

Strategy (towards some big theorem)

- If a symmetric (v, k, λ) -design exists $\Rightarrow I_v \approx \alpha I_v + \lambda I_v$.
(and so if we can show that some matrix is not congruent, then no symmetric design exists!)
- We will show that $I_v \approx \alpha I_v + \lambda I_v$ iff some smaller matrices are congruent iff some equation has rational solutions (this will require some number theory tools)

Bruck-Ryser-Chowla: \Rightarrow If symmetric design exists \Rightarrow Some equation has rational solution.

(Today: we will do more on congruence)

Recall: $A \approx B$ iff $P^T A P = B$, P invertible

For today, we will do everything over $\mathbb{F} = \mathbb{Q}$. and we will write $A \approx B$ to mean $A \approx B$.

Basic Facts:

- \approx is an equivalence relation
- $\begin{pmatrix} A_1 & & \\ & \ddots & \\ & & A_s \end{pmatrix} \approx \begin{pmatrix} A_{\sigma(1)} & & \\ & \ddots & \\ & & A_{\sigma(s)} \end{pmatrix}$ for any permutation σ .

Block diagonal matrices

- If $A_i \approx B_i$, $i=1, \dots, s$, then $\begin{pmatrix} A_1 & & \\ & \ddots & \\ & & A_s \end{pmatrix} \approx \begin{pmatrix} B_1 & & \\ & \ddots & \\ & & B_s \end{pmatrix}$.

Theorem (Witt Cancellation Theorem)

~~Let~~ Let

$$A = \left(\begin{array}{c|c} A_1 & 0 \\ \hline 0 & I_2 \end{array} \right), \quad B = \left(\begin{array}{c|c} B_1 & 0 \\ \hline 0 & B_2 \end{array} \right)$$

If $A \approx B$ and $A \approx B_1$ and A, B invertible, then $A_2 \approx B_2$.

Bilinear Forms:

Defn Let V be a vector space over \mathbb{Q} . A bilinear form on V is a map: $\alpha: V \times V \rightarrow \mathbb{Q}$ - such that:

$$\alpha(x+ty, z) = \alpha(x, z) + t\alpha(y, z)$$

$$\alpha(x, y+tz) = \alpha(x, y) + t\alpha(x, z)$$

For all $x, y, z \in V, t \in \mathbb{Q}$.

We say α is a symmetric form if $\alpha(x, y) = \alpha(y, x)$ for $x, y \in V$.

If x_1, \dots, x_n is a basis for V , the Gram matrix of α is $A_{ij} = \alpha(x_i, x_j)$ and we write $A = [\alpha]_{x_1, \dots, x_n}$.

If we know the Gram matrix of α , then we know α .

To compute $\alpha(u, v)$, we write $u = \sum_{i=1}^n a_i x_i, v = \sum_{j=1}^n b_j x_j$.

$$\text{the } \alpha(u, v) = \alpha\left(\sum_{i=1}^n a_i x_i, \sum_{j=1}^n b_j x_j\right)$$

$$= \sum_{i=1}^n \sum_{j=1}^n a_i b_j \alpha(x_i, x_j)$$

\hookrightarrow Gram matrix.

Proposition: $A, B \in M^n$.

$A \approx B$ if and only if there is a vector space V and a bilinear form $\alpha: V \times V \rightarrow \mathbb{Q}$ such that

$$A = [a]_{x_1, \dots, x_n} \text{ for some basis } x_1, \dots, x_n$$

$$B = [a]_{y_1, \dots, y_n} \text{ for some basis } y_1, \dots, y_n$$

Proof:

(\Rightarrow). Suppose $A \approx B$, then $P^T A P = B$ for some invertible matrix P .

Let $\alpha: \mathbb{Q}^n \times \mathbb{Q}^n \rightarrow \mathbb{Q}$ where $\alpha(x, y) = x^T A y$.

Let e_1, \dots, e_n be the standard basis for \mathbb{Q}^n .

Then $P e_1, \dots, P e_n$ is also a basis (columns of P).

We can check that:

$$A = [a]_{e_1, \dots, e_n}, \text{ and}$$

$$B = [a]_{P e_1, \dots, P e_n}$$

(\Leftarrow) Suppose $A = [a]_{x_1, \dots, x_n}$ and $B = [a]_{y_1, \dots, y_n}$ where x, y are two different bases. There is a change of basis matrix P , (P invertible)

$$y_i = \sum_{k=1}^n P_{ki} x_k$$

And we can check that $P^T A P = B$. \square

Proposition:

The Gram matrix of α is symmetric iff α is a symmetric form.

Proof: Exercise!

Since we're exclusively interested in symmetric matrices, we'll focus on symmetric forms.

Theorem

Let $\alpha: V \times V \rightarrow \mathbb{Q}$ be a symmetric form. There exists a basis x_1, \dots, x_n for V s.t. $[\alpha]_{x_1, \dots, x_n}$ is diagonal.

Note: If $W \subseteq V$ is a subspace, then we get a symmetric form $\alpha|_W: W \times W \rightarrow \mathbb{Q}$ by restricting α .

