

# CS487 - Symbolic Computation

University of Waterloo

Nicholas Pun

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# Lecture 1: Introduction

## 1.1 Course Preview

**Example 1.1** (Simplify Rational Expressions). Suppose we have the two following expressions:

$$f := \frac{x+1}{x-1} - \frac{x^3 - 2x + x^2 + 2}{x^3 + 2x - x^2 - 2} + \frac{x^2 + 3}{x-1} \quad (1.1)$$

$$g := \frac{(x-1)^2 - x^2 - x + 2x}{(x+y+2)^{100}} \quad (1.2)$$

Question: How do we simplify these expressions to a single  $\frac{poly}{poly}$  or return that it is 0?

One idea: Define a “normal” function:

1. If expression is 0, the normal function will be 0
2. If not, the normal function will be the simplest form

(More) Questions: What else do we need to consider?

- How do we represent polynomials (i.e. What data structure do we use?)
- How do we perform polynomial operations computationally?
- Do we need to consider the size of the integers in our computations?

**Example 1.2** (Solving Recurrences). Suppose we have the recurrence:

$$T(n) = \begin{cases} 2T(\frac{n}{2}) + \frac{n}{2} & n > 1 \\ 1 & n = 1 \end{cases} \quad (1.3)$$

We can solve this by hand (using Master theorem or other techniques) to obtain the answer:

$$T(n) = n(1 + \log_2(n)) \quad (1.4)$$

Question: How do we do this computationally?

**Example 1.3.** Consider the following identities:

$$\sum_{k=0}^n k = \frac{n(n-1)}{2} \quad (1.5)$$

$$\sum_{k=0}^n k^4 = \frac{n(n-1)(2n-1)(3n^3 - 3n - 1)}{30} \quad (1.6)$$

Question: Can we return a closed form (without involving the index  $k$ ) for any general expression or report that one doesn't exist?

## 1.2 Representation of Integers

Current computers are based on architecture with 64 bits (We will call this number of bits the word size)

**Example 1.4.** The unsigned long in C represents integers in exactly the range  $[0, 2^{64} - 1]$

Question: How do we represent larger numbers?

Idea: Use an array of word size numbers.

Any integer  $a$  can be expressed as the following summation:

$$a = (-1)^s \sum_{i=0}^n a_i 2^{64i} \quad (1.7)$$

where  $s \in \{0, 1\}$  represents the sign of  $a$  and  $0 \leq a_i \leq 2^{64} - 1$  are the individual elements in the array.

If we assume  $0 \leq n + 1 \leq 2^{63}$ , then we can encode  $a$  as an array:

$$[s \cdot 2^{63} + n + 1, a_0, a_1, \dots, a_n] \quad (1.8)$$

This is sufficient for all practical purposes.

**Note.** The length of  $a$  is given by:  $\lfloor \log_{2^{64}} |a| \rfloor + 1 \in \mathcal{O}(\log |a|)$  words

## 1.3 Addition of Integers

Suppose our input is  $a : a_0 + a_1\beta + a_2\beta^2 + \dots a_n\beta^n$  and  $b : b_0 + b_1\beta + b_2\beta^2 + \dots b_m\beta^m$  (where  $m \leq n$ ). Let  $c = a + b = c_0 + c_1\beta + c_2\beta^2 + \dots c_n\beta^n$ , each  $c_i = a_i + b_i$  if  $i \leq m$  and  $c_i = a_i$  otherwise.

$a_i + b_i$  may be greater than  $\beta$ . In this case, the addition creates a *carry* to the  $(i + 1)$ -th term.

Question: How large can  $c$  get?

In particular, will our array drastically change in size?

