

Control-flow Analysis

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Agenda

- Control-flow graph
- Control-flow analysis

Reference: Chapter 3 of Principles of Program Analysis by Nielson, Nielson, Hankin

Control-flow Graphs

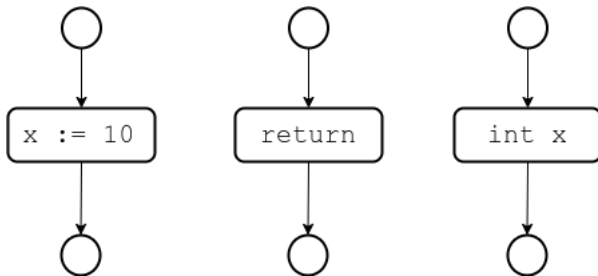
- A control-flow graph (CFG) is a directed graph where nodes correspond to basic blocks (sequence of simple statements) and edges represent possible control flow
- The CFG provides a graphical representation of the possible runtime control-flow paths
- Typically, the statements inside basic blocks are represented with another IR
- CFG is used to perform Dataflow analysis and program transformation

CFG Construction: Intuition

- We assume that each CFG has *single* entry node
- For the time being, we consider a single procedure at the time and that basic blocks are made of single statements
- The construction is defined inductively on the structure of the statements

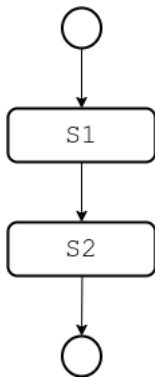
CFG Construction: Intuition

For simple statements, e.g., assignment, return statement, declaration, the CFG looks like



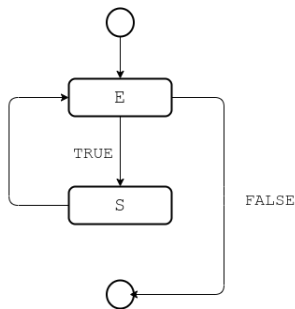
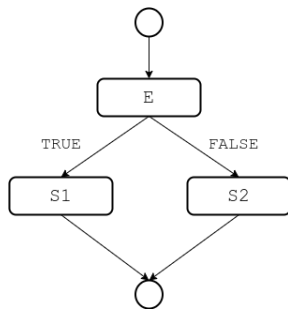
CFG Construction: Intuition

For sequential composition $S_1; S_2$ we build the CFG for S_1 and S_2 inductively, remove the exit node of S_1 and the entry node of S_2 and glue the statements together



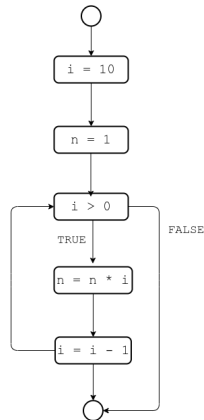
CFG Construction: Intuition

Similarly, for other control flow constructs (we label branches with true and false)



CFG Construction: Example

```
i = 10;  
n = 1;  
while(i > 0) {  
    n = n * i;  
    i = i - 1;  
}
```



CFG Construction (intraprocedural)

$x \in Id \quad e \in Exp \quad \ell \in Lab$

$S ::= [x := e]^\ell \mid [skip]^\ell \mid S_1; S_2 \mid \mathbf{while} [e]^\ell \mathbf{do} S \mid \mathbf{if} [e]^\ell \mathbf{then} S_1 \mathbf{else} S_2$

$$init([x := e]^\ell) = \ell$$

$$final([x := e]^\ell) = \ell$$

$$init([skip]^\ell) = \ell$$

$$final([skip]^\ell) = \ell$$

$$init(S_1; S_2) = init(S_1)$$

$$final(S_1; S_2) = final(S_2)$$

$$init(\mathbf{while} [e]^\ell \mathbf{do} S) = \ell$$

$$final(\mathbf{while} [e]^\ell \mathbf{do} S) = \ell$$

$$init(\mathbf{if} [e]^\ell \mathbf{then} S_1 \mathbf{else} S_2) = \ell$$

$$final(\mathbf{if} [e]^\ell \mathbf{then} S_1 \mathbf{else} S_2) = final(S_1) \cup final(S_2)$$

