

# APPM 3310 - Project Report

## Support Vector Machines

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Support Vector Machines (SVMs) are a class of algorithms used in solving both linear and non-linear binary classification problems. Non-linear sets of data, like images, can be classified by an SVM by defining the sets of data with their quantitative distinguishing features using PCA. We seek to implement a MATLAB program for machine learning that would first process image data using an eigenface algorithm, and then classify the image data with an SVM. The data processed by the eigenfaces algorithm is used to train the SVM classifier, allowing the SVM to separate with maximal margin the different classes of image data with a hyperplane. We developed two separate codes: one to classify an inputted image as a discrete class, and another to determine regions of the eigenface space associated with each class tested. Our classification algorithm was successful, classifying with high accuracy test images using only 15 basis vectors from the eigenface (PCA) process. Our test data also yielded multiple, accurate classification regions based on the training data we gave our SVM. These classification regions could then be used to classify additional data we introduce to the SVM.

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## I. Attribution

Kyle Li designed the presentation. Richard Moon and Nicholas Renninger wrote code to implement the Eigenfaces and multi-class SVM algorithms, as well as wrote their respective sections of the presentation. All three contributed to preparing the report and project proposal.

## II. Introduction

SVMs came to prominence in the 1990s as a leading machine learning algorithm and are best used in solving single or binary classification problems. While the theory behind other margin maximizing algorithms had been around for a few decades, several factors contributed to their rise in the 1990s. The sizeable jump in computing power and the introduction of the kernel trick for classifying non-linear data by Vapnik were both prime factors for the increased popularity of SVMs. In our particular application, we seek to implement a MATLAB library for machine learning called LIBSVM to do facial recognition. Before classification can occur, we must process image data from the (Yale image) database in order to reduce its dimensionality and reduce computational load. This dimensionality reduction is done by a specialty SVD to PCA algorithm for facial data, called the Eigenfaces algorithm. SVMs by design, produce a hyperplane that separates classes of data; any data that falls within a certain margin of the hyperplane gets classified accordingly.<sup>4</sup> The hyperplane itself changes to fit the training data that is fed to the SVM.

The math behind SVMs involves utilizing singular value decomposition to perform principle component analysis, utilizing an eigenvector basis to reduce data dimensionality, the kernel trick involving semi-positive definite matrices to represent inner products in higher dimension space, Euclidean minimization, minimization of norms, and using inner products to calculate norms.

First off, singular value decomposition (SVD) is the factorization of a matrix into its component parts. SVDs take advantage of the concept that any matrix  $A$  multiplied by its transpose  $A^T$  creates a symmetric matrix. Symmetric matrices have the nice property of forming an orthonormal basis for eigenvectors. A full SVD involves the factorization of matrix  $A$  into three component matrices,  $U\Sigma V^T$ , where  $\Sigma$  is a diagonal matrix of the roots of the eigenvalues (singular values).<sup>8</sup> For our particular project, principle component analysis (PCA) is a simple application of the SVD and is utilized to reduce the dimensionality of our facial data. Think of storing a size  $500 \times 500$ -pixel image. Encoding this matrix would require a great deal of memory if we decided to save every pixel value as a discrete value in memory. So, we utilize the SVD to try to find a good approximate matrix of the original image which is what we are doing with PCA, enabling us to decrease the computational load of this project. The aforementioned orthonormal basis of eigenvectors gives the ability to reduce dimensionality simply by choosing fewer basis vectors to represent each image.

The Kernel Trick is an algorithm that computes the inner product between two vectors without explicitly computing the coordinates of the vectors in the feature space of the kernel function. By mapping the inner product space to a non-linear space, like a quadratic cone in the polynomial kernel, the data may be linearly separable in this higher dimension. The special trick here is that two vectors  $\vec{v}$  and  $\vec{w}$  in the space  $\mathbb{R}^N$ , computes the inner product between  $\vec{v}$  and  $\vec{w}$ , which implicitly, in a higher-dimensional feature space, calculates the support vectors and the hyperplane of the feature space with the largest margin, without explicitly calculating the new coordinates of  $\vec{v}$  and  $\vec{w}$  in  $\mathbb{R}^M$ . This greatly reduces computation time and needed memory to compute these operations. Positive semi-definite matrices define the new inner product, and as such are needed by the kernel trick because inner products cannot exist without all eigenvalues being real and non-negative. Thus, the kernel trick requires a positive definite kernel matrix which then defines each kernel function.

Euclidean minimization is the process of minimizing the Euclidean distance between a point and an  $n$ -dimensional subspace. For non-point subspaces, the minimized distance between the subspace and point

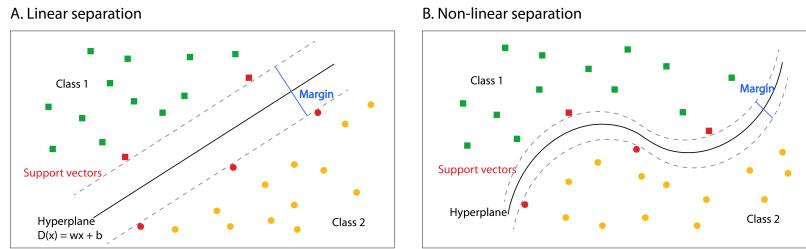


Figure 1: Figure depicting an SVM's ability to Classify both Linear and Non-Linear Data.

is the distance between said point and the point in the subspace where the resulting vector between the two points is orthogonal to the subspace. This is important to our problem because SVMs generate a hyperplane decision boundary to separate the data classes it processes. To ensure maximum accuracy we need to maximize the margin between the hyperplane and the support vectors, which originate from the data sets closest to the decision boundary. Geometrically the distance of the margin between two support vectors and the hyperplane is defined as

$$\frac{2}{\|\vec{w}\|}$$

where  $\vec{w}$  is the normal vector to the hyperplane. As you can see based on the definition, to maximize the distance we must minimize the norm of matrix  $\vec{w}$ , which is where Euclidean minimization is needed.

### III. Mathematical Formulation

In order to apply a SVM to facial recognition, we need a way to represent each image with quantitative features of the image that the SVM can read as a set of feature vectors and a set of labels for all of the classes. While we could simply feed the SVM raw data from each image and appropriate labels, this would be highly inefficient, as the SVM would need to work in the random subspace that the raw pixel values provide. Thus, we would prefer a way to process the data first; to both project the data onto a space where each feature vector contains more meaningful data about the image itself, as well as to reduce the number of feature vectors defining each image. For these reasons, we chose the eigenface algorithm in order to convert each image into a set of top quantitative feature vectors. The eigenface algorithm is used to define a face as a vector of weighted components of corresponding eigenfaces, as every face's distinctive features can be found by summing the proportionate amount of eigenfaces, which are the standard basis vectors that every face can be built from.

#### III.A. Eigenface Algorithm

For our project, we used  $n \times n$  square images in the theoretical formulation of the eigenface algorithm. We used a database with  $m$  number of images. In order to change the basis into eigenface space, we need to find the eigenvalues and eigenvectors of the covariance matrix about the features of the images. To get this covariance matrix, each image must first be converted into a column vector of  $n^2 \times 1$  size, which we will call  $\Gamma_i$  where  $1 \leq i \leq m$ . We do this for all  $m$  images. We then find the value of the average human face,  $\Psi$ , which is just the mean of all the face column vectors:<sup>7</sup>

$$\Psi = \frac{1}{m} \sum_{i=1}^m \Gamma_i$$

Once we find this column vector, we can subtract the mean human face vector from each of the face column vectors  $\Gamma_i$ , which creates a column vector,  $\Phi$ , which represents the distinctive features an image has compared to the average human face of the database:

$$\Phi_i = \Gamma_i - \Psi$$

We then make a matrix of all the  $\Phi_i$  for all  $m$  images (a matrix containing all of the distinguishing features of each image), which we call  $A$ , where  $A$  is a  $n^2 \times m$  matrix:

$$A = [\Phi_1 \Phi_2 \dots \Phi_m]$$

By subtracting the mean human face from each of the column vectors, each of resulting vectors in the  $A$  matrix can be considered random vectors, which allows us to create a covariance matrix  $C$ :<sup>1</sup>

$$C = AA^T$$

The covariance matrix of the features of each image can be found by multiplying the  $A$  matrix by its transpose, which we will call  $C$ , where  $C$  is an  $n^2 \times n^2$  matrix; however, calculating values from these large matrices led to the creation of algorithms like the eigenface algorithm which reduces the computational load

by reducing the number of explicit calculations needed to calculate eigenvalues of  $C$ . We know that the eigenvalues,  $\lambda_C$ , and eigenvectors,  $\mathbf{u}$ , of  $C$  can be found using the equation:

$$C\mathbf{u} = \lambda_C \mathbf{u}$$

However, this is a large matrix to do computations with (it is  $n^2 \times n^2$ ), so we instead look at the matrix  $G$ , a Gram matrix which is defined as:

$$G = (A^T A)$$

which is an  $m \times m$  matrix, and we find that the eigenvectors,  $\mathbf{v}$ , and eigenvalues,  $\lambda_G$ , of the  $G$  matrix can be written as:

$$G\mathbf{v} = \lambda_G \mathbf{v} = A^T A \mathbf{v} = \lambda_G \mathbf{v}$$

which when the  $A$  matrix is multiplied to both sides becomes:

$$AA^T A \mathbf{v} = A \lambda_G \mathbf{v}$$

and because scalar multiplication is commutative becomes:

$$AA^T A \mathbf{v} = \lambda_G A \mathbf{v} = C \mathbf{u} = \lambda_1 \mathbf{u}$$

which means that  $\mathbf{u} = A\mathbf{v}$  and  $\lambda_G = \lambda_1$ . This allows us to find and use the eigenvalues and eigenvalues of the  $m \times m$  matrix  $G$  instead, which is computationally easier to deal with. It also limits the number of eigenvalues and eigenfaces of  $AA^T$ , as there can be up to  $n^2$  distinct eigenvalues for the covariance matrix, with a corresponding number of eigenvectors, but using the  $G$  matrix of rank  $m$  the maximum number of distinct eigenvalues is  $m$  eigenvalues and eigenvectors. Each of these new basis eigenvectors is called an eigenface, and is a standard basis face that can be used to build up any face in this new eigenface space. With the eigenface algorithm, a  $k$  number of most significant eigenfaces (eigenfaces that correspond to the largest eigenvalues) can be chosen to define how many eigenfaces the user wants to use to describe each image. And by projecting each image into the eigenface space, we can now represent each matrix as a linear combination of the weight of each of these eigenfaces, where the weight  $w$  can be found from

$$\Phi_i = \sum_{j=1}^k w_j \mathbf{u}_j$$

$$w_j = \mathbf{u}_j^T \Phi_i$$

and the linear combination vector is represented as  $\Omega$  which is a  $k \times 1$  column vector and  $\Omega = [w_1 w_2 \dots w_k]^T$ .<sup>7</sup> We then make a matrix of all  $m$  of these coefficient vectors into a  $k \times m$  feature matrix. In relation to singular value composition, we can define the covariance of  $A$  as  $\frac{A^* A^T}{m}$ , and let the singular decomposition of  $A$  be:<sup>8</sup>

$$A = P \Sigma Q^T$$

so the covariance matrix  $\frac{A^* A^T}{m}$  would be:

$$\frac{A^* A^T}{m} = \frac{P \Sigma Q^T Q \Sigma^T P^T}{m} = \frac{P \Sigma I \Sigma^T P^T}{m} = \frac{P \Lambda P^T}{m}$$

where  $\Lambda$  is the diagonal matrix of eigenvalues, where  $\lambda_{ii} = \frac{\sigma_{ii}^2}{m}$ , with  $\lambda$  being the eigenvalue for  $AA^T$  and  $\sigma$  being the singular value for  $A$ , and  $P$  is the eigenfaces, which are the left singular vectors of  $A$  (also the orthonormal eigenvectors of  $AA^T$ ).

From the math above, we have created a feature matrix,  $A$ , of all  $m$  images of  $n^2 \times 1$  size, in the normal image space, and projected them into the eigenface space, where a  $k$  number of eigenvalues and corresponding eigenvectors were chosen at the user's discretion, and the corresponding eigenvectors define the eigenface basis and space. This is the new feature space, and the new feature vector of the image data is  $k \times m$ .