We can begin with the case of  $\beta = 2$ . This gives us binary strings, a case we may be familiar with. We can simply every bit equal to 1 to obtain:

$$1 + 1 \cdot 2 + 1 \cdot 2^2 + \dots + 1 \cdot 2^m = 2^{m+1} - 1$$

For general  $\beta$  this suggests the following:

$$\sum_{i=0}^m (\beta - 1)\beta^i = \beta^{m+1} - 1 \quad (1.9)$$

So, given two equal length (array-wise) integers  $a, b$ :

$$\begin{aligned} (a_0 + a_1\beta + \dots + a_m\beta^m) + (b_0 + b_1\beta + \dots + b_m\beta^m) &\leq 2(\beta^{m+1} - 1) \\ &= (\beta^{m+1} - 2) + \beta^{m+1} \end{aligned} \tag{1.10}$$

This implies that the largest the carry bit can be is 1.

## Lecture 2: Complexity of Arithmetic Operations

We want to talk about basic operations (i.e.  $\{+, -, \times, \div\}$ ) over a ring. (Note: Division may not always be possible)

**Example 2.1.** The following rings will come up:

1. Integers ( $\mathbb{Z}$ )
2. Rationals ( $\mathbb{Q}$ )
3. Fields (E.g.  $\mathbb{Z}_7$ )
4. Polynomial Rings ( $R[x]$ ), where  $R$  is any commutative ring. E.g.  $\mathbb{Z}[x], \mathbb{Q}[x], \mathbb{Z}_p[x]$
5. Field of rational functions ( $R(x)$ ). E.g.  $\mathbb{Q}(x)$

### 2.1 Naive upper bounds on costs

For polynomials, we are interested in  $a, b \in R[x]$ . We will let  $n = \deg(a)$ ,  $m = \deg(b)$  and we will count ring operations from  $R$ .

For integers, we will count bit operations.

We'll also define the following operation: for  $a \in \mathbb{Z}$ ,  $\lg a = \begin{cases} 1 & \text{if } a = 0 \\ 1 + \lfloor \log_2 |a| \rfloor & \text{if } a \neq 0 \end{cases}$

The following table summarizes the upper bounds:

Operation	Polynomials	Integers
$a + b$	$n + m + 1$	$\lg a + \lg b$
$a - b$	$n + m + 1$	$\lg a + \lg b$
$a \times b$	$(n + 1)(m + 1)$	$(\lg a)(\lg b)$
$a = qb + r$	$(n - m + 1)(m + 1)$	$(\lg \frac{a}{b})(\lg b)$

#### 2.1.1 Addition

$$\begin{array}{r} a_0 + a_1x + \dots + a_mx^m + \quad a_{m+1}x^{m+1} + \dots + a_nx^n \\ b_0 + b_1x + \dots + b_mx^m \\ \hline c_0 + c_1x + \dots + c_mx^m + \quad c_{m+1}x^{m+1} + \dots + c_nx^n \end{array}$$

While we really only add the first  $m + 1$  terms, the add operation returns a new polynomial  $c$ . As such, we really perform  $\max\{m, n\} + 1 \in \Theta(n + m) + 1$  operations.

The same analysis can be used for the add operation on integers.

#### 2.1.2 Multiplication

Consider  $a = \sum^n a_i x^i$ ,  $b = \sum^m b_i x^i$ , and  $c = a \times b = \sum^{n+m} c_k x^k$ , where  $c_k = \sum a_i b_j$ .

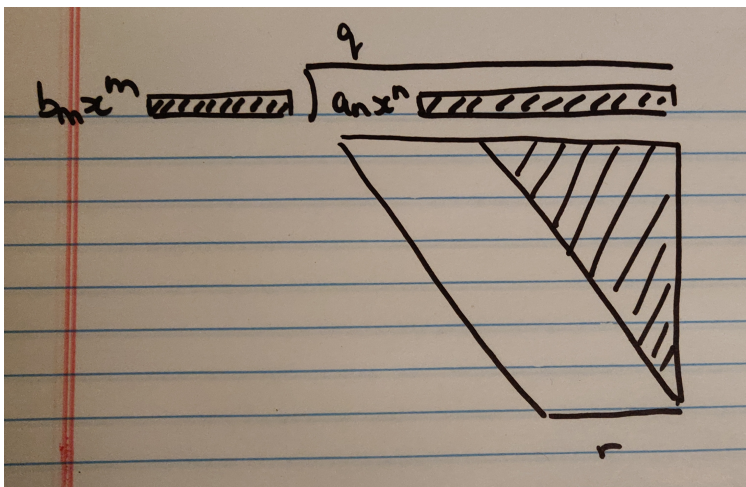
Classical “school” method: Cost is  $(n+1)(m+1)$  multiplications and  $nm$  additions (exactly).

