### Solutions to CS511 Homework 10

Nicholas Ikechukwu - U71641768 November 14, 2024

Exercise 1 Open Lecture Slides 24, "Deductive Closure and First-Order Theories", page 7: Carefully answer the question highlighted in green.

Hint: An appropriate answer should take no more than 4-5 lines. You will find it helpful to read the preceding pages in the same set of slides.

If M is a relational structure, the first-order theory of M is:

 $\operatorname{Th}(M) \stackrel{\text{def}}{=} \{ \phi \mid \phi \text{ is a first-order sentence s.t. } M \models \phi \}$ 

Question: Is Th(M) deductively closed?

### **Solution:**

Yes, Th(M) is deductively closed.

To prove this:

Let  $\phi \in \overline{\mathrm{Th}(M)}$ . Then  $\mathrm{Th}(M) \vdash \phi$ .

By the Soundness Theorem,  $Th(M) \models \phi$ .

Since  $M \models \text{Th}(M)$ , we have  $M \models \phi$ .

By definition of Th(M),  $\phi \in Th(M)$ .

Therefore,  $\overline{\operatorname{Th}(M)} \subseteq \operatorname{Th}(M)$ , so  $\operatorname{Th}(M)$  is deductively closed.

# Exercise 2. Open EML.Chapter 6.pdf: Do Exercise 109 on page 65.

Hint: There is some reading to do in this exercise, but the answers are straightforward. Correct answers for parts 1, 2, and 3, are each no more than a single line. An appropriate answer for part 4 invokes Compactness and can be written in three or four lines.

### **Graph Coloring**

Hint 1: Find a way to make use of the following fact: Every finite planar graph is four-colorable. (Do not try to prove this fact, which is difficult, but you are allowed to invoke it.)

Hint 2: If M is a planar graph, then every subgraph of M is also planar. A subgraph of M is a graph whose vertices are a subset of the vertices of M and whose adjacency relation is a subset of the adjacency relation of M restricted to this subset.

In this exercise, I will demonstrate that every infinite planar graph is 4-colorable. The first-order theory of simple undirected graphs can be taken as a set  $\Gamma$  of two axioms over signature  $\Sigma \stackrel{\text{def}}{=} \{R\}$  consisting of one binary relation symbol, namely:

$$\Gamma \stackrel{\text{def}}{=} \{ \forall x. \forall y. R(x, y) \to R(y, x), \forall x. \neg R(x, x) \}.$$

We now expand the signature  $\Sigma$  to  $\Sigma' = \Sigma \cup \{B, G, P, Y\}$  where B, G, P, and Y are unary predicate symbols (for 'blue', 'green', 'purple', and 'yellow').

### Part 1

Write a first-order sentence  $\phi_1$  which, in any  $\Sigma'$ -structure M satisfying  $\Gamma$  (i.e., M is a simple undirected graph), asserts "every vertex has at least one of the colors: blue, green, purple, yellow".

### Solution

$$\phi_1 := \forall x (B(x) \lor G(x) \lor P(x) \lor Y(x))$$

Write a first-order sentence  $\phi_2$  which, in any  $\Sigma'$ -structure M satisfying  $\Gamma$ , asserts "every vertex has at most one color".

### Solution

$$\phi_2 := \forall x ((B(x) \to \neg G(x) \land \neg P(x) \land \neg Y(x)) \land (G(x) \to \neg P(x) \land \neg Y(x)) \land (P(x) \to \neg Y(x)))$$

Write a first-order sentence  $\phi_3$  which, in any  $\Sigma'$ -structure M satisfying  $\Gamma$ , asserts "no two adjacent vertices have the same color".

# Solution

$$\phi_3 := \forall x \forall y (R(x,y) \rightarrow \neg (B(x) \land B(y)) \land \neg (G(x) \land G(y)) \land \neg (P(x) \land P(y)) \land \neg (Y(x) \land Y(y)))$$

Show that if M is an infinite planar graph, i.e.,

- M is a  $\Sigma$ -structure satisfying  $\Gamma$ ,
- $\bullet$  the domain of M is infinite, and
- *M* is planar as a graph,

then there is a  $\Sigma'$ -structure M', which expands M with four unary relations  $B^{M'}, G^{M'}, P^{M'}, and Y^{M'}$ , and which satisfies  $\phi_1 \wedge \phi_2 \wedge \phi_3$ , i.e., M' is four-colorable and, thus, M is also four-colorable.

### Solution

Let  $\Delta = \Gamma \cup \{\phi_1, \phi_2, \phi_3\}.$ 

Every finite substructure of M is a finite planar graph, hence 4-colorable.

Thus, every finite subset of  $\Delta$  is satisfiable. By Compactness,  $\Delta$  is satisfiable.

Therefore, there exists a  $\Sigma'$ -structure M' expanding M that satisfies  $\Delta$ , making M four-colorable.