CFG Construction

$x \in Id \quad e \in Exp \quad \ell \in Lab$

$S ::= [x := e]^\ell \mid [skip]^\ell \mid S_1; S_2 \mid \mathbf{while} [e]^\ell \mathbf{do} S \mid \mathbf{if} [e]^\ell \mathbf{then} S_1 \mathbf{else} S_2$

$flow([x := e]^\ell) = \emptyset$

$flow([skip]^\ell) = \emptyset$

$flow(S_1; S_2) = flow(S_1) \cup flow(S_2) \cup \{(\ell, init(S_2)), \mid \ell \in final(S_1)\}$

$flow(\mathbf{while} [e]^\ell \mathbf{do} S) = flow(S) \cup \{(\ell, init(S))\}$

$flow(\mathbf{if} [e]^\ell \mathbf{then} S_1 \mathbf{else} S_2) = flow(S_1) \cup flow(S_2) \cup \{(\ell, init(S_1)), (\ell, init(S_2))\}$

CFG Construction

$x \in Id \quad e \in Exp \quad \ell \in Lab$

$S ::= [x := e]^\ell \mid [skip]^\ell \mid S_1; S_2 \mid \mathbf{while} [e]^\ell \mathbf{do} S \mid \mathbf{if} [e]^\ell \mathbf{then} S_1 \mathbf{else} S_2$

$$blocks([x := e]^\ell) = \{[x := e]^\ell\}$$

$$blocks([skip]^\ell) = \{[skip]^\ell\}$$

$$blocks(S_1; S_2) = blocks(S_1) \cup blocks(S_2)$$

$$blocks(\mathbf{while} [e]^\ell \mathbf{do} S) = blocks(S) \cup \{[e]^\ell\}$$

$$blocks(\mathbf{if} [e]^\ell \mathbf{then} S_1 \mathbf{else} S_2) = blocks(S_1) \cup blocks(S_2) \cup \{[e]^\ell\}$$

CFG Construction

$x \in Id \quad e \in Exp \quad \ell \in Lab$

$S ::= [x := e]^\ell \mid [skip]^\ell \mid S_1; S_2 \mid \mathbf{while} [e]^\ell \mathbf{do} S \mid \mathbf{if} [e]^\ell \mathbf{then} S_1 \mathbf{else} S_2$

The control-flow graph of a statement S has the $blocks(S)$ as nodes and $flow(S)$ as arcs

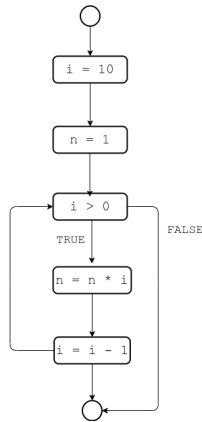
CFG Construction: Example

```
S = [i = 10]1;  
    [n = 1]2;  
    while ([i > 0]3) {  
        [n = n * i]4;  
        [i = i - 1]5;  
    }
```

$init(S) = 1$

$final(S) = \{3\}$

$flow(S) = \{(1, 2), (2, 3), (3, 4), (4, 5)\}$



CFG Construction (interprocedural)

When we consider procedures

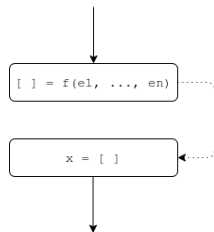
- Build the CFG for all functions bodies
- Glue them together to reflect the different function calls

CFG Construction: calling functions

In a CFG a function call is represented using two nodes:

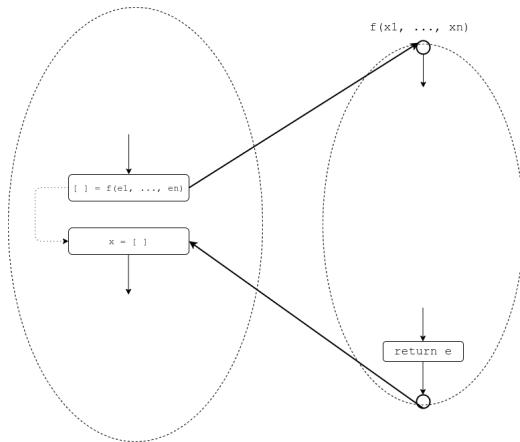
1. a *call-node* representing the connection from the caller to the entry point of the callee
2. a *after-call* node where the execution may resume after the termination of the callee

