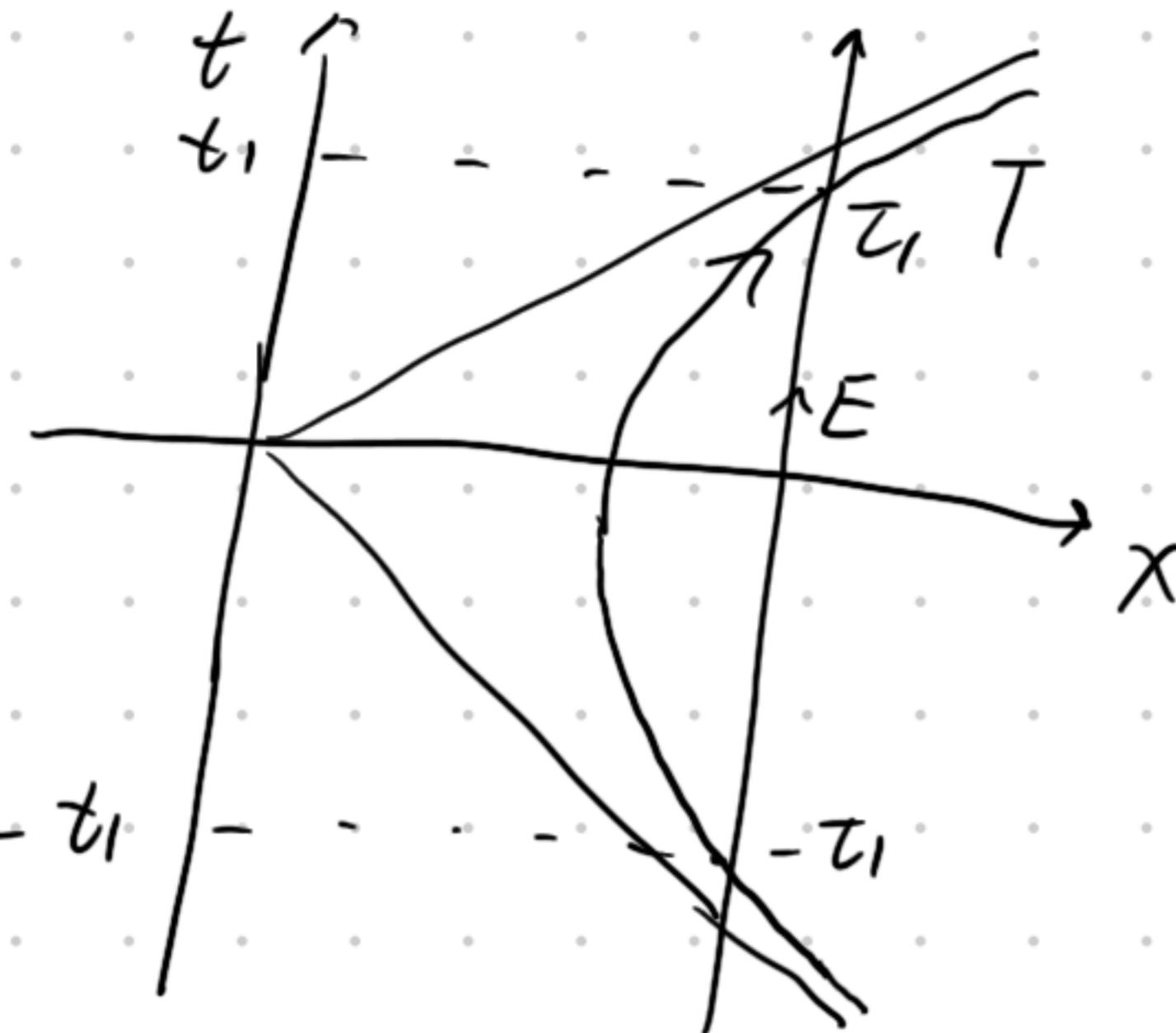
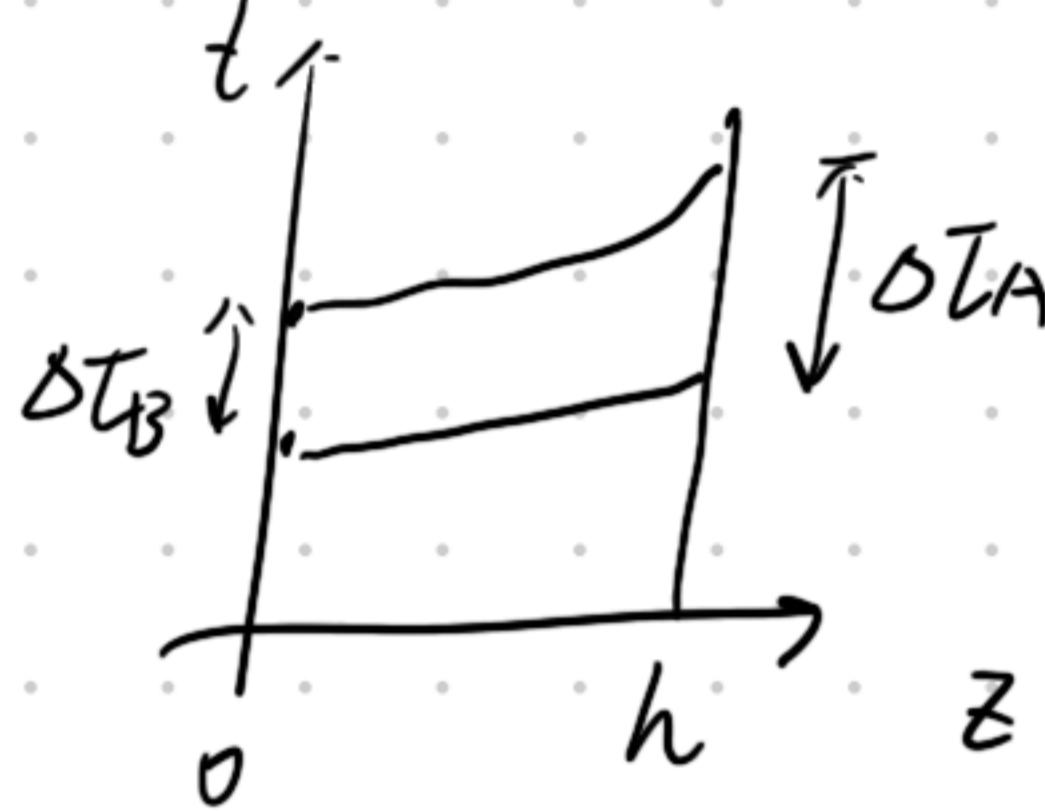
在 A 处 $t=t_1$ 且 $t=t_1+\Delta t_A$ 接收到

$$z_A(t_1) - z_B(0) = t_1 \quad z_A(t_1 + \Delta t_A) - z_B(\Delta t_B) = t_1 + \Delta t_A - \Delta t_B$$

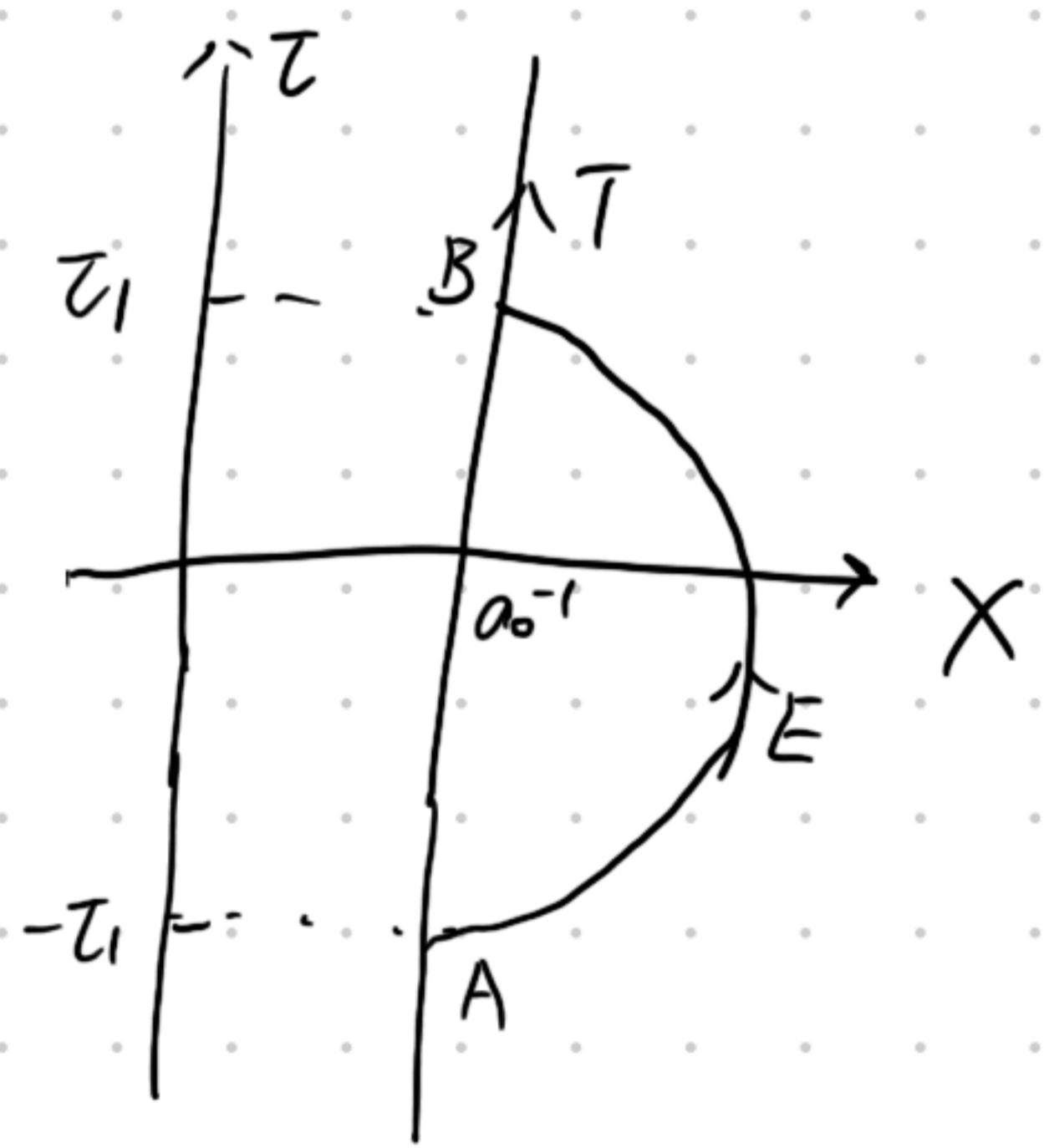
$$\Rightarrow \Delta T_A \approx \Delta T_B (1+gh) \quad \omega \propto \frac{1}{\Delta T}$$

$$\omega_B = \omega_A (1+gh)$$

引力场和加速度场中，时空都不是平直的。



加速参考系： $\tau = a_0^{-1} \operatorname{arctanh} \frac{t}{X}$ $X = \sqrt{x^2 + t^2}$ $x = X \cosh a_0 \tau$ $t = X \sinh a_0 \tau$ ，旅行者在 $X = a_0^{-1}$



$$\text{地速} : X_E = \sqrt{X^2 - t^2} \quad X^2 = X_E^2 - X_E^2 \sinh^2 a_0 \tau \Rightarrow X_E = \frac{X}{\cosh a_0 \tau}$$

$$ds^2 = -dt^2 + dx^2 + dy^2 + dz^2 \quad t = X \sinh a_0 \tau \quad x = X \cosh a_0 \tau$$

$$\Rightarrow ds^2 = -(a_0 X)^2 d\tau^2 + dX^2 + dy^2 + dz^2$$

$$\int_A^B dt = \int_{-t_1}^{t_1} \sqrt{(a_0 X_E)^2 d\tau^2 - dX_E^2} = \int_{-t_1}^{t_1} \sqrt{(a_0 X_E)^2 - \left(\frac{dX_E}{d\tau}\right)^2} d\tau \quad \text{且 } X_E = \frac{X}{\cosh a_0 \tau}$$

双生子佯谬

$$T: \begin{cases} t = a_0^{-1} \sinh(a_0 \tau) \\ x = a_0^{-1} \cosh(a_0 \tau) \end{cases}$$

$$\text{地球年龄} : -t_1 \rightarrow t_1 \quad X_E = a_0^{-1} \cosh(a_0 \tau_1).$$

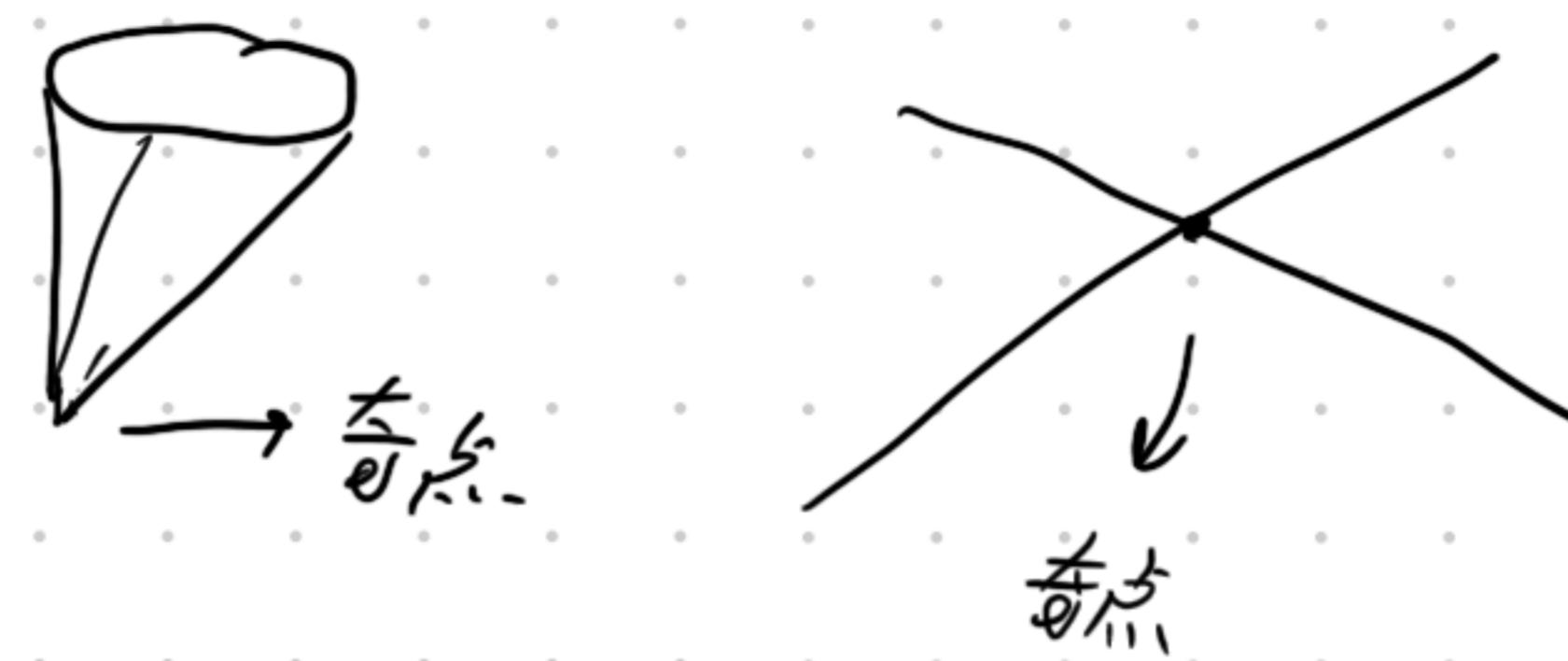
$$\tau_1 = a_0^{-1} \operatorname{arcsinh}(a_0 t_1)$$

$$2\tau_1 < 2t_1 = 2a_0^{-1} \sinh(a_0 \tau_1)$$

6. Manifolds 流形

(1) n -dim 流形, locally $\cong \mathbb{R}^n$

not manifold:



拓扑空间是集合 X , 其上指定开集 $\tilde{\gamma} = \{U_i\}$ 且: (1) $\emptyset, X \in \tilde{\gamma}$ (2) 任意并、有限交仍是开集

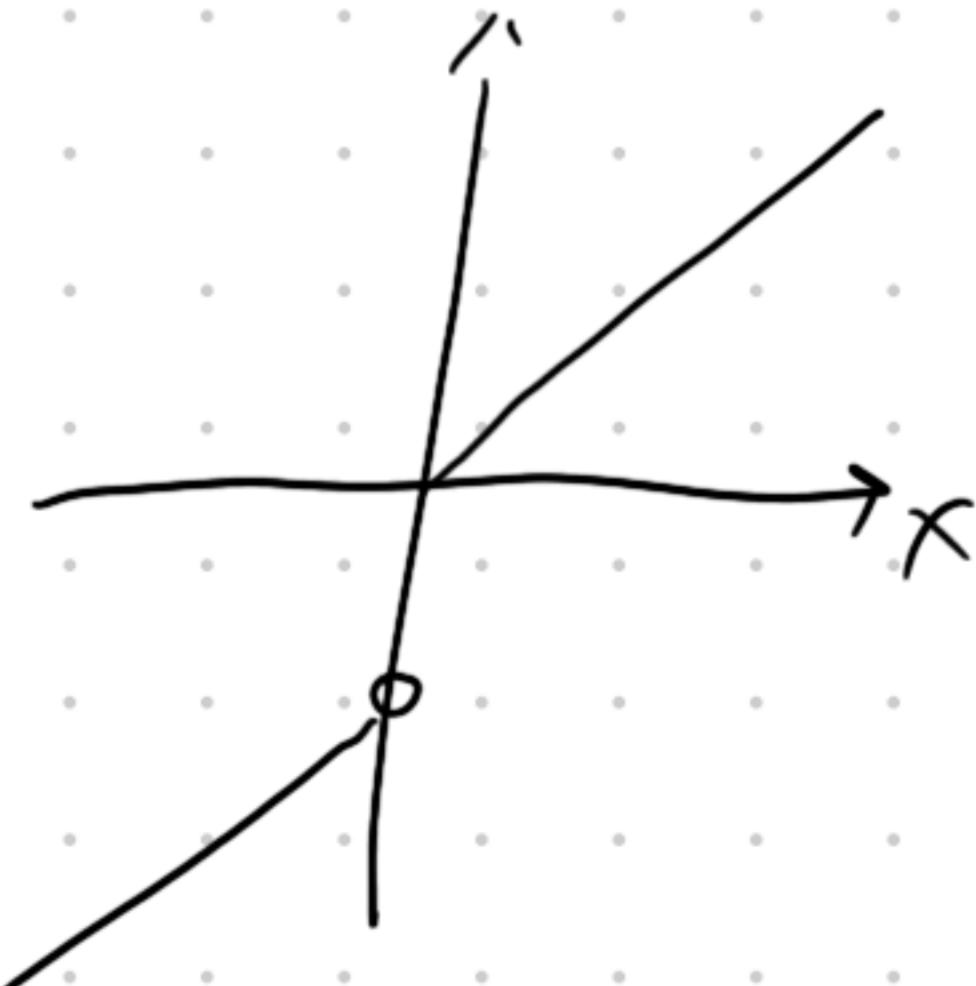
度量函数 $d: X \times X \rightarrow \mathbb{R}$
metric function

- (1) $d(x, y) = d(y, x)$
- (2) $d(x, y) \geq 0$, 只在 $x=y$ 时取 0.
- (3) $d(x, y) + d(y, z) \geq d(x, z)$

度量空间: 指定了一个度量函数的拓扑空间。可定义开集为 $U_\epsilon(x) = \{y \in X \mid d(x, y) < \epsilon\}$ 和其交
continuous map (连续映射):

$f: X \rightarrow Y$ 连续, 在每一个开集的原像仍是开集

e.g.

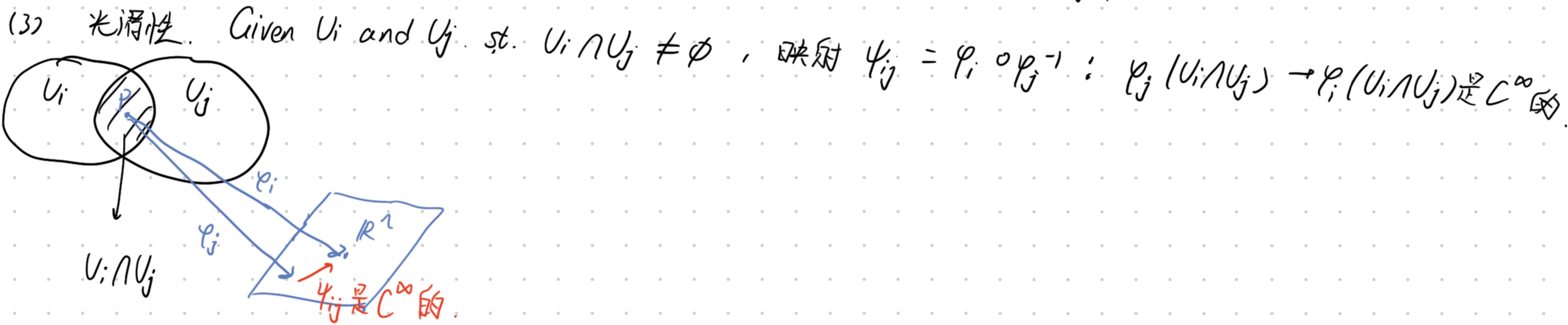


$$f(x) = \begin{cases} x, & x \geq 0 \\ x+1, & x < 0 \end{cases} \quad f^{-1}([0, 1], [0, 1]) = [0, 0, 1] \text{ 不是开集}$$

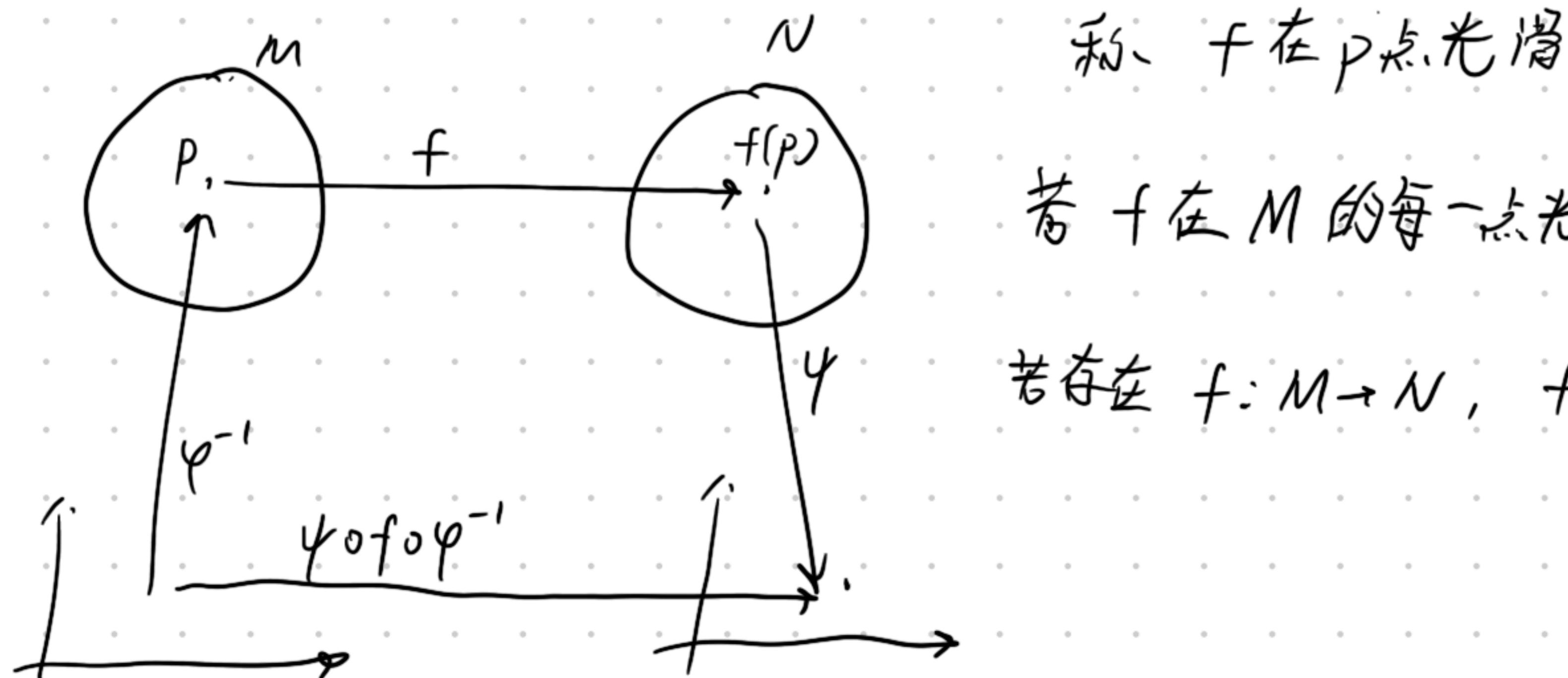
同胚: 两个拓扑空间同胚, 存在连续映射 $f: X \rightarrow Y$, 且逆映射 $f^{-1}: Y \rightarrow X$ 也连续

(2) 微分流形 (differential manifold) M : (1) n -dim 托扑空间 (2). 其上可指定 $\{\varphi_i\}$ (坐标系, 地图集)

$\{U_i\}$ 可覆盖 M $\bigcup U_i = M$ φ_i 是从 U_i 到 $U'_i \subset \mathbb{R}^n$ 的同胚映射.



(3) 可微映射 (Differentiable map) $f: M \rightarrow N$ $\dim M = m$ $\dim N = n$ a point $p \in M$ is mapped to $f(p) \in N$.
M 上取 (U, φ) N 上取 (V, ψ) st. $p \in U$, $f(p) \in V$. 若 $\psi \circ f \circ \varphi^{-1}: U \rightarrow V$ 是 C^∞ 的,

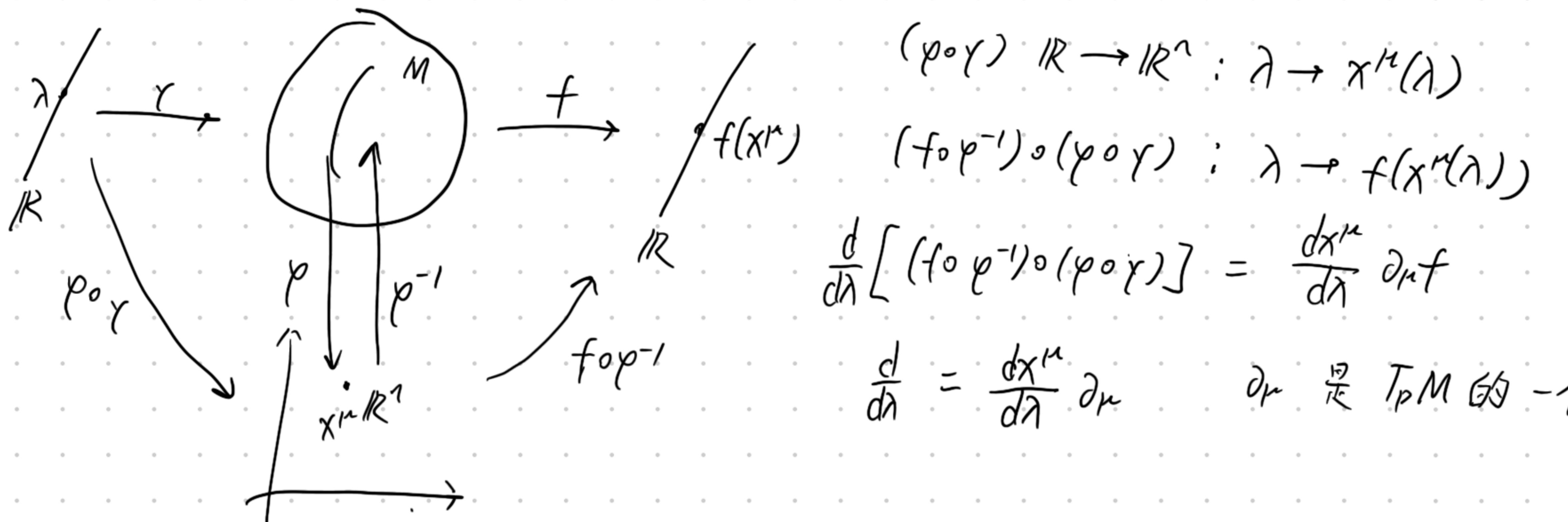


称 f 在 p 点光滑.

若 f 在 M 的每一点光滑, 称 f 是 $M \rightarrow N$ 的可微映射 (光滑映射).

若存在 $f: M \rightarrow N$, $f^{-1}: N \rightarrow M$ 都是可微的, 称 M, N 微分同胚.

(4) 流形上的切矢 (Tensors on manifolds) M 上的切空间 $T_p M$ at p : a curve $\gamma: \mathbb{R} \rightarrow M$, 而 $f: M \rightarrow \mathbb{R}$
 $\text{map } f \circ \gamma: \mathbb{R} \rightarrow \mathbb{R} \quad f(\lambda) = f(p(\lambda)) \quad (p(\lambda) \in M)$ 方向导数 $\frac{d}{d\lambda}(f \circ \gamma) = \frac{d}{d\lambda}[(f \circ \varphi^{-1}) \circ (\varphi \circ \gamma)]$



$T_p M$ 有 n 个线性无关基矢 $e_\mu = \partial_\mu$, 余切空间 $T_p^* M = \text{Hom}(T_p M, \mathbb{R})$ 基: $\theta^\mu = dx^\mu$ $\theta^\mu(e_\nu) = \delta^\mu_\nu$,

$\frac{\partial}{\partial x^\mu} w^\nu = \partial_\mu w^\nu$ is not a tensor ($\partial_\mu \rightarrow \partial'_\mu$, $w^\nu \rightarrow w'^\nu$ $\partial'_\mu w'^\nu \neq \partial_\mu w^\nu$ 被为(1,1)张量在坐标变换下变化)

(5) 度规张量 (metric tensor) $dS^2 = g_{\mu\nu} dx^\mu dx^\nu$ 非退化: $\det |g_{\mu\nu}| = |g| \neq 0$ (远离奇点) 相对于坐标选取 坐标奇点

对称: $g_{\mu\nu} = g_{\nu\mu}$ 有逆: $g^{\mu\nu} g_{\nu\sigma} = \delta^\mu_\sigma$

在其点 p 处 通过坐标变换, 可以局部地把 $g_{\mu\nu}$ 对角化

$$g_{\mu\nu} = \text{diag}(\underbrace{-1, \dots, -1}_s, \underbrace{+1, \dots, +1}_t) \quad s+t=n.$$

升降指标: $g^{\mu\nu} v_\nu = v^\mu \Rightarrow$ 定义内积

$s=0$ 欧氏度规 (黎曼流形)

$s=1$ 洛伦兹度规 (伪黎曼流形)

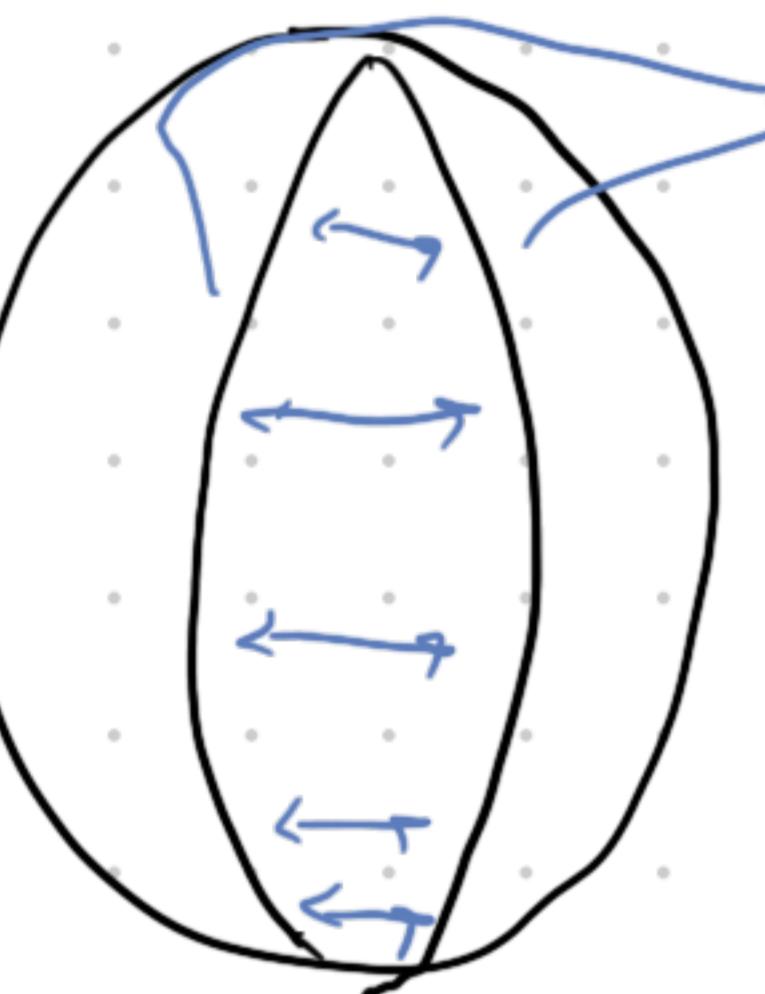
$$S^2 \text{ 度规 } ds^2 = dr^2 + r^2 d\theta^2 + r^2 \sin^2 \theta d\phi^2$$

$$ds^2 = dx^2 + dy^2 + \frac{(x dx + y dy)^2}{a^2 - x^2 - y^2}$$

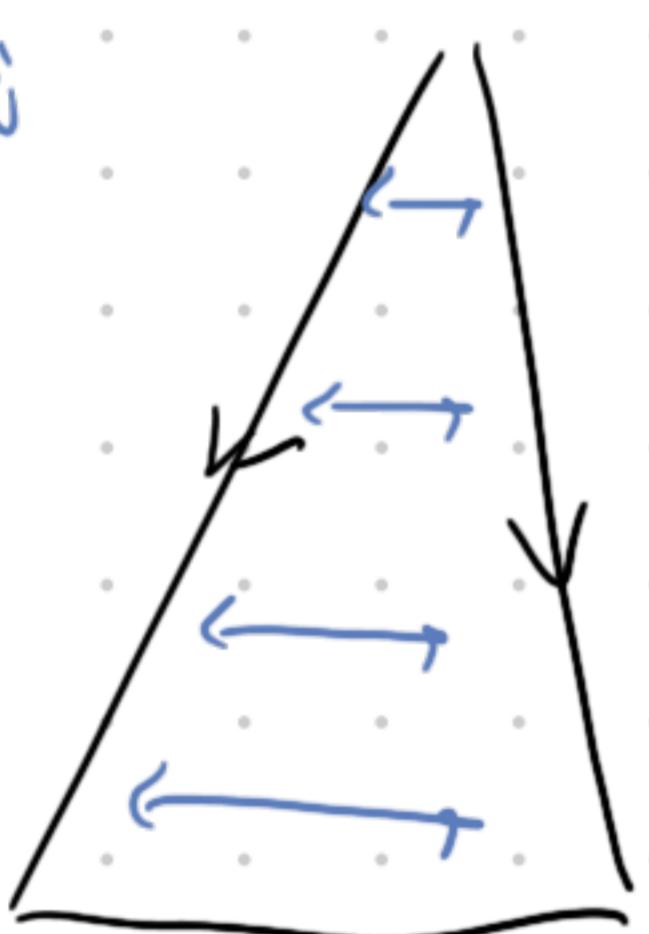
$$ds^2 = \frac{a^2 d\rho^2}{a^2 - \rho^2} + \rho^2 d\phi^2$$

应用：若 $g_{\mu\nu}$ 是对角的 $g_{\mu\nu} = \text{diag}(g_{11}, g_{22})$

空间的曲率 (正, 零, 负) (外曲率)



正曲率



零曲率



负曲率

(6) n -dim 流形体元 ($g_{\mu\nu}$ 对角)

坐标变换 $x^\mu \rightarrow x'^\mu$

$$dx'^\mu = \left(\frac{\partial x'^\mu}{\partial x^\nu} \right) dx^\nu$$

$$\sqrt{|J'|} \quad \text{形变的矩阵}$$

$$d^n V = \sqrt{|g_{11} \dots g_{nn}|} dx^1 \dots dx^n = \sqrt{|\det(g)|} dx^1 \dots dx^n$$

$$(d^n V)' = \sqrt{|g'|} dx''^1 \dots dx''^n = \sqrt{|g'|} J dx^1 \dots dx^n \quad g'_{\mu\nu} = J_\mu^\sigma J_\nu^\rho g_{\sigma\rho}$$

$$\sqrt{|g'|} = \sqrt{|g|} (\det J')^{-1} = \sqrt{|g|} J^{-1} \Rightarrow d^n V \text{ 是不依赖于坐标选取的}$$

J_ν^μ 的逆

(7) 贝恩法坐标 (RNC) EEP: 在某时空 局域地看作惯性系. (locally $g_{\mu\nu} \sim \eta_{\mu\nu}$)

在点 p 邻域, 存在一个坐标变换, 使 $g_{\mu\nu}|_p = \eta_{\mu\nu}$, $\partial_\sigma g_{\mu\nu}|_p = 0$ ($\partial_\sigma \partial_\rho g_{\mu\nu}|_p \neq 0$ in general)

"Proof": $x^\mu \rightarrow x^{\mu'}$ $g'_{\rho\sigma} = \frac{\partial x^{\mu'}}{\partial x^\rho} \frac{\partial x^{\nu'}}{\partial x^\sigma} g_{\mu\nu}$ 设 $x^{\mu'}(p) = x'^{\mu'}(p) = 0$

点邻域: $x^\mu = \left(\frac{\partial x^{\mu'}}{\partial x'^\nu}\right)_p x'^\nu + \dots$

$$g_{\mu\nu} = g_{\mu\nu}|_p + (\partial g)|_p \left(\frac{\partial x^{\mu'}}{\partial x'^\nu}\right) x'^\nu + \dots$$

$$g'_{\rho\sigma} = \frac{\partial x^{\mu'}}{\partial x'^\rho} \frac{\partial x^{\nu'}}{\partial x'^\sigma} g_{\mu\nu} + \dots$$

$$\sum_{\pi(\mu)} A_{\mu_1 \dots \mu_p} dx^{\mu_1} \wedge dx^{\mu_2} \wedge \dots \wedge dx^{\mu_p}$$

(8)

微分形式 (Differential Forms)

p -form on n -dim manifold: $(0,p)$ -tensor 全反对称

$$A_p = \frac{1}{p!} A_{\mu_1 \dots \mu_p} dx^{\mu_1} \wedge \dots \wedge dx^{\mu_p}$$

M 上全体 p -forms 构成 $\Lambda^p(M)$

$$\dim \Lambda^p = \binom{n}{p} = \frac{n!}{p!(n-p)!}$$

(1) wedge product p -form A 和 q -form B $\rightarrow (p+q)$ -form $A \wedge B$

$$(A \wedge B)_{\mu_1 \dots \mu_{p+q}} = \frac{(p+q)!}{p! q!} A_{[\mu_1 \dots \mu_p} B_{\mu_{p+1} \dots \mu_{p+q}]}$$

$$\text{e.g. 1-form } A \& B : (A \wedge B)_{\mu\nu} = 2 A_{[\mu} B_{\nu]} = A_\mu B_\nu - A_\nu B_\mu$$

$$(B \wedge A)_{\mu_1 \dots \mu_{p+q}} = (-)^{pq} (A \wedge B)_{\mu_1 \dots \mu_{p+q}}$$

$$(A \wedge B) \wedge C = A \wedge (B \wedge C) = \frac{(p+q+r)!}{p! q! r!} A_{[\dots} B_{\dots} C_{\dots]}$$

$$(2) d: \Lambda^p(M) \rightarrow \Lambda^{p+1}(M)$$

$$(dA)_{\mu_1 \dots \mu_{p+1}} = (p+1) \partial_{[\mu_1} A_{\mu_2 \dots \mu_{p+1}]}$$

| for 0-form ϕ : $(d\phi)_\mu = \partial_\mu \phi$ | 1-form A_μ : $(dA)_{\mu\nu} = 2 \partial_{[\mu} A_{\nu]} = \partial_\mu A_\nu - \partial_\nu A_\mu$.