### 2.1.3 Division with Remainder

Given  $a, b \in \mathbb{Z}$  (or  $R[x]$ ), we want to find  $q, r \in \mathbb{Z}$  with  $size(r) < size(b)$  so that  $a = bq + r$ . Note that:  $size(\cdot)$  for integers is just the magnitude, and for polynomials,  $size(r) = \deg(r)$

We will require that for polynomials  $a, b$ , in  $a \div b$ , the constant term of  $b$  is a unit (and so an inverse exists)

Doing long division results in something that will look like the drawing below:



Within the shaded region of the trapezoid, no changes are made to the polynomial. In each step of the long division, we only perform changes to  $m$  terms within the unshaded band in the trapezoid. There are a total of  $n - m$  steps (This is the resulting degree of  $q$ ).

So, in total, long division of polynomials can be done in  $\mathcal{O}((m+1)(n-m+1))$  operations.

**Note.** Why do we not just reuse our subtraction operation? We want this division operation to be primitive. The operation only performs ring operations as needed.

The same analysis can be performed on long division of integers.

## 2.2 Multimodular Reduction

Suppose  $a \in \mathbb{Z}$ ,  $p_1, \dots, p_k \in \mathbb{Z}_{>1}$ , with  $a < p := p_1 \dots p_k$ . What is cost of computing  $a \bmod p_1, a \bmod p_2, \dots, a \bmod p_k$ ? (i.e. Obtaining the remainders)

Rough Bound: We can use the division with remainder operation. Both  $a$  and the  $p_i$ 's are bounded by  $p$ . Since there are  $k$   $p_i$ 's, we will perform the operation at most  $k$  times. This gives the bound  $\mathcal{O}(k(\lg p)^2)$

But, of course we can be more accurate with this bound. In total, the  $k$  division with remainders require  $\sum_{i=1}^k C \left( \lg \frac{a}{p_i} \right) (\lg p)$  operations (The  $C$  comes from the big- $\mathcal{O}$  of the division with remainder operation). We get:

$$\begin{aligned}
& \sum_{i=1}^k C \left( \lg \frac{a}{p_i} \right) (\lg p) \\
&= C \sum_{i=1}^k \left( \lg \frac{a}{p_i} \right) (\lg p) \\
&\leq C (\lg p) \sum_{i=1}^k (\lg p) && (\lg \frac{a}{p_i} \leq \lg a \leq \lg p) \\
&\leq C(1 + \log p) \sum_{i=1}^k (1 + \log p) && (\text{Get rid of the } \lg) \\
&\leq C(2 \log p) \sum_{i=1}^k (2 \log p) && (\text{If } x > 1, 1 + \log x \leq 2 \log x) \\
&= 4C(\log p)^2
\end{aligned} \tag{2.1}$$



# Lecture 3: Extended Euclidean Algorithm

## 3.1 Definitions

Went over definitions of: units, associates, zero divisors, integral domain, GCD, LCM, and Euclidean domain.

**Note.**

- On GCDs and LCMs: Often convenient to define them to be nonnegative to make them unique
- On  $a = qb + r$ , the quotient and remainder are not necessarily unique over  $\mathbb{Z}$ . (e.g.  $7 = 5 \cdot 1 + 2 = 5 \cdot 2 - 3$ ). However, over  $R = F[x]$  ( $F$  field), the quotient and remainder *are* unique.

## 3.2 Extended Euclidean Algorithm

Input:  $a, b \in R$ ,  $b \neq 0$ ,  $R$  Euclidean Domain (e.g.  $R = \mathbb{Z}$  or  $R = F[x]$ )

Output:  $s, t, g \in R$  such that  $sa + tb = g$ , where  $g = \gcd(a, b)$

**Example 3.1.** One may recall the algorithm from MATH135 which begins with the following table:

$s$	$t$	$r$	$q$
1	0	$a$	0
0	1	$b$	0

At each step of the algorithm, we perform the operation:  $Row_{i+1} \leftarrow Row_{i-1} - q_i Row_i$ , where  $q_i = \lfloor \frac{r_i}{r_{i-1}} \rfloor$ . And we stop once the remainder is 0 and our answer can be read from the second last row.

