

## Solutions to CS511 Homework 10

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**Exercise 1 Open Lecture Slides 24, “Deductive Closure and First-Order Theories”, page 7: Carefully answer the question highlighted in green.**

**Hint: An appropriate answer should take no more than 4-5 lines. You will find it helpful to read the preceding pages in the same set of slides.**

Exercise 107: (Two-Colorability of Graphs: First-Order Definable). The notion of two-colorable simple graphs coincides with the notion of bipartite simple graphs. Write an infinite set  $\Gamma_{\text{bipartite}}$  of first-order sentences such that, for every simple graph  $G$ , it holds that  $G \models \Gamma_{\text{bipartite}}$  iff  $G$  is bipartite.

Hint: An appropriate answer should take no more than 4-5 lines. You will find it helpful to read the preceding pages in the same set of slides.

### Solution:

Let  $\Gamma_{\text{bipartite}}$  be the set of first-order sentences that express that for every cycle of length  $n$  (where  $n$  is odd), such a cycle cannot exist in the graph. For each odd  $n \geq 3$ , we include a sentence  $\phi_n$  in  $\Gamma_{\text{bipartite}}$ :

$$\phi_n := \forall x_1 \dots \forall x_n \left( \bigwedge_{i=1}^{n-1} E(x_i, x_{i+1}) \wedge E(x_n, x_1) \rightarrow \bigvee_{1 \leq i < j \leq n} x_i \approx x_j \right)$$

Then:

$$\Gamma_{\text{bipartite}} := \{\phi_n \mid n \geq 3 \text{ and } n \text{ is odd}\}$$

This works because:

- Each  $\phi_n$  says “there cannot be a cycle of length  $n$ ” where  $n$  is odd
- The formula enforces that if we have  $n$  vertices connected in a cycle, at least two must be the same vertex
- A graph models  $\Gamma_{\text{bipartite}}$  if and only if it has no odd cycles
- By the characterization of bipartite graphs, a graph is bipartite if and only if it has no odd cycles

Therefore,  $G \models \Gamma_{\text{bipartite}}$  if and only if  $G$  is bipartite.

## Exercise 2. Open EML.Chapter 6.pdf : Do Exercise 109 on page 65.

**Hint:** There is some reading to do in this exercise, but the answers are straightforward. Correct answers for parts 1, 2, and 3, are each no more than a single line. An appropriate answer for part 4 invokes Compactness and can be written in three or four lines.

Consider the three sentences:

$$\phi_1 \stackrel{\text{def}}{=} \forall x P(x, x)$$

$$\phi_2 \stackrel{\text{def}}{=} \forall x \forall y (P(x, y) \rightarrow P(y, x))$$

$$\phi_3 \stackrel{\text{def}}{=} \forall x \forall y \forall z ((P(x, y) \wedge P(y, z) \rightarrow P(x, z)))$$

which express that the binary predicate  $P$  is reflexive, symmetric and transitive, respectively. Show that none of these sentences is semantically entailed by the other ones by choosing for each pair of sentences above a model which satisfies these two, but not the third sentence – essentially, you are asked to find three binary relations, each satisfying just two of these properties.

### Solution:

We can show that none of these sentences semantically entails the others, by first finding three different models:

1. A model satisfying  $\phi_2$  and  $\phi_3$  but not  $\phi_1$  (symmetric and transitive but not reflexive)
2. A model satisfying  $\phi_1$  and  $\phi_3$  but not  $\phi_2$  (reflexive and transitive but not symmetric)
3. A model satisfying  $\phi_1$  and  $\phi_2$  but not  $\phi_3$  (reflexive and symmetric but not transitive)

Now, we'll construct these models using simple binary relations on small sets:

**Model 1:** (symmetric and transitive but not reflexive)

- Domain:  $A = \{1, 2\}$
- Relation:  $P = \emptyset$  (empty relation)
- This is symmetric (vacuously) and transitive (vacuously) but not reflexive since  $P(1, 1)$  and  $P(2, 2)$  don't hold

**Model 2:** (reflexive and transitive but not symmetric)

- Domain:  $A = \{1, 2\}$
- Relation:  $P = \{(1, 1), (2, 2), (1, 2)\}$

- This is reflexive (all  $(x, x)$  included) and transitive, but not symmetric since  $(1, 2)$  is in  $P$  but  $(2, 1)$  is not

**Model 3:** (reflexive and symmetric but not transitive)

- Domain:  $A = \{1, 2, 3\}$
- Relation:  $P = \{(1, 1), (2, 2), (3, 3), (1, 2), (2, 1)\}$
- This is reflexive (all  $(x, x)$  included) and symmetric, but not transitive since  $(1, 2)$  and  $(2, 3)$  are in  $P$  but  $(1, 3)$  is not

Therefore, it is clear that each sentence is independent of the others.