### III.B. Support Vector Machine Algorithm

Support vector machines need two training input vectors, the first input vector being the feature vectors that represents each of the data points in feature space which we call  $\mathbf{x}$ , and the classifications for each of the feature data, which we call  $y$ . Because SVMs are binary classifiers, the classifications are treated as 1 or -1 (either the image data is or is not the desired class). The support vectors of a support vector machine are the vectors closest to the margin of the hyperplane that if removed, will change the length of the margin. They also represent vectors which have positive  $\alpha_i$  values. The line that decides whether a data point is one class or the other (this is affected by the magnitude of the margin) is defined by the *discriminant function*  $f(\mathbf{x})$ :

$$f(\mathbf{x}) = \mathbf{w}^T \mathbf{x} + b$$

where  $b$  is the bias of the discriminant. The hyperplane is placed where the Euclidean minimized distance between the each of the support vectors and the margin is maximized. The hyperplane can be defined by all the  $\mathbf{x}$  vectors which satisfy the equation:

$$\mathbf{w}^T \mathbf{x} - b = 0$$

where  $\mathbf{w}$  is the vector normal to the hyperplane (a weight vector),  $b$  is the offset the hyperplane has from the origin. Now assume that the weight vector,  $\mathbf{w}$ , can be expressed as linear combination of the training data points  $\mathbf{x}$ :

$$\begin{aligned} \mathbf{w} &= \sum_{i=1}^m \alpha_i \mathbf{x}_i \\ f(\mathbf{x}) &= \sum_{i=1}^m \alpha_i \mathbf{x}_i^T \mathbf{x} + b \end{aligned}$$

$\mathbf{w}$  is normal to the hyperplane as the minimized Euclidean distance from a point and a line is always orthogonal to the line. It is also worth mentioning that a hyperplane, of a given space of dimension  $n$ , is a subspace with dimensionality 1 less than that of the main space,  $n-1$ . Now, noticing that  $\alpha_i \mathbf{x}_i^T \mathbf{x}$  is simply the definition of the inner product, we can redefine the discriminant function, which determines the line that divides positive and negative classes, with a kernel function  $K(\mathbf{x}, \mathbf{x}')$  that redefines the inner product on some new feature space:<sup>6</sup>

$$\begin{aligned} f(\mathbf{x}) &= \sum_{i=1}^m K(\mathbf{x}, \mathbf{x}') + b \\ K(\mathbf{x}, \mathbf{x}') &= \phi(\mathbf{x})^T \phi(\mathbf{x}') \end{aligned}$$

where  $\phi(\mathbf{x})$  is a non-linear function mapping to a new feature space. For the Gaussian or Radial Basis Function (RBF) kernel used in this application, the kernel function is defined as:

$$K(\mathbf{x}, \mathbf{x}') = \exp(-\gamma \|\mathbf{x} - \mathbf{x}'\|^2)$$

$$\|\mathbf{x}\| = \sqrt{\mathbf{x}^T \mathbf{x}}$$

where  $\gamma$  controls the width of the Gaussian and thus the flexibility of the resulting classifier. Increasing  $\gamma$  too much can cause over-fitting problems. The *margin* of the decision line can be defined as the distance between the decision line and the closest data points (the support vectors). Mathematically, it follows from the formulas above the the margin  $M$  can be defined in terms of a weight vector as:

$$M(\mathbf{w}) = \frac{1}{2} \hat{\mathbf{w}}^T (\mathbf{x}_+ - \mathbf{x}_-)$$

Now we want to define the size of the margin based on our previous formulation, and thus we define the points  $\mathbf{x}_-$  and  $\mathbf{x}_+$  as points equidistant to the margin on either the negative or positive side of the decision line, respectively. Using our discriminant formula defined above, we get that:

$$\begin{aligned}f(\mathbf{x}_-) &= \mathbf{w}^T \mathbf{x}_- + b = -\rho \\f(\mathbf{x}_+) &= \mathbf{w}^T \mathbf{x}_+ + b = \rho\end{aligned}$$

where  $\rho$  is just some constant distance  $> 0$ . Setting  $\rho = 1$ , as it simply scales with the margin (essentially is a unit), fixing the value of the discriminant function at points closest to the hyperplane (the support vectors), and adding the two equations above and dividing by  $\|\mathbf{w}\|$  we get:

$$M(\mathbf{w}) = \frac{1}{2} \hat{\mathbf{w}}^T (\mathbf{x}_+ - \mathbf{x}_-) = \frac{1}{\|\mathbf{w}\|}$$

Thus, the maximum-margin classification algorithm works by maximizing the margin  $M(\mathbf{w}) = \frac{1}{\|\mathbf{w}\|}$  which is equivalent to minimizing the quantity  $\|\mathbf{w}\|^2$ . Thus the problem becomes the constrained optimization problem, the derivation for which is beyond the scope of this document:

$$\begin{aligned}&\text{minimize } \frac{1}{2} \|\mathbf{w}\|^2 + C \sum_i \zeta_i \\&\text{subject to : } y_i(\mathbf{w}^T \mathbf{x}_i + b) \geq 1 - \zeta_i \text{ for } i = 1, \dots, m \& \zeta_i > 0\end{aligned}$$

where  $y_i$  is the label (positive or negative class) for each data point  $\mathbf{x}_i$ , and  $\zeta_i$  is a slack variable that allows an outlier data point to be within the margin, in order for the margin with respect to the rest of the data points to be maximized. The constant  $C > 0$  is set to control how important maximizing the margin and minimizing the slack are relative to each other. This gives the user a control over the trade-off between large margins with some decrease in overall accuracy, or perfect accuracy with narrow margins that allows for little slack. However, this form of optimization problem, named the “Primal” form the Lagrangian optimization problem, is challenging to solve. Instead, the more useful “Dual” form of the optimization problem is given using the method of Lagrange Multipliers as:

$$\begin{aligned}&\text{maximize } \sum_{i=1}^m \alpha_i - \frac{1}{2} \sum_{i=1}^m \sum_{j=1}^m y_i y_j \alpha_i \alpha_j K(\mathbf{x}_i^T \mathbf{x}_j) \\&\text{subject to : } \sum_{i=1}^n y_i \alpha_i = 0, 0 \leq \alpha_i \leq C\end{aligned}$$

With the Dual form of the Lagrangian optimization in hand, the weight vector can be put in terms of an expansion about the input data points  $\mathbf{x}_i$  and  $y_i$ :

$$\mathbf{w} = \sum_{i=1}^m \alpha_i y_i \mathbf{x}_i$$

Using this relation leads directly to the calculation of the **support vectors**, the points  $\mathbf{x}_i$ , which correspond to any vectors where  $\alpha > 0$ .<sup>6</sup> Usually for feature vectors that require a non-linear hyperplane to classify the vectors, a kernel function can be used in the equations above to solve for the support vectors (and thus the decision boundary) of the model data. For our specific application, we used the Gaussian (RBF) Kernel, which defines the inner product of the feature vectors in such a way that the feature space of the kernel is of infinite dimensions, which allows data of large dimensionality to be classified in the infinite dimension feature space.

## IV. Examples and Results

### IV.A. Implementation and Algorithms

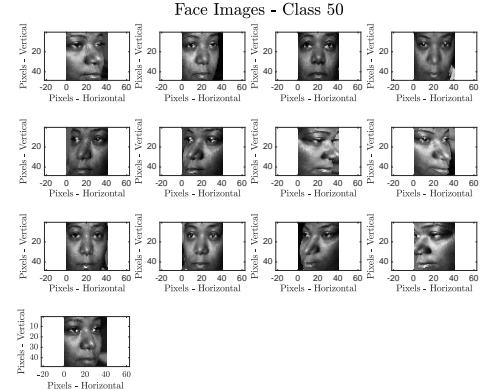
The implementation of a facial recognition algorithm required the use of both the PCA and SVMs in order to achieve an efficient and effective algorithm for identifying faces and predicting classifications. These algorithms were implemented in MATLAB using a mix of built-in libraries (like the `fitcsvm` set of functions) as well as the popular LIBCSVM for actually identifying faces. The MATLAB code was split up into two large main functions, `MainMultiClassSVM.m` and `classifyNoOverlap.m`. `MainMultiClassSVM.m` is a script that uses a database of images, along with a set of user-inputted desired classes to classify, to calculate regions of the eigenface space that are the most likely to be associated with a certain class, as calculated probabilistically with the SVM. `classifyNoOverlap.m` is a script that takes user input on which image in the database to identify, after which the classification algorithm finds all of the other images in the database that match the predicted class of the chosen image.

In order to classify images, a database of faces needed to be used. The database we chose to use was the Yale Images Database,<sup>5</sup> which had a variety of faces pre-processed for our use. Each of the images in the Yale database was already cropped to a standardized resolution of 48 by 40 pixels, and each image was centered on the head. This centering is critical to the eigenfaces algorithm, as otherwise the basis elements it extracts will not be lined up in a standardized way with each face. Thusly, the information about a face contained in each eigenface basis element will be drastically reduced and scattering will occur in the target space after each image is projected.<sup>7</sup>

For our application, we chose to use a subset of the database containing 68 different classes, where each class in this instance is a different person. For each class, there were 13 different images of the class subject under various lighting conditions and with different poses of the person's face used in each image, as seen in Figure 2. This broad range of conditions for each class allows for a diverse eigenface basis to be calculated, where an incoming image projected into this new basis will see expected classification, despite lighting and positional variances that normally upset facial recognition algorithms.



**Figure 3: Example of the principle eigenvectors in the eigenface basis, reformatted into image form. Each of these images represent a basis element in the new eigenface space - an “eigenface”. This means that any new face can be represented as a linear combination of these eigenfaces**



**Figure 2: Example of all faces in the database for one class (person). There are 13 examples for each class, with the 50th class shown above.**

Figure 2 shows 13 examples for each class, with the 50th class shown above.

Once the database has been loaded into MATLAB, the first part of the analysis processed the database into a meaningful set of weights in the eigenface basis using the PCA process described in §III. By first performing an SVD, the eigenvectors of the covariance matrix were determined and then used to project each of the images into the “face space”. Once the images were projected into the new, more informative basis, the user-chosen number of basis vectors were actually used for the classification (this is actually the PCA part of this algorithm). The weights (or coordinates) of each image in the new basis, with the number of basis elements determined by the PCA, are called *feature vectors* in the new space. These feature vectors represent how much of each eigenface basis element each image is made of, and represent the “features” of each image in the new basis.<sup>7</sup> The principle eigenvectors in this new face space represent the new basis elements, and thus each image can be made of a linear combination of these new basis elements, called “eigenfaces”, in the new basis (shown in Figure 3).

One key thing to note here is that the face chosen by the user for identification is not included in the training data in any way so that the classification made by the SVM of the chosen face is made without any class bias. This means that the eigenface basis is

calculated without the chosen image, and when the chosen image is projected into the eigenface basis and when training data sets are chosen, it is as if the program had never seen it before, more closely replicating real-world classification applications. This means that our program could be given *any* image of a class contained in the database, and the program would be able to accurately classify it.

Once the database is imported, and the images are projected with a limited number of eigenface basis elements into the new basis, the SVM can begin to classify the data. In our algorithm, the SVM was trained with two classes worth of feature vectors calculated from the database (in our case it would use 12 images from each class), each feature vector containing the chosen number of principle eigenface basis elements. An SVM model is trained by giving it as inputs this training data as well as the class label for each image. In our case, the first class we fed the SVM was always labeled as a positive class, for reasons we will now expand on. As an SVM is a binary classification algorithm, classifying a potential of 68 different classes is obviously not possible with a standard SVM algorithm.<sup>3</sup>

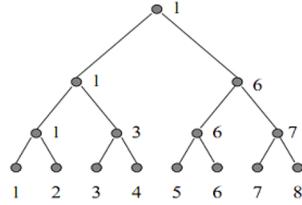
For this reason, a multi-class SVM algorithm was used in our application in order to determine which class the chosen face best matched. The multi-class SVM algorithm is essentially a winner-take-all binary tree competition type algorithm. This means that each time the SVM was trained on two classes, it would determine whether the first class it was given had a higher probability than the second class it was given of being the correct class. If the first class had the higher probability of being the correct class as chosen by the SVM, it would move on to be classified against another class. Likewise, if the first class had a lower probability of being the correct class, the second class would move on to be classified against another class. This process repeats itself until the SVM classifies the two classes out of the 68 with the highest probability of being the chosen image and finally, chooses an overall class with the highest probability of being the chosen class. This process is shown visually in Figure 4.