For example, we can find  $\gcd(91, 63)$ :

$s$	$t$	$r$	$q$
1	0	91	0
0	1	63	0
1	-1	28	1
-2	3	7	2
9	-13	0	4

So,  $(-2)(91) + 3(63) = 7$

**Note.** Behind the operation  $Row_{i+1} \leftarrow Row_{i-1} - q_i Row_i$ , we are really performing the 3 operations:

1.  $r_{i+1} \leftarrow r_{i-1} - q_i r_i$

$$2. s_{i+1} \leftarrow s_{i-1} - q_i s_i$$

$$3. t_{i+1} \leftarrow t_{i-1} - q_i t_i$$

We build our way towards a matrix formulation of the algorithm. Consider the matrix:

$$Q_i = \begin{bmatrix} 0 & 1 \\ 1 & -q_i \end{bmatrix}$$

Observe that the matrix encodes the information of the *Row* operations above. To encode the operations on  $r_i$ , consider the matrix-vector multiplication:

$$Q_i \begin{bmatrix} r_{i-1} \\ r_i \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ 1 & -q_i \end{bmatrix} \begin{bmatrix} r_{i-1} \\ r_i \end{bmatrix} = \begin{bmatrix} r_i \\ r_{i-1} - q_i r_i \end{bmatrix} \begin{bmatrix} r_i \\ r_{i+1} \end{bmatrix}$$

To encode the information on  $s_i$  and  $t_i$ , let  $R_i = Q_i \dots Q_1$ . We claim that:

$$R_i = \begin{bmatrix} s_i & t_i \\ s_{i+1} & t_{i+1} \end{bmatrix}$$

*Proof.* We proceed by induction on  $i$ . This holds for  $R_1 = Q_1$  since  $s_1 = 0, t_1 = 1, s_2 = 1, t_2 = -q_1$ .

Now suppose the statement holds for  $R_1, \dots, R_{i-1}$ . Then,

$$\begin{aligned} R_i &= Q_i Q_{i-1} \dots Q_1 \\ &= Q_i R_{i-1} \\ &= \begin{bmatrix} 0 & 1 \\ 1 & -q_i \end{bmatrix} \begin{bmatrix} s_{i-1} & t_{i-1} \\ s_i & t_i \end{bmatrix} \\ &= \begin{bmatrix} s_i & t_i \\ s_{i-1} - q_i s_i & t_{i-1} - q_i t_i \end{bmatrix} \\ &= \begin{bmatrix} s_i & t_i \\ s_{i+1} & t_{i+1} \end{bmatrix} \end{aligned}$$

□

Let's formalize this:

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**Algorithm 1:** Extended Euclidean Algorithm

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Our input is:  $a, b \in R, b \neq 0, d(a) \geq d(b)$  and  $R$  a Euclidean Domain

**1 Initialization:**

- Set  $r_0 \leftarrow a$
- Set  $r_1 \leftarrow b$

**2 for**  $i = 1 \dots$  **do**

- [

- Compute  $q_i = \lfloor \frac{r_i}{r_{i-1}} \rfloor$
  - Compute  $r_{i+1}$  from  $Q_i \begin{bmatrix} r_{i-1} \\ r_i \end{bmatrix}$

