

# CO749 - Graph Colourings

(Notes Scans)

University of Waterloo  
Nicholas Pun  
Winter 2020

# Contents

Summary	2
Lecture 1	3
Lecture 2	8
Lecture 3	16
Lecture 4	21
Lecture 5	29
Lecture 6	35
Lecture 7	41
Lecture 8	48
Lecture 9	54
Lecture 10	62
Lecture 11	71
Lecture 12	79
Lecture 13	85
Lecture 14	89
Lecture 16	94
Lecture 17	101
Lecture 18	107
References	114

# Summary

Lecture 1, 2 - History

Lecture 3 - Probabilistic method overview, colour degree

Lecture 4 - Reed-Sudakov, Wasteful colouring procedure, expectations for variables in proof of Reed-Sudakov

Lecture 5 - Reed-Sudakov (continued), Concentration Inequalities, Talagrand's inequality

Lecture 6 - Finish Reed-Sudakov, exceptional Talagrand's, Balls & Bins

Lecture 7 - Regularization & Equalizing coin flips, Kim's theorem for girth-five graphs

Lecture 8 - Finishing Kim's, edge-colouring and Kahn's theorem

Lecture 9 - Finishing Kahn's

Lecture 10 - Reed's conjecture, upper bounds, and working towards [1]

Lecture 11 - See [2, 3]

Lecture 12 - Localized Colouring theorems, Local Fractional Colouring, Caro-Wei

Lecture 13 - More Local theorems, Perfect Graphs (Not sure why I only had 2 pages of notes for this lecture)

Lecture 14 - See [4]

Lecture 15 - Missed this one

Lecture 16, 17, 18 - Hadwiger's Conjecture, Proof of Norin-Song theorem (This is probably inaccurate. Lack of review has left me to forget what the main ideas of these lectures were.)

## Lecture 1:

Def'n 1: A k-colouring of a graph  $G$  is a partition of  $V(G)$  into at most  $k$  independent sets.

Def'n 2: A k-colouring of a graph  $G$  is a map  $f: V(G) \rightarrow [k]$  such that  $\forall e = uv \in E(G), f(u) \neq f(v)$

Def'n 3: A k-colouring of a graph  $G$  is a graph homomorphism to  $K_k$ .

Takeaway: There are multiple ways to view what a colouring is.

Weakenings, Generalizations, and Variants of Colouring:

Variants: ("Changing what you color")

- Edge Colouring: A k-edge-colouring of a graph  $G$  is

Def 1: A partition of  $E(G)$  into at most  $k$  matchings

2) A k-colouring of  $L(G)$  (the line graph of  $G$ )

"Changing what you color":

- Total Colouring: A k-total colouring of a graph  $G$  is a map

$f: V(G) \cup E(G) \rightarrow [k]$

•  $f(v) \neq f(w) \quad \forall v, w \in V(G)$

•  $f(v) \neq f(e) \quad \forall v \in e \in E(G)$

•  $f(e) \neq f(e') \quad \forall e, e' \in E(G)$

Generalizations: ("Changing what you are allowed to color")

- List Colouring: (idea: Lists of available colours to vertices)

Def: A k-list-colouring  $k$ -list-assignment of a graph  $G$  is an assignment of lists  $(L(v))_{v \in V(G)}$  such that

$$|L(V)| \geq k = \text{Hve}(G)$$

An L-colouring of a graph  $G$  is a colouring  $\phi$  of  $G$  such that  $\phi(v) \in L(v)$   $\forall v \in V(G)$ .

"Decide what you are allowed to color".

### - Correspondence Colouring:

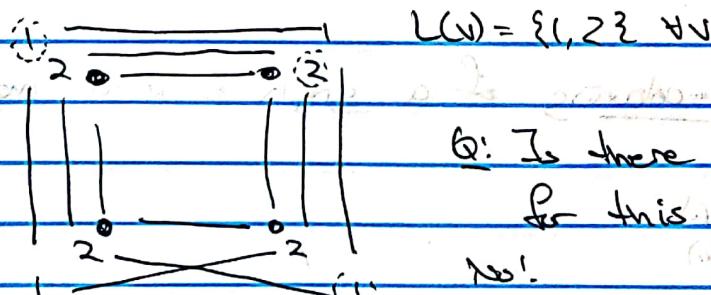
Def: A k-correspondence - assignment is a pair

$(L, M) : (V \in E(G))$ ,  $(M_w : w \in E(G))$ , where  $M_w$  is a matching from  $L(w)$  to  $L(v)$ .

An  $(L, M)$ -colouring of  $G$  is an colouring  $\phi$  of  $G$  such that:

- $\phi(v) \in L(v) \cap M_{\phi(v)}$
- $\phi(w)$  is not matched (to  $\phi(v)$ ) in  $M_w \subseteq M_v \subseteq E(G)$

Ex:  $G = C_4$



Q: Is there an  $(L, M)$ -colouring of  $G$  for this  $(L, M)$ ?

No!

And we run into

trouble here because we are forced to choose between

either 1 or 2 under condition 1. This is a contradiction.

So we run into a contradiction and therefore  $(L, M)$  is not a correspondence.

## Remarks:

- We may as well assume  $L(G) = \{1, \dots, k\} \subseteq \text{UNIV}(G)$
- Correspondence has a "local notion of colour", while list colouring / ordinary colouring have a "global notion of colour"

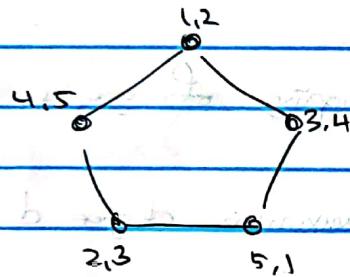
Weaknesses: ("Change what a graph is")

- No Restrictions: Improper colourings, i.e. mappings of graphs
- $d$ -defective colouring: Each colour has maximum degree  $d$
- $c$ -clustered Colouring: Every monochromatic component has size (<# of vertices)  $\leq c$
- No memo: Paths of length  $>$  diameter  $\geq 1$  can be open
- Every colour is  $d$ -degenerate
- Every colour is triangle-free (or more generally, bounded clique #)
- In Fractional Colouring: ("Sharing how you colour")
- Def: An  $(a, b)$ -colouring of a graph  $G$  is a map  $\phi$  such that  $\phi(v)$  is a subset of  $[a]$  of size  $b$  and  $\forall u, v \in E(G), \phi(u) \cap \phi(v) = \emptyset$
- Remark: If  $G$  has a  $k$ -colouring, then  $G$  has a  $(kb, b)$ -colouring  $\forall b$

(i.e. Graph homomorphism to linear graph on  $a, b$ )

The fractional chromatic number  $\chi_f(b) = \inf \left\{ \frac{a}{b} : G \text{ has an } (a, b) \text{-colouring} \right\}$

$$\text{Ex. } \chi_f(C_5) = 5/2$$



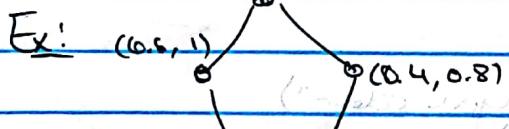
Remark: In graph colouring, you want to minimize  $b$  and maximize  $a$ .

- There is also an LP-formulation and its dual. (its dual costs about weighted independent set)
- An assignment of measureable subsets  $\Phi(v)$  of  $[0, 1]$  to every vertex  $v$  such that:

$$m(\Phi(u) \cap \Phi(v)) = 0$$

$$\chi_f(b) = \frac{1}{\sup_{\text{subset } A \subseteq [0, 1]} m(A)} \quad \text{for all } b > 0$$

where  $m(A) = \sum_{v \in A} \mu(\Phi(v))$



$$\text{Ex: } \chi_f(C_4) = \frac{1}{\sup_{\text{subset } A \subseteq [0, 1]} m(A)}$$

Let's call the above an  $f$ -colouring if  $\mu(\phi(v)) = f(v)$  tv.

Proposition:  $\chi_{f(b)} = k$  iff  $G$  has a  $(\gamma_k)$ -colouring

Proposition:  $G$  has an  $f$ -colouring iff the vector  $(f(v) : v \in V(G))$  is in the independent set polytope (i.e. 1 probability distribution on independent sets such that  $\Pr[\{v\} \in I] = f(v)$ )

### Combinations:

- Defective Clustering X
- List Defective ✓
- Proc. Defective ✓
- List Edge ✓
- Proc. Edge ✓
- Edge Total X
- List Correspondence X (correspondence is already list).
- Fractional List ✓
  - find  $b$ -colouring from  $a$ -list-assignment. (multicolouring)

$$\chi_{f, \text{list}}(G) = \inf \left\{ \frac{a}{b} : \forall \text{ } a\text{-list assign } G \text{ has a } b\text{-colouring} \right\}$$

Theorem:  $\chi_f(b) = \chi_{f, \text{list}}(b)$

- Fractional Correspondence.

## Lecture 2:

Questions (Results): (on Graph Colourings)

A graph  $G$  is  $k$ -colorable if there exists a  $k$ -coloring function  $f$  such that  $f(v) \neq f(u)$  for all adjacent vertices  $v$  and  $u$ .

## Def'n (Characteristic Number)

The chromatic number, denoted  $\chi(G)$ , is the minimum k s.t.  $G$  is  $k$ -colorable.

Question: Why is this a ~~referred~~ <sup>good</sup> definition?

If a graph is  $k$ -colorable, then it is  $(k+1)$ -colorable as this is a natural def'n.

(Prop: If  $H \subseteq G$ , then  $\chi(H) \subseteq \chi(G)$ )

## Remarks

A graph is 1-colorable iff no edges

$\text{--- } t_1 \text{ --- } 2\text{-colorable iff } \exists z \in \mathbb{Z} \text{ no odd cycles}$

— 11 — 3 - colored ~~diff~~, no good answer! L. J. 10-2

↳ Since NP-hard to decide if a graph is 3-col.

A graph is critical for  $k$ -coloring if it is not  $k$ -colorable, but every proper subgraph is. (Also formerly known as  $(k-1)$ -critical)

List Colouring:  $\rightarrow$  (Introduced by Erdős, Rubin, Taylor in 1974 and Vizing 1976) Independently by

The list chromatic number (aka choice number or choosability)

denoted  $\chi_L(G)$  is the minimum  $k$  such that  $G$  has a  $L$ -coloring  $\forall k$ -list-assignments  $\in L$ .

Proposition:  $\chi(G) \leq \chi_L(G)$

Proposition: If  $H \subseteq G$ ,  $\chi_L(H) \leq \chi_L(G)$

(i.e. The list chromatic number remains monotone)

Def'n  $G$  is  $k$ -list-colorable if  $\chi_L(G) \leq k$   
(aka  $k$ -choosable)

Def'n  $G$  is critical for  $k$ -list-coloring if  $G$  is not  $k$ -list-col.  
but every proper subgraph is

$L$ -critical w.r.t. list assignment  $L$  if  $G$  is not  
 $L$ -col., but  $\forall$  proper subgraph  $H$  is.

How is list coloring different from coloring?

Theorem:  $\chi_L(k_{d,d}) = \Theta(\log d)$

(But note:  $\chi(k_{d,d}) = 2$ )

Theorem (Alon 2002)

If  $G$  is a graph of min. degree  $d$ , then  $\chi_L(G) = \mathcal{O}(\log d)$ .

Conjecture:  $\forall k$ , if  $\chi(G) \leq k$ , then  $\chi_c(G) = O(\text{closed})$  (and triangle free)

### Correspondence Colouring (aka DP-colouring)

Def: Corr. Chromatic # (aka DP-chr.#), denoted  $\chi_c(G)$  (aka  $\chi_{DP}(G)$ ) is min  $k \in \mathbb{N}$  s.t.  $\forall (L, M)$   $k$ -corr-assign.  $G$  has a  $(L, M)$ -colouring critical for "

$(L, M)$ -critical for "

Theorem (Benshteyn, 2018)  $\chi_c(G) \geq \Delta + 1$

If  $G$  is  $d$ -regular, then  $\chi_c(G) = \lceil \frac{d}{\log d} \rceil$

Back to questions:

Types of questions:

- Chromatic # are related to other graph parameters (E.g. degree, clique #, etc.)
- Chromatic # of certain graph classes (E.g. Planar, surfaces, etc.)
- Algorithmic questions, e.g. Deciding if colouring exists, finding a colouring, sample to a colouring uniformly (at random)
- How many colourings?
- Re-colouring: Can we get from one colour to another?

## Relations to Other Parameters

- Colouring  $\text{max}_{\text{HSG}} \frac{v(H)}{\alpha(H)} \leq \chi_f(G) \leq \chi(G) \leq \chi_L(G) \leq \chi_{DP}(G)$

Obs:

- Chromatic Hall ratio

- Chvátal (1973) conjecture:  $\chi_f(G) \leq f(\text{Hall ratio})$

(Recent result: If s.t.  $\chi_f(G) \leq f(\text{Hall ratio})$ )

2019

- Degree, Clique #, Birth

$\chi_{DP}(G) \leq \Delta(G) + 1$  (Greedy Bound)

mix degree

Brooks Thm:  $\chi(G) \leq \Delta(G)$  unless  $G$  contains K<sub>2,2</sub> or an odd cycle if  $\Delta = 2$

(Other version: If connected, then  $\chi \leq \Delta$  unless  $G$  is iso to clique or odd cycle if  $\Delta = 2$ )

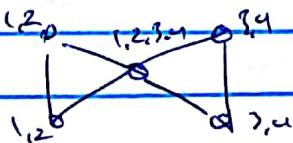
ERT,  $\chi_L(G) \leq \dots$

Independently Vizing

ERT (Brooks) If  $L$  is a list assignment of connected  $G$

such that  $|L(v)| \leq \Delta(v)$ , then  $G$  is  $[L]$ -colorable

unless every block of  $G$  is a clique or odd cycle



Günther:

Kim '95: If  $G$  has girth  $\geq 5$ ,

$$\text{then } \chi(G) \leq (1+o(1)) \frac{\Delta}{\log \Delta}$$

Johannsen '99: If  $G$  is triangle-free, then:  $\chi(G) = O\left(\frac{\Delta}{\log \Delta}\right)$

Holroyd '17: ~~If  $G$  is triangle-free, then:  $\chi(G) \leq (1+o(1)) \left(\frac{\Delta}{\log \Delta}\right)$~~

If  $G$  is triangle-free for fixed  $n$ , then:  $\chi \leq O\left(\frac{\Delta}{\log \log \Delta}\right)$

Conj:  $\log \log \Delta$  is not necessary.

Question: Can we do better than  $\frac{\Delta}{\log \Delta}$ ?

Erdős: If graphs of arbitrary girth and chromatic #

'59

Best known result in Ramsey Theory

$\Rightarrow$  If graphs of arbitrary girth and  $\chi \geq \frac{1}{2} \frac{\Delta}{\log \Delta}$

$\hookrightarrow$  So, we

Want!

Question: Is the answer 1 or  $\frac{1}{2}$  or in-between?

Reed's Conjecture (1992)  $\chi(G) \leq \left\lceil \frac{\Delta + 1 + \omega}{2} \right\rceil$

$\Rightarrow$  True for  $\chi_p(G)$  (Reed)

for  $\omega \geq .9999948 \Delta$  (i.e.  $\log \frac{\Delta}{\omega} \geq 1$ ).

Tamm (Devaud, P.)

If  $\Delta$  large enough, then  $\chi \leq \left\lceil \frac{\sqrt{2}}{2} (\Delta + 1) + \frac{1}{2} \omega \right\rceil$ .

## Chromatic # of Graph Classes:

Four Color Theorem (Appel & Haken, 1977/70)

(Conjectured 1852)

If  $G$  is planar,  $\chi(G) \leq 4$ .

→ Later proof by Robertson, Sanders, Seymour, and Thomas (1994/6)

(Formally verified by computer systems in 2000's)

Grötzsch's Theorem (1959)

If  $G$  is planar, triangle-free, then  $\chi(G) \leq 3$ .

Surfaces:

Genus of a surface =

$2 \times \# \text{ of handles} + \# \text{ of cross caps}$

Heawood's Bound: If  $G$  is a graph embedded in a surface of genus  $g$ , then

$$\chi(G) \leq \frac{7 + \sqrt{49 + 24g}}{2}$$

Ringel-Yang Thm (1960's)

Heawood's Bound is tight for every surface, except the Klein bottle, where  $\chi \leq 6$ .

## Hadwiger's Conjecture:

(Caragiannis '13) If  $G$  has no  $k_t$ -minor, then  $\chi(G) \leq t-1$   
 $\rightarrow$  True for  $t=3$  and proved by Had for  $t=4$

Wagner '37 - Showed  $t=5$  is equal to UCT

Robertson, Seymour, Thomas '96 '94 :  $t=6$  equal to UCT

Open for all  $t \geq 7$ .

## Weaknings:

Thm (Reed-Seymour, '90):

If  $G$  has no  $k_t$ -minor, then  $\chi_f(G) \leq 2t$

Thm (Edwards, Kay, Kn, Om, Seymour, '15)

If  $G$  has no  $k_t$ -minor, then  $G$  is a  $d$ -defective,  $t$ -colorable

Thm (Dvorak-Norin, '18+)

$G$  is  $\ell$ -C-clustered,  $t$ -col.

