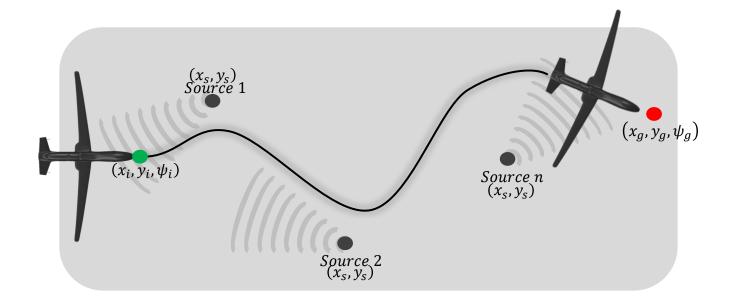
Aircraft path planning with power beaming

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Problem description

- Power beaming:
 - Send energy to an aircraft via electromagnetic beams (e.g., optical or radio frequency)
 - Decouples an aircraft from its energy source
- Goal:
 - Find an optimal trajectory through a field of power sources



Problem description: continuous dynamics

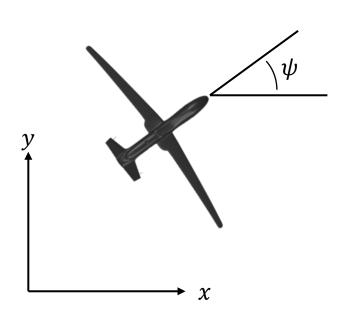
• Continuous flight dynamics model: $S = (x, y, \psi)$

$$\dot{x} = v \sin \psi$$

$$\dot{y} = v \cos \psi$$

$$\dot{\psi} = \theta$$

- The Earth-frame position is (x, y), the heading angle is $\psi \in [-\pi, \pi]$, and the action θ is the heading angle in the body-frame
- Assumptions:
 - Constant altitude
 - Constant velocity



Problem description: discrete dynamics

• Discrete dynamics (fixed time step dt)

$$x_{t+1} = x_t + v \sin \psi dt$$

$$y_{t+1} = y_t + v \cos \psi dt$$

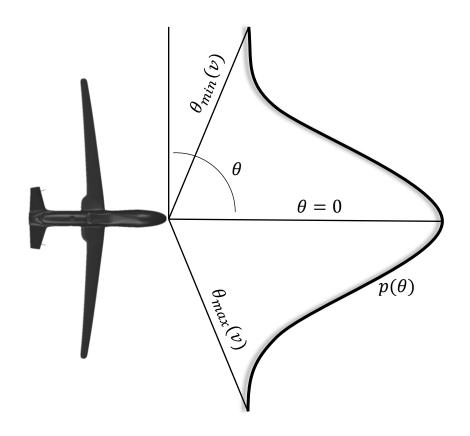
$$\psi_{t+1} = \psi_t + \theta$$

• Discrete action space

$$\mathcal{A} = \begin{bmatrix} -\pi & -\pi & -\pi & \pi & \pi & \pi \\ \hline 6 & 9 & 18 & 18 & 9 & 6 \end{bmatrix}$$

$$P(\theta) = \frac{1}{\sigma\sqrt{2\pi}}e^{-0.5\left(\theta/\sigma\right)^2}, \forall \theta \in \mathcal{A}$$

 Captures the preference of the aircraft to continue along the current heading (conservation of momentum)



Problem description: reward

• Euclidean distance from the aircraft to a power source:

$$d = \sqrt{(x - x_S)^2 + (y - y_S)^2}$$

Approximate a typical transmission-loss equation:

$$P_r = P_t G_t G_r \left(\frac{\lambda}{4\pi d}\right)^2 \approx \frac{k}{d^2}$$

• Use a minimization function to avoid undesirable dynamics:

$$\mathcal{R} = \min\left(h, \frac{k}{d^2}\right)$$

 Add the distance to the target state and create the final reward function:

$$\mathcal{R} = d_g^2 + \sum_{i=1}^n \min\left(h, \frac{k}{d_i^2}\right)$$

Markov decision process: summary

- MDP: $\langle S, A, P, \mathcal{R}, \gamma \rangle$
 - $S = (x, y, \psi)$
 - $\mathcal{A} = \theta$
 - $P(a) = \frac{1}{\sigma\sqrt{2\pi}}e^{-0.5(a/\sigma)^2}, \forall a \in \mathcal{A}$
 - $\mathcal{R} = d_g^2 + \sum_{i=1}^n \min\left(h, \ ^k/_{d_i^2}\right)$
 - And a substantial reward (+100) at the terminal state
 - $\gamma \in [0, 1]$