$x = f(e_1, \dots, e_n);$



CFG Construction: interprocedural

Glue together the caller and the callee



Control-flow analysis (CFA)

Building the CFG of a program is not easy in real languages:

- First-order functions (functional languages)
- Function pointers/procedures as parameters (imperative languages)
- Dynamic dispatch (object-oriented languages)

The actual target of a call is resolved at run-time

Solution: a static analysis to over-approximate the interprocedural control flow of the program

Dynamic dispatch problem

Consider the following snippet of code

```
let f x = x + 1  
and g y = y + 2  
and h z = z + 3 ;;  
(f g) + (f h) ;;
```

the function `f` will transfer the code to its formal parameter `x`: the inter-procedural control flow depends on the values `x` denotes

The fun language

$e \in \text{Exp} ::= t^\ell$

annotated expressions

$t \in \text{Term} ::= c$

constant literal

| x

identifier

| **if** e_1 **then** e_1 **else** e_2

conditionals

| $e_1 \diamond e_2$

$\diamond \in \{+, -, *, \dots\}$ primitive operators

| **let** $x = e_1$ **in** e_2

declarations

| **fun** $x \rightarrow e$

lambda abstraction

| $e_1 e_2$

function application

The analysis of fun

The goal

- Determine for each sub-expression e a set of function F , e may evaluates to at run-time
- Determine where the flow of control may be transferred when e is a function application

Example (Consider the OCaml expression)

```
(if e then (( * ) 2) else ((+ ) 1)) 10
```

depending on the value of e either $((*) 2)$ or $((+) 1)$ is applied

Example

Consider the expression

$$((\mathbf{fun} \ x \rightarrow x^1)^2 (\mathbf{fun} \ y \rightarrow y^3)^4)^5$$

We want to statically compute that

- x is bound to $\mathbf{fun} \ y \rightarrow y^3$
- the sub-expression at label 1 evaluates to $\mathbf{fun} \ y \rightarrow y^3$
- the overall expression evaluates to $\mathbf{fun} \ y \rightarrow y^3$
- y is never bound to a value

CFA via Flow Logic

Flow Logic is a declarative approach to program analysis that separates the **specification** from the actual **computation**.

specification we describe when the analysis results (**estimates**) are acceptable: the specification consists of a set of clauses defining an **acceptability relation**

computation from the specification we derive a **constraint satisfaction problem**: solving the constraints corresponds to compute the analysis.

Our plan for CFA

We define our CFA in three steps:

1. We define an acceptability relation over the syntax of `FUN` (syntax-directed specification)
2. We turn the syntax-directed specification into an algorithm for computing a finite set of constraints
3. We compute the least solution of the constraints

Abstract domains

The result of a CFA analysis is a pair $(\hat{C}, \hat{\rho})$:

- \hat{C} is the abstract cache associating abstract values with each labelled program point
- $\hat{\rho}$ is the abstract environment associating abstract values with each variable

An abstract value is a set of functions, i.e., terms of the form **fun** $x \rightarrow e$

We assume that all variables and labels are distinct (we can ensure that while building the AST of the program)

Example of a valid estimate

Consider the expression

$$((\text{fun } x \rightarrow x^1)^2 (\text{fun } y \rightarrow y^3)^4)^5$$

	\hat{C}
1	fun $y \rightarrow y$
2	fun $x \rightarrow x$
3	\emptyset
4	fun $y \rightarrow y$
5	fun $y \rightarrow y$

	$\hat{\rho}$
x	fun $y \rightarrow y$
y	\emptyset

Acceptability relation

The idea: assume to guess a pair $(\hat{C}, \hat{\rho})$ and determine whether or not this guess is an acceptable CFA for the program at hand

$$(\hat{C}, \hat{\rho}) \models e$$

Note

Intuitively, the clauses of our syntax directed specification are a sort of an explicit formulation of Dataflow equations: our constraints have the form $lhs \subseteq rhs$ and we want to compute the least solution