Proof:

By Induction.

$$\begin{pmatrix} \alpha(x_1, x_1) & 0 & \dots & 0 \\ 0 & \boxed{[\alpha]_{x_2, \dots, x_{n-1}}} & & \\ \vdots & & & \\ 0 & & & \end{pmatrix}$$

Base Case: If $\alpha(x, x) = 0$ for all $x \in V$

Using

$$\alpha(x+y, x+y) = \alpha(x, x) + \alpha(y, y) + 2\alpha(x, y)$$

We get $\alpha(x, y) = 0 \quad \forall x, y \in V$

\therefore In any basis, the Gram matrix is 0 \leftarrow diagonal

Otherwise (Inductive Step):

→ this is ~~seemingly~~ ^{seemingly} any vector, so the ^{resulting} diagonal matrix is for free unique.

Let $x \in V$ s.t. $\alpha(x, x) \neq 0$

Let $W = \{w \in V \mid \alpha(x, w) = 0\} = \ker \alpha(x, \cdot)$

Since $\alpha(x, \cdot)$ is a rank one linear map, $\dim W = \dim V - 1$.

Note $x \notin W$ (by the assumption that $\alpha(x, x) \neq 0$)

By IH, \exists a basis

~~that~~ w_1, \dots, w_{n-1} ~~for~~ W . such that $[\alpha]_{w_1, \dots, w_{n-1}}$ is diagonal. Then, since $x \notin W$, x, w_1, \dots, w_{n-1} is a basis for V and we can check $[\alpha]_{x, w_1, \dots, w_{n-1}}$ is diagonal

□

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Conclay:

Every symmetric matrix is congruent to a diagonal matrix.

But, this diagonal matrix is not unique.

Def'n (Isometry)

Let α be a symmetric form on V . An invertible linear map $T: V \rightarrow V$ is an isometry for α if $\alpha(Tx, Ty) = \alpha(x, y)$ ~~with~~ $\forall x, y \in V$.

(We can understand this as if "preserves inner products")

Lemma:

If $x, y \in V$, ~~with~~ $\alpha(x, x) = \alpha(y, y) \neq 0$, then there exists an isometry $T: V \rightarrow V$ such that $Tx = y$.

Proof: HW Exercise.

Proof: (of with Orthonormal Theorem)

Special Case: $A = B$, $\leftarrow 1 \times 1$ matrix.

$$A = \begin{pmatrix} C & 0 \\ 0 & A_2 \end{pmatrix}, \quad B = \begin{pmatrix} C & 0 \\ 0 & B_2 \end{pmatrix}, \quad C \in \mathbb{R}, C \neq 0.$$

By assumption $A \approx B$, so there is a symmetric form $\alpha: V \times V \rightarrow \mathbb{R}$ and bases x, w, \dots, w_{n-1} and y, z, \dots, z_{n-1} such that $A = [\alpha]_{x, w, \dots, w_{n-1}}$ and $B = [\alpha]_{y, z, \dots, z_{n-1}}$.

Note that $\alpha(x, x) = A_{11} = C = B_{11} = \alpha(y, y) \neq 0$ so there is an isometry $T: V \rightarrow V$ such that $Tx = y$. \hookrightarrow

Proof: (a-7)

Let $W = \{z \in V \mid \alpha(y, z) = 0\} = \ker(y, \cdot)$

As before, $\dim W = \dim V - 1$, and since $\alpha(y, z_i) = B_{1,2+i} = 0$, $z_1, \dots, z_{n-1} \in W$ and they are linearly independent, so they are a basis for W .

Then, $[xw]z_1, \dots, z_{n-1} = B_2$.

Also, $\alpha(y, Tw_i) = \alpha(x, Tw_i) = \alpha(x, w_i) = A_{1,i+1} = 0$

$\therefore Tw_1, \dots, Tw_{n-1}$ is a basis for W and $[x]_{Tw_1, \dots, Tw_{n-1}} = A_2$ (because $\alpha_w(Tw_i, Tw_j) = \alpha(w_i, w_j) = A_{i,j+1}$).

$\Rightarrow A_2 \sim B_2$ (for the special case).

General Case:

Use the fact that $A \sim B \sim D$ for some diagonal matrix D .

Since A, B invertible, D is invertible. Using the special case, repeatedly cancel all diagonal entries of D to deduce $A \sim B$.

$$\left(\begin{array}{c|c} D & 0 \\ \hline 0 & A_2 \end{array} \right) \sim \left(\begin{array}{c|c} A_1 & 0 \\ \hline 0 & I_2 \end{array} \right) \sim \left(\begin{array}{c|c} B_1 & 0 \\ \hline 0 & B_2 \end{array} \right) \sim \left(\begin{array}{c|c} D & 0 \\ \hline 0 & B_2 \end{array} \right)$$

$A \qquad \qquad \qquad B$

Fact that we'll use (and hopefully prove):

$$I_n \sim n I_1 \quad \text{for all } n \in \mathbb{Z}_0$$

Note: Not true for 6×6 matrices:

If it were:

$$\left(\begin{array}{c|c} I_3 & 0 \\ \hline 0 & I_3 \end{array} \right) \sim \left(\begin{array}{c|c} I_3 & 0 \\ \hline 0 & I_3 \end{array} \right)$$

$6 \times 6 \quad \quad 2 \times 2 \quad \quad 6 \times 6 \quad \quad 2 \times 2$

But by row cancellation, the 2 argument both cancel, and we would be left with $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \sim \begin{pmatrix} 3 & 0 \\ 0 & 1 \end{pmatrix}$, which is false.