Policy iteration

- Policy evaluation:
 - Iteratively computes the value function V(s) for all states and a given policy
- Policy improvement:
 - Finds a new action for every state that improves the action values q(s, a)
- Implementation:
 - Fixed state-space dimensions: (60x30x20)
 - Fixed starting state: (1,15), and fixed terminal state: (55,15)
 - Fixed power source locations
- What to expect:
 - convergence, slow, reliable and repeatable

Policy Iteration (using iterative policy evaluation) for estimating $\pi \approx \pi_*$

1. Initialization

```
V(s) \in \mathbb{R} and \pi(s) \in \mathcal{A}(s) arbitrarily for all s \in S; V(terminal) \doteq 0
```

2. Policy Evaluation

Loop:

$$\Delta \leftarrow 0$$

Loop for each $s \in S$:

$$v \leftarrow V(s)$$

$$V(s) \leftarrow \sum_{s',r} p(s',r|s,\pi(s)) [r + \gamma V(s')]$$

$$\Delta \leftarrow \max(\Delta,|v - V(s)|)$$

until $\Delta < \theta$ (a small positive number determining the accuracy of estimation)

3. Policy Improvement

$$policy$$
-stable $\leftarrow true$

For each $s \in S$:

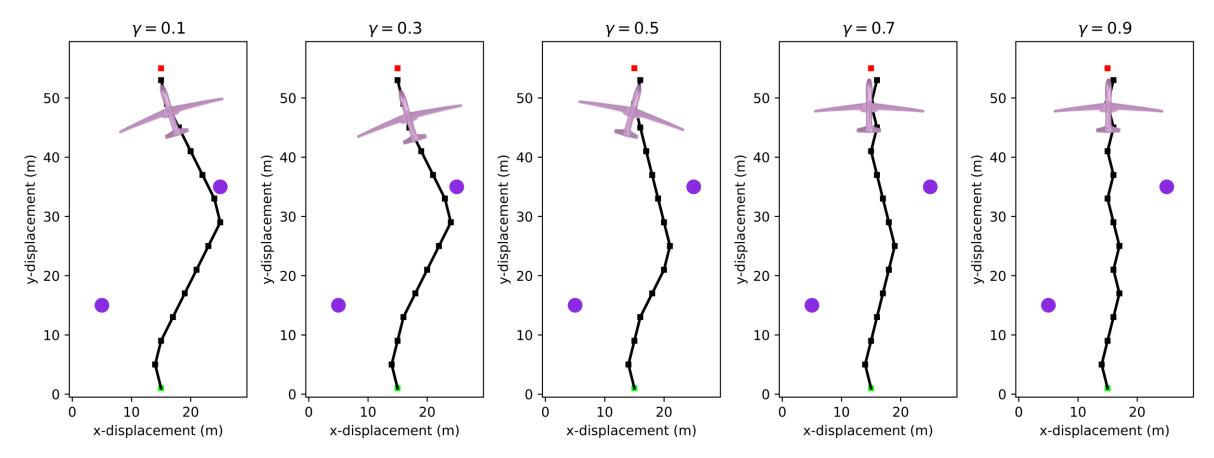
$$old\text{-}action \leftarrow \pi(s)$$

$$\pi(s) \leftarrow \operatorname{arg\,max}_a \sum_{s',r} p(s',r|s,a) [r + \gamma V(s')]$$

If $old\text{-}action \neq \pi(s)$, then $policy\text{-}stable \leftarrow false$

If policy-stable, then stop and return $V \approx v_*$ and $\pi \approx \pi_*$; else go to 2

Policy iteration: results



Prioritizes the short-term reward

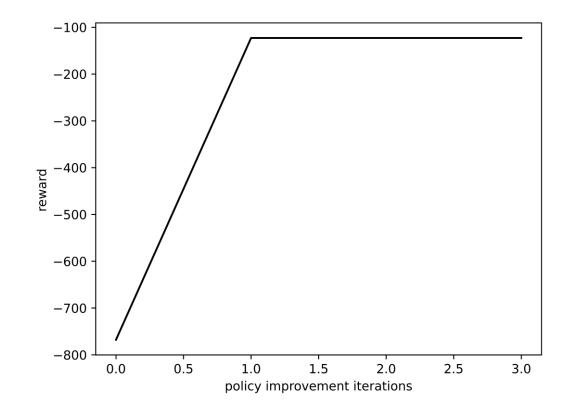
Prioritizes the large terminal reward

Policy iteration: convergence

- Major iterations
 - Iterations of evaluation and improvement
- Minor iterations
 - Evaluation iterations plus improvement iterations
- Requires more iterations with increasing discount factor
- Slow: 32,000 individual states

Table 1: Policy iteration summary.