2-form $B_{\mu\nu}$: $(dB)_{\mu\nu\rho} = \partial_\rho B_{\mu\nu} + \partial_\nu B_{\rho\mu} + \partial_\mu B_{\nu\rho}$

for any p-form A : $d(dA) = 0 \Leftrightarrow d^2 = 0 \quad (d_{p+1} d_p = 0)$

If $dA = 0 \Rightarrow A$ is closed. If $A = d\phi \Rightarrow A$ is exact. (For 1-form A , gauge transformation $A_\mu \rightarrow A_\mu + \partial_\mu \lambda$
all exact forms are closed ($d^2\phi = 0$)
closed $\xrightarrow{?}$ exact (Depends on 对称性))

$$\longrightarrow \Lambda^{p-1}(M) \xrightarrow{d_{p-1}} \Lambda^p(M) \xrightarrow{d_p} \Lambda^{p+1}(M)$$

closed: $\ker(d_p)$ exact p-form: $\text{im}(d_{p-1})$ $H_{dR}^p(M, \mathbb{R}) = \frac{\ker(d_p)}{\text{im}(d_{p-1})}$

Liebniz rule: p-form A q-form B $d(A \wedge B) = dA \wedge B + (-)^p A \wedge dB$
 dA is always a tensor ($\partial_\mu A_\nu$ is not)

Hodge star $\star: \Lambda^p(M) \rightarrow \Lambda^{n-p}(M)$

$$(\star A)_{\mu_1 \dots \mu_{n-p}} = \frac{1}{p!} \epsilon^{\nu_1 \dots \nu_p}_{\mu_1 \dots \mu_{n-p}} A_{\nu_1 \dots \nu_p}$$

$\star(\star A) = (-)^{s+p(n-p)} A$ s 是度规对角化后 (-1) 的个数

Levi-Civita tensor in SR $\tilde{\epsilon}_{\mu_1 \dots \mu_n} = \begin{cases} +1 & \text{even perm} \\ -1 & \text{odd} \\ 0 & \text{else} \end{cases}$

for any $n \times n$ matrix M $\tilde{\epsilon}_{\mu_1 \dots \mu_n} |M| = \tilde{\epsilon}_{\mu_1 \dots \mu_n} M^{\mu_1}_{\mu_1} M^{\mu_2}_{\mu_2} \dots M^{\mu_n}_{\mu_n}$

for $x^{\mu} \rightarrow x'^{\mu}$

$$\text{由 } \partial x^{\mu} / \partial x'^{\nu} \text{ 知 } M^{\mu}_{\nu} = \frac{\partial x^{\mu}}{\partial x'^{\nu}}$$

$$\tilde{\epsilon}_{\mu_1 \dots \mu_n} = |M|^{-1} \tilde{\epsilon}_{\mu_1 \dots \mu_n} \frac{\partial x^{\mu_1}}{\partial x'^{\mu_1}} \dots \frac{\partial x^{\mu_n}}{\partial x'^{\mu_n}}$$

is not a tensor (is a tensor density 张量密度)

$$\epsilon_{\mu_1 \dots \mu_n} = \tilde{\epsilon}_{\mu_1 \dots \mu_n} \sqrt{|g|}$$

is a tensor

$$dx^0 \wedge \dots \wedge dx^{n-1} = \frac{1}{n!} \tilde{\epsilon}_{\mu_1 \dots \mu_n} dx^{\mu_1} \wedge \dots \wedge dx^{\mu_n}$$

is not a tensor

$$\varepsilon = \frac{1}{n!} G_{\mu_1 \dots \mu_n} dx^{\mu_1} \wedge \dots \wedge dx^{\mu_n} = \frac{\sqrt{|g|}}{n!} \tilde{\epsilon}_{\mu_1 \dots \mu_n} dx^{\mu_1} \wedge \dots \wedge dx^{\mu_n} = \sqrt{|g|} d^n x$$

在坐标变换下 张量变化

$$\int_M L d^n x = \int_M \underbrace{\sqrt{|g|} d^n x}_{\substack{\text{Scalar} \\ \text{Volume} \\ \text{form}}} = \int_M \underbrace{L \varepsilon}_{\substack{\text{Scalar} \\ \text{n-form}}} \quad \text{More generally, for } n\text{-form } \omega^{(n)} \text{ on } M \int_M \omega^{(n)} \in \mathbb{R}$$

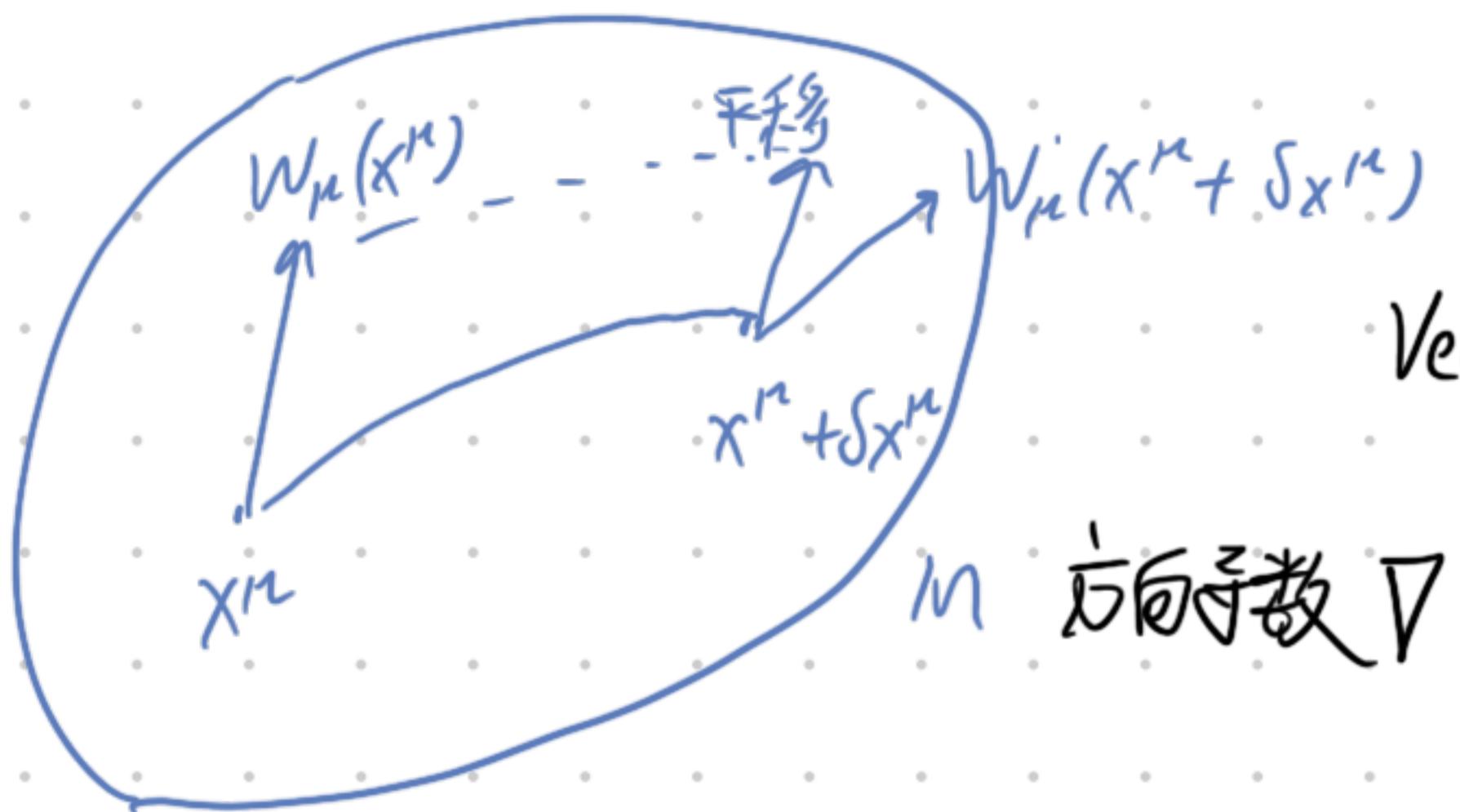
Generalized Stokes theorem: for $(n-1)$ form $\omega^{(n-1)}$ a manifold M with boundary ∂M $\dim M = n$ $\dim(\partial M) = n-1$

$$\int_M d\omega^{(n-1)} = \int_{\partial M} \omega^{(n-1)}$$

(9) Connection (联络)

$\partial_\mu W_\nu$ is not a tensor under $x^\mu \rightarrow x^{\mu'}$

$$\frac{\partial W'_\nu}{\partial x^{\mu'}} = \underbrace{\frac{\partial x^{\mu'}}{\partial x^{\mu}} \frac{\partial}{\partial x^{\mu'}} \left(\frac{\partial x^\nu}{\partial x^{\mu'}} W_\nu \right)}_{\text{破坏 } \partial_\mu W_\nu \text{ 的 tensor 性}} = \frac{\partial x^{\mu'}}{\partial x^{\mu}} \frac{\partial x^\nu}{\partial x^{\mu'}} \frac{\partial W_\nu}{\partial x^{\mu}} + \frac{\partial x^{\mu'}}{\partial x^{\mu}} \frac{\partial^2 x^\nu}{\partial x^{\mu} \partial x^{\mu'}} W_\nu \quad \text{但 } (dW)_{\mu\nu} = \partial_\mu W_\nu - \partial_\nu W_\mu \text{ is a tensor}$$



Def modified derivative ∇_μ st. $\nabla_\mu W_\nu$ is a tensor

Vector field: \tilde{V} : for any $p \in M \rightarrow \tilde{V}(p) \in T_p M$

向量场 $\nabla(\vec{x}, \vec{y}) \rightarrow \nabla_x \vec{y} \rightarrow \text{output}$

2 vector fields

1 vector field

satisfy: 线性 $\nabla_x (\vec{y} + \vec{z}) = \nabla_x \vec{y} + \nabla_x \vec{z}$

$$\nabla_{x+y} \vec{z} = \nabla_x \vec{z} + \nabla_y \vec{z}$$

$$\nabla_{fx} \vec{y} = f \nabla_x \vec{y}$$

$$\nabla_x (f \vec{y}) = \vec{x}[f] \vec{y} + f \nabla_x \vec{y}$$

$$\nabla_\mu \vec{e}_\nu \equiv \nabla_{\vec{e}_\mu} \vec{e}_\nu \equiv \vec{e}_\lambda \Gamma_{\mu\nu}^\lambda \quad \text{← } \partial_\mu \vec{e}_\nu \text{ in the } \lambda\text{-component}$$

Example. \mathbb{R}^2 $ds^2 = d\rho^2 + \rho^2 d\phi^2$ $\partial_\rho \vec{e}_\rho = 0$ $\partial_\phi \vec{e}_\rho = \frac{1}{\rho} \vec{e}_\phi$ $\partial_\rho \vec{e}_\phi = \frac{1}{\rho} \vec{e}_\phi$ $\partial_\phi \vec{e}_\phi = -\rho \vec{e}_\rho$ $\nabla_{\rho\rho} \vec{e}_\rho = \Gamma_{\rho\rho}^\rho = 0$ $\nabla_{\rho\phi} \vec{e}_\rho = \Gamma_{\rho\phi}^\phi = \frac{1}{\rho}$ $\nabla_{\rho\phi} \vec{e}_\phi = \Gamma_{\rho\phi}^\rho = 0$ $\nabla_{\phi\phi} \vec{e}_\rho = \Gamma_{\phi\phi}^\rho = -\rho$ $\nabla_{\phi\phi} \vec{e}_\phi = 0$

$$\nabla_{\vec{V}} \vec{W} = V^\mu \nabla_{\vec{e}_\mu} (W^\nu \vec{e}_\nu) = V^\mu \left(\frac{\partial W^\lambda}{\partial x^\mu} \vec{e}_\lambda + W^\nu \nabla_{\vec{e}_\mu} \vec{e}_\nu \right) = V^\mu \left(\frac{\partial W^\lambda}{\partial x^\mu} + W^\nu \Gamma_{\mu\nu}^\lambda \right) \vec{e}_\lambda = V^\mu (\nabla_\mu W^\lambda) \vec{e}_\lambda$$

联络导数

$$\boxed{\nabla_\mu W^\lambda = (\nabla_\mu \vec{W})^\lambda = \partial_\mu W^\lambda + W^\nu \Gamma_{\mu\nu}^\lambda}$$

On tensors: scalar (0-form) $\nabla_\mu \phi = \partial_\mu \phi$

$$\nabla_\mu (V^\nu W_\nu) = V^\nu \partial_\mu W_\nu + (\partial_\mu V^\nu) W_\nu = (\nabla_\mu V^\nu) W_\nu + V^\nu (\nabla_\mu W_\nu)$$

1-form W_ν $\nabla_\mu W_\nu = \partial_\mu W_\nu - W_\lambda \Gamma_{\mu\nu}^\lambda$

(k,l) tensor $\frac{1}{!}$ $\nabla_\sigma T^{\mu_1 \dots \mu_k}_{\nu_1 \dots \nu_l}$

$$= \partial_\sigma T^{\mu_1 \dots \mu_k}_{\nu_1 \dots \nu_l} + \Gamma_{\sigma\lambda}^{\mu_1} T^{\lambda \dots \mu_k}_{\nu_1 \dots \nu_l} + \dots - \Gamma_{\sigma\nu}^{\lambda} T^{\mu_1 \dots \mu_k}_{\lambda \dots \nu_l}$$

e.g. $\nabla_\sigma \delta_\nu^\mu = \partial_\sigma \delta_\nu^\mu + \Gamma_{\sigma\lambda}^\mu \delta_\nu^\lambda - \Gamma_{\sigma\nu}^\lambda \delta_\lambda^\mu = 0$

$\Gamma_{\nu\rho}^\mu$ is NOT a tensor

$$\Gamma_{\mu\rho}^{\nu'} = \Gamma_{\mu\rho}^\nu \frac{\partial x^\nu}{\partial x^{\mu'}} \frac{\partial x^{\nu'}}{\partial x^\nu} \frac{\partial x^\rho}{\partial x^{\rho'}}$$

$$- \frac{\partial^2 x^{\nu'}}{\partial x^{\mu'} \partial x^{\rho'}}$$

$$T_{\mu\rho}^\nu = \Gamma_{\mu\rho}^\nu - \Gamma_{\rho\mu}^\nu \text{ is a tensor}$$

Unique $\Gamma_{\nu\rho}^\mu$ with additional conditions (Christoffel connection).

(1) 无挠性 $T_{\mu\nu}^\rho = 0 \Rightarrow \Gamma_{\mu\nu}^\rho = \Gamma_{\nu\mu}^\rho$

(2) 度规相容性 $\nabla_\rho g_{\mu\nu} = 0$

$$\nabla_\mu V_\nu = \nabla_\mu (g_{\nu\rho} V^\rho) = (\underbrace{\nabla_\mu g_{\nu\rho}}_{=0} V^\rho + g_{\nu\rho} \nabla_\mu V^\rho) = \nabla_\mu V^\nu$$

$$\left\{ \begin{array}{l} \nabla_\rho g_{\mu\nu} = \partial_\rho g_{\mu\nu} - \Gamma_{\rho\mu}^\lambda g_{\lambda\nu} - \Gamma_{\rho\nu}^\lambda g_{\mu\lambda} = 0 \\ \nabla_\mu g_{\nu\rho} = \partial_\mu g_{\nu\rho} - \Gamma_{\mu\nu}^\lambda g_{\lambda\rho} - \Gamma_{\mu\rho}^\lambda g_{\nu\lambda} = 0 \\ \nabla_\nu g_{\rho\mu} = \partial_\nu g_{\rho\mu} - \Gamma_{\nu\rho}^\lambda g_{\lambda\mu} - \Gamma_{\nu\mu}^\lambda g_{\rho\lambda} = 0 \end{array} \right.$$

$$\Rightarrow \boxed{\Gamma_{\mu\nu}^\rho = \frac{1}{2} g^{\rho\sigma} (\partial_\mu g_{\nu\sigma} + \partial_\nu g_{\sigma\mu} - \partial_\sigma g_{\mu\nu})}$$

散度 $\nabla_\mu V^\mu \equiv \frac{1}{\sqrt{|g|}} \partial_\mu (\sqrt{|g|} V^\mu)$ ($\Gamma_{\mu\nu}^\mu = \frac{1}{\sqrt{|g|}} \partial_\mu \sqrt{|g|}$)

For a general $n \times n$ matrix M , δM . $\ln \det M = \ln \det(M + \delta M) - \ln \det M = \ln \det M^{-1}(M + \delta M)$

$$\ln \det M = \ln \det(1 + M^{-1}\delta M) = \ln(1 + \text{Tr}(M^{-1}\delta M)) + O(\delta M^2) = \text{Tr}(M^{-1}\delta M) + O(\delta M^2)$$

$$M_{\mu\nu} = g_{\mu\nu}, (M^{-1})_{\mu\nu} = g^{\mu\nu} \Rightarrow \frac{1}{|g|} \partial_\nu |\bar{g}| = \partial_\nu \ln |\bar{g}| = g^{\mu\nu} \partial_\nu g_{\mu\nu} = 2\Gamma_{\mu\nu}^\mu = \frac{2}{\sqrt{|g|}} \partial_\mu \sqrt{|g|}$$

$$\nabla^2 \phi = \nabla_\mu (\partial^\mu \phi) = \frac{1}{\sqrt{|g|}} \partial_\mu (\sqrt{|g|} \partial^\mu \phi)$$

10 Parallel transport & geodesics (平行地线)

$$\vec{V} \text{ 沿曲线 } x^\mu(\lambda) \text{ 的方向导数 } \frac{D\vec{V}}{d\lambda} = \frac{dV^\mu}{d\lambda} \hat{e}_\mu + V^\mu \frac{D\hat{e}_\mu}{d\lambda} = \frac{dV^\mu}{d\lambda} \hat{e}_\mu + V^\mu \frac{dx^\nu}{d\lambda} \nabla_\nu \hat{e}_\mu = \left(\frac{dV^\mu}{d\lambda} + \Gamma_{\nu\sigma}^\mu V^\sigma \frac{dx^\nu}{d\lambda} \right) \hat{e}_\mu \equiv \frac{DV^\mu}{d\lambda} \hat{e}_\mu$$

$$\frac{DV^\mu}{d\lambda} = \frac{dx^\nu}{d\lambda} \left(\frac{\partial V^\mu}{\partial x^\nu} + \Gamma_{\nu\sigma}^\mu V^\sigma \right) = \frac{dx^\nu}{d\lambda} \nabla_\nu V^\mu$$

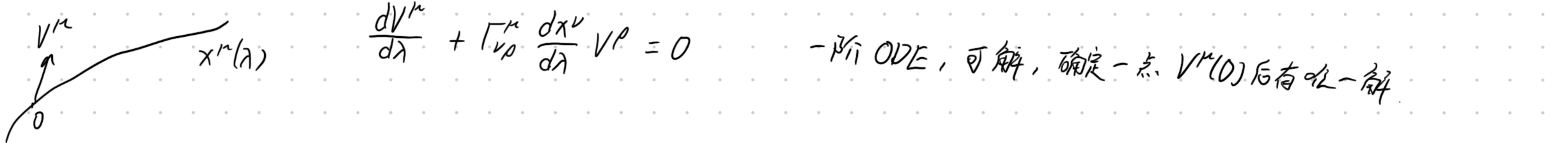
$$\text{沿曲线平行移动: } \frac{D\vec{V}}{d\lambda} = 0 \Rightarrow \frac{dx^\mu}{d\lambda} \nabla_\mu V^\nu = 0$$

对 (K, l) 张量

$$\left(\frac{D\vec{T}}{d\lambda} \right)^{\mu_1 \dots \mu_K}_{\nu_1 \dots \nu_L} = \frac{dx^\sigma}{d\lambda} \nabla_\sigma T^{\mu_1 \dots \mu_K}_{\nu_1 \dots \nu_L} \quad \text{平行移动} = 0$$

$$g_{\mu\nu} \text{ 的平行移动: } \frac{Dg_{\mu\nu}}{d\lambda} = \frac{dx^\sigma}{d\lambda} \nabla_\sigma g_{\mu\nu} \xrightarrow{\text{相容性}} = 0$$

$$\text{假设 } \vec{V}, \vec{W} \text{ 都沿 } C \text{ 平行移动 } \Rightarrow \frac{D}{d\lambda} (V^\mu W_\mu) = \frac{D}{d\lambda} (g_{\mu\nu} V^\mu W^\nu) = 0 \quad \text{即沿 } C \text{ 内积不变.}$$



$$\frac{dV^{\mu}}{d\lambda} + \Gamma_{\nu\rho}^{\mu} \frac{dx^{\nu}}{d\lambda} V^{\rho} = 0$$

- 以 ODE, 可解, 确定一点 $V^{\mu}(0)$ 后有唯一解

Geodesics 沿地线 定义: 切矢量平行移动 $\frac{D}{d\lambda} \frac{dx^{\mu}}{d\lambda} = 0 \Rightarrow \boxed{\frac{d^2x^{\mu}}{d\lambda^2} + \Gamma_{\rho\sigma}^{\mu} \frac{dx^{\rho}}{d\lambda} \frac{dx^{\sigma}}{d\lambda} = 0}$ (沿地线方程)

验证: 作用量 $I = \int_{\lambda_A}^{\lambda_B} L d\lambda$ 取极值 ($L = \sqrt{-g_{\mu\nu} \frac{dx^{\mu}}{d\lambda} \frac{dx^{\nu}}{d\lambda}}$ = $\sqrt{-g_{\mu\nu} \dot{x}^{\mu} \dot{x}^{\nu}}$, 类时曲线为直)

$$\frac{\partial L}{\partial x^{\mu}} - \frac{d}{d\lambda} \left(\frac{\partial L}{\partial \dot{x}^{\mu}} \right) = 0 \Leftrightarrow \frac{d}{d\lambda} \left(\frac{\partial L^2}{\partial \dot{x}^{\mu}} \right) - \frac{\partial L^2}{\partial x^{\mu}} = 2 \frac{\partial L}{\partial \dot{x}^{\mu}} \frac{\partial L}{\partial \lambda} \quad \frac{d}{d\lambda} \left(2L \frac{\partial L}{\partial \dot{x}^{\mu}} \right) - 2L \frac{\partial L}{\partial x^{\mu}} = 2 \frac{\partial L}{\partial \lambda} \frac{\partial L}{\partial x^{\mu}}$$

$$L.H.S. = -2g_{\mu\rho} \ddot{x}^{\rho} - 2\partial_{\sigma} g_{\mu\rho} \dot{x}^{\rho} \dot{x}^{\sigma} + \partial_{\mu} g_{\rho\sigma} \dot{x}^{\rho} \dot{x}^{\sigma} = -2g_{\mu\rho} \ddot{x}^{\rho} - 2\dot{x}^{\rho} \dot{x}^{\sigma} g_{\mu\nu} \Gamma_{\rho\sigma}^{\nu}$$

$$R.H.S. = 2 \frac{\partial L}{\partial x^{\mu}} \stackrel{I}{=} \text{选取参数 } \lambda = I, R.H.S. = 0 \Rightarrow \text{沿地线方程}$$

Comment: (1) 沿地线方程 $\xrightarrow{\text{反推}} \Gamma_{\rho\sigma}^{\mu}$

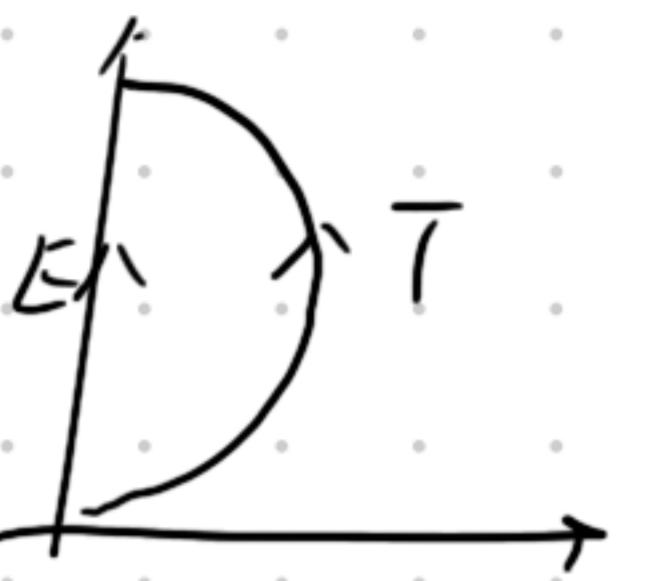
(2) GR 中的 Newton 定律 不受力粒子走沿地线运动

$$\ddot{a} = \frac{D\ddot{u}}{d\lambda} \quad u^{\mu} = \frac{dx^{\mu}}{d\lambda} \quad \ddot{a} = 0 \Leftrightarrow \frac{D}{d\lambda} \frac{dx^{\mu}}{d\lambda} = 0 \quad \ddot{x}^{\mu} + \Gamma_{\rho\sigma}^{\mu} \dot{x}^{\rho} \dot{x}^{\sigma} = 0$$

$$\text{有外力: } \ddot{a} = \tilde{f}/m \quad \ddot{x}^{\mu} + \Gamma_{\rho\sigma}^{\mu} \dot{x}^{\rho} \dot{x}^{\sigma} = f^{\mu}/m$$

$$\text{e.g. Lorentz force } q F^{\mu}_{\nu} \dot{x}^{\nu}$$

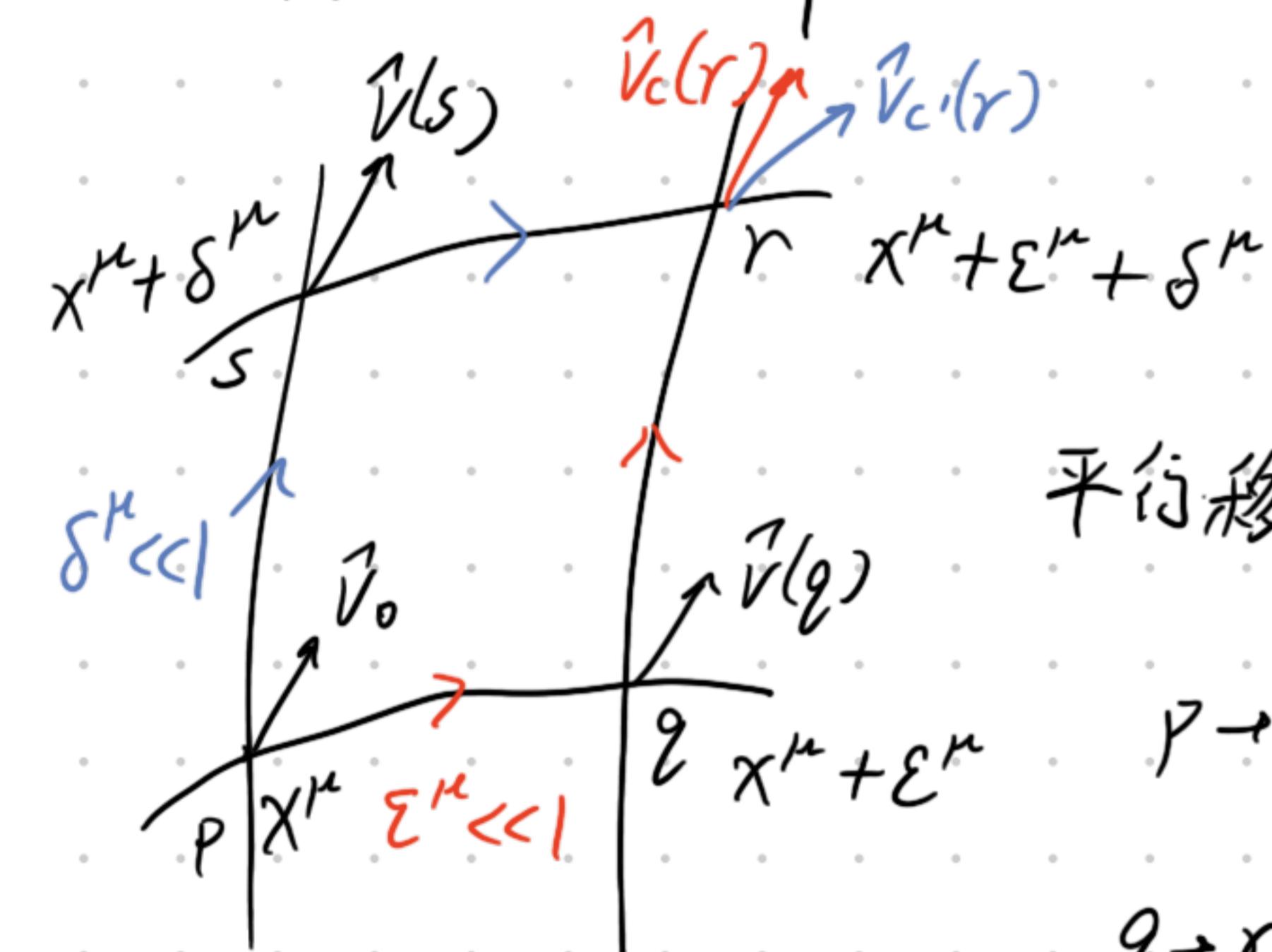
(3) 双生子佯谬 $\tau(T) < \tau(E)$ 测地线是 T (E 受重力作用, 不是测地线)



(4) 一点 -一切定一地 给定 $y(0) = p$ 和 $\frac{dy(\tau)}{d\tau}|_p$ 定出一测地线.

(5) 测地线完备性. (奇异点)

11. Curvature 曲率



$$C: p \rightarrow q \rightarrow r \quad \vec{v}_0 \rightarrow \vec{v}(q) \rightarrow \vec{v}_c(r)$$

$$C': p \rightarrow s \rightarrow r \quad \vec{v}_0 \rightarrow \vec{v}(s) \rightarrow \vec{v}_c(r) \quad (\text{假定路径在 } r \text{ 处闭合}) \quad (\varepsilon^\mu, \delta^\mu \ll 1)$$

平行移动 $\frac{dx^\nu}{d\lambda} \nabla_\nu V^\mu = (\partial_\nu V^\mu + \Gamma_{\nu\sigma}^\mu V^\sigma) \frac{dx^\nu}{d\lambda} = 0 \Rightarrow \int \partial_\nu V^\mu dx^\nu = - \int \Gamma_{\nu\sigma}^\mu V^\sigma dx^\nu$

$$P \rightarrow q: \frac{V^\mu(q) - V_0^\mu}{\varepsilon^\nu} = -\bar{\Gamma}_{\nu 0}^\mu(p) V_0^\sigma \quad V^\mu(q) = V_0^\mu - \bar{\Gamma}_{\nu 0}^\mu(p) \varepsilon^\nu V_0^\sigma$$

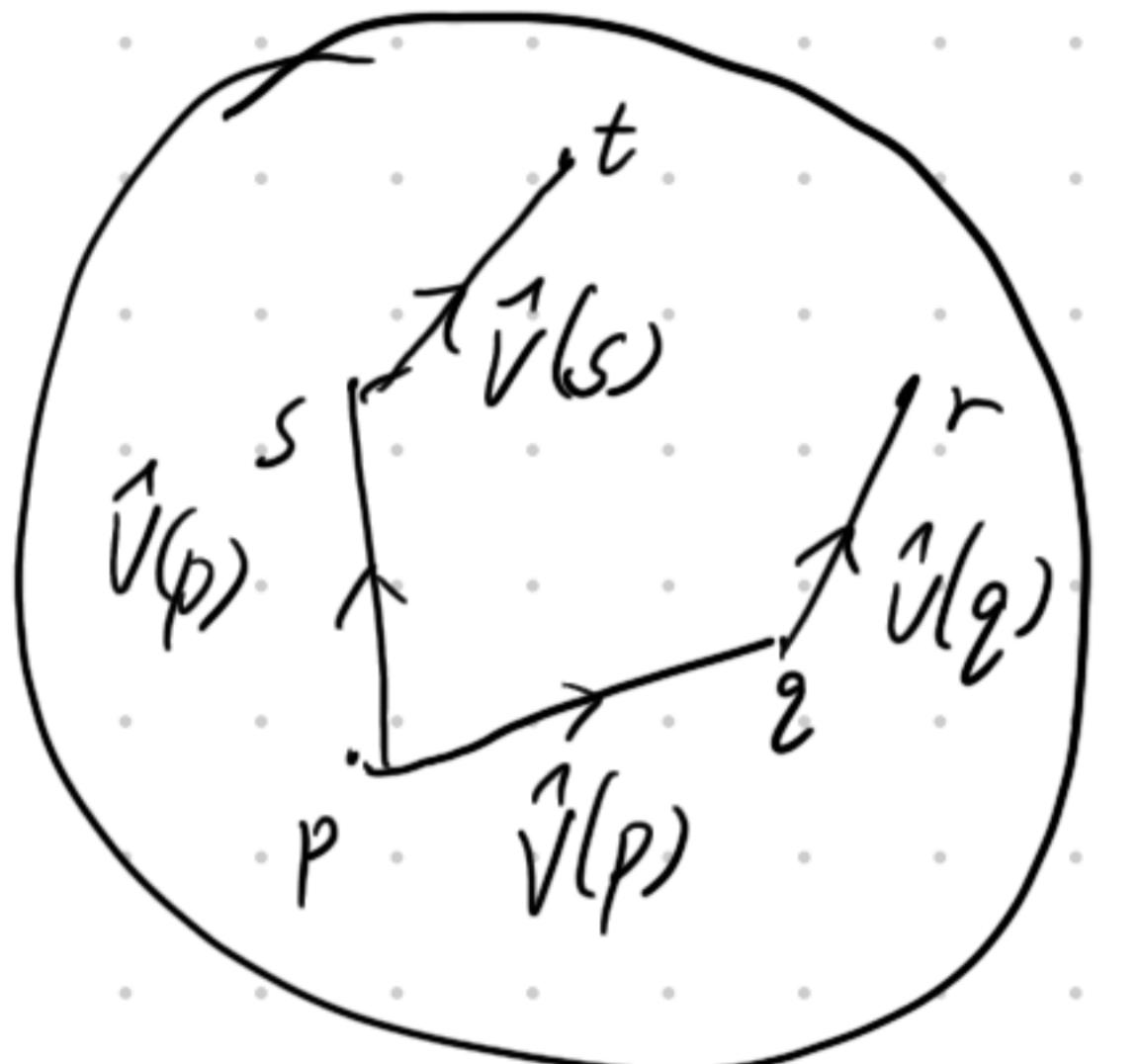
$$q \rightarrow r: \frac{V_c^\mu(r) - V^\mu(q)}{\delta^\nu} = -\bar{\Gamma}_{\nu K}^\mu(q) V^\nu(q) \quad V_c^\mu(r) = V^\mu(q) - \bar{\Gamma}_{\nu K}^\mu(q) \delta^\nu V^\nu(q)$$

$$\begin{aligned} \Rightarrow V_c^\mu(r) &= V_0^\mu - \bar{\Gamma}_{\nu K}^\mu(p) V_0^K \varepsilon^\nu - (V_0^K - \bar{\Gamma}_{\sigma\rho}^K(p) V_0^\rho \varepsilon^\sigma) (\bar{\Gamma}_{\nu K}^\mu(p) + \partial_\lambda \bar{\Gamma}_{\nu K}^\mu(p) \varepsilon^\lambda) \delta^\nu \\ &= V_0^\mu - \bar{\Gamma}_{\nu K}^\mu(p) V_0^K \varepsilon^\nu - \bar{\Gamma}_{\nu K}^\mu(p) V_0^K \delta^\nu - V_0^K \partial_\lambda \bar{\Gamma}_{\nu K}^\mu(p) \varepsilon^\lambda \delta^\nu + V_0^\rho \bar{\Gamma}_{\sigma\rho}^K(p) \bar{\Gamma}_{\nu K}^\mu(p) \varepsilon^\sigma \delta^\nu + O(\varepsilon^3) \\ &\quad - V_0^K [\partial_\lambda \bar{\Gamma}_{\nu K}^\mu(p) - \bar{\Gamma}_{\lambda K}^\rho \bar{\Gamma}_{\nu\rho}^\mu(p)] \varepsilon^\lambda \delta^\nu \end{aligned}$$

$$\varepsilon \leftrightarrow \delta \Rightarrow V_{c'}^\mu(r) \quad V_{c'}^\mu(r) - V_c^\mu(r) \triangleq V_0 R^\mu_{\lambda\nu}(p) \varepsilon^\lambda \delta^\nu$$