CFA Clauses (1)

$$(\hat{C}, \hat{\rho}) \models c^\ell \iff \text{true}$$

$$(\hat{C}, \hat{\rho}) \models x^\ell \iff \hat{\rho}(x) \subseteq \hat{C}(\ell)$$

$$(\hat{C}, \hat{\rho}) \models (\mathbf{fun} \ x \rightarrow e)^\ell \iff \{\mathbf{fun} \ x \rightarrow e\} \subseteq \hat{C}(\ell) \wedge (\hat{C}, \hat{\rho}) \models e$$

$$(\hat{C}, \hat{\rho}) \models (e_1 \diamond e_2)^\ell \iff (\hat{C}, \hat{\rho}) \models e_1 \wedge (\hat{C}, \hat{\rho}) \models e_2$$

$$(\hat{C}, \hat{\rho}) \models (\mathbf{let} \ x = t_1^{\ell_1} \mathbf{in} \ t_2^{\ell_2})^\ell \iff (\hat{C}, \hat{\rho}) \models t_1^{\ell_1} \wedge (\hat{C}, \hat{\rho}) \models t_2^{\ell_2} \wedge$$

$$\hat{C}(\ell_1) \subseteq \hat{\rho}(x) \wedge \hat{C}(\ell_2) \subseteq \hat{C}(\ell)$$

CFA Clauses (2)

$$(\hat{C}, \hat{\rho}) \models (\text{if } t_0^{\ell_0} \text{ then } t_1^{\ell_1} \text{ else } t_2^{\ell_2})^\ell \iff (\hat{C}, \hat{\rho}) \models t_0^{\ell_0} \wedge (\hat{C}, \hat{\rho}) \models t_1^{\ell_1} \wedge (\hat{C}, \hat{\rho}) \models t_2^{\ell_2} \wedge \\ \hat{C}(\ell_1) \subseteq \hat{C}(\ell) \wedge \hat{C}(\ell_2) \subseteq \hat{C}(\ell)$$

$$(\hat{C}, \hat{\rho}) \models (t_1^{\ell_1} t_2^{\ell_2})^\ell \iff (\hat{C}, \hat{\rho}) \models t_1^{\ell_1} \wedge (\hat{C}, \hat{\rho}) \models t_2^{\ell_2} \wedge \\ (\forall (\text{fun } x \rightarrow t_0^{\ell_0}) \in \hat{C}(\ell_1) : \hat{C}(\ell_2) \subseteq \hat{\rho}(x) \wedge \\ \hat{C}(\ell_0) \subseteq \hat{C}(\ell))$$

Example: the requirements of valid estimates (1)

Consider the expression $((\mathbf{fun} \ x \rightarrow x^1)^2(\mathbf{fun} \ y \rightarrow y^3)^4)^5$, the CFA clauses are

$$(\hat{C}, \hat{\rho}) \models ((\mathbf{fun} \ x \rightarrow x^1)^2(\mathbf{fun} \ y \rightarrow y^3)^4)^5 \quad \text{iff}$$

$$\begin{aligned} (\hat{C}, \hat{\rho}) \models (\mathbf{fun} \ x \rightarrow x^1)^2 \wedge (\hat{C}, \hat{\rho}) \models (\mathbf{fun} \ y \rightarrow y^3)^4 \wedge \\ \left(\forall (\mathbf{fun} \ z \rightarrow t_0^{\ell_0}) \in \hat{C}(2) : \hat{C}(4) \subseteq \rho(z) \wedge \hat{C}(\ell_0) \subseteq \hat{C}(5) \right) \quad \text{iff} \end{aligned}$$