For both `MainMultiClassSVM.m` and `classifyNoOverlap.m`, this winner-take-all algorithm is employed, just with slightly different motivations. In the `classifyNoOverlap.m` program, the code directly computes which class from the database most accurately matches input image, and then displays all of the examples of the class in the database. However, for `MainMultiClassSVM.m`, the code actually computes regions in the eigenface basis that are most likely to be the inputted classes. By employing a multi-class SVM, and creating a mesh of test data points over the entire the eigenface basis space, the SVM can classify at each point in space which class the SVM would classify a new image as if it were to be projected onto that exact point in the new basis. This again is done by comparing each of the SVM models to one another at each point in the space and determining which class has the highest probability for classification for each point in the mesh.

#### IV.B. Results

Figures 5 - 8 were obtained by running `classifyNoOverlap.m` on the given Yale database, with different classes chosen to be classified. In general, as long as the SVM could classify the face at all using the maximal number of feature vectors (748 for our data), the accuracy of the classification could be preserved using only 15 feature vectors, as shown in the classification examples shown in Figures 5 - 7. As long as at least 15 feature vectors were used, the SVM only misclassified a minimal number of the input images. The 15 feature vector number was determined through empirical testing and was used as a heuristic throughout the remainder of the testing. The SVM used for classification utilized a RBF Kernel with  $\gamma = 1/\text{numFeatureVecs}$  for classification, due to the highly non-linear nature of the facial feature data.

However, there were some images in the database that our SVM method simply could not classify, regardless of the number of feature vectors used (i.e. even using every feature vector, the SVM still could not accurately distinguish some classes). One example is any member of class 37, shown in Figure 8 with all 748 feature vectors used for classification. Even with all feature vectors used, the SVM returned an incorrect classification of image 377, classifying the input image (which was originally a member of class 29)



**The binary tree structure for 8 classes**  
face recognition. For a coming test face, it is compared with each two pairs, and the winner will be tested in an upper level until the top of the tree. The numbers 1-8 encode the classes. By bottom-up comparison of each pair, the unique class number will finally appear on the top of the tree.

Figure 4: Details of the winner-take-all algorithm employed in a multi-class SVM.<sup>3</sup>

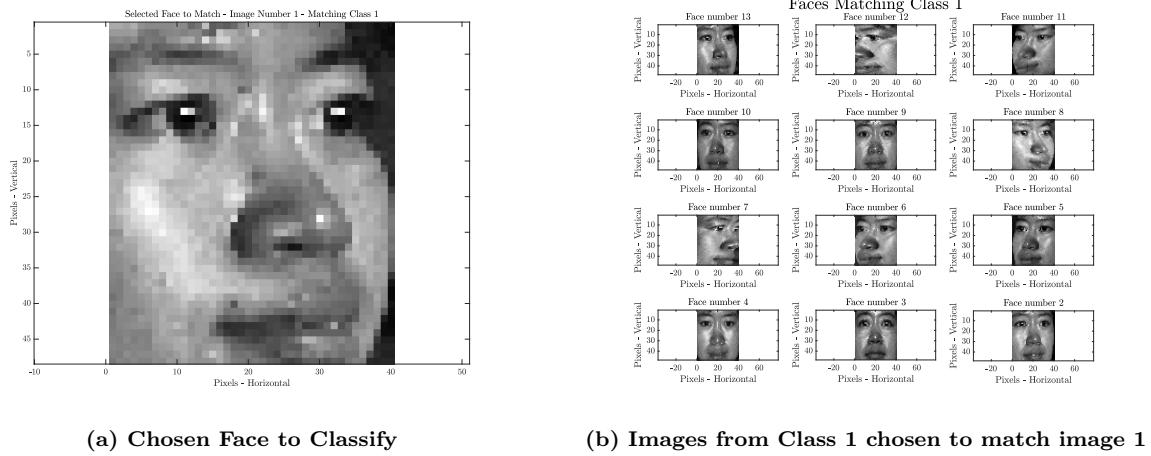
Figure 4 illustrates the details of the winner-take-all algorithm employed in a multi-class SVM. The diagram shows a binary tree structure for 8 classes. The root node is labeled '1'. It branches into two nodes, both labeled '1'. The left '1' branches into nodes '1' and '2'. The right '1' branches into nodes '3' and '4'. The node '1' (leftmost) branches into nodes '5' and '6'. The node '6' branches into nodes '7' and '8'. Below the tree, the numbers 1 through 8 are listed horizontally, corresponding to the leaf nodes of the tree.

For both `MainMultiClassSVM.m` and `classifyNoOverlap.m`, this winner-take-all algorithm is employed, just with slightly different motivations. In the `classifyNoOverlap.m` program, the code directly computes which class from the database most accurately matches input image, and then displays all of the examples of the class in the database. However, for `MainMultiClassSVM.m`, the code actually computes regions in the eigenface basis that are most likely to be the inputted classes. By employing a multi-class SVM, and creating a mesh of test data points over the entire the eigenface basis space, the SVM can classify at each point in space which class the SVM would classify a new image as if it were to be projected onto that exact point in the new basis. This again is done by comparing each of the SVM models to one another at each point in the space and determining which class has the highest probability for classification for each point in the mesh.

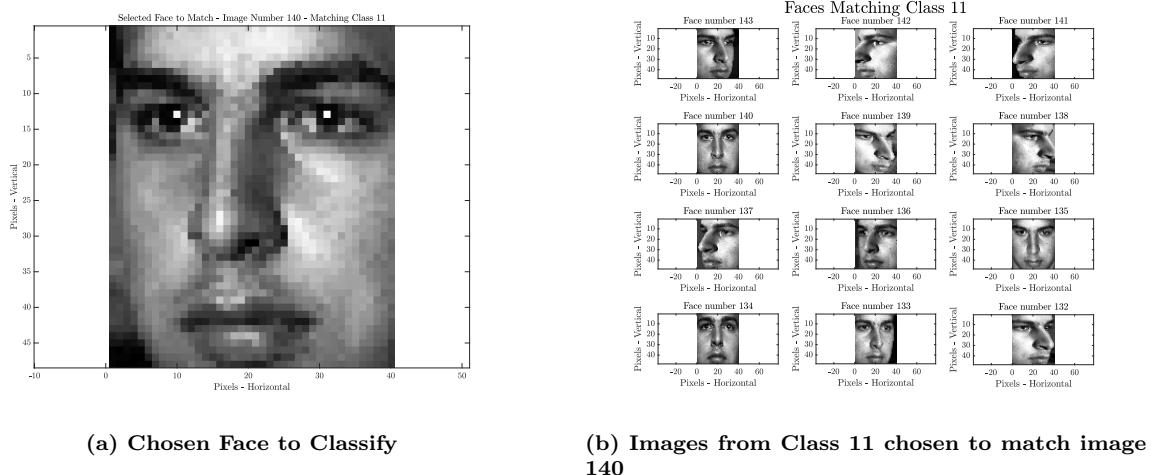
Figures 5 - 8 were obtained by running `classifyNoOverlap.m` on the given Yale database, with different classes chosen to be classified. In general, as long as the SVM could classify the face at all using the maximal number of feature vectors (748 for our data), the accuracy of the classification could be preserved using only 15 feature vectors, as shown in the classification examples shown in Figures 5 - 7. As long as at least 15 feature vectors were used, the SVM only misclassified a minimal number of the input images. The 15 feature vector number was determined through empirical testing and was used as a heuristic throughout the remainder of the testing. The SVM used for classification utilized a RBF Kernel with  $\gamma = 1/\text{numFeatureVecs}$  for classification, due to the highly non-linear nature of the facial feature data.

However, there were some images in the database that our SVM method simply could not classify, regardless of the number of feature vectors used (i.e. even using every feature vector, the SVM still could not accurately distinguish some classes). One example is any member of class 37, shown in Figure 8 with all 748 feature vectors used for classification. Even with all feature vectors used, the SVM returned an incorrect classification of image 377, classifying the input image (which was originally a member of class 29)

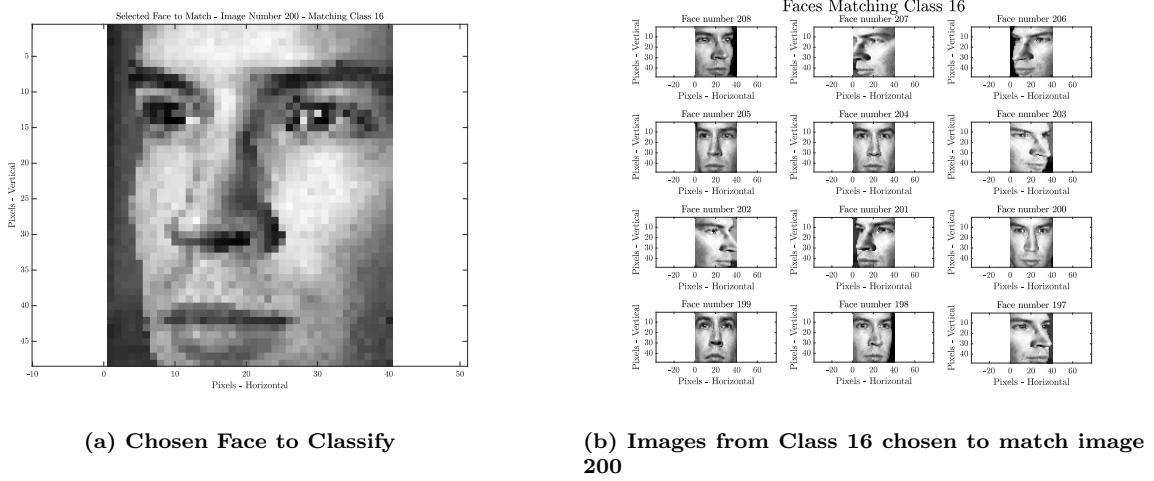
as a member of class 37. No matter how many feature vectors were chosen, the classifier could not make the distinction between these two classes. Some further kernel parameter tuning may have helped with this task, but regardless the similarity between class 29 and class 37 remains a challenging problem.



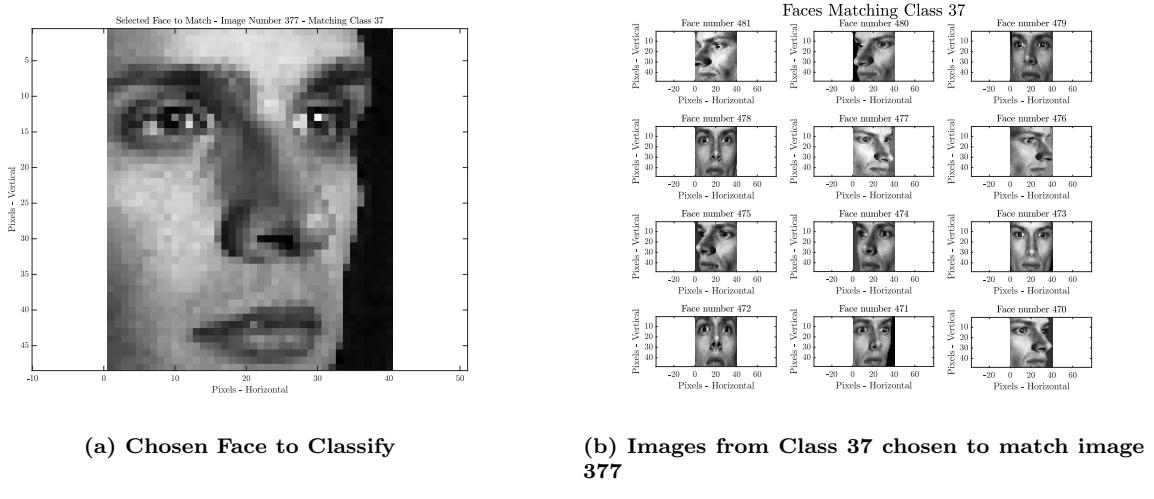
**Figure 5: Image # 1 Correctly Classified as Member of Class 1**