Thm (Chudakker, Thomassen '80s)

If  $G$  has no  $k_t$ -minor, then  $G$  is  $O(t\sqrt{t\log t})$ -degenerate

Thm (Nešetřil, Šajna, '10+)

If  $B > k_t$ ,  $G$  is  $O(t(\log t)^B)$ -col.

best version of Hadwiger's is false  
see Theorem 6.6 w/  $\chi_2(G) \geq 4\beta t$

Strong perfect graph theorem (Chudnovsky, Robertson, Seymour, Thomas, '06)

$G$  is perfect iff  $G$  has no induced  $C_{2k+1}$  or  $\overline{C}_{2k+1}$  for  $\forall k \geq 2$

(2k+1)-cycle and its complement  
and their complements are both perfect

Part 1: If  $G$  is not perfect, then  $G$

has a  $C_{2k+1}$  or  $\overline{C}_{2k+1}$  as an induced subgraph

Part 2: If  $G$  has a  $C_{2k+1}$  or  $\overline{C}_{2k+1}$  as an induced subgraph, then  $G$  is not perfect

Part 3: If  $G$  has a  $C_{2k+1}$  or  $\overline{C}_{2k+1}$  as an induced subgraph, then  $G$  is not perfect

Part 4: If  $G$  has a  $C_{2k+1}$  or  $\overline{C}_{2k+1}$  as an induced subgraph, then  $G$  is not perfect

Part 5: If  $G$  has a  $C_{2k+1}$  or  $\overline{C}_{2k+1}$  as an induced subgraph, then  $G$  is not perfect

Part 6: If  $G$  has a  $C_{2k+1}$  or  $\overline{C}_{2k+1}$  as an induced subgraph, then  $G$  is not perfect

## Lecture 3:

The Probabilistic Method: a probabilistic approach to combinatorial problems

3 Pillars of prob. method: Lovasz Local Lemma (introduced in 1975), Chernoff Bound (1952), JL

- Linearity of Expectation (and basic probability)
- Lovasz Local Lemma (introduced in 1975)
- Concentration Inequalities - Markov, Chebyshev, Chernoff, Talagrand's

Linearity of Expectation:

Expectation: Let  $X$  be a discrete R. v.v., then:  $E[X] = \sum_{i \in \Omega} P(X=i) \cdot i$

Linearity of Expectation: If  $X = \sum_{i \in \Omega} X_i$  (finite), then  $E[X] = \sum_{i \in \Omega} E[X_i]$

Independent Variables: 2 events in a probability space  
if  $P[A \cap B] = P[A] \cdot P[B]$  or  $P[A_1 \cap A_2 \cap \dots \cap A_n] = P[A_1] \cdot P[A_2] \cdot \dots \cdot P[A_n]$

Let  $\mathcal{A} = \{A_1, \dots, A_n\}$  be events in a probability space. Then:

- We say the events in  $\mathcal{A}$  are pairwise independent if  $A_i \cap A_j$  are independent for all  $i \neq j$ .
- We say the events in  $\mathcal{A}$  are mutually independent if  $A_i$  is mutually independent of  $\mathcal{A} \setminus \{A_i\}$ , i.e.  $A_i \cap A_j = A_i$  for all  $j \neq i$ .

Question: If  $\mathcal{A}$  is pairwise independent then is it mutually independent?

A: No! Example: n=3 coins and ~~space of flipping~~ of flipping where # of heads is even and  $A_i$  is event i has heads.  $A = \{A_1, \dots, A_n\}$

to prove Local Lemma! → often referred to as "bad events"

If  $A = \{A_1, \dots, A_n\}$  is a set of events in probability space, and for every  $i$ , there is  $B_i \subseteq \bigcap_{j \neq i} A_j$  such that  $A_i$  is mutually independent of  $\{A_j : j \in [n] \setminus \{i\}\}$   $\left(\Pr[A_i \mid \bigcap_{j \neq i} A_j] = \Pr[A_i]\right)$  i.e. Bad events are not too dependent AND  $\Pr[B_i] \leq d$ ,  $\forall i \in [n]$  AND  $\Pr(A_i) \leq p$ ,  $\forall i \in [n]$  i.e. Bad events are unlikely AND  $d p^{\binom{n-1}{d}} \leq 1$  (also  $d p^d \leq 1$  works too!)

Then,  $\Pr[\bigcap_{i=1}^n \bar{A}_i] > 0$ .

(i.e. with positive probability, none of the bad events occur)

\* Using Union: If  $A_i$  is independent of most other events.  
 (Example:  $A_i$  is <sup>mutually</sup> independent of any  $n-2$  sets of coins but not  $n-1 \Rightarrow$  No unique maximal set).

Remarks: If  $A_i$  is taken as arbitrary union by  $\bigcup_{j \in S_i} A_j$  where  $S_i$

- Use the local lemma, say, if union bound fails (Recall that the union bound is:  $\Pr[\bigcup_{i=1}^n A_i] \leq \sum_{i=1}^n \Pr[A_i]$ )
- The "positive probability" is not very large, i.e. Usually  $O(2^{-|A|})$ , so could be exponentially small on the # of events.
- We use it to construct a good outcome.

Algorithmic Q: Can we find a good outcome efficiently?  
 & Sampling is bad idea since this may take exponentially long b/c of low probability)

Cos

Moser-Tardos (2000): There exists an algorithm to find a good outcome of the LLL in "the variable model" that runs in time  $O(|A|)$

Every event depends on a bounded # of variables w/ bounded  $\#$  of states and  $R_i = \{A_j \in \mathcal{A} : A_j \text{ depends on at least one common variable}\}$

### Moser-Tardos Algorithm:

- Sample all the independent trials in the probability space
- WHILE  $\exists$  a bad event  $A_i$ :
- Resample, at random, all the trials that  $A_i$  depends on
- RETURN good outcome.

### (Some) Applications of LLL to colourings:

#### Hypergraph Colouring:

Recall: Hypergraph  $H = (V, E)$ :  $V$  - Set of vertices,  $E$  - Set of hyperedges (i.e. Sets of edges/vertices)  $\text{let } H = (A, B)$

$H$  is  $k$ -uniform if  $\forall e \in E(H) \text{ s.t. } |e| = k$

(Note!  $k=2$  gives us simple graphs)

Def'n A  $k$ -colouring of a hypergraph  $H$  is a partition of  $V(H)$  into at most  $k$  independent sets of  $H$ .

A set  $I \subseteq V(H)$  is independent if  $\forall e \in E(H) \text{ s.t. } e \subseteq I$

$\Rightarrow$  If  $I$  is independent, then no edge of  $H$  is entirely contained in  $I$

or equivalently  $\exists \phi: V(H) \rightarrow [k]$  such that  $\forall e \in E(H), \exists v_1, v_2 \in e$  such that  $\phi(v_1) \neq \phi(v_2)$  (i.e. No monochromatic edges).

The chromatic number  $\chi(H)$  is the min  $k$  s.t.  $H$  is  $k$ -colorable.

Q: How is  $\chi(H)$  related to  $\Delta(H) := \max_{v \in V(H)} d_H(v)$ , where  $d_H(v) := |\{e \in E(H) : v \in e\}|$ ?

Trivial Bound:  $\chi(H) \leq \Delta(H) + 1$  (Clearly!)

Theorem: If  $H$  is a  $k$ -uniform hypergraph ( $k \geq 2$ ), then

$$\chi(H) \leq (ek\Delta(H))^{\frac{1}{k-1}}$$

Proof:

We will use LLL.

Assign every vertex  $v$  of  $H$  a "color" from  $[L]$ , where  $L = \lceil (ek\Delta(H))^{\frac{1}{k-1}} \rceil$ .

Define bad event:

$A_e = \text{Edge } e \text{ is monochromatic in } \phi$ .

$\Pr(A_e) = \frac{1}{L^{k-1}}$  (because color of 1st vertex can be anything, but  
 $\Rightarrow$  after that, there needs a different color).

Let  $B_e = \{f \neq e \in E(H) : f \sim e\}$ , then by variable model, it follows that  $A_e$  is mut. ind. of  $\mathcal{A}(A_e \cup B_e)$

$$|B_e| \leq k(\Delta(H)-1) (= d) \leq k\Delta(H)-1$$

at most  $k$  vertices.  
max degree.

$$\text{So: } \text{ep}(d+1) = e \cdot \frac{1}{L^{k-1}} k\Delta(H) = \frac{ek\Delta(H)}{((ek\Delta(H))^{\frac{1}{k-1}})^{k-1}} = 1$$

So, by LLL,  $\exists$  a  $\phi$  avoiding all  $A_e$ , i.e. a  $k$ -coloring of  $H$ .

Color Degree!

Def'n: Let  $G$  be a graph and  $L$  list assignment of  $G$ .

Let  $|L|$  denote the min. size of a list, i.e.  $\min_{v \in V(G)} |L(v)|$

We define:

The color-degree of a vertex  $v \in V(G)$  in color  $c \in L(v)$  is:

$$d_L(v, c) := |\{u \in N(v) : c \in L(u)\}|$$

The color-degree of  $v$ , denoted  $d_L(v) = \max_{c \in L(v)} d_L(v, c)$

The maximum color-degree of  $G$  w.r.t.  $L$ , denoted is:

$$\Delta_L(G) := \max_{v \in V(G)} d_L(v, \bullet)$$

Question: Does  $\exists$  function  $f$  s.t. if  $|L| \geq f(\Delta_L(G))$ , then  $G$  has an  $L$ -colouring? (analogous to  $|L| \geq \Delta(G) + 1$ , then  $G$  has an  $L$ -colouring)

Theorem (Alein '58 (with constant  $\geq .5$ ), '92)

If  $|L| \geq 2e(\Delta_L(G) + 1)$ , then  $G$  has an  $L$ -colouring

Theorem (Havel '00 - follows from a more general thm)

If  $|L| \geq 2\Delta_L(G)$ , then  $G$  has an  $L$ -colouring

Theorem (Reed-Sudakov, 2002)

If  $|L| \geq (1 + o(1))\Delta_L(G)$ , then  $G$  has an  $L$ -colouring

## Lecture 4:

Review: (Color Degree)

G graph, L list assignment

$$\text{LL} := \min_{v \in V(G)} |\{w \in N(v) : \text{cell}(w)\}|$$

$$d_L(u, c) := |\{v \in N(u) : \text{cell}(v) = c\}|$$

$$d_L(u) := \max_{c \in \mathcal{C}} d_L(u, c)$$

$$\Delta_L(G) := \max_{v \in V(G)} d_L(v)$$

Theorem (Alon '92)

If  $\text{LL} \geq 2e(\Delta_L(G) + 1)$ , then G has an L-coloring.

Proof:

(We may assume wlog  $\text{LL}(G) = L$ )• Color every vertex  $\overset{\text{uniformly}}{\underset{\text{random}}{\sim}}$  randomly from its list• Bad events:  $\bigwedge_{v \in V(G)} \Phi(v) = \Phi(v) = c \quad \forall c \in \text{cell}(v) \cap L(v)$ • Then  $\Pr[\Phi(c)] = \frac{1}{L^2} \Rightarrow \dots$ •  $\Phi(c)$  is mutually independent of  $\Phi(c')$  whose edge  $e' \neq e$ •  $B_{e,c} = \left\{ \text{A } e', c' : e' \text{ ne or } e' = e \text{ and } \text{cell}(u') \cap L(u') \right\}$ 

$$= 2 \cdot \text{LL} \cdot \Delta_L(G) = \underbrace{\text{deg}_L(u)}_{\text{edges}} \cdot \underbrace{\text{deg}_L(u)}_{\text{edges}}$$

↓      ↓      ↗

Pick an end Pick a neighbor  $w$  of  $x$   
 (near  $v$ ) over  $c'$  where  $\text{cell}(w)$ .  
 Call this  $x$

- Proof (cont)

- Then, by LLL:

$$\text{Since } \epsilon p(L+1) = e^{\frac{1}{L+2}} (2|L| \cdot \Delta_L(G))$$

$$= 2e \frac{\Delta_L(G)}{|L|} \leq 1.$$

- Then  $\exists$  a coloring  $\phi$  according all dec, i.e. an L-coloring of  $G$ , as desired.

(Note! We can actually get rid of the "+1" in the statement of the theorem)

- Theorem (Maxwell)

- If  $|L| \geq 2\Delta(G)$ , then  $G$  has an L-coloring

- Actually follows from the following more general theorem:

- Theorem (Maxwell)

- Let  $k \geq 1$  be an integer. If  $V_1, V_2, \dots, V_r$  is a partition of  $V(G)$  into independent sets for a graph  $G$ , such that:

- $|V_i| \geq 2k$   $\forall i \in [r]$ , and

- $\Delta(G) \leq k$ , and

- Then  $\exists$  an independent set  $I$  of  $G$  s.t.  $\forall i \in [r], I \cap V_i \neq \emptyset$ .

(Called an independent <sup>transversal</sup>)

C<sub>0</sub>

How does it imply previous claim?

Let  $H$  be such that

$$V(H) = \{ (v, c) : v \in V(G), c \in L(v) \}$$

$$E(H) = \{ (v, c)(v', c') : v v' \in E(G), c = c' \}$$

Then  $H$  satisfies independent transversal theorem by assumption on  $G$ . So, by that theorem,  $I$  is an independent transversal, i.e. An L-colouring.

Remark: Clearly also this implies correspondence by letting  $E(H) = \{ (v, c)(v', c') : v v' \in E(G), c \text{ matched to } c' \text{ in } H \}$ .

Remark: This is tight! (i.e. The result of 2). For general independent transversals.

Conjecture (Reed, n<sup>90s</sup>)  $\exists c > 0$  s.t.  $\forall G$   $\Delta(G) \geq c \cdot \text{LI}(G)$

If  $\text{LI}(G) \geq \Delta_1(G) + 1$ , then  $G$  has an L-colouring

Fact: Bohman and Holzman disproved this conjecture!

Still open if  $\text{LI}(G) \geq \Delta_1(G) + 2$  works! (Has been open for about 20 years)

Theorem (Reed-Sudakov, '02)

If  $\text{LI}(G) \geq (1 + o(1)) \Delta_1(G)$ , then  $G$  has an L-colouring

(Note: Equivalent to:

For  $\exists \epsilon < 0$  s.t.  $\text{LI}(G) \geq \Delta_1(G) + \epsilon$ , if  $\Delta(G) = \Delta$  and  $\text{LI}(G) \geq (1 + \epsilon) \Delta$ , then  $G$  has an L-colouring).

- Remark:
- Kolla, Loh and Sudakov proved that the ratio for independent sets versus is  $(1 + o(1))$  assuming that every vertex has at most  $o(k)$  neighbors in any other partition.
- Proof (Reed-Sudakov)
- Note: Uses Rodl-Nibble (color semirandom) method of iteratively constructing a solution or little bit at a time.
  - (In particular, uses the "Wasteful Colouring Procedure" to implement one such iterative procedure)
- Note #2: Uses LLL and concentration inequalities to prove that there is a decent enough outcome of the WCP
  - to continue iterating, until the Big Finish
- What is our finish? - Alan's Theorem (or Haussel's), i.e. can  $L$ -color if  $|L| \geq 2e\Delta(b)$
- How will our iterative step be improving?: Progress will be in the ratio,  $\frac{|L|}{\Delta(b)}$ . In fact, in our proof, it will take some constant, depending on  $\epsilon$ , # of steps.

Proof details:

Big assumption for now:

Let's assume  $\text{HCL}(w)$  that  $d(w, c) = d(w) = \Delta(G)$   
 (of course, we may assume w.l.o.g.  $L(w) = \{1, 2, \dots, \Delta\}$ )

Whistful Coloring Procedure:

- Independently "activate" each vertex of  $G$  for some probability  $p$  to be fixed later.

(Remark (if the activations are correct):

$$P = \frac{e^{C(\epsilon)}}{\rightarrow} \quad (\text{probability activation} / (\text{number of vertices}) = \text{constant})$$

works and any smaller  $p \geq \text{polylog}(\Delta)$ ).

- Now color every activated vertex  $v$  with a color  $\ell(v)$  selected uniformly at random from  $L(v)$

This is the "whistful" step!

- Remove  $\ell(v)$  from the list of all  $v$ 's neighbors  
 (Un-color any vertex which has the same color as one of its neighbors)  
 $\ell(v)$  is no longer in its list)

- Let  $\ell'$  be the resulting color, and  
 $L'$  be the resulting list

Remark: In the "naive coloring procedure" we only remove colors from neighbor's list if they keep the color.

Here, we use WCP over NCP w/c

- 1) NCP is harder to analyze for concentrations, and
- 2) The probability that an active keeps its color will be close to 1, so in practice, much difference here

We let  $B$  be the set of vertices that do not receive a color from  $\Phi$

Let  $G' = G[B]$  where  $A$  is activated (weakly procedures)

(btw  $L'(v) = L(v) \setminus \{\Phi(v) : v \in N(v) \cap A\}$ )  $\rightarrow v$  would be fair noise.

We'll prove that there is an outcome with

$$\frac{|L'|}{\Delta_L(G')} \geq 1 + \epsilon \quad \leftarrow \text{GOAL!}$$

(In fact,  $(1 + \epsilon)(1 - \epsilon/4)$ ).

Expectations:

- o List: Want to calculate  $E[|L'(v)|]$  for a given  $v$

$$E[|L'(v)|] = \sum_{c \in \Phi(v)} \Pr[\text{color } v \in L'(v)]$$

By linearity of expectation

$$= \sum_{c \in \Phi(v)} \Pr[\text{color } v \in L'(v) \cap A \text{ with } \Phi(v) = c]$$

See note on next ps.  
← measure

$\times 4 \times \text{R}(\text{R})$

$$\leftarrow \text{vertex} \quad \Pr[\text{color } v \in L'(v) \cap A \text{ and } \Phi(v) = c]$$

$$\leftarrow \text{vertex} \quad \Pr[(1 - \Pr[\text{color } v \in L'(v) \cap A \text{ and } \Phi(v) = c])]$$

Burst activation is independent of colouring:  $\Pr_{\text{wxy}}[T = \infty | \text{Rr}[u \in A] \wedge \text{Rr}[c(u) = c]]$

$$= \Pr_{\text{wxy}}[(1 - P[u \in A]) \wedge (c(u) = c)]$$

In fact, since  $c(u) = c$  only happens if  $\text{cel}(u)$ , we can write  $\Pr_{\text{wxy}}(u, c)$ .

$$= ((1 - \frac{P}{|L|})^{\text{IN}_L(u, c)})$$

$$= ((1 - \frac{P}{|L|})^{\Delta_L(u)}) \leftarrow \text{by color regularity}$$

$$\approx e^{-P \Delta_L(u)}$$

So, the sum is:

$$\sum_{c \in C} \Pr_{\text{wxy}}[u \in A]$$

So, note that when  $P \ll 1$ , the weight of the list by word is  $\approx \frac{1}{2}$  each time.

Btw, if  $P$  small, then we return a decent chunk.