黎曼曲率张量 $R^\mu_{\lambda\nu} = \partial_\lambda \Gamma^\mu_{\nu\lambda} - \partial_\nu \Gamma^\mu_{\lambda\lambda} + \Gamma^\rho_{\nu\lambda} \Gamma^\mu_{\lambda\rho} - \Gamma^\rho_{\lambda\lambda} \Gamma^\mu_{\nu\rho}$

Lie 导数 $[U, V]^\mu = U^\nu \partial_\nu V^\mu - V^\nu \partial_\nu U^\mu$



parallel transport of vector \vec{w} : $p \rightarrow q \rightarrow r \rightarrow t \rightarrow s \rightarrow p$

$$\vec{w}(q) = (1 - \delta \nabla_V + \frac{1}{2} \delta^2 \nabla_V \nabla_V) \vec{w}(p)$$

$$\vec{w}(r) = (1 - \varepsilon \nabla_V + \frac{1}{2} \varepsilon^2 \nabla_V \nabla_V) \vec{w}(q)$$

$$\vec{w}(t) = (1 - \delta \varepsilon \nabla_{[V, V]}) \vec{w}(r)$$

$$\vec{w}'(p) = (H \varepsilon \nabla_J + \frac{1}{2} \varepsilon^2 \nabla_V \nabla_V) \vec{w}(s)$$

$$\delta w = \vec{w}'(p) - \vec{w}(p) = ([\nabla_V, \nabla_V] - \nabla_{[V, V]}) \vec{w}(p) \cdot \delta \varepsilon$$

$$[\nabla_p, \nabla_q] X^{\mu_1 \dots \mu_k}$$

$$_{\nu_1 \dots \nu_k} = R^{\mu_1}_{\lambda \rho \sigma} X^{\lambda \mu_2 \dots \mu_k}$$

$$_{\nu_1 \dots \nu_k} + \dots + R^{\lambda}_{\nu_1 \rho \sigma} X^{\mu_1 \dots \mu_k} _{\lambda \nu_2 \dots \nu_k} + \dots$$

R 对称性：

$$R^{\mu}_{\nu\rho\sigma} = - R^{\mu}_{\nu\sigma\rho}$$

$$R_{\mu\nu\rho\sigma} = - R_{\nu\mu\rho\sigma}$$

$$R_{\rho\sigma\mu\nu} = R_{\mu\nu\rho\sigma}$$

$$R_{\rho[\sigma} \Gamma_{\mu\nu]\sigma} = 0$$

$$\nabla_{[\lambda} R_{\rho\sigma]\mu\nu} = 0$$

$$R_{\mu\nu\rho\sigma} = \frac{1}{2} (\partial_\mu \partial_\sigma g_{\nu\rho} - \partial_\mu \partial_\rho g_{\nu\sigma} + \partial_\nu \partial_\rho g_{\mu\sigma} - \partial_\nu \partial_\sigma g_{\mu\rho}), \text{ 1个独立分量}$$

关于 $\{\lambda, \nu\}$ 反对称

$$R^{\mu}_{\lambda\nu} = - R^{\mu}_{\nu\lambda}$$

$$R(\hat{X}, \hat{Y}, \hat{Z}) = \nabla_{\hat{X}} \nabla_{\hat{Y}} \hat{Z} - \nabla_{\hat{Y}} \nabla_{\hat{X}} \hat{Z} - \nabla_{[\hat{X}, \hat{Y}]} \hat{Z}$$

$n=4$, 20个独立分量

$n=3$, 6个独立分量

$n=2$, 1个独立分量

Ricci tensor (里奇张量) $R_{\mu\nu} = R^\lambda_{\mu\lambda\nu} = g^{\lambda\rho} R_{\lambda\nu\rho} = g^{\lambda\rho} R_{\lambda\mu\rho\nu}$ $R_{\mu\nu} = R_{\nu\mu}$

Ricci scalar (里奇标量) $R = R^\mu_\mu = g^{\mu\nu} R_{\mu\nu}$

2. Ricci $R_{\mu\nu\rho\sigma}$ 独立分量为 1 $R_{\mu\nu\rho\sigma} = \frac{1}{2} R (g_{\mu\rho}g_{\nu\sigma} - g_{\nu\rho}g_{\mu\sigma})$

Einstein tensor (爱因斯坦张量) $G_{\mu\nu} = R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu}$ satisfy: $\nabla^\mu G_{\mu\nu} = 0$

Example of S^2 $ds^2 = a^2(d\theta^2 + \sin^2\theta d\phi^2)$ $\Gamma^\mu_{\nu\rho}$ 非零: $\Gamma^\theta_{\phi\phi} = -\sin\theta\cos\theta$ $\Gamma^\phi_{\theta\phi} = \Gamma^\phi_{\phi\theta} = \cot\theta$

$R^\lambda_{\nu\rho\sigma}$ 只有一项非零: $R^\theta_{\phi\theta\phi} = \sin^2\theta$ $R_{\phi\theta\phi} = g_{\theta\theta}R^\theta_{\phi\theta\phi} = a^2\sin^2\theta = R_{\phi\theta\phi}$

$R_{\theta\theta} = g^{\phi\phi}R_{\phi\theta\phi} = 1$ $R_{\phi\phi} = g^{\theta\theta}R_{\phi\theta\phi} = \sin^2\theta$ $R = g^{\theta\theta}R_{\theta\theta} + g^{\phi\phi}R_{\phi\phi} = \frac{2}{a^2}$ 是常量 (常曲率空间)

12. Killing vector and symmetry

直觉上: S^2 最大对称空间

Isometry

保持度规形式不变的坐标变换

$$x^\mu \rightarrow x'^\mu$$

$$g'_{\alpha\beta}(x') = \frac{\partial x'^\mu}{\partial x^\alpha} \frac{\partial x'^\nu}{\partial x^\beta} g_{\mu\nu}(x) \quad (\text{same point on the manifold}).$$

$$\text{Isometry: } g'_{\alpha\beta}(x') = g_{\alpha\beta}(x')$$



$$a^2(d\theta^2 + \sin^2\theta d\phi^2)$$

$$\downarrow$$

$$a^2(d\theta'^2 + \sin^2\theta' d\phi'^2)$$

无穷小生成元 $x'^\alpha = x^\alpha + \varepsilon \xi^\alpha(x) \quad \varepsilon \ll 1$ $g_{\mu\nu}(x) = \frac{\partial x'^\alpha}{\partial x^\mu} \frac{\partial x'^\beta}{\partial x^\nu} g'_{\alpha\beta}(x') = (\delta_\mu^\alpha + \varepsilon \partial_\mu \xi^\alpha)(\delta_\nu^\beta + \varepsilon \partial_\nu \xi^\beta)$

即 $g_{\mu\nu}(x) = g'_{\mu\nu}(x) + \varepsilon [\partial_\mu \xi^\alpha g'_{\alpha\nu} + \partial_\nu \xi^\beta g'_{\mu\beta} + \xi^\gamma \partial_\gamma g'_{\mu\nu}] + O(\varepsilon^2)$ $(g'_{\alpha\beta}(x) + \varepsilon \xi^\gamma \partial_\gamma g'_{\alpha\beta}(x))$

$g_{\mu\nu}(x) = g'_{\mu\nu}(x) \Rightarrow \partial_\mu \xi_\nu - \xi^\alpha \partial_\mu g_{\alpha\nu} + \partial_\nu \xi_\mu - \xi^\beta \partial_\nu g_{\mu\beta} + \xi^\gamma \partial_\gamma g_{\mu\nu} = 0$

$$\Rightarrow 2\partial_\mu \xi_\nu - 2\xi_\gamma \Gamma_{\mu\nu}^\gamma = 0 \Rightarrow \boxed{\nabla_\mu \xi_\nu + \nabla_\nu \xi_\mu = 0 \quad \text{或} \quad \nabla_{[\mu} \xi_{\nu]} = 0}$$

(Killing 场 ξ_μ)

Solve Killing eq e.g. if $\frac{\partial g_{\mu\nu}}{\partial x^1} = 0$ (时空间度规不依赖于某一坐标) 存在常 killing 场 $\xi^1 = \text{constant } b$

e.g. $\mathbb{R}^2 \quad ds^2 = dx^2 + dy^2$ killing vector $\vec{x} = \frac{\partial}{\partial x} = (1, 0)$ $\vec{y} = \frac{\partial}{\partial y} = (0, 1)$ $\xi^\lambda (\lambda \neq 1) = 0$

$$ds^2 = dr^2 + r^2 d\theta^2 \quad \vec{r} = \frac{\partial}{\partial \theta} = -y \frac{\partial}{\partial x} + x \frac{\partial}{\partial y}$$

\mathbb{R}^2 只有 3 个 相互独立 killing 场 ($\vec{x}, \vec{y}, \vec{r}$ 构成 李代数) 两个 killing vector 的对易也是 killing vector

$$\begin{cases} [\vec{x}, \vec{y}]^\mu = x^\sigma \nabla_\sigma y^\mu - y^\sigma \nabla_\sigma x^\mu = [x^\sigma \partial_\sigma, y^\rho \partial_\rho]^\mu \\ [\vec{x}, \vec{r}] = 0 \quad [\vec{r}, \vec{x}] = (-y \partial_x + x \partial_y) \partial_x - \partial_x (-y \partial_x + x \partial_y) = -\partial_y = -\vec{y} \\ [\vec{r}, \vec{y}] = \vec{x} \quad \text{记为 } \text{iso}(2) \quad (2 \text{ 平移} + 1 \text{ 旋转}) \end{cases}$$

最大对称空间: killing vector 张成的最大李代数维度

n-dim 流形 最多 $\frac{n(n+1)}{2}$ 个 独立 killing 场

e.g. \mathbb{R}^n 有 n 个平行 killing vector ∂_i ($i=1, \dots, n$) $\frac{n(n-1)}{2}$ 旋转变： $x^i \partial_j - x^j \partial_i \Rightarrow$ 最大对称空间

常曲率空间 (例： S^2) 也是最大对称空间 $R = \pm \frac{n(n-1)}{L^2}$ $R_{\mu\nu\rho\sigma} = \pm \frac{1}{L^2} (g_{\mu\rho}g_{\nu\sigma} - g_{\mu\sigma}g_{\nu\rho})$

2维流形也满足以上关于 R 的关系

正曲率：dS (de Sitter spacetime) 负曲率：AdS (Anti-de Sitter spacetime)

* killing vector & conserved quantities

$$\xi_\nu u^\nu \text{ 沿矢地线 } (\text{ u^ν 是切矢 } u^\nu = \frac{dx^\nu}{d\tau}) \quad \text{ 方向导数 } u^\mu \nabla_\mu (\xi_\nu u^\nu) = u^\mu \xi_\nu \nabla_\mu u^\nu + \underbrace{u^\mu u^\nu}_{= u^\mu u^\nu} \nabla_\mu \xi_\nu$$

$$u^\mu \nabla_\mu u^\nu = u^\mu \partial_\mu u^\nu + u^\mu \Gamma_{\mu\rho}^\nu u^\rho = \frac{du^\nu}{d\tau} + \Gamma_{\mu\rho}^\nu u^\mu u^\rho$$

$$= \frac{du^\nu}{d\tau} + \Gamma_{\mu\rho}^\nu u^\mu u^\rho = 0 \quad (\text{矢地线方程})$$

即 每个 killing vector 对应一个沿着矢地线守恒量

e.g. \mathbb{R}^n 中 $P^\nu = mu^\nu$ $\xi_\nu P^\nu$ 沿矢地线守恒 \Rightarrow 动量守恒

In general, no killing vector \Rightarrow no conservation of energy/momentum

13. Einstein's equation

$$SR \rightarrow GR \quad \text{广义协变性原理} \quad \partial_\mu \rightarrow \nabla_\mu \quad \gamma_{\mu\nu} \rightarrow g_{\mu\nu}$$

物理方程用张量形式写出，突出其协变性

作用量在局部坐标变换下不变

e.g. 4- 平直时空： $\frac{d^2x^\mu}{d\lambda^2} = 0$ 板为 $\left(\frac{\partial x^\nu}{\partial \lambda} \frac{\partial}{\partial x^\nu}\right) \frac{dx^\mu}{d\lambda} = 0 \rightarrow \frac{\partial x^\nu}{\partial \lambda} \nabla \frac{dx^\mu}{d\lambda} = 0 \quad (u^\nu \nabla_\nu u^\mu = 0, i.e. \text{地线方程})$
能-动三字迹 $\partial_\mu T^{\mu\nu} = 0$

微分形式 $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$ 变换为 $\nabla_\mu A_\nu - \nabla_\nu A_\mu$ 不变 $\rightarrow \nabla_\mu T^{\mu\nu} = 0$

EM $\partial_\mu F^{\mu\nu} = -4\pi j^\nu \Rightarrow \nabla_\mu F^{\mu\nu} = -4\pi j^\nu$

但： $\partial_\mu \partial_\nu T^{\mu\nu} \rightarrow \nabla_\mu \nabla_\nu T^{\mu\nu}$ (μ, ν) 不再对称 (不能作直接替换，视具体物理而定)

3力 $\nabla^2 \bar{\Phi} = 4\pi G\rho \quad \bar{\Phi} \rightarrow ?$

333力场：设 $g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$ $|h_{\mu\nu}| \ll 1$ 非相对论： $\frac{dx^i}{dt} \ll \frac{dt}{dz}$ 高速： $\partial_0 g_{\mu\nu} = 0$

非相对论极限下 闵地线方程： $\frac{d^2x^\mu}{dz^2} + \Gamma_0^\mu = 0 \quad \left(\frac{dt}{dz} \approx 1 \right)$ 弱场 高速下：

$$\Gamma_{00}^\mu = \frac{1}{2} g^{\mu\nu} (\partial_0 g_{10} + \partial_0 g_{0\nu} - \partial_\nu g_{00}) = -\frac{1}{2} g^{\mu\nu} \partial_\nu g_{00} = -\frac{1}{2} \eta^{\mu\nu} \partial_\nu h_{00}$$

$$\Rightarrow \frac{d^2x^\mu}{dt^2} = \frac{1}{2} \eta^{\mu\nu} \partial_\nu h_{00}$$

$$\frac{d^2x^i}{dt^2} = \frac{1}{2} \partial_i h_{00} = -\partial_i \bar{\varphi}$$

$$h_{00} = -2\bar{\varphi}$$

$$g_{00} = -(1+2\bar{\varphi})$$

$$ds^2 \approx -(1+2\bar{\varphi}) dt^2 + d\vec{r}^2$$

由此猜测 $(\nabla^2 g)_{\mu\nu} \sim T_{\mu\nu}$ $R_{\mu\nu} = kT_{\mu\nu}$? \times $\nabla^\mu T_{\mu\nu} = 0$ 但 in general $\nabla^\mu R_{\mu\nu} \neq 0$

由 $\nabla^\mu R_{\mu\nu} - \frac{1}{2} \nabla_\nu R = 0$ 即 $\nabla^\mu G_{\mu\nu} = 0$ ($G_{\mu\nu} = R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu}$)

\Rightarrow Einstein 方程 $R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} = k T_{\mu\nu}$ 或写为 $G_{\mu\nu} = k T_{\mu\nu}$ $k = ?$

确定 k : 弱场, 非相对论, 静态时:

理想流体极限 $T_{\mu\nu} = (\rho + p) U_\mu U_\nu + p g_{\mu\nu}$ 生长 $p=0$ $T_{\mu\nu} = \rho U_\mu U_\nu$

静止参考系 $U^\mu = (U^0, 0, 0, 0)$ 由 $g_{\mu\nu} U^\mu U^\nu = -1$ $g_{00} = -1 + h_{00}$ $g_{00}(U^0)^2 = -1 \Rightarrow U^0 = 1 + \frac{1}{2} h_{00}$

$T_{\mu\nu}$ 只有一个非零量 $T_{00} = \rho$ $T = g^{00} T_{00} = -\rho$

$R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} = k T_{\mu\nu}$ 与 $g^{\mu\nu}$ 缩并 $R - 2R = kT \Rightarrow -R = kT$

$R_{\mu\nu} = k(T_{\mu\nu} - \frac{1}{2} T g_{\mu\nu})$ $R_{00} = k(\rho - \frac{1}{2} (-\rho)(-1)) = \frac{1}{2} k\rho$

$R^i_{0j0} = \partial_j \Gamma^i_{00} - \partial_0 \Gamma^i_{j0} + \Gamma^i_{j\lambda} \Gamma^{\lambda}_{00} - \Gamma^i_{0\lambda} \Gamma^{\lambda}_{j0} = \partial_j T^i_{00} = -\frac{1}{2} \partial_j \partial^i h_{00}$

$O(h^2)$

$$R_{\mu\nu} = R^i_{\mu i\nu} = -\frac{1}{2} \nabla^2 h_{\mu\nu} = \nabla^2 \bar{\phi} \Rightarrow \nabla^2 \bar{\phi} = \frac{1}{2} k\rho \quad R/Jk = 8\pi G$$

Einstein equation: $R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} = 8\pi G T_{\mu\nu}$ $R_{\mu\nu} = 8\pi G (T_{\mu\nu} - \frac{1}{2} T g_{\mu\nu})$

$T_{\mu\nu} = 0 \Rightarrow$ 真空 Einstein 方程 $R_{\mu\nu} = 0$ (not flat $R^{\lambda}_{\nu\mu\sigma} \neq 0$)

14. Einstein Hilbert action

$$S = \int L \underbrace{d^n x}_{\text{tensor density}} = \int \tilde{L} \underbrace{\sqrt{|g|} d^n x}_{\text{scalar}}$$

\tilde{L} : $R, R^2, R_{\mu\nu} R^{\mu\nu}, R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma}, \dots$

量纲: $[g_{\mu\nu}] : M^0$ $[R], [R_{\mu\nu}][R_{\mu\nu\rho\sigma}] : L^{-2} = M^2$ ($\hbar=1 \sim m_r$)

$$[L] : L^{-n} = M^n \quad n\text{-dim spacetime force } F \sim -\frac{G M r}{r^{n+2}} \sim m a$$

$$[L]^{-1} [L]^{n-2} [L] = [L]^{n-2} = [M]^{2-n} \quad \frac{R}{G} \sim [M]^n$$

$$S_H = \boxed{\frac{1}{16\pi G} \int \sqrt{|g|} R d^n x}$$

$$\delta S = \frac{1}{16\pi G} \int \sqrt{|g|} g^{\mu\nu} \delta R_{\mu\nu} d^n x + \frac{1}{16\pi G} \int g^{\mu\nu} R_{\mu\nu} \delta \sqrt{|g|} d^n x$$

δS_1 δS_2

$$R^\rho_{\mu\lambda\nu} = \partial_\lambda \Gamma^\rho_{\nu\mu} + \Gamma^\rho_{\lambda\sigma} \Gamma^\sigma_{\nu\mu} - (\lambda \leftrightarrow \nu)$$

$$+ \frac{1}{16\pi G} \int d^n x \underbrace{\sqrt{-g} \delta g^{\mu\nu} R_{\mu\nu}}_{\delta S_3}$$

$$\delta R^\rho_{\mu\nu\nu} = \partial_\lambda \delta \Gamma^\rho_{\nu\mu} + \delta \Gamma^\rho_{\lambda\sigma} \Gamma^\sigma_{\nu\mu} + \Gamma^\rho_{\lambda\sigma} \delta \Gamma^\sigma_{\nu\mu} - (\lambda \leftrightarrow \nu)$$

$\{x^\mu\} \rightarrow \{x'^\mu\}$ - $\delta \Gamma^\rho_{\nu\mu}$ is a tensor

$$\nabla_\lambda \delta \Gamma^\rho_{\nu\mu} = \partial_\lambda \delta \Gamma^\rho_{\nu\mu} + \Gamma^\rho_{\lambda\sigma} \delta \Gamma^\sigma_{\nu\mu} - \Gamma^\sigma_{\lambda\nu} \delta \Gamma^\rho_{\sigma\mu} - \Gamma^\sigma_{\lambda\mu} \delta \Gamma^\rho_{\nu\sigma}$$

$$\delta R^\rho_{\mu\nu\nu} = \nabla_\lambda (\delta \Gamma^\rho_{\nu\mu}) - \nabla_\nu (\delta \Gamma^\rho_{\lambda\mu})$$

$$\begin{aligned} \delta S_1 &= \int d^n x \sqrt{|g|} g^{\mu\nu} [\nabla_\lambda (\delta \Gamma^\lambda_{\nu\mu}) - \nabla_\nu (\delta \Gamma^\lambda_{\lambda\mu})] = \int d^n x \sqrt{|g|} \nabla_\lambda [g^{\mu\nu} \delta \Gamma^\lambda_{\nu\mu} - g^{\mu\lambda} \delta \Gamma^\nu_{\lambda\mu}] \\ &= 0 \end{aligned}$$

$$\delta S_2 \quad \ln(\det M) = \text{Tr}(\ln M) \quad \frac{\delta \ln M}{\det M} = \text{Tr}(M^{-1} \delta M) \quad \delta g = g g^{\mu\nu} \delta g_{\mu\nu} = -g g_{\mu\nu} \delta g^{\mu\nu}$$

$$\text{因为 } \delta(g^{\mu\nu} g_{\mu\nu}) = 0 \quad \delta \sqrt{|g|} = \frac{1}{2\sqrt{|g|}} \delta(-g) = -\frac{1}{2} \sqrt{-g} g_{\mu\nu} \delta g^{\mu\nu}$$

$$\delta S_2 = \int d^n x R \left(-\frac{1}{2} \sqrt{-g} g_{\mu\nu} \delta g^{\mu\nu} \right)$$

$$\Rightarrow \delta S = \int d^n x \sqrt{-g} (R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu}) \delta g^{\mu\nu} \Rightarrow R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} = 0 \quad \text{即真空 Einstein 方程}$$

$$g^{\mu\nu}(R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu}) = \underbrace{g^{\mu\nu}R_{\mu\nu}}_{=R} - \frac{n}{2}R = 0 \quad \Rightarrow R=0 \quad (n>2) \quad R_{\mu\nu}=0$$

有物质场 : $R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} = 8\pi G T_{\mu\nu}$ $S = \frac{1}{16\pi G} \int d^4x \sqrt{-g} R + S_M$

定义 $T_{\mu\nu} = \frac{-2}{\sqrt{-g}} \frac{\delta S_M}{\delta g^{\mu\nu}}$

标量场 ϕ : $S_\phi = \int d^4x \sqrt{-g} \left[-\frac{1}{2}g^{\mu\nu}(\nabla_\mu\phi)(\nabla_\nu\phi) - V(\phi) \right]$

$$\begin{aligned} \delta S_\phi (\text{varying } g^{\mu\nu}) &= \int d^4x \sqrt{-g} \delta g^{\mu\nu} \left[-\frac{1}{2}(\nabla_\mu\phi)(\nabla_\nu\phi) \right] + \int d^4x \sqrt{-g} \left[-\frac{1}{2}g^{\mu\nu}\nabla_\mu\phi \nabla_\nu\phi - V(\phi) \right] \\ &= \int d^4x \sqrt{-g} \delta g^{\mu\nu} \left[-\frac{1}{2}\nabla_\mu\phi \nabla_\nu\phi + (-\frac{1}{2}g_{\mu\nu})(-\frac{1}{2}g^{\rho\sigma}\nabla_\rho\phi \nabla_\sigma\phi - V(\phi)) \right] \\ \Rightarrow T_{\mu\nu} &= -2\frac{1}{\sqrt{-g}} \frac{\delta S_\phi}{\delta g^{\mu\nu}} = \nabla_\mu\phi \nabla_\nu\phi - \frac{1}{2}g_{\mu\nu}g^{\rho\sigma}\nabla_\rho\phi \nabla_\sigma\phi - g_{\mu\nu}V(\phi) \end{aligned}$$

简化到 Minkowski spacetime : $g_{\mu\nu} \rightarrow \eta_{\mu\nu}$ $\nabla_\mu \rightarrow \partial_\mu$

$$T_{\mu\nu} = \partial_\mu\phi \partial_\nu\phi - \frac{1}{2}\eta_{\mu\nu}\partial^\rho\phi \partial_\rho\phi - \eta_{\mu\nu}V(\phi) = \partial_\mu\phi \partial_\nu\phi + \eta_{\mu\nu}L \quad L = -\frac{1}{2}\partial^\rho\phi \partial_\rho\phi - V(\phi)$$

即 $T_{\mu\nu} = -\left[\frac{\partial L}{\partial(\partial^\mu\phi)} \partial_\mu\phi - \eta_{\mu\nu}L \right]$

$$31 \lambda \text{宇宙常数 } \Lambda \quad R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} + \Lambda g_{\mu\nu} = 8\pi G T_{\mu\nu}$$

$$S_\Lambda = \frac{1}{16\pi G} \int d^4x \sqrt{-g} (-2\Lambda)$$

当成物质: $T_{\mu\nu,\Lambda} = -\frac{\Lambda}{8\pi G} g_{\mu\nu}$ as a perfect fluid $T_{\mu\nu} = (\rho + p)U_\mu U_\nu + p g_{\mu\nu}$

$$\Rightarrow \rho = -p \quad p = -\frac{\Lambda}{8\pi G}$$

观测量 $\Lambda = 10^{-122} > 0$ $p < 0 \Rightarrow$ 负压强

15. Properties of EH action & Einstein equations.

$$d\text{-dim} \quad [G] \sim L^{d-2} = M^{2-d}$$

$$\text{Plank length } l_p = G^{\frac{1}{d-2}} \quad t_p = G^{\frac{1}{d-2}} \quad m_p = G^{-\frac{1}{d-2}}$$

$$d=4, \quad l_p = t_p = \sqrt{G} \quad m_p = \frac{1}{\sqrt{G}}$$

$$(SI) \quad l_p = \sqrt{\frac{hc}{G}} \sim 1.616 \times 10^{-35} m$$

$$t_p = \frac{l_p}{c} = 5.341 \times 10^{-44} s$$

$$E_p = m_p c^2 = 1.217 \times 10^{19} GeV = 1.956 \times 10^{-4} J$$

$$m_p = \sqrt{\frac{hc}{G}} = 2.176 \times 10^{-8} kg$$

特征: 粒子引力开始起作用的能标

After considering quantum corrections.

$$S \sim \frac{1}{16\pi G} \int \bar{g} d^3x (R + \frac{R^2}{m_p^2} + \frac{\nabla R \nabla R}{m_p^4} + \dots)$$

高能 范围

$$[R] = m^2$$

$$\text{类比: } \frac{1}{1+x} \approx 1-x$$

物理意义: $\frac{1}{m^2}$

作用力场



$$\text{线性引力近似} \quad g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu} \quad (h_{\mu\nu} \ll 1) \quad g_{\mu\nu} g^{\mu\nu} = \eta_{\mu\nu} \eta^{\mu\nu} \Rightarrow g^{\mu\nu} = \eta^{\mu\nu} - h^{\mu\nu} \quad h^{\mu\nu} = \eta^{\mu\rho} \eta^{\nu\sigma} h_{\rho\sigma}$$

$$\Gamma_{\mu\nu}^\rho = \frac{1}{2} g^{\rho\lambda} (\partial_\mu g_{\nu\lambda} + \partial_\nu g_{\mu\lambda} - \partial_\lambda g_{\mu\nu}) = \frac{1}{2} \eta^{\rho\lambda} (\partial_\mu h_{\nu\lambda} + \partial_\nu h_{\mu\lambda} - \partial_\lambda h_{\mu\nu}) = \frac{1}{2} (\partial_\mu h_\nu^\rho + \partial_\nu h_\mu^\rho - \partial_\rho h_{\mu\nu})$$

$$R^\mu_{\nu\rho\sigma} \approx \partial_\rho \Gamma_{\sigma\nu}^\mu - \partial_\sigma \Gamma_{\rho\nu}^\mu = \frac{1}{2} \partial_\rho (\partial_\sigma h_\nu^\mu + \partial_\nu h_\sigma^\mu - \partial^\mu h_{\sigma\nu}) - \frac{1}{2} \partial_\sigma (\partial_\rho h_\nu^\mu + \partial_\nu h_\rho^\mu - \partial^\mu h_{\rho\nu}) \\ = \frac{1}{2} (\partial_\rho \partial_\sigma h_\nu^\mu + \partial_\sigma \partial^\mu h_{\nu\rho} - \partial_\rho \partial^\mu h_{\sigma\nu} - \partial_\sigma \partial^\mu h_{\rho\nu})$$

$$R_{\mu\nu} = R^\rho_{\mu\rho\nu} = \frac{1}{2} (\partial_\rho \partial_\mu h_\nu^\rho + \partial_\nu \partial^\rho h_{\mu\rho} - \partial_\rho \partial^\rho h_{\nu\mu} - \partial_\nu \partial_\mu h^\rho_\rho) \quad h \equiv h^\rho_\rho : \text{对称}$$

$$R = R^\mu_\mu = \frac{1}{2} (\partial_\rho \partial^\mu h_{\mu\rho} + \partial_\mu \partial^\rho h^\mu_\rho - \partial_\rho \partial^\rho h_{\mu\mu} - \partial_\mu \partial^\mu h) = \partial_\mu \partial_\nu h^{\mu\nu} - D h$$

$$\text{Einstein tensor} \quad G_{\mu\nu} = R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R$$

$$= \frac{1}{2} (\partial_\rho \partial_\nu h^\rho_\mu + \partial_\rho \partial_\mu h^\rho_\nu - \partial_\mu \partial_\nu h - D h_{\mu\nu} - \eta_{\mu\nu} \partial_\rho \partial_\sigma h^{\rho\sigma} + \eta_{\mu\nu} D h)$$

$$\text{拉氏量 } \mathcal{L} = \frac{1}{2} [\partial_\mu h^{\mu\nu} \partial_\nu h - \partial_\mu h^{\rho\sigma} \partial_\rho h^\nu{}_\sigma + \frac{1}{2} \eta^{\mu\nu} (\partial_\rho h^{\rho\sigma}) (\partial_\nu h_{\mu\sigma}) - \frac{1}{2} \eta^{\mu\nu} (\partial_\mu h) (\partial_\nu h)]$$

规范对称性 $x'^\mu = x^\mu + \xi^\mu(x)$

$$\left\{ \begin{array}{l} \frac{\partial x'^\mu}{\partial x^\nu} = \delta^\mu{}_\nu + \partial_\nu \xi^\mu \\ \frac{\partial x^\nu}{\partial x'^\mu} = \delta^\nu_\mu + \partial_\mu \xi^\nu \end{array} \right. \quad g'_{\mu\nu} = \frac{\partial x^\rho}{\partial x'^\mu} \frac{\partial x^\sigma}{\partial x'^\nu} \quad g_{\rho\sigma} = (\delta^\rho_\mu - \partial_\mu \xi^\rho)(\delta^\sigma_\nu - \partial_\nu \xi^\sigma)$$

$$= \eta_{\mu\nu} + h_{\mu\nu} - \partial_\mu \xi_\nu - \partial_\nu \xi_\mu$$

$$\Rightarrow h'_{\mu\nu} = h_{\mu\nu} - \partial_\mu \xi_\nu - \partial_\nu \xi_\mu$$

$$\begin{aligned} \delta_s R_{\mu\nu\rho\sigma} &= \delta \left[\frac{1}{2} (\partial_\rho \partial_\nu h_{\mu\sigma} - \partial_\rho \partial_\mu h_{\nu\sigma}) - (\rho \leftrightarrow \sigma) \right] \\ &= \frac{1}{2} \left(-\partial_\rho \partial_\nu \partial_\mu \xi_\sigma - \underbrace{\partial_\rho \partial_\nu \partial_\sigma \xi_\mu}_{=0} + \partial_\rho \partial_\mu \partial_\nu \xi_\sigma + \underbrace{\partial_\rho \partial_\mu \partial_\sigma \xi_\nu}_{=0} \right) - (\rho \leftrightarrow \sigma). \end{aligned}$$

由于规范不变性，可以选择合适规范 (Gauge fixing)

调和 (harmonic) 规范 $g^{\mu\nu} \Gamma_{\mu\nu}^\rho = 0 \quad \eta^{\mu\nu} (\partial_\nu h_\nu{}^\rho + \partial_\nu h_\nu{}^\rho - \partial^\rho h_{\mu\nu}) = 0 \Rightarrow 2 \partial^\nu h_\nu{}^\rho - \partial^\rho h = 0$

规范自由度并未完全固定： $2 \partial^\nu (h_\nu{}^\rho - \partial_\nu \xi^\rho - \partial^\rho \xi_\nu) - \partial^\rho (h - 2 \partial_\nu \xi^\nu) = 0 \rightarrow$ 可以相差满足 $\square \xi^\mu = 0$ 的规范变换

调和规范 $\partial^\nu h_\nu{}^\rho = \frac{1}{2} \partial^\rho h$ 下，

$$G_{\mu\nu} = \frac{1}{2} \left(\frac{1}{2} \partial_\nu \partial_\mu h + \frac{1}{2} \partial_\mu \partial_\nu h - \partial_\mu \partial_\nu h - \square h_{\mu\nu} - \frac{1}{2} \eta_{\mu\nu} \square h + \eta_{\mu\nu} \square h \right)$$

$$= -\frac{1}{2} (\square h_{\mu\nu} - \frac{1}{2} \eta_{\mu\nu} \square h)$$

Einstein eq. $G_{\mu\nu} = 8\pi G T_{\mu\nu}$ $\Rightarrow \square h_{\mu\nu} - \frac{1}{2} \eta_{\mu\nu} \square h = -16\pi G T_{\mu\nu}$

真空情形 $R_{\mu\nu} = 0$ $\Rightarrow \square h_{\mu\nu} = 0$ 波动方程 (真空中的引力波)

$T_{\mu\nu} \neq 0$ Define $\bar{h}_{\mu\nu} = h_{\mu\nu} - \frac{1}{2} \eta_{\mu\nu} h$ satisfy $\partial_\mu \bar{h}_{\nu}^\mu = 0$ (harmonic gauge)
 $\square \bar{h}_{\mu\nu} = -16\pi G T_{\mu\nu}$.

线性引力

$$S_{EH} \sim \frac{1}{G} \int d^4x (\partial h)^2 + (\partial h)^2 h + \dots$$

$$\text{def. } \tilde{h} = \frac{h}{\sqrt{G}} = h_{mp}$$

$$S_{EH} \sim \int d^4x \frac{(\partial \tilde{h})^2}{M^4} + \frac{(\partial \tilde{h})^2 \tilde{h}}{M^5} \frac{1}{m_p} + \dots$$

高阶项是发散
而不断引入 $\frac{1}{m_p}$ 改，引力不可重整
是低能有效理论

Solution to Einstein eq.

$$(1) \quad T_{\mu\nu} = 0, R_{\mu\nu} = 0 \quad D < 4, R_{\mu\nu\rho\sigma} = 0$$

$D \geq 4$, e.g. Schwarzschild solution

(2) $T_{\mu\nu} \neq 0$ energy conditions (能量条件) $T_{\mu\nu}$ 上的条件, 使 Einstein 方程上的解有良好性质

(weak, null,
dominant, strong)

e.g. 能量条件 (WEC) for any timelike observer t^μ , $T_{\mu\nu} t^\mu t^\nu \geq 0$

能量条件 (NEC) for any null vector l^μ , $T_{\mu\nu} l^\mu l^\nu \geq 0$

16. Schwarzschild solution (史瓦西解)

真空 Einstein 场方程 $R_{\mu\nu} = 0$ 的严格解. 对称 静态

Stationary 稳定. 存在坐标系使 $\frac{\partial g_{\mu\nu}}{\partial x^0} = 0$ ($g_{\mu\nu}$ 在某坐标系下不含时) \Leftrightarrow 存在一个类时 Killing 场 $\xi^0 = 1$
Static 静止. (1) 静止. (2) $g_{0i} = 0$ $ds^2 = g_{00} (dx^0)^2 + g_{ij} dx^i dx^j$ $\xi^i = 0$

\Rightarrow 存在超曲面垂直的类时 Killing 场 (坐标无关的描述)

超曲面: $n-1$ 维空间, defined by $f(x^\mu) = c$ $c \in \mathbb{R}$.

