

## Solutions to CS511 Homework 10

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**Exercise 1 Open Lecture Slides 24, “Deductive Closure and First-Order Theories”, page 7: Carefully answer the question highlighted in green.**

**Hint: An appropriate answer should take no more than 4-5 lines. You will find it helpful to read the preceding pages in the same set of slides.**

If  $M$  is a relational structure, the first-order theory of  $M$  is:

$$\text{Th}(M) \stackrel{\text{def}}{=} \{\phi \mid \phi \text{ is a first-order sentence s.t. } M \models \phi\}$$

Question: Is  $\text{Th}(M)$  deductively closed?

### Solution:

Yes,  $\text{Th}(M)$  is deductively closed.

To prove this:

Let  $\phi \in \overline{\text{Th}(M)}$ . Then  $\text{Th}(M) \vdash \phi$ .

By the Soundness Theorem,  $\text{Th}(M) \models \phi$ .

Since  $M \models \text{Th}(M)$ , we have  $M \models \phi$ .

By definition of  $\text{Th}(M)$ ,  $\phi \in \text{Th}(M)$ .

Therefore,  $\overline{\text{Th}(M)} \subseteq \text{Th}(M)$ , so  $\text{Th}(M)$  is deductively closed.

## Exercise 2. Open EML.Chapter 6.pdf : Do Exercise 109 on page 65.

**Hint:** There is some reading to do in this exercise, but the answers are straightforward. Correct answers for parts 1, 2, and 3, are each no more than a single line. An appropriate answer for part 4 invokes Compactness and can be written in three or four lines.

### Graph Coloring

Hint 1 : Find a way to make use of the following fact: Every finite planar graph is four-colorable. (Do not try to prove this fact, which is difficult, but you are allowed to invoke it.)

Hint 2 : If  $M$  is a planar graph, then every subgraph of  $M$  is also planar. A subgraph of  $M$  is a graph whose vertices are a subset of the vertices of  $M$  and whose adjacency relation is a subset of the adjacency relation of  $M$  restricted to this subset.

In this exercise, I will demonstrate that every infinite planar graph is 4-colorable. The first-order theory of simple undirected graphs can be taken as a set  $\Gamma$  of two axioms over signature  $\Sigma \stackrel{\text{def}}{=} \{R\}$  consisting of one binary relation symbol, namely:

$$\Gamma \stackrel{\text{def}}{=} \{\forall x.\forall y.R(x,y) \rightarrow R(y,x), \forall x.\neg R(x,x)\}.$$

We now expand the signature  $\Sigma$  to  $\Sigma' = \Sigma \cup \{B, G, P, Y\}$  where  $B, G, P$ , and  $Y$  are unary predicate symbols (for 'blue', 'green', 'purple', and 'yellow').

### Part 1

Write a first-order sentence  $\phi_1$  which, in any  $\Sigma'$ -structure  $M$  satisfying  $\Gamma$  (i.e.,  $M$  is a simple undirected graph), asserts "every vertex has at least one of the colors: blue, green, purple, yellow".

### Solution

$$\phi_1 := \forall x(B(x) \vee G(x) \vee P(x) \vee Y(x))$$

**Part 2**

Write a first-order sentence  $\phi_2$  which, in any  $\Sigma'$ -structure  $M$  satisfying  $\Gamma$ , asserts "every vertex has at most one color".

**Solution**

$$\phi_2 := \forall x((B(x) \rightarrow \neg G(x) \wedge \neg P(x) \wedge \neg Y(x)) \wedge (G(x) \rightarrow \neg P(x) \wedge \neg Y(x)) \wedge (P(x) \rightarrow \neg Y(x)))$$

**Part 3**

Write a first-order sentence  $\phi_3$  which, in any  $\Sigma'$ -structure  $M$  satisfying  $\Gamma$ , asserts "no two adjacent vertices have the same color".

**Solution**

$$\phi_3 := \forall x \forall y (R(x, y) \rightarrow \neg(B(x) \wedge B(y)) \wedge \neg(G(x) \wedge G(y)) \wedge \neg(P(x) \wedge P(y)) \wedge \neg(Y(x) \wedge Y(y)))$$

## Part 4

Show that if  $M$  is an infinite planar graph, i.e.,

- $M$  is a  $\Sigma$ -structure satisfying  $\Gamma$ ,
- the domain of  $M$  is infinite, and
- $M$  is planar as a graph,

then there is a  $\Sigma'$ -structure  $M'$ , which expands  $M$  with four unary relations  $B^{M'}, G^{M'}, P^{M'}$ , and  $Y^{M'}$ , and which satisfies  $\phi_1 \wedge \phi_2 \wedge \phi_3$ , i.e.,  $M'$  is four-colorable and, thus,  $M$  is also four-colorable.

## Solution

Let  $\Delta = \Gamma \cup \{\phi_1, \phi_2, \phi_3\}$ .

Every finite substructure of  $M$  is a finite planar graph, hence 4-colorable.

Thus, every finite subset of  $\Delta$  is satisfiable. By Compactness,  $\Delta$  is satisfiable.

Therefore, there exists a  $\Sigma'$ -structure  $M'$  expanding  $M$  that satisfies  $\Delta$ , making  $M$  four-colorable.