Example: the requirements of valid estimates (2)

$$\{\mathbf{fun} \, x \rightarrow x\} \subseteq \hat{C}(2) \wedge (\hat{C}, \hat{\rho}) \models x^1 \wedge \{\mathbf{fun} \, y \rightarrow y\} \subseteq \hat{C}(4) \wedge (\hat{C}, \hat{\rho}) \models y^3 \wedge \\ \left(\forall (\mathbf{fun} \, z \rightarrow t_0^{\ell_0}) \in \hat{C}(\ell_2) : \hat{C}(\ell_4) \subseteq \rho(z) \wedge \hat{C}(\ell_0) \subseteq \hat{C}(5) \right) \quad \text{iff}$$

$$\{\mathbf{fun} \, x \rightarrow x\} \subseteq \hat{C}(2) \wedge \hat{\rho}(x) \subseteq \hat{C}(1) \wedge \{\mathbf{fun} \, y \rightarrow y\} \subseteq \hat{C}(4) \wedge \rho(y) \subseteq \hat{C}(3) \wedge \\ \left(\forall (\mathbf{fun} \, z \rightarrow t_0^{\ell_0}) \in \hat{C}(2) : \hat{C}(4) \subseteq \rho(z) \wedge \hat{C}(\ell_0) \subseteq \hat{C}(5) \right)$$

Example: the requirements of valid estimates (3)

The requirements a valid estimate must satisfy

$$\{\text{fun } x \rightarrow x\} \subseteq \hat{C}(2) \wedge \hat{\rho}(x) \subseteq \hat{C}(1) \wedge \{\text{fun } y \rightarrow y\} \subseteq \hat{C}(4) \wedge \rho(y) \subseteq \hat{C}(3) \wedge \\ \left(\forall (\text{fun } z \rightarrow t_0^{\ell_0}) \in \hat{C}(2) : \hat{C}(4) \subseteq \rho(z) \wedge \hat{C}(\ell_0) \subseteq \hat{C}(5) \right)$$

Our previous guess

\hat{C}	1	2	3	4	5
	$\text{fun } y \rightarrow y$	$\text{fun } x \rightarrow x$	\emptyset	$\text{fun } y \rightarrow y$	$\text{fun } y \rightarrow y$

$\hat{\rho}$	x	y
	$\text{fun } y \rightarrow y$	\emptyset

Constraints

$$lhs ::= r(x) \mid C(\ell) \mid \{t\}$$

$$rhs ::= r(x) \mid C(\ell)$$

$$c \in Constr ::= lhs \subseteq rhs \mid \{t\} \subseteq rhs' \implies lhs \subseteq rhs$$

- $r(x)$ is a constraint variable denoting the entry of the abstract environment for x
- $C(\ell)$ is a constraint variable denoting the entry of the abstract label for ℓ
- t is a token denoting expression of the form **fun** $x \rightarrow e$
- $\{t\} \subseteq rhs' \implies lhs \subseteq rhs$ should be read as $(\{t\} \subseteq rhs' \implies lhs) \subseteq rhs$

Constraint generation: $\mathcal{C}[[e]]$ (1)

The function $\mathcal{C}[[_]]: \text{Exp} \rightarrow \text{Constr}$ given an expression returns a set of constraints

$$\mathcal{C}[[c^\ell]] = \emptyset \qquad \mathcal{C}[[x^\ell]] = \{r(x) \subseteq C(\ell)\}$$

$$\mathcal{C}[(\mathbf{fun} \ x \rightarrow e)^\ell] = \{\{\mathbf{fun} \ x \rightarrow e\} \subseteq C(\ell)\} \cup \mathcal{C}[[e]]$$

$$\mathcal{C}[(\mathbf{if} \ t_0^{\ell_0} \mathbf{then} \ t_1^{\ell_1} \mathbf{else} \ t_2^{\ell_2})^\ell] = \mathcal{C}[[t_0^{\ell_0}]] \cup \mathcal{C}[[t_1^{\ell_1}]] \cup \mathcal{C}[[t_2^{\ell_2}]] \cup \{C(\ell_1) \subseteq C(\ell), C(\ell_2) \subseteq C(\ell)\}$$

$$\mathcal{C}[(\mathbf{let} \ x = t_1^{\ell_1} \mathbf{in} \ t_2^{\ell_2})^\ell] = \mathcal{C}[[t_1^{\ell_1}]] \cup \mathcal{C}[[t_2^{\ell_2}]] \cup \{C(\ell_1) \subseteq r(x), C(\ell_2) \subseteq C(\ell)\}$$