**Figure 6: Image # 140 Correctly Classified as Member of Class 11**



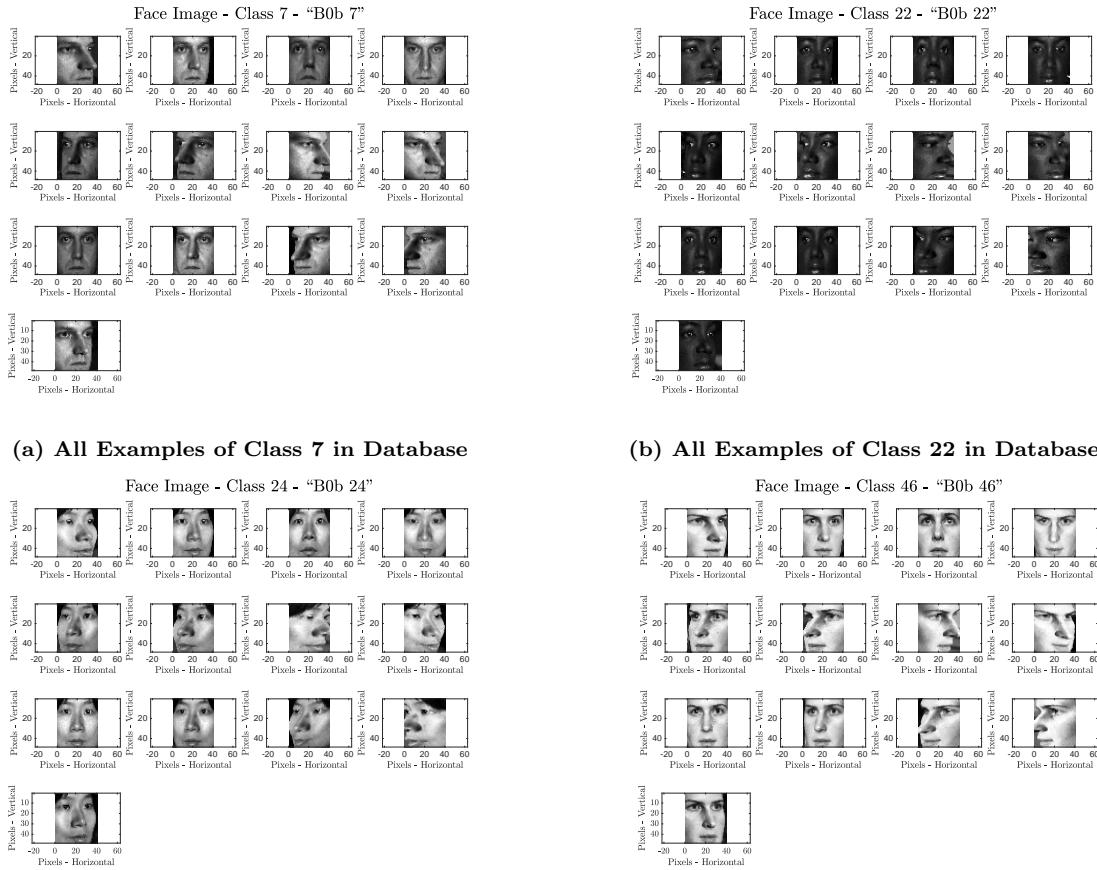
**Figure 7: Image # 200 Correctly Classified as Member of Class 16**



**Figure 8: Image # 377 Incorrectly Classified as Member of Class 37**

Figures 9 - 10 were obtained by running `MainMultiClassSVM.m` on the given Yale database, asking the program to classify regions in the eigenface space for 4 classes: 7, 22, 24, and 46. The faces used in this classification example are shown in Figures 9a - 9d. For this example, only two feature vectors were used, such that the margin and non-linear hyperplane separating the classes of data could easily be visualized in 2-D. For practical classification purposes, more than two eigenface basis elements would be used to significantly increase the accuracy of the SVM.

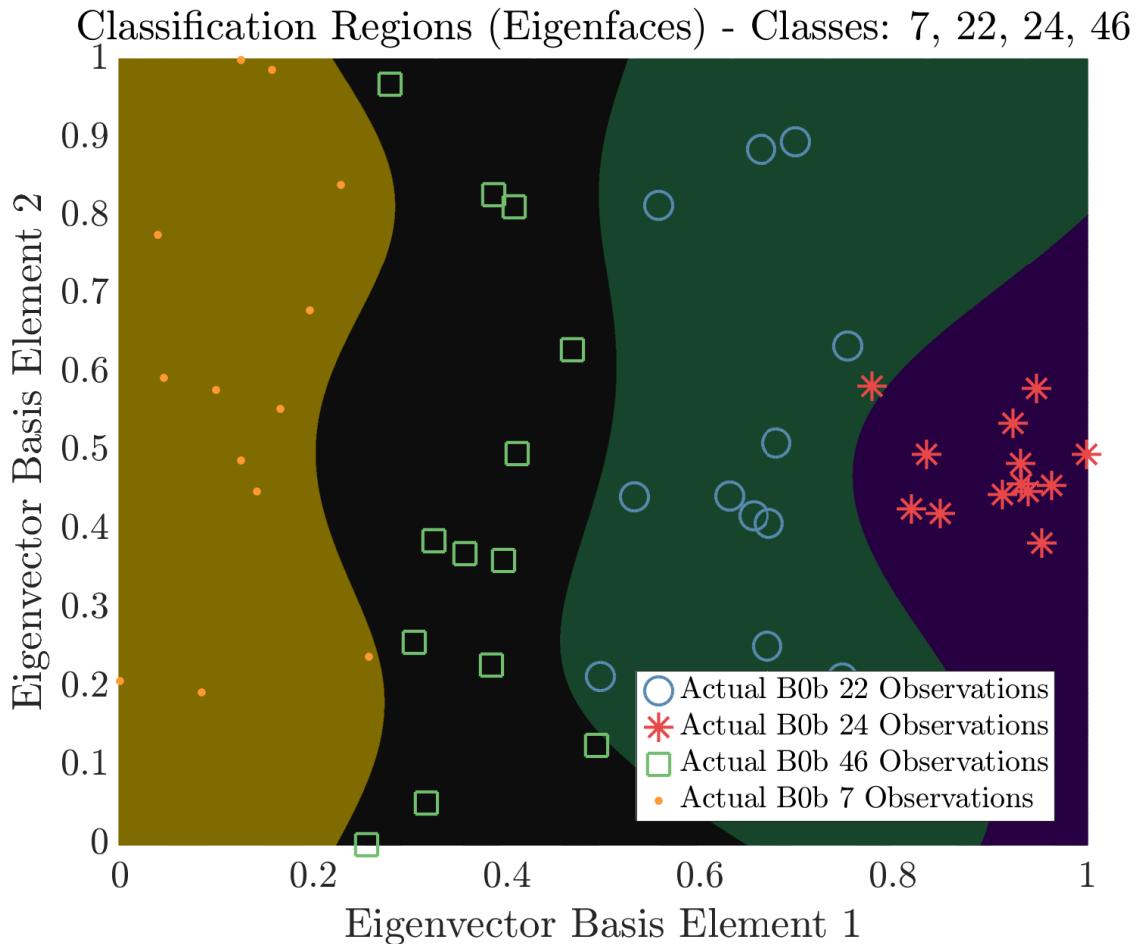
The final results of this simulation are shown in Figure 10, where the distinct classification regions calculated by a multi-class SVM algorithm (as described in Figure 4) are shown to be bounded by non-linear decision boundaries. The infinite dimensional RBF (Gaussian) kernel utilized allows for the use of a non-linear decision boundary, which allows for a much larger margin in lower dimensional space. The tuning parameters of the SVM are automatically optimized through cross-validation and objective function minimization routines built into the `fitcsvm.m` library of functions in MATLAB. Figure 11 shows the power of more advanced change of basis techniques, like the Fischerfaces algorithm demonstrated in the figure.<sup>2</sup> Compared to Figure 10, the scatter in Figure 11 between different images is much greater, and the scatter between images of the same class is greatly reduced. This means that each region of the eigenvector basis is much more specifically mapped to a class when using the fischerfaces algorithm than when using the rudimentary eigenface algorithm.



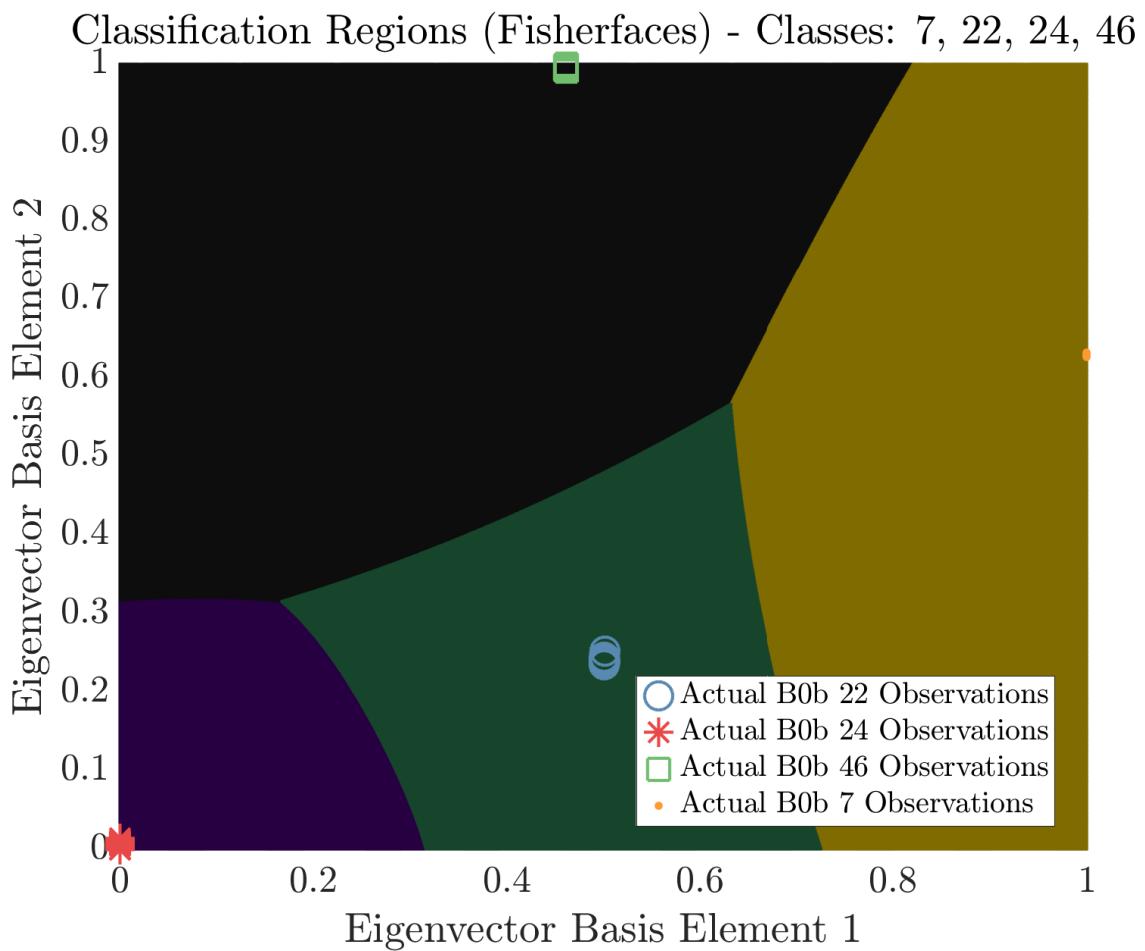
(c) All Examples of Class 24 in Database

(d) All Examples of Class 46 in Database

Figure 9: The four classes used to develop regional classifications in MainMultiClassSVM.m



**Figure 10:** Plot showing the classification regions for each of the four classes. Each region represents how the SVM would classify a new image projected into the two-dimensional eigenface basis. A new image landing anywhere in the region associated with each class would be classified as a member of the owning class for that region. For example, if a new image were to be projected into the eigenface basis and have coordinates of (0.4, 0.5), the plot above shows that the SVM would classify this new image as a member of the “B0b 46” class (class 46 in the database).



**Figure 11:** Plot showing the classification regions for each of the four classes using the Fisherfaces algorithm. Compared to the Eigenfaces change of basis technique, one can see that the inter-class scatter has been drastically reduced while the intra-class scatter has been greatly increased. This much more advanced change of basis algorithm makes classification obviously much easier.