**3 Stop** loop at  $i = \ell$  such that  $r_{\ell+1} = 0$

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**Example 3.2.** We can compute  $\gcd(91, 63)$  using the matrix formulation:

$$Q_1 = \begin{bmatrix} 0 & 1 \\ 1 & -1 \end{bmatrix}, \quad Q_2 = \begin{bmatrix} 0 & 1 \\ 1 & -2 \end{bmatrix}, \quad Q_3 = \begin{bmatrix} 0 & 1 \\ 1 & -4 \end{bmatrix}$$

$$R_3 \begin{bmatrix} 91 \\ 63 \end{bmatrix} = Q_3 Q_2 Q_1 \begin{bmatrix} 91 \\ 63 \end{bmatrix} = \begin{bmatrix} -2 & 3 \\ 9 & -13 \end{bmatrix} \begin{bmatrix} 91 \\ 63 \end{bmatrix} = \begin{bmatrix} 7 \\ 0 \end{bmatrix}$$

so  $(-2)(91) + 3(63) = 7$ , which matches what we had before

### 3.3 Correctness

**Proposition 3.1.**  $r_\ell = \gcd(r_0, r_1)$

*Proof.* We want to show:

1.  $r_\ell | r_0$  and  $r_\ell | r_1$
2. If  $d | r_0$  and  $d | r_1$ , then  $d | r_\ell$  for all  $d \in R$

From the algorithm:  $Q_\ell \dots Q_1 \begin{bmatrix} r_0 \\ r_1 \end{bmatrix} = \begin{bmatrix} r_\ell \\ 0 \end{bmatrix}$

Let  $R_i = Q_i \dots Q_1 = \begin{bmatrix} s_i & t_i \\ s_{i+1} & t_{i+1} \end{bmatrix}$

Then,  $r_\ell \begin{bmatrix} r_0 \\ r_1 \end{bmatrix} = \begin{bmatrix} s_\ell & t_\ell \\ s_{\ell+1} & t_{\ell+1} \end{bmatrix} \begin{bmatrix} r_\ell \\ 0 \end{bmatrix}$

So  $s_\ell r_0 + t_\ell r_1 = r_\ell$  (i.e. The second statement is true)

For the 1st statement: Each  $Q_i$  is invertible over  $R$  (Check!) So, each  $R_i$  is invertible over  $R$  and so in particular  $\begin{bmatrix} r_0 \\ r_1 \end{bmatrix} = R_\ell^{-1} \begin{bmatrix} r_\ell \\ 0 \end{bmatrix}$  □

### 3.4 Cost Analysis

Consider  $R = F[x]$ . Assume  $\deg(r_0) \geq \deg(r_1)$ .

We want to compute the cost of computing  $(q_i, r_{i+1})_{1 \leq i \leq \ell}$

How many division steps  $\ell$ ?:  $\ell \leq 1 + \deg(r_1)$  since  $-\infty = \deg(r_{i+1}) < \deg(r_\ell) < \dots < \deg(r_1)$

And dividing  $r_{i-1}$  by  $r_i$  with remainder costs  $C(\deg(r_i + 1)(\deg(q_i + 1)))$  operations ( $C$  constant) from  $F$ .

Key observation:  $\sum_{i=1}^{\ell} \deg(q_i) = \sum_{i=1}^{\ell} (\deg(r_{i-1}) - \deg(r_i)) = \deg(r_0)$

So the total cost is thus:

$$\begin{aligned}
&\leq \sum_{i=1}^{\ell} C(\deg(r_i + 1))(\deg(q_i + 1)) \\
&\leq C(\deg(r_1 + 1)) \sum_{i=1}^{\ell} (\deg(q_i + 1)) \quad (r_i \text{ decreases by 1 in each iteration in the worst case}) \\
&\leq C(\deg(r_1 + 1))(\deg(r_0) + \ell) \\
&\in \mathcal{O}((1 + \deg r_0)(1 + \deg r_1))
\end{aligned}$$

Extension: what is the cost of computing  $Q_\ell \dots Q_1$  (Exercise: Can be done in approximately the same time)

### 3.5 Applications of the EEA

Computing over finite field (over a prime), given nonzero  $a \in \mathbb{Z}_p$ , use EEA to find  $s, t \in \mathbb{Z}$  such that  $sa + tp = 1$ , then  $sa \equiv 1 \pmod{p} \Rightarrow s = a^{-1} \in \mathbb{Z}_p$

Rational Number Reconstruction:  $\frac{-4}{5} \equiv 40 \pmod{51}$ , call  $\frac{-4}{5}$  the signed fraction, 30 the modular image and 51 the modulus  $m$ .