Constraint generation: $\mathcal{C}[[e]]$ (2)

The function $\mathcal{C}[[_]]: Exp \rightarrow Constr$ given an expression returns a set of constraints

$$\mathcal{C}[(e_1 \diamond e_2)^\ell] = \mathcal{C}[[e_1]] \cup \mathcal{C}[[e_2]]$$

$$\mathcal{C}[(t_1^{\ell_1} t_2^{\ell_2})^\ell] = \mathcal{C}[[t_1^{\ell_1}]] \cup \mathcal{C}[[t_2^{\ell_2}]] \cup$$

$$\{\{t\} \subseteq C(\ell_1) \implies C(\ell_2) \subseteq r(x),$$

$$\{t\} \subseteq C(\ell_1) \implies C(\ell_0) \subseteq C(\ell) \mid$$

$$t = (\mathbf{fun} x \rightarrow t_0^{\ell_0}) \in \Lambda^*\}$$

where Λ^* is the set of function abstractions occurring in the program to analyze

Example of constraints

Consider the expression

$$e_{\star} = ((\text{fun } x \rightarrow x^1)^2 (\text{fun } y \rightarrow y^3)^4)^5$$

$$\mathcal{C}[\![e_{\star}]\!] = \{$$

$$\{\text{fun } x \rightarrow x^1\} \subseteq C(2), r(x) \subseteq C(1), \{\text{fun } y \rightarrow y^3\} \subseteq C(4), r(y) \subseteq C(3),$$

$$\{\text{fun } x \rightarrow x^1\} \subseteq C(2) \Rightarrow C(4) \subseteq r(x), \{\text{fun } x \rightarrow x^1\} \subseteq C(2) \Rightarrow C(1) \subseteq C(5),$$

$$\{\text{fun } y \rightarrow y^3\} \subseteq C(2) \Rightarrow C(4) \subseteq r(y), \{\text{fun } y \rightarrow y^3\} \subseteq C(2) \Rightarrow C(3) \subseteq C(5)$$

$$\}$$

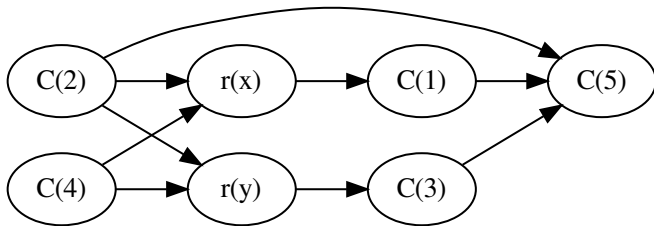
Solving the Constraints

Our constraint solver is based on a graph formulation of the constraints:

- There is a node for each variable $C(\ell)$ and $r(x)$ occurring in the constraints
- Edges are built from the constraints and decorated with them:
 - a constraint $p_1 \subseteq p_2$ results in an edge from p_1 to p_2
 - a constraint $\{t\} \subseteq p \implies p_1 \subseteq p_2$ results in an edge from p_1 to p_2 and from p to p_2
- For each node p we have a data field $D[p]$ containing the tokens associated to that node, initially is given by $D[p] = \{t \mid \{t\} \subseteq p\}$

The idea of the algorithm is to propagate the information from data field to another according to the constraints

Example of Graph representation



Data field:

$D[C(2)] = \{ \mathbf{fun} \ x \rightarrow x^1 \}, D[C(4)] = \{ \mathbf{fun} \ y \rightarrow y^3 \}, D[q] = \emptyset$ for other nodes

The Constraint Solver

Input: a set of constraint $\mathcal{C}[[e_\star]]$

Output: the least solution to the constraints (an assignment to variables $C(\ell)$ and $r(x)$)

Data structures:

- a graph with one node for each variable $C(\ell)$ and $r(x)$ and zero, one or two edges for each constraints of $\mathcal{C}[[e_\star]]$
- an worklist **W** containing the nodes whose data field should be propagated
- a data field **D** storing for each node the current abstract value
- an array **E** storing for each node a list of the constraint that the node may affect