## V. Discussion and Conclusions

We found that the eigenface algorithm that is used for facial recognition ended up defining and creating a feature space with feature vectors representing the distinctive traits of each face built by the corresponding eigenvectors, which allows for each image to be redefined with a lower dimension coordinate vector. However, we also found that support vector machines can do this feature space and feature vector math implicitly using the kernel trick, as long as the data inputted into the SVM is written as distinctive features in any space, the SVM would be able to do the equivalent of finding the coordinates of the support vectors and the optimal hyperplane with corresponding decision boundary without having to actually compute them. This would allow the SVM to classify images accurately without necessarily converting to a feature space first. We also found when using the eigenface basis as our feature space and the weighted coefficient vector as the feature vector, we could use the first 15 eigenfaces out of the total 748 eigenfaces available and still have the SVM accurately calculate the class of the image. However, we did find limitations in the eigenface + SVM algorithm; the SVM would sometimes mis-classify faces that we ourselves could not tell if the face was the same face as the class. For this reason, should we continue our learning in this topic, we would investigate more into the fisherfaces change of basis algorithm that we did implement, or maybe try implementing the one vs all algorithm rather than the one vs one algorithm used for multi-class SVM classification.

## References

- <sup>1</sup>Trivedi, S. (2013, March 19). Face Recognition using Eigenfaces and Distance Classifiers: A Tutorial. Retrieved April 27, 2017, from <http://onionessquareality.wordpress.com/2009/02/11/face-recognition-using-eigenfaces-and-distance-classifiers-a-tutorial/>
- <sup>2</sup>Belhumeur, P. N., Hespanha, J. P., & Kriegman, D. J. (1996). Eigenfaces vs Fisherfaces: Recognition Using Class Specific Linear Projection. Retrieved April 27, 2017, from <http://www.face-rec.org/algorithms/LDA/belhumeur96eigenfaces.pdf>
- <sup>3</sup>Guo, G., Li, S. Z., & Chan, K. L. (n.d.). Face Recognition by Support Vector Machines. Retrieved April 27, 2017, from <https://www.semanticscholar.org/paper/Face-Recognition-by-Support-Vector-Machines-Guo-Li/4ac61814d0f624ebda190b240ede72f0b156ff22>
- <sup>4</sup>Trivedi, S. (2009, April 17). Face Recognition/Authentication Using Support Vector Machines. Retrieved April 27, 2017, from <https://onionessquareality.wordpress.com/2009/04/17/face-recognitionauthentication-using-support-vector-machines/>
- <sup>5</sup>The Normalized Yale Face Database. (2000, October 4). Retrieved April 28, 2017, from <http://vismod.media.mit.edu/vismod/classes/mas622-00/datasets/>
- <sup>6</sup>Ben-Hur, A., & Weston, J. (2010). A Users Guide to Support Vector Machines. Retrieved April 27, 2017, from <http://www.cs.colostate.edu/asa/pdfs/howto.pdf/>
- <sup>7</sup>Pentland, A., & Turk, M. (1991). Eigenfaces for Recognition. Retrieved April 27, 2017, from
- <sup>8</sup>Gibiansky, A. (2013, May 29). Cool Linear Algebra: Singular Value Decomposition. Retrieved May 2, 2017, from <http://andrew.gibiansky.com/blog/mathematics/cool-linear-algebra-singular-value-decomposition/>

## Acknowledgments

We would like to thank Dr. Lyles for all of her help explaining the foundational material for this project. Without her insight at the beginning of this project, it would never have been successful.

## Appendix A: MATLAB Code

`classifyNoOverlap.m` - Select Image for Classification, Finds All Matching Images of Same Class, Plots and Saves Plots

```
1 %% This script is designed to classify images using the eigenfaces
2 %% algorithm to compute a new facial recognition basis for all incoming
3 %% images. An image that needs to be classified is projected into this new
4 %% basis, with a configurable number of basis vectors, and then classified
5 %% with an SVM. The SVM here is bases on the LIBSVM library available at
6 %% https://www.csie.ntu.edu.tw/~cjlin/libsvm/
7 %%
8 %%
9 %% Requires that the included data file 'yaleData.mat' in the following
10 %% relative directory from the working directory of this code:
11 %% '../Data/yaleData.mat'
12 %%
13 %%
14 %% This code is based off of some starter code written by Jaime I.
15 %% Cervantes at https://github.com/JaimeIvanCervantes/FaceRecognition
16 %%
17 %%
18 %% Author: Nicholas Renninger
19 %% Last Updated: May 4, 2017
20 %% Date Created: April 17, 2017
21
22
23 % Housekeeping
24 close all
25 clear
26 clc
27
28 %% Setup and User Input
29 MARKERSIZE = 9;
30 MAX_MULTICLASS_COMPARE_ITER = 1000;
31 FONTSIZE = 24;
32 set(0, 'defaulttextinterpreter', 'latex');
33 saveLocation = '../.../.../Figures/Face_Matching/';
34 shouldSaveFigures = true;
35
36 % select how many principle eigenvectors of the eigenface basis to use for
37 % classification
38 NUM_EIG_FEATURES = 15;
39
40
41 disp('Loading database please wait... ')
42
43 % loading in data set
44 load '../Data/yaleData.mat'
45 faceData = yaleData;
46
47 disp('Database active.')
48
49 % number of classes and number of images per
50 numClasses = 68;
51 numImagesPerClass = 13;
```

```

52
53 % get user input
54 faceToMatch = input(['Enter the face # in the database you want to find', ...
55 ' matches for: ']);
56
57
58
59 %% Eigenfaces Algorithm (SVD -> PCA)
60
61 % Read images in T matrix
62 [nRow, nCol, totalNumImages] = size(faceData);
63
64 % T is a matrix containing the reshaped vectors for each image
65 faceMatrix = reshape(faceData, [nRow*nCol, totalNumImages]);
66
67 % remove selected face from the training data to make sure the SVM is not
68 % classifying an exact match of the thing its trying to classify...
69 % confusing huh. Also remove one other image from each other class
70 % corresponding to the selected image's class index. i.e. if you selected
71 % the 15th image, delete every 2nd image from each class in the image
72 % database
73 indicesToDelete = mod(faceToMatch, numImagesPerClass):numImagesPerClass: ...
74 numClasses * numImagesPerClass;
75 indicesToDelete = indicesToDelete( indicesToDelete > 0 );
76 % A(:, indicesToDelete) = [];
77 faceMatrix(:, indicesToDelete) = [];
78
79 % update how many images there are, just deleted one image from each class
80 totalNumImages = totalNumImages - numClasses;
81 numImagesPerClass = numImagesPerClass - 1;
82
83 % phi is the mean of the entire set of training images
84 phi = mean(faceMatrix, 2);
85
86 % make a matrix with M columns, with each column being phi to subtract off
87 % the average features of each
88 psi = repmat(phi, 1, totalNumImages);
89
90 % subtract mean to get a matrix of the distinguishing features (each row)
91 % of each face (each face is a col vec of A)
92 A = faceMatrix - psi;
93
94 % Reshape the selected face
95 selectedFace = reshape(faceData(:, :, faceToMatch), [nRow*nCol 1]);
96 distinguishingFeatures = selectedFace - phi;
97
98
99 % calculate the SVD matrix C = A'*A, which is the transpose of the
100 % covariance. Use A' * A to save a ton of computation time, as the
101 % eigenvectors of A' * A are the same as the much larger matrix A * A'
102 C = A'*A;
103
104 % Obtaining eigenvalues and eigenvectors of C = A'*A
105 [eigVecs, eigValMat] = eig(C);
106

```

```

107 % Obtaining more relevant eigenvalues and eigenvectors
108 eigVals = diag(eigValMat);
109
110 principle_evals = [];
111 principle_evecs = [];
112
113 % perform PCA by ordering the eig vals and vecs by their importance
114 for i = totalNumImages:-1:numClasses + 1
115     principle_evals = [principle_evals , eigVals(i)];
116     principle_evecs = [principle_evecs , eigVecs(:,i)];
117 end
118
119 % Obtaining the eigenvectors
120 U = A * principle_evecs;
121
122 % Obtaining PCA weights , multiply each eigenvector of U: u_i by the vector
123 % containing the distinguishing features of each input image: phi_i
124 Wpca = U' * A;
125
126
127 %% Classification with SVM
128
129 % Obtain the weights of the normalized selected face
130 selectedFaceWeights = U' * distinguishingFeatures;
131
132 % Setting the SVM parameters. Uses an rbf kernel
133 K = 1e9;
134 gamma = 1/NUM_EIG_FEATURES;
135 inputParams = [ '-t ' int2str(2) ' -c ' int2str(K) ' -b ' int2str(1) ...
136                 ' -g ' int2str(gamma) ];
137
138 % prevArray starts with an array containing each class. Winner array is the
139 % classes that are selected in the binary tree
140 prevArr = 1:numClasses;
141 winnerArr = [];
142
143 % This section of the code computes a binary SVM tree , by solving a 2 class
144 % problem with SVM for every 2 classes (1 and 2, 3 and 4, 5 and 6 etc). The
145 % that you selected is classified according to each 2-class problem and the
146 % class selected goes on to compete with the other classes selected.
147
148 currIter = 1;
149 CLASS = NaN;
150
151 while currIter < MAX_MULTICLASS_COMPARE_ITER
152
153     winnerArr = [];
154
155     for winRep = 1:2:length(prevArr)
156
157         % Selects the two classes to train the SVM
158         if winRep >= length(prevArr)
159             i = prevArr(winRep) ;
160             j = prevArr(winRep - 1) ;
161         else

```

```

162     i = prevArr(winRep) ;
163     j = prevArr(winRep + 1) ;
164 end
165
166 % Selects the features of the 2 classes
167 feature = [Wpca(1:NUM_EIG_FEATURES, ...
168             numImagesPerClass*i-(numImagesPerClass-1): ...
169             numImagesPerClass*i), ...
170             Wpca(1:NUM_EIG_FEATURES, ...
171             numImagesPerClass*j-(numImagesPerClass-1): ...
172             numImagesPerClass*j)]';
173
174 [numObservations, numFeatures] = size(feature);
175
176
177 % scale each feature vector to a value between 0 and 1
178 for k = 1:numObservations
179     features_normed(k, :) = (feature(k, :) - min(feature(k, :))) / ...
180                             (max(feature(k, :)) - min(feature(k, :)))
181 end
182
183
184 % Assigns the labels for each class
185 for m1 = 1:numImagesPerClass
186     label(m1) = 1;
187 end
188
189 for n1 = numImagesPerClass+1:2*numImagesPerClass
190     label(n1) = -1;
191 end
192
193
194 % The SVM is trained
195 model = svmtrain(label', features_normed, inputParams);
196
197 % The face that the user selected is classified to any of the two
198 % classes
199 guessLab(1) = 1;
200 featuresToLookFor = selectedFaceWeights(1:NUM_EIG_FEATURES)';
201
202 % scale each feature vector to a value between 0 and 1
203 featuresToLookFor = (featuresToLookFor - min(featuresToLookFor)) / ...
204                         ((max(featuresToLookFor)) - min(featuresToLookFor));
205
206 predLabel = svmpredict(guessLab', featuresToLookFor, model);
207
208 % plot SVs
209 %{
210 figure
211 hold on
212 for i = 1:2
213     feature(1:13, i) = (feature(1:13, i)) / ...
214                     max(feature(1:13, i));

```

```

215
216     feature(13:26, i) = (feature(13:26, i)) / ...
217     ( max(feature(13:26, i)));
218
219 end
220
221 plot(feature(1:13, 1), feature(1:13, 2), 'bo', 'markersize',
222      MARKERSIZE)
223 plot(feature(13:26, 1), feature(13:26, 2), 'rx', 'markersize',
224      MARKERSIZE)
225 plot(model.SVs(:, 1), model.SVs(:, 2), 'kp', 'markersize', MARKERSIZE)
226 title(sprintf('Class 1: %d, Class 2: %d', i, j))
227 xlim([-6, 6])
228 ylim([-4, 4])
229 hold off
230
231
232
233
234
235
236
237
238
239
240
241
242
243
244
245
246
247
248
249
250
251
252
253
254
255
256
257
258
259
260
261
262
263
264
265
266
267

```

% A winner class is selected

```

if predLabel == 1
    winnerArr = [winnerArr i];
elseif predLabel == -1
    winnerArr = [winnerArr j];
end

if winnerArr > 1
    for c1 = 2:length(winnerArr)
        if winnerArr(c1) == winnerArr(c1 - 1)
            winnerArr(c1) = [];
        end
    end
end

```

prevArr = winnerArr;

```

% if the multi class algorithm has selected only one winner return it
if length(winnerArr) < 2
    % This is the class that was selected
    CLASS = winnerArr;
    break
end

currIter = currIter + 1;