Color degrees:

$$\sum_{c \in C} \Pr_{\text{wxy}}[u \in A \text{ and } c(u) = c]$$

(Remark: Do this whether or not  $\text{cel}(u)$ )



$$\Pr[\text{def}(w, c)] = \sum_{v \in N(w, c)} \Pr[\text{def}(v) \text{ and } c \neq v]$$

$$= \sum_v \Pr[\text{def}(v) \text{ and } c \neq v]$$

$$= \sum_v \Pr[\text{def}(v)] \Pr[c \neq v]$$

$$\leftarrow \Pr \sum_{c \neq v} \Pr[\text{def}(v) \text{ and } c \neq v]$$

$$\Pr[\text{def}(v)] \leq \Pr[\text{def}(v)]$$

$$\Pr[c \neq v]$$

Let's pretend that  $c = q(v)$  does not matter:

$$\leq \sum_v \left( (1 - p)(1 - \frac{p}{|L|})^{\Delta_L(v)} \right) \Pr[\text{def}(v)] \Pr[c \neq v]$$

$$+ \Pr[\text{def}(v)] \cdot \frac{1}{|L|}$$

$$+ (p(1 - \Pr[c \neq v])^{\Delta_L(v)}) \left(1 - \frac{p}{|L|}\right)^{\Delta_L(v)}$$

$$\left(1 - \frac{p}{|L|}\right)^{\Delta_L(v)}$$

$$= \Delta_L(v) \text{ times}$$

Against all the def cases, constant is 1

## Lecture 5:

Recall:

We were proving Reed-Solomon, and had the following expected values:

$$\text{keep} := \left(1 - \frac{p}{2}\right)^{\Delta_L(G)} \approx e^{-\frac{pD}{2}} \quad (= \Pr(\text{cc } L'(v) \text{ kee } L(v)))$$

$$\mathbb{E}[L'(v)] = \text{keep} \cdot |L|$$

$$\mathbb{E}[d_{L,G}(v,c)] = d_{L,G}(v,c)(c(p) \cdot \text{keep} + p(\text{keep} \cdot \frac{1}{|L|} + (1-p)(1 - \frac{2}{|L|})))$$

not activated  $\uparrow$  keeps if  $a(v)=c$  and  $\text{keep}_c$   $\downarrow$  keeps  $a(v)$   
 and  $c$  and  $\text{keep}_c$

But it turns out that

this variable doesn't concentrate well, so we'll use another.

Picking a better variable:

$$d_{L,G}(v,c) = |\{u \in N_G(v, c) \cap V(G')\}|$$

$G \xrightarrow{p} G'$        $L \xrightarrow{p} L'$

But, if turns out we don't need to care about the list, so instead let's look at  $|N_G(v, c) \cap V(G')|$ .

Claim

$$d_{L,G}(v,c) \leq |N_G(v,c) \cap V(G')|.$$

$$\mathbb{E}[|N_G(v,c) \cap V(G')|]$$

$$= \sum_{u \in N_G(v,c)} \Pr[u \in V(G')] \quad (\text{law of exp.})$$

$$= \sum_{u \in N_G(v,c)} [1 - \Pr[u \notin V(G')]] \quad (\text{these events independent.})$$

$$= \sum_{u \in N_G(v,c)} [1 - \Pr[u \in A \text{ and } \phi(u) \text{ is kept}]] = d_{L,G}(v,c)(1 - p \cdot \text{keep})$$

On to some iterative calculations:

- Now, if we could show that with "high enough" probability every  $|L'(G)|$  and  $|N_{L(G)}(v_i) \cap V(G')|$  are close enough to their expectations to apply the LLL, then we'll be happy with the following calculation:

$$\frac{|L'|}{\Delta_{L(G')}} \geq \frac{\mathbb{E}[|L(G')|]}{\mathbb{E}[|N_{L(G)}(v_i) \cap V(G')|]} = \frac{|L|}{(1-p_{\text{keep}}) \cdot \Delta_L(G)}$$

Some  $\checkmark$  Old ratio's  
 factor  $\rightarrow$  we will argue this  
 is close to 1.

$$\geq \frac{|L|}{\Delta_L(G)} \cdot \frac{1 - \frac{p\epsilon}{1+\epsilon}}{1 - p(1 - \frac{p\epsilon}{1+\epsilon})} \quad \left\{ \begin{array}{l} \text{using that } (1 + \frac{x}{n})^n \geq 1 + x \text{ for} \\ n > 1 \text{ and } |x| \leq n \end{array} \right.$$

Note:  $\frac{\Delta}{\Delta_L} = \frac{1}{1+\epsilon}$  (see assumption we made)

$$= \frac{|L|}{\Delta_L(G)} \cdot \frac{1 - p/\epsilon}{1 - p(1 - p/\epsilon)}$$

$$= 1 + \frac{p\epsilon}{1+\epsilon} - \frac{\epsilon^2}{1+\epsilon}$$

Now if we choose  $p = \epsilon/2$ , then we get

$$= 1 + \frac{\epsilon^2}{4(1+\epsilon)} \quad \left\{ \begin{array}{l} \text{so, we get same improvement} \\ \text{in each iteration} \end{array} \right.$$

How to show a variable is close to its expectation:

### Concentration Inequalities

#### Hoeffding's Inequality:

If  $X \geq 0$  is a random variable,

$$\Pr[X \geq k\mathbb{E}[X]] \leq e^{-k}$$

#### Chebyshov's Inequality:

The variance of a random variable  $X$ , denoted  $\text{Var}[X]$  is

$$\text{Var}[X] = \mathbb{E}[(X - \mathbb{E}[X])^2]$$

The standard deviation,  $\sigma$  of  $X$  is  $\sqrt{\text{Var}[X]}$

Then, let  $\mu = \mathbb{E}[X]$ , then

$$\Pr[X \geq \mu + k\sigma] \leq e^{-k^2}$$

Proof: Use Markov's inequality

#### Chernoff Bounds:

Let  $X = \sum_i X_i$  where  $X_i$  is a Bernoulli r.v. (i.e. only takes value of 0 and 1) and all independent

$$\Pr[X \leq ((1-\delta)\mu)] \leq e^{-\frac{\delta^2\mu}{2}} \quad (0 \leq \delta \leq 1)$$

$$\Pr[X \geq ((1+\delta)\mu)] \leq e^{-\frac{\delta^2\mu}{3}} \quad \text{if } 0 < \delta \leq 1 \quad \text{if } \delta \geq 1$$

(i.e. Exponentially small if  $\delta$  constant and gives better bound if  $\delta \gg \frac{1}{\mu}$ ).

Example:

$$\mathbb{P}[A \cap N_{L,G}(v,c)] = P\Delta(G)$$

$$\Pr[A \cap N_{L,G}(v,c)] \geq P\Delta(G)(1 + \delta) \leq e^{-\frac{\delta^2(P\Delta(G))}{3}} \quad (\text{By Chernoff}).$$

$$\text{i.e. } |A \cap N_{L,G}(v,c)| = P\Delta(G) + \sqrt{P\Delta(G)\delta^2} \text{ polylog } P\Delta(G)$$

with prob.  $1 - \frac{1}{\Delta c}$  for any  $\delta$ .

So, by LLL, this holds for all  $v$  with same positive prob.

But we need to concentrate:

$$|L'(v)| \text{ and } |N_{L,G}(v,c) \cap V(G')|$$

Depends on color  $C(v)$   
being in  $L(v)$  which  
depends on activation flips  
and other assignments for  
 $N_{L,G}(v,c)$  (But not independent!)

Even worse!

(Stronger interactions with  
neighbors + 2nd neighbors)

"Simple" Concentration Bound (Book of Blahay & Reed).

Let  $X$  be a r.v. that depends only on the outcome  
of a set of independent trials  $T_1, T_2, \dots, T_n$ . Suppose that  
changing the outcome of any one trial changes  $X$  by  
at most  $C$  ( $C$  constant). This is called  $C$ -Lipschitz.

Then,

$$\Pr[|X - \mathbb{E}[X]| \geq t + 8c\sqrt{\mathbb{E}[X]}] \leq e^{-\frac{t^2}{3n}}$$

Remark: Note that the denominator has the # of trials.  
(not exponential)

## Concentrating $\|L'(w)\|$

Trials: activating flips & activations of  $\text{Neigh}(v)$   $\Delta L(v)$

- I-lipschitz: Since changing any one trial (activation) changes  $\|L'(w)\|$  by at most I.

Result:  $E[\|L'(w)\|] = \text{Lip} \cdot \Delta L(G) = \Theta(\Delta L(G))$

What is  $n$ ? Interacting with  $v$  leads to  $\Delta L(v)$

- If not doing colour degree, then  $\Delta L$  (Good!)

- But, w colour degree it's:

$\Delta L(G) \cdot |L|$  (If every color the neighbors holds)

$\Delta L(G) \cdot d_m$  (as long as disjoint)

$$= DCL \cdot \epsilon \Delta L(G)^2$$

(This is Bad! The simple concentration bound is only meaningful for  $t > \frac{\Delta L(G)}{\epsilon}$ )

## Talagrand's Inequality (COP10s)

(A)

Combinatorial version: (There is also a probability one)

Let  $X \geq 0$  depend on independent trials  $T_1, \dots, T_n$ . If  $X$  is C-lipschitz and r-verifiable, then for any  $t > 96\sqrt{rc^2/\epsilon}$

then:

$$\Pr[X - E[X] > t] \leq 4e^{-\frac{t^2}{8rc^2(4rc^2 + t)}}$$

Remark: If  $r, c$  constant, then get exponentially small in  $t$ ; if  $t = \Theta(E[X])$  and still meaningful for  $t \gg \sqrt{rc^2}$ .

$r$ -verifiable: For every  $\delta > 0$ , if  $x \geq s$ , then there exists a set  $Z$  of at most  $rs$  trials that "verify" that  $x \geq s$ , i.e. changing any trials outside of  $Z$  still results in  $x \geq s$ .

So, of course, counting # of heads <sup>in coin flips</sup> is  $I$ -verifiable.

Similarly,  $|A \cap N_{\epsilon, G}(v, c)|$  is  ~~$I$ -verifiable~~ ( $I$ -Lipschitz).  
 (We just exhibit the heads on the activated set).

What about  $|L'(v)|$ ?

Example: How do we show  $|L'(v)| \geq 1$  (i.e. One color kept).

For all  $u \in N_{\epsilon, G}(v, c)$  show either  $u \notin A$  or  $\phi(u) \neq c$ .

i.e. Need  $\Delta_{G, G}(v, c)$  trials to verify

i.e. Need  $r \geq \Delta_{G, G}(v, c)$  (This is good)

Pick a better variable:

$$|L(v)| - |L'(v)| = \# \text{ of colors lost}$$

$$\mathbb{E}[|L(v)| - |L'(v)|] = |L| - \text{keep}|L|$$

$$= |L|(1 - \text{keep})$$

$$= (1 + \epsilon)\Delta_{G, G}(v, c)(1 - \text{keep}) = \Theta(\Delta_{G, G}(v, c))$$

Obviously, this variable is  $I$ -Lipschitz.

What do we need to verify a color is lost?

→ Need a neighbor  $u \in N_{\epsilon, G}(v, c)$  to be deactivated and  $\phi(u) = c$

i.e. Need 2 trials

More generally, if  $|L(v)| - |L'(v)| \geq s$ , need  $2s$ , so  $r=2$  works.

## Lecture 6:

Congruencing (the last variable for Red-Sudakov)

$$\mathbb{W}_{\leq}(u, c) \cap V(G')$$

$$\mathbb{E}[C] = \Delta(G) \cdot (-p \cdot \text{keep})$$

Can we concentrate this?

Is it 4-verifiable for some  $c$ ?  
 $\rightarrow$  Note:  $u \in \mathbb{W}_{\leq}(u, c) \cap V(G')$  if either  $u \notin A$  or  $u \in A$  (but  $\exists$

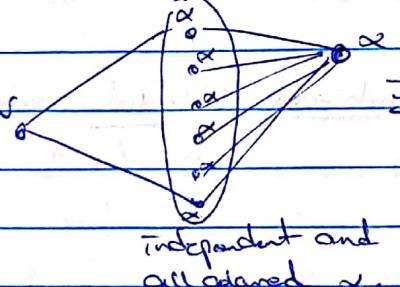
$$w \in \mathbb{W}_{\leq}(u, w) \cap A \text{ s.t. } \alpha(w) = \alpha(u)$$

So, this is 4-verifiable! (at most 2 active flips and 2 colors)

But, is it ~~still~~  $c$ -Lipschitz for some  $c$ ?

Not really:  $c$  could need to be at least  $\Delta(G)$  as follows

For example,  $\mathbb{W}_{\leq}(u, c)$



I exactly one neighbor (and its common to all) of these colored  $\alpha$ .

If  $\alpha$  changes to  $\beta$ , then all the ones change.

Ideas: However, it's unlikely for this to happen.

i.e. unlikely that a vertex can change the outcome by  $\mathbb{E}(\delta)$   
 (we'll prove this later)

So, if we could somehow use Talagrand's with this "likely" Lipschitz constant, we'd find

$$\Pr(|\mathbb{E}[X] - X| > t + 6rc^2 + 8\sqrt{rc^2 \mathbb{E}[X]}) \leq e^{-\frac{t^2}{8rc^2(t+6rc^2)}} \quad \text{2nd term.}$$

$\mathbb{E}[X] \approx \Theta(\Delta)$  works for  $t = \Theta(\log \Delta)$

So we'd get that  $\text{Pr}[\mathcal{E}(w) \text{ or } \mathcal{W}_{\alpha}(v, c) \text{ or } \mathcal{V}(c)]$  too far from  $E[X]$  is  $\leq \frac{1}{4c}$  for any  $c$ .

Argue each event is at most independent of all but a set of at most  $(S \cdot L)^2 \leq S^5$  events. and apply HLL to argue w/ pos. pos. that none happen

The iterate and finish w/ Alon/Haxell when  $L/\Delta \geq 2c$  or 2

Remark: This will only work for large enough  $L$ , b/c to apply the local lemma, we'd need  $e^{-\frac{\log^{25}}{S}} \leq \frac{1}{2^0}$ . And then extrapolate back through iterations, how large original  $S$  needs to be

An Exceptional Outcome Version of Combinatorial Tchernoff's Inequality

Thereby:

Let  $((\Omega_i, \mathcal{F}_i, P_i))_{i=1}^n$  be probability spaces. Let  $(\Sigma, \mathcal{E}, P)$  be their product space and  $\Sigma^* \subset \Sigma$  be a set of "exceptional outcomes", and let  $X: \Sigma \rightarrow \mathbb{R}_{\geq 0}$  be a nonnegative random variable. Let  $r, c > 0$ . If  $X$  is  $(r, c)$ -certifiable w.r.t.  $\Sigma^*$ , then for any  $t \geq 9bc\sqrt{rE[X]} + 28rc^2 + 8P[\Sigma^*] \exp X$  then

$$\Pr[X - E[X] > t] \leq 4e^{-\frac{t^2}{8rc^2(4E[X] + t)}} + 4P[\Sigma^*]$$

Remark: We need to pay some cost:

- Needing  $t \geq \text{RP}(\mathcal{S}^*) \lceil \log k$ , and
- An extra  $\text{RP}(\mathcal{S}^*)$  in the prob. bound.

Def'n  $(r, c)$ -certificate ( $r, c$ -certificate)

- If  $w = (w_1, \dots, w_t) \in \mathcal{S}$  and  $s \geq 0$ , an  $(r, c)$ -certificate  $(w, r, s, \mathcal{S}^*)$  is an index set  $I \subseteq \{1, \dots, n\}$  of size  $r$  at most  $rs$  s.t.  $\forall k \geq 0$ , we have  $X(w|I) \geq s - kc$   
 $\exists w' = (w'_1, \dots, w'_t) \in \mathcal{S} \setminus \mathcal{S}^*$  s.t.  $w_i \neq w'_i$  for at most  $k$  values of  $i$
- If  $\forall s \geq 0$  and  $w \in \mathcal{S} \setminus \mathcal{S}^*$  s.t.  $X(w) \geq s$ ,  $\exists$  an  $(r, c)$ -certificate, then  $(r, c)$ -certificate w.r.t.  $\mathcal{S}^*$

Remark:

- For  $k=0$ , the certificate just acts as an  $r$ -Verifier for non-exceptional outcomes as in normal combinatorial Tallyrand's
- What this really requires is an  $r$ -Verifier for which, if you change at most  $k$  of its trials (and any  $\#$  of ~~the~~ verifier trials), you lose at most  $kc$ .

⇒ This is kind of like changing any trial in a non-exceptional outcome changes at most  $c$ , but requires more generally changing  $c$  trials changes by at most  $kc$  for any  $k \geq 0$  (Because you end in a non-exceptional outcome)

Back to concentrating  $|N_{\leq 6}(v, c) \cap V(G')|$ .

$\mathcal{R}^*$ :  $\exists w \in N^{\leq 2}(v)$  (Note: At most  $(\Delta)^2$  of these if we delete edges b/w vertices at disjoint lists)  
s.t.  $\exists c' \in L(w)$  and  $\geq$  polylog $\Delta$  vertices in  $N_{\leq 6}(v, c')$   
with colour  $c'$

R

Certifiably card use

$N_{\leq 6}(v, c) \cap N_{\leq 6}(v, c')$  instead)

Claim:  $\Pr[\mathcal{R}^*] \leq \frac{1}{\Delta}$  for any  $c$  and  $\Delta$  large enough

This will be enough to apply Telagundi - since

$\epsilon \cdot |N_{\leq 6}(v, c) \cap V(G')| \leq \Delta$  and only

↳ this also assures that we show it's  $(r, c)$ -certifiable

for some  $r, c$  w.r.t.  $\mathcal{R}^*$ .

Proof (first  $|N_{\leq 6}(v, c) \cap V(G')|$  is  $(r, c)$ -certifiable).

So, let  $w$  be a non-exceptional outcome. We need

$H \subseteq \Omega$  a set of at most  $rs$  trials to build certificate.

So, we use the  $SU$  activation flip/color assignments

of vertices (and neighbors) in  $N_{\leq 6}(v, c) \cap V(G')$  (so, what we used before)

Need HKO charges to these trials, as well as any outcome, that  $|N_{\leq 6}(v, c) \cap V(G')| \leq S-kc$

Can argue  $k = \text{polylog}\Delta$  works here b/c we start non-exceptional.