The Solver Pseudocode (1)

```
(* Step 1: Initialization *)
```

```
W := [];
```

```
for q in Vars do
```

```
    D[q] := [];
```

```
    E[q] := [];
```

```
done
```

The Solver Pseudocode (2)

```
(* Step 2: Build the graph *)  
for  $cc$  in  $\mathcal{C}[e_\star]$  do  
  match  $cc$  with  
    |  $\{t\} \subseteq p \rightarrow$  add  $p$   $\{t\}$   
    |  $p_1 \subseteq p_2 \rightarrow E[p_1] := cc :: E[p_1]$   
    |  $\{t\} \subseteq p \implies p_1 \subseteq p_2 \rightarrow E[p_1] := cc :: E[p_1];$   
                                      $E[p] := cc :: E[p];$   
done
```


Example: Initialization of data structures

Node q	$D[q]$	$E[q]$
$C(1)$	\emptyset	$id_x \subseteq C(2) \Rightarrow C(1) \subseteq C(5)$
$C(2)$	id_x	$id_x \subseteq C(2) \Rightarrow C(4) \subseteq r(x), id_x \subseteq C(2) \Rightarrow C(1) \subseteq C(5)$ $id_y \subseteq C(2) \Rightarrow C(4) \subseteq r(y), id_y \subseteq C(2) \Rightarrow C(3) \subseteq C(5)$
$C(3)$	\emptyset	$id_y \subseteq C(2) \Rightarrow C(3) \subseteq C(5)$
$C(4)$	id_y	$id_x \subseteq C(2) \Rightarrow C(4) \subseteq r(x), id_y \subseteq C(2) \Rightarrow C(4) \subseteq r(y)$
$C(5)$	\emptyset	
$r(x)$	\emptyset	$r(x) \subseteq C(1)$
$r(y)$	\emptyset	$r(y) \subseteq C(3)$

The Solver Pseudocode (3)

(Step 3: Iteration *)*

```
while W != [] do  
  q = extract_front W  
  for cc in E[q] do  
    match cc with  
      |  $p_1 \subseteq p_2 \rightarrow$  add  $p_2$  D[ $p_1$ ]  
      |  $\{t\} \subseteq p \implies p_1 \subseteq p_2 \rightarrow$  if t in E[p] then add  $p_2$  D[ $p_1$ ]  
    done  
  done
```

The Solver Pseudocode (4)

(Step 4: Recording the solution *)*

for ℓ **do** $C(\ell) = D[\ell]$; **done**

for x **do** $r(x) = D[x]$; **done**

(auxiliary procedure *)*

let add q $d =$

if $\neg (d \subseteq D[q])$ **then**

$D[q] = d \cup D[q]$;

push W q

Example of execution

W	[C(4), C(2)]	[r(x), C(2)]	[C(1), C(2)]	[C(5), C(2)]	[C(2)]	[]
q	D[q]	D[q]	D[q]	D[q]	D[q]	D[q]
C(1)	\emptyset	\emptyset	id_y	id_y	id_y	id_y
C(2)	id_x	id_x	id_x	id_x	id_x	id_x
C(3)	\emptyset	\emptyset	\emptyset	\emptyset	\emptyset	\emptyset
C(4)	id_y	id_y	id_y	id_y	id_y	id_y
C(5)	\emptyset	\emptyset	\emptyset	id_y	id_y	id_y
r(x)	\emptyset	id_y	id_y	id_y	id_y	id_y
r(y)	\emptyset	\emptyset	\emptyset	\emptyset	\emptyset	\emptyset

Analysis improvements

- Our analysis is a pure CFA it only computes how the control flow may be transferred
 - Actually, the data affect the control: it is possible to extend the analysis we described to take into account also Dataflow information
 - We perform data and control flow analyses together
- Our CFA is *context insensitive* (0-CFA) because it does not distinguish between different calls to the same functions (poor precision, infeasible paths, . . .)
 - It is possible to distinguish between different calls by introducing a notion of context (K-CFA), e.g., a call string of finite length
 - The complexity of the analysis increases

Conclusion

- Control-flow graph
- Control-flow analysis

Reference: Chapter 3 of Principles of Program Analysis by Nielson, Nielson, Hankin