```

end

```

% check if the multi-class algorithm ever actually selected a face
if isnan(CLASS)
    error('The SVM never returned an absolute classification.')
end

disp('The class that matches your face is:')
disp(CLASS)

```

%% Plot the selected face

```

268 titleString = sprintf('Selected Face to Match - Image Number %d - Matching
269 Class %d', ...
270 faceToMatch, CLASS);
271 saveTitle = cat(2, saveLocation, sprintf('%s.pdf', titleString));
272
273 figure('name', titleString)
274
275 imagesc(reshape(selectedFace, nRow, nCol));
276 colormap gray;
277 title(titleString)
278 xlabel('Pixels - Horizontal')
279 ylabel('Pixels - Vertical')
280 set(gca, 'FontSize', round(FONTSIZE * 0.5))
281 set(gca, 'defaulttextinterpreter', 'latex')
282 set(gca, 'TickLabelInterpreter', 'latex')
283 axis equal
284
285 % setup and save figure as .pdf
286 saveMeSomeFigs(shouldSaveFigures, saveTitle)
287
288 %% setup plot saving
289 titleString = sprintf('Faces Matching Class %d', CLASS);
290 saveTitle = cat(2, saveLocation, sprintf('%s.pdf', titleString));
291
292 hFig = figure('name', titleString);
293 scrz = get(groot, 'ScreenSize');
294 set(hFig, 'Position', scrz)
295
296 numImgPRow = ceil(sqrt(numImagesPerClass));
297 if numImgPRow^2 == numImagesPerClass
298     subPlotX = numImgPRow;
299     subPlotY = numImgPRow;
300 elseif numImgPRow * (numImgPRow - 1) == numImagesPerClass
301     subPlotX = numImgPRow;
302     subPlotY = numImgPRow - 1;
303 end
304
305 for i = 1:numImagesPerClass
306
307     subTitStr = sprintf('Face number %d', ...
308                         winnerArr*(numImagesPerClass + 1) - i + 1);
309
310
311     subplot(subPlotX, subPlotY, i)
312     imagesc(reshape(faceMatrix(:, ...
313                     winnerArr*numImagesPerClass - i + 1), ...
314                     nRow, nCol));
315     colormap gray;
316
317     title(subTitStr)
318     xlabel('Pixels - Horizontal')
319     ylabel('Pixels - Vertical')
320     axis equal
321     set(gca, 'FontSize', round(FONTSIZE * 0.5))

```

```
322     set(gca, 'defaulttextinterpreter', 'latex')
323     set(gca, 'TickLabelInterpreter', 'latex')
324
325 end
326
327 h = gca;
328 leg = h.Legend;
329 titleStruct = h.Title;
330 set(titleStruct, 'FontWeight', 'bold')
331 set(leg, 'FontSize', round(FONTSIZE * 0.8))
332 mtit(titleString, 'Fontsize', FONTSIZE, 'FontWeight', 'bold');
333
334 % setup and save figure as .pdf
335 saveMeSomeFigs(shouldSaveFigures, saveTitle)
```

**mainMultiClassSVM.m - Runs Regional Classification Routines, Plots, and Saves Plots**

```
1 %>>> %% Find Multiple Class Boundaries Using Binary SVM
2 % AUTHOR: Nick Renninger, Richard Moon
3 % LAST UPDATED: April 28, 2017
4 % DESCRIPTION: This code implements PCA (eigenfaces), Fisherfaces (linear
5 % discriminant analysis) and an SVM to classify regions of the face space
6 % as characteristic of certain classes.
7
8
9 % Housekeeping
10 close all
11 clear
12 clc
13
14 %% Setup
15
16 %% Plot Setup %%
17 set(0, 'defaulttextinterpreter', 'latex');
18 saveLocation = '../.../Figures/';
19 LINEWIDTH = 2;
20 MARKERSIZE = 18;
21 FONTSIZE = 24;
22 colorVecsOrig = [0.294118 0 0.509804; % indigo
23 0.1 0.1 0.1; % orange red
24 1 0.843137 0; % gold
25 0.180392 0.545098 0.341176; % sea green
26 0.662745 0.662745 0.662745]; % dark grey
27
28 % de-saturate background colors. saturation must be from 0–1
29 saturation = 0.5;
30 colorVecs = colorVecsOrig * saturation;
31
32
33 markers = {'o', '*' , 's' , '.' , 'x' , 'd' , '^' , '+' , 'v' , '>' , '<' , 'p' , 'h'};
34
35 shouldSaveFigures = true;
36
37 % Choose simple, pose variation, or illumination variation dataset
38 numClasses = input(['Please select the number of classes to compare ', ...
39 '(recommended is 4 classes: 7, 22, 24, 46): \n']);
40
41 for i = 1:numClasses
42
43     % enter the class number (ranges from 1–68 for pose.mat)
44     whichClasses(i) = input('Input one class you want to compare: \n');
45
46 end
47
48 whichClasses = sort(whichClasses);
49 dataset = 2; % automatically choose pose.mat data set
50
51 if dataset == 1
52
53     load '../Data/simple.mat'
54     %Define variables
```

```

55     numClasses = 200;% Number of classes
56     numImagesPerClass = 3;% Number of images per class
57
58 elseif dataset == 2
59
60     load '../Data/yaleData.mat'
61     face = yaleData;
62     numImagesPerClass = 13;% Number of images per class
63 else
64     disp('Input not valid');
65 end
66
67 testNum = input(['Please select whether to use Fischer Faces:', ...
68                 '\n (1) Use Eigenfaces only', ...
69                 '\n (2) Use Fischerfaces and Eigenfaces (more accurate)\n']);
70
71 %%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
72 % Principal Component Analysis (PCA)
73 %%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
74
75 disp('Loading please wait... ')
76
77 % Read images in T matrix
78 [nRow, nCol, maxNumImgPerClass, maxNumClasses] = size(face);
79
80 maxNumImages = maxNumImgPerClass * maxNumClasses;
81
82 totalNumImages = numClasses * numImagesPerClass;
83
84 % T is a matrix containing the reshaped vectors for each image
85 faceMatrix = reshape(face, [nRow*nCol, maxNumImages]);
86
87 % phi is the mean of the entire set of training images
88 phi = mean(faceMatrix, 2);
89
90 % make a matrix with maxNumImages columns, with each column being phi to
91 % subtract off the average features of each
92 psi = repmat(phi, 1, maxNumImages);
93
94 % subtract mean
95 A = faceMatrix - psi;
96
97 % calculate the SVD matrix C = A'*A
98 C = A'*A;
99
100 % Obtaining eigenvalues and eigenvectors of C = A'A
101 [V, D] = eig(C);
102
103 % Obtaining more relevant eigenvalues and eigenvectors
104 eval = diag(D);
105
106 % initialize
107 p_eval = [];
108 p_evec = [];
109

```

```

110 % sorting eigenvalues from largest to smallest. Takes away zero eigenvalues
111 for i = maxNumImages:-1:maxNumClasses+1
112     p_eval = [ p_eval , eval(i) ];
113     p_evec = [ p_evec , V(:,i) ];
114 end
115
116 % Obtaining the eigenvectors of A*A'
117 U = A * p_evec;
118
119 % Obtaining PCA weights, multiply each eigenvector of U: u_i by the vector
120 % containing the distinguishing features of each input image: phi_i
121 Wpca = U' * A;
122
123
124
125 %%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
126 % Fisher's Linear Discriminant Analysis
127 %%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
128
129 % Obtaining Sb and Sw
130 cMean = zeros(maxNumImages - maxNumClasses , maxNumImages - maxNumClasses);
131 Sb = zeros(maxNumImages - maxNumClasses , maxNumImages - maxNumClasses);
132 Sw = zeros(maxNumImages - maxNumClasses , maxNumImages - maxNumClasses);
133
134 pcaMean = mean(Wpca, 2);
135
136 for i = 1:maxNumClasses
137     cMean = mean(Wpca(:, numImagesPerClass*i - (numImagesPerClass-1) : ...
138                     numImagesPerClass*i), 2);
139     Sb = Sb + (cMean-pcaMean)*(cMean-pcaMean)';
140 end
141
142 Sb = numImagesPerClass*Sb;
143
144 for i = 1:maxNumClasses
145     cMean = mean(Wpca(:, numImagesPerClass*i - (numImagesPerClass-1) : ...
146                     numImagesPerClass*i), 2);
147     for j = numImagesPerClass*i - (numImagesPerClass-1):numImagesPerClass*i
148         Sw = Sw + (Wpca(:,j) - cMean) * (Wpca(:,j) - cMean)';
149     end
150 end
151
152 % Obtaining Fisher eigenvectors and eigenvalues
153 [Vf, Df] = eig(Sb, Sw);
154
155 % Calculating weights
156 Df = fliplr(diag(Df));
157 Vf = fliplr(Vf);
158
159 % Calculating fisher weights
160 Wf = Vf' * Wpca;
161
162 %% Choose to use Fischer Faces or not
163
164 % pick out indicies of chosen class images to compare

```

```

165 imagesPerClassVec = 1:1:13;
166 class_idxs = [];
167
168 % get the indices of the selected classes
169 for i = 1:length(whichClasses)
170     class_idxs = [class_idxs , (whichClasses(i) - 1) * ...
171                   numImagesPerClass + imagesPerClassVec];
172 end
173
174 % select eigen or fischer faces
175 if testNum == 1 % use only eigen faces
176
177     % define the features of the selected classes using 2 eigenvectors (1:2)
178     features = Wpca(1:2, class_idxs)';
179     face_basis = 'Eigenfaces';
180
181 else % or use fischer faces as well
182
183     % define the features of the selected classes using 2 eigenvectors (1:2)
184     features = Wf(1:2, class_idxs)';
185     face_basis = 'Fisherfaces';
186
187 end
188
189 [~, numFeatures] = size(features);
190
191 % scale each feature vector to a value between 0 and 1
192 for i = 1:numFeatures
193     features_normed(:, i) = (features(:, i) - min(features(:, i))) / ...
194                             (max(features(:, i)) - min(features(:, i)));
195 end
196
197
198 disp('Loaded. Extracting Features and Projecting to new basis... ')
199 %% Extracting and Labeling Data
200
201 % extract two principle eigenvalue weight vectors from the PCA weight
202 % matrix to form two columns of data. First column corresponds to the
203 % principle weight of each image in the eigenface space. Second column
204 % corresponds to the 2nd principle weight of each image in the egenface
205 % space.
206
207
208 % initialize
209 classes = cell(1, maxNumClasses);
210 Y = cell(1, maxNumImages);
211
212 % create class names
213 for i = 1:maxNumClasses
214     classes{i} = sprintf('B0b %d', i);
215 end
216
217 % label each image into the class it is
218 for i = 1:numImagesPerClass:maxNumImages
219

```

```

220 % label numImagesPerClass Images as same class , then move on to the
221 % next label
222 classes_idx = (i + numImagesPerClass - 1) / numImagesPerClass;
223 Y(i:i + numImagesPerClass - 1) = classes(classes_idx);
224
225 end
226
227 % only pull out the labels relevant to the selected classes
228 Y = Y(class_idxs)';
229
230 %% Scatter Plot of the data.
231 titleString = sprintf('Scatter Plot - %s - Classes', face_basis);
232 for i = 1:numClasses
233     titleString = sprintf('%s %d', titleString, whichClasses(i));
234 end
235
236 % setup plot saving
237 saveTitle = cat(2, saveLocation, sprintf('%s.pdf', titleString));
238
239
240 hFig = figure('name', titleString);
241 scrz = get(groot, 'ScreenSize');
242 set(hFig, 'Position', scrz)
243
244 g = gscatter(features_normed(:,1), features_normed(:,2), Y, ...
245 linspecer(numClasses));
246
247
248 h = gca;
249 leg = h.Legend;
250 titleStruct = h.Title;
251 set(titleStruct, 'FontWeight', 'bold')
252 set(gca, 'FontSize', FONTSIZE)
253 set(leg, 'FontSize', round(FONTSIZE * 0.7))
254 set(gca, 'defaulttextinterpreter', 'latex')
255 set(gca, 'TickLabelInterpreter', 'latex')
256 for i = 1:numClasses
257     g(i).LineWidth = LINEWIDTH;
258     g(i).MarkerSize = MARKERSIZE;
259     g(i).Marker = markers{i};
260 end
261
262 titleString = sprintf('Scatter Plot (%s) - Classes:', face_basis);
263 for i = 1:numClasses
264     if i == numClasses
265         titleString = sprintf('%s %d', titleString, whichClasses(i));
266     else
267         titleString = sprintf('%s %d, ', titleString, whichClasses(i));
268     end
269 end
270
271 title(titleString);
272 xlabel('Eigenvector Basis Element 1');
273 ylabel('Eigenvector Basis Element 2');
274 set(leg, 'Location', 'best', 'Interpreter', 'latex')

