#### Solutions to CS511 Homework 08

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Exercise 1. [LCS, page 159-160]: Exercise 2.2.3. Do both part (a), on page 159, and part (b), on page 160.

# **Analysis of First-Order Formulas**

#### Part (a): Valid and Invalid Formulas in Predicate Logic

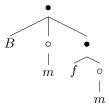
Given: m is a constant, f is a function symbol with one argument, and S and B are two predicate symbols, each with two arguments.

#### Valid Formulas with Parse Trees

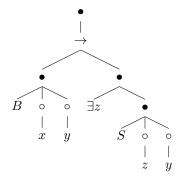
i. S(m,x) is a valid formula:



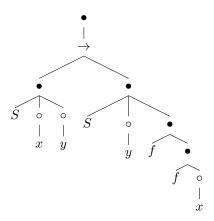
ii. B(m, f(m)) is a valid formula:



vi.  $(B(x,y) \to (\exists z S(z,y)))$  is a valid formula:



vii.  $(S(x,y) \to S(y,f(f(x))))$  is a valid formula:



#### Invalid Formulas with Reasons

iii. f(m) is not a formula because:

- It is a term, not a formula
- No predicate symbol is applied

iv. B(B(m, x), y) is not a formula because:

- ullet B is a predicate symbol, not a function symbol
- $\bullet$  Cannot use predicate B as argument to another predicate
- **v.** S(B(m), z) is not a formula because:
- B(m) is invalid as B requires two arguments
- Cannot use predicate as argument

**viii.**  $(B(x) \to B(B(x)))$  is not a formula because:

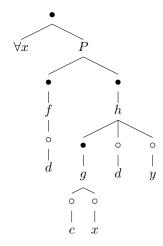
- B requires two arguments but given only one
- $\bullet$  Cannot use predicate B as argument

# Part (b)

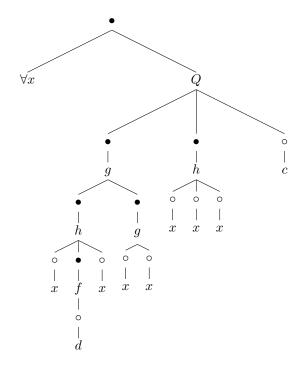
Let c and d be constants, f a function symbol with one argument, g a function symbol with two arguments, h a function symbol with three arguments, and P and Q are predicate symbols with three arguments.

#### Valid Formulas with Parse Trees

i.  $\forall x P(f(d), h(g(c, x), d, y))$  is a valid formula:



iii.  $\forall x Q(g(h(x,f(d),x),g(x,x)),h(x,x,x),c)$  is a valid formula:



**vi.** Q(c, d, c) is a valid formula:



#### Invalid Formulas with Reasons

- ii.  $\forall x P(f(d), h(P(x, y), d, y))$  is not a formula because:
  - $\bullet$  Cannot use predicate P as argument to function h
  - Predicates can only appear as atomic formulas
  - iv.  $\exists z (Q(z,z,z) \to P(z))$  is not a formula because:
  - ullet P requires three arguments but given only one
  - ullet Arity mismatch for predicate P
  - **v.**  $\forall x \forall y (g(x,y) \rightarrow P(x,y,x))$  is not a formula because:
  - g(x,y) is a term, not a formula
  - $\bullet$  Cannot use  $\to$  with a term on left side
  - Only atomic formulas can be connected by logical operators

### Exercise 2.

# Part 1: [LCS, page 160]: Exercise 2.3.2.

# 2.3.2. Formula Interpretation

The formula  $\exists x \exists y (\neg(x=y) \land (\forall z ((z=x) \lor (z=y))))$  specifies:

"There exist x and y, such that there is no x that equals y and for all z, z either equals x or y".

in essense:

"There exist exactly two distinct elements in the model."

This is because:

- $\exists x \exists y (\neg(x=y))$  states there are at least two different elements
- $\forall z ((z=x) \lor (z=y))$  states every element must be equal to either x or y
- Together, they specify that there are exactly two distinct elements

# Part 2: [LCS, page 160]: Exercise 2.3.3, modified as follows. Change part (c) to read "at least three distinct elements".

