CS 511, Fall 2024, Lecture Slides 20 Examples of First-Order Theories

Assaf Kfoury

1 October 2024

equivalence relations

- 1. $\forall x \quad (x \sim x)$ reflexivity 2. $\forall x \ \forall y \quad (x \sim y \rightarrow y \sim x)$ symmetry
- 3. $\forall x \ \forall y \ \forall z \quad (x \sim y \land y \sim z \rightarrow x \sim z)$

transitivity

equality with uninterpreted functions (EUF)

1. $\forall x \quad (x \approx x)$ reflexivity 2. $\forall x \ \forall y \quad (x \approx y \rightarrow y \approx x)$ symmetry 3. $\forall x \ \forall y \ \forall z \quad (x \approx y \land y \approx z \rightarrow x \approx z)$ transitivity

The three preceding axioms are identical to those in the theory of **equivalence relations** (preceding page).

equality with uninterpreted functions (EUF)

- 1. $\forall x \ (x \approx x)$ reflexivity 2. $\forall x \ \forall y \ (x \approx y \rightarrow y \approx x)$ symmetry
- 3. $\forall x \ \forall y \ \forall z \quad (x \approx y \land y \approx z \rightarrow x \approx z)$ transitivity

The three preceding axioms are identical to those in the theory of **equivalence relations** (preceding page).

4. for every function symbol $f \in \mathcal{F}$ of arity $n \ge 1$:

$$\forall x_1 \cdots \forall x_n \ \forall y_1 \cdots \forall y_n$$
$$\left(\bigwedge_{1 \leq i \leq n} x_i \approx y_i \right) \to f(x_1, \dots, x_n) \approx f(y_1, \dots, y_n)$$
 congruence

5. for every predicate symbol $P \in \mathcal{P}$ of arity $n \ge 1$:

$$\forall x_1 \cdots \forall x_n \ \forall y_1 \cdots \forall y_n$$

$$\left(\bigwedge_{1 \le i \le n} x_i \approx y_i \right) \rightarrow \left(P(x_1, \dots, x_n) \leftrightarrow P(y_1, \dots, y_n) \right) \quad \text{congruence}$$

1. $\forall x \ \forall y \ \forall z \quad (x \leqslant y \land y \leqslant z \rightarrow x \leqslant z)$

transitive

2. $\forall x \quad (x \leqslant x)$

reflexive anti-symmetric

- 3. $\forall x \ \forall y \ (x \leqslant y \land y \leqslant x \rightarrow x \approx y)$
 - (1), (2) and (3) make "

 «" a partial order, which may not be total
 - (what is an example of a partial order which is not total?)

1. $\forall x \ \forall y \ \forall z \quad (x \leqslant y \land y \leqslant z \rightarrow x \leqslant z)$

transitive

 $2. \ \forall x \ \left(x \leqslant x\right)$

reflexive anti-symmetric

- 3. $\forall x \ \forall y \ \left(x \leqslant y \land y \leqslant x \rightarrow x \approx y\right)$
 - (1), (2) and (3) make "≤" a **partial** order, which may not be **total** (what is an example of a partial order which is not total?)
- 4. $\forall x \ \forall y \ \left(x \leqslant y \lor y \leqslant x\right)$ total (or linear) ordering
- 5. $\forall x \ \forall z \ \left(x \lessdot z \to \exists y \ \left(x \lessdot y \land y \lessdot z\right)\right)$ dense ordering (where " $x \lessdot y$ " abbreviates " $\left(x \lessdot y\right) \land \neg(x \thickapprox y)$ ")

1. $\forall x \ \forall y \ \forall z \quad (x \leqslant y \land y \leqslant z \rightarrow x \leqslant z)$

transitive

 $2. \ \forall x \ \left(x \leqslant x\right)$

reflexive anti-symmetric

- 3. $\forall x \ \forall y \ \left(x \leqslant y \land y \leqslant x \rightarrow x \approx y\right)$
 - (1), (2) and (3) make "≤" a **partial** order, which may not be **total** (what is an example of a partial order which is not total?)
- 4. $\forall x \ \forall y \ \left(x \leqslant y \lor y \leqslant x\right)$ total (or linear) ordering
- 5. $\forall x \ \forall z \ \left(x \lessdot z \to \exists y \ \left(x \lessdot y \land y \lessdot z\right)\right)$ dense ordering (where " $x \lessdot y$ " abbreviates " $\left(x \lessdot y\right) \land \neg(x \approx y)$ ")
- 6. $\exists x \ \forall y \ (x \leqslant y)$ smallest element
- 7. $\exists x \ \forall y \ (y \leqslant x)$ largest element