```

```

275 xlim([0, 1])
276 ylim([0, 1])
277 grid on
278
279
280 % setup and save figure as .pdf
281 saveMeSomeFigs(shouldSaveFigures, saveTitle)
282
283 disp('Done. Plotting projected data and beginning classification... ')
284
285
286 %% Build SVM Models
287
288 % For each class:
289 %
290 % # Create a logical vector (|indx|) indicating whether an observation is
291 % a member of the class.
292 % # Train an SVM classifier using the predictor data and |indx|.
293 % # Store the classifier in a cell of a cell array.
294 %
295 % It is good practice to define the class order.
296 SVMModels = cell(numClasses, 1);
297 classes = unique(Y);
298 rng(1); % For reproducibility
299
300 for j = 1:numel(classes)
301     % Create binary classes for each classifier
302     indx = strcmp(Y, classes{j});
303
304     % fit a model to each binary set of data and store the resultant model
305     SVMModels{j} = fitcsvm(features_normed, indx, ...
306                             'ClassNames', [false true], ...
307                             'OptimizeHyperparameters', 'auto',...
308                             'HyperparameterOptimizationOptions', ...
309                             struct('AcquisitionFunctionName', ...
310                                   'expected-improvement-plus'), ...
311                             'Standardize', true, ...
312                             'KernelFunction', 'rbf', ...
313                             'BoxConstraint', 1);
314 end
315
316 %%
317 % |SVMModels| is a numClasses-by-1 cell array, with each cell containing a
318 % |ClassificationSVM| classifier. For each cell, the positive class is
319 % defined by the Y vector.
320 %%
321 % Define a fine grid within the plot, and treat the coordinates as new
322 % observations from the distribution of the training data. Estimate the
323 % score of the new observations using each classifier.
324 mesh_scale_factor = 1e5;
325 mesh_steps = totalNumImages / mesh_scale_factor;
326 [x1Grid, x2Grid] = meshgrid(min(features_normed(:,1)):mesh_steps: ...
327                               max(features_normed(:,1)), ...
328                               min(features_normed(:,2)):mesh_steps: ...
329                               max(features_normed(:,2)));

```

```

330 xGrid = [x1Grid(:), x2Grid(:)];
331 N = size(xGrid, 1);
332 Scores = zeros(N, numel(classes));
333
334 for j = 1:numel(classes)
335     [~, score] = predict(SVMModels{j}, xGrid);
336     % Second column contains positive-class scores
337     Scores(:, j) = score(:, 2);
338 end
339
340 %%
341 % Each row of |Scores| contains numClasses scores. The index of the
342 % element with the largest score is the index of the class to which the new
343 % class observation most likely belongs.
344 %%
345
346 % Associate each new observation with the classifier that gives it the
347 % maximum score.
348 [~, maxScore] = max(Scores, [], 2);
349
350 %%
351 % Color in the regions of the plot based on which class the
352 % corresponding new observation belongs.
353
354 titleString = sprintf('Classification Regions - %s - Classes', face_basis);
355 for i = 1:numClasses
356     titleString = sprintf('%s %d', titleString, whichClasses(i));
357 end
358
359 % setup plot saving
360 saveTitle = cat(2, saveLocation, sprintf('%s.pdf', titleString));
361
362
363 hFig = figure('name', titleString);
364 scrz = get(groot, 'ScreenSize');
365 set(hFig, 'Position', scrz)
366
367 g(1:numClasses) = gscatter(xGrid(:,1), xGrid(:,2), maxScore, ...
368                             colorVecs(1:numClasses, :));
369 hold on
370 g(numClasses+1:2*numClasses) = gscatter(features_normed(:,1), ...
371                                         features_normed(:,2), ...
372                                         Y, linspecer(numClasses));
373 h = gca;
374 leg = h.Legend;
375 titleStruct = h.Title;
376 set(titleStruct, 'FontWeight', 'bold')
377 set(gca, 'FontSize', FONTSIZE)
378 set(leg, 'FontSize', round(FONTSIZE * 0.8))
379 set(gca, 'defaulttextinterpreter', 'latex')
380 set(gca, 'TickLabelInterpreter', 'latex')
381 for i = numClasses+1:2*numClasses
382     g(i).LineWidth = LINEWIDTH;
383     g(i).MarkerSize = MARKERSIZE;
384     g(i).Marker = markers{i - numClasses};

```

```

385 end
386
387 titleString = sprintf( 'Classification Regions (%s) - Classes:' , face_basis );
388 for i = 1:numClasses
389     if i == numClasses
390         titleString = sprintf( '%s %d' , titleString , whichClasses(i));
391     else
392         titleString = sprintf( '%s %d,' , titleString , whichClasses(i));
393     end
394 end
395
396 title(titleString);
397 xlabel('Eigenvector Basis Element 1');
398 ylabel('Eigenvector Basis Element 2');
399 set(leg, 'Location', 'best', 'Interpreter', 'latex')
400 grid on
401 xlim([0, 1])
402 ylim([0, 1])
403 hold off
404
405 for i = 1:numClasses
406 %     leg_string{i} = sprintf('%s Region', classes{i});
407     leg_string{i} = sprintf('Actual %s Observations', ...
408                             classes{i});
409 end
410
411 legend(g(numClasses+1:2*numClasses), leg_string, 'Location', 'best', ...
        'interpreter', 'latex');
412
413
414 % setup and save figure as .pdf
415 saveMeSomeFigs(shouldSaveFigures, saveTitle)
416
417 disp('Classification Completed. Plotting Results and Exiting.')
418
419 %% Plot the selected classes
420
421 for i = 1:numClasses
422     titleString = sprintf( 'Face Image - Class %d - B0b %d' , ...
423                           whichClasses(i) , whichClasses(i));
424
425 % setup plot saving
426 saveTitle = cat(2, saveLocation, sprintf( '%s.pdf' , titleString));
427
428 hFig = figure( 'name' , titleString);
429 scrz = get(groot, 'ScreenSize');
430 set(hFig, 'Position', scrz)
431
432 for j = 1:numImagesPerClass
433
434     subplot(round(sqrt(numImagesPerClass)), ...
435             round(sqrt(numImagesPerClass)), j)
436     imagesc(reshape(faceMatrix(:, (whichClasses(i) - 1) *
437                     maxNumImgPerClass + j), ...
438                     nRow, nCol));

```

```

438     colormap gray;
439
440     xlabel('Pixels - Horizontal')
441     ylabel('Pixels - Vertical')
442     axis equal
443     set(gca, 'FontSize', round(FONTSIZE * 0.5))
444
445 end
446 h = gca;
447 leg = h.Legend;
448 titleStruct = h.Title;
449 set(titleStruct, 'FontWeight', 'bold')
450 set(leg, 'FontSize', round(FONTSIZE * 0.8))
451 set(gca, 'defaulttextinterpreter', 'latex')
452 set(gca, 'TickLabelInterpreter', 'latex')
453 titleString = sprintf('Face Image - Class %d - ``B0b %d''', ...
454                         whichClasses(i), whichClasses(i));
455 mtit(titleString, 'Fontsize', FONTSIZE, 'FontWeight', 'bold');
456
457
458
459 % setup and save figure as .pdf
460 saveMeSomeFigs(shouldSaveFigures, saveTitle)
461 end

```

## Helper Functions and Formatting Tools

```
1 function saveMeSomeFigs(shouldSaveFigures , saveTitle)
2
3 %% saveMeSomeFigs(shouldSaveFigures , saveTitle)
4 %
5 % Takes a boolean toggle (shouldSaveFigures) and a string with the
6 % save name (saveTitle), including the path, that you want to save
7 % the current figure as. Example saveTitle looks like the following:
8 %
9 %%     saveTitle = '../Figures/Velocity vs Time.pdf' - this would save
10 %%     a figure named 'Velocity vs Time.pdf' (as a .pdf) to a folder
11 %%     called 'Figures' up one directory from the code's working
12 %%     directory.
13 %
14 %% Uses the gca function to pull the current figure , normalize
15 %% and scale it to the deafult paper size , and save it as a .pdf.
16 %
17 %
18 %% Examples function call:
19 %
20 %% x = linspace(0 , 2*pi , 100);
21 %% y = sin(x);
22 %% plot(x, y)
23 %% title('saveMeSomeFigs Test ')
24 %
25 %% saveTitle = 'saveMeSomeFigs Test Plot.pdf';
26 %% shouldSaveFigures = true;
27 %% saveMeSomeFigs(shouldSaveFigures , saveTitle)
28 %
29 %% Last Modified: 5/3/2017
30 %% Date Created: 2/10/2017
31 %% Author: Nicholas Renninger
32
33 % setup and save figure as .pdf
34 if shouldSaveFigures
35     curr_fig = gcf;
36     set(curr_fig , 'PaperOrientation' , 'landscape');
37     set(curr_fig , 'PaperUnits' , 'normalized');
38     set(curr_fig , 'PaperPosition' , [0 0 1 1]);
39     [fid , errmsg] = fopen(saveTitle , 'w+');
40
41     if fid < 1 % check if file is already open.
42         error('Error Opening File in fopen: \n%s' , errmsg);
43     end
44
45     fclose(fid);
46     print(gcf , '-dpdf' , saveTitle);
47 end
48
49 end

1 % function lineStyles = linspecer(N)
2 % This function creates an Nx3 array of N [R B G] colors
3 % These can be used to plot lots of lines with distinguishable and nice
4 % looking colors.
```

```

5 %
6 % lineStyles = linspecer(N); makes N colors for you to use: lineStyles(ii,:)
7 %
8 % colormap(linspecer); set your colormap to have easily distinguishable
9 % colors and a pleasing aesthetic
10 %
11 % lineStyles = linspecer(N,'qualitative'); forces the colors to all be
12 % distinguishable (up to 12)
12 % lineStyles = linspecer(N,'sequential'); forces the colors to vary along a
13 % spectrum
13 %
14 % % Examples demonstrating the colors.
15 %
16 % LINE COLORS
17 % N=6;
18 % X = linspace(0,pi*3,1000);
19 % Y = bsxfun(@(x,n)sin(x+2*n*pi/N), X.', 1:N);
20 % C = linspecer(N);
21 % axes('NextPlot','replacechildren','ColorOrder',C);
22 % plot(X,Y,'linewidth',5)
23 % ylim([-1.1 1.1]);
24 %
25 % SIMPLER LINE COLOR EXAMPLE
26 % N = 6; X = linspace(0,pi*3,1000);
27 % C = linspecer(N)
28 % hold off;
29 % for ii=1:N
30 %     Y = sin(X+2*ii*pi/N);
31 %     plot(X,Y,'color',C(ii,:), 'linewidth',3);
32 %     hold on;
33 % end
34 %
35 % COLORMAP EXAMPLE
36 % A = rand(15);
37 % figure; imagesc(A); % default colormap
38 % figure; imagesc(A); colormap(linspecer); % linspecer colormap
39 %
40 % See also NDHIST, NHIST, PLOT, COLORMAP, 43700–cubehelix–colormaps
41 % by Jonathan Lansey, March 2009–2013 ? Lansey at gmail.com %
42 % %
43 % %
44 %
45 %% credits and where the function came from
46 % The colors are largely taken from:
47 % http://colorbrewer2.org and Cynthia Brewer, Mark Harrower and The
48 % Pennsylvania State University
49 %
50 % She studied this from a psychometric perspective and crafted the colors
51 % beautifully.
52 %
53 % I made choices from the many there to decide the nicest once for plotting
54 % lines in Matlab. I also made a small change to one of the colors I
55 % thought was a bit too bright. In addition some interpolation is going on
56 % for the sequential line styles.