\sim $f=1$ at a point $p \in \Sigma$, 法向量 $n_\mu \equiv \frac{\partial f}{\partial x^\mu}$

\sim $f=0$ 超曲面法线: $x^\mu = \lambda(x) n^\mu = \lambda g^{\mu\nu} \frac{\partial f}{\partial x^\nu}$

\sim $f=-1$ $x_\mu \partial_\nu x_\sigma = \lambda \frac{\partial f}{\partial x^\mu} \frac{\partial}{\partial x^\nu} \left(\lambda \frac{\partial f}{\partial x^\sigma} \right) = \lambda \frac{\partial f}{\partial x^\mu} \frac{\partial \lambda}{\partial x^\nu} \frac{\partial f}{\partial x^\sigma} + \lambda^2 \frac{\partial f}{\partial x^\mu} \frac{\partial^2 f}{\partial x^\nu \partial x^\sigma}$

$x_{[\mu} \nabla_\nu x_{\sigma]} = x_{[\mu} \partial_\nu x_{\sigma]} = 0 \Leftrightarrow x^\mu$ 是超曲面法线的

在静态时空, 类时 Killing 场 $x^\mu = \delta^{\mu}_0$ $\partial_0 g_{\mu\nu} = 0$

$$X_\mu = g_{\mu\nu} X^\nu = g_{\mu 0} \quad |X|^2 = X_\mu X^\mu = g_{00}$$

选取 $X_\mu = g_{00} \frac{\partial f}{\partial x^\mu} = g_{\mu 0}$ $\mu=0$ 时 $\Rightarrow \frac{\partial f}{\partial x^0} = 1 \Rightarrow f = x^0 + h(x^i)$ 且 $g_{i0} = g_{00} \partial_i h$

定义坐标变换 $x^0 \rightarrow x^{0'} = x^0 + h(x^i)$ $x^i \rightarrow x^{i'} = x^i$

$$g'_{00} = \frac{\partial x'^0}{\partial x^0} \frac{\partial x'^0}{\partial x^0} g_{00} = g_{00}$$

$$g'_{\mu' \nu'} = \frac{\partial x'^\mu}{\partial x^\mu} \frac{\partial x'^\nu}{\partial x^\nu} g_{\mu\nu} \quad \frac{\partial x^0}{\partial x^{0'}} = 1 \quad \frac{\partial x^0}{\partial x^{i'}} = -\partial_i h \quad \frac{\partial x^i}{\partial x^{i'}} = \delta_i^i, \quad \frac{\partial x^i}{\partial x^0} = 0$$

$$\partial_0' g'_{\mu' \nu'} = 0 \quad g_{0' i'} = -\partial_i h g_{00} + g_{0i}$$

$$\text{由于 } \partial_i h = \frac{g_{0i}}{g_{00}} \Rightarrow g_{0' i'} = 0$$

口 史瓦西解 是真空中唯一的球对称解

$$R_{\mu\nu} = 0 \quad \text{假定} \quad ds^2 = -e^{2\alpha(t,r)} dt^2 + e^{2\beta(t,r)} dr^2 + r^2 d\Omega^2$$

$$R_{00} = [\partial_0^2 \rho + (\partial_0 \rho)^2 - 2\partial_0 \alpha \partial_0 \rho] + e^{2(\alpha-\beta)} [\partial_1^2 \alpha + (\partial_1 \alpha)^2 - \partial_1 \alpha \partial_1 \rho + \frac{2}{r} \partial_1 \alpha]$$

$$R_{11} = -[\partial_1^2 \alpha + (\partial_1 \alpha)^2 - \partial_1 \alpha \partial_1 \rho - \frac{2}{r} \partial_1 \rho] + e^{2(\beta-\alpha)} [\partial_0^2 \rho + (\partial_0 \rho)^2 - \partial_0 \alpha \partial_0 \rho]$$

$$R_{01} = \frac{2}{r} \partial_0 \rho \quad R_{22} = e^{-2\rho} [r(\partial_1 \rho - \partial_1 \alpha) - 1] + 1 \quad R_{33} = R_{22} \sin^2 \theta. \quad \text{上述 } R \text{ 分量全为 0}$$

$$R_{01} = 0 \Rightarrow \partial_0 \rho = 0 \quad \rho = \rho(r) \quad \Rightarrow \quad \partial_1 (\alpha + \rho) = 0 \quad \alpha = -\rho(r) + g(t). \quad \text{重新定义 } t, \text{ 可以使 } g(t) = 0$$

静态解 $ds^2 = -e^{-2\rho(r)} dt^2 + e^{2\rho(r)} dr^2 + r^2 d\Omega^2$

$$R_{22} = 0 \Rightarrow e^{-2\rho} (1 - 2r \partial_1 \rho) = 1 \Rightarrow \frac{\partial}{\partial r} (r e^{-2\rho}) = 1 \Rightarrow r e^{-2\rho} = r + C \quad e^{-2\rho} = 1 + \frac{C}{r}$$

$$ds^2 = -(1 + \frac{C}{r}) dt^2 + (1 + \frac{C}{r})^{-1} dr^2 + r^2 d\Omega^2$$

考虑质量为 M 的星体附近时空， $C = ?$ 引入力极限 $g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$ ($h \ll 1$)

(M)

$$g_{00} = -1 + h_{00} \quad h_{00} = -\frac{C}{r}$$

牛顿引力极限 $h_{00} = -2 \frac{E}{r}$ 引入力势 $E = -\frac{GM}{r}$

$$\Rightarrow ds^2 = -\left(1 - \frac{2GM}{r}\right) dt^2 + \left(1 - \frac{2GM}{r}\right)^{-1} dr^2 + r^2 d\Omega^2$$

定义 $R_s \equiv 2GM$ 史瓦西半径

$r=0$ & $r=2GM$ 处奇异性？

$r=2GM$ 是坐标奇点（不是真奇点）（曲率半径不发散）

$r=0$ 真正奇点、 $R^{\mu\nu\rho\sigma} R_{\mu\nu\rho\sigma} = \frac{48G^2 M^2}{r^6} \rightarrow \infty (r \rightarrow 0)$

$$r \rightarrow \infty, ds^2 \rightarrow -dt^2 + dr^2 + r^2 d\Omega^2 \quad \text{渐近平坦}$$

17. Geodesics in Schwarzschild spacetime

$$\frac{d^2x^\mu}{d\lambda^2} + \Gamma_{\nu\sigma}^\mu \frac{dx^\nu}{d\lambda} \frac{dx^\sigma}{d\lambda} = 0 \quad \frac{dt}{d\lambda^2} + \frac{R_s}{r-R_s} \frac{dr}{d\lambda} \frac{dt}{d\lambda} = 0 \quad \dots \text{过于复杂} \quad \frac{d^2\theta}{d\lambda^2} + \frac{2}{r} \frac{d\theta}{d\lambda} \frac{dr}{d\lambda} - \sin\theta \cos\theta \left(\frac{d\phi}{d\lambda} \right)^2 = 0$$

切断是

对称性 (Killing vector K^μ) 沿着测地线 $\vec{u} \cdot \vec{K}$ 守恒 $\frac{d}{d\lambda}(u_\mu K^\mu) = 0$

$$\text{Static } \vec{\xi} = \partial_t \quad \xi^\mu = (1, 0, 0, 0) \quad \xi_\mu = g_{\mu\nu} \xi^\nu = \left(-\left(1 - \frac{R_s}{r}\right), 0, 0, 0 \right) \quad u^\mu = \frac{dx^\mu}{d\lambda}$$

$$\Rightarrow E = -\xi_\mu u^\mu = \left(1 - \frac{R_s}{r}\right) \frac{dt}{d\lambda}$$

意义: 对质量为 m 的粒子, $r \rightarrow \infty$, $E = \frac{dt}{d\lambda}$ 观测能是 $E_0 = -\vec{u}_{\text{obs}} \cdot \vec{m} \vec{u} = mE$
对无质量粒子, E 是 $r \rightarrow \infty$ 时光子能 E

$SO(3) \rightarrow 3$ 个守恒角动量

$$\vec{\eta} = \partial_\phi \quad \eta^\mu = (0, 0, 0, 1) \quad \eta_\mu = (0, 0, 0, r^2 \sin^2\theta)$$

$$\Rightarrow L = \eta_\mu u^\mu = r^2 \sin^2\theta \frac{d\phi}{d\lambda}$$

$$\frac{d\phi}{d\lambda} = \frac{L}{r^2 \sin^2\theta} \quad \Rightarrow r^2 \frac{d^2\theta}{d\lambda^2} + 2r \frac{d\theta}{d\lambda} \frac{dr}{d\lambda} - \frac{L^2 \cos\theta}{r^2 \sin^3\theta} = 0 \quad \Rightarrow r^2 \frac{d}{d\lambda} \left(r^2 \frac{d\theta}{d\lambda} \right) = \frac{L^2 \cos\theta}{\sin^3\theta}$$

$$\Rightarrow \left(r^2 \frac{d\theta}{d\lambda} \right)^2 = -L^2 \cot^2\theta + C$$

$\theta(\lambda_0) = \frac{\pi}{2}$, 球对称性 $\frac{d\theta}{d\lambda}(\lambda_0) = 0 \Rightarrow C=0$
且始终在 $\theta = \pi/2$ 平面上

此限制下 $E = \left(1 - \frac{R_s}{r}\right) \frac{dt}{d\lambda}, L = r^2 \frac{d\phi}{d\lambda}$ $\vec{u} \cdot \vec{u}$ 沿着测地线守恒 (度规相容性)



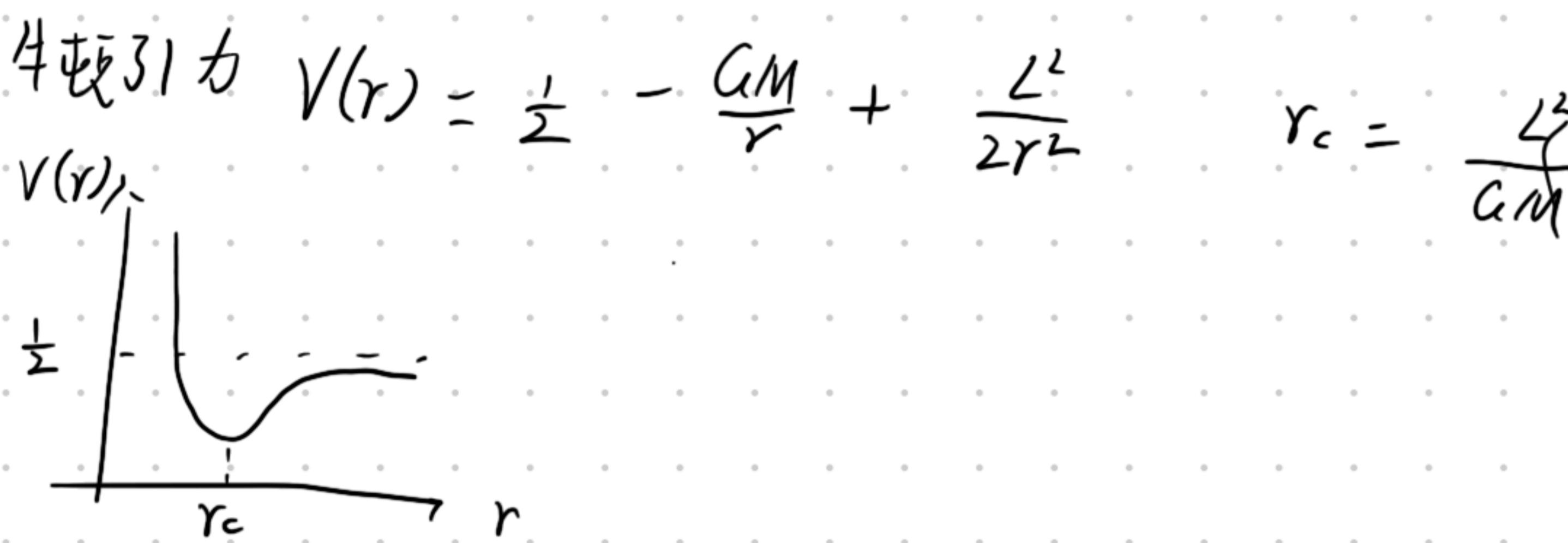
$$g_{\mu\nu} \frac{dx^\mu}{d\lambda} \frac{dx^\nu}{d\lambda} = -\epsilon \quad \epsilon = \begin{cases} 1 & \text{有质量粒子} \\ 0 & \text{无质量粒子} \end{cases}$$

$$\Rightarrow -\epsilon^2 + \left(\frac{dr}{d\lambda}\right)^2 + \left(1 - \frac{R_s}{r}\right) \left(\frac{L^2}{r^2} + \epsilon\right) = 0 \quad \Rightarrow \frac{1}{2} \left(\frac{dr}{d\lambda}\right)^2 + V(r) = \frac{1}{2} \epsilon^2$$

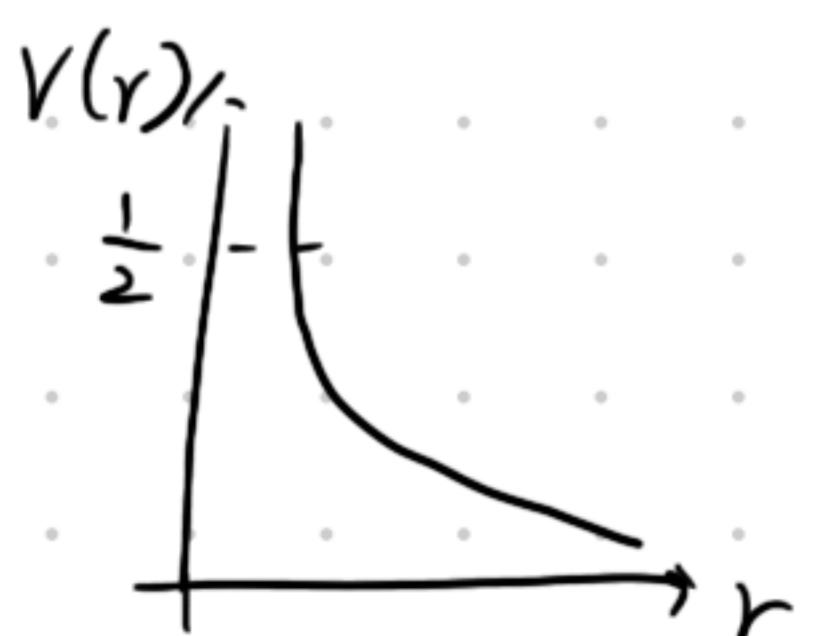
类比：牛顿力学下 $V(r)$, 总能量 $\mathcal{E} = \frac{1}{2} \epsilon^2$, 的粒子运动.

$$\epsilon = 1 \quad V(r) = \frac{1}{2} - \frac{GM}{r} + \frac{L^2}{2r^2} - \frac{GML^2}{r^3}$$

L
离心势
 \downarrow
GR 项



$$\epsilon = 0 \quad \text{牛顿引力 } V(r) = \frac{L^2}{2r^2}$$



$$- \left(1 - \frac{R_s}{r}\right) \left(\frac{dt}{d\lambda}\right)^2 + \left(1 - \frac{R_s}{r}\right)^{-1} \left(\frac{dr}{d\lambda}\right)^2 + r^2 \left(\frac{d\phi}{d\lambda}\right)^2 = -\epsilon$$

$$\frac{dt}{d\lambda} = E \left(1 - \frac{R_s}{r}\right)^{-1} \quad \frac{d\phi}{d\lambda} = \frac{L}{r^2}$$

$$V(r) = \frac{1}{2} \epsilon - \frac{GM}{r} \epsilon + \frac{L^2}{2r^2} - \frac{GML^2}{r^3}$$

GR中有质量粒子 $V(r) = \frac{1}{2} - \frac{GM}{r} + \frac{L^2}{2r^2} - \frac{GML^2}{r^3}$

$$\frac{\partial V(r)}{\partial r} = 0 \Rightarrow R_\pm = \frac{L^2 \pm \sqrt{L^4 - 12L^2GM^2}}{2GM}$$

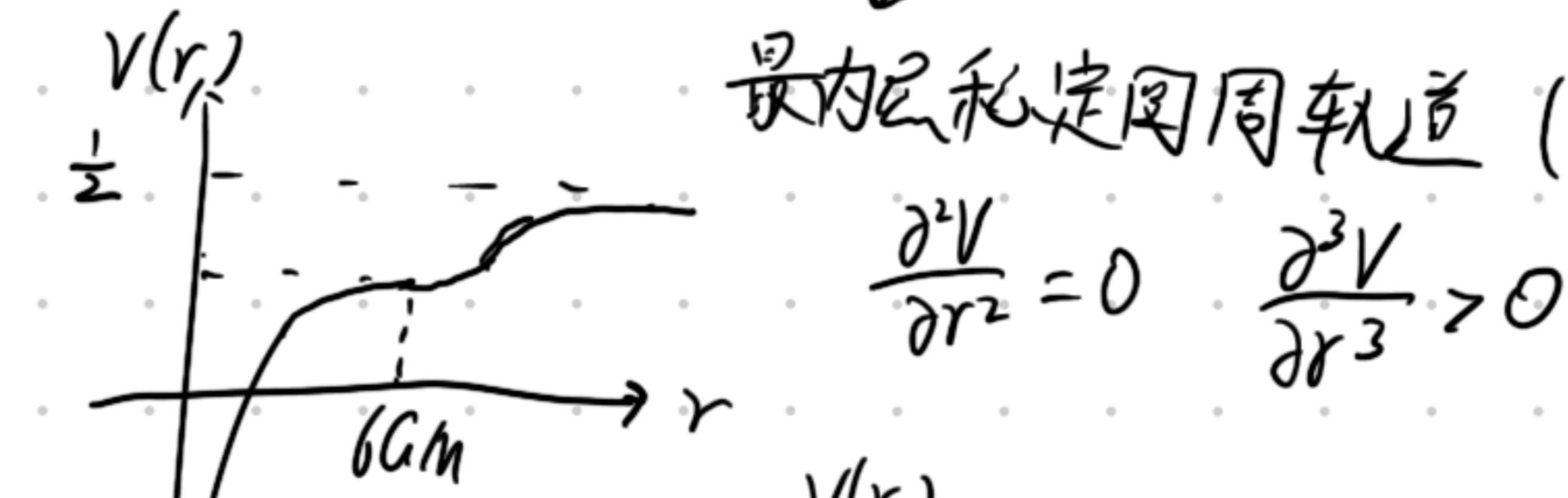
(i) $L^2 < 12G^2M^2$ 无极值



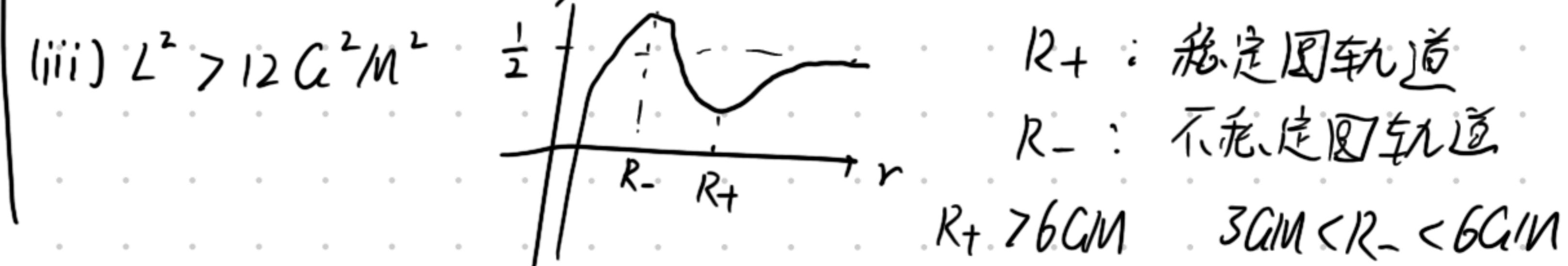
$\epsilon > \frac{1}{2}$: escape to infinity

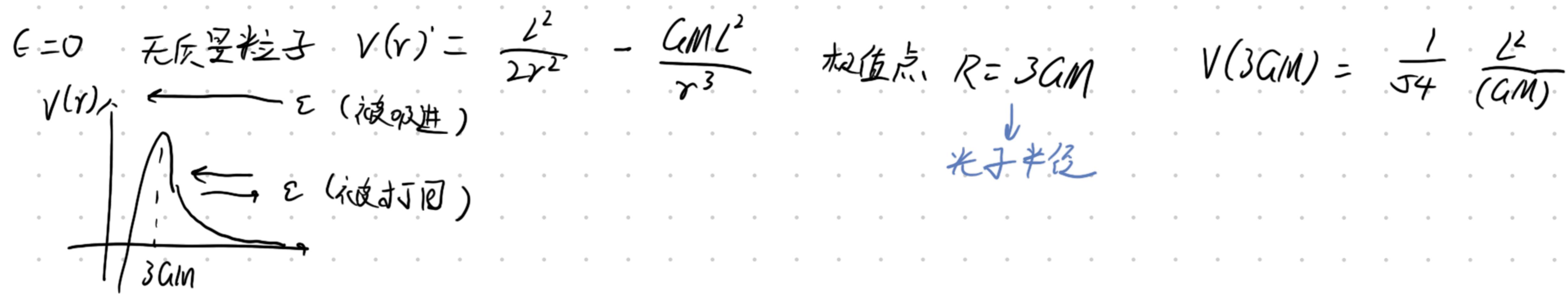
(ii) $L^2 = 12G^2M^2$ $R = 6GM$ 取极值 $V(6GM) = \frac{1}{2} - \frac{1}{18} < \frac{1}{2}$

最内层稳定圆周轨道 (ISCO)



(iii) $L^2 > 12G^2M^2$





18. Geodesics and physical application

有质量粒子 $m > 0, L = 0$ 的自由下落，从 $r = \infty$ 处开始， $\bar{E} = \frac{dt}{d\tau}|_{r=\infty} = 1$ (取 $\lambda = \tau$)

 有效势 $V(r) = \frac{1}{2} - \frac{GM}{r}$ $\frac{1}{2} \dot{r}^2 - \frac{GM}{r} = 0 \Rightarrow \dot{r} = -\sqrt{\frac{R_s}{r}} = \frac{dr}{d\tau}$

$$\frac{dt}{d\tau} = \frac{1}{1 - R_s/r} \quad u^\mu = \left((1 - \frac{R_s}{r})^{-1}, -\sqrt{\frac{R_s}{r}}, 0, 0 \right)$$

$$u^\mu u_\mu = g_{\mu\nu} u^\mu u^\nu = -\left(1 - \frac{R_s}{r}\right)\left(1 - \frac{R_s}{r}\right)^{-2} + \left(1 - \frac{R_s}{r}\right)^{-1} \frac{R_s}{r} = -1$$

$$r=r_0 \rightarrow r=r_1 \quad (r_1 < r_0) \quad \text{固有时} \quad \int r dr = -\sqrt{R_s} d\tau$$

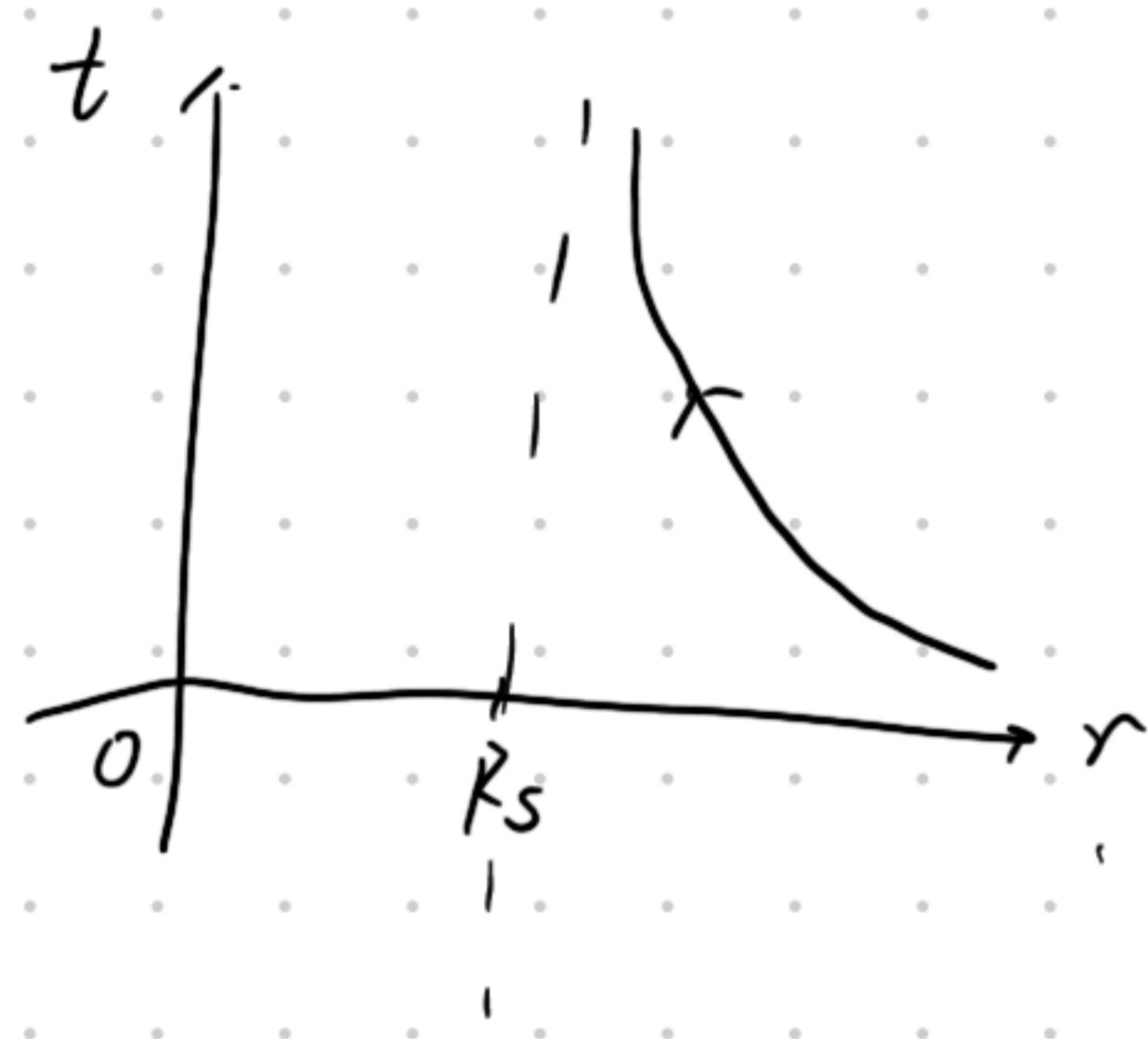
$$\Delta\tau = \frac{2}{3\sqrt{R_s}} (r_0^{3/2} - r_1^{3/2})$$

$$\Delta\tau \text{ 从 } r=r_0 \text{ 到 } r=0, \quad \Delta\tau = \frac{2}{3\sqrt{R_s}} r_0^{3/2} \text{ 有限大.}$$

坐标时 dt from $r=r_0 \rightarrow r_1$ by $r=\infty$ observer $\frac{dt}{dr} = \frac{dt/d\tau}{dr/d\tau} = -\sqrt{\frac{r}{R_s}} \left(1 - \frac{R_s}{r}\right)^{-1}$

$$\delta t = \frac{2}{3\sqrt{R_s}} (r_0^{3/2} - r_1^{3/2}) + 2\sqrt{R_s} (r_0''^{1/2} - r_1''^{1/2}) + R_s \ln \left| \frac{\sqrt{r_1} + \sqrt{R_s}}{\sqrt{r_1} - \sqrt{R_s}}, \frac{\sqrt{r_0} - \sqrt{R_s}}{\sqrt{r_0} + \sqrt{R_s}} \right| \quad r_0, r_1 > R_s$$

若 $r_1 \rightarrow R_s$, $\delta t \rightarrow \infty$

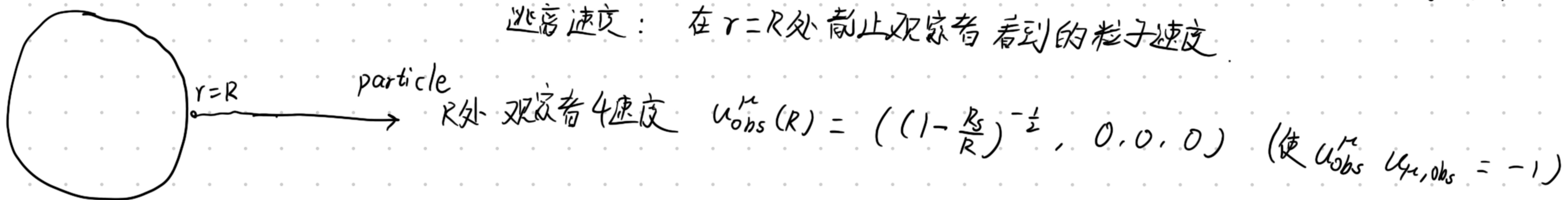


下落至 R_s 时，下落者可看到宇宙的无穷远时间之后

Escape velocity

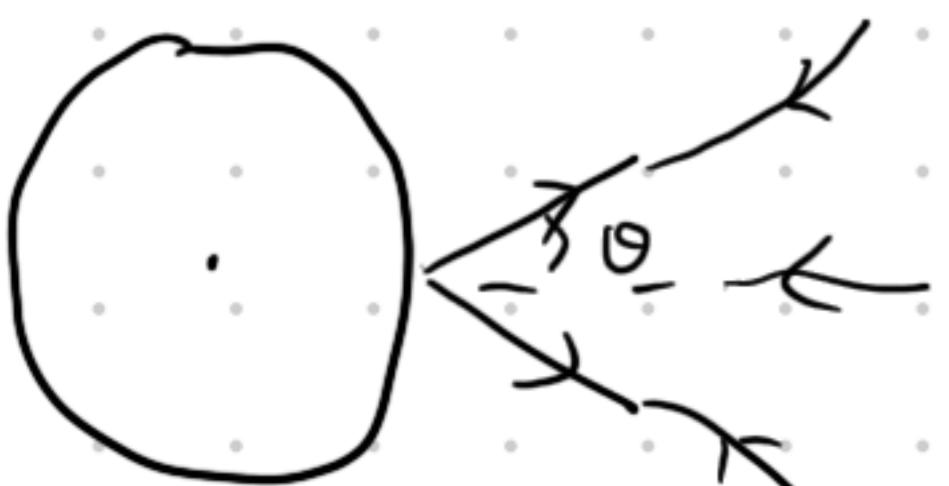
$r=R$ 处 $u^m = ((1 - \frac{R_s}{R})^{-1}, \sqrt{\frac{R_s}{R}}, 0, 0)$ 为 $r=\infty$ 处观察者看到的

逃逸速度：在 $r=R$ 处向上观察者看到的粒子速度



$$\text{观测到粒子能量 } E_{obs} = -m \vec{u} \cdot \vec{u}_{obs} = m (1 - \frac{R_s}{R})^{-\frac{1}{2}} = \frac{m}{\sqrt{1 - v_{escape}^2}} \Rightarrow v_{escape} = \sqrt{\frac{R_s}{R}} = \sqrt{\frac{2GM}{R}}$$

若 $R < 2GM = R_s$, $v_{escape} > 1 \Rightarrow$ 光也不能逃脱



远处观察者看来，只能接受 θ 角度以内发出的光



且距离 R 越近，看到的半径越小

口 Gravitational redshift (time dilatation)

for static launcher at $r=R$ ($R > R_S$) $U_{\text{obs}}^{\mu} = ((1 - \frac{R_S}{R})^{-\frac{1}{2}}, 0, 0, 0)$ $\frac{dt}{dz} = (1 - \frac{R_S}{R})^{-\frac{1}{2}}$

$$\Delta T_{\text{obs}} = \delta t \sqrt{1 - \frac{R_S}{R}} < \delta t \quad \text{越接近黑洞，看以外时间流速越快}$$

\Rightarrow 以外看接近黑洞处时间流速越慢

在 $r=R$ 处的圆轨道 ($R > 3GM$) $\Rightarrow L^2 = \frac{GM R^2}{R - 3GM}$

$$\frac{dt}{dz} = E(R) \left(1 - \frac{R_S}{R}\right)^{-1} = \sqrt{\frac{R}{R - 3GM}} \quad \text{由 } \frac{1}{2} \left(\frac{dr}{dt}\right)^2 + V(r) = \frac{1}{2} E^2 \Rightarrow E(R) = \sqrt{\frac{R - 2GM}{R(R - 3GM)}}$$

一般情况：光子从 A 发射，被 B 接收



$$\epsilon(A) = -\vec{p}(A) \cdot \vec{u}(A) = -p_{\mu}(A) u^{\mu}(A)$$

$$\epsilon(B) = -\vec{p}_{\mu}(B) u^{\mu}(B) \quad \text{其中 } \vec{p} \text{ 是光子在 } A, B \text{ 处的 4 动量}$$

$$\text{红移因子 } \frac{v_B}{v_A} = \frac{\epsilon(B)}{\epsilon(A)} = \frac{p_{\mu}(B) u^{\mu}(B)}{p_{\mu}(A) u^{\mu}(A)}$$

$$p_{\mu}(A) \text{ 与 } p_{\mu}(B) \text{ 联立: } \frac{dp_{\mu}}{dz} - \Gamma_{\mu\rho}^{\nu} p_{\nu} p^{\rho} = 0 \quad (\text{爱因斯坦场方程})$$

如果时空是静态的，有 Killing vector $\xi = \partial_t$ 沿圆周方向有守恒量 $\xi \cdot \vec{p} = p_0$

若 A & B 都静止不动 $u^{\mu}(A) = (u^0(A), 0, 0, 0)$ $u^{\mu}(B) = (u^0(B), 0, 0, 0)$

$$u^{\mu} u_{\mu} = -1$$

$$\frac{V_B}{V_A} = \frac{P_0 u^0(B)}{P_0 u^0(A)} = \sqrt{\frac{g_{00}(A)}{g_{00}(B)}}$$

19. Experimental test of GR

近日点进动 牛顿引力 $r = \frac{l}{1 + e \cos(\phi - \phi_0)}$

$$l = \frac{L^2}{GM}$$

GR: 史瓦西度规，有质量粒子在星体周围走测地线

守恒量: $\frac{d\phi}{d\lambda} = \frac{L}{r^2}$ $\frac{dt}{d\lambda} = E \left(1 - \frac{R_s}{r}\right)^{-1}$ $\frac{1}{2} \left(\frac{dr}{d\lambda}\right)^2 + V(r) = \frac{1}{2}E^2$ $V(r) = \frac{1}{2} \left(1 - \frac{R_s}{r}\right) \left(\frac{L^2}{r^2} + 1\right)$

$$\dot{r}^2 + \left(1 - \frac{R_s}{r}\right) \left(\frac{L^2}{r^2} + 1\right) = E^2$$

$$\dot{r} = \frac{dr}{d\lambda} = \frac{dr}{d\phi} \frac{d\phi}{d\lambda} \Rightarrow \left(\frac{dr}{d\phi}\right)^2 + \left(1 - \frac{R_s}{r}\right) r^2 \left(1 + \frac{r^2}{L^2}\right) = \frac{E^2 r^4}{L^2}$$

define $x = \frac{2L^2}{R_s r} = \frac{L^2}{GM r} \Rightarrow \left(\frac{dx}{d\phi}\right)^2 + \left[\left(\frac{2L}{R_s}\right)^2 - \frac{4E^2 L^2}{R_s^2}\right] - 2x + x^2 - \frac{R_s^2}{2L^2} x^3 = 0$

acts on $\frac{d}{d\phi}$ $\Rightarrow \frac{d^2 x}{d\phi^2} - 1 + x = \underbrace{\frac{3R_s^2}{4L^2} x^2}_{\text{牛顿引力项}} \quad \underbrace{x^3}_{\text{GR特有}}$

微扰法 $x = x_0 + x_1$ α $x_0 = 1 + e \cos \phi$ $\frac{d^2 x_0}{d\phi^2} - 1 + x_0 = 0$ $|x_1| \ll |x_0|$

$$\frac{d^2 x_1}{d\phi^2} + x_1 = \frac{3R_s^2}{4L^2} x_0^2 = \alpha (1 + e^2 \cos^2 \phi + 2e \cos \phi)$$

$$\Rightarrow x_1 = \alpha \left(1 + \frac{1}{2}e^2 - \frac{1}{6}e^2 \cos 2\phi + e \phi \sin \phi \right) \approx \alpha e \phi \sin \phi$$

$$x = x_0 + x_1 \approx 1 + e \cos \phi + \alpha e \phi \sin \phi \approx 1 + e \cos[(1-\alpha)\phi]$$

轨道周期由 2π 变为 $2\pi/(1-\alpha) \approx 2\pi(1+\alpha)$



$$\text{由近日点 } r_- = \frac{L^2}{GM(1+e)}$$

$$\Delta\phi = 2\pi\alpha = \frac{3\pi R_s^2}{2L^2} = \frac{6\pi GM}{L^2}$$

$$\Rightarrow \Delta\phi = \frac{6\pi GM}{r_-(1+e)}$$

$$\text{水星 } \Delta\phi = 0.1038''$$

20. Null geo desics

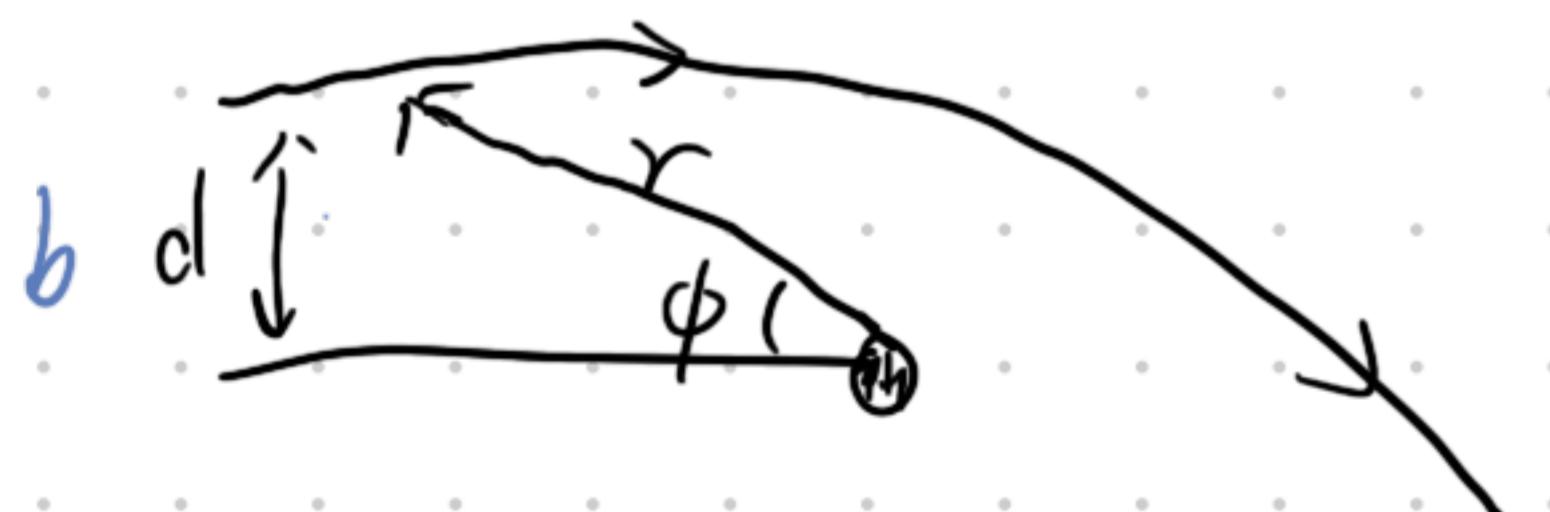
无质量粒子的零势地场

$$E = \left(1 - \frac{R_s}{r}\right) \frac{dt}{d\lambda} \quad L = r^2 \frac{d\phi}{d\lambda} \text{ 但 not physical (依赖参数)} \rightarrow \text{轨道参数 (近似表达)}$$

$$V(r) = \frac{L^2}{2r^2} - \frac{GM L^2}{r^3} = \frac{L^2}{2r^3} (r - R_s)$$

$$\left(\frac{dr}{d\lambda}\right)^2 + 2V(r) = E^2$$

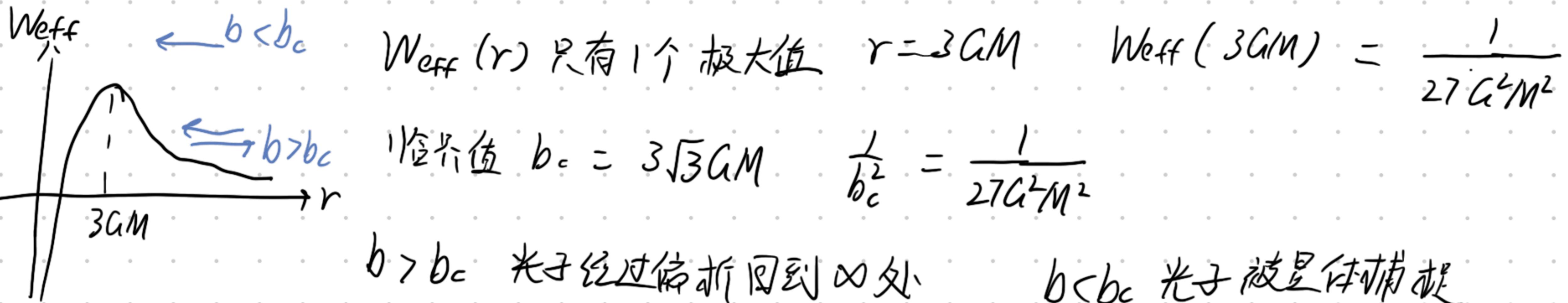
31. λ 参数 $b = \frac{L}{E} = \frac{r^2}{r-R_s} \frac{d\phi}{dt}$ 是一个 physical 的常数.