Observe if value changes, hard to always b/c of same initial charge)

How to prove claim:

Note that  $\Pr[U^*] \leq |N^*(v)| \Pr$  (by union bound)  $\leq 2^{24}$ .  $\Pr[\exists c' \in C(v) \text{ and } c' \text{ polylog in } N_G(v, c)]$  (and  $c'$  is colored)

Suffices to show  $\frac{1}{2} \geq \Pr[\forall c \in C(v) \text{ and } \deg(c) \geq 2]$ .

Balls and Bins:

$m$  balls and  $n$  bins. And uniformly at random each ball goes to some bin independently.

Expected # of balls in bin  $i$ :  $m/n$ .

Horde 6: What's the max. # of balls in a bin?

I ± max with high probability (the max prob)

$\leq O(\log n)$

→ Selecting this looks to coloring

Vertices = Balls and Colors = Bins  
in neighborhood

$(N_G(v, c))$

$(c \in L(v))$

(Note: If ball can't go to some bin, the probabilities are right, we can take this and can only get a worse bound)

We have  $\Delta_{\text{B}}(G)$  Balls and  $\Delta_{\text{L}}(G)$  Bins  $\Rightarrow \Delta_{\text{B}} \approx \Theta(\Delta_{\text{L}})$  and so no bin has  $\geq$  polylog # balls with high probability

(3)

## Balls and Bins - Bands:

$$Cm = \Delta, n = \overline{LT}$$

Upper bands! By Union bound,  $\Pr[\exists \text{ bin } i \text{ w/ } \geq k \text{ balls}]$

$$\leq \Delta \cdot \Pr[\text{Bin } i \text{ has } \geq k \text{ ball}]$$

$$\Pr[\text{Bin } i \text{ has } \geq k \text{ balls}] \leq \binom{\Delta}{k} \cdot \frac{1}{L^k}$$

$$\approx \left(\frac{\Delta e}{k}\right)^k \cdot \frac{1}{L^k} = \left(\frac{\Delta e}{kL}\right)^k$$

So, we would need:  $k \log k > \varepsilon \log \Delta$ , i.e.  $\Leftrightarrow \frac{\log \Delta}{\log k}$   
 Same constants.

To find  $\leq \frac{1}{2^c}$  for any  $c$ .

Lower Bound:

$$\Pr[\text{Bin } i = k] = \binom{\Delta}{k} \frac{1}{L^k} \left(1 - \frac{1}{L}\right)^{\Delta-k}$$

$$\mathbb{E}[\# \text{ of bins w/ exactly } k] = \Delta \cdot \Pr[\text{Bin } i = k]$$

$$\approx \Delta \cdot \left(\frac{\Delta e}{kL}\right)^k \cdot e^{-\frac{\Delta k}{L}}$$

Same for

upper

band

(concrete,  
 $c=1$ ).

By fiddling w/ constant for  $k$

Can get  $\geq \frac{1}{2^c}$ , and so  $\mathbb{E} \geq 1$ ,

(Use Chebyshev's w/  $c=2, r=b$ )

## Lecture 7:

Back to our assumption that all color degrees are the same!

For Reed-Sudakov:

- Keeping a color is more likely if smaller color degree

- So  $E[\ell(u)] \geq \text{Expectation when regular}$

- $E[d_{u,c}(u,c)] \leq d_u(u,c)(1-p_{\text{keep}}) \leq 1, (1-p_{\text{keep}})$

$$\text{So keep changes with the list.} \rightarrow \begin{aligned} & \text{keep}(u, \delta(u)) \\ & = (1-p)^{d_{u,c}(u,c)} \end{aligned} \quad \begin{aligned} & \text{min keep (but we can} \\ & \text{keep } u \text{ with } \delta(u) \text{ i.e. old \# always sorted} \\ & \text{e.g. list}) \end{aligned}$$

Even if it wasn't the case that expectations were only better for us in the non-regular case:

(1) Regularization: Embed our graph into a regularized version where the coloring the resulting graph yields a coloring of the original.

(2) Equalizing Coin Flips: Here, for every  $u \in V(G)$  and  $c \in \text{col}(u)$ , we add a coin flip  $F_{u,c}$  which keeps  $c$  for  $u$  with probability  $\text{keep}/\text{keepplus}$  and hence every color is kept with probability  $\text{keepplus}/\text{keepplus} \cdot \text{keep}(u,c) \cdot F_{u,c} = \text{keep}$ .

Note: (2) only works if desired coin flips have probability  $\leq 1$  AND we would have to redo all the concentrations, adding the coin flips into verifications (Lipschitz).

Regularization:

Lemma: Every graph  $G^-$  is an induced subgraph of a  $\Delta(\alpha)$ -regular graph.

⇒ Proof Sketch

Proof Sketch:

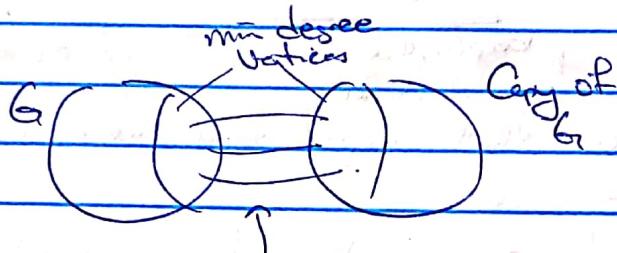
By induction on  $\Delta(G) - \delta(G)$   
(min degree)  
(max degree)

If  $\Delta(G) = \delta(G)$ , then  $G$  is  $\Delta(G)$ -regular, as desired.

So, we may assume  $\delta(G) < \Delta(G)$ .

Define:

$$G' =$$



Add a matching b/w  
Copies of min. deg.

Now,  $\Delta(G') \geq \Delta(G)$  and hence by IH,  $\exists G'$  with  $\Delta(G)$ -regular  
containing  $G$  as an induced subgraph.  $\square$

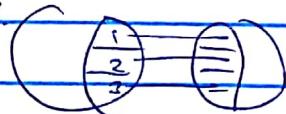
Note: Construction actually gives if  $G$  is triangle-free, then  
 $G'$  is triangle-free.

However, if  $G$  has girth  $\geq 5$ ,  $G'$  may have 4-cycles.

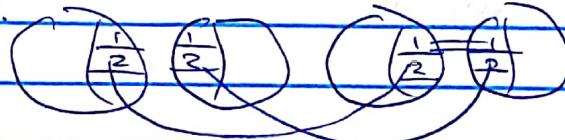
We may tweak the construction to preserve girth 5 (and  
girth  $G$ , but not  $\geq 7$ )

First find a  $(\Delta+1)$ -coloring of  $G$ . Only add a matching  
b/w color i of min-deg. copies.

$G_1:$



$G_2:$



Copy of  $G_2$

So, do  $\Delta+1$  doublings to up min. deg. by 1. Start over.

For Reed-Sudakov, we need to regularize other degrees, not degrees:

- (1) If we pass to correspondence coloring, we can use the construction above, but we only add an conflict b/w min-deg. class b/w copies of vertices
- (2) If we're more careful with marking lists for the copies, this should work for list-coloring as well.

König's Theorem for Bipartite Graphs:

Theorem (König, 1916)

If  $G$  has  $\text{girth } \geq 5$ , then  $\chi(G) \leq (1 + o(1)) \frac{\Delta}{\Delta}$ .

Rossel: This is tight up to constant factor, in particular, if random  $d$ -regular graphs have  $\chi \geq (\frac{d}{2} - o(1)) \frac{d}{\Delta}$  with high probability, and so  $\exists$   $d$ -regular graphs of arbitrary girth and  $\chi \leq (\frac{d}{2} - o(1)) \frac{d}{\Delta}$ .

(Save main) Intuition:

- Coupon Collector Problem: Suppose there are  $n$  types of coupons and when you receive a coupon you receive a type  $\omega_n$ .

Q: How many coupons do you need to get to collect all the types?  $\Theta(n \log n)$



②

Proof Sketch:

In the first  $n$  caps,

$$\Pr[\text{obtained } \geq 1 \text{ of capen } i] =$$

$$= 1 - \Pr[\text{ID of capen } i]$$

$$= 1 - (1 - \frac{1}{n})^n \approx \frac{1}{e}.$$

$$\mathbb{E}[\text{types collected after } n] \approx n(1 - \frac{1}{e})$$

$$\mathbb{E}[\text{types still to collect}] \approx n/e.$$

$$\mathbb{E}[\text{types still to collect after } n.t \text{ capens}] = n/e.$$

Use concentration inequalities to make those concentrations around expectation w.h.p.

So, we could think that:

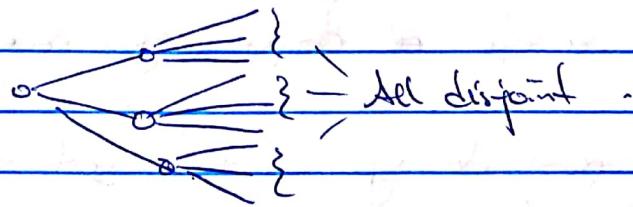
types of capens = colors in my list ( $\alpha$ ) =  $L$

Capen samples = colors neighbors receive ( $\text{Card } n$ ) =  $\Delta$ .

(Solve to find  $L \approx \frac{\Delta}{\epsilon}$ ).

But, coloring (randomly) is not uniformly random,

→ there's where the sixth S-nets can help, since for every vertex we see:



This is "somewhat uniformly random"

## Proof (of Kini)

We actually prove a stronger theorem (as Kini did):

If  $G$  has girth  $\geq 5$  and  $L$  is a list assignment of  $G$  such that  $|L| \geq ((1 + \alpha(G)) \frac{\Delta_L(G)}{\ln \Delta_L(G)})$ , then  $G$

has an  $L$ -colouring  
i.e. He proved this theorem for colour-degree.

We'll use Nibble and the Unstable Colouring Procedure.  
We may assume all colour degrees are the same by Reseberation / Equivalizing Color Flips.

We will be interested in tracking the ratio:  $\frac{\Delta_L(G)}{|L|}$ .  
(Reciprocal of what we used for Reed-Solomon)

(Note: This starts off  $\approx \ln \Delta_L(G)$ ).  
We will show that we can (after many steps) reduce  
to the given ratio  $\leq \frac{1}{2e}$  (so  $|L| \geq 2e\Delta_L(G)$ ).  
and then we apply Alon/Hexell to finish.

Expectations for one step of UCP:

$$\Pr[\text{cell}(w) | \text{cell}(v)] = \left(1 - \frac{p}{|L|}\right)^{\Delta_L(G)} =: \text{keep} \approx e^{-\frac{p\Delta}{|L|}}.$$

$$E[|L'(w)|] = |L| \cdot \text{keep}.$$

$$\begin{aligned} E[d_{L,G}(w,c)] &\leq d_{L,G}(w,c) \cdot \underbrace{\text{keep} \cdot \left(\frac{1}{|L|}(1-p) + \left(1 - \frac{1}{|L|}\right)(1-p)\text{keep}\right)}_{\text{if } c \neq \text{color}(v)} \\ &\leq d_{L,G}(w,c) \cdot \underbrace{\left((1-p)\text{keep} + \frac{1}{|L|}p(\text{keep}-1)\right)}_{\text{so}} \\ &= \frac{1}{|L|}p(d_{L,G}(w,c) - 1). \end{aligned}$$

Remark:

In Reed-Sudakov, we threw out the keep in  $\mathbb{E}[d_{\text{avg}}(v, c)]$  for analysis purposes to get the  $L/\Delta$  ratio improving by  $\text{keep}/\text{not-keep}$  which was  $> 1$ , since  $L > \Delta$ .  
But, for Kim's, we'll need to keep the keep in  $\mathbb{E}[d_{\text{avg}}(v, c)]$  which complicates concentration analysis, but everything will still concentrate b/c  $\sinh \geq 5$ .

Concentrations:

$$- \text{Show } \Pr[\lvert L(v) \rvert - \mathbb{E}[\lvert L(v) \rvert]] \leq \frac{1}{2^{10}} = \text{keep} \cdot L$$

Proof same as for Reed-Sudakov, i.e. Use Talagrand's with  $c=1, r=2$  (crossly 3 w/ activation flips)

$$- \text{Show } \Pr[d_{\text{avg}}(v, c) - \mathbb{E}[d_{\text{avg}}(v, c)]] \leq \frac{1}{2^6}$$

Here, we can use Talagrand's with  $c=1$  (except for  $v$  itself, use exceptional Talagrand for  $v$  or other tricks), and  $r=?$  (Is it possible to verify # of vertices undeleted and keeping  $c$  in dist?)

↳ No, can't efficiently verify.

So, instead, concentrates other variables:

keep $c$	$\times$	$\times$	Can't verify efficiently
$(r=2)$ Don't keep $c$	$\checkmark$	$\checkmark$	Can verify not keeping $c$ and undeleted!
Can't verify deleted	$\checkmark$	$\checkmark$	
	Deleted	Undeleted	$(r=3/4)$

Can verify all boxes indirectly here assuming all expectancies of size of boxes is roughly same.

After (i.e. The intersection shouldn't be small compared to the size of the cell)

Handling the ratio:

Apply LLL to find:

$$\frac{|\Delta'|}{|\Delta|} \approx |\Delta| \cdot \text{keep}$$

$$\Delta' \approx \Delta \cdot \text{keep}(-\text{pkeep})$$

So:

$$\frac{\Delta'}{|\Delta|} \approx \frac{\Delta \cdot \text{keep}(-\text{pkeep})}{|\Delta| \cdot \text{keep}}$$

$$= \frac{\Delta}{|\Delta|} - \frac{\text{pkeep}}{|\Delta|} \text{keep} \quad (\text{Recall: keep} \approx e^{-\frac{p}{|\Delta|}})$$

So, let  $k := \frac{p}{|\Delta|}$ , we get:

$$\frac{\Delta'}{|\Delta|} \approx \frac{\Delta}{|\Delta|} - ke^{-k}, \text{ so for } k=1, \text{ this gives } -\frac{1}{e}.$$

Now numbers forced to get the ratio ??

Can't do this since we'd run out of colors.

(Since  $|\Delta'| \approx |\Delta| \cdot \text{keep} \approx \frac{1}{e}$ , so we'd have to stop at about  $\ln(\Delta)$  steps)

## Lecture 8:

Finishing the iteration calculations for Kim's algorithm

$$\|L'\| = \|L\| \cdot \text{keep}, \text{ where } \text{keep} \approx e^{-\frac{\Delta}{14}}$$

$$\Delta' \approx \Delta \cdot \text{keep}(1 - p\text{keep})$$

$$\frac{\Delta'}{\|L'\|} \approx \frac{\Delta}{\|L\|} \cdot \text{keep}(1 - p\text{keep})$$

$$= \frac{\Delta}{14} - \frac{\Delta p}{14} \text{keep} = \frac{\Delta}{14} - k \text{keep}$$

How many iterations can we do (until we run out of colors?)

Let  $\|L_\tau\|$  be the size of  $L$  after  $\tau$  iterations.

$$\|L_\tau\| = \|L_0\| \cdot \text{keep}^\tau$$

$$\approx \|L_0\| \cdot e^{-k\tau}$$

$$\text{We need } \|L_\tau\| \geq 1. \Rightarrow \ln \|L_0\| - k\tau \geq \ln 1 = 0$$

$$\Rightarrow \tau \leq \frac{\ln \|L_0\|}{k}$$

Remark:

We actually stop when  $\|L_\tau\| \geq$  some fixed constant to ensure that the necessary inequalities hold for the LLL in every iterative step.

This only means that  $\tau \leq \frac{\ln \|L_0\|}{k} - C$  for some constant  $C$

(so this is fine)

To finish the colouring, we need  $\frac{\Delta_\tau}{\|L_\tau\|} \leq \frac{1}{2e}$  to apply Alan/Havell.

Note:  $\frac{\Delta_0}{\|L_0\|} \approx \frac{\Delta_0}{\|L_\tau\|} \cdot e^{-k\tau}$ . So this works if  $\frac{\Delta_0}{\|L_0\|} \leq \frac{1}{2e}$ .

$$\frac{\Delta_0}{\|L_0\|} \approx \frac{1}{2e} + k e^{-k\tau} \left( \frac{\ln \|L_0\|}{k} - C \right)$$

$$= \text{constant} + e^{-k\tau} \frac{\ln(\|L_0\|)}{k} - C e^{-k\tau}$$

$$\text{i.e. } D_0 \leq e^{-k} \|L\|_1 \ln \|L\|_1$$

$$\Rightarrow \|L\|_1 \geq \frac{e^{kD_0}}{\ln(e^{kD_0})} \leq \frac{e^{kD_0}}{\ln D_0}$$

$$\text{So, if } k=1, \text{ we get } \|L\|_1 \approx e^{\frac{D_0}{\ln D_0}}, \rightarrow$$

$$\text{and if instead, we let } k \rightarrow 0, \text{ we get } (1+o(1)) \frac{D_0}{\ln D_0}, \text{ as desired}$$

Recall that  $k = \frac{P\lambda}{\|L\|_1}$ , so when  $k=1$ ,  $P=\frac{\|L\|_1}{\lambda}$  and  $k \rightarrow 0$  means  $P \rightarrow 0$ .

Remark: Why was colour degree necessary for the proof?

Note that in  $\delta' \approx \Delta$  keep(1-keep), the first keep only comes up in colour degree. And this keeps helps cancel out some values and drive concentrations down.

### Edge-Colouring:

Interested in properly colouring edges of graphs, i.e.  $\chi(L(G))$  (the line graph)

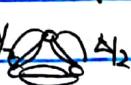
Greedy Colouring:  $\Delta(L(G)) \leq 2\Delta(G) - 2$

$\Rightarrow \chi(L(G)) \leq 2\Delta(G) - 1$   
(of course,  $\omega(L(G)) = \Delta(G)$ , if  $\Delta(G) \geq 3$ )

Theorem (Vizing, Independ. Grupe, 1960):  $\chi(L(G))$  can assume one of two values.