```

```

57 %
58 %
59 %%
60
61 function lineStyles=linspecer(N, varargin)
62
63 if nargin==0 % return a colormap
64     lineStyles = linspecer(128);
65     return;
66 end
67
68 if ischar(N)
69     lineStyles = linspecer(128,N);
70     return;
71 end
72
73 if N<=0 % its empty, nothing else to do here
74     lineStyles=[];
75     return;
76 end
77
78 % interperet varargin
79 qualFlag = 0;
80 colorblindFlag = 0;
81
82 if ~isempty(varargin)>0 % you set a parameter?
83     switch lower(varargin{1})
84         case {'qualitative','qua'}
85             if N>12 % go home, you just can't get this.
86                 warning('qualitiative is not possible for greater than 12
87                     items, please reconsider');
88             else
89                 if N>9
90                     warning(['Default may be nicer for ' num2str(N) ' for
91                         clearer colors use: whitebg(''black''); ']);
92                 end
93             end
94             qualFlag = 1;
95         case {'sequential','seq'}
96             lineStyles = colorm(N);
97             return;
98         case {'white','whitefade'}
99             lineStyles = whiteFade(N);return;
100        case 'red'
101            lineStyles = whiteFade(N, 'red');return;
102        case 'blue'
103            lineStyles = whiteFade(N, 'blue');return;
104        case 'green'
105            lineStyles = whiteFade(N, 'green');return;
106        case {'gray','grey'}
107            lineStyles = whiteFade(N, 'gray');return;
108        case {'colorblind'}
109            colorblindFlag = 1;
110        otherwise
111             warning(['parameter '' ' varargin{1} ' '' not recognized']);

```

```

110     end
111 end
112 % *.95
113 % redefine some colormaps
114 set3 = colorBrew2mat({[141, 211, 199];[ 255, 237, 111];[ 190, 186, 218];[ 251,
    128, 114];[ 128, 177, 211];[ 253, 180, 98];[ 179, 222, 105];[ 188, 128,
    189];[ 217, 217, 217];[ 204, 235, 197];[ 252, 205, 229];[ 255, 255,
    179]}');
115 set1JL = brighten(colorBrew2mat({[228, 26, 28];[ 55, 126, 184]; [ 77, 175,
    74];[ 255, 127, 0];[ 255, 237, 111]*.85;[ 166, 86, 40];[ 247, 129, 191];[
    153, 153, 153];[ 152, 78, 163]}'));
116 set1 = brighten(colorBrew2mat({[ 55, 126, 184]*.85;[228, 26, 28];[ 77, 175,
    74];[ 255, 127, 0];[ 152, 78, 163]}),.8);
117
118 % colorblindSet = {[215,25,28];[253,174,97];[171,217,233];[44,123,182]};
119 colorblindSet = {[215,25,28];[253,174,97];[171,217,233]*.8;[44,123,182]*.8};
120
121 set3 = dim(set3 ,.93);
122
123 if colorblindFlag
124     switch N
125         % sorry about this line folks. kind of legacy here because I used
126         % to
127         % use individual 1x3 cells instead of nx3 arrays
128         case 4
129             lineStyles = colorBrew2mat(colorblindSet);
130         otherwise
131             colorblindFlag = false;
132             warning('sorry unsupported colorblind set for this number, using
133             regular types');
134         end
135     if ~colorblindFlag
136         switch N
137             case 1
138                 lineStyles = { [ 55, 126, 184]/255};
139             case {2, 3, 4, 5 }
140                 lineStyles = set1(1:N);
141             case {6 , 7, 8, 9}
142                 lineStyles = set1JL(1:N)';
143             case {10, 11, 12}
144                 if qualFlag % force qualitative graphs
145                     lineStyles = set3(1:N)';
146                 else % 10 is a good number to start with the sequential ones.
147                     lineStyles = cmap2linspecer(colorm(N));
148                 end
149             otherwise % any old case where I need a quick job done.
150                 lineStyles = cmap2linspecer(colorm(N));
151         end
152     lineStyles = cell2mat(lineStyles);
153
154 end
155
156 % extra functions

```

```

157 function varIn = colorBrew2mat(varIn)
158 for ii=1:length(varIn) % just divide by 255
159     varIn{ii}=varIn{ii}/255;
160 end
161 end
162
163 function varIn = brighten(varIn,varargin) % increase the brightness
164
165 if isempty(varargin),
166     frac = .9;
167 else
168     frac = varargin{1};
169 end
170
171 for ii=1:length(varIn)
172     varIn{ii}=varIn{ii}*frac+(1-frac);
173 end
174 end
175
176 function varIn = dim(varIn,f)
177     for ii=1:length(varIn)
178         varIn{ii} = f*varIn{ii};
179     end
180 end
181
182 function vOut = cmap2linspecer(vIn) % changes the format from a double array
183 % to a cell array with the right format
184 vOut = cell(size(vIn,1),1);
185 for ii=1:size(vIn,1)
186     vOut{ii} = vIn(ii,:);
187 end
188 %%%
189 % colorm returns a colormap which is really good for creating informative
190 % heatmap style figures.
191 % No particular color stands out and it doesn't do too badly for colorblind
192 % people either.
193 % It works by interpolating the data from the
194 % 'spectral' setting on http://colorbrewer2.org/ set to 11 colors
195 % It is modified a little to make the brightest yellow a little less bright.
196 function cmap = colorm(varargin)
197 n = 100;
198 if ~isempty(varargin)
199     n = varargin{1};
200 end
201 if n==1
202     cmap = [0.2005      0.5593      0.7380];
203     return;
204 end
205 if n==2
206     cmap = [0.2005      0.5593      0.7380;
207                 0.9684      0.4799      0.2723];
208     return;
209 end

```

```

210
211 frac=.95; % Slight modification from colorbrewer here to make the yellows in
212 % the center just a bit darker
213 cmapp = [158, 1, 66; 213, 62, 79; 244, 109, 67; 253, 174, 97; 254, 224, 139;
214 255*frac, 255*frac, 191*frac; 230, 245, 152; 171, 221, 164; 102, 194, 165;
215 50, 136, 189; 94, 79, 162];
216 x = linspace(1,n,size(cmapp,1));
217 xi = 1:n;
218 cmap = zeros(n,3);
219 for ii=1:3
220     cmap(:,ii) = pchip(x,cmapp(:,ii),xi);
221 end
222 cmap = flipud(cmap/255);
223 end
224
225 function cmap = whiteFade(varargin)
226 n = 100;
227 if nargin>0
228     n = varargin{1};
229 end
230 thisColor = 'blue';
231 if nargin>1
232     thisColor = varargin{2};
233 end
234 switch thisColor
235 case {'gray','grey'}
236     cmapp =
237         [255,255,255;240,240,240;217,217,217;189,189,189;150,150,150;115,115,115;82,82
238
239 case 'green'
240     cmapp =
241         [247,252,245;229,245,224;199,233,192;161,217,155;116,196,118;65,171,93;35,139,65
242
243 case 'blue'
244     cmapp =
245         [247,251,255;222,235,247;198,219,239;158,202,225;107,174,214;66,146,198;33,113
246
247 case 'red'
248     cmapp =
249         [255,245,240;254,224,210;252,187,161;252,146,114;251,106,74;239,59,44;203,24,29
250
251 otherwise
252     warning(['sorry your color argument ' thisColor ' was not recognized'])
253 end
254
255 cmap = interpomap(n,cmapp);
256 end
257
258 % Eat a approximate colormap, then interpolate the rest of it up.
259 function cmap = interpomap(n,cmapp)
260 x = linspace(1,n,size(cmapp,1));
261 xi = 1:n;

```

```

253     cmap = zeros(n,3);
254     for ii=1:3
255         cmap(:, ii) = pchip(x, cmapp(:, ii), xi);
256     end
257     cmap = (cmap/255); % flipud??
258 end
1 %MTIT           creates a major title in a figure with many axes
2 %
3 %               MTIT
4 %           - creates a major title above all
5 %             axes in a figure
6 %           - preserves the stack order of
7 %             the axis handles
8 %
9 %SYNTAX
10 %

11 %          P = MTIT(TXT,[OPT1,...,OPTn])
12 %          P = MTIT(FH,TXT,[OPT1,...,OPTn])
13 %
14 %INPUT
15 %

16 %      FH :       a valid figure handle           [def: gcf]
17 %      TXT :       title string
18 %
19 %      OPT :       argument
20 %
21 %      xoff :      +/- displacement along X axis
22 %      yoff :      +/- displacement along Y axis
23 %      zoff :      +/- displacement along Z axis
24 %
25 %          title modifier pair(s)
26 %
27 %      TPx :       TVx
28 %                  see: get(text) for possible
29 %                    parameters/values
30 %
31 %OUTPUT
32 %

33 % par   :       parameter structure
34 % .pos  :       position of surrounding axis
35 % .oh   :       handle of last used axis
36 % .ah   :       handle of invisible surrounding axis
37 % .th   :       handle of main title
38 %
39 %EXAMPLE
40 %

41 % subplot(2,3,[1 3]);           title('PLOT 1');

```

---

```

42 % subplot(2,3,4); title('PLOT 2');
43 % subplot(2,3,5); title('PLOT 3');
44 % axes('units','inches',...
45 %       'color',[0 1 .5],...
46 %       'position',[.5 .5 2 2]); title('PLOT 41');
47 % axes('units','inches',...
48 %       'color',[0 .5 1],...
49 %       'position',[3.5 .5 2 2]); title('PLOT 42');
50 % shg;
51 % p=mtit('the BIG title',...
52 %         'fontsize',14,'color',[1 0 0],...
53 %         'xoff',-.1,'yoff',.025);
54 % % refine title using its handle <p.th>
55 % set(p.th,'edgecolor',.5*[1 1 1]);
56
57 % created:
58 % us 24-Feb-2003 / R13
59 % modified:
60 % us 24-Feb-2003 / CSSM
61 % us 06-Apr-2003 / TMW
62 % us 13-Nov-2009 17:38:17
63
64 %

```

---

```

65 function par=mtit(varargin)
66
67 if defunit='normalized';
68 nargout=0;
69 par=[];
70 end
71
72 % check input
73 if nargin<1
74 help(mfilename);
75 return;
76 end
77 if isempty(get(0,'currentfigure'))
78 disp('MTIT> no figure');
79 return;
80 end
81
82 vl=true(size(varargin));
83 if ischar(varargin{1})
84 vl(1)=false;
85 figh=gcf;
86 txt=varargin{1};
87 elseif any(ishandle(varargin{1}(:))) &&...
88 ischar(varargin{2})
89 vl(1:2)=false;
90 figh=varargin{1};
91 txt=varargin{2};
92 else
93 error('MTIT> invalid input');
94 end

```

```

95         vin=varargin(v1);
96         [off,vout]=get_off(vin{:});
97
98 % find surrounding box
99         ah=findall(figh,'type','axes');
100        if isempty(ah)
101            disp('MTIT> no axis');
102            return;
103        end
104        oah=ah(1);
105
106        ou=get(ah,'units');
107        set(ah,'units',defunit);
108        ap=get(ah,'position');
109        if iscell(ap)
110            ap=cell2mat(get(ah,'position'));
111        end
112        ap=[ min(ap(:,1)),max(ap(:,1)+ap(:,3)),...
113               min(ap(:,2)),max(ap(:,2)+ap(:,4))];
114        ap=[ ap(1),ap(3),...
115               ap(2)-ap(1),ap(4)-ap(3)];
116
117 % create axis...
118         xh=axes('position',ap);
119 % ...and title
120         th=title(txt,vout{:});
121         tp=get(th,'position');
122         set(th,'position',tp+off);
123         set(xh,'visible','off','hittest','on');
124         set(th,'visible','on');
125
126 % reset original units
127         ix=find(~strcmpi(ou,defunit));
128         if ~isempty(ix)
129             for i=ix(:).'
130                 set(ah(i),'units',ou{i});
131             end
132         end
133
134 % ...and axis' order
135         uistack(xh,'bottom');
136         axes(oah); %#ok
137
138         if nargout
139             par.pos=ap;
140             par.oh=oah;
141             par.ah=xh;
142             par.th=th;
143         end
144     end
145 %

```

---

```

146 function [off,vout]=get_off(varargin)
147

```

```
148 % search for pairs <.off>/<value>
149
150         off=zeros(1,3);
151         io=0;
152     for mode={'xoff','yoff','zoff'};
153         ix=strcmpi(varargin,mode);
154     if any(ix)
155         io=io+1;
156         yx=find(ix);
157         ix(yx+1)=1;
158         off(1,io)=varargin{yx(end)+1};
159         varargin=varargin(xor(ix,1));
160     end
161 end
162 vout=varargin;
163 end
164 %
```

---