# PROBLEM 1 Open EML.Chapter 6.pdf: Do part 1 and part 2 only of Exercise 108 on page 64. You do not need to do part 3 of that exercise.

### Part 1

Question: Give a precise argument in about 5-10 lines for how to systematically generate the countably infinite sequence of  $K_{3,3}$  and all its subdivisions, call it  $G \stackrel{\text{def}}{=} (G_i \mid i \in \mathbb{N})$ , and the countably infinite sequence of  $K_5$  and all its subdivisions, call it  $G' \stackrel{\text{def}}{=} (G'_i \mid i \in \mathbb{N})$ . The first entries in those two sequences are  $K_{3,3}$  and  $K_5$ , i.e.,  $G_0 \stackrel{\text{def}}{=} K_{3,3}$  and  $G'_0 \stackrel{\text{def}}{=} K_5$ . It is also useful to define the sequence G so that if i < j then  $G_i \leq G_j$ , and similarly for the sequence G', i.e., successive entries in G and G' are in order of non-decreasing sizes.

**Hint 1:** It suffices to give an answer for one of the two sequences, say G, and to conclude by saying "G' is generated similarly."

**Hint 2:** In the two sequences there are many (though a finite number) subdivisions of the same size. And for the same size, it is possible but quite difficult to omit isomorphic copies; it is much easier to allow isomorphic copies in the two sequences.

### Solution:

My precise argument is that, to generate the sequence G:

- 1. We start with  $G_0 = K_{3,3}$ .
- 2. For each  $i \geq 1$ :
  - We consider all possible ways to subdivide one edge of  $G_{i-1}$ .
  - Add each resulting graph as the next element in the sequence.
  - If multiple subdivisions result in graphs of the same size, include all of them.
- 3. Repeat step 2 indefinitely, ensuring that graphs are added in order of non-decreasing size.
- 4. If at any step, all possible single-edge subdivisions of previous graphs have been included, start subdividing two edges, then three, and so on.

This process generates all possible subdivisions of  $K_{3,3}$  in a systematic way, allowing for isomorphic copies. G' is generated similarly, starting with  $G'_0 = K_5$ .

**Question:** Let  $M \stackrel{\text{def}}{=} (M, R^M)$  be an arbitrary simple graph,  $G_i \stackrel{\text{def}}{=} (V_i, E_i)$  an arbitrary subdivision of  $K_{3,3}$ , and  $G'_j \stackrel{\text{def}}{=} (V'_j, E'_j)$  an arbitrary subdivision of  $K_5$ . Those two subdivisions are entries in the sequences G and G' defined in the preceding part. Write first-order sentences  $\phi_i$  and  $\phi'_j$  such that if  $M \models \phi_i$  (resp.  $M \models \phi'_j$ ), then  $G_i$  is a subgraph of M (resp.  $G'_j$  is a subgraph of M).

**Hint:** You will find it convenient to name the vertices of  $G_i$  with an initial segment of the positive integers, i.e.,  $V_i = \{1, 2, ..., n_i\}$  where  $n_i$  is the size of  $G_i$ , and similarly for  $G'_i$ .

### Solution:

Let  $V_i = \{1, 2, \dots, n_i\}$  be the vertices of  $G_i$  and  $E_i$  its set of edges. We can write  $\phi_i$  as:

$$\phi_i \stackrel{\text{def}}{=} \exists x_1 \dots \exists x_{n_i} \left( \bigwedge_{1 \le k < l \le n_i} x_k \not\approx x_l \land \bigwedge_{(k,l) \in E_i} R(x_k, x_l) \right)$$

Similarly, for  $G_j'$  with vertices  $V_j' = \{1, 2, \dots, n_j'\}$  and edges  $E_j'$ , we can write  $\phi_j'$  as:

$$\phi'_j \stackrel{\text{def}}{=} \exists x_1 \dots \exists x_{n'_j} \left( \bigwedge_{1 \le k < l \le n'_j} x_k \not\approx x_l \land \bigwedge_{(k,l) \in E'_j} R(x_k, x_l) \right)$$

The two first-order sentences assert the existence of distinct vertices in M that are connected according to the edge structure of  $G_i$  or  $G'_j$ , respectively. If M satisfies either of these sentences, it contains the corresponding subdivision as a subgraph.

# ON LEAN-4

Solutions in one file at: https://github.com/nich-ikech/CS511-hw-macbeth/blob/main/cs511HwSolutions/hw10/hw10\_nicholas\_ikechukwu.lean

# Exercise 3. From Macbeth's book:

# Solutions

 $\label{lem:https://github.com/nich-ikech/CS511-hw-macbeth/blob/main/cs511HwSolutions/hw10/hw10_nicholas_ikechukwu.lean$ 

# Exercise 4. From Macbeth's book

# PROBLEM 2. From Macbeth's book

# Solution

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