$\chi(L(G)) \leq \Delta(G) + 1$  either with 2 colours or 3 colours with NP-hard to decide if  $\chi(L(G)) = \Delta$  or  $\Delta + 1$

Also, if  $G$  is a multigraph (i.e. it has parallel edges)

$\chi(L(G)) \leq \Delta + \mu$  (where  $\mu$  is the max. multiplicity of an edge)  
 $\leq \lceil \frac{3}{2}\Delta \rceil$  (Shemesh)  $\rightarrow$  test for 

Goldberg-Seymour Conjecture! (Independently, in late 70's)

$$\chi(L(G)) \leq \max\left\{\frac{\Delta(G)}{2} + 1, \chi_p(L(G))\right\}$$

↳ fractional chromatic number

Actually, via Edmonds' matching polytope:

$$\chi_p(L(G)) = \max_{H \subseteq G} \frac{e(H)}{\lfloor \frac{\chi(H)-1}{2} \rfloor} \rightarrow \text{ie Defn of Hall ratio for line graphs.}$$

Known Results:

- Kahn (90's) proved  $\chi(L(G)) \leq \max\{\Delta + 1, (\Delta + \alpha)\chi_p(L(G))\}$ .
- Two cases in 2008: If  $\chi_p(L(G)) \geq \Delta + \sqrt{\Delta}$ , then  $\chi(L(G)) = \chi_p(L(G))$ .
- Another case in 2007+.
- Plantinga, 1993:  $\chi(L(G)) \leq \chi_p(L(G)) + 6g$  where  $g$  is Hall's number.

List-Colouring Conjecture:

If  $G$  is a simple graph, then  $\chi_e(L(G)) = \chi(L(G))$ .

i.e. There is no such thing as list-edge-colouring.

Two big results on LCC from 90's:

Theorem (Grötzsch, 1968)  $\chi_e(L(G)) \leq \chi(L(G)) + 1$ .

If  $G$  is bipartite, then  $\chi_e(L(G)) = \chi(L(G))$ .

→ Proved Dinitz's conjecture! If for every square in an  $n \times n$  matrix, I give you a list of integers, can you complete the matrix so that all entries in a row (or col) are distinct?

(Equivalently,  $\chi_e(k_{n,n}) = n$ .)

→ Related to Latin Squares, since the completion of a Latin Square is simply an  $n$ -edge-colouring of  $k_{n,n}$ .

And there exist by König's theorem if  $G$  is bipartite,  $\chi_L(L(G)) = \Delta(G)$ .

Theorem (Kahn, 1996)

If  $G$  is simple,  $\chi_L(L(G)) = (\text{poly}(n)) \chi(L(G))$   
i.e.  $= (\text{poly}(n)) \Delta(G)$ .

Molloy + Reed improved error to  $\Delta + 4\sqrt{\Delta} \log^4 \Delta$ .

Kahn extended this to  $k$ -uniform hypergraphs

(Büttner 2006) and  $\Delta + 4\sqrt{\Delta} \log^4 \Delta$

Proof Sketch (of Kahn's)

We'll use the Naive Colouring Procedure (i.e. Only remove colors from neighbors if returned by a vertex) and Nibble.

Also, since  $|L|$  is on the order of  $\Delta$ , we won't need derandomization probabilities (i.e. Set  $p=1$ )

We in fact prove a colour-degree version of Kahn's theorem as follows:

If  $L$  is a list assignment for  $E(G)$  (equiv.  $L(E(G))$ ), we define for  $v \in V(G)$ , a color  $c: L(E(G)) \rightarrow L(v) := \bigcup_{e \in N_G(v)} L(e)$  for  $v \in V(G)$

$$d_L(v, c) := |\{e \in E(G) : v \in e, c \in L(e)\}|$$

$N_L(v, c)$

$$d_L(v) := \max_c d_L(v, c)$$

$$\Delta_L(G) := \max_{v \in V(G)} d_L(v)$$

Want to understand why  $\Delta_L(G) \leq \Delta(G)$

So, restarting the film:

Stronger Kahn's Theorem (Kahn, 1996)

If  $L$  is a list assignment for  $G$  s.t.

$$|L| \geq (\Delta(G) + 1)\Delta(G)$$

then  $G$  has an (edge)  $L$ -coloring

(Note: For notations, let  $L(e) := \{v \in L(e) \mid v \in \text{ver}(e)\}$ .)

Clearly, still interested in  $\frac{|L|}{\Delta(G)}$ .

Expectations:

(Note: Assume all colour degrees and list sizes are regular. i.e., equalizing coin flips (since regularization of two graphs seems odd)).

$$\begin{aligned} \text{Retain} &:= \Pr[\text{an edge } e \text{ is not in } G'] \xrightarrow{\text{i.e., It was deleted since no inc. edge}} \text{received } \ell(e) \\ &= \left(1 - \frac{1}{|L|}\right)^{\ell(e)} = \left(1 - \frac{1}{|L|}\right)^{2\Delta-2} \approx e^{-2\frac{\Delta}{|L|}} \approx e^{-2} \end{aligned}$$

Vertex-keep :=  $\Pr[Cel(v) \text{ is not retained by any edge around vertex } v]$

$$\approx 1 - \frac{1}{e^2} \quad \text{Reasonably,}$$

Edge-keep :=  $\Pr[Cel(e) \text{ retained}]$

$$\approx \Pr[C \text{ not retained around } u] \times \Pr[e \xrightarrow{u} v]$$

$$\text{bc mostly independent} \Rightarrow C_{\text{bc}} = (\text{Vertex-keep})^2$$

(3)

Then,

$$E[L(L'(c))] \approx L(L(1 - \frac{1}{e^2}))$$

As  $L(L)$  is decreasing, so  $L(L(1 - \frac{1}{e^2})) < L(L)$

Colour-Degree:

$$L(L(N_{\text{deg}}(v, c))) < L(L)$$

$$\begin{aligned} E[N_{\text{deg}}(v, c) \cap E(G)] &= N_{\text{deg}}(v, c) \cdot (1 - \text{Retain}) \\ &= \Delta \left(1 - \frac{1}{e^2}\right) \end{aligned}$$

But, how many of those are keep  $\text{cel}'(c)$ ?

It's not Edge-keep, ~~if we assume  $C \in L(v)$~~  then by assumption ~~no edge around  $v$  retains  $c$~~

Under this assumption, each node  $u$  has  $\Delta$  edges.

$$\Pr[e \text{ keeps } c] = \Pr[\text{no edge}] \rightarrow X, \text{ so no edge}$$

So,  $\Pr[e \text{ keeps } c] = 1 - \frac{1}{e^2}$

(as  $\Pr[\text{no edge}] = 1 - \Pr[\text{edge}] = 1 - \frac{1}{e^2}$ )

$$\Pr[e \text{ keeps } c] = 1 - \frac{1}{e^2}$$

So,  $\Pr[e \text{ keeps } c] = 1 - \frac{1}{e^2}$

$$\Pr[e \text{ keeps } c] = 1 - \frac{1}{e^2}$$

The reader can prove it by contradiction

From which we get  $\Pr[e \text{ keeps } c] = 1 - \frac{1}{e^2}$

## Lecture 9:

Madly Red  
Proof - Kahn

Finishing Kahn's proof!

$$\text{Retain} := \left(1 - \frac{1}{\Delta}\right)^{2\Delta^2} \approx e^{-2}$$

$$\text{Vertex-keep} = 1 - \text{Retain} \approx 1 - \frac{1}{e^2} \approx \frac{1}{e^2}$$

$$\text{Edge-keep} \approx (\text{Vertex-keep})^2 \approx \left(1 - \frac{1}{e^2}\right)^2 \quad (\text{Can argue that the difference is small})$$

$$\mathbb{E}[L(\text{edges})] \approx 1L \cdot \left(1 - \frac{1}{e^2}\right)^2$$

$$\mathbb{E}[D_{1,4}(u, v)] \approx 1\Delta \left(1 - \frac{1}{e^2}\right)^2$$

Approximated since there are small error terms.

Even assuming the errors from ~~opt~~ expectation won't hurt us too badly & that we concentrate those variables so as to have little error from concentration, unfortunately, at best these decrease at roughly the same rate - and some won't make progress.

Need a new finish!

Release Colours:

Their: Before using Wilder and naive retaining procedure, we reserve colors around vertices to be used <sup>by edges</sup> ~~in a~~ "final step".

Choose Reserve  $\subseteq L(v)$ :  $\bigcup_{e \ni v} L(e)$  (uniformly at random w/ prob  $p$ )

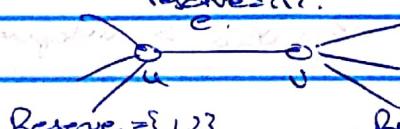
For each edge  $e \ni v$ , define

$$L' = L(e) - (\text{Reserve}_v \cup \text{Reserve}_v)$$

$$\text{Reserve}_e := \text{Reserve}_v \cap \text{Reserve}_v$$

$$L_e := L(e) - \{1, 2, 3\}$$

Now  $e$  can use the overlapping colors safely



$$\text{Reserve} = \{1, 2\}$$

- Lemma:

- (Setting  $p = \frac{\log^4 \Delta}{\sqrt{\Delta}}$ )  $\exists$  a choice of Reserve, for  $N(G)$
- Such that  $HVCN(G)$ ,  $HCC(G)$ ,  $HCE$  Reserve:  $\rightarrow L(e) - Le$
- (a)  $|L(e) \cap (\text{Reserve}_u \cup \text{Reserve}_v)| \leq 3\sqrt{\Delta} \log^4 \Delta$
- (b)  $|L(e)| \geq \frac{1}{2} \log^2 \Delta \rightarrow \text{Reserve}$
- (c)  $|L(e) \cap (\text{Reserve}_u \cap \text{Reserve}_v)| \leq 2\sqrt{\Delta} \log^4 \Delta$  ( $*$ )

- Intuition:

- (a) You don't lose too many colors
- (b) Those ~~are~~ <sup>at least</sup> one color to use near the end

- Proof:

$$\begin{aligned} & \mathbb{E}[|L(e) \cap (\text{Reserve}_u \cup \text{Reserve}_v)|] \\ & \leq |L(e)| \cdot 2p \leftarrow \text{Since } p \text{ may appear in either Reserve } u \text{ or Reserve } v. \\ & \leq \Delta \cdot 2 \frac{\log^4 \Delta}{\sqrt{\Delta}} \\ & = 2\sqrt{\Delta} \log^4 \Delta. \end{aligned}$$

$$\mathbb{E}[|L(e) \cap (\text{Reserve}_u \cap \text{Reserve}_v)|]$$

$$\begin{aligned} & \leq |L(e)| \cdot p^2 \leftarrow \text{Needs to appear in both Reserve and Reserve}. \\ & \geq \Delta \frac{\log^4 \Delta}{\Delta} = \log^2 \Delta. \end{aligned}$$

$$\mathbb{E}[C] = \Delta \cdot p \leq \sqrt{\Delta} \log^4 \Delta$$

Since each other  $C$  Reserve is independent

You'll notice these variables are all sums of  $\{0, 1\}$ -random variables (even more, all Bernoulli), so we can apply Chernoff Bounds:

$$\Pr[\text{LL}(c) \cap (\text{Reserve}_r \cup \text{Reserve}_l) \geq 3\sqrt{\Delta}] \leq e^{-\frac{(1-\delta)\Delta}{3}}$$

$$\Pr[\text{LL}(c) \cap (\text{Reserve}_r \cup \text{Reserve}_l) \leq 1] \leq e^{-\frac{(1+\delta)\Delta}{3}}$$

$$\Pr[\text{LL}(c)] \leq e^{-\frac{(1-\delta)\Delta}{3}}$$

So all at most  $e^{-\log^2 \Delta}$  for large enough  $\Delta$ , which is  $< \frac{1}{c}$  for any  $c$  and large enough  $\Delta$ .

So, we apply LLL with following bad events:

$$A_0 = (a) \geq 3\sqrt{\Delta} \log^4 \Delta$$

$$B_0 = (b) \leq 1/6 \log^2 \Delta$$

$$C_{i,c} = (c) \geq 2\sqrt{\Delta} \log^4 \Delta$$

Probability for any bad event  $\leq \frac{1}{c}$  for any  $c$ , while each event is mutually independent of the set of events depending on edges/vertices of distance  $\leq 4$ .

So: using  $c=5$  (or  $6$ ) suffices for LLL.

What is (c) saying? If  $v \in \text{Reserve}_r$ , this gets  $\text{EGN}_{r,c}(v, c)$  with  $v \in \text{Reserve}_l$

We define new the reserve degree of a vertex:

$$\text{deg}_{r,c}(v, c) = |\{e \in \text{EGN}_{r,c}(v, c) : e \in \text{Reserve}_l\}|$$

Idea for finishing w/ Reserved Colors:

Reserved degrees decrease rather quickly because edges in "reserved neighborhood" will be deleted from  $G$ , however Reserved never changes during Kibble.

So, if we can get  $\max_{v \in V} \text{dres}_L(v, c) \leq \frac{1}{2}$  ( $\text{Reserve} = \frac{1}{2} \log^2 L$ ), we can finish by applying Havell to reserve color assignment.

Expectation of new reserved degree in one step of Kibble:

$$E[\text{dres}_{L+1}(v, c)]$$

$$= \text{dres}_L(v, c) \cdot (1 - \underbrace{\text{Retain}}_{\text{Probability an edge not deleted}})$$

Probability an edge not deleted

$$\approx \text{dres}_L(v, c) \cdot \left(1 - \frac{1}{e}\right)$$

Decreases at half the rate multiplicatively compared to  $|L'|$  and  $\Delta'$ .

We can run Kibble for  $T$  iterations, where  $T$  is about the solution to the following:

$$1 = |L| \cdot \left(1 - \frac{1}{e}\right)^T = |L| \cdot \underbrace{\left(1 - \frac{1}{e}\right)}_{=k}^T$$
$$\Rightarrow T = \frac{\ln |L|}{-\ln k}$$

(So a roughly logarithmic amount of steps)



Yet,

$$\text{dres}_{L,G}(v,c) \approx \text{dres}_{L,G}(v,c) \cdot \left(1 - \frac{1}{\Delta}\right)^{\ell}$$

$$= \text{dres}_{L,G}(v,c) \cdot k^{\frac{\ell}{\Delta}}$$

$$\leq 2\sqrt{\Delta} \log^4 \Delta \cdot \frac{1}{k^{\frac{\ell}{\Delta}}}$$

$$\leq 2 \log^4 \Delta$$

$$\leq Y_4 \log^3 \Delta \quad \text{for large enough } \Delta$$

(So we don't have to run too many iterations for the reserved degree to be small enough)

Remark: We actually want to use  $\gamma = \frac{\text{full}}{\text{full} + \text{polylog}} - \text{polylog}$   
Since we need to concentrate dres during work  
enough to apply LLL, so need  $\text{dres} \geq \text{polylog} \Delta$

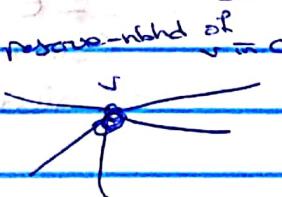
So, this gives an L-coloring if  $|H| \geq \Delta + 4(\log^4 \Delta)\sqrt{\Delta}$ .

(Since we need  $3\sqrt{\Delta} \log^4 \Delta$  per reserves and an  
extra  $\sqrt{\Delta} \text{polylog} \Delta$  for concentration errors)

Concentrating for  $|H'|, \Delta', \text{dres}'$ :

We'll do dres first!

dres counts # edges from dres not deleted.



C-Lipschitz for  $c =$

- If we change coloring on an edge not incident with  $v$ , this changes  $\leq 2$  of these edges
- If we change coloring incident with  $v$ , this can change at most itself and 1 other edge (they both lose the color). Otherwise, ~~then~~ if there are  $\geq 3$  edges  $\Rightarrow$  colored the same color, then changing  $v$  won't matter.

Note: We can't use Chernoff b/c not sum of independent  
— — — Simple case. band blk may depend on  $\leq 2$  holes ( $\Rightarrow$  Bad Band)

So: We'll use Talagrand's and we need to verify this variable.

Note: Verifying & retaining a color requires  $\geq \frac{2\Delta}{3}$  trials.

But we can verify not retaining using  $\approx 2$  by showing its color  $\phi(e)$  and some edge  $f$  s.t.  $\phi(f) = \phi(e)$ .  
See, we can apply Talagrand to show this is within about  $J\epsilon I_{\text{bad}}$  with probability  $e^{-C\epsilon I_{\text{bad}}}$  ( $C$  constant)  
 $\leq e^{-C\log^2 \Delta}$   
(since  $I_{\text{bad}} \geq \log^2 \Delta$  density n.b.)

## Concentrating $\Delta'$ :

### ANNEALING

Assume  $c$  is not retained around  $v$ , this can't be of edges in  $N_{\delta}(v, c)$  which are not deleted and the other end of the edge keeps  $c$ .

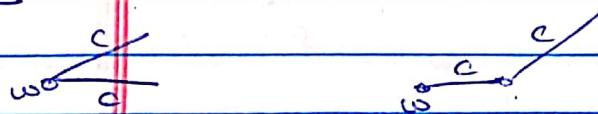
Again, we are 2-lipschitz, since changing a single color can affect at most 1 other vertex. (If we have  $\geq 3$ , then changing the color won't matter) (Same argument as before)

As before, not deleted is verifiable, so we'd be happy if "other end keeps  $c$ " or its complement was  $\tau$ -verifiable for constant  $\tau$  since the expectations of intersections of these are on the same order as  $\Delta$ . (As before, verifying edge around  $w$  retains  $c$  takes  $\Delta^2$  trials)

What about verifying the complement:

i.e. # of edges  $e$  where other end  $w$  does not have an edge retaining  $c$ ?

Cases:



if 2 colored  $c$ ,  
this is easy, show ?

Verify this one, we need to check all neighbors.

Trick: Change Variables

Defining

$\geq x_{j,k}$  to be # of ~~walks~~ edges  $e$  where around  
vertices end w

$\geq j$  are assigned color c

$\geq k$  have color c subsequently removed.

So we can write the variable above (the complement count)  
as:  $x_{1,0} - (x_{1,1} - x_{2,1})$  and  $\overbrace{\text{counts receive exactly 1 and some } \geq 1}$ .

Easy to check that  $x_{j,k}$  concentrates w/ 2-Lipschitz  
and (poly)-uniformly and we can use Talagrand's on  
each. This works since they have roughly same  
expectation and  $j,k$  constant.

