Slow entropy of some skew products

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Often they will be invertible, but not always

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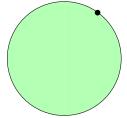
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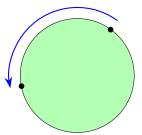
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- 4 This problem is well-studied for measure preserving systems; we study it for topological systems.
- **5** We propose a solution based on slow entropy, an invariant introduced by Katok and Thouvenot.

Take your favorite measure preserving invertible transformation T on a probability space. Flip a coin, and apply either T or its inverse T^{-1} depending on the coin.

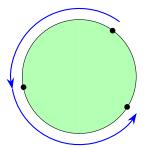
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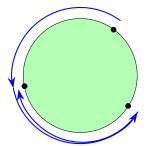
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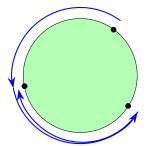
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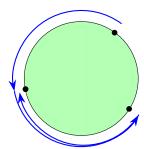


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For instance:



Take the space

$$\{-1,1\}^{\mathbb{Z}} imes\mathbb{T}$$

and the transformation

$$(y,x)\mapsto (\sigma(y),T^{y(0)}(x))$$

The $[T, T^{-1}]$ system

The $[T, T^{-1}]$ system is the skew product

- Base: $\sigma \curvearrowright (\{-1,1\}^{\mathbb{Z}}, \mu_{\frac{1}{2},\frac{1}{2}})$
- Fiber: $T \curvearrowright (X, \mathcal{X}, \mu)$

$$\sigma \rtimes T \curvearrowright \{-1,1\}^{\mathbb{Z}} \times X$$

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In 1982 Kalikow proved that if T has positive entropy, then $\sigma \rtimes T$ is K and not Bernoulli (first "natural" known examples).

Question: are they all isomorphic copies of the same system, or are they many different examples?

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One can prove that all of these systems have the same entropy

$$h_{\mu_{\frac{1}{2},\frac{1}{2}} \times \mu}(\sigma \rtimes T) = h_{\mu_{\frac{1}{2},\frac{1}{2}}}(\sigma) = \log 2$$

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Theorem (Austin 2015)

Yes. There is an invariant I with $I_{\mu_{\frac{1}{2},\frac{1}{2}}\times\mu}(\sigma\rtimes T)=h_{\mu}(T)$.

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The new transformation is

$$S \rtimes_{\tau} T \curvearrowright (Y, \mathcal{Y}, \nu) \times (X, \mathcal{X}, \mu)$$

$$(y, x) \mapsto (S(y), T^{\tau(y)}(x))$$

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- **3** A mixing SFT $S \curvearrowright (Y, \mathcal{Y}, \nu)$, ν a Gibbs measure, and $\tau \colon Y \to \mathbb{Z}$ satisfying a technical condition (Austin 2015)

What happens in the general case?

For which choices of $S \cap (Y, \mathcal{Y}, \nu)$ and $\tau \colon Y \to \mathbb{Z}$ is it true that the fiber entropy is an isomorphism invariant in this corresponding class of skew products?

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- What happens for topological systems?
- We propose a solution, it works for a diverse class of S and τ .

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The class of systems

For fixed (Y, S, τ) , we consider the family of skew products $S \bowtie_{\tau} T$, where (X, T) is an arbitrary invertible topological dynamical system.

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Find an invariant that captures topological entropy entropy-type invariants of T.

Intuition

The problem is interesting when the entropy of the fiber "disappears". Informally,

of (n,ϵ) -Bowen balls $\approx e^{n\cdot h_{top}(S)+f(n)h_{top}(T)}$ needed to cover the space

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We want to capture the contribution of $h_{top}(T)$ in this relation.

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 of (n,ϵ) -Bowen balls := spa (T,n,ϵ) $n\in\mathbb{N},\epsilon>0$ needed to cover the space

Topological slow entropy

Definition (Katok and Thouvenot '97)

Let $\mathbf{a} = \{a_n(t)\}_{n \in \mathbb{N}, t > 0}$ be a family of functions $(0, \infty) \to (0, \infty)$ with

- for each n, $t \rightarrow a_n(t)$ is monotone,
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The upper slow entropy of (X, T) with scale **a** is

$$\overline{\operatorname{ent}}_{\mathbf{a}}(T) = \lim_{\epsilon o 0} \overline{\operatorname{ent}}_{\mathbf{a}}(T, \epsilon)$$

$$\overline{\mathsf{ent}}_{\mathbf{a}}(T,\epsilon) = \mathsf{sup}(\{0\} \cup \{t > 0 : \limsup_{n \to \infty} \frac{\mathsf{spa}(T,n,\epsilon)}{a_n(t)} > 0\})$$

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- With scale $\{n^t\}_{n\in\mathbb{N},t>0}$ we obtain the so called polynomial complexity or polynomial entropy of the system.

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Theorem (C, arXiv:2506.17932)

Let (Y, S) be a subshift and let $\tau \colon Y \to \mathbb{Z}$ be continuous. Then there is a scale **a** depending only on (Y, S, τ) such that for every invertible topological dynamical system (X, T) we have

$$\overline{\mathsf{ent}}_{\pmb{a}}(\mathcal{S} \rtimes_\tau \mathcal{T}) = \underline{\mathsf{ent}}_{\pmb{a}}(\mathcal{S} \rtimes_\tau \mathcal{T}) = h_{top}(\mathcal{T}),$$

provided that τ satisfies the condition of being λ -unbounded for some $\lambda > 0$ (defined soon).