$$\text{建立如图极坐标系, } r \gg d \text{ 时 } \phi = \frac{d}{r} \quad \frac{d\phi}{dt} = -\frac{d}{r^2} \frac{dr}{dt} \quad \frac{dr}{dt} \rightarrow -1 \quad (r \rightarrow \infty)$$

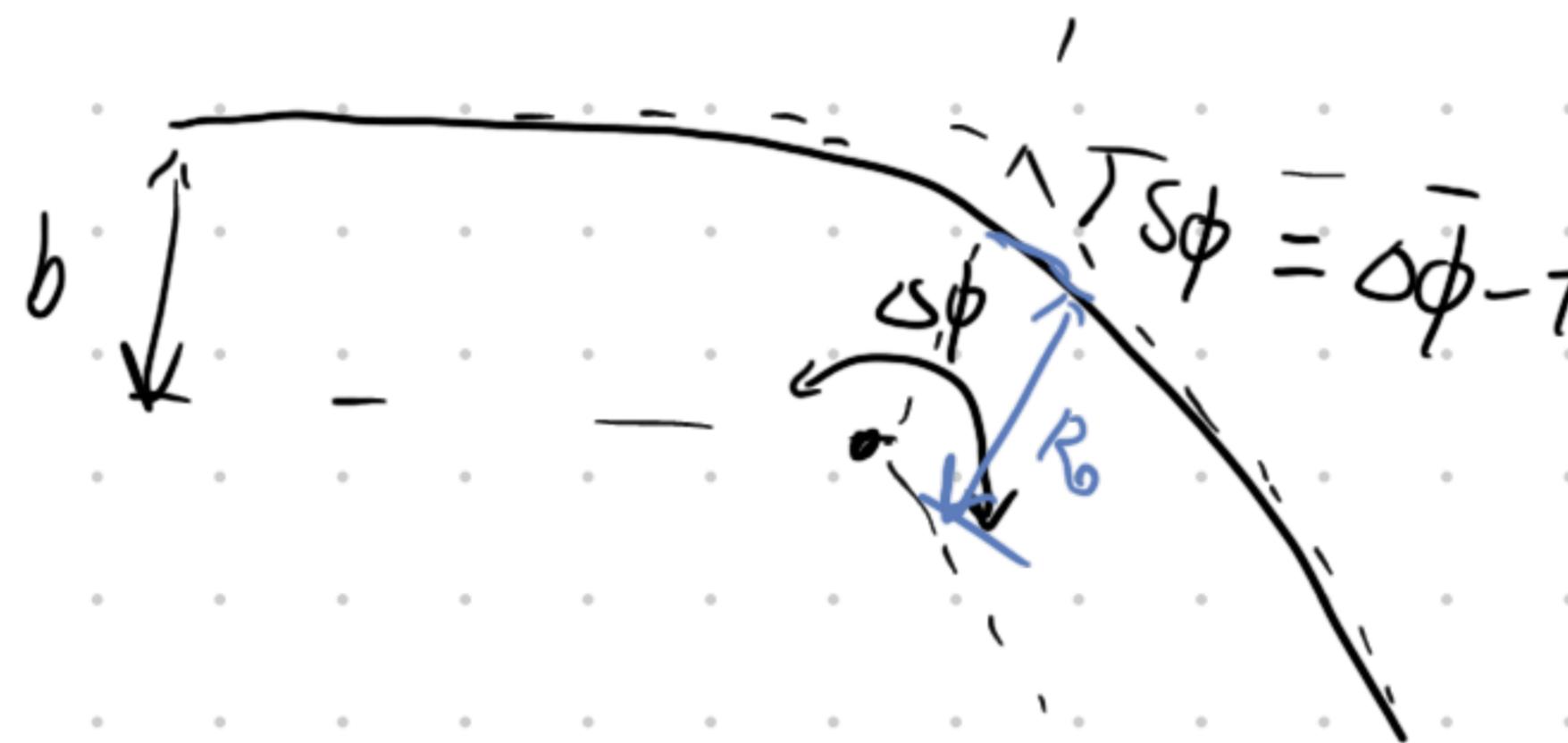
$$\Rightarrow \frac{d\phi}{dt} = \frac{d}{r^2} \quad \text{又 } \frac{d\phi}{dt} = \frac{b}{r^2} \quad (r \rightarrow \infty) \Rightarrow d = b$$

$$\text{化简零势地场方程: } \frac{1}{b^2} = \frac{1}{L^2} \left(\frac{dr}{d\lambda} \right)^2 + W_{\text{eff}}(r) \quad W_{\text{eff}}(r) = \frac{1}{r^2} \left(1 - \frac{R_s}{r} \right) \quad \text{由于 } r \text{ 具有任意性, 这里方程实际只依赖于 } b$$



Observed radius of BH (黑洞的视界半径)

$$r_c = b_c = 3\sqrt{3}GM = \frac{3\sqrt{3}}{2} R_s$$



$$\Delta\phi = 2 \int_{R_s}^{\infty} \frac{dr}{\sqrt{r^4 b^{-2} - r(r-R_s)}}$$

$$R_s \text{ satisfy: } \frac{dr}{d\lambda} = 0, W_{\text{eff}}(R_s) = \frac{1}{b^2} \Rightarrow R_s^3 - b^2(R_s - R_s) = 0$$

验证: 若 $M=0, R_s=0, R_0=b$, $\Delta\phi = 2 \int_b^{\infty} \frac{dr}{\sqrt{r^4 b^{-2} - r^2}} = \pi \quad \delta\phi = 0$

$\therefore r = \frac{1}{u}, \Delta\phi = 2 \int_0^{1/R_0} \frac{du}{\sqrt{b^{-2} - u^2 + R_s u^3}}$

$$b^{-2} = R_0^{-2} - R_s R_0^{-3} \Rightarrow \Delta\phi = 2 \int_0^{1/R_0} \frac{du}{[R_0^{-2} - R_s R_0^{-3} - u^2 + R_s u^3]^{1/2}}$$

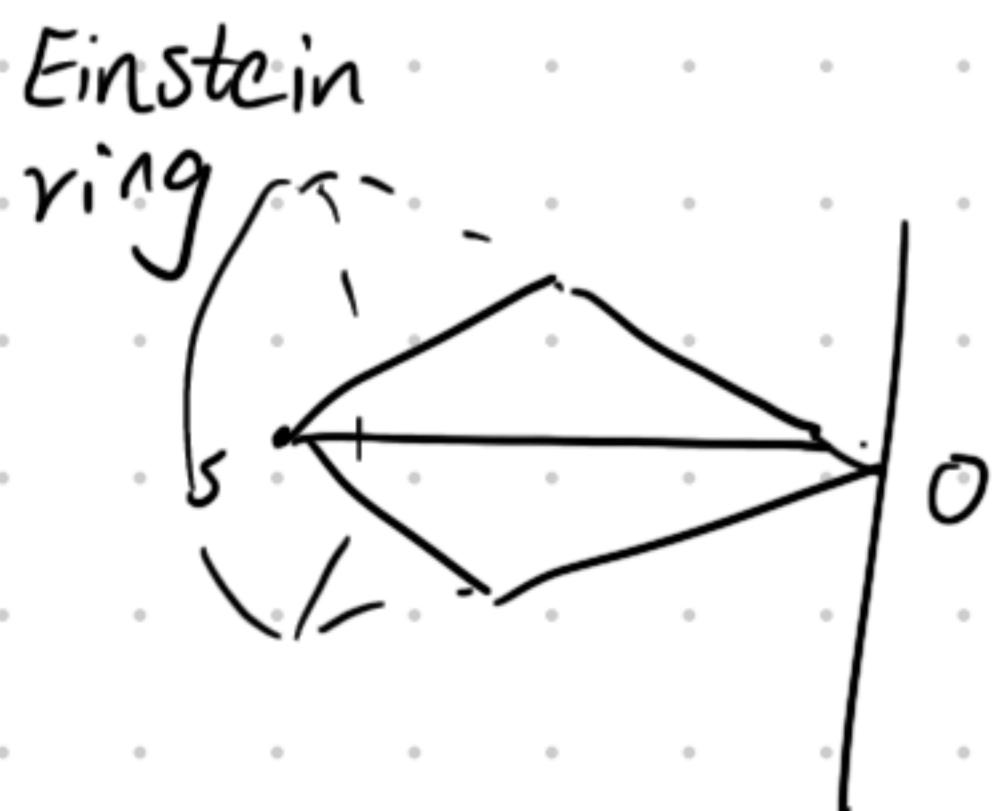
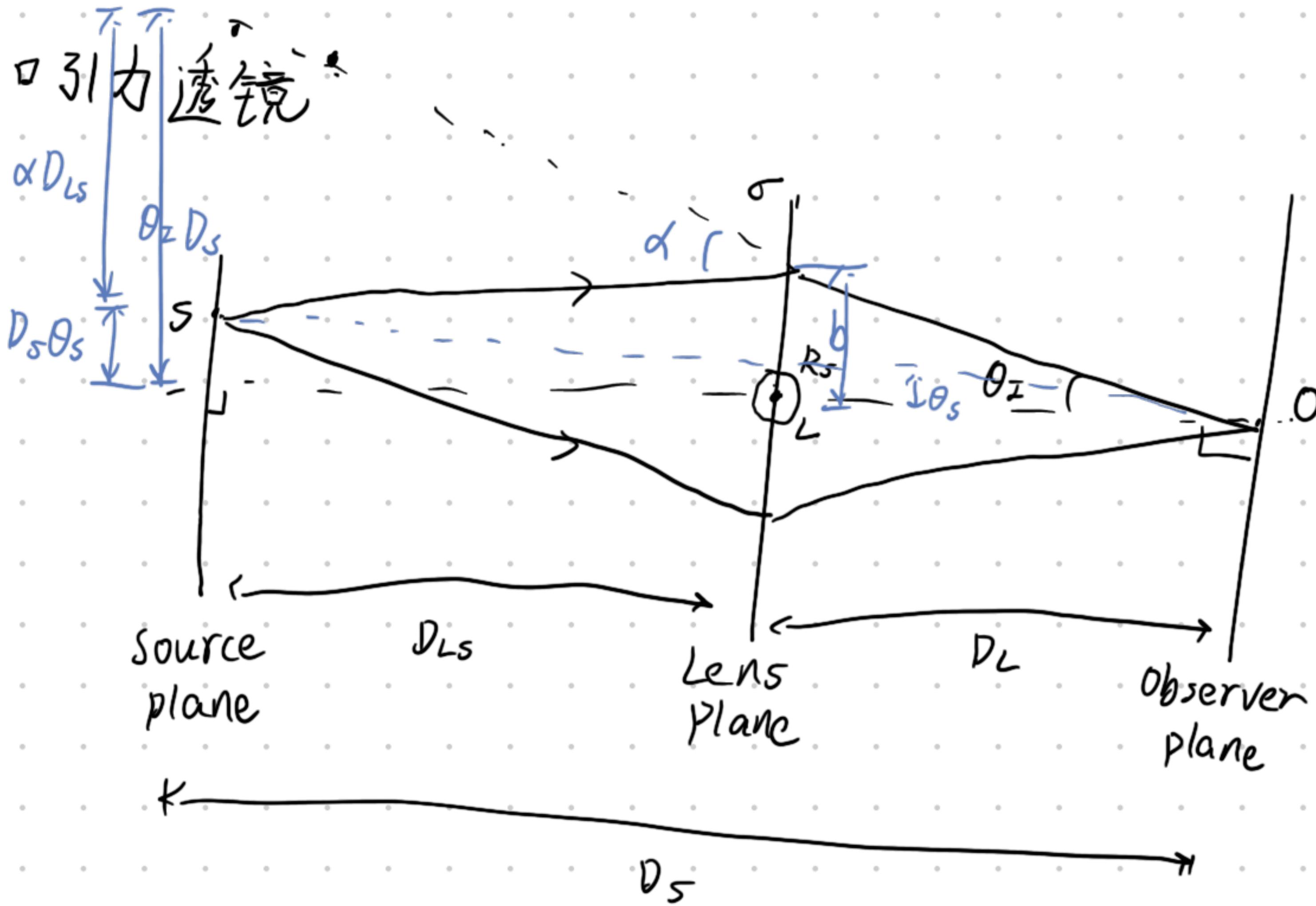
小 M 近似: $\delta\phi \ll 1 \quad \Delta\phi = \Delta\phi|_{M=0} + M \frac{\partial(\Delta\phi)}{\partial M}|_{M=0}$

$$\delta\phi \approx R_s \cdot \int_0^{1/R_0} \frac{(R_0^{-3} - u^3) du}{[R_0^{-2} - R_s R_0^{-3} - u^2 + R_s u^2]^{3/2}}$$

$$= R_s \int_0^{1/b} \frac{b^{-3} - u^3}{(b^{-2} - u^2)^{3/2}} du = \frac{2R_s}{b} = \frac{4GM}{b}$$

$R_s = 0$
 $R_0 = b$

S2制: $\delta\phi = \frac{4GM}{bc^2}$ $M = M_\odot$, $b = R_\odot$, $\delta\phi \approx 1.75''$



$$\alpha, \theta_z, \theta_s \ll 1 \quad \cos\alpha, \cos\theta_z, \cos\theta_s \approx 1 \quad \sin\alpha \approx \alpha$$

$$D_S = D_{Ls} + D_L \quad \theta_z D_S = \alpha D_{Ls} + \theta_s D_S$$

$$\alpha = \frac{2R_s}{b} \quad b = \theta_z D_L \quad \alpha = \frac{2R_s}{\theta_z D_L}$$

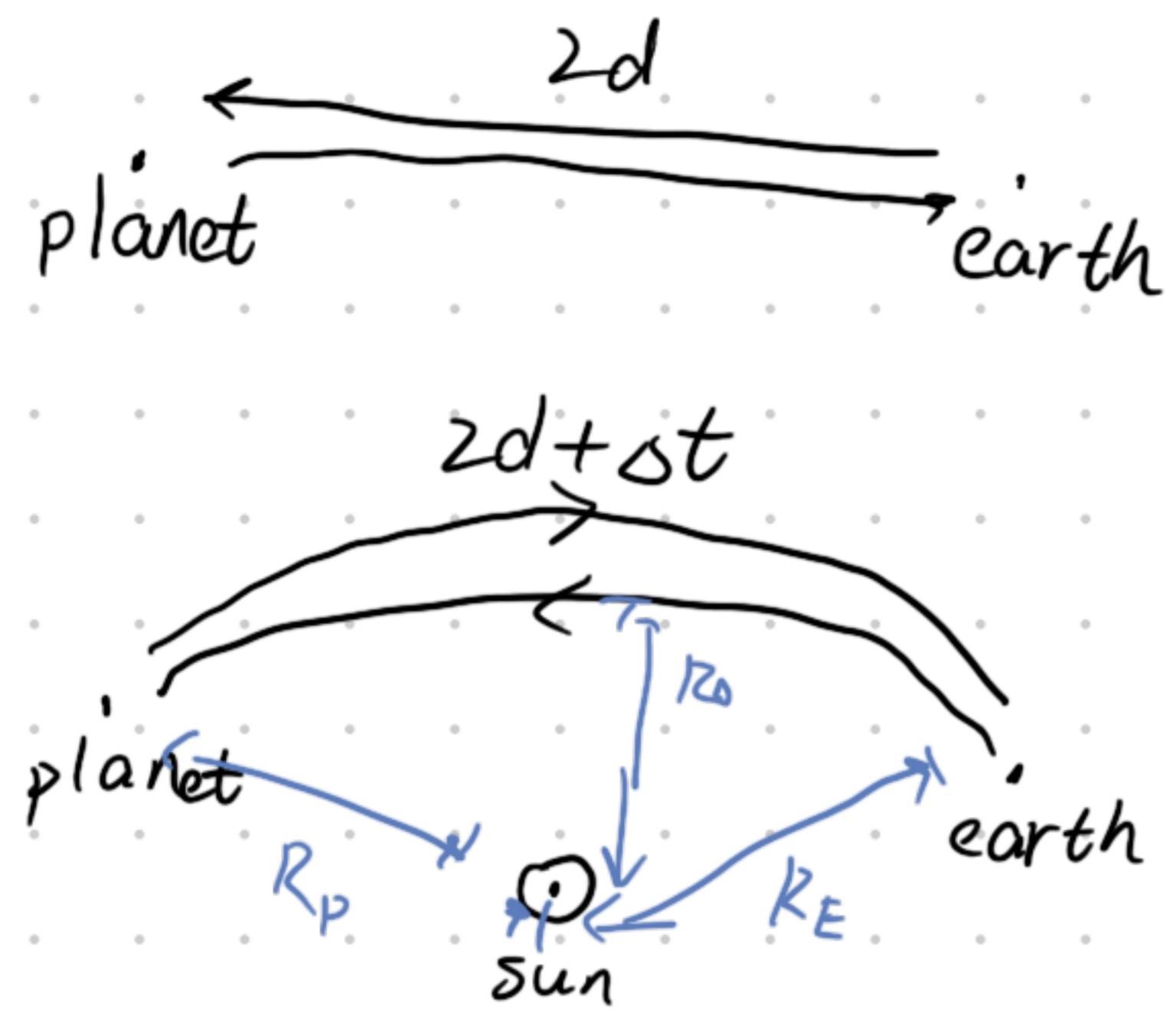
$$\Rightarrow \theta_z = \theta_s + \frac{\alpha D_{Ls}}{D_S} = \theta_s + \frac{\theta_E^2}{\theta_z}$$

$$\theta_E = \sqrt{\frac{2R_s D_{Ls}}{D_S D_L}}$$

M作为星系, $M \sim 10^9 M_\odot$, $R_s \sim 10^9$ km, $D_S, D_L, D_{Ls} \sim 10^{22}$ km
 $\Rightarrow \theta_E = 1''$ \overline{OJ} 被观察到

When $\theta_s = 0 \Rightarrow \theta_z = \theta_E$ observed 5 Einstein ring

口 Radar echo delay (雷达回波延迟)



$$\frac{dt}{dr} = \frac{E}{1 - R_s/r} \quad \frac{dr}{d\lambda} = L \sqrt{\frac{1}{b^2} - \frac{1}{r^2} \left(1 - \frac{R_s}{r}\right)}$$

$$\frac{dt}{dr} = \left(1 - \frac{R_s}{r}\right)^{-1} \left(1 - \frac{b^2}{r^2} \left(1 - \frac{R_s}{r}\right)\right)^{-\frac{1}{2}} \quad b = \frac{L}{E}$$

$$\frac{t}{2} = \left(\int_{R_E}^{R_0} dr + \int_{R_0}^{R_p} dr \right) \frac{dt}{dr} = \left(- \int_{R_E}^{R_0} dr + \int_{R_0}^{R_p} dr \right) \left(1 - \frac{R_s}{r}\right)^{-1} \left(1 - \left(1 - \frac{R_s}{r}\right) \frac{b^2}{r^2}\right)^{-\frac{1}{2}}$$

$$\text{其中 } k_0^3 - b^2 (R_0 - R_s) = 0$$

$$\frac{t_0}{2} = (- \dots) \Big|_{M=0} \quad b = R_0$$

$$\Delta t = t - t_0 \approx 4GM \left[\ln\left(\frac{2R_E}{R_0}\right) + \ln\left(\frac{2R_p}{R_0}\right) + 1 \right]$$

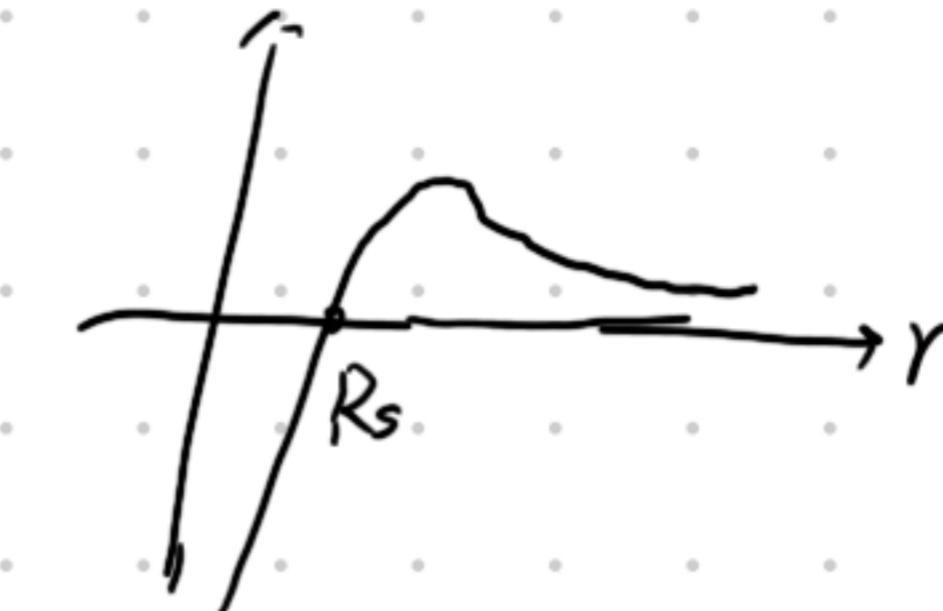
地月与金星之间: $\Delta t = 220 \mu s$

21. Schwarzschild black hole (史瓦西黑洞)

$$ds^2 = -\left(1-\frac{R_s}{r}\right)dt^2 + \left(1-\frac{R_s}{r}\right)^{-1}dr^2 + r^2d\theta^2 \quad (r>0) \quad T_{\mu\nu}=0 \quad R_{\mu\nu}=0$$

史瓦西半径 $R_s = 2GM = \frac{2GM}{c^2}$

light can't escape when $r \leq R_s$ $V(r) = \frac{L^2}{2r^3} (r-R_s)$



若星体半径 $R \leq R_s$, appear to be black

口 Event horizon at $r=R_s$

(1) signal cannot escape to $r=\infty$

(2) Infinite gravitational redshift

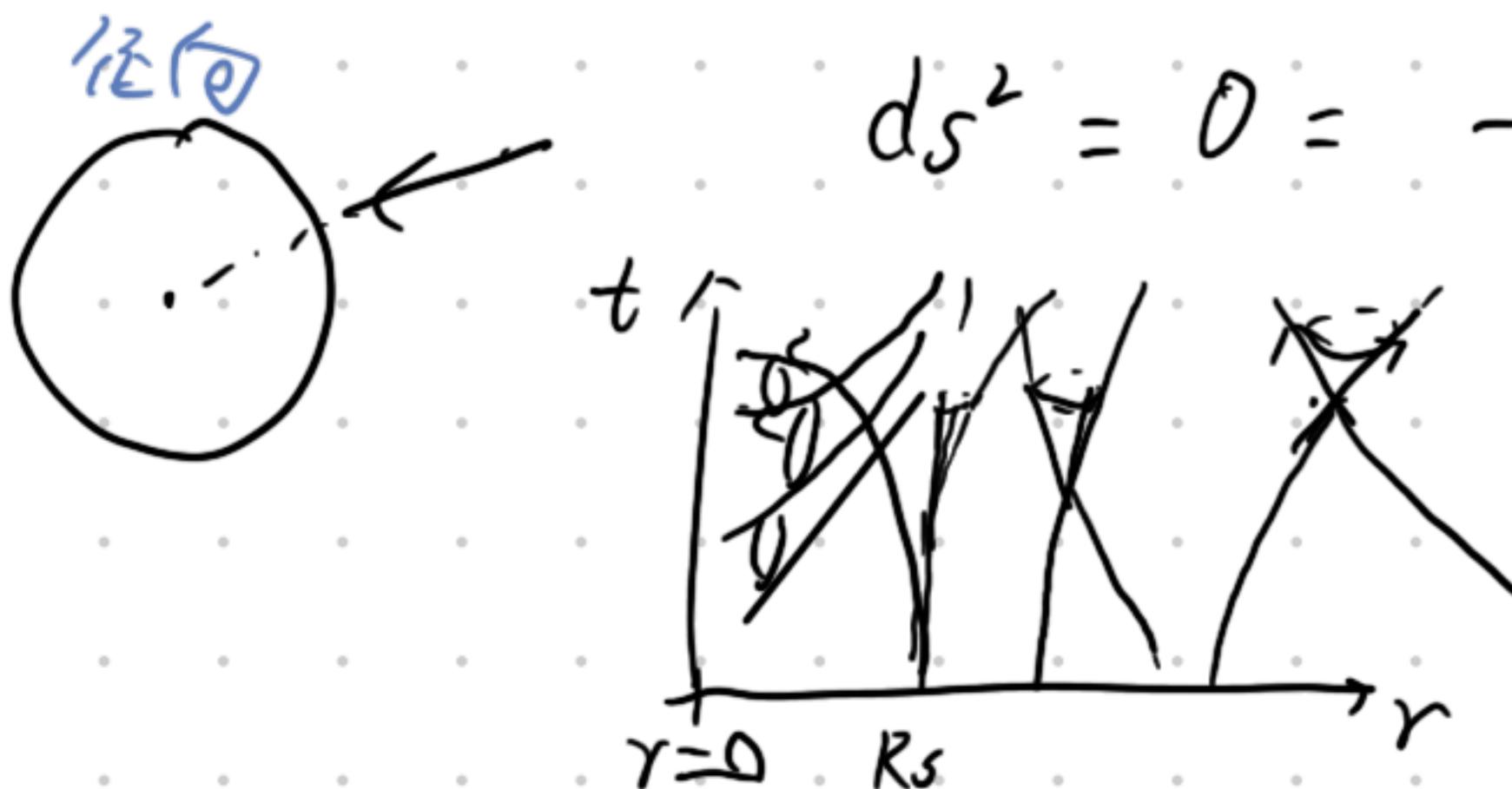
$$\frac{v_E}{v_0} = \sqrt{\frac{g_{00}(r_0)}{g_{00}(r_E)}} = \sqrt{\frac{r}{r-R_s}} \quad r \rightarrow R_s, v_0 \rightarrow 0$$

口 Killing vector ∂_t outside of BH $r > R_s$

inside BH $r < R_s$, $g_{tt} > 0$ $g_{rr} < 0$ ∂_t is timelike ($g_{tt} < 0$) (static spacetime)

口 对有质量观察者, in BH, $ds^2 < 0$ $\Rightarrow g_{rr} dr^2 < 0$ ∂_t is space-like (不存在类时 killing vector, not stationary)

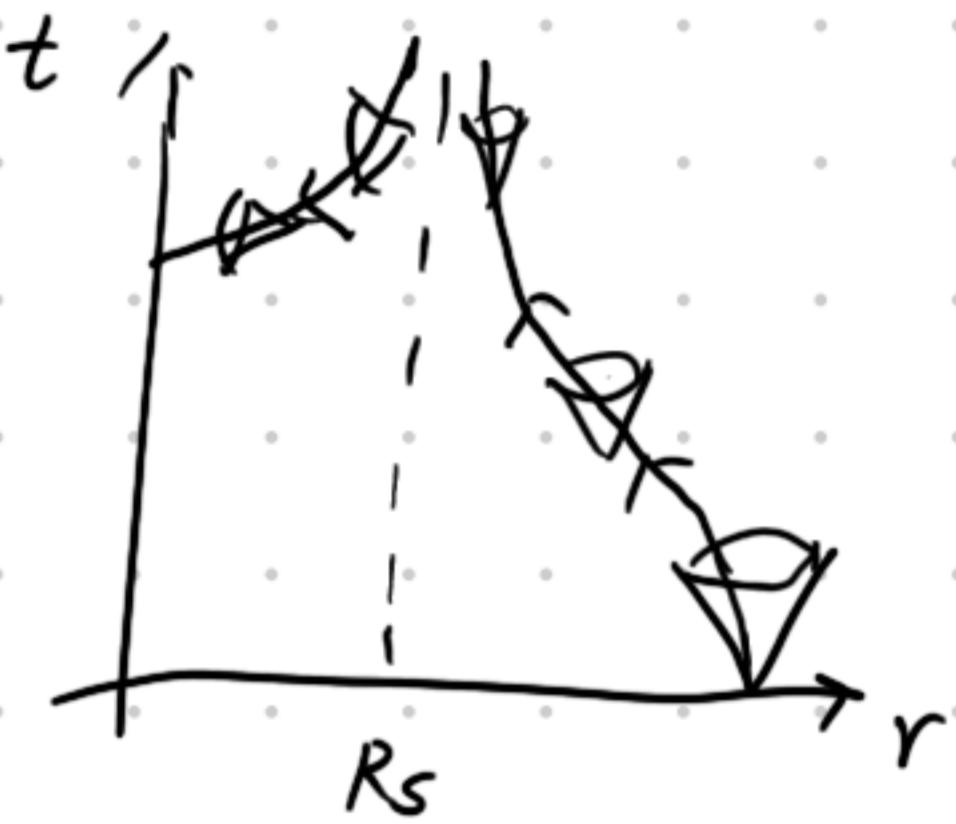
口 Null geodesics & light cone (光锥)



$$ds^2 = 0 = -\left(1-\frac{R_s}{r}\right)dt^2 + \left(1-\frac{R_s}{r}\right)^{-1}dr^2 \Rightarrow \frac{dt}{dr} = \pm \left(1-\frac{R_s}{r}\right)^{-1} = \begin{cases} \pm 1, & r \rightarrow \infty \\ \pm \infty, & r \rightarrow R_s \\ \pm 0, & r \rightarrow 0 \end{cases}$$

一旦进入视界, 粒子无法摆脱落入奇点的命运。

口有质量粒子世界线



史瓦西坐标不够好 (AEF, REF 坐标...)

e.g. Kruskal 坐标

$$ds^2 = -\frac{2R_s^3}{r} e^{-r/R_s} (du' dv' + dv' du') + r^2 d\eta^2 \quad (r \geq R_s)$$

$$v = \frac{1}{2}(u' + v') = \left(\frac{r}{R_s} - 1\right)^{1/2} e^{r/2R_s} \sinh(t/2R_s) \quad (r \geq R_s)$$

$$\Rightarrow ds^2 = \frac{4R_s^3}{r} e^{-r/R_s} (-dv^2 + du^2) + r^2 d\eta^2 \quad (r \geq R_s)$$

$$\text{可经过共形变换 } (v, u, \eta) \rightarrow (T, R, \eta) \quad g_{\mu\nu} = g'_{\mu\nu} e^f$$

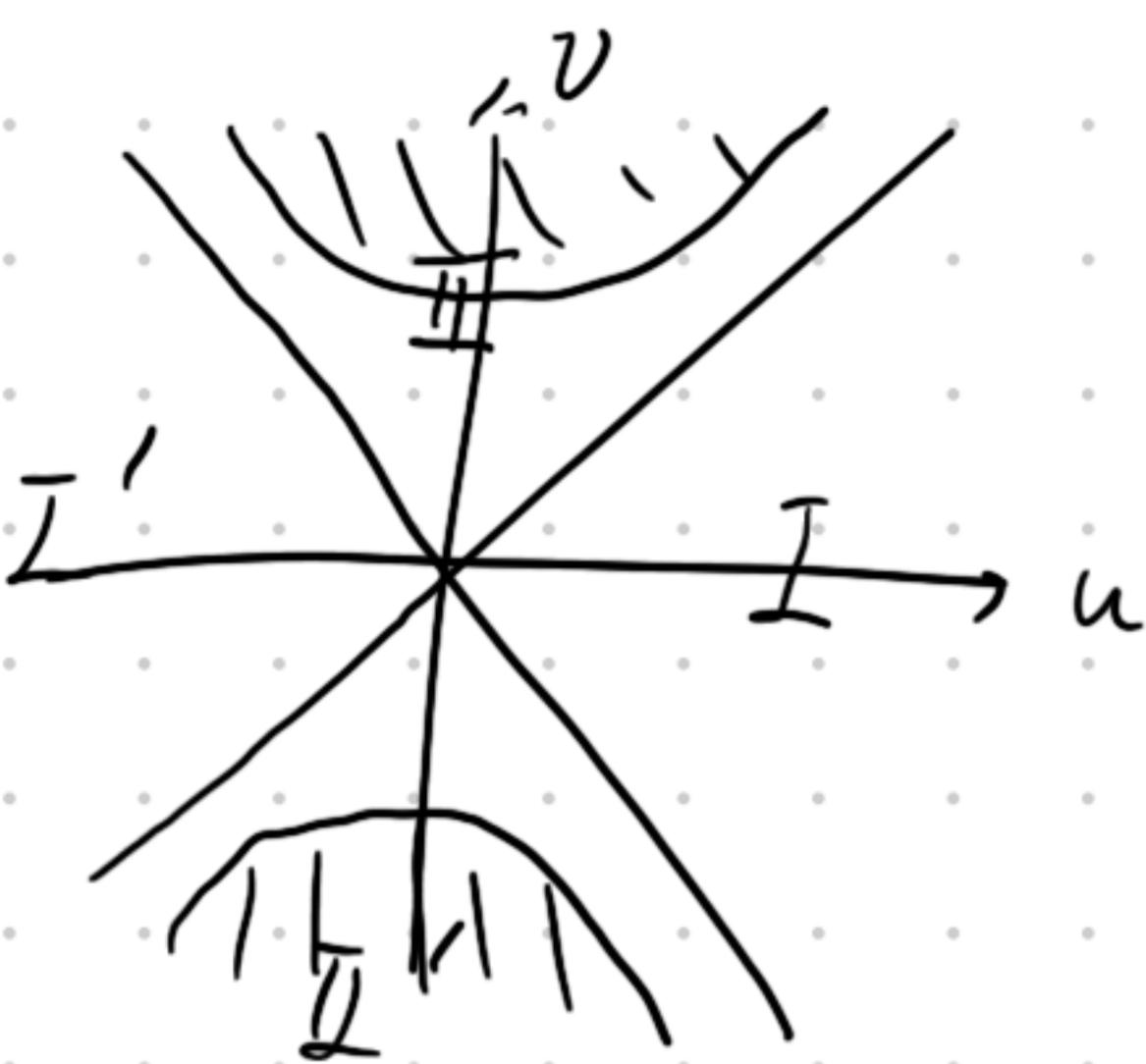
inside horizon $r < R_s$

$$v = \sqrt{1 - \frac{r}{R_s}} e^{r/2R_s} \cosh(t/2R_s) \quad u = \sqrt{1 - \frac{r}{R_s}} e^{r/2R_s} \sinh(t/2R_s) \quad \text{共形平坦}$$

$$\text{常上 } u^2 - v^2 = \text{constant.}$$

$$= -(1 - \frac{r}{R_s}) e^{r/R_s}$$

$$\frac{v}{u} = \begin{cases} \tanh(t/R_s) & (r > R_s) \\ \tanh^{-1}(t/R_s) & (r < R_s) \end{cases}$$



I. $u^2 - v^2 > 0$ BH外部

II. $u^2 - v^2 < 0$ BH内部 ($u^2 - v^2 \geq -1$ 给出边界, 阴影表示延拓后的时空)

II'. 由II延拓 $t < 0, r < R_s$ BH的**时间反演** \Rightarrow 白洞

I'. 由I延拓 $t < 0, r > R_s$

22. Schwarzschild black hole II

$$\text{Kruskal metric } ds^2 = \frac{4R_s^3}{r} e^{-r/R_s} (-dv^2 + du^2) + r^2(d\theta^2 + \sin^2\theta d\phi^2)$$

口 Normhole connecting I & I'

space like hypersurface $v=0$ ($t=0$) 上:

$$\begin{aligned} \text{metric } ds^2 &= \frac{4R_s^3}{r} e^{-r/R_s} du^2 + r^2(d\theta^2 + \sin^2\theta d\phi^2) \\ &= \left(1 - \frac{R_s}{r}\right)^{-1} dr^2 + r^2(d\theta^2 + \sin^2\theta d\phi^2). \end{aligned}$$

in region I ($r > R_s$)

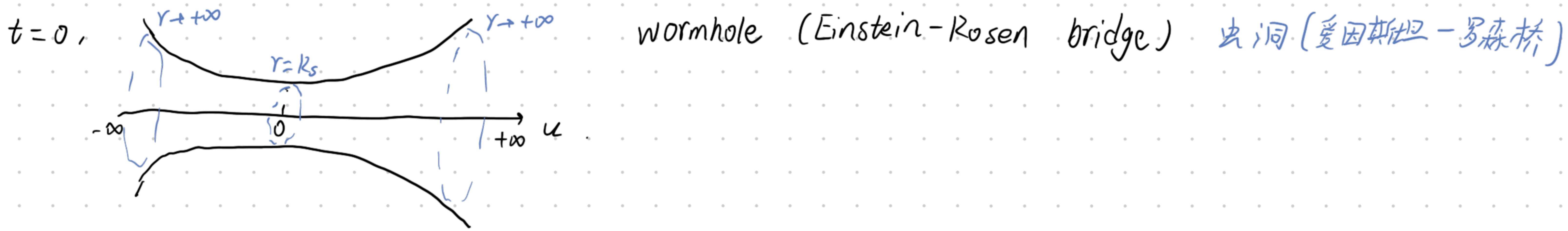
$$v = \sqrt{\frac{r}{R_s} - 1} e^{r/2R_s} \sinh(t/2R_s)$$

$$u = \sqrt{\frac{r}{R_s} - 1} e^{r/2R_s} \cosh(t/2R_s)$$

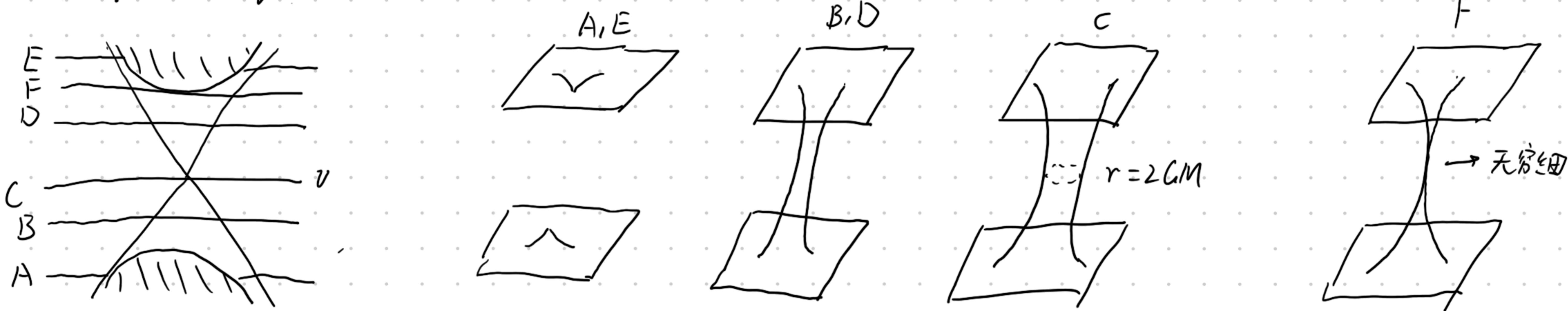
fix $t=0$ $u \rightarrow \infty \Rightarrow r \rightarrow \infty$ far away from BH.