For  $IL^1$ , we use the same trick, but ~~minus~~  
Counting both ends of e.

## Lecture 10:

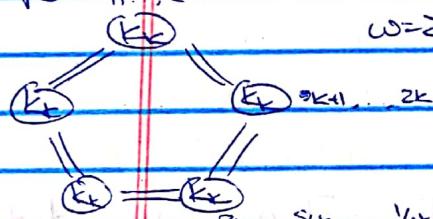
## Ried's Conjecture.

Recall: Conjecture ( Reed, PGP ): If  $G$  is a graph, then  $\lceil \frac{\Delta(G) + 1}{2} \rceil \leq \chi(G)$

$$x(G) \geq \lceil \frac{\Delta(G) + 1 + \omega(G)}{2} \rceil.$$

Remark.: If true, this is tight for some  $\omega$ .

## Example:



Example of this:

$$\omega = 2k, \quad \chi = 3k - 1 \quad \Rightarrow \quad \chi = \left\lceil \frac{5}{2}k \right\rceil.$$

Why is this true?!

- For  $\text{H}_2\text{K}$ -clustering  $\Rightarrow$  See labels

- We can also see this since  $\alpha \leq 2$ , and so the  $k$  colors we need can be used in total.

## Reedy's Conjecture

~~Properties~~, been proved for various spectral classes of graphs, e.g.: line graphs, claw-free graphs, etc.

Theorem (Reed 1998)

If  $\overline{w(G)} \geq (1 - \frac{1}{\alpha})(\Delta(G) + 1)$  then the conjecture holds

→ So if clique  $k$  is large, then the conjecture is true.

## Cordillera:

$$\exists \varepsilon > 0 \text{ s.t. } x(g) \leq \overline{((-\varepsilon)(\Delta(g)) + 1)} + \varepsilon w(g).$$

→ So if we're not satisfied w/ the health we could try some other combination.



What is  $\epsilon$ ?

- $\epsilon = \frac{1}{2.08}$  (Reed '04)
- $\epsilon = \frac{1}{300^6}$  (King + Reed '02)
- $\epsilon = \frac{1}{26}$  (Benjamini, Pernett, P. '06+)
- $\epsilon = \frac{1}{18}$  (Delcourt, P. '17+)

How do we prove these bounds?:

Idea: Combine Randomness & Structure

3-Part-Plan (King & Reed)

(1) Do something different for large  $w$ :

$$(a) w \geq \left(1 - \frac{1}{\delta}\right)(\Delta(G) + 1), \text{ use Reed}$$

(b) King showed that  $w \geq \frac{2}{3}(\Delta + 1)$ , then  $\exists$  an independent set  $I$  s.t.  $c_G(G-I) < c_G(G)$ , and

$$\Delta(G-I) < \Delta(G)$$

Now  $G-I$  has a smaller ratio of  $w_D$ , repeat until  $w^* < \frac{2}{3}(\Delta + 1)$ , and now we use the  $I$ 's to colour

(c) Use "border" randomness + structure (Delcourt, P.)

(2) Now, assuming a small  $w$ . Prove that a critical graph has neighborhoods that are "somewhat" sparse

(3) Now, use random colouring + Nibble

↑ Randomness +



Formalizing (2) and (3):

Defn

A graph  $G$  is  $\delta$ -sparse if for  $v \in V(G)$  we have that

$$e(G[N(v)]) \leq ((-\delta)) \binom{\Delta}{2}$$

Remark: Note the use of  $\Delta$  instead of  $d(v)$ , i.e. Small degree vertices trivially satisfy this condition

Per (2):

Defn

Per list coloring:  $S_{\text{list}}(G) := \Delta(G) + 1 - \lfloor \frac{1}{2} \rfloor$

$$S_{\text{ave}}(G) := \Delta(G) + 1 - X(G)$$

$$\text{Gap}(G) := \Delta(G) + 1 - C(G)$$

Reed's Conjecture:  $S_{\text{ave}}(G) \geq \left\lceil \frac{\text{Gap}(G)}{2} \right\rceil$

Our Result:  $S_{\text{ave}}(G) \geq \varepsilon \text{Gap}(G)$  ( $\varepsilon = \frac{1}{13}$  say).

Theorem (Dehouck, P.)

If  $G$  is  $1$ -critical, then  $G$  is  $\delta$ -sparse where  $\delta = \frac{\text{Gap}(G) - \text{List}(G)}{2\Delta}$ .

What graph is sparsity?

Theorem

If  $G$  is  $\delta$ -sparse and  $\Delta$  is large enough, then

$X(G) \leq ((-\ell(\delta))(\Delta + 1))$ , where  $\ell(\delta) = \frac{1}{2\delta} \delta \leftarrow \text{Hally & Reed '02}$

$$\approx 1.827\delta - 0.778\delta^{3/2}$$

(Bruck & Jais '18)

$$\approx 3\delta - 0.5\delta^{3/2}$$

(Bonamy, Fert, P., '16+)

How to get  $\epsilon = \frac{1}{13}$ ?

We know:

$$S_{ave}(G) \geq \left( -30 - 1250^{\frac{3}{2}} \right) \Delta$$

$$\geq \frac{2}{9} \Delta \quad (\text{as } \Delta = 14)$$

$$\geq \frac{2}{9} \Delta \left( \frac{6ap(G)}{2\Delta} - \frac{2S_{ave}(G)}{\Delta} \right) \Rightarrow (\text{By sum})$$

$$= \frac{6ap}{9} - \frac{4}{9} S_{ave}$$

$$\Rightarrow S_{ave} \geq \frac{1}{13} 6ap.$$

Theorem (Erdős, Rubin, Taylor '79)

equivalently,

show  $\chi(G) \leq n - e(H)$

If  $H$  is a matching in  $G$ , then  $\chi(G) \leq n - e(H)$

Note:

- Obviously<sup>(?)</sup>,  $\chi(G) \leq n - e(H)$  (color each edge of matching with same color)

Interesting part is - it works for lists!

Proof:

By Induction on  $n$ . (Let  $L$  be a list assign for  $G$  with  $|L| = n - e(H)$ )

Case 1:  $\exists u \in V(G)$  s.t.  $L(u) \cap L(v) \neq \emptyset$ . Now let  $C \subseteq L(u) \cap L(v)$

Colour  $u, v$  w.r.t.  $C$ . Remove  $c$  from the other lists; delete  $u, v$

(Let  $G' = G \setminus \{u, v\}$ ,  $H' = H \setminus (H - c)$ , with  $|H'| \geq |H| - 1$

$$= n - e(H')$$

By induction,  $\exists L'$  satisfying, here

Case

Proof (cont'd)

Case 2:

There exists  $c \in C$  s.t.  $L(w) \cap L(c) \neq \emptyset$ .

We consider an auxiliary bipartite graph  $H$  with parts  $V(G)$  and  $\bigcup_{w \in C} L(w)$ .

$V(H) = (V(G), \bigcup_{w \in C} L(w))$ , where vertices  $v$  and  $w$  are connected if  $v \in L(w)$ .

$$E(H) = \{(v, w) : v \in L(w)\}$$

Claim:  $\exists$  a matching  $S$  of  $H$  saturating  $V(G)$ , equivalently an  $L$ -coloring of  $G$  where every vertex receives a unique color.

Let  $S \subseteq V(G)$

- If  $\exists e = uv \in E$  s.t.  $u, v \in S$ , then  $|N_H(S)| \geq |L(u) \cap L(v)|$   
 $\Rightarrow |S| = |L(u)| + |L(v)| \geq 2e \Rightarrow |S| \geq e$
- If  $\nexists$  such  $e \Rightarrow |S| \leq n - e$ , so if  $S \neq \emptyset$ , then  $\exists v \in S$  and  $|N_H(S)| \geq |L(v)| \geq n - e \geq |S|$  if  $S = \emptyset$

Theorem (Debant, P.)

If  $M$  is a matching in  $G$  and  $L$  is a list assignment for  $G$  s.t.:

- $|L(v)| \geq e(v)$   $\forall v \in V(G)$
- $|L(a) + L(b)| \geq v(b)$   $\forall a, b \in C$
- $|L(w)| \geq v(c) - e(w)$   $\forall v \in V(G) \setminus N(w)$

C

③

Proof:

Same as ~~Konig's~~ proof before, except cases for Hall's.

Cases:

(1)  $\exists v \in V(H) : \deg(v) = \omega(H)$  (by (b))

(2)  $\exists v \in V(H) \setminus N(u) : \deg(v) = \omega(H)$  (by (c))

(3)  $\omega(H) > \delta(H) \leq \omega(H)$  (by (d))

What??

Theorem:

Let  $G$  be a graph,  $H$  be an induced subgraph of  $G$ ,

$s \geq 0$ , Suppose that  $L$  is a list-assignment s.t.

$|L(v)| \geq d(v) - s$   $\forall v \in V(H)$ . If  $G$  is  $L$ -critical, then

$$\epsilon(H) \geq \text{Con}_s(V(H)) - m - s$$

where  $m = |V(H)|$  (i.e. size of largest semimatching in  $H$ )

$m = |V(H)|$  (i.e. size of largest semimatching in  $H$ )

Clos: If  $G$  is a graph,

$$|V(G)| \geq |V(G)| - \omega(G) \quad (\text{Follows from greedily removing vertices})$$



Proof:

By induction on  $V(H)$ . (Let  $M$  be a matching of  $\bar{H}$  of size  $m$ .

If  $m \leq s$ : trivial

So, assume  $V(H) > m > s \geq 0$

Since  $G_2$  is L-crit.,  $\exists$  L-colouring  $L$  of  $G_2 - V(H)$ .

Let  $L'(v) = L(v) \setminus \{c\} : v \in N(v) \cap V(H) \subseteq V(H)$

Note  $d_{L'(v)}(u)$ ,

$$\begin{aligned} |L'(v)| &\geq |L(v) - \{N(v) \cap V(H)\}| \\ &\geq d(v) - s - |N(v) \cap V(H)| \\ &= d_L(v) - s \end{aligned}$$

Since  $G_2$  is not L-col,  $H$  is not L'-col. So, by thm(Delant),  
 $\exists$  at least one of (a)-(c) does not hold when applied  
to  $H, L', v$ :

Say (a) does not hold:

$\text{Tue } V(u) < \text{f. } |L'(v)| \leq e(\bar{H}) - 1$

So:  $d_{L'}(v) \leq m - 1 - s = (m - 1) - (e(\bar{H}) - 1)$

(Let  $H' = H - \{v\}$ ,  $V(\bar{H}')$ ). So, by induction on  $H'$ ,

$$e(\bar{H}') \leq (m - 1 - s)(V(H) - 1 - (m - 1) - s)$$

$$\begin{aligned} e(H) &\geq e(\bar{H}') + (V(H) - (d_{L'}(v) + 1)) \\ &\geq e(\bar{H}') + V(H) - m - s \\ &= (m - s)(V(H) - m - s) \end{aligned}$$

Proof: (cont.)

(b) does not hold!

$$\exists \text{ node } v \text{ s.t. } |L'(a)| + |L'(b)| \leq v(H) - 1$$

$$\Rightarrow d_H(a) + d_H(b) \leq v(H) - 1 + 2s$$

$$H' = H \setminus \{a, b\}, \quad v(H') \geq m - 1$$

By induction:

$$\begin{aligned} e(\bar{H}') &\geq (m-s)(v(H)-2) - (m-1-s) \\ &= v(H) - m - s - 1 \end{aligned}$$

in  $\mathbb{R}_{\geq 0}$

$$= (m-s)(v(H) - m - s) + (-v(H) + 2)$$

$$e(\bar{H}) \geq e(\bar{H}') + 1 - (v(H) - 2 - d_H(a)) + (v(H) - 2 - d_H(b))$$

... (work it out... magic happens)

(c) does not hold.

$$\exists \text{ node } v \in V(H) \setminus V(H') \text{ s.t. } |L'(v)| \leq v(H) - m - 1$$

$$\Rightarrow d_H(v) \leq v(H) - m - 1 + s.$$

$$H' = H - v, \quad v(\bar{H}') \geq m.$$

By induction:

$$e(\bar{H}') \geq (m-s)(v(H)-1-m-s)$$

$$= (m-s)(v(H) - m - s) - (m-s) + 1$$

$$e(\bar{H}) \geq e(\bar{H}') + (v(H) - (d_H(v) + 1))$$

$$= v(H) - m - s.$$

... and work it out

Poincaré Divergence Theorem.

Apply the previous theorem to  $H = N(v)$  (closed neighborhood)

$$\Rightarrow e(G[N(v)]) \geq (m-s)(v(H) - m-s)$$

where

$$S = \text{Save}(G) - 1, \text{ and}$$

$$m = V(N(v)) \geq 2s + \frac{\text{Gap}(G)}{2} = \frac{\text{Gap}(G)}{2}, \text{ and}$$

$$v(H) = s+1$$

$$\begin{aligned} &\approx \left( \frac{\text{Gap}}{2} - \text{Save} \right) \left( \underbrace{s+1 - \frac{\text{Gap}}{2}}_{\geq \frac{s+1}{2}} - \text{Save} \right) \\ &\geq \frac{\text{Gap} \cdot \Delta}{4} - \cancel{\text{Save}} \Delta. \end{aligned}$$

and since

$$e(H) = \sigma \binom{\Delta}{2} \approx \sigma \frac{\Delta^2}{2},$$

we can substitute

so:

$$\sigma = \frac{2}{\Delta^2} \left( \frac{\text{Gap} \cdot \Delta}{4} - \text{Save} - \Delta \right)$$

$$= \frac{\text{Gap}}{2\Delta} - \frac{2\text{Save}}{\Delta}.$$

## Lecture 11:

Sparcity Lemma: If  $G$  is a sparse graph for  $\delta \geq \frac{poly \log \Delta}{\epsilon}$  and  $\Delta(G)$  sufficiently large, then:

$$\chi(G) \leq C(1 - f(\delta))(\Delta + 1)$$

Where:

$$f(\delta) \approx .023\delta \quad \text{Holley \& Reed} \rightarrow \text{Naive Colouring procedure}$$

$$.18\delta - .07\delta^{3/2} \quad \text{Broder \& Toss}$$

$$.3\delta - .12\delta^{3/2} \quad \text{Bonamio, Perrott, P.}$$

New ideas in Broder & Toss's:

- 1) Naive is a bit "too naive", i.e. It's a bit wasteful to consider both vertices in a conflict

Hegy: For every edge, we independently flip coin twice direct the edge and independently flip a coin before the random coloring. We only consider the "head" of the edge in a conflict if coin flip is heads and similarly for tails.

- 2) Analyze the # of repeated colors in  $N(v)$

$$\text{C.e. } |N(v) \setminus V(G)| = (|L(v)| - |L'(v)|)$$

# of neighbors  
"deleted" (of v)  
"colors lost"  
i.e. retained color  
and not in  $G'$

Use the # of pairs, triples, etc. of repeated colors.

C

Molloy & Reed observed that if this value is  $\geq \Delta + 1 - |L|$ , then we can greedily color  $G'$ .

Need to figure out  $E[\text{this value}]$ , argue its concentrated and  
 (let's call it the "savings of  $v$ ")  
 apply LLL.

Pairs + Triples!

$\text{Pairs}_v := \{(u, v) \in \binom{N(v)}{2} : uv \notin E(G), \Phi(u) = \Phi(v) \text{ and } uv \notin V(G')\}$

$\text{Triples}_v := \{(u, v, w) \in \binom{N(v)}{3} : uv, vw, uw \notin E(G), \Phi(u) = \Phi(v) = \Phi(w), \text{ and } u, v, w \in V(G')\}$

Claim: Savings<sub>v</sub>  $\geq \text{Pairs}_v - \text{Triples}_v$ .

Expectation of Pairs, Triples!

(let's assume  $G$  is  $\Delta$ -regular)

$$\Pr[\bar{v} \notin V(G')] = \left(1 - \frac{1}{2^{\Delta+1}}\right)^{\Delta} \approx e^{-\frac{\Delta}{2^{\Delta+1}}}$$

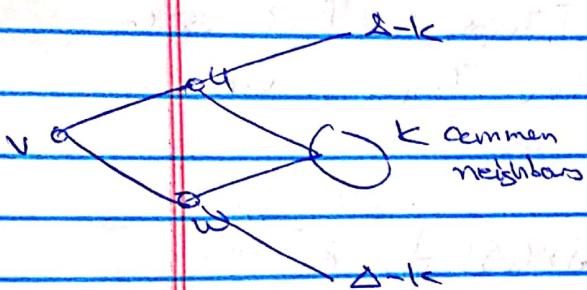
i.e. Bernoulli color

Need to lose one fly to lose color

$$E[\text{Pairs}_v] = \# \text{ of non-edges in } v \cdot \Pr[\bar{v} \notin V(G')]$$

$$\Pr[\Phi(u) = \Phi(v)] \quad \Pr[\bar{u}, \bar{v} \notin V(G')]$$

$$= \frac{1}{|L|} \quad (\text{Color})$$



$\Pr[\text{v, v} \in G']]$

$$= \left(1 - \frac{1}{2|U|}\right)^{2|U|-k} \left(1 - \frac{3}{4|U|}\right)^k$$

Neighbors not in  $\xrightarrow{\quad}$  Neighbors in  
Common  $\xleftarrow{\quad}$  Common

(Bad event is

losing coinflip) is either U  
or w lose  
(Bad event  
coin flip).

$$= \left(1 - \frac{1}{2|U|}\right)^{2|U|} \cdot \frac{\left(1 - \frac{3}{4|U|}\right)^k}{\left(1 - \frac{1}{2|U|}\right)^{2k}}$$

Can argue that  $\left(1 - \frac{3}{4|U|}\right)^k \geq \left(1 - \frac{1}{2|U|}\right)^{2k}$  for  $|U|$  large

enough, and so:

$\mathbb{E}[\text{Pairs}_v] \geq \# \text{ of new-edges of } v: \left(1 - \frac{1}{2|U|}\right)^{2|U|}$

Take an expectation:  $\geq \bar{o}(\Delta)$

$$\approx e^{-\Delta/14}$$



The calculation is similar to  $E[T_{\text{Trips}}]$ , BUT since we want to argue  $Sewings \geq \text{Painv-Triplets}$ , we went an upper bound.

$$E[T_{\text{Trips}}] \geq \# \text{ of triangles in } G[N(u)] \cdot \frac{1}{14} \cdot e^{-\frac{7\Delta}{814}}.$$

But what is this?