Input:

- A modulus  $m \in \mathbb{Z}_{>0}$
- An image  $u \in \mathbb{Z}_{\geq 0}$  such that  $0 \leq u < m$
- Bounds  $N, D \in \mathbb{Z}_{>0}$  such that  $2ND < m$

Output: A signed and reduced rational number  $n/d$  such that  $n/d \equiv u \pmod{m}$ ,  $|n| \leq N$ ,  $d \leq D$

Fact: There is a unique  $n/d$ , if it exists, that satisfy the bounds.

Algorithm: Use EEA on  $m$  and  $u$

**Example 3.3.**  $u = 40, m = 51, N = D = 5$  There are 6 Q's. Look at  $R_3 = Q_3 Q_2 Q_1$

# Lecture 4: Polynomial Evaluation and Multiplication

## 4.1 Polynomial Evaluation

**Example 4.1.** Let  $f(x) = 5x^{1000} + 2x^{999} + \dots + 3x + 2 \in F[x]$  with  $F = \mathbb{Z}_7$  and  $\alpha \in F^{300 \times 300}$

Question: What is the cost of evaluating  $f(\alpha)$ ?

Observations:

- The expensive operation is matrix multiplication
- It seems like we need at least 1000 multiplications to calculate each of:  $\alpha^2, \alpha^3, \dots, \alpha^{1000}$

However, we will show a method that needs only 63 multiplications.

### 4.1.1 Naïve Algorithm

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**Algorithm 2:** Naïve Algorithm

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Input:  $\alpha, a_0, a_1, \dots, a_n \in R$  ( $R$  ring)

Output:  $f(\alpha) \in R$ , where  $f(x) = a_0 + a_1x + \dots + a_nx^n \in F[x]$

- 1 Compute  $\alpha^2, \alpha^3, \dots, \alpha^n$  ( $n - 1$  multiplications)
  - 2 Compute each  $a_i\alpha^i \forall i$  ( $n$  multiplications)
  - 3 Add ( $n$  additions)
- 

This method takes  $2n - 1$  multiplications and  $n$  additions.

### 4.1.2 Horner's Scheme

Horner's Scheme evaluates the polynomial in the following order:

$$f(\alpha) = (((\dots (a_n\alpha + a_{n-1})\alpha \dots)\alpha + a_2)\alpha + a_1)\alpha + a_0$$

Note that each expression enclosed by parentheses cost 1 multiplication and 1 addition. Hence, overall, we have  $n$  multiplications and  $n$  additions. (We've decreased the number of multiplications by half!)

In 1954, Ostrowski asked if Horner's scheme is optimal. This lead to the development of the non-scalar complexity model.

### 4.1.3 Non-scalar Complexity Model

Let  $R = F[x, a_0, \dots, a_n]$  be the ring of polynomials in indeterminates  $x, a_0, \dots, a_n$ . We define scalar operations to be:

- Additions of 2 elements of  $R$
- Multiplications of elements of  $R$  by fixed constants from  $F$

And, non-scalar operations to be: the multiplication of 2 inputs or non-scalar quantities.

Roughly speaking, the non-scalar operations will be the costly operations.

With this model in mind, let's rephrase our question: Is Horner's Scheme optimal with respect to non-scalar cost? No! (Victor Pan, 1959)

Let's calculate the non-scalar cost of Horner's method. Fix  $n$  and recall that evaluation is performed like so:

$$f(\alpha) = (((\dots(a_n\alpha + a_{n-1})\alpha \dots)\alpha + a_2)\alpha + a_1)\alpha + a_0$$

The innermost sum and multiplication  $a_n\alpha + a_{n-1}$  is free. However, the multiplication  $(a_n\alpha + a_{n-1})\alpha$  is a multiplication of two non-scalar quantities (the  $\alpha$ ). So, this counts towards our non-scalar cost.