$u=0 \Rightarrow r=R_s$ a S^2 with radius $r=R_s$ ($v=t=0$ 时 r 的最小值)

$u \rightarrow -\infty$ in region I', $u = \sqrt{\frac{r}{R_s} - 1} e^{r/2R_s} \cosh(t/2R_s) \Rightarrow r \rightarrow +\infty$



$t \neq 0$? fix v



Non-transversible (不可穿越性) : 类空连接 / 虫洞关闭得太快，不足以让类时观察者穿过

□ Surface gravity & Hawking temperature

史瓦西黑洞的视界面是 null hypersurface N (normal vector $\mathcal{I} \perp N$, $\mathcal{I} \cdot \mathcal{I} = 0$)

Killing horizon : a null hypersurface, normal vector is a killing vector.

$$ds^2 = -\left(1 - \frac{R_s}{r}\right)dt^2 + \left(1 - \frac{R_s}{r}\right)^{-1}dr^2 + r^2d\Omega^2 \quad r=R_s \text{ & normal vector : } \partial_t \text{. 光类}$$

Relation for event horizon & killing horizon

对一个静态，渐近平坦时空，event horizon 是 killing horizon.

Surface gravity:

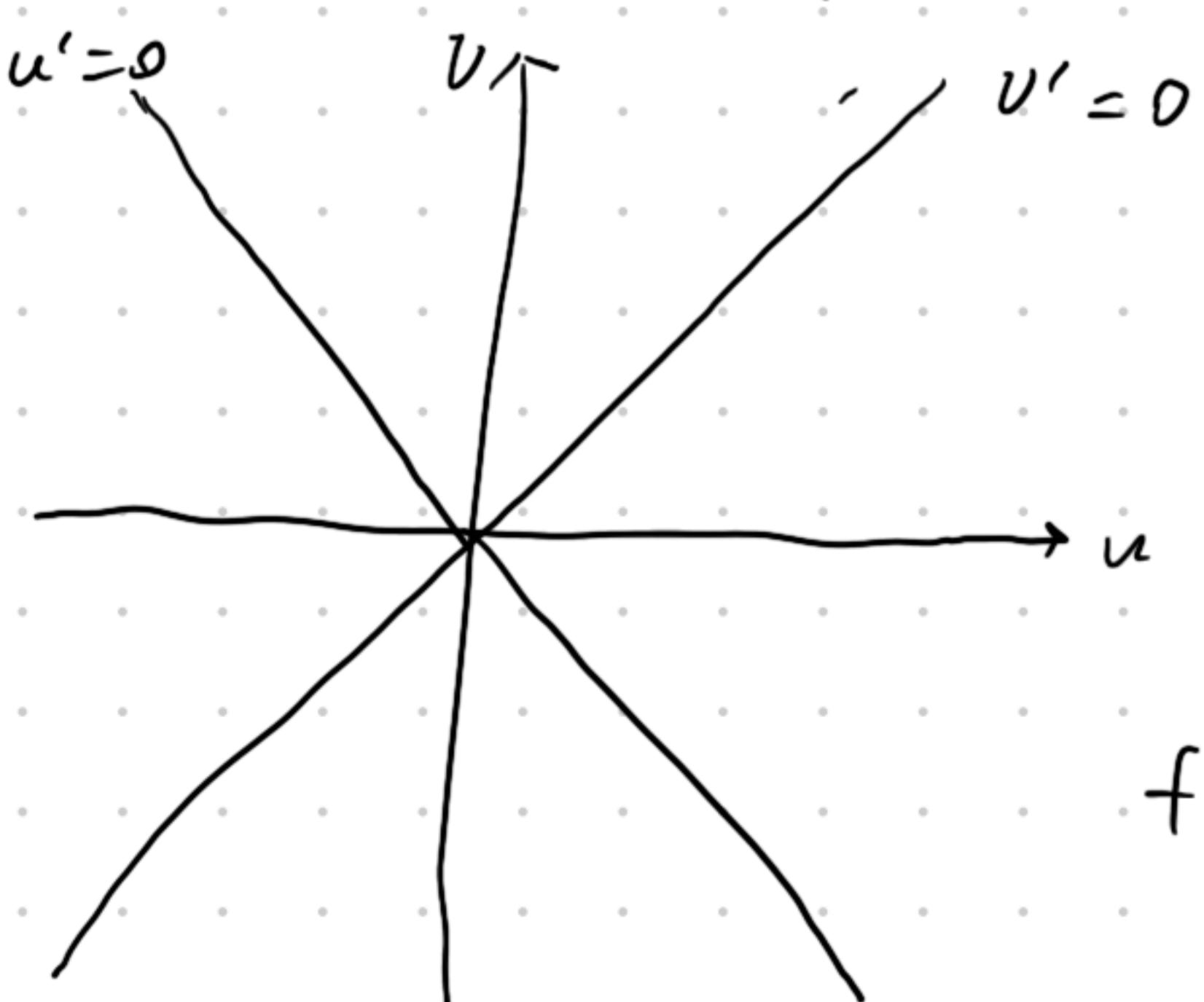
31理: on a null hypersurface N , the normal vector $\vec{l} \perp N$ is a null geodesic,
i.e. $\ell^\nu \nabla_\nu \ell^\mu = 0$

def N is a killing horizon, if killing vector $\vec{\xi} \perp N$ i.e. $\vec{\xi} = f \vec{l}$ f is a function

$$\xi^\nu \nabla_\nu \xi^\mu = \xi^\nu \nabla_\nu (f \ell^\mu) = \xi^\nu (\underbrace{f \nabla_\nu \ell^\mu}_{=0} + \ell^\mu \partial_\nu f) = [(\xi^\nu \partial_\nu f) \cdot f^{-1}] \xi^\mu = \kappa \xi^\mu$$

$\kappa = (\xi^\nu \partial_\nu f) f^{-1} = \vec{\xi} \cdot \partial \ln |f|$ is defined as the surface gravity.

Sch BH in Kruskal coord



$$v = \frac{1}{2}(u' + v') \quad u = \frac{1}{2}(u' - v') \quad \begin{cases} u' = \left(\frac{r}{R_s} - 1\right)^{1/2} e^{(r+t)/2R_s} \\ v' = -\left(\frac{r}{R_s} - 1\right)^{1/2} e^{(r-t)/2R_s} \end{cases}$$

$$\vec{\xi} = \partial_t = \frac{\partial u'}{\partial t} \frac{\partial}{\partial u'} + \frac{\partial v'}{\partial t} \frac{\partial}{\partial v'} = \frac{u'}{2R_s} \frac{\partial}{\partial u'} - \frac{v'}{2R_s} \frac{\partial}{\partial v'}$$

on N ($v' = 0$)

$$\vec{\xi} = \frac{u'}{2R_s} \frac{\partial}{\partial u'} \quad \text{normal vector of } N, \vec{l} = \frac{\partial}{\partial u'} \\ f = \frac{u'}{2R_s} \quad \text{surface gravity on } N: \kappa = \vec{\xi} \cdot \partial \ln |f| = \xi^u \frac{\partial}{\partial u'} \ln |f| = \frac{1}{2R_s} = \frac{1}{4GM}$$

surface gravity & Hawking temperature

$$ds^2 = -\left(1 - \frac{R_s}{r}\right)dt^2 + \left(1 - \frac{R_s}{r}\right)^{-1}dr^2 + r^2 d\Omega^2 \quad (r \gg R_s)$$

New coordinate $r = R_s + \frac{x^2}{4R_s}$ ($x \ll R_s$) $1 - \frac{R_s}{r} = \frac{x^2}{4R_s r} \approx \frac{x^2}{4R_s^2} = (kx)^2$

$$dr = \frac{x dx}{2R_s} = kx dx \quad \left(1 - \frac{R_s}{r}\right)^{-1} = \frac{1}{k^2 x^2} = \frac{4R_s^2}{x^2}$$

near horizon metric: $ds^2 = - (kx)^2 dt^2 + dx^2 + \frac{1}{4k^2} d\Omega^2$

Rindler metric with constant acceleration $a = x$ (for $r = \infty$ observer) (见第9页, 加速参考系度规)
(for ∞ observer, near BH horizon \rightarrow 匀加速 $a = x$)

Wick notation into Euclidean signature $t = i\tau_E$ ← 虚时间

$$ds^2 = (kx)^2 d\tau_E^2 + dx^2 + \frac{1}{4k^2} d\Omega^2$$

$$dx^2 + x^2 d(k\tau_E)^2 \quad \text{"polar coord"} \sim dr^2 + r^2 d\theta^2 \quad \text{only when } \theta \sim \theta + 2\pi \quad \text{smooth disk}$$

When $k\tau_E \sim k\tau_E + 2\pi$ space time has no singularity (奇点)

$$\tau_E \sim \tau_E + \frac{2\pi}{\kappa} \quad \text{periodicity} \quad \frac{2\pi}{\kappa} = \beta = \frac{1}{T} \quad \text{QFT with finite temperature} \quad Z = \text{tr}(e^{-\beta H}) = \sum_n \langle n | e^{\beta H} | n \rangle$$

$$y\bar{\tau}_E \sim \tau_E + \beta$$

$$\text{跃迁概率} \quad \langle x_f, t_f | x_i, t_i \rangle = \langle x_f | e^{iH(t_f - t_i)} | x_i \rangle = \int Dx(t) e^{iS_G} \quad (\text{路径积分})$$

$$t = i\tau \Rightarrow \langle x_f | e^{-H(t_f - t_i)} | x_i \rangle = \int Dx(\tau) e^{-S_E(x)} \quad S = \int_{t_i}^{t_f} dt L \quad S_E = \int_{\tau_i}^{\tau_f} d\tau L \quad S = iS_E$$

周期条件 $x(0) = x(\beta) = X$ $\langle X | e^{-\beta H} | X \rangle = \int_{x(0)=x(\beta)=X} Dx(\tau) e^{-S_E(x)}$

(Vnruh effect : constant acceleration $a = \kappa \simeq \text{finite temperature } \rho = \frac{2\pi}{\kappa}$).

Hawking temperature $T_H = \frac{\kappa}{2\pi} = \frac{1}{8\pi G M} \propto \frac{1}{M}$ S.I. $T_H = \frac{\hbar c^3}{8\pi G M k_B}$ $M \sim M_\odot, T_H \sim 6 \times 10^{-8} K$

$$J = \frac{|dU/dt|}{A} = \sigma T^4 \quad \sigma = \frac{\pi^2 k_B^4}{60 \hbar^3 c^4} \quad \frac{|dU|}{dt} = \sigma T^4 \cdot 4\pi R_s^2 = \frac{\hbar c^6}{15360 \pi G M^2} \propto M^{-2} = -\frac{dM}{dt} c^2$$

$$-\frac{dM}{dt} = \frac{a}{M^2} \Rightarrow t_{\text{evap}} = \frac{1}{a} \frac{M^3}{3} = \frac{5120 \pi G^2 M^3}{\hbar c^4} \propto M^3 \quad M = M_\odot \quad t_{\text{evap}} \approx 10^{67} a$$

↑ 蒸发

理解蒸发 QFT 虚粒子产生 $-E & E$, 黑洞吸收负能量粒子, 正能量粒子逃逸

23. General Black Hole

□ Penrose diagram (彭罗斯图)

$x \rightarrow x'$ st. $\bar{g}_{\mu\nu} = \lambda^2(x) g_{\mu\nu} \Rightarrow$ null curves are invariant ($\bar{g}_{\mu\nu} \frac{dx^\mu}{d\lambda} \frac{dx^\nu}{d\lambda} = 0$)
 (only for 2 coordinates)
 \uparrow
 共形变换

e.g. flat Minkowski spacetime $ds^2 = -dt^2 + dr^2 + r^2 d\Omega^2$ ($-\infty < t < \infty, 0 < r < +\infty$)

Null coord $u = \frac{1}{2}(t+r)$ $v = \frac{1}{2}(t-r)$ $-\infty < u, v < +\infty, v < u$

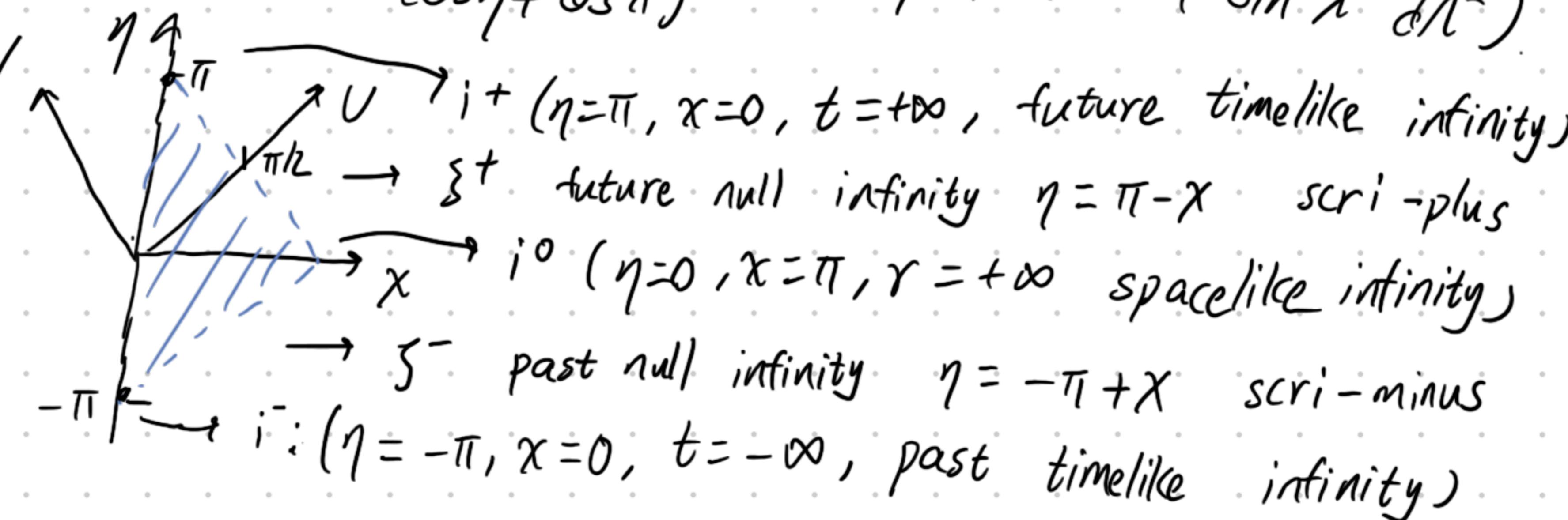
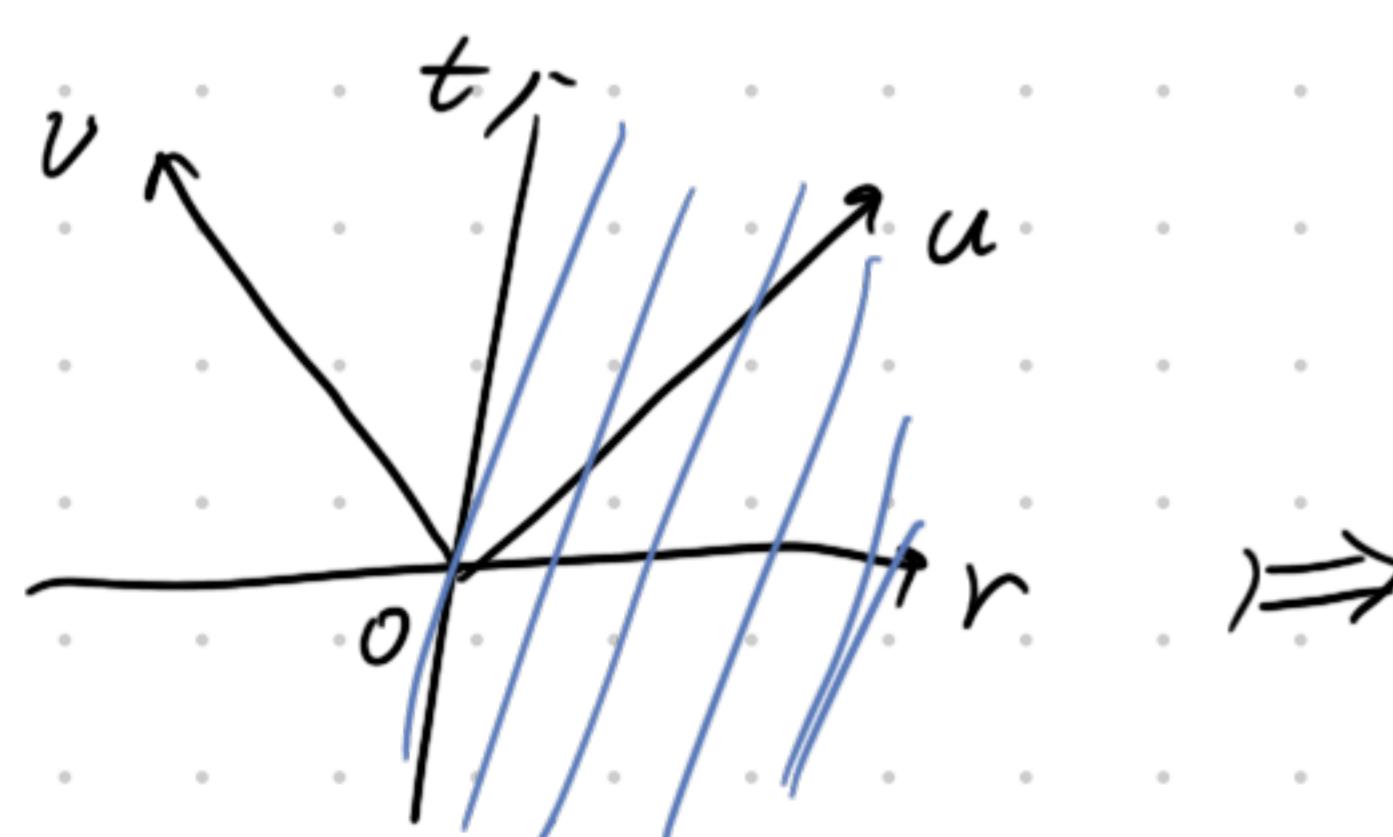
$$ds^2 = -2(dudv + dvdu) + (u-v)^2 d\Omega^2.$$

define $U = \arctan u, V = \arctan v$ $-\frac{\pi}{2} \leq U, V \leq \frac{\pi}{2}, V \leq U$

$$ds^2 = \frac{1}{\cos^2 U \cos^2 V} [-2(dUdV + dVdU) + \sin^2(U-V)d\Omega^2]$$

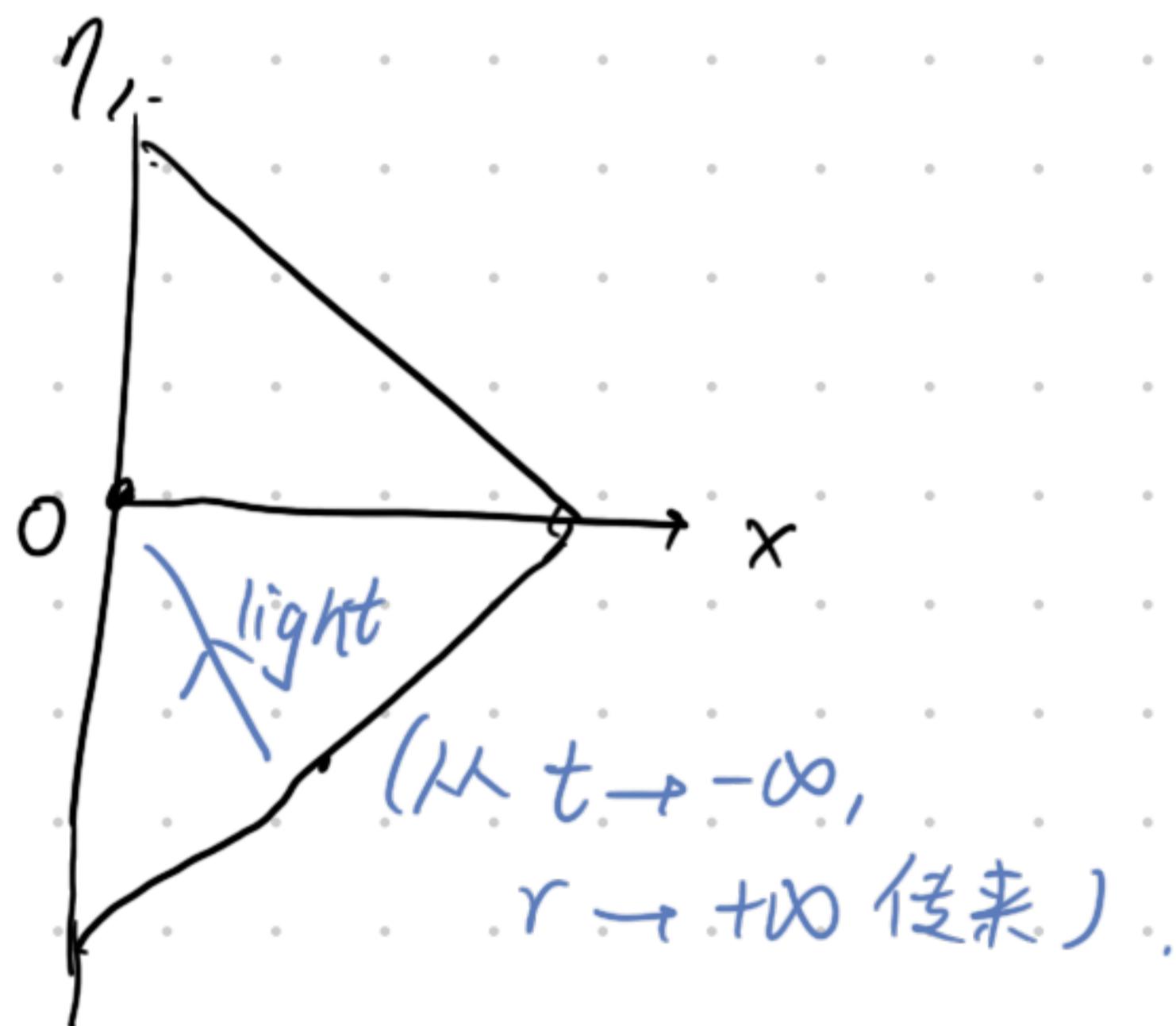
reddefine $\eta = U+V, \chi = U-V$

$$ds^2 = \frac{4}{(\cos \eta + \cos \chi)^2} (-d\eta^2 + d\chi^2 + \sin^2 \chi d\Omega^2)$$



S^+ $\eta = \pi - \chi$ e.g. $\eta = \chi = \frac{\pi}{2}$, $U = \frac{\pi}{2}, V = 0$, $u \rightarrow +\infty, v = 0$, $t, r \rightarrow +\infty, t = r$

S^- $\eta = -\pi + \chi$ e.g. $\eta = \chi = -\frac{\pi}{2}$, $r \rightarrow +\infty, t \rightarrow -\infty$ 且 $t + r = 0$

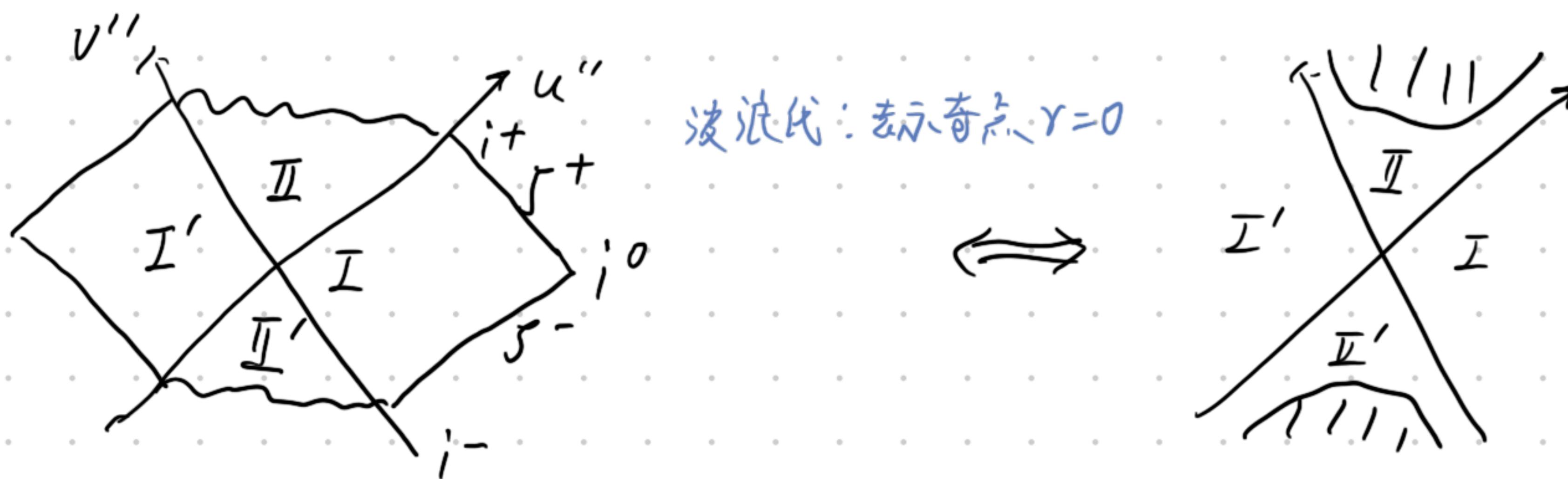


好处：把 ∞ 拉至有限距离，且不改变时空结构

2 Sch BH Kruskal coordinates $ds^2 = \frac{-2R_s^3}{r} e^{-r/R_s} (du' dv' + dv' du') + r^2 d\Omega^2$.

r is defined by $u'v' = (\frac{r}{R_s} - 1) e^{r/R_s}$

$$u'' = \arctan u' \quad v'' = \arctan v'$$



24. Kerr black hole (solution to vacuum Einstein's equation). 表现转动对称性，保留轴对称性

metric of a rotating massive object (outside).

具有角动量。

$$ds^2 = -dt^2 + \frac{\rho^2}{\Delta} dr^2 + \rho^2 d\theta^2 + (r^2 + a^2) \sin^2 \theta d\phi^2 + \frac{2GM}{\rho^2} (a \sin^2 \theta d\phi - dt)^2$$

$$\Delta(r) = r^2 - 2GMr + a^2 \quad \rho^2 = r^2 + a^2 \cos^2 \theta$$

Killing vector: $\vec{\xi} = \partial_t$, $\vec{\eta} = \partial_\phi$

$$a = \sqrt{M}$$

\cup : BH 关于 ϕ -axis 对称
 M : BH 质量.

defining $\Sigma^2 = (r^2 + a^2)^2 - a^2 \Delta \sin^2 \theta \Rightarrow ds^2 = -\frac{\rho^2 \Delta}{\Sigma^2} dt^2 + \frac{\Sigma^2 \sin^2 \theta}{\rho^2} (d\phi - \omega dt)^2 + \frac{\rho^2}{\Delta} dr^2 + \rho^2 d\theta^2$

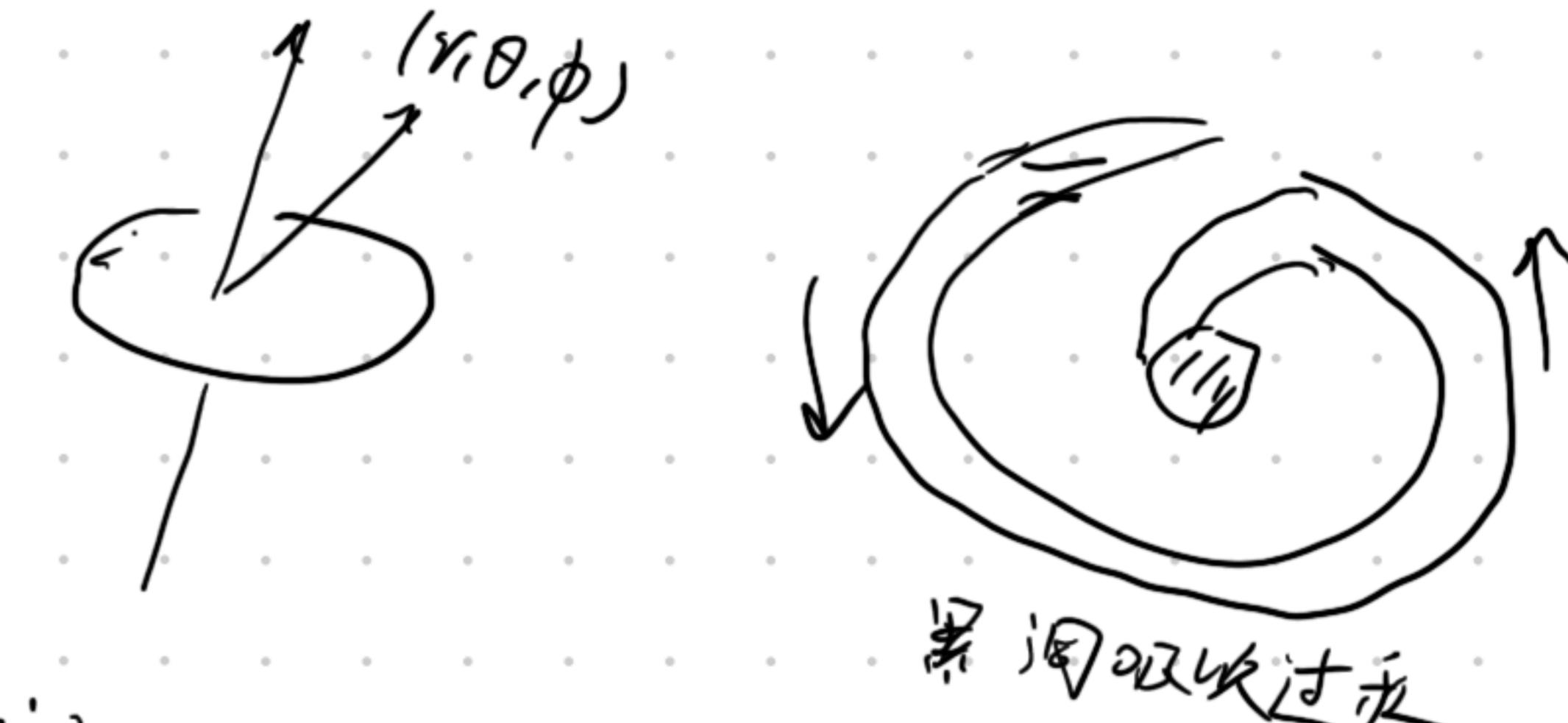
$$\omega = \frac{2GMra}{\Sigma^2}$$
 angular velocity

outside of BH timelike killing vector $\vec{\xi} = \partial_t$: stationary (稳态)

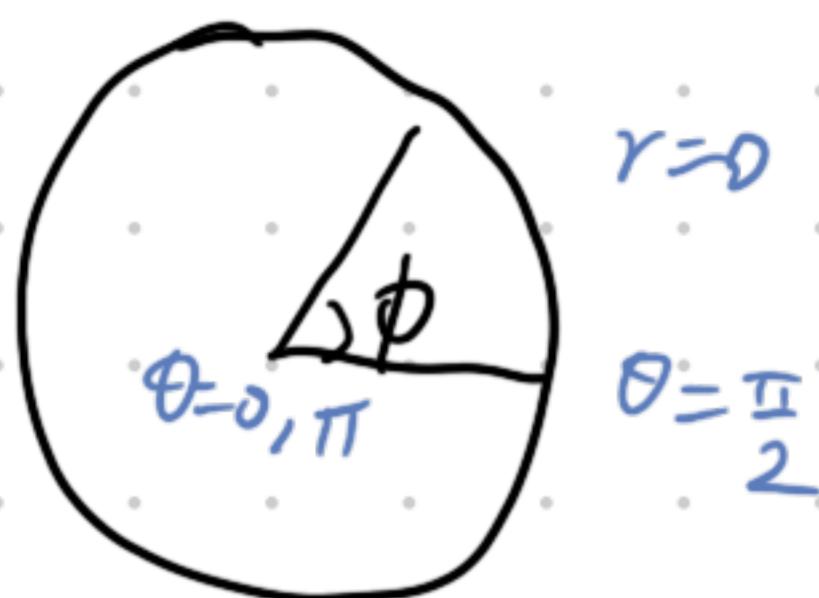
$g_{t\phi} \neq 0$ (无法通过坐标变换对角化) ; not static (静态)

$$r=0: \Delta = a^2 \quad \rho^2 = a^2 \cos^2 \theta \quad \Sigma^2 = a^4 \cos^2 \theta \quad ds^2 = -dt^2 + \cos^2 \theta dr^2 + a^2 \cos^2 \theta d\theta^2 + a^2 \sin^2 \theta d\phi^2$$

$$\omega = 0$$



$r=0$ is not a spatial point (不是奇点) is a disk with radius a .



outer bounding $\theta = \frac{\pi}{2}$.

奇点位置: $\rho = 0 \Rightarrow r=0 \& \theta = \frac{\pi}{2}$. ($R^{\mu\nu\rho\sigma} R_{\mu\nu\rho\sigma} \rightarrow \infty$) singularity is a ring

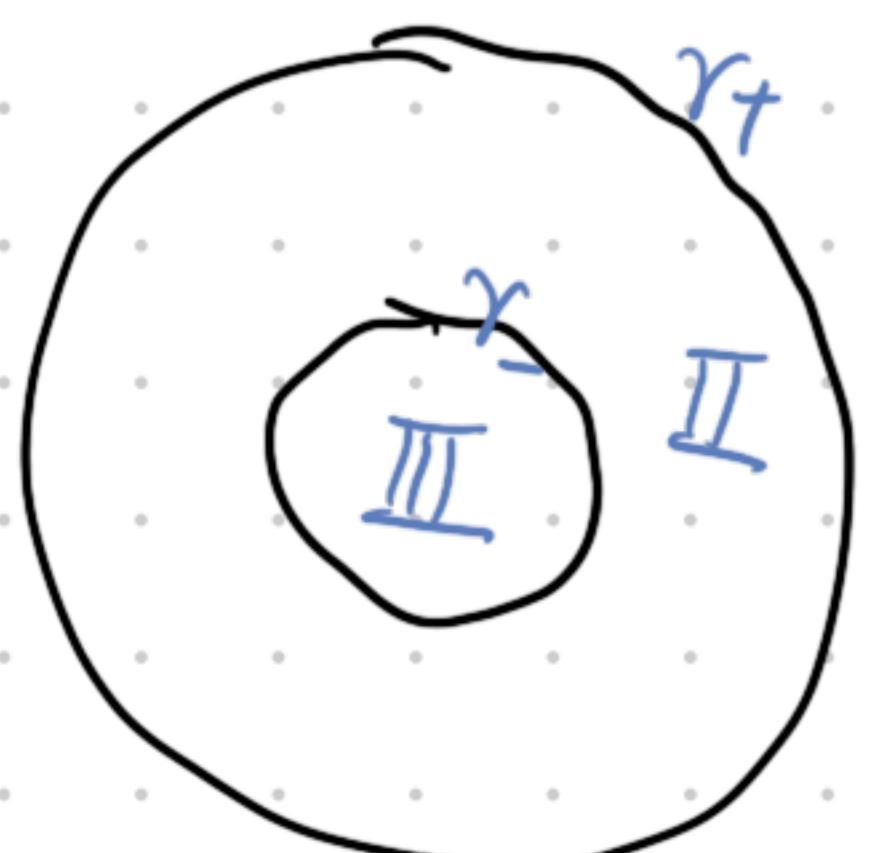
▷ Event horizon & infinite "redshift" surface.