1. $\forall x \ \forall y \ \forall z \ (x \leqslant y \land y \leqslant z \rightarrow x \leqslant z)$

transitive

 $2. \ \forall x \ \left(x \leqslant x\right)$

reflexive anti-symmetric

- 3. $\forall x \ \forall y \ \left(x \leqslant y \land y \leqslant x \rightarrow x \approx y\right)$
 - (1), (2) and (3) make "

 « a partial order, which may not be total (what is an example of a partial order which is not total?)
- 4. $\forall x \ \forall y \ \left(x \leqslant y \lor y \leqslant x\right)$ total (or linear) ordering
- 5. $\forall x \ \forall z \ \left(x \lessdot z \to \exists y \ \left(x \lessdot y \land y \lessdot z\right)\right)$ dense ordering (where " $x \lessdot y$ " abbreviates " $(x \lessdot y) \land \neg(x \thickapprox y)$ ")
- 6. $\exists x \ \forall y \ (x \leqslant y)$ smallest element
- 7. $\exists x \ \forall y \ (y \leqslant x)$ largest element

can we express a **well-ordering** in first-order logic? i.e., "every non-empty subset has a smallest element"?

algebras with two binary operations

- 1. $\forall x \ \forall y \ \forall z \ \left(x \oplus (y \oplus z) \approx (x \oplus y) \oplus z\right)$ \oplus is associative
- 2. $\forall x \ \forall y \ (x \oplus y \approx y \oplus x)$
 - ⊕ is commutative
- 3. $\forall x \ \forall y \ \forall z \ \left(x \otimes (y \oplus z) \approx (x \otimes y) \oplus (x \otimes z) \right)$ \otimes distributes over \oplus

groups

- 1. $\forall x \ (e \cdot x \approx x \land x \cdot e \approx x)$
- 2. $\forall x \exists y \ (x \cdot y \approx e \land y \cdot x \approx e)$
- 3. $\forall x \ \forall y \ \forall z \ \left((x \cdot y) \cdot z \approx x \cdot (y \cdot z) \right)$

identity (or neutral element)

inverse

....

associative

groups

- 1. $\forall x \ \left(e \cdot x \approx x \land x \cdot e \approx x\right)$ identity (or neutral element)
- 2. $\forall x \; \exists y \; \left(x \cdot y \approx e \; \land \; y \cdot x \approx e \right)$ inverse
- 3. $\forall x \ \forall y \ \forall z \ \left((x \cdot y) \cdot z \approx x \cdot (y \cdot z) \right)$ associative

three preceding WFF's are true in every group,

does the following WFF φ follows from the preceding three:

$$\varphi \stackrel{\text{def}}{=} \ \forall x \ \forall y \ \forall z \quad \left(x \cdot y \approx e \ \land \ x \cdot z \approx e \rightarrow y \approx z \right) ? ?$$

groups

- 1. $\forall x \ (e \cdot x \approx x \land x \cdot e \approx x)$ identity (or neutral element)
- 2. $\forall x \; \exists y \; \left(x \cdot y \approx e \; \wedge \; y \cdot x \approx e \right)$ inverse
- 3. $\forall x \ \forall y \ \forall z \ \left((x \cdot y) \cdot z \approx x \cdot (y \cdot z) \right)$ associative

three preceding WFF's are true in every group, does the following WFF φ follows from the preceding three:

$$\varphi \stackrel{\text{def}}{=} \ \forall x \ \forall y \ \forall z \ \left(x \cdot y \approx e \ \land \ x \cdot z \approx e \rightarrow y \approx z \right) ? ?$$

some special cases of groups:

- 4. $\forall x \ \forall y \ (x \cdot y \approx y \cdot x)$ abelian group
- 5. $\forall x \quad (x \cdot x \approx e \rightarrow x \approx e),$ torsion-free group $\forall x \quad (x \cdot x \cdot x \approx e \rightarrow x \approx e),$ $\forall x \quad (x \cdot x \cdot x \approx e \rightarrow x \approx e), \dots$

graphs

- 1. $\forall x \ \forall y \ \left(R(x,y) \rightarrow R(y,x) \right)$ the graph is undirected
- 2. $\forall x \ (\neg R(x,x))$ there are no "loops" in the graph

graphs

- 1. $\forall x \ \forall y \ \left(R(x,y) \rightarrow R(y,x) \right)$ the graph is undirected
- 2. $\forall x \ (\neg R(x,x))$ there are no "loops" in the graph

assume there are two domains: the domain V of vertices, the domain $\mathbb R$ of real numbers

assume there is a capacity function: $c: V \times V \to \mathbb{R}$

a flow is a function $f: V \times V \to \mathbb{R}$

3. $\forall f \ \forall x \ \forall y \ \left(f(x,y) \leqslant c(x,y) \right)$ is (3) a first-order WFF?

- 1. $\forall x \ \left(\neg(\mathsf{S}\,x \approx 0)\right)$
- 2. $\forall x \ \forall y \quad (Sx \approx Sy \rightarrow x \approx y)$
- 3. $\forall x \ \left(\neg(x \approx 0) \rightarrow \exists y \ (\mathsf{S} \, y \approx x) \right)$

- 1. $\forall x \ \left(\neg(\mathsf{S}\,x \approx 0)\right)$
- 2. $\forall x \ \forall y \quad (Sx \approx Sy \rightarrow x \approx y)$
- 3. $\forall x \ (\neg(x \approx 0) \rightarrow \exists y \ (Sy \approx x))$
- 4. for every WFF $\varphi(x)$ with a single free variable x, include the axiom $\varphi(0) \wedge \forall x \left(\varphi(x) \to \varphi(\mathsf{S}\,x) \right) \quad \to \quad \forall y \ \varphi(y)$

- 1. $\forall x \ \left(\neg(\mathsf{S}\,x \approx 0)\right)$
- 2. $\forall x \ \forall y \ (Sx \approx Sy \rightarrow x \approx y)$
- 3. $\forall x \ (\neg(x \approx 0) \rightarrow \exists y \ (Sy \approx x))$
- 4. for every WFF $\varphi(x)$ with a single free variable x, include the axiom $\varphi(0) \wedge \forall x \left(\varphi(x) \to \varphi(\mathsf{S}\,x) \right) \quad \to \quad \forall y \ \varphi(y)$

with addition:

- 5. $\forall x \quad (x \dot{+} 0 \approx x)$
- 6. $\forall x \ \forall y \ \left(x + \mathsf{S} \ y \approx \mathsf{S} \ (x + y)\right)$

- 1. $\forall x \ \left(\neg(\mathsf{S}\,x \approx 0)\right)$
- 2. $\forall x \ \forall y \quad (Sx \approx Sy \rightarrow x \approx y)$
- 3. $\forall x \ (\neg(x \approx 0) \rightarrow \exists y \ (Sy \approx x))$
- 4. for every WFF $\varphi(x)$ with a single free variable x, include the axiom $\varphi(0) \wedge \forall x \left(\varphi(x) \rightarrow \varphi(\mathsf{S}\,x) \right) \quad \rightarrow \quad \forall y \ \varphi(y)$

with addition:

- 5. $\forall x \quad (x \dot{+} 0 \approx x)$
- 6. $\forall x \ \forall y \ \left(x + \mathsf{S} \ y \approx \mathsf{S} \left(x + y\right)\right)$

with addition and multiplication:

- 7. $\forall x \ (x \times 0 \approx 0)$
- 8. $\forall x \ \forall y \ (x \dot{\times} Sy \approx (x \dot{\times} y) \dot{+} x)$

linear integer arithmetic (LIA)

- 1. $\mathcal{P} = \{\leqslant\}, \quad \mathcal{F} = \{\dot{+}, \dot{-}\}, \quad \mathcal{C} = \{0, 1\}.$
- 2. include all axioms for "+" and "-".
- 3. atomic WFF's are all of the form

$$a_1x_1 \dotplus a_2x_2 \dotplus \cdots \dotplus a_nx_n \bowtie b$$

where $\bowtie \in \{\leqslant, \lessdot, \geqslant, \gt, \thickapprox, \not \geqslant\}$ and a_1, \ldots, a_n, b are integers.

(THIS PAGE INTENTIONALLY LEFT BLANK)