Each subsequent multiplication will also be a non-scalar operation. In total, we perform  $n - 1$  non-scalar operations. However, we'll use even fewer non-scalar operations with the next method

#### 4.1.4 Baby-Steps/Giant-Steps Method (By Patterson and Stockmeyer)

**Theorem 4.1** (Patterson and Stockmeyer, 1973). Let  $f \in F[x]$  of degree  $n$ . Then  $f(\alpha)$  can be evaluated at any  $\alpha \in F$  with  $2\lceil\sqrt{n}\rceil - 1$  non-scalar operations.

We'll prove this by exhibiting the algorithm. The idea is to partition  $f$  into  $k \approx \sqrt{n}$  blocks of length  $m \approx \sqrt{n}$ . Then, we evaluate each block before evaluating the sum of the blocks. Let's see an example of this:

**Example 4.2.** Let  $m = \lceil\sqrt{n}\rceil$ ,  $k = 1 + \lceil\frac{n}{m}\rceil$ , and  $f(x) = 2x^8 + x^7 + 5x^6 + 2x^5 + 8x^4 + 2x^3 + x^2 + x + 4$ .

So  $m = 3$  is the length of each block and  $k = 4$  is the upper bound on the number of blocks we'll have. Let  $F_0, F_1, F_2$  be our blocks:

$$\begin{aligned} f(x) &= 2x^8 + x^7 + 5x^6 + 2x^5 + 8x^4 + 2x^3 + x^2 + x + 4 \\ &= \underbrace{(2x^2 + x + 5)}_{F_2} x^6 + \underbrace{(2x^2 + 8x + 2)}_{F_1} x^3 + \underbrace{(x^2 + x + 4)}_{F_0} \\ &= F_2(x) \cdot (x^3)^2 + F_1(x) \cdot (x^3) + F_0(x) \end{aligned}$$

Observe that this is just a polynomial with indeterminate  $x^3$ . Suppose we are given input  $\alpha$  and we precompute  $\alpha^2$  and  $\alpha^3$ . (This costs us  $m - 1 = 3 - 1 = 2$  non-scalar operations)

Then, we can first evaluate each  $F_i(\alpha)$ . This is free. (No non-scalar operations occur)

What remains is to evaluate our polynomial with input  $\alpha^3$ , and we can use Horner's scheme. (This costs  $k - 1$  non-scalar operations)

*Proof.* Consider the algorithm:

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**Algorithm 3:** Baby-Steps/Giant-Steps Method

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- 1 Compute  $\alpha^2, \alpha^3, \dots, \alpha^m$  ( $m \approx \sqrt{n}$  non-scalar operations)
  - 2 Compute  $\beta_i = F_i(\alpha^i)$  for  $0 \leq i \leq k-1$  (0 non-scalar operations since  $\alpha$  precomputed)
  - 3 Compute  $f(\alpha) = \beta_{k-1}(\alpha^m)^{k-1} + \beta_{k-2}(\alpha^m)^{k-2} + \dots + \beta_0$   
We can use Horner's Scheme here, which costs  $k-1$  non-scalar operations.
- 

In total, this requires  $m-1 + k-1 = 2\lceil\sqrt{n}\rceil - 1$  non-scalar operations □

## 4.2 Polynomial Multiplication

Input:  $f, g \in R[x]$  of degree  $n > 0$

Output:  $f \times g$

The standard algorithm for this costs  $\mathcal{O}(n^2)$  operations from  $R$ :  $(n+1)^2 \times'$   $s$  and  $n^2 +'$   $s$

### 4.2.1 Divide-and-Conquer Approach

Let us attempt to solve this using divide-and-conquer.

Let  $n = 2^k$ ,  $k \in \mathbb{N}$ ,  $a, b \in R[x]$  with  $\deg a, \deg b < n$  and  $m = \frac{n}{2}$ .

We will write  $a = (A_1x^m + A_0)$ ,  $b = (B_1x^m + B_0)$ , then  $a \times b = A_1B_1x^n + (A_0B_1 + A_1B_0)x^m + A_0B_0$ .