Theorem (Rödl, 2002)

If  $G$  is a graph w/  $\binom{|V(G)|}{2}$  edges, then  $G$  has at most  $\binom{\Delta}{3}$  triangles.

So:  $E[\text{Painv-Triplets}]$

$$\leq \binom{\Delta}{2} \cdot \frac{1}{14} \cdot e^{-\frac{\Delta}{14}} - \binom{\Delta}{3} \frac{1}{14} e^{-\frac{7\Delta}{814}}.$$

(where  $\binom{\Delta}{2} = \# \text{ of ruedges in } N(u)$ ).

$\approx \frac{\Delta}{2e} - \binom{\Delta}{3} \frac{1}{6e^{\frac{7\Delta}{814}}}$ , which is the # we wanted  
which, if worked out, will  
meet the #'s from the we wanted  
(from Brink & Joss)

Then, we exceptional Talyagundi and difference of  
variables to understand

New Idea in Banerjee, Perrelli, P.:

- Why stop after one iteration?

keep using nice procedure, i.e. Use Middle!

Issue: Only works if uncolored subgraph is still "Sufficiently sparse"

Idea: Uncolored subgraph will be "pseudorandom".  
Subgraph of  $G$

Defn: If  $G$  is a graph, we say a subgraph  $H$  of  $G$  is a  $\mu$ -pseudorandom. Subgraph of  $G$  if  $H \subseteq V(G)$ ,  $|N(v) \cap N(w) \cap V(H)| = |N(v) \cap N(w)| \leq 10\sqrt{\epsilon} \log \Delta$ .

Expected probability of being in  $V(H)$

So, if we can control this #, then:

Lemma:  $\text{deg}(v) \geq 0$

$\forall \delta > 0$ ; if  $\Delta(G)$  is large enough, and  $H$  is a  $\mu$ -pseudorandom subgraph of  $G$ , and  $G$  is  $\sigma$ -sparse, then  $H$  is  $\sigma'$ -sparse

So, how does this give a savings of  $(.302 - .10^{32}) \Delta$ ?

Savings for max deg  $\Delta$  graph (from Brunner & Tsai)  $\approx (.180 - .070^{32}) \Delta$

Savings in 2nd step:  $\approx (.180' - .070'^{32}) \Delta'$

... in 3rd step:  $\approx (.180'' - .070''^{32}) \Delta''$

What is  $\Delta'$  (and  $\Delta''$ )?

What is  $\Delta(G')$ ?

$$|\{N(v) \cap V(G')\}|$$

$$= |N(v)| \cdot \text{Pr}_{G \sim G'}(\{v \in N(v) \cap V(G')\})$$

$$\approx \Delta$$

$\approx e^{-\frac{\Delta}{24}}$  (value due to this b/c)

$$= \Delta (1 - e^{-\frac{\Delta}{24}})$$

We can concentrate this (using LLL) to set.

$$\Delta_t \approx \Delta_0 (1 - e^{-\frac{\Delta}{24}})^t$$

So:

Total Savings =  $\sum$  Savings at each step

$$= (.180 - .170^{3/2}) \cdot \sum_{t=0}^n \Delta_t$$

$$= n \cdot \underbrace{-\sum_{t=0}^n \Delta_0 (1 - e^{-\frac{\Delta}{24}})^t}_{\text{Value}}$$

$$= \Delta_0 \cdot e^{\frac{\Delta}{24}} \approx 1.6$$

Feb 11th

## Johansson / Molloy / Bonshteyn Theorems

Theorem (Johansson)

If  $G$  is triangle-free then

$$\chi_c(G) \leq O\left(\frac{\Delta}{\ln \Delta}\right)$$

Bonshteyn:  
Used LLL

Molloy ('12)  $(1 + o(1)) \frac{\Delta}{\ln \Delta}$

Bonshteyn ('18) True for DP-coloring

Molloy:  
Used entropy  
compression

Theorem (Johansson)

If  $G$  is  $\lambda_r$ -free for fixed  $r$

$$\chi_c(G) \leq O\left(\frac{\Delta \ln \Delta}{\ln \Delta}\right),$$

Molloy :  $\chi_c(G) \leq 200r \frac{\Delta \ln \Delta}{\ln \Delta}$  (or no longer fixed)

Bonshteyn : True for DP-coloring

In fact, Molloy / Bonshteyn gives a more general result  
framework:

(Baranyi, Kelly, Nellen, Postle '18+)

$$\chi_c(G) \leq 200 \sqrt{\frac{\chi_{op}(G)}{\ln \Delta}}^{1/(1-\epsilon)}$$
  
(even  $\chi_{op}(G)$ )

In spirit this answers the following question:

What assumption do we need on  $w(b)$  to guarantee that:

$$X(b) \leq \frac{\Delta}{c} \quad (c \geq 2)$$

Using BKJP!  $w \leq \frac{1}{\Delta c^2}$

This is almost right:

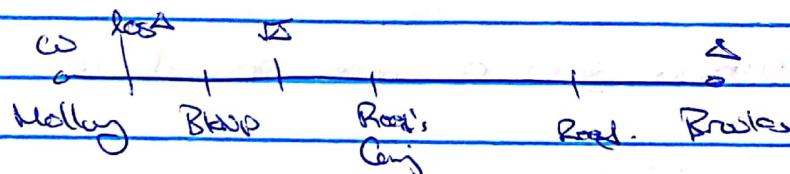
Ramsey Theory  $\Rightarrow$  Need  $w \leq \frac{1}{e-1}$ .

Conjecture: linear in  $c$  is correct

Implied by the following negative!

$$X(b) \leq 200 \Delta \cdot \frac{\ln w}{\ln \Delta}$$

This would give the update rule:



## Lecture 12:

Localized Colouring Theorem:  $\rightarrow$  Interpolates Standard Items in colouring

- 1) Local List Colouring  $\rightarrow$  Localize how many colors are available ( $i.e. |L(v)|$ )
- 2) Local Fractional Colouring

$\rightarrow$  Localize how much color a vertex receives. (its demand  $f(v)$ )

$\rightarrow$  Has connections with independent set bounds.

Survey of ~~recent~~ results

- Can localize # of colors  $\rightarrow |L(v)|$
- Can localize maximum degree ( $\Delta$ )  $\rightarrow d(v)$
- " clique #  $\rightarrow \omega(v) := \omega(G[N(v)])$  (size of largest clique containing  $v$ )

Ranging over  $\omega(v)$  vs.  $d(v)$

Greedy: If  $|L(v)| \geq d(v) + 1 \forall v$ , then  $G$  has an L-colouring

Local List Brooks: If  $|L(v)| \geq d(v)$ , then  $G$  has an L-colouring  
 (ERT) Feige, Ronen '78-xx) unless  $G$  ~~has~~ contains one component  
 where every block is a clique or  
 odd cycle

Local List Brooks Conj:

If  $|L(v)| \geq \lceil \frac{d(v) + 1 + \omega(v)}{2} \rceil \forall v \in V(G)$ ,

then  $G$  has an L-colouring

Theorem (Kelly, Postle)

$\exists \varepsilon > 0$  ( $\varepsilon \approx \frac{1}{100}$ ), if  $|L(v)| \geq \lceil (1-\varepsilon)(d(v) + 1 + \omega(v)) \rceil \forall v$  and

$\delta(G) \geq \text{polylog } \Delta(G)$ , then  $G$  has an L-colouring

bipartitions

On edge-colouring:

Recall! Thm (Galvin) If  $G$  is bipartite,  $\chi_e(G) = \chi(G)$ .

Local List Galvin: (Brodnik-Kostochka-Woodall '99)

If  $G$  is bipartite and  $|L(e)| \geq \max\{d(u), d(v)\}$ ,  
then  $G$  has an L-colouring.

(Note there is no restriction on  
degrees)

List

Local Kahn's (Bonamy, Delcourt Lang, Postle, 20+)

If  $|L(e)| \geq (\Delta(G)) \max\{d(u), d(v)\}$  and  $\delta(G) \geq \text{polylog } \Delta$ ,  
then  $G$  has an L-colouring.

Local Reed-Sudakov

Theorem (Alon, Kim, Postle)

I can prove that if  $|L(e)| \geq c \cdot \delta_1(u) \cdot \Delta$  and  $\delta(G) > \text{polylog } \Delta$ ,  
then  $G$  has an L-colouring.

## Local Fractional Colouring

Recall:

Demand function  $f(v)$ :  $f: V(G) \rightarrow \mathbb{Z}_0 \cap \mathbb{Q}$ .

"How much color they demand"

Proposition: (Dirac, Soen, Voigt)

Let  $G$  be a graph with demand function  $f$ . Then:

(a)  $G$  has an  $f$ -colouring ( $\phi: V(G) \rightarrow$  measurable subset of  $\mathbb{C}_0 \cap \mathbb{Z}$ ) s.t  $\chi(\phi(v)) = f(v)$

$$\forall u \text{ and } \phi(u) \cap \phi(w) = \emptyset \quad \forall v = uv \in E(G)$$

(b) There exist a common denominator  $N$  for  $f$  s.t.  $G$

has a  $(f_N)$ -colouring (i.e.  $\phi(v) \subseteq \mathbb{Z}N$ ) where  $\chi(\phi(v)) = f(v) \cdot N$  and  $\phi(v) \cap \phi(u) = \emptyset \quad \forall v$ .

(c)  $\exists$  probability distribution on independent sets of  $G$  s.t.

$$\Pr_{I \sim P}(I \subseteq f^{-1}(k)) \geq f(k) \quad \forall k$$

(d) The vector of demands  $(f(v): v \in V(G))$  is in the facets of

polytope of  $G$

(e) If nonnegative weight function  $w: V(G) \rightarrow \mathbb{R}_+$ , the graph  $G$  contains an independent set  $I$  s.t.  $\sum_{v \in I} w(v) \geq \sum_{v \in f^{-1}(k)} w(v) f(v)$ .

Remark:  $\chi_f(G) := \min \{k : \exists f\text{-cl of } G \text{ w/ } f(v) = k\}$ .

Also,

$$(e) \Rightarrow \chi_f(G) \geq \sum_{v \in V(G)} f(v) \cdot \left( \lceil \frac{v(G)}{\chi_f(G)} \rceil \right)$$

Results for Local Flock coloring:

Local Flock greedy 2.  $\rightarrow f(u) = \frac{1}{d(u)+1}$  ?

$\rightarrow$  Does there exist such  $\epsilon$  ~~leads to the most~~  
an analogue ~~nearest analogue~~

~~Independently by~~  
Theorem (Cao-Wei), '99)

$$\chi(G) \leq \sum_{v \in V(G)} \frac{1}{d(v)+1}$$

In fact, the proof gives an f-col where  $f(u) = \frac{1}{d(u)+1}$ .

Corollary: (Cao-Wei)

$$\chi(G) \geq \frac{|V(G)|}{\overbrace{\text{avg}(d(v)) + 1}^{\text{average degree of } V}}$$

3 proofs of Cao-Wei:

Proof I: (Min-deg proof)

Let G be a minimum counterexample. Let  $V(G)$  be of min. deg; since 'G' is a min. counterexample,  $G - v$  has an f-col  $\neq \emptyset$ .

Note that  $\text{fuc}(N(v))$ ,  $\mu(\phi(v)) = f(v) = \frac{1}{d(v)+1} \leq \frac{1}{d(v)}$ .

So, v "sees" at most  $\frac{d(v)}{d(v)+1}$  colors and so  $\mu(\phi(v)) \geq 1 - \frac{d(v)}{d(v)+1}$

$$= \frac{1}{d(v)+1} - f(v)$$

### Proof #2' (Probabilistic Proof)

Choose a total ordering  $\prec$  of  $V(G)$  w.r.t.  $\text{left-VCF}$  of  $V(G)$ . Note that  $\prec$  is a total ordering.

$$\Pr[VCF] = \frac{1}{d_{\text{left}}}$$



No back edges. ( $v \prec u$  is  $\frac{1}{d_{\text{left}}}$ )

### Proof #3' (Max degree proof)

Let  $G$  be a min-counterexample - Let  $V(G)$  s.t.  $f(v)$  is minimum. Let  $\Phi(v) \subset [0, 1]$  be a set of measure at least  $f(v)$ . Let  $\square f'$  be a demand function for  $G-v$ .

s.t.

$$f'(v) = \frac{1}{d_{G-v}(v)+1} \quad (\text{Idem: Neighbors of } v \text{ are } \cancel{\text{overloaded}})$$

Since,  $G-v$  has an  $f'$ -sharing

$G$  is min counterexample,

Note  $H(v)$ ,

$$f'(v) \mu(\Phi(v)) = \frac{(-f(v))}{d_{G-v}(v)+1} \geq \frac{1}{d_{G-v}(v)+1} = \frac{1}{d_G(v)+1} \geq f(v).$$

By lemma,  $G$  has a FCF

$\hookrightarrow$  See back.

Lemma:

Let  $G$  be a graph with demand function  $f$ , fractional list assignment  $L$  and  $g: V(G) \rightarrow \mathbb{R}$ . If  $g(v) \leq f(v)\mu(L(v)) \forall v$  and  $\forall S \subseteq V(G)$  s.t.  $\mu(\bigcap_{v \in S} L(v)) > 0$  the graph  $G[S]$  has an  $f$ -col, then  $G$  has a fractional  $(g, L)$ -col.

Free list-assign:  $L(v) \subseteq \mathbb{P}$

Free  $(g, L)$ -col:  $d(v) \subseteq L(v)$ ,  $\mu(d(v)) \geq g(v)$

## Lecture 13:

Recall:

Thm (Caro-Wei)

If  $G$  is a graph, then  $G$  has an  $f$ -coloring where  $f(v) = \frac{1}{d(v)}$ 

3 Proofs:

- 1) Min degree - Delete & Extend
- 2) Probabilistic
- 3) Max degree - Delete, "Selectively Reduce" Extend

(Q) Are there local fractional versions of other coloring theorems?

A local fractional Brooks' Theorem!

Multiple Viewpoints!

- Under what conditions can you get an  $f$ -col w/  $\frac{1}{d(v)}$ ?  
(Or, weaker version  $\frac{1}{d(v)-\epsilon}$  for some  $\epsilon \in (0,1)$ )
- Caro-Wei is tight for disjoint union of cliques. What if we "forbid this"? (What can we say?)

Tech #1: Central local clique  $\omega$ 's, i.e.  $\omega(v) = \omega(G[N(v)])$ 

- We could assume  $\omega(v) \leq d(v)$  w.l.o.g. (Instead of possible  $d(v)+1$  in clique)  $\rightarrow$  Recall that if  $\omega(v) = d(v) + 1$ ,  $v$  is called Simplicial. So, we restrict simplcial vertices

- OR -

- We could try a larger  $f$  for non-simplicial and  $f = \frac{1}{d(v)+1}$  for simplicial.



- CR -

'Strongest Version'

Show that the necessary condition:

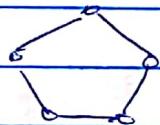
$$\sum_{\text{vertices } v} f(v) \leq 1 \quad \forall \text{ cliques } k$$

is sufficient

(Since if cliques demand too much color, then we're screwed)

Q: For what  $\epsilon$  is this necessary clique condition sufficient?

If  $\epsilon < \frac{1}{2}$ , then no!



$$\text{then, } f(v) = \frac{1}{d(v)+\epsilon} \geq \frac{1}{5},$$

so we get a better than  $\frac{2}{5}$ -coloring.

Theorem (Chvátal, Pachter)

If  $G$  is a graph and  $f$  a demand function for  $G$  such that

$$f(v) \leq \frac{1}{\deg(v)} \quad \forall v \in V(G), \text{ and}$$

$$\sum_{v \in k} f(v) \leq 1 \quad \forall \text{ clique } k \text{ in } G,$$

then  $G$  has an  $f$ -coloring.

Proof Idea:

- Min. degree type proof. (Probabilistic, max-degree didn't work well)



## Proof Idea (Cont.)

- 1<sup>st</sup> Obs) Min degree vertex has many min. degree neighbors
- 2<sup>nd</sup> Obs) Prove that min. deg vertices decompose into chains

i.e.

$$v_0 \xrightarrow{d} v_1$$

✓ It will always exist.

w

:

(right branch)

## Cordley (Brooks)

- If  $G$  is a  $k$ -chromatic graph on  $n$  vertices with:

$$e(G) \geq \left(\frac{k-1}{2}\right) \frac{n^2}{2} - \frac{n}{4k} + 1$$

- then  $\chi(G) \leq r$  ( $r$ -partite)

Proof:

## Local Proof. Vizing:

- If  $G$  is a graph,  $E(G)$  has an  $f$ -coloring where

$$f(e) = \frac{r}{\min\{d(u), d(v)\}+1}$$

Proof:

- Have to prove ( $f(e)$ ):  $e \in E(G)$  is in the Edmond's Matching

Polytope:

$$\sum_{e \in E(G)} f(e) \leq 1 \quad \rightarrow \quad \sum_{e \in E(G)} \frac{1}{d(u)+1} \leq \frac{d(v)}{d(u)+1} \leq 1$$

$$\sum_{e \in E(G)} f(e) \leq \left\lfloor \frac{|S|}{2} \right\rfloor \quad \rightarrow \quad \text{Show that } f'(e) \leq \frac{|V(G)|-1}{2}, \text{ where} \\ f'(v) = \frac{1}{2} \left( \frac{1}{d(u)+1} + \frac{1}{d(v)+1} \right)$$

$$f(e) \geq 0 \quad \sum_e \left( \frac{1}{d(u)+1} + \frac{1}{d(v)+1} \right) \quad \text{Always of max.}$$

$$\Rightarrow \sum_v \frac{d(v)}{d(v)+1} \leq \sum_v \frac{|V(G)|}{|V(G)|+1} \leq |V(G)|-1.$$

②

## Perfect Graph

Recall:

$G$  is perfect if all induced subgraphs  $H$ ,  $\omega(H) = \chi(H)$

'Strong' perfect graph theorem (Chudnovsky, Robertson, Seymour, Thomas, 2006)  
 $G$  perfect iff neither  $G$  nor its complement contain an induced  
odd cycle of length  $\geq 5$

'Weak' version: (Clawson '70s)

$G$  perfect iff  $\bar{G}$  perfect

Theorem (Faudree, Rival)

If  $G$  is perfect, then  $G$  has a  $\frac{1}{\text{color}}$ -coloring

Lemma:

If you copy a vertex in a perfect graph, you remain perfect.