**PROBLEM 1 Open EML.Chapter 6.pdf : Do part 1 and part 2 only of Exercise 108 on page 64. You do not need to do part 3 of that exercise.**

**Part 1:**

Exercise: Let  $\phi(x, y)$  be an atomic WFF with free variables  $x$  and  $y$ , and  $f$  a unary function symbol not appearing in  $\phi$ .

1. Show that the sentence  $\forall x\phi(x, f(x)) \rightarrow \forall x\exists y\phi(x, y)$  is semantically valid, i.e., the following sequent is formally derivable:

$$\vdash \forall x\phi(x, f(x)) \rightarrow \forall x\exists y\phi(x, y)$$

Hint: Use any of the available methods, i.e., try to find a formal proof or try a semantic approach to show  $\models \forall x\phi(x, f(x)) \rightarrow \forall x\exists y\phi(x, y)$  and then invoke the completeness of the proof rules.

**Solution:**

1. To show  $\vdash \forall x\phi(x, f(x)) \rightarrow \forall x\exists y\phi(x, y)$

is valid, we use a semantic approach:

Suppose  $M \models \forall x\phi(x, f(x))$  for some model  $M$ .

We need to show

$$M \models \forall x\exists y\phi(x, y)$$

Let  $a$  be any element in the universe of  $M$ .

We need to show  $M \models \exists y\phi(a, y)$ .

From  $M \models \forall x\phi(x, f(x))$ ,

we know that  $M \models \phi(a, f(a))$ .

Let  $b = f^M(a)$ . Then  $M \models \phi(a, b)$ , which means  $M \models \exists y\phi(a, y)$ .

Since  $a$  was arbitrary,  $M \models \forall x\exists y\phi(x, y)$ .

Therefore, by completeness,

$$\vdash \forall x\phi(x, f(x)) \rightarrow \forall x\exists y\phi(x, y)$$

## Part 2:

2. Show that the sentence  $\forall x \exists y \phi(x, y) \rightarrow \forall x \phi(x, f(x))$  is NOT semantically valid, i.e., the following sequent is NOT derivable:

$$\vdash \forall x \exists y \phi(x, y) \rightarrow \forall x \phi(x, f(x))$$

Hint: Try a semantic approach, i.e., define an appropriate  $\phi$  and a model where the left-hand side of “ $\rightarrow$ ” is true but the right-hand side of “ $\rightarrow$ ” is false, and then invoke the completeness of the proof rules.

## Solution:

2. To show the sentence is not valid, we construct a counterexample:

Let  $\phi(x, y)$  be the atomic formula  $x < y$  interpreted over the real numbers  $\mathbb{R}$ .

Define  $f(x) = x$  for all  $x \in \mathbb{R}$ .

Then:

- $\forall x \exists y \phi(x, y)$  is true because for any real number  $x$ , there exists a larger real number  $y$
- However,  $\forall x \phi(x, f(x))$  is false because  $\phi(x, f(x))$  means  $x < x$ , which is false for all  $x$

Therefore, we have found a model where  $\forall x \exists y \phi(x, y)$  is true but  $\forall x \phi(x, f(x))$  is false.

By completeness,

$$\not\vdash \forall x \exists y \phi(x, y) \rightarrow \forall x \phi(x, f(x))$$

## ON LEAN-4

**Solutions in one file at:** [https://github.com/nich-ikech/CS511-hw-macbeth/blob/main/cs511HwSolutions/hw09/hw09\\_nicholas\\_ikechukwu.lean](https://github.com/nich-ikech/CS511-hw-macbeth/blob/main/cs511HwSolutions/hw09/hw09_nicholas_ikechukwu.lean)

### **Exercise 3. From Macbeth's book:**

#### **Solutions**

[https://github.com/nich-ikech/CS511-hw-macbeth/blob/main/cs511HwSolutions/hw09/hw09\\_nicholas\\_ikechukwu.lean](https://github.com/nich-ikech/CS511-hw-macbeth/blob/main/cs511HwSolutions/hw09/hw09_nicholas_ikechukwu.lean)

## Exercise 4. From Macbeth's book

[https://github.com/nich-ikech/CS511-hw-macbeth/blob/main/cs511HwSolutions/hw09/hw09\\_nicholas\\_ikechukwu.lean](https://github.com/nich-ikech/CS511-hw-macbeth/blob/main/cs511HwSolutions/hw09/hw09_nicholas_ikechukwu.lean)



## **PROBLEM 2. From Macbeth's book**

### **Solution**

[https://github.com/nich-ikech/CS511-hw-macbeth/blob/main/cs511HwSolutions/hw09/hw09\\_nicholas\\_ikechukwu.lean](https://github.com/nich-ikech/CS511-hw-macbeth/blob/main/cs511HwSolutions/hw09/hw09_nicholas_ikechukwu.lean)