**Example 4.3.** For the following function  $a$ :

$$\begin{aligned} a &= x^5 + 3x^4 + 2x^3 + x^2 + 3x + 5 \\ &= \underbrace{(x+3)}_{A_1} x^4 + \underbrace{(2x^3 + x^2 + 3x + 5)}_{A_0} \end{aligned}$$

The cost of multiplying the two polynomials using this method is:

$$T(n) \leq \begin{cases} 4T\left(\frac{n}{2}\right) + 4n & n > 1 \\ 1 & n = 1 \end{cases} = n(5n - 4) \in \Theta(n^2)$$

But ... that's not any better than what we had before ...

### 4.2.2 Karatsuba's Algorithm

It turns out we can reduce the number of multiplications earlier by 1. Consider writing  $a \times b$  like so:

$$a \times b = A_1B_1(x^n - x^m) + (A_1 + A_0)(B_1 + B_0)x^m + A_0B_0(1 - x^m)$$

This only requires 3 multiplications:

$$T(n) \leq \begin{cases} 3T\left(\frac{n}{2}\right) + cn & n > 1 \\ 1 & n = 1 \end{cases} \in \Theta(n^{\log_2 3}) \quad (\log_2 3 \approx 1.59)$$

The calculation for  $T(n)$  can be done using the Master theorem, or the following theorem:

**Theorem 4.2.**  $T(2^k) \leq 3T(2^{k-1}) + c2^k \Rightarrow T(2^k) \leq 3^k - 2c2^k$  for  $k \geq 1$

*Proof.* We proceed by induction on  $k$ . The base case is easily verified. Assume the statement holds for some  $k - 1 \geq 1$ , then:

$$\begin{aligned} T(2^k) &\leq 3T(2^{k-1}) + c2^k \\ &\leq 3(3^{k-1} - 2c2^{k-1}) + c2^k \\ &= 3^k - 2c2^k \end{aligned}$$

□

and  $3^k = 3^{\log_2 n} = (2^{\log_2 3})^{\log_2 n} = n^{\log_2 3}$

### 4.3 Aside: Circuit Representations

We can use circuit drawings to model computations.

For example, in Figure 1, we can see that we perform no non-scalar operations.

But, in Figure 2, the multiplication at the 3rd level is a non-scalar operation.

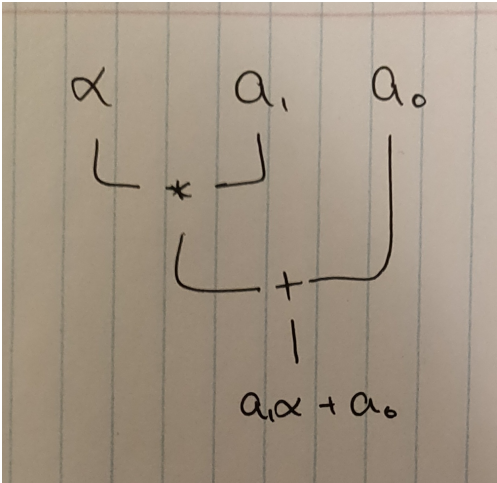


Figure 1: A circuit representation of  $a_1\alpha + a_0$

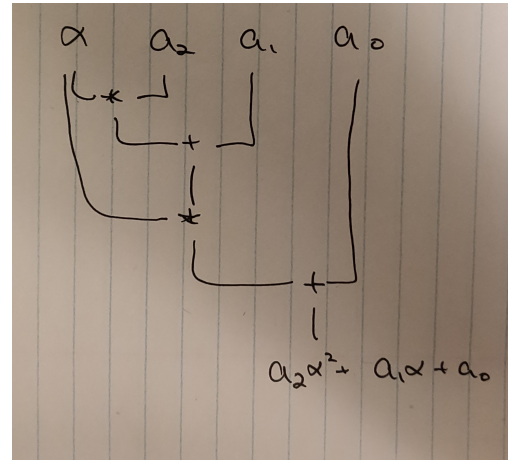


Figure 2: A circuit representation of  $a_2\alpha^2 + a_1\alpha + a_0$

**Remark 4.1.** The depth of a circuit is the parallel complexity



## Lecture 5: Polynomial Multiplication