**PROBLEM 1 Open EML.Chapter 6.pdf : Do part 1 and part 2 only of Exercise 108 on page 64. You do not need to do part 3 of that exercise.**

**Part 1**

**Question:** Give a precise argument in about 5-10 lines for how to systematically generate the countably infinite sequence of  $K_{3,3}$  and all its subdivisions, call it  $G \stackrel{\text{def}}{=} (G_i \mid i \in \mathbb{N})$ , and the countably infinite sequence of  $K_5$  and all its subdivisions, call it  $G' \stackrel{\text{def}}{=} (G'_i \mid i \in \mathbb{N})$ . The first entries in those two sequences are  $K_{3,3}$  and  $K_5$ , i.e.,  $G_0 \stackrel{\text{def}}{=} K_{3,3}$  and  $G'_0 \stackrel{\text{def}}{=} K_5$ . It is also useful to define the sequence  $G$  so that if  $i < j$  then  $G_i \leq G_j$ , and similarly for the sequence  $G'$ , i.e., successive entries in  $G$  and  $G'$  are in order of non-decreasing sizes.

**Hint 1:** It suffices to give an answer for one of the two sequences, say  $G$ , and to conclude by saying " $G'$  is generated similarly."

**Hint 2:** In the two sequences there are many (though a finite number) subdivisions of the same size. And for the same size, it is possible but quite difficult to omit isomorphic copies; it is much easier to allow isomorphic copies in the two sequences.

**Solution:**

My precise argument is that, to generate the sequence  $G$ :

1. We start with  $G_0 = K_{3,3}$ .
2. For each  $i \geq 1$ :
  - We consider all possible ways to subdivide one edge of  $G_{i-1}$ .
  - Add each resulting graph as the next element in the sequence.
  - If multiple subdivisions result in graphs of the same size, include all of them.
3. Repeat step 2 indefinitely, ensuring that graphs are added in order of non-decreasing size.
4. If at any step, all possible single-edge subdivisions of previous graphs have been included, start subdividing two edges, then three, and so on.

This process generates all possible subdivisions of  $K_{3,3}$  in a systematic way, allowing for isomorphic copies.  $G'$  is generated similarly, starting with  $G'_0 = K_5$ .

## Part 2

**Question:** Let  $M \stackrel{\text{def}}{=} (M, R^M)$  be an arbitrary simple graph,  $G_i \stackrel{\text{def}}{=} (V_i, E_i)$  an arbitrary subdivision of  $K_{3,3}$ , and  $G'_j \stackrel{\text{def}}{=} (V'_j, E'_j)$  an arbitrary subdivision of  $K_5$ . Those two subdivisions are entries in the sequences  $G$  and  $G'$  defined in the preceding part. Write first-order sentences  $\phi_i$  and  $\phi'_j$  such that if  $M \models \phi_i$  (resp.  $M \models \phi'_j$ ), then  $G_i$  is a subgraph of  $M$  (resp.  $G'_j$  is a subgraph of  $M$ ).

**Hint:** You will find it convenient to name the vertices of  $G_i$  with an initial segment of the positive integers, i.e.,  $V_i = \{1, 2, \dots, n_i\}$  where  $n_i$  is the size of  $G_i$ , and similarly for  $G'_j$ .

## Solution:

Let  $V_i = \{1, 2, \dots, n_i\}$  be the vertices of  $G_i$  and  $E_i$  its set of edges. We can write  $\phi_i$  as:

$$\phi_i \stackrel{\text{def}}{=} \exists x_1 \dots \exists x_{n_i} \left( \bigwedge_{1 \leq k < l \leq n_i} x_k \not\approx x_l \wedge \bigwedge_{(k,l) \in E_i} R(x_k, x_l) \right)$$

Similarly, for  $G'_j$  with vertices  $V'_j = \{1, 2, \dots, n'_j\}$  and edges  $E'_j$ , we can write  $\phi'_j$  as:

$$\phi'_j \stackrel{\text{def}}{=} \exists x_1 \dots \exists x_{n'_j} \left( \bigwedge_{1 \leq k < l \leq n'_j} x_k \not\approx x_l \wedge \bigwedge_{(k,l) \in E'_j} R(x_k, x_l) \right)$$

The two first-order sentences assert the existence of distinct vertices in  $M$  that are connected according to the edge structure of  $G_i$  or  $G'_j$ , respectively. If  $M$  satisfies either of these sentences, it contains the corresponding subdivision as a subgraph.

## ON LEAN-4

**Solutions in one file at:** [https://github.com/nich-ikech/CS511-hw-macbeth/blob/main/cs511HwSolutions/hw10/hw10\\_nicholas\\_ikechukwu.lean](https://github.com/nich-ikech/CS511-hw-macbeth/blob/main/cs511HwSolutions/hw10/hw10_nicholas_ikechukwu.lean)

### **Exercise 3. From Macbeth's book:**

#### **Solutions**

[https://github.com/nich-ikech/CS511-hw-macbeth/blob/main/cs511HwSolutions/hw10/hw10\\_nicholas\\_ikechukwu.lean](https://github.com/nich-ikech/CS511-hw-macbeth/blob/main/cs511HwSolutions/hw10/hw10_nicholas_ikechukwu.lean)



## Exercise 4. From Macbeth's book

[https://github.com/nich-ikech/CS511-hw-macbeth/blob/main/cs511HwSolutions/hw10/hw10\\_nicholas\\_ikechukwu.lean](https://github.com/nich-ikech/CS511-hw-macbeth/blob/main/cs511HwSolutions/hw10/hw10_nicholas_ikechukwu.lean)

## **PROBLEM 2. From Macbeth's book**

### **Solution**

[https://github.com/nich-ikech/CS511-hw-macbeth/blob/main/cs511HwSolutions/hw10/hw10\\_nicholas\\_ikechukwu.lean](https://github.com/nich-ikech/CS511-hw-macbeth/blob/main/cs511HwSolutions/hw10/hw10_nicholas_ikechukwu.lean)