Event horizon $g^{rr}=0$ $g_{rr} = \frac{\rho^2}{\Delta} = \infty$ $\Delta = r^2 - 2GMr + a^2 = 0$

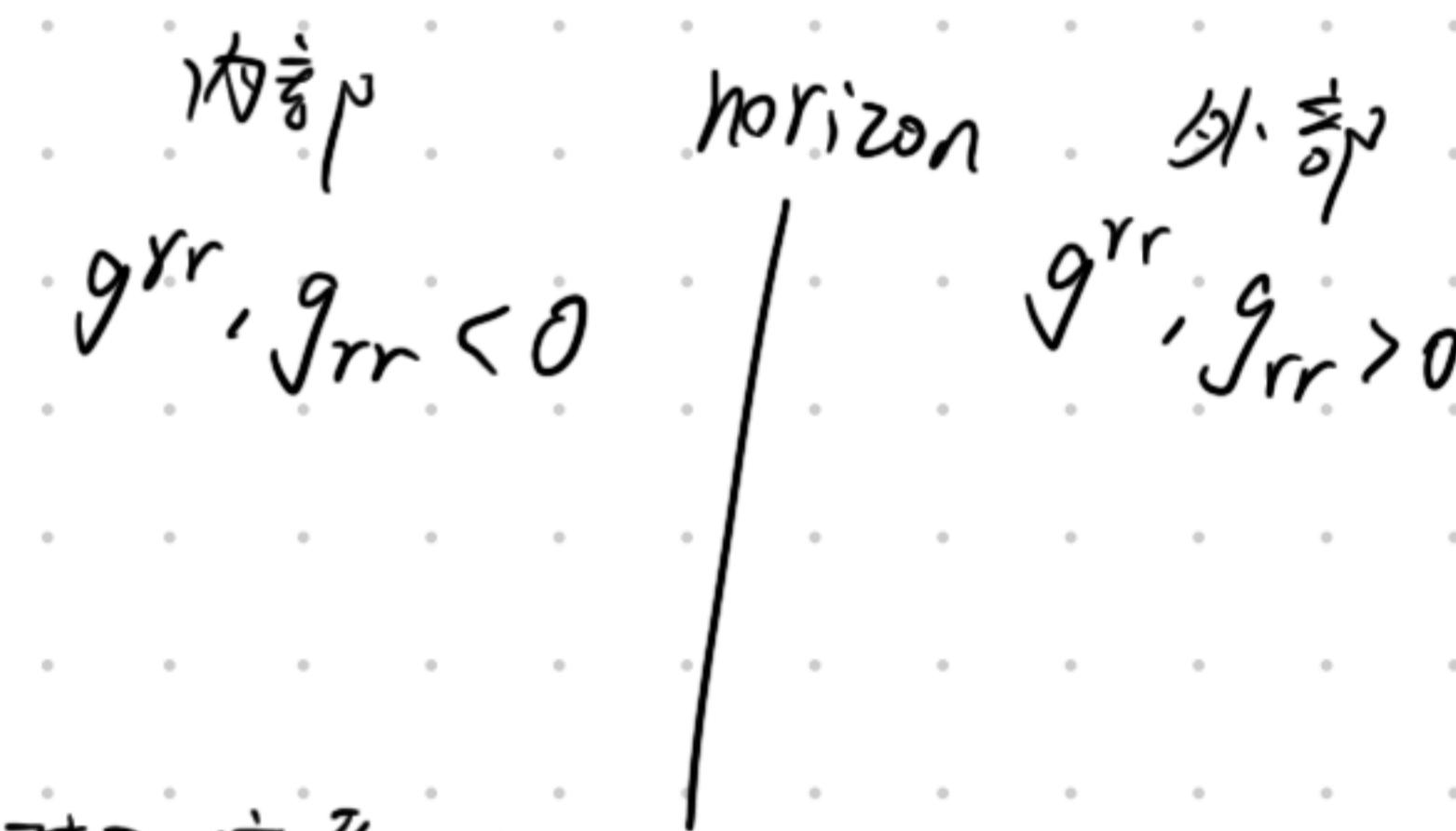
$$r_{\pm} = GM \pm \sqrt{(GM)^2 - a^2}$$

1. $GM > a$ two horizons: inner r_- , outer r_+ .

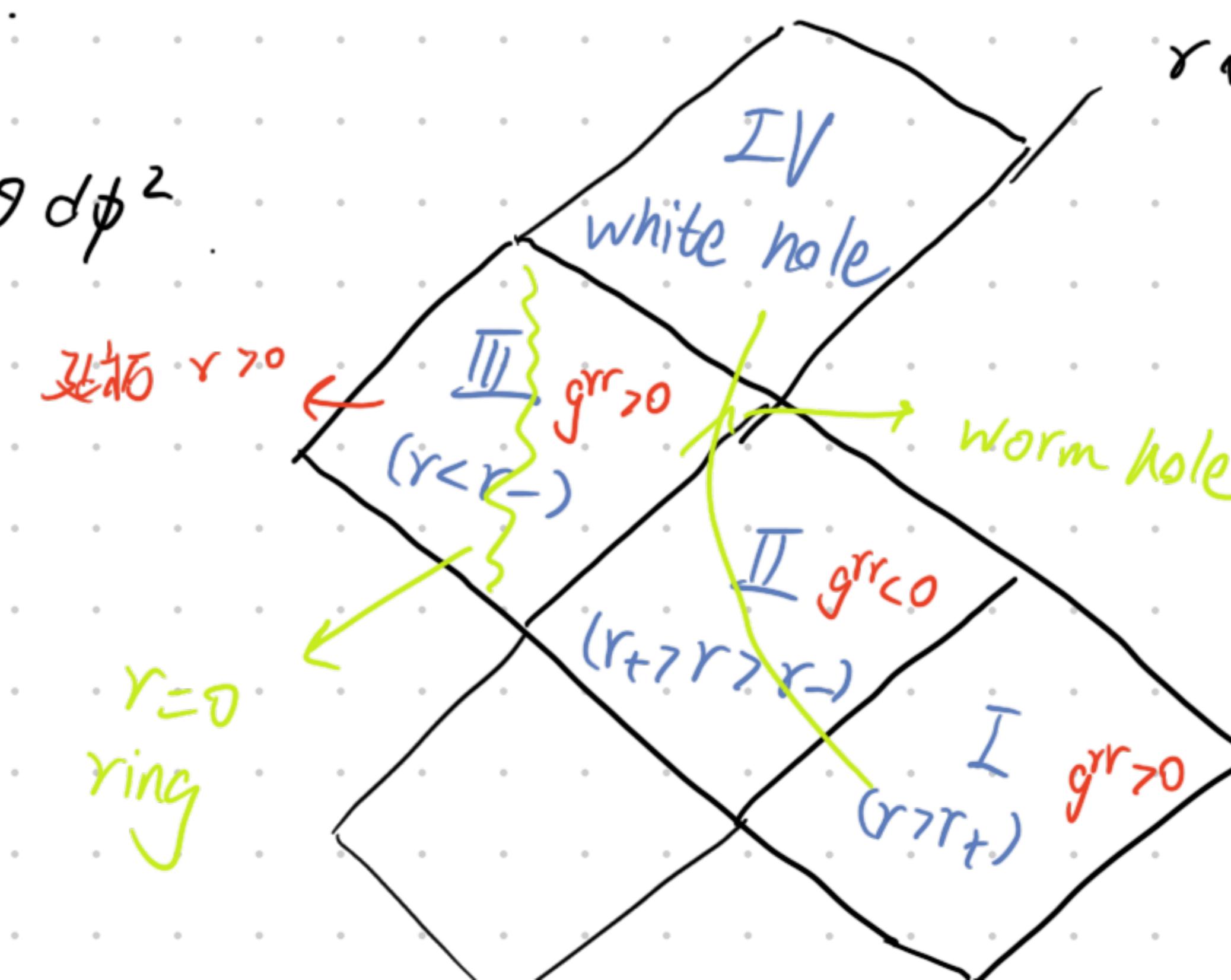
$$r = r_{\pm}, ds^2 = \rho_{\pm}^2 d\theta^2 + \frac{(r_{\pm}^2 + a^2)^2}{\rho_{\pm}^2} \sin^2\theta d\phi^2$$



I Penrose diagram



内部: 对观察者 $ds^2 < 0 \Rightarrow dr^2 > 0$
在视界内无运行在固定的 r 处, 只能往
 r 小的方向堕落.



2. $a = GM$ extremal Kerr BH $r_+ = r_- = a$



3. $a > GM$ no event horizon
naked singularity at $r=\rho=0$ (奇点未被视界包裹)
contradicts Hawking's cosmic censorship hypothesis (奇点被视界包围)

• Geodesics Killing vector $\vec{\xi} = \partial_t, \vec{\eta} = \partial_\phi$ Consider simplification $\theta = \frac{\pi}{2}$ (赤道面上)

$$u^0 = 0, \quad u^1 = (u^t, u^r, 0, u^\phi) \quad ds^2 = -\left(1 - \frac{2GM}{r}\right)dt^2 - \frac{4aGM}{r}dt d\phi + \frac{r^2}{\Delta}dr^2 + (r^2 + a^2 + \frac{2GMA^2}{r})d\phi^2$$

$(\Delta = r^2 - 2GMr + a^2)$

conserved quantities

$$\text{energy density } E = -\vec{\xi} \cdot \vec{u} = -(g_{tt}u^t + g_{t\phi}u^\phi) = \left(1 - \frac{2GM}{r}\right)u^t + \frac{2aGM}{r}u^\phi$$

$$\text{angular momentum density } L = \vec{\eta} \cdot \vec{u} = g_{\phi t}u^t + g_{\phi\phi}u^\phi = -\frac{2aGM}{r}u^t + (r^2 + a^2 + \frac{2GMA^2}{r})u^\phi$$

$$u^t = \frac{dt}{d\lambda} = \frac{1}{\Delta} \left((r^2 + a^2 + \frac{2GMa^2}{r}) E - \frac{2GMaL}{r} \right)$$

$$u^\phi = \frac{d\phi}{d\lambda} = \frac{1}{\Delta} \left((1 - \frac{2GM}{r}) L + \frac{2GMa}{r} E \right)$$

$$u^\mu u_\mu = -\epsilon \quad \begin{cases} \epsilon = 1 & \text{massive} \\ \epsilon = 0 & \text{massless} \end{cases}$$

$$\epsilon = 1 \quad \frac{E^2 - 1}{2} = \frac{1}{2} \left(\frac{dr}{d\lambda} \right)^2 + V_{\text{eff}} \quad V_{\text{eff}} = -\frac{GM}{r} + \frac{L^2 - a^2(E^2 - 1)}{2r^2} - \frac{GM(L - aE)^2}{r^3}$$

circular orbit $\frac{dr}{d\lambda} = 0 \quad \frac{\partial V_{\text{eff}}}{\partial r} = 0$

e.g. extremal $a = GM$ $R = GM$ & $9GM$

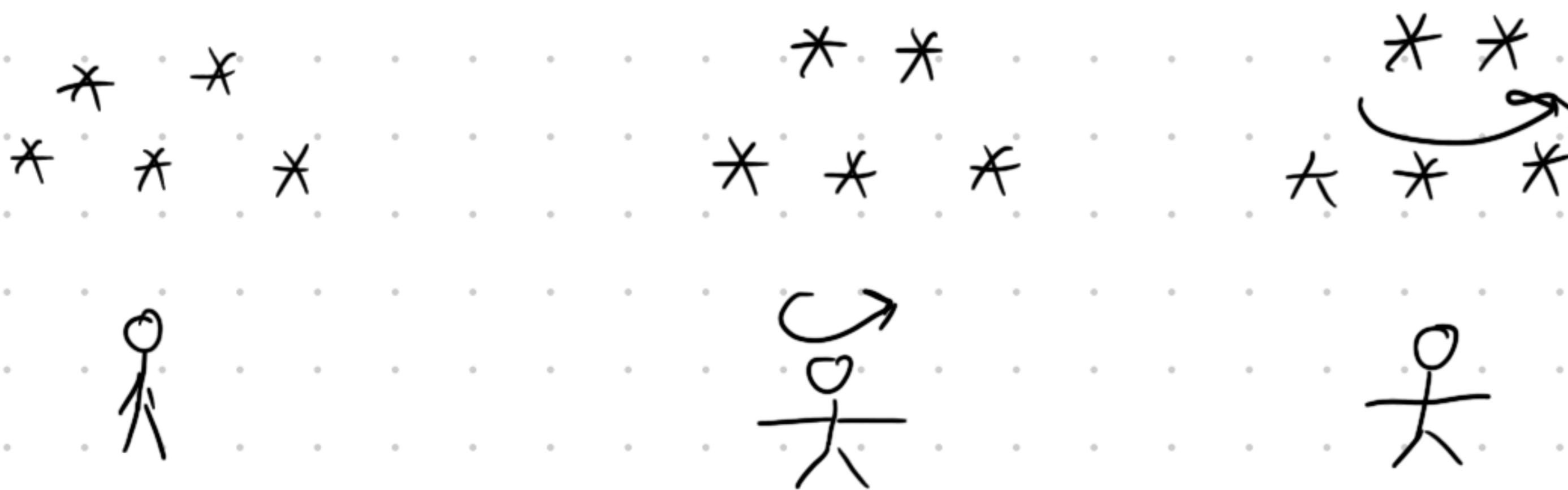
closed timelike curve (CTC) (闭合类时曲线)

(注意：时间上也闭合)

$$\theta = \frac{\pi}{2}, \text{ fix } t \& r \quad ds^2 = (r^2 + a^2 + \frac{2GMa^2}{r}) d\phi^2 \quad (r \geq 0)$$

若延拓至 $r < 0$, $g_{\phi\phi}$ can be negative

□ Mach's principle 马赫原理



GR Mach's principle

Schwarzschild metric & Kerr metric



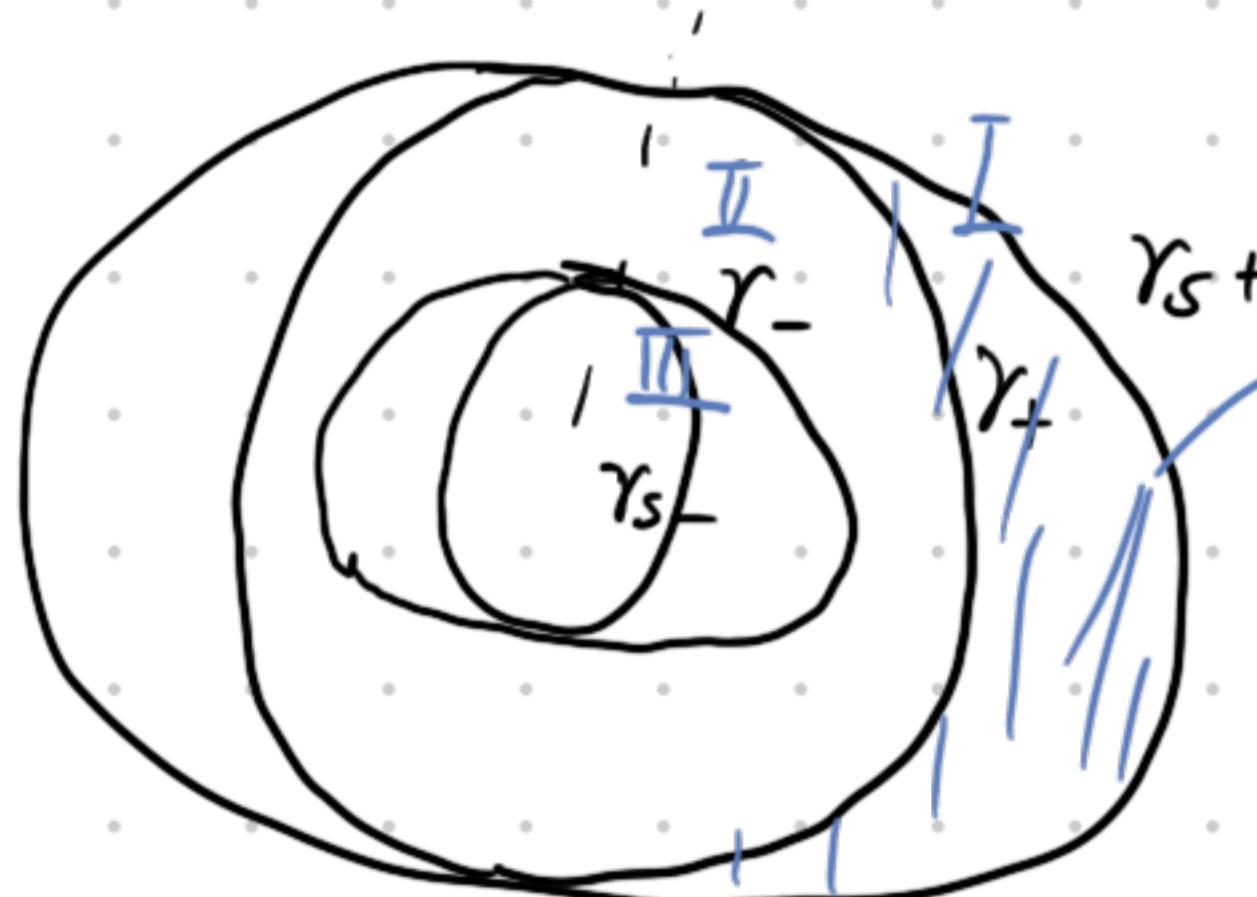
\neq



Kerr BH. outer horizon : $r_+ = GM + \sqrt{(GM)^2 - a^2}$ $g^{rr} = 0$

Infinite red shift surface $g_{tt} = 0$ $r_{st} = GM + \sqrt{(GM)^2 - a^2 \cos^2\theta} \geq r_+$

$\uparrow \phi$



ergosphere ($g_{tt} < 0$)

$$g_{tt} < 0, g_{rr} > 0$$

$$ds^2 = g_{tt} dt^2 + g_{rr} dr^2 + g_{\theta\theta} d\theta^2 + g_{\phi\phi} d\phi^2 + 2g_{t\phi} dt d\phi$$

timelike observer $ds^2 < 0$

$$g_{t\phi} < 0 \Rightarrow d\phi > 0$$

$$g_{tt}, g_{rr}, g_{\theta\theta}, g_{\phi\phi} > 0 \Rightarrow g_{t\phi} dt d\phi < 0$$

In ergosphere, observer must rotate with BH (same direction)

$g_{rr} > 0$, observer can still escape BH.

Perme process extract energy from BH

A particle is lauched to Kerr BH from $r = \infty$, $\vec{p}^{(A)}(\varepsilon)$

for ∞ observer, energy of A $E^A = -\beta^A(\varepsilon) \cdot \vec{u}_{\text{obs}} = -P_t^A(\varepsilon)$
 $u^\kappa_{\text{obs}} = (1, 0, 0, 0)$

at point D in ergosphere, $A \rightarrow B + C$.

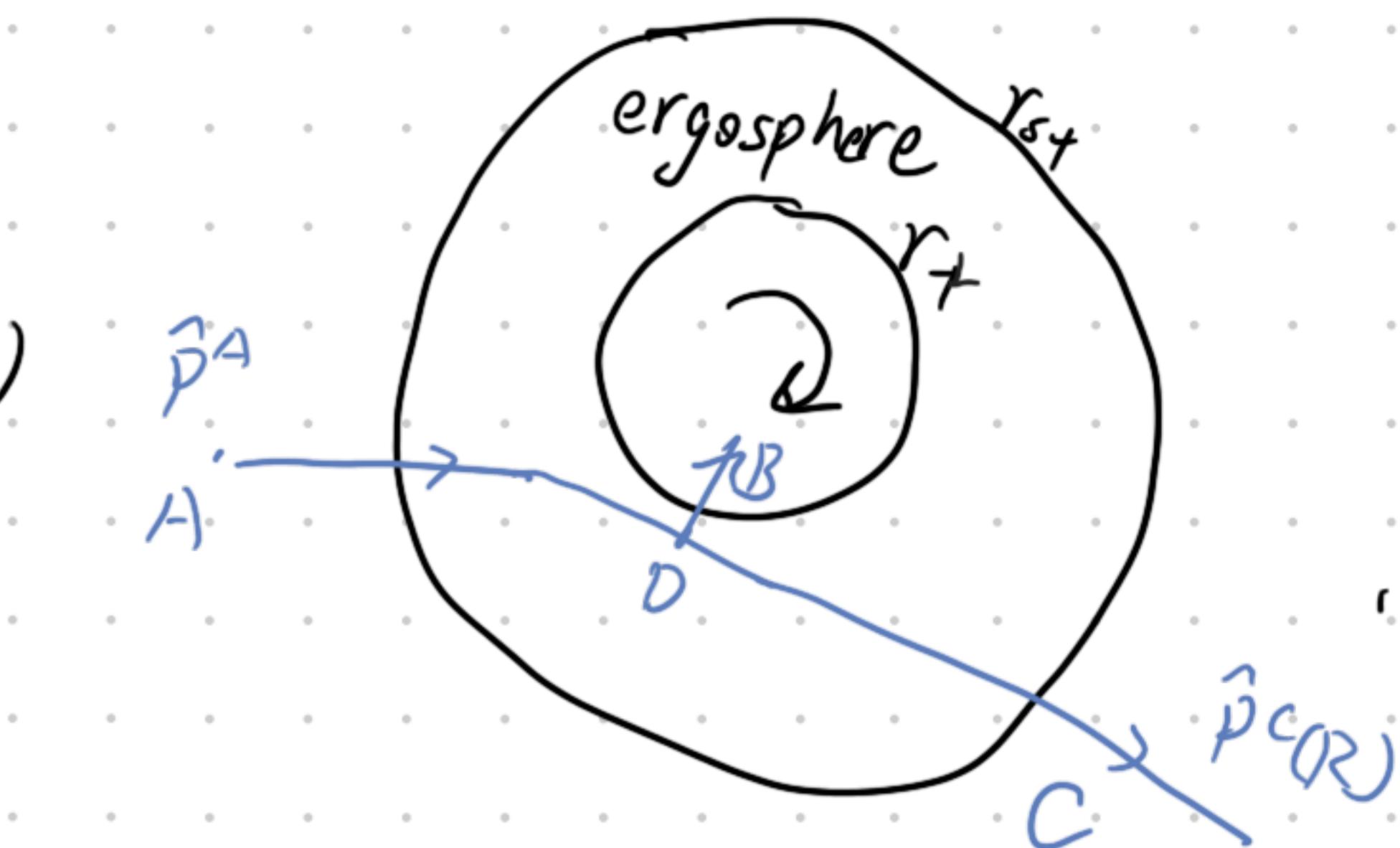
at D local conservation of 4-momentum $\beta^A(D) = \beta^B(D) + \beta^C(D)$
 C goes back to $r = \infty$, measured energy $E^C = -P_t^C(R)$

Killing vector $\partial_t \Rightarrow P_t^C(R) = P_t^C(D) \quad P_t^A(\varepsilon) = P_t^A(D)$

$$\Rightarrow -E^A = P_t^B(D) - E^C \quad E^C = P_t^B(D) + E^A$$

in ergosphere, $g_{tt} > 0$, $P_t^B(D) = -E^B$ can be > 0

if $E^B < 0$, B can't escape from BH $\rightsquigarrow E^C > E^A$



particle gains energy from BH
 mass of BH is reduced

Q In G.R. how to define conserved quantities e.g. mass M , angular momentum J , charge Q for a metric (ADM mass) (BH)?

Exists killing vector to define M & J ?

d-1 subspace V with boundary ∂V for each killing vector ξ define "Komar's integral".

$$Q_\xi(V) = \frac{c}{16\pi G} \oint_{\partial V} dS_{\mu\nu} \nabla^\mu \xi^\nu = \frac{c}{8\pi G} \int_V dS_\mu \nabla_\nu \nabla^\mu \xi^\nu$$

Identity $\nabla_\nu \nabla^\mu \xi^\nu = R_{\mu\nu} \xi^\nu$ for killing vector ξ^ν

$$\left\{ \begin{array}{l} [\nabla_\nu, \nabla_\mu] \xi^\nu = R^\rho{}_{\mu\nu\rho} \xi^\nu = R_{\mu\nu} \xi^\nu \\ \nabla_\nu \xi^\nu = 0 \Rightarrow \nabla_\nu \xi^\nu = 0 \end{array} \right.$$

$$Q_\xi(V) = \frac{c}{8\pi G} \int_V dS_\mu R^\mu_\nu \xi^\nu \quad (\text{using Einstein's eq. } R_{\mu\nu} = 8\pi G(T_{\mu\nu} - \frac{1}{2}T))$$

$$= c \int_V dS_\mu (T^\mu_\nu \xi^\nu - \frac{1}{2} T \xi^\mu) = \int dS_\mu J^\mu(\xi) \quad \text{satisfy: } \nabla_\mu J^\mu(\xi) = 0$$

e.g. timelike killing vector $\xi = \partial_t$ $E(V) = \frac{1}{8\pi G} \oint_{\partial V} dS_{\mu\nu} \nabla^\mu \xi^\nu = M$

$$\tilde{\eta} = \partial\phi, \quad J(V) = \frac{1}{16\pi G} \oint_{\partial V} dS_{\mu\nu} \nabla^\mu \eta^\nu$$

M & J are associated with spacetime symmetry

Q electric charge 内部对称性 global gauge symmetry $\phi \rightarrow e^{ir}\phi$

$$Q(V) = \int_V j^{(d-1)} = \int_V d*F = \int_{\partial V} *F \quad \nabla_\mu F^{\mu\nu} = j^\nu \Rightarrow d(*F) = j^{(d-1)}$$

2 No-hair theorem (无毛定理) all stationary BH solution in GR + EM can be completely characterized by the mass M , angular momentum J , electric charge Q .

$J=Q=0$ Schwarzschild BH

$Q=0, J\neq 0$ Kerr BH

$Q\neq 0, J=0$ Reissner - Nordström (RN) BH

$Q, J\neq 0$ Kerr - Newman BH

BH thermodynamics (Stationary BH)

Hawking Black hole has 熵

$$S_{\text{BH}} = \frac{A}{4G} = \frac{A}{4} \rightarrow \text{surface area of event horizon}$$

M, J, Q

热力学量

Energy U

BH

mass M

Temperature T

surface gravity κ ($\bar{\tau}_h = \frac{\kappa}{2\pi}$)

Entropy S

Surface area A ($S_{\text{BH}} = \frac{A}{4}$)

μ

J, Q, \dots

0th law T is constant in 热力学

κ is constant for stationary BH

1st law $dU = TdS + dW$

$$\delta M = \frac{\kappa}{8\pi} \delta A + \lambda_h \delta J$$

2nd law $\delta S \geq 0$

$$\delta A > 0$$

$$\lambda_h = \frac{\delta M}{\delta J}$$

3rd law $T=0$ can't be reached in 热力学

$\kappa=0$ extremal BH can't be reached 热力学

25. Gravitational wave

linear gravity limit $g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$ ($|h_{\mu\nu}| \ll 1$)

$h_{\mu\nu}$ has gauge transformation $h_{\mu\nu} \rightarrow h_{\mu\nu} + \partial_\mu s_\nu + \partial_\nu s_\mu$

Einstein's equation

$$\square h_{\mu\nu} - \frac{1}{2} \eta_{\mu\nu} \square h = -16\pi G T_{\mu\nu} \quad (h = h^\mu{}_\mu, \square = \partial_\mu \partial^\mu)$$

harmonic gauge

$$g^{\mu\nu} \Gamma_{\mu\nu}^\rho = 0 \quad \partial^\mu h = 2\partial^\nu h_\nu{}^\mu$$

$$\bar{h}_{\mu\nu} = h_{\mu\nu} - \frac{1}{2} \eta_{\mu\nu} h$$

$\square \bar{h}_{\mu\nu} = -16\pi G T_{\mu\nu}$ is a wave equation

vacuum gravitational wave

$$\text{solution } \square \bar{h}_{\mu\nu} = 0$$

plane wave solution

$$\bar{h}_{\mu\nu} = G_{\mu\nu} e^{ik_\rho x^\rho}$$

$$\partial_\rho \bar{h}_{\mu\nu} = i k_\rho G_{\mu\nu} e^{ik_\rho x^\rho}$$

$$G_{\mu\nu} = C_{\nu\mu}$$

$$\square \bar{h}_{\mu\nu} = 0 \Rightarrow -k_\rho k^\rho \bar{h}_{\mu\nu} = 0 \Rightarrow k_\rho k^\rho = 0$$

$$\vec{k} = (\omega, \vec{k}) \quad \vec{k} \cdot \vec{k} = 0 \Rightarrow \omega^2 = |\vec{k}|^2$$

gravitational wave propagates at the speed of light

$G_{\mu\nu}$ 有多少独立分量?

$$\text{harmonic gauge } \partial^\mu \bar{h}_{\mu\nu} = 0 \quad k^\mu G_{\mu\nu} = 0$$

$$G_{\mu\nu} = C_{\mu\nu} \rightarrow \text{自由度 10}$$

进行规范变换 $h'_{\mu\nu} = h_{\mu\nu} - \partial_\mu \xi_\nu - \partial_\nu \xi_\mu$ $\xi_\mu = B_\mu e^{ik_0 x^0}$

$$\begin{aligned}\bar{h}'_{\mu\nu} &= h'_{\mu\nu} - \frac{1}{2} \eta_{\mu\nu} h' = h_{\mu\nu} - \partial_\mu \xi_\nu - \partial_\nu \xi_\mu - \frac{1}{2} \eta_{\mu\nu} (h - 2 \partial_\lambda \xi^\lambda) \\ &= \bar{h}_{\mu\nu} - \partial_\mu \xi_\nu - \partial_\nu \xi_\mu + \eta_{\mu\nu} \partial_\lambda \xi^\lambda\end{aligned}$$

$$\Rightarrow C'_{\mu\nu} = C_{\mu\nu} - i k_\mu B_\nu - i k_\nu B_\mu + i \eta_{\mu\nu} k_\lambda B^\lambda$$

we can choose B_μ s.t. $C'^\mu{}_\mu = 0$ $C'_0 = 0$

$$C'^\mu{}_\mu = 0 \Rightarrow k_\mu B^\mu = \frac{i}{2} C^\mu{}_\mu \quad (\eta_{\mu\nu} \eta^{\mu\nu} = 4)$$

$$C'_0 = 0 \Rightarrow B_0 = -\frac{i}{2k_0} (C_0 + \frac{1}{2} C^\mu{}_\mu)$$

$$C'_{0j} = 0 \Rightarrow B_j = \frac{1}{2k_0^2} (-2k_0 C_{0j} + i \xi_j (C_0 + \frac{1}{2} C^\mu{}_\mu))$$

$k_\mu C^\mu = 0$ $C^\mu{}_\mu = 0$ $C_{0\mu} = 0$ 独立限制自由度 8个
 ↓
 -3 ↓
 -1 ↓
 $(k_\mu C^\mu \text{ 不独立})$ -4

$$10 - 8 = 2 \quad 31 \text{ 个独立仅有 } 2 \text{ 个} \quad (\text{同光波一样})$$

wave propagate along z-direction $k^\mu = (\omega, 0, 0, \omega)$ $k^\mu C_{\mu\nu} = 0$

$$k^0 C_{0\mu} + k^3 C_{3\mu} = 0 \quad \text{and} \quad C^\mu_{\mu} = 0 \quad \Rightarrow \quad C_{11} = -C_{22}, \quad C_{12} = C_{21}$$

其余均为 0

$$C_{\mu\nu} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & C_{11} & C_{12} & 0 \\ 0 & C_{12} & -C_{11} & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

transverse traceless gauge (横向无迹规范)

$$\bar{h}_{\mu\nu} = h_{\mu\nu} - \frac{1}{2}\eta_{\mu\nu}h = h_{\mu\nu}$$

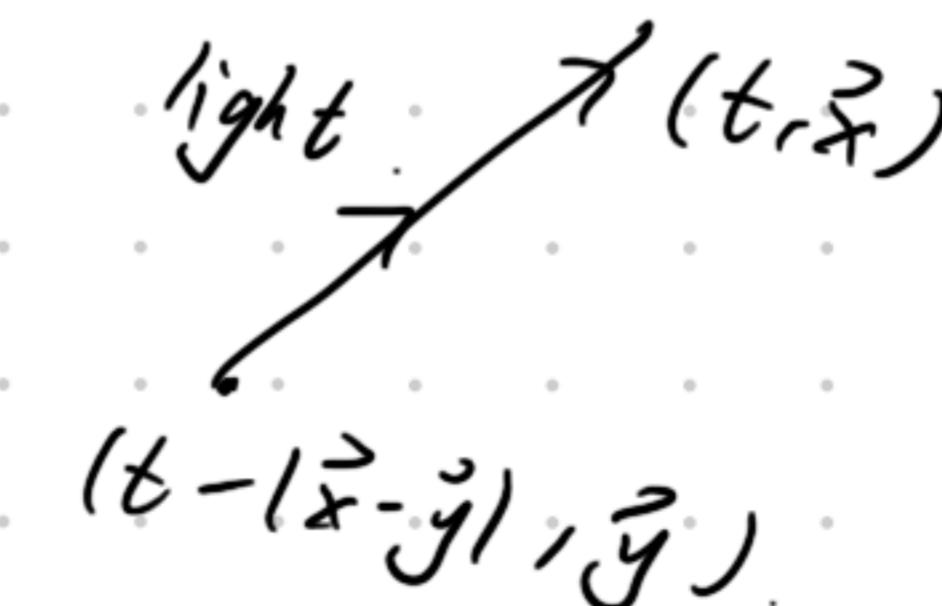
¹² with source $T_{\mu\nu}$. $\square \bar{h}_{\mu\nu} = -16\pi G T_{\mu\nu}$ (use Green's function)

$$\partial^\mu \partial_\nu G(x^\sigma - y^\sigma) = \delta^{(4)}(x^\sigma - y^\sigma)$$

general solution $\bar{h}_{\mu\nu}(x^\sigma) = -16\pi G \int G(x^\sigma - y^\sigma) T_{\mu\nu}(y^\sigma) d^4y$

retarded Green's function $G(x^\sigma - y^\sigma) = -\frac{1}{4\pi |\vec{x} - \vec{y}|} \delta[|\vec{x} - \vec{y}| - (x^0, y^0)] \theta(x^0 - y^0) \quad \theta(z) = \begin{cases} 1 & z \geq 0 \\ 0 & z < 0 \end{cases}$

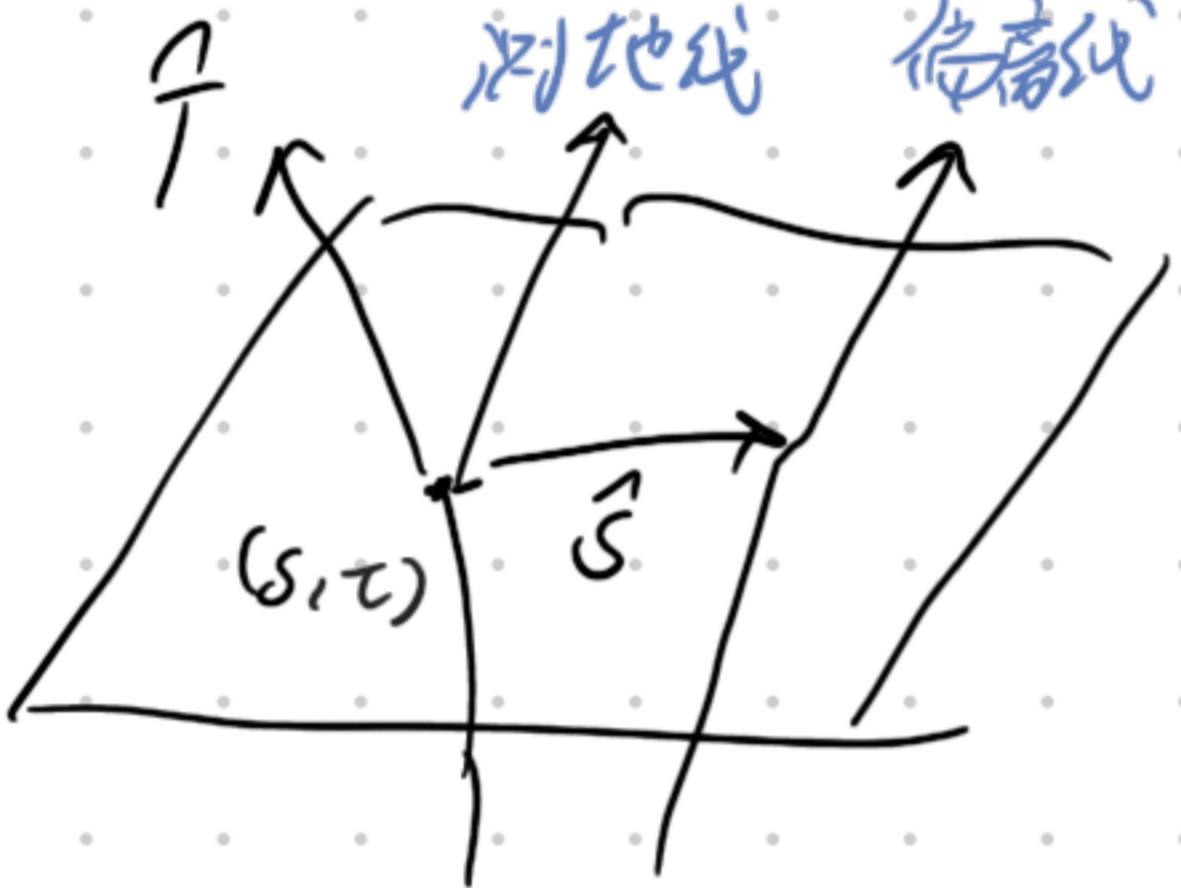
$$\bar{h}_{\mu\nu}(t, \vec{x}) = 4G \int \frac{1}{|\vec{x} - \vec{y}|} T_{\mu\nu}(t - |\vec{x} - \vec{y}|, \vec{y}) d^3y$$