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A relative version of the main result

Theorem (C, arXiv:2506.17932)

Let (Y, S) be a subshift and let $\tau \colon Y \to \{-1, 0, 1\}$ be continuous. For every scale **b** we can find a scale **c** such that for every invertible topological dynamical system (X, T) we have

$$\underline{\mathsf{ent}}_{\boldsymbol{b}}(T) \leq \overline{\mathsf{ent}}_{\boldsymbol{c}}(S \rtimes_{\tau} T) \leq \overline{\mathsf{ent}}_{\boldsymbol{b}}(T)$$

provided that au satisfies the condition of being λ -unbounded for some $\lambda>0$ (defined soon).

Thus we can recover the slow entropy of T with scale b provided we restrict to systems with the property $\overline{\text{ent}}_{\mathbf{b}}(T) = \underline{\text{ent}}_{\mathbf{b}}(T)$.

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- For $y \in Y$ we interpret $N \to \sum_{n=0}^{N-1} \tau(S^n(y)) = y_0 + \cdots + y_{N-1}$ as a walk on \mathbb{Z} , and we define the *range* $R_N(y)$ as the set of places in \mathbb{Z} it visits in N steps:

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Definition

Given (Y, S, au) as before, we say that au is λ -unbounded ($\lambda > 0$) if for all $C \in \mathbb{N}$

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- If $n \to \sum_{i=0}^{n-1} \tau(S^i y)$ unbounded for all y, then this holds.
- Also true for $Y = \{-1, 1\}^{\mathbb{Z}}$.

Examples

Lets review some choices of (Y, S) and τ that have this property.

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(Y, S) is a minimal subshift and $\tau \colon Y \to \mathbb{Z}$ is not a coboundary (i.e. $\tau = g - g \circ S$ for some continuous $g \colon Y \to \mathbb{R}$).

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- For any T we have $h_{top}(S \rtimes_{\tau} T) = h_{top}(S) + \alpha h_{top}(T)$, so applying the main theorem is an overkill.
- The conclusion of the "relative main theorem" is nontrivial: if T_1 and T_2 have zero entropy but different polynomial complexity $(\underline{\mathsf{ent}}_{n^t}(T_1) < \overline{\mathsf{ent}}_{n^t}(T_2))$, one obtains a scale for slow entropy that distinguishes $S \rtimes_\tau T_1$ and $S \rtimes_\tau T_2$.

The deterministic random walk (the subshift copy).

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- Take the cocycle $\tau \colon Y \to \mathbb{Z}$, $\tau(y) = y(0)$.
- Here $h_{top}(S \rtimes_{\tau} T) = 0$ for all choices of T.
- The main theorem shows that the entropy of T equals the slow entropy of $S \rtimes_{\tau} T$ at some scale.



- Choose an irrational α , and take the function $\rho: [0,1) \to \{-1,1\}$ with value 1 on [0, 1/2) and value -1 over [1/2, 1).
- Let (Y, S) be the smallest subshift on symbols $\{-1, 1\}$ containing the sequence $(\rho(x + n\alpha))_{n \in \mathbb{Z}}$.
- Take the cocycle $\tau \colon Y \to \mathbb{Z}$, $\tau(y) = y(0)$.
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- The same is true for the slow entropy of T.

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The full shift $\{-1,1\}^{\mathbb{Z}}$ and $\tau(y) = y(0)$

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- · Applying the main theorem is an overkill.
- The conclusion of the "relative main theorem" is nontrivial: if T_1 and T_2 have zero entropy but different polynomial complexity $(\underline{\mathsf{ent}}_{n^t}(T_1) < \overline{\mathsf{ent}}_{n^t}(T_2))$, one obtains a scale for slow entropy that distinguishes $S \rtimes_\tau T_1$ and $S \rtimes_\tau T_2$.

Proof idea

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Notation

Take an invertible system $T \curvearrowright (X, d)$.

Given $F \subset \mathbb{Z}$ finite, we write

$$d_F(x,y) = \max\{d(T^i(x),T^i(y)) : i \in F\}$$

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and

$$spa(T, F, \epsilon)$$

equals the minimal number of Bowen d_F , ϵ -balls needed to cover X.

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We have

$$\limsup_{N\to\infty}\frac{\operatorname{spa}(S\rtimes_{\tau}T,\{0,\ldots,N-1\},\epsilon)\})}{a_N(t)}$$

is 0 for $t > h_{top}(T)$, and ∞ for $t < h_{top}(T)$. Thus $\overline{\text{ent}}_{\mathbf{a}}(S \rtimes_{\tau} T) = h_{top}(T)$.

General case

If τ takes values outside $\{-1,0,1\}$, the sets $R_N(w)$ may have holes, and this causes extra growth of $\operatorname{spa}(T,R_N(w),\epsilon)$. For instance, if $R_N(w) = \{2,4,\ldots,2N\}$,

$$\mathsf{spa}(T, R_{N}(w), \epsilon) pprox e^{\mathbf{2} \cdot h_{top}(T) |R_{N}(w)|}$$

It is possible to quantify this extra contribution and include it to the scale, so that they "cancels out".

Comments and questions

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The scales constructed here don't work for these purposes (in general).

Thanks

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