γ	iterations	time (s)
0.1	10	228
0.3	12	230
0.5	14	234
0.7	21	358
0.9	33	389



SARSA

• On-policy TD control:

- Estimates q_{π} instead of v_{π}
- Policy improves based on a greedy q_{π} : $S_t, A_t, R_{t+1}, S_{t+1}, A_{t+1}$
- Convergence only guaranteed as state-action pair visits→ ∞
- Trades exploration vs. exploitation (ϵ -greedy policies)

Implementation:

- Max-episodes = $\mathcal{O}(10,000)$
- Max-time-steps = 50 (some episodes may not reach the terminal state in a reasonable amount of time)
- Same state space, start/end states, and power source locations as with policy iteration

• What to expect:

- Stochastic results (due to epsilon-greedy behavior)
- convergence not guaranteed for fixed number of episodes and time steps

- 1. Initialize $\alpha \in (0,1], Q(s,a) \forall s \in \mathcal{S}, a \in \mathcal{A}(s)$
- 2. While episode < max-episodes:

Initialize S

Choose A from S using policy derived from Q (e.g., ϵ -greedy)

While t < max-time-steps:

Take action A, observe R,S'

Choose A' from S' using policy derived from Q (e.g., ϵ -greedy)

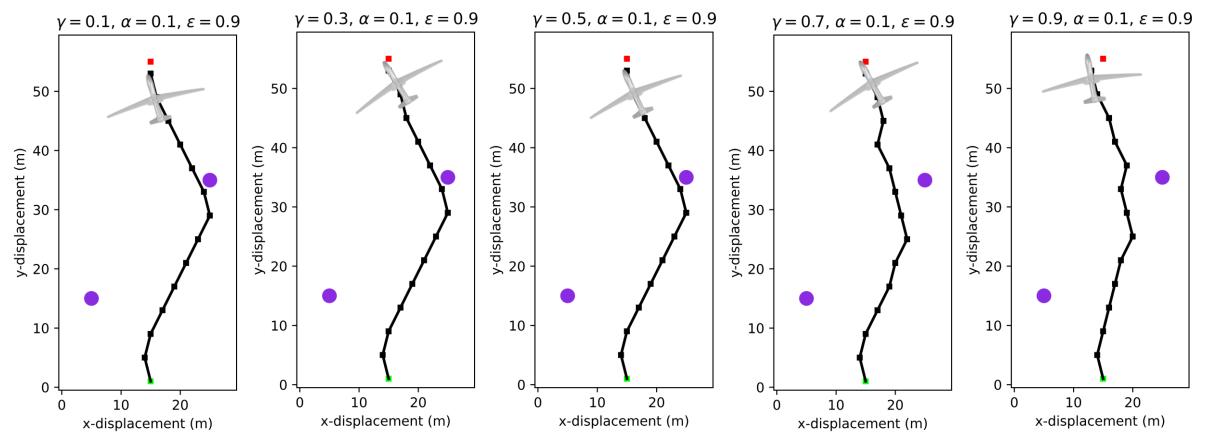
$$Q(S, A) \leftarrow Q(S, A) + \alpha [R + \gamma Q(S', A') - Q(S, A)]$$