#### 2.3.3. Predicate Logic Sentences

(a) Exactly three distinct elements:

$$\exists x \exists y \exists z (\neg(x=y) \land \neg(y=z) \land \neg(x=z) \land \forall w ((w=x) \lor (w=y) \lor (w=z)))$$

(b) At most three distinct elements:

$$\forall x \forall y \forall w \forall z ((w = x) \lor (w = y) \lor (w = z))$$

(c) At least three distinct elements:

$$\exists x \exists y \exists z (\neg(x=y) \land \neg(y=z) \land \neg(x=z))$$

#### **Explanations:**

- For "exactly three": We state there exist three distinct elements AND every element must be one of these three
- For "at most three": We state that any fourth element must be equal to one of three elements
- For "at least three": We state there exist three elements that are all different from each other

# PROBLEM 1 Open EML.Chapter 6.pdf. Do part Exercise 99 on page 61.

## Interpretation of PL in FOL, II (Solution)

Let  $\Sigma' \stackrel{\text{def}}{=} \{f, g_1, g_2, g_3, c_1, c_2\}$  where:

- f corresponds to  $\neg$  (unary)
- $g_1$  corresponds to  $\wedge$  (binary)
- $g_2$  corresponds to  $\vee$  (binary)
- $g_3$  corresponds to  $\rightarrow$  (binary)
- $c_1$  corresponds to  $\perp$  (constant)
- $c_2$  corresponds to  $\top$  (constant)

To define the two-element structure up to isomorphism, we construct  $\psi$  as follows:

$$\psi \stackrel{\text{def}}{=} \exists x \exists y (\neg (x \approx y) \land \forall z (z \approx x \lor z \approx y)) \land \\ \forall x \forall y (\\ (x \approx c_1 \lor x \approx c_2) \land \\ \neg (c_1 \approx c_2) \land \\ (f(c_1) \approx c_2 \land f(c_2) \approx c_1) \land \\ (g_1(c_1, c_1) \approx c_1 \land g_1(c_1, c_2) \approx c_1 \land \\ g_1(c_2, c_1) \approx c_1 \land g_1(c_2, c_2) \approx c_2) \land \\ (g_2(c_1, c_1) \approx c_1 \land g_2(c_1, c_2) \approx c_2 \land \\ g_2(c_2, c_1) \approx c_2 \land g_2(c_2, c_2) \approx c_2) \land \\ (g_3(c_1, c_1) \approx c_2 \land g_3(c_1, c_2) \approx c_2 \land \\ g_3(c_2, c_1) \approx c_1 \land g_3(c_2, c_2) \approx c_2))$$

For any propositional formula  $\phi$ , we construct  $\phi'$  as:

$$\phi' \stackrel{\mathrm{def}}{=} \phi \wedge \psi$$

#### **Proof of Correctness:**

- The sentence  $\psi$  ensures:
  - Exactly two distinct elements ( $c_1$  and  $c_2$  representing false and true)
  - The truth tables for negation (f), conjunction  $(g_1)$ , disjunction  $(g_2)$ , and implication  $(g_3)$
  - The constants false  $(c_1)$  and true  $(c_2)$
- If  $\phi$  is valid in PL, then it evaluates to true under all truth assignments

- The sentence  $\psi$  ensures that any model of  $\phi'$  is isomorphic to the two-element Boolean algebra
- $\bullet$  Therefore,  $\phi$  is valid in PL if and only if  $\phi'$  is valid in FOL

# ON LEAN-4

Solutions in one file at: https://github.com/nich-ikech/CS511-hw-macbeth/blob/main/cs511HwSolutions/hw08/hw08\_nicholas\_ikechukwu.lean

# Exercise 3. Two closely related parts, which you have to do in Lean:

### Solutions

 $\label{lem:https://github.com/nich-ikech/CS511-hw-macbeth/blob/main/cs511HwSolutions/hw08/hw08_nicholas_ikechukwu.lean$ 

# Exercise 4. From Macbeth's book

 $\label{lem:https://github.com/nich-ikech/CS511-hw-macbeth/blob/main/cs511HwSolutions/hw08/hw08_nicholas_ikechukwu.lean$ 

# PROBLEM 2. From Macbeth's book

### Solution

 $\label{lem:https://github.com/nich-ikech/CS511-hw-macbeth/blob/main/cs511HwSolutions/hw08/hw08_nicholas_ikechukwu.lean$