▷ effect of gravitational wave on particles

geodesic deviation 世界线偏差

(一个粒子的视地线)



tangent vector along a geodesic $T^\mu = \frac{\partial x^\mu}{\partial \tau}$

deviation vector $s^\mu = \frac{\partial x^\mu}{\partial s}$

\vec{s}, \vec{T} 互相正交

$$[\vec{s}, \vec{T}] = 0 \quad s^\rho \nabla_\rho T^\mu = T^\rho \nabla_\rho s^\mu$$

geodesic deviation velocity $V^\mu = (\nabla_{\vec{T}} \vec{s})^\mu = T^\rho \nabla_\rho s^\mu$

$$\begin{aligned} \text{geodesic deviation acceleration } a^\mu &= (\nabla_{\vec{T}} V)^\mu = T^\rho \nabla_\rho V^\mu = T^\rho \nabla_\rho (T^\sigma \nabla_\sigma s^\mu) \\ &= T^\rho \nabla_\rho (\delta^\sigma \nabla_\sigma T^\mu) = (T^\rho \nabla_\rho \delta^\sigma) \nabla_\sigma T^\mu + T^\rho \delta^\sigma \nabla_\rho \nabla_\sigma T^\mu \\ &= (s^\rho \nabla_\rho T^\sigma) \nabla_\sigma T^\mu + T^\rho \delta^\sigma (\nabla_\sigma \nabla_\rho T^\mu + R^\mu_{\nu\rho\sigma} T^\nu) \\ &= \delta^\sigma \nabla_\sigma (T^\rho \nabla_\rho T^\mu) + R^\mu_{\nu\rho\sigma} T^\nu T^\rho \delta^\sigma \\ &= R^\mu_{\nu\rho\sigma} T^\nu T^\rho \delta^\sigma = \frac{D^2 s^\mu}{d\tau^2} \quad (\text{geodesic deviation equation}) \end{aligned}$$

gravitational wave (plane wave in vacuum) $u^\mu = (1, 0, 0, 0) = T^\mu$

$$R_{\mu\nu\rho\sigma} = \dots = \frac{1}{2} \partial_\mu \partial_\nu h_{\rho\sigma} \quad (\text{忽略为 } 0)$$

$$\frac{\partial^2 S^\mu}{\partial t^2} = \frac{1}{2} S^\sigma \frac{\partial^2}{\partial t^2} h^\mu_\sigma$$

$$h^\mu_\sigma = C^\mu_\sigma e^{ik_\lambda x^\lambda}$$

$$C_{11}, C_{12} = C_{21}, C_{22} = -C_{11}$$

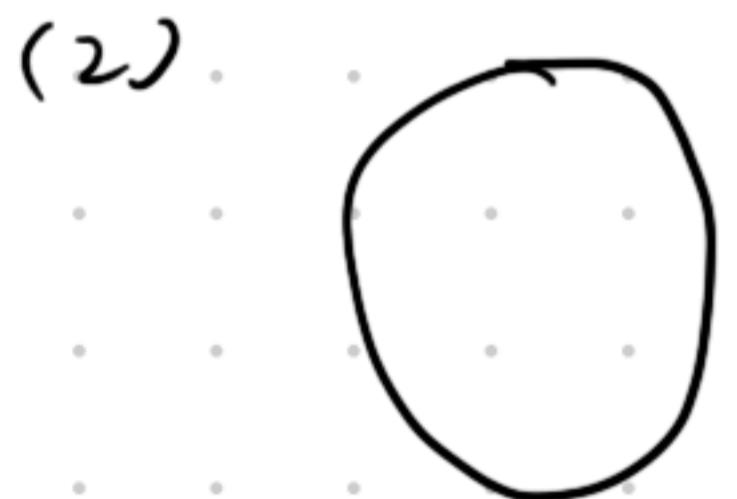
Two different modes

$$(1) C_+ = C_{11} \neq 0 \quad C_{12} = 0$$

$$(2) C_x = C_{12} \neq 0 \quad C_{11} = 0$$

$$(1) S' = (1 + \frac{1}{2} C_+ e^{ik_0 x^0}) S'(0)$$

$$S^2 = (1 - \frac{1}{2} C_+ e^{ik_0 x^0}) S^2(0)$$



26. FKW cosmology

(各向同性)

(均匀)

cosmological principle: the space is isotropic and homogeneous

(最大对称空间假设 \rightarrow Minkowski, dS , AdS 过程)

认为空间上是最大对称的，允许时间上的演化

Comoving coordinate $ds^2 = -dt^2 + g_{ij} dx^i dx^j$ (膨胀的气球)

验证: observer $x^\mu(\tau)$ $x^0 = t = \tau$ $x^i = \text{constant}$ goes along a geodesic

$$\frac{d^2x^\mu}{d\tau^2} + \Gamma_{\nu\sigma}^\mu \frac{dx^\nu}{d\tau} \frac{dx^\sigma}{d\tau} = 0. \quad u^\mu = \frac{dx^\mu}{d\tau} = (1, 0, 0, 0) \quad \Gamma_{\theta\theta}^\mu = \frac{1}{2} g^{\mu\nu} (\partial_\theta g_{\nu 0} + \partial_0 g_{\nu\theta} - \partial_\nu g_{00}) = 0$$

各向同性 & 均匀 $\Rightarrow ds^2 = -dt^2 + a^2(t) h_{ij} dx^i dx^j$ $h_{ij} = h_{ij}(x^i)$ 与 t 无关

$$\text{空间部分 } h_{ij} dx^i dx^j = c(r) dr^2 + r^2 d\Omega^2 \quad d\Omega^2 = d\theta^2 + \sin^2\theta d\phi^2$$

验证: 3维最大对称空间满足 $R_{ijk\ell} = K(h_{ik}h_{jl} - h_{il}h_{jk})$ $R_{ij} = 2Kh_{ij}$ $R = 6K$

$$\Gamma_{rr}^r = \frac{c'}{2r} \quad \Gamma_{\theta\theta}^r = -\frac{r}{c} \quad \Gamma_{\phi\phi}^r = -\frac{rs\sin^2\theta}{c} \quad \Gamma_{r\theta}^\theta = \Gamma_{\theta r}^\theta = \frac{1}{r} \quad \Gamma_{\phi\phi}^\theta = -\sin\theta \cos\theta \quad \Gamma_{\phi\theta}^\phi = \cot\theta$$

$$R_{rr} = \frac{c'}{rc} \quad R_{\theta\theta} = -\frac{1}{c} + 1 + \frac{rc'}{2c^2} \quad R_{\phi\phi} = R_{\theta\theta} \sin^2\theta$$

$$\Rightarrow \frac{C'}{rc} = 2KC \quad 1 + \frac{rc'}{2C^2} - \frac{1}{c} = 2Kr^2 \quad \Rightarrow C = \frac{1}{1-Kr^2}$$

$$\Rightarrow ds^2 = -dt^2 + a^2(t) \left[\frac{dr^2}{1-Kr^2} + r^2 d\Omega^2 \right]$$

rescale r & $a(t)$

$$\begin{cases} K > 0 & r \rightarrow \frac{r}{\sqrt{K}} \quad a(t) \rightarrow \frac{a(t)}{\sqrt{K}} \quad ds^2 = -dt^2 + a^2(t) \left(\frac{dr^2}{1-r^2} + r^2 d\Omega^2 \right) \\ K = 0 & \\ K < 0 & r \rightarrow r/\sqrt{-K} \quad a(t) \rightarrow a(t)/\sqrt{-K} \quad ds^2 = -dt^2 + a^2(t) \left(\frac{dr^2}{1+r^2} + r^2 d\Omega^2 \right) \end{cases}$$

$$K = \begin{cases} 1 & \text{负曲率} \\ 0 & \text{零曲率} \\ -1 & \text{正曲率} \end{cases}$$

双曲、开放
平面
球面、闭合

$$ds^2 \rightarrow \text{Einstein equation} \quad R_{\mu\nu} = 8\pi G (T_{\mu\nu} - \frac{1}{2}g_{\mu\nu}T)$$

$$\Rightarrow R_{tt} = -\frac{3\ddot{a}}{a} \quad R_{rr} = \frac{a\ddot{a} + 2\dot{a}^2 + 2K}{1-Kr^2} \quad R_{\theta\theta} = r^2(a\ddot{a} + 2\dot{a}^2 + 2K) \quad R_{\phi\phi} = r^2(a\ddot{a} + 2\dot{a}^2 + 2K) \sin^2\theta$$

matter considered as perfect fluid $T_{\mu\nu} = (\rho + p) u_\mu u_\nu + p g_{\mu\nu}$ 依附共动坐标: $u^\mu = (1, 0, 0, 0)$

$$T_{tt} = \rho \quad T_{ti} = 0 \quad T_{ij} = p g_{ij} \quad T^{\mu}_{\nu} = \text{diag}(-\rho, p, p, p) \quad T = T^{\mu}_{\mu} = -\rho + 3p \quad u_\mu = (-1, 0, 0, 0)$$

Einstein eq. tt component

$$-\frac{3\ddot{a}}{a} = 8\pi G(\rho + \frac{1}{2}(-\rho + 3p)) = 4\pi G(\rho + 3p)$$

rr/θθ/φφ : $\frac{\ddot{a}}{a} + 2\left(\frac{\dot{a}}{a}\right)^2 + \frac{2k}{a^2} = 4\pi G(\rho - p)$

$$\Rightarrow \begin{cases} \frac{\ddot{a}}{a} = -\frac{4\pi G}{3}(\rho + 3p) \\ \left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G}{3}p - \frac{k}{a^2} \end{cases}$$

$$\rho(t) = \sum_i \rho_i(t) = \rho_m(t) + \rho_r(t) + \rho_n(t)$$

↓
 matter
 (contain dark matter)

↓
 radiation

↓
 常数

(dark energy)

matter	$p=0$
radiation	$p=\frac{1}{3}\rho$
c.c.	$p=-\rho$

energy conservation for each component

$$\rho_i \propto a^{-3(1+w_i)}$$

$$w_i = p_i/\rho_i$$

matter $\rho_m(t) = \rho_{m0} \left(\frac{a_0}{a(t)} \right)^3$

radiation $\rho_r(t) = \rho_{r0} \left(\frac{a_0}{a(t)} \right)^4$

C.C. $\rho_\lambda(t) = \rho_{\lambda0}$

Hubble's constant (哈勃常数) $H(t) = \frac{\dot{a}(t)}{a(t)}$ (NOT a constant)

$$\ddot{H} = \frac{\ddot{a}}{a} - \left(\frac{\dot{a}}{a} \right)^2 \Rightarrow \begin{cases} H^2 = \frac{8\pi G}{3} \sum_i \rho_i(t) - \frac{K}{a^2} \\ \dot{H} = -4\pi G \left(\sum_i (1+w_i) \rho_i - \frac{K}{4\pi G a^2} \right) \end{cases}$$

def $\Lambda_i(t) = \frac{8\pi G}{3H^2(t)} \rho_i(t)$ $H^2 = H_0^2 \left(\Lambda_{m0} \left(\frac{a_0}{a(t)} \right)^3 + \Lambda_{r0} \left(\frac{a_0}{a(t)} \right)^4 + \Lambda_{\lambda0} + \Lambda_c(t) \right)$

\downarrow
归一化的能
量密度

Now $\Lambda_{m0} \approx 0.3$ $\Lambda_{r0} \approx 5 \times 10^{-5}$ $\Lambda_{\lambda0} \approx 0.7$

$$\Lambda_c(t) = - \frac{K(t)}{H_0^2 a^2}$$

Geodesic in FRW universe $ds^2 = -dt^2 + a^2(t) [dx^2 + s^2(x)d\lambda^2]$

massless particle at $x=0$ $u_\phi = u_\theta = 0$ (satisfy geodesic eq.)

$$u^\mu u_\mu = 0 \quad \left(\frac{dt}{d\lambda}\right)^2 = a^2(t) \left(\frac{dx}{d\lambda}\right)^2$$

} at t_E , emits a photon with ν_E
at t_R , received frequency ν_R

$$K^t = -a(t) K^x$$



$$K_t = \frac{1}{a(t)} K_x$$

$$r = s(x)$$

K_x is a constant

$$\frac{dx}{d\lambda} = 0.$$

$$\frac{\nu_R}{\nu_E} = \frac{\vec{u}_R \cdot \vec{K}_R}{\vec{u}_E \cdot \vec{K}_E} = \frac{K_t^R}{K_t^E} = \frac{a(t_E)}{a(t_R)}$$

$$t_R = t_E + \delta(t)$$

$$\frac{a(t_R)}{a(t_E)} \simeq 1 + H(t_E)\delta t > 1 \Rightarrow \text{红移}$$

Review

Geometry : curved differential manifold

$$g_{\mu\nu} \mapsto \Gamma_{\nu\rho}^{\mu} \mapsto R_{\mu\nu\rho\sigma}$$

Kinematics : geodesics / particle moving

Dynamics : Einstein's equation & EH action

solving vacuum Einstein's eq. / gravitational wave / cosmology

12. SR

$$(1) g_{\mu\nu} \rightarrow \eta_{\mu\nu} \text{ SR} \quad \eta_{\mu\nu} \rightarrow g_{\mu\nu} \text{ GR}$$

(2) In GR locally at a spacetime point, can define local inertia frame (potential, 12.12.5)
 (e.g. momentum conservation of $A \rightarrow B+C$)

$$(1) \text{ massive particle } u^\mu = \frac{dx^\mu}{d\tau} \quad u^\mu u_\mu = -1$$

for general metric comoving frame $u^\mu = (\frac{1}{\sqrt{-g_{tt}}}, 0, 0, 0)$

$$4\text{-momentum } p^\mu = m u^\mu \quad p^\mu p_\mu = -m^2 \Rightarrow u^\mu u_\mu = -1$$

(2) massless particle $u^\mu u_\mu = 0$ 4-wave vector $u^\mu = k^\mu$

$$k^\mu = (\omega \vec{R}) \quad k^\mu k_\mu = 0 \iff \omega^2 = |\vec{k}|^2$$

lightlike/null curve $\frac{dx^\mu}{d\lambda} \frac{dx_\mu}{d\lambda} = 0 \quad u^\mu = \frac{dx^\mu}{d\lambda}$ choose λ s.t. $\frac{du^\mu}{d\lambda} = 0$

Measurement from an observer: define a local set of tetrads \vec{e}_i ($i=0, 1, 2, 3$) tetrad in general metric satisfy: $\vec{e}_i \cdot \vec{e}_j = \eta_{ij} (-, +, +, +) \Rightarrow g_{\mu\nu} e_i^\mu e_j^\nu = \eta_{ij}$

$\vec{e}_0 = \vec{u}_{\text{obs}}$ along 4-velocity of observer measured energy $E = -\vec{p} \cdot \vec{e}_0 (-\vec{k} \cdot \vec{e}_0)$

\vec{e}_i ($i=1, 2, 3$) measured momentum $P_i = \vec{p} \cdot \vec{e}_i$ ($\vec{k} \cdot \vec{e}_i$)

广义相对性原理：观察者局部地看附近时空是惯性时空

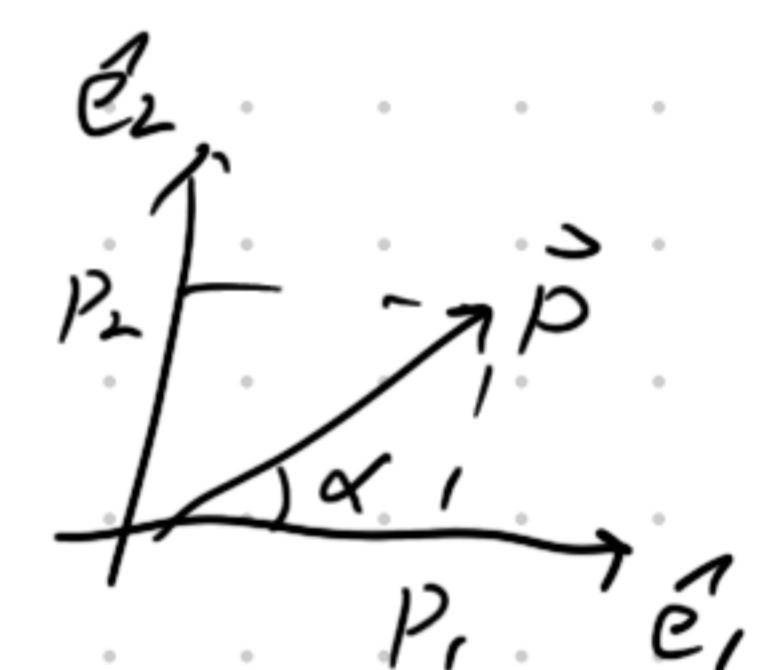
e.g. (1) redshift factor $\frac{\nu_E}{\nu_R} = -\frac{k_{E,\mu} u_E^\mu}{-k_{R,\mu} u_R^\mu}$

(2) angle of momentum for an observer $\vec{u}_{\text{obs}}^R, \vec{e}_i$

Tensors on manifold

Coordinate transformation: (1) transition between coordinate patch
(2) diffeomorphism (微分同胚)

$x^\mu \rightarrow x^{\mu'}$ (p, τ)-tensor transformation $T^{\mu_1 \dots \mu_p}_{\nu_1 \dots \nu_q} = \left(\frac{\partial x^{\mu_1}}{\partial x^{\nu_1}} \right) \dots \left(\frac{\partial x^{\mu_p}}{\partial x^{\nu_q}} \right) T^{\mu'_1 \dots \mu'_p}_{\nu'_1 \dots \nu'_q}$



Invariant tensor in SR: e.g. $\eta_{\mu\nu}$ ($\eta'_{\mu\nu} = \eta_{\mu\nu}$) $\xrightarrow{\text{TR}}$ GR $g_{\mu\nu}$ (only under Killing vector)

GR metric vector $g_{\mu\nu}$

Length of line segment $ds^2 = g_{\mu\nu} dx^\mu dx^\nu$

Levi-Civita tensor $\epsilon_{\mu_1 \dots \mu_n} = \tilde{\epsilon}_{\mu_1 \dots \mu_n} \sqrt{|g|}$

Differential form $A_p = \frac{1}{p!} A_{\mu_1 \dots \mu_p} dx^{\mu_1} \wedge \dots \wedge dx^{\mu_p}$

$\Rightarrow g_{\mu\nu} \Rightarrow$ connection $\Gamma_{\nu\rho}^\mu$

$$\Gamma_{\nu\rho}^\mu = \bar{\Gamma}_{\nu\rho}^\mu \quad \nabla_\rho g_{\mu\nu} = 0$$

$$\nabla_\sigma T^{\mu_1 \dots \mu_k}_{\nu_1 \dots \nu_l} = \partial_\sigma T^{\mu_1 \dots \mu_k}_{\nu_1 \dots \nu_l} + \Gamma_{\sigma\lambda}^{\mu_1} T^{\lambda \mu_2 \dots \mu_k}$$

$$\Gamma_{\nu\rho}^{\lambda} = \frac{1}{2} g^{\lambda\sigma} (\partial_\nu g_{\rho\sigma} + \partial_\rho g_{\sigma\nu} - \partial_\sigma g_{\nu\rho})$$

parallel transport of vector (field)

geodesic a curve that parallel transports its own tangent vector

$$\frac{dx^\nu}{d\lambda} \nabla_\nu \frac{dx^\mu}{d\lambda} = 0 \quad u^\nu \nabla_\nu u^\mu = 0 \Leftrightarrow \ddot{x}^\mu + \Gamma_{\nu\rho}^\mu x^\nu \dot{x}^\rho = 0$$

度量: the length of geodesic is local extremum.

$$\Gamma_{\nu\rho}^\mu \rightarrow R^\mu_{\nu\rho\sigma}$$

$$R^\mu_{\nu\rho\sigma} = \partial_\rho \Gamma_{\nu\sigma}^\mu - \partial_\sigma \Gamma_{\nu\rho}^\mu + \Gamma_{\nu\sigma}^\lambda \Gamma_{\lambda\rho}^\mu - \Gamma_{\nu\rho}^\lambda \Gamma_{\lambda\sigma}^\mu$$



$$\text{Ricci tensor } R_{\mu\nu} = R^\lambda_{\mu\lambda\nu} = g^{\lambda\rho} R_{\mu\lambda\nu\rho}$$

$$\text{Ricci scalar } R = R^\mu_{\mu} = R_{\mu\nu} g^{\mu\nu}$$

$$R_{\mu\nu\rho\sigma} = R_{\rho\sigma\mu\nu} \quad R_{\mu\nu\rho\sigma} = -R_{\nu\rho\mu\sigma} \quad R_{\mu\nu\rho\sigma} = -R_{\nu\rho\sigma\mu}$$

maximal sym space $R_{\mu\nu\rho\sigma} \propto (g_{\mu\rho}g_{\nu\sigma} - g_{\mu\sigma}g_{\nu\rho})$

12 Symmetry of M : killing vector ξ^μ (使 $g'_{\alpha\beta}(x') = g_{\alpha\beta}(x')$ 的变换 $x^\mu \rightarrow x'^\mu$ 的生成元)
 $\nabla_\mu \xi_\nu + \nabla_\nu \xi_\mu = 0$

if $\frac{\partial g_{\mu\nu}}{\partial x^1} = 0$ exist constant killing vector $\xi^1 = 1$, other $\xi^\lambda = 0 (\lambda \neq 1)$

n-dim maximally symmetric space has $\frac{n(n+1)}{2}$ killing vectors

conserved quantity $\xi^\mu u_\mu / \xi^\mu p_\mu$ along $\underbrace{\text{dim}}$ of geodesic Lie algebra

redshift factor in stationary spacetime both E & K are static

$$u^\mu \nabla_\mu (\xi^\nu u_\nu) = u^\mu u_\nu \nabla_\mu \xi^\nu + \underbrace{u^\mu \xi^\nu \nabla_\mu u_\nu}_{=0} = 0$$

$$u_E^\mu = \left(\frac{1}{\sqrt{-g_{tt,E}}}, 0, 0, 0 \right) \quad u_K^\mu = \left(\frac{1}{\sqrt{-g_{tt,R}}}, 0, 0, 0 \right).$$

$$\frac{v_E}{v_K} = \frac{k_{E,\mu} u_E^\mu}{k_{K,\mu} u_K^\mu} = \frac{k_{t,E}}{k_{t,R}} \sqrt{\frac{g_{tt,R}}{g_{tt,E}}} = \sqrt{\frac{g_{tt,R}}{g_{tt,E}}}$$

12 Schwarzschild metric $ds^2 = -\left(1 - \frac{2GM}{r}\right) dt^2 + \left(1 - \frac{2GM}{r}\right)^{-1} dr^2 + r^2 d\Omega^2$

unique stationary, spherical symmetric solution to Einstein's eq.

$\xi = \partial_t$ only time like when $r > R_s$ (only stationary when $r > R_s$)

don't exist global time like killing vector

also has $\tilde{\eta} = \partial_\phi$, $\eta^\mu = (0, 0, 0, 1)$

conserved quantity along geodesic $E = -g_{\mu\nu}u^\mu = \left(1 - \frac{R_s}{r}\right) \frac{dt}{d\lambda}$ $L = \eta_{\mu\nu}u^\mu = r^2 \sin\theta \frac{d\phi}{d\lambda}$

不失一般性 $\theta = \pi/2$ $L = r^2 \frac{d\phi}{d\lambda}$

$$\frac{1}{2} \left(\frac{dr}{d\lambda} \right)^2 + V(r) = \frac{1}{2} E^2$$

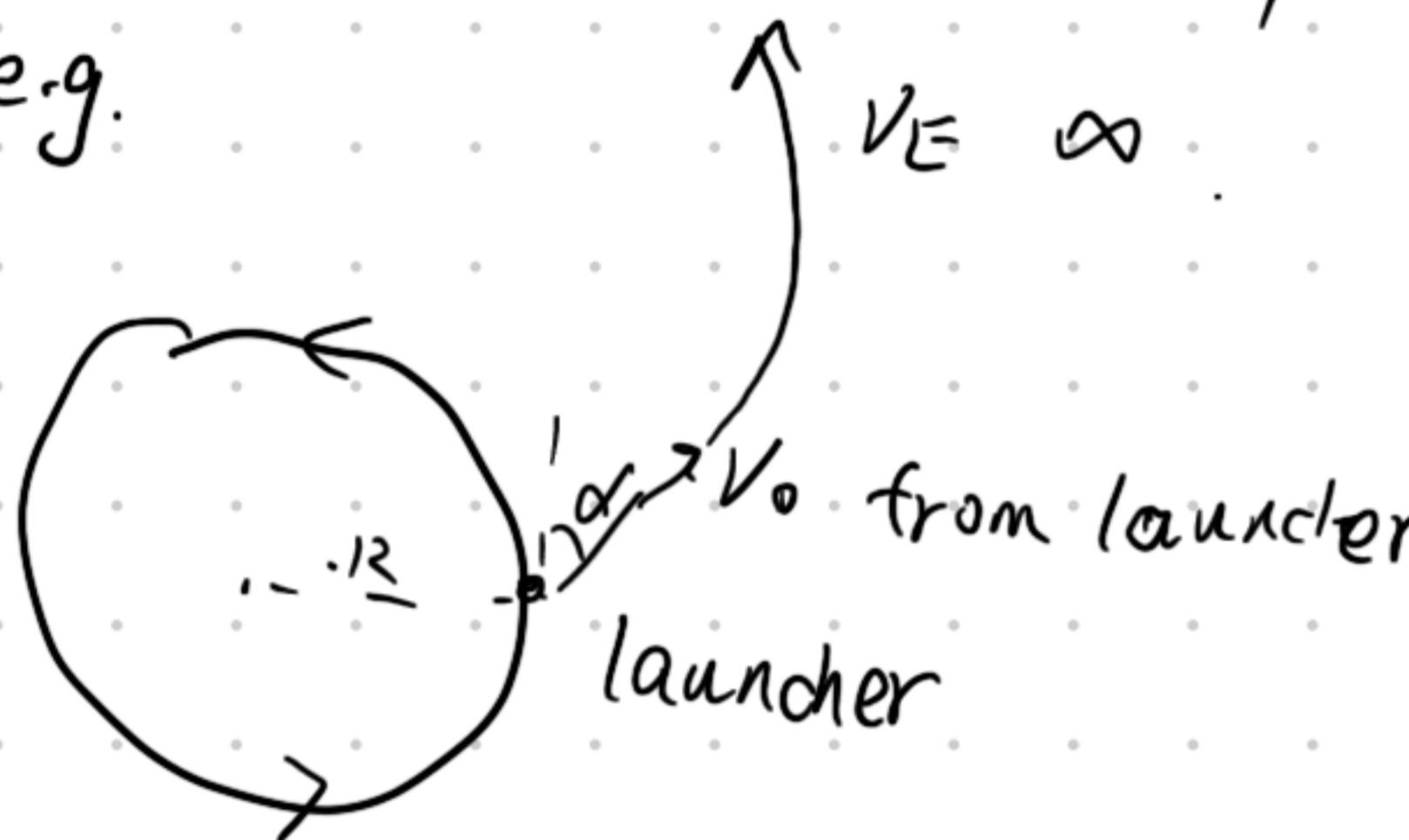
$$u^\mu u_\mu = \begin{cases} -1 & \text{massive} \\ 0 & \text{massless} \end{cases}$$

circle orbit in geodesic

$$\frac{\partial V(r)}{\partial r} = 0 \quad \frac{dr}{d\lambda} = 0 \quad (fix \quad r=R)$$

* massless impact parameter $b = \frac{L}{E} = \frac{d\phi}{dt} r^2$ (well-defined)

e.g.



launcher $u_{\text{lan}}^\mu = \left(\sqrt{\frac{R}{R-3GM}}, 0, 0, \frac{1}{R} \sqrt{\frac{GM}{R-3GM}} \right)$

$\hat{e}_0 = \hat{u}_{\text{lan}}$ $\hat{e}_1 = (0, \sqrt{1 - \frac{2GM}{R}}, 0, 0)$

$\hat{e}_3 = (e_3^t, 0, 0, e_3^\phi)$ satisfy: $\hat{e}_0 \cdot \hat{e}_3 = 0$ $\hat{e}_3 \cdot \hat{e}_3 = 1$

$$\Rightarrow e_3^t = \sqrt{\frac{GM}{(R-3GM)(R-2GM)}}$$

$$e_3^\phi = \frac{1}{R} \sqrt{\frac{R-2GM}{R-3GM}}$$

photon $k^\mu = (k^t, k^r, 0, k^\phi)$

frequency from launcher

$$2\pi v_0 = -\hat{e}_0 \cdot \hat{k}$$

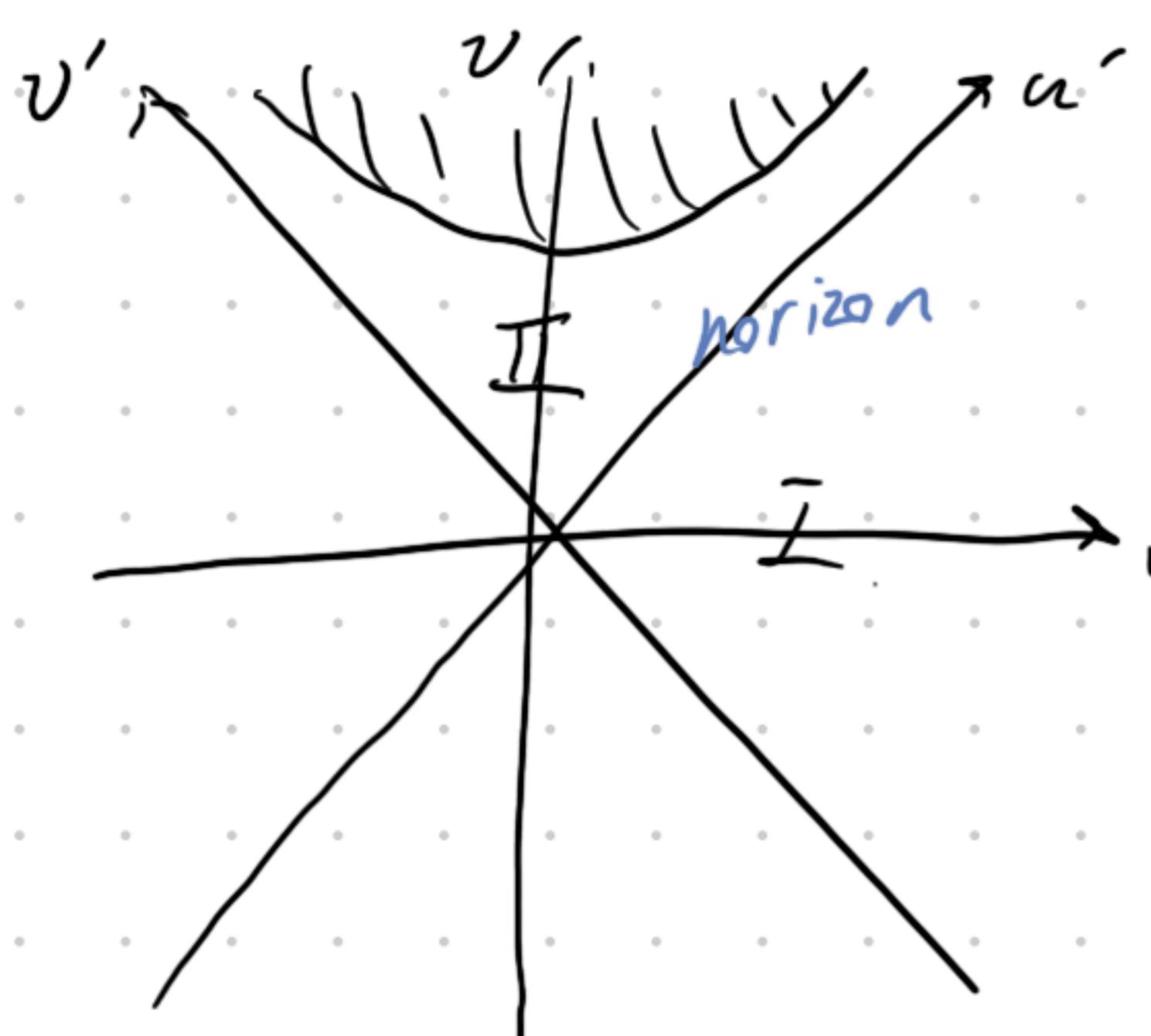
$$\tan\alpha = \frac{\hat{e}_1 \cdot \hat{k}}{\hat{e}_3 \cdot \hat{k}}$$

$$\hat{K} \cdot \hat{K} = 0$$

conserved: $\vec{j} \cdot \vec{k} = g_{tt} k^t$ along angle geodesic

$$r \rightarrow \infty \quad 2\pi v_E = -u_R^t K_{R,t} = -K_{R,t} = -g_{tt} K^t = \left(1 - \frac{R_s}{R}\right) K^t$$

12 BH Kruskal coordinate

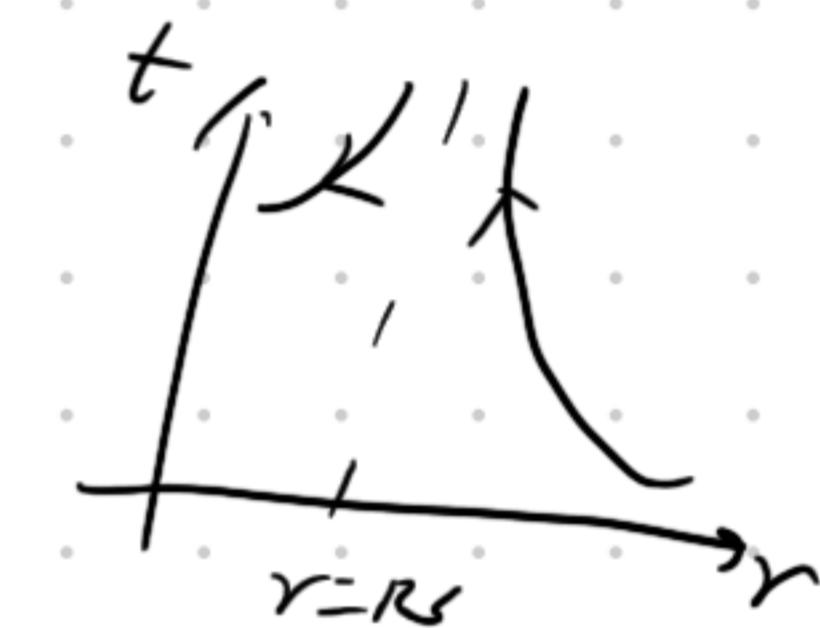


conformally flat for (u, v)

horizon $u=v$ ($v'=0$)

light $u=\pm v$

horizon $v'=0$



{ event horizon : infalling timelike/light cannot escape

$$ds^2 = g_{tt} dt^2 + g_{rr} dr^2 + r^2 d\Omega^2 < 0 \Rightarrow \left(\frac{dr}{dt}\right)^2 > 0$$

killing horizon

null killing vector $\ell \cdot \ell = 0$

$$\text{Kruskal coord } \tilde{\zeta} = \frac{\partial}{\partial t} = \frac{u'}{2r_s} \frac{\partial}{\partial u'} - \frac{v'}{2r_s} \frac{\partial}{\partial v'}$$

$$\text{at killing horizon } v' = 0 \quad \tilde{\zeta} = \frac{u'}{2r_s} \frac{\partial}{\partial u'}$$

$$\text{normal vector } \tilde{\ell} = \frac{\partial}{\partial u'} \quad \ell^\nu \nabla_\nu \ell^\mu = 0 \quad \tilde{\ell} \cdot \tilde{\ell} = 0$$

12 Dynamics of GR

$$\text{Einstein eq. } R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} = 8\pi G T_{\mu\nu} \iff R_{\mu\nu} = 8\pi G (T_{\mu\nu} - \frac{1}{2} T g_{\mu\nu})$$

$$\mathcal{S} = \frac{1}{16\pi G} \int d^4x \sqrt{-g} R + \mathcal{S}_M$$

$$\text{define } T_{\mu\nu} = -2 \frac{1}{\sqrt{-g}} \frac{\delta S_M}{\delta g^{\mu\nu}} \quad (\nabla^\mu T_{\mu\nu} = 0)$$

e.g. $T_{\mu\nu} = \begin{cases} 0 & \text{vacuum} \\ (\rho+p) u^\mu u^\nu + p g_{\mu\nu} & \text{perfect fluid} \end{cases}$

12 Linearize GR $g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$ ($|h_{\mu\nu}| \ll 1$) $\bar{h}_{\mu\nu} = h_{\mu\nu} - \frac{1}{2} h \eta_{\mu\nu}$

harmonic gauge $\partial_\mu \bar{h}^{\mu\nu} = 0$ ($\partial_\mu A^\nu = 0$)

还有剩余自由度 $h_{\mu\nu} \rightarrow h_{\mu\nu} + \partial_\mu \xi_\nu + \partial_\nu \xi_\mu$

$$\bar{h}_{\mu\nu} \rightarrow \bar{h}_{\mu\nu} + \partial_\mu \xi_\nu + \partial_\nu \xi_\mu - \eta_{\mu\nu} \partial^\rho \xi^\rho \quad (\text{consistent with } \partial_\mu \bar{h}^{\mu\nu} = 0, \partial_\mu \partial^\mu \xi_\nu = 0)$$

$\frac{10}{10}$ 后：只有 2 个独立分量 $10 - 4 - 4 = 2$

12 Cosmology $ds^2 = -dt^2 + a(t)^2 \left(\frac{dr^2}{1-cr^2} + r^2 d\Omega^2 \right)$ $T_{\mu\nu} = (\rho+p) u_\mu u_\nu + p g_{\mu\nu}$ ($p=w_i \rho$)

$$\nabla_\mu T^{\mu\nu} = 0 \Rightarrow \dot{\rho} + \frac{3\dot{a}}{a} (\rho+p) = 0 \quad \dot{\rho} + \frac{3\dot{a}}{a} (w_i+1)\rho = 0$$

Future directions: classical numerical GR, Cosmology, BH

modified gravity \Rightarrow gravitational wave

QG AdS/CFT

cS QG string theory -