$$S \leftarrow S'; A \leftarrow A'$$

$$t = t + 1$$

Until S is terminal

SARSA: results $\alpha = 0.1$

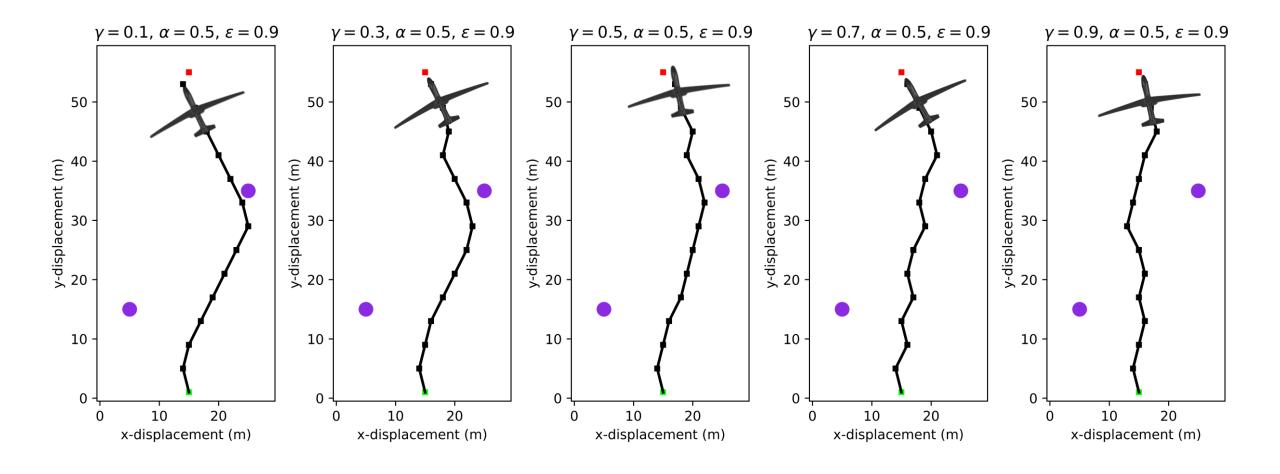


Prioritizes the short-term reward

Prioritizes the large terminal reward

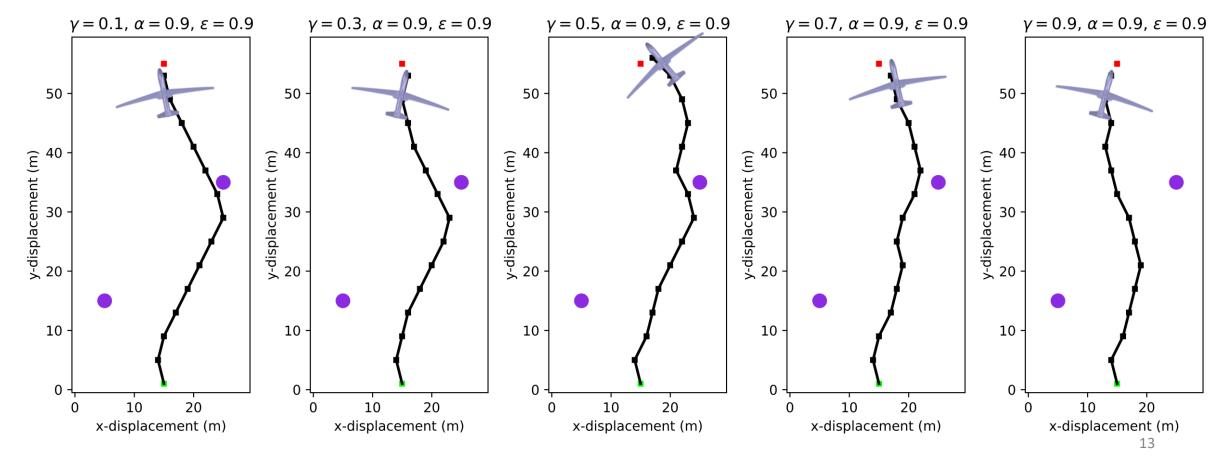
SARSA: results $\alpha = 0.5$

 \bullet As α increases, the solution converges faster but explores less



SARSA: results $\alpha = 0.9$

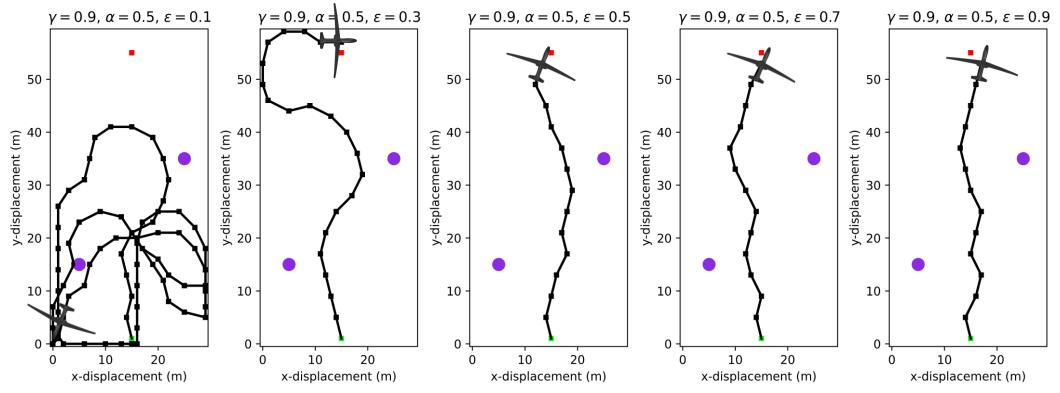
- As α increases, the solution converges faster but explores less (for fixed # of episodes)
- The results for $\alpha=0.9$ are different from policy iteration



SARSA: results (and the effect of ϵ)

• Exploration vs. exploitation

- $|Q_{\epsilon=0.9} Q_{\epsilon=0.7}| = 3744 |Q_{\epsilon=0.7} Q_{\epsilon=0.5}| = 5017$ $|Q_{\epsilon=0.5} - Q_{\epsilon=0.3}| = 5980$
- Fixed number of episodes: 10,000



More exploration Doesn't converge

More exploitationBetter convergence

SARSA: poorly weighted rewards

$$\mathcal{R} = d_g^2 + \sum_{i=1}^n {}^{k=1000} / d_i^2$$

$$\gamma = 0.1, \ \alpha = 0.5, \ \varepsilon = 0.9, \ k = 1000$$

$$\gamma = 0.8, \ \alpha = 0.5, \ \varepsilon = 0.9, \ k = 1000$$

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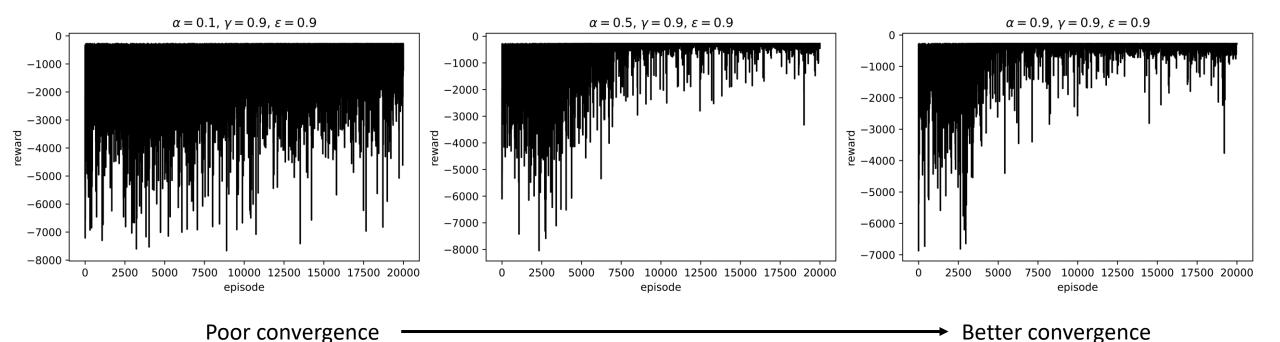
$$\gamma = 0.8, \ \alpha = 0.5, \ \varepsilon = 0.9, \ k = 1000$$

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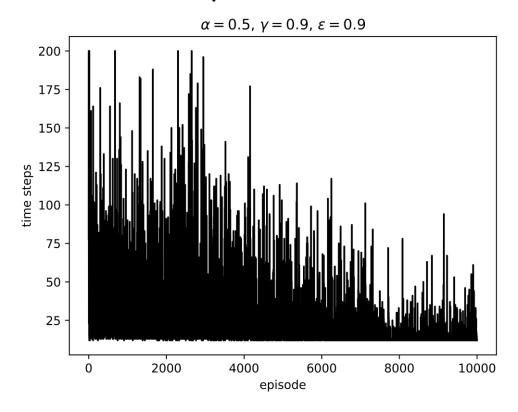
SARSA: convergence

- Finishes 10,000 episodes in 65s with max time steps = 50
- ϵ -greedy policy results in "noisy" plots



SARSA: convergence

- Plots time steps vs. episode for SARSA
- Quantifies the exploratory nature of the algorithm: as the action values converge, the number of time steps decreases



Conclusion

	Policy iteration	SARSA
Time (s)	228-389	65
Convergence	Yes	Not always (dependent on ϵ , α , γ , max episodes, and max time steps)
Qualitative analysis	Good for complex problems with local minimums	Good for large state-spaces

• Algorithms:

- Policy iteration converges very reliably for large state-spaces, but is very slow
- SARSA is generally fast, but can struggle to yield reasonable trajectories when the max episodes are capped

Lessons learned:

- Continuous dynamics & large state-spaces → slow
- All methods are highly dependent on the reward function
 - And it's difficult to create a reward function that results in reasonable trajectories

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