Proof (sketch):

Break up  $B$  into  $B_1$  and  $B_2$ .

## Lecture 14:

Local Fractional ColoringFor low  $\omega$  theorems:• Kim's Theorem: If  $G$  is girth 5 then  $\chi \leq (1 + o(1)) \frac{\Delta}{\log \Delta}$ • Thomassen / Malloy: Triangle-free  $\Rightarrow \chi \leq (1 + o(1)) \frac{\Delta}{\log \Delta}$ • Thomassen:  $\chi \leq 200 \omega \frac{\Delta \log \Delta}{\log \omega}$ • Banerjee, Kelly, Nelson, Postle:  $\chi \leq 2000 \omega \frac{\Delta \log \Delta}{\log \omega}$ 

Q: Are there local fractional versions of all these theorems?

E.g. If  $G$  has girth  $\geq 5$  or triangle-free, does there exist a  $(1 - o(1)) \frac{\text{Ind}(G)}{\deg(G)}$ -coloring?

Sub-question: Do any of the ind. set versions hold?

E.g. If  $G$  has girth  $\geq 5$  or triangle-free, is  $\alpha(G) \geq \sum_i (1 - o(1)) \frac{\text{Ind}(G_i)}{\deg(G_i)}$ → Theorem (Shearer, 1984)If  $G$  is triangle-free (and avg. deg.  $\omega$ ), then

$$\alpha(G) \geq (1 - o(1)) \frac{\text{Ind}}{\omega} V(G)$$

Remark: Avg. degree, independent set versions trivially exist at the cost of a constant

→ Observation: At most  $\frac{1}{2}$  of the vertices have  $\deg \geq$  twice the average (Markov's Inequality)→  $\exists G'$  induced subgraph of  $G$  with  $V(G') \geq \frac{V(G)}{2}$  and

$$\Delta(G') \leq 2 \cdot \text{avg}(G)$$

By Malloy,  $\chi'(G) \leq (1 + o(1)) \frac{\Delta(G')}{\ln \Delta(G')} \leq (1 + o(1)) \frac{2 \cdot \text{avg}(G')}{\ln 2 \cdot \text{avg}(G)}$ 

$$\Rightarrow \alpha(G) \geq \frac{V(G')}{\chi'(G')} \geq (1 - o(1)) \frac{\ln \text{avg}(G')}{2 \cdot \text{avg}(G)} \left( \frac{V(G)}{2} \right) = \frac{(1 - o(1))}{2} \frac{\ln \text{avg}(G)}{\text{avg}(G)} V(G)$$

And hence this works for other things, depending on what you assume on  $\omega$ .

Simple Q: If  $G$  is triangle-free, then does  $G$  have a  $F$ -coloring where  $f(v) \geq \frac{1}{d(v)}$ ?

(or even better, than  $\frac{1}{d(v)+1}$ ?)  $\rightarrow$  Bräuer

Theorem (Kelly, Postle)

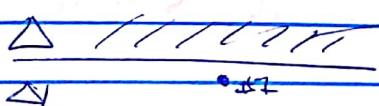
Yes we can (actual statement in a bit)

Proof Ingredients:

fractional.

- 1) We'll use the max. degree coloring theorem as blocks taken
- 2) Stabilization: Divide the vertices into shades according to their degree; so each shade will have all degrees in the same multiplicative order (e.g.  $A$  to  $\frac{1}{2}, \frac{1}{3}$ , etc.  $\times \frac{1}{2}$  to  $\frac{1}{4}, \frac{1}{6}$ , etc.)
- 3) We color by highest degree shades first

Intuition: High degree vertices demand so little, it is okay to have them pick first)



Apply Hallay's to size  $\frac{\text{but}}{\Delta}$  amount of  
clerk to thin section

$\frac{1}{4}$

Problem 1: Vectors on the boundary may  
run at of ~~other~~ colors

$\circ \#2$

Problem 2: May run at of other at  
lower shades

(left) change fine strater.

$$\frac{\Delta}{\text{Access}} \frac{\text{Ind}}{\Delta} \rightarrow \text{Bef} \text{ we have an issue here}$$
$$\frac{\Delta}{\text{Access}} \frac{\text{Ind}}{\Delta} = \frac{\Delta}{\text{Ind}} > 1$$

$\frac{\Delta}{\text{Ind}}$  So the 2nd strater has already lost all its colors.

Idea, say give  $\frac{1}{2}$  the colors to even strater and  $\frac{1}{2}$  the colors to odd strater

This argument gives  $\lceil \frac{\Delta(\text{Ind})}{2\Delta} \rceil$  for triangle fine

We can do even better:

Try an arbitrary # of different types of strater and figure it out!

$M = \#$  of types, and the  $i$  mod  $M$  layers have colors for  $i^{\text{th}}$  segment of  $[0, 1]$

So, we stratify:

$$\Delta_1 \frac{\Delta}{(2d(v))^{\frac{1}{M}}} \rightarrow \frac{\Delta}{(2d(v))^{\frac{1}{M}}} \dots$$

$$\Delta_2 \frac{\Delta}{(2d(v))^{\frac{1}{M}}} \dots$$

A strater receives  $\frac{\Delta_i}{\Delta_i} \cdot \frac{1}{M}$  colors. However, there are vertices in strater of deg  $\frac{\Delta_i}{(2d(v))^{\frac{1}{M}}}$ , so each vertex gets  $\frac{1}{M \cdot d(v)^{\frac{1}{M}}}$ .

$$\frac{2d(v)^{\frac{1}{M}}}{2M \cdot d(v)} = \frac{1}{2(d(v))} = \frac{1}{M \cdot d(v)^{\frac{1}{M}}}.$$

So, what  $\lambda$  minimizes  $\lambda x^{\lambda}$ ?  $\lambda = \ln x$   
 $\rightarrow \lambda x^{\lambda} = e^{\ln x}$ .

Theorem (König, Kelly, Postle)

$\forall \epsilon > 0, \exists \delta > 0$  s.t.

let  $r: \mathbb{N} \rightarrow \mathbb{R}$  be non-decreasing s.t.  $H_r > 0$ , if  $d$  is sufficiently large than:

$$r(d, rdc)$$

... Uh.. just find the paper

Corollary

If  $G$  is triangle-free, then  $G$  has a  $(1 - o(1)) \frac{1}{2e} \frac{\ln d(G)}{d(G)}$ -coloring.

Remarks:

- Then only useful if  $r(\Delta) = o(\Delta)$
- $\log \log$  term!

Hadwiger's Conjecture:  $\mathcal{O}(4)$

If  $G$  is  $k_t$ -minor-free, then  $\chi(G) \leq t - 1$ .

Norin-Song :  $\chi \leq O(t(\lg t)^{.354})^{.354} \dots$   
Postle :  $\chi \leq O(t(\lg t)^{1+\epsilon})^{1+\epsilon}$  )  $H_r$

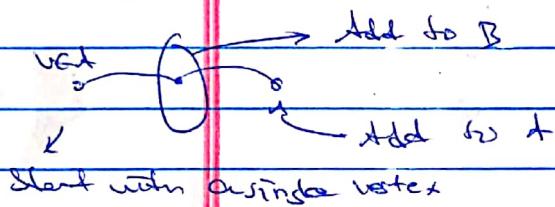
We'll need the following theorem of Duchet-Magoul (1982)

If  $G$  is  $k_f$ -min-free, then  $\alpha(G) \geq \frac{v(G)}{2f}$

Proof:

Let  $A, B \subseteq V(G)$  s.t.  $A$  and  $A \cup B$  connected and  $A \cup B$  dominating (and  $A \cup B$  nonempty)

Claim: There exists



Claim:  $G - (A \cup B)$  is  $k_f$ -min-free

→ Get a decomposition into at most  $(f-1)(A-B)$ 's

$$\Rightarrow \sum |A_i| \geq \frac{v(G)}{2} \Rightarrow \exists i \text{ s.t. } |A_i| \geq \frac{v(G)}{2(f-1)}$$

## Lecture 16:

Theorem (Babai, Thm 1996)

If  $G$  is  $2k$ -connected, then  $G$  is  $k$ -linked.

(State-of-the-art: Thoma-Weller '07:  $\text{1.5k-connected} \Rightarrow k\text{-linked}$ )

Theorem (Kostochka, Thm 1980's)

If  $G$  has no  $k$ -minor, then  $\overline{\delta}(G) \leq \lceil \frac{k}{2} \rceil + 1$

Idea: If  $G$  has large avg. degree, then  $G$  contains a minor of large avg. degree and a small # of vertices.

Lemma:

If  $e(G) \geq k \cdot v(G)$ , then  $G$  contains a minor  $H$  s.t.  $|V(H)| \leq k$  and  $\overline{\delta}(H) \geq k$ .

Proof:

We may assume that  $\overline{\delta}(G) \geq k+1$  (w.l.o.g. we can delete a min. degree vertex and apply induction).

Similarly, we may assume that  $e(G) = k \cdot v(G)$ , thus we can delete edges and apply induction.

Thus,  $\exists$  a vertex  $w \in V(G)$  with  $d(w) \leq k$  (and  $d(w) \geq v$ ).

Let  $H = N[w]$ . If  $|N(w) \cap N(v)| \leq k-1$ , then  $v$  is a cut vertex in  $G$  (w.l.o.g. So  $v \in N(w)$ ,  $|N(w) \cap N(v)| \geq k$  and hence  $\overline{\delta}(H) \geq k+1$  and  $|V(H)| \leq 2k+1$ , as desired.)



In fact, something stronger is true!

(Lemma (Thomassen, '84))

Let  $\alpha < \beta < 1$  be the root of the equation  $1 = \beta(1 + \log(\frac{\alpha}{\beta}))$  (Note!  $\beta \approx 3.71$ ) and let  $k \geq 3$  be an integer. If  $G$  is a graph s.t.  $e(G) \geq k\omega(G)$ , then  $G$  contains a minor  $H$  s.t.  $V(H) \leq k+2$  and  $2\delta(H) \geq v(H) + \lfloor \beta k \rfloor - 1$ .

Why is this nice? The guarantee  $2\delta(H) \geq v(H) + \lfloor \beta k \rfloor - 1$  means we get min. degree at least  ~~$\frac{1}{2}$~~   $\approx 60\%$  of the original degree. For min. degrees higher than  $50\%$ , we get  $\rightarrow$  a linear amount of common neighbors!

Proof (of Kostochka-Thomassen)

Idea: We will prove by contradiction, if we have high average degree, then we can use the previous lemma to find small minors, and then we finish with probabilistic method.

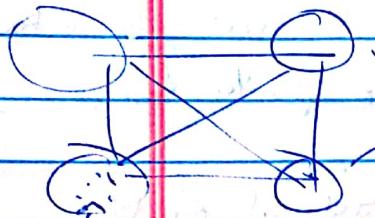
We'll prove that if  $\alpha$  is big enough and  $\omega(G) \geq ct \log \frac{1}{\epsilon} = 2k$ , then  $G$  contains a  $k+1$ -minor.

Using the easier lemma, I minor  $H$  of  $G$  s.t.  $V(H) \leq 2k+1$  and  $\delta(H) \geq k+1$ .

(Note: We will try to keep vertices up to  $\omega$  to  $k$ -linked clusters, without worrying about the connectivity of the bags)

## Proof (cont'd)

Example: We want something like:



Rows of vertices

And we're worrying about individual connectivity in a bit

Let  $p = \frac{c}{\sqrt{t}}$ , the density.

Let  $x_1, \dots, x_t$  be vertex-disjoint subsets of  $H$  of

size  $\frac{c}{200\sqrt{t}}$  chosen i.i.d.

$$\Pr[e(x_i, x_j) = 0] = (1-p)^{|x_i \cap x_j|}$$

$$= (1-p)^{\frac{c^2}{20000\sqrt{t}}}.$$

and we claim this is  $< t^2$ , and so:

$$\mathbb{E}[e_{ij} \in \binom{x_i}{2} : e(x_i, x_j) = 0] < t^2 \cdot \frac{1}{t^2} < 1.$$

So, there exist a choice of  $x_i$ 's s.t.  $\mathbb{E}[e_{ij} : e(x_i, x_j) > 0]$   
 $\forall i \neq j \in [t]$ .

Now, we're almost done, but each  $x_i$  may not be  
 connected! (So, we may not be able to safely contract  
 them)

i.e. (Thomassen lemma).

Finish #7: Use better lemma to guarantee that  $|N(u) \cap N(v)|$   
 $\geq 0.5K$  when  $N(t)$ , then greedily pick common neighbors  
 for each  $x_i$  outside of  $\cup x_i$  to connect each  $x_i$ , avoiding  
 previously picked common neighbors.

②

## Proof (cont)

Finish #2: Using Mader, I subgraph  $H'$  of  $H$  with connectivity  $\geq k_2$  and hence min deg.  $\geq k_2$  and so  $p \geq \frac{1}{4}$  for each  $H'$  and  $v(H') \leq v(H) \leq 2k_1$ .

So, apply random partition of  $X$ 's to  $H'$  instead of  $H$ .

Now, by Bollobás' Theorem,  $H'$  is  $k_{14}$ -linked. Take a matching from  $U_{X_i}$  to a set of vertices  $\star$  in  $G - U_i$ .

(Such exists since  $\delta(H') \geq k_2$  and  $|U_{X_i}| \leq k_{14}$ ). Now, we

the matched vertices  $\star$  and vertices of  $X$  as formats to find vertex disjoint paths connecting each  $X_i$ .

## Proof (Chambers's Lemma)

By the above lemma, there exists a minor  $H'$  of  $G$  s.t.

$v(H') \leq 2k$  and  $\delta(H') \geq k$ . (We can drop the ' $'$ s)

We define a  $\mathbb{R}$ -valued function  $f(G)$  on graphs as

$$f(G) = \frac{\beta k - v(G)}{2} \left( \log\left(\frac{v(G)}{\beta k}\right) + 1 \right)$$

and let:

$$D := \{G : v(G) \geq \beta k \text{ and } e(G) > f(G)\}$$

Then

Claim:  $H' \in D$

Proof:  $v(H') \geq k \geq \beta k$  and  $e(H') \geq \frac{k v(H')}{2}$ .

$$\geq \frac{k v(H')}{2} \left( \beta \left(1 + \ln\left(\frac{v(H')}{\beta k}\right)\right) \right)$$

C

Proof (cont'd)

$$= \frac{\beta k v(H)}{2} \left( \log\left(\frac{2}{\beta}\right) + 1 \right)$$

$$\leq f(H) \quad \left( \geq \frac{v(H)}{\beta k} \text{ since } v(H) \leq 2k \right)$$

$$\leq f(H)$$

□ (claim)

Let  $H''$  be a minor of  $H'$  minimal w.r.t. containment in  $D$  (and such exists since  $H' \subseteq D$ , by claim).

Since the complete graph of order  $\lceil \beta k \rceil$  is not in  $D$

$$(w.l.o.g. f(H') = \frac{\beta k \lceil \beta k \rceil}{2} \left( \log\left(\frac{\lceil \beta k \rceil}{\beta k}\right) + 1 \right) \geq \lceil \beta k \rceil^2 \geq \left(\frac{\lceil \beta k \rceil}{2}\right)^2 \geq e(H'))$$

then it follows that  $v(H'') \geq \lceil \beta k \rceil + 1$ .

Moreover,  $e(H') \geq \lceil \beta k \rceil^2$ , thus deleting an edge stays in  $D$ , contradicting the minimality of  $H''$ .

By minimality of  $H''$ , we have that

$$e(H''|_{uv}) \leq f(H''|_{uv})$$

$$(since v(H''|_{uv}) \geq v(H'') - 1 \geq \lceil \beta k \rceil)$$

Let  $u$  be a vertex in  $H''$  of min. degree. Let  $H = H''[N_{H''}(u)]$

$$v(H) \leq d_{H''}(u) \leq \frac{2e(H'')}{v(H'')} = \frac{2\lceil \beta k \rceil^2}{v(H'')} = 2 \frac{\beta k v(H'')}{2} \left( \log\left(\frac{v(H'')}{\beta k}\right) + 1 \right)$$

(b/c  $u$  has min. degree in  $H''$  (why?)  $\Rightarrow$   $(\lceil \beta k \rceil^2) \geq v(H'')$ )

$$= \beta k \left( \log\left(\frac{v(H'')}{\beta k}\right) + 1 \right)$$



③

Proof: (cont)

And since  $v(H'') \leq v(H') \leq 2k$ :

$$\log\left(\frac{v(H'')}{\beta k}\right) \leq \log\left(\frac{2}{\beta}\right) \text{ and hence}$$

$$v(H) \leq \beta k \left( \log\left(\frac{2}{\beta}\right) + 1 \right) = k \quad (\text{since } \beta \log\left(\frac{2}{\beta}\right) + 1 = 1)$$

It remains to show that  $2d(H) \geq v(H) + \lfloor \log_2 k \rfloor - 1$ .

Note:

$$\delta(H) \geq \min_{v \in V(H'')} |N_{H''}(w) \cap N_{H''}(v)|.$$

(Now we have to show that the # of common neighbours is relatively high)

By previous obs., we have that

$$e(H'') - e(H''/uv) \geq f(H'') - f(H''/uv)$$

So,

$$|N_{H''}(u) \cap N_{H''}(v)| \geq f(H'') - f(H''/uv) = 1 \quad \xrightarrow{\text{For } uv \text{ itself.}}$$

Then

$$2d(H) - v(H)$$

$$\geq 2(f(H'') - f(H''/uv) - 1) - v(H)$$

$$\geq 2\left(\frac{\beta k v(H'')}{2} \left(\log\left(\frac{v(H'')}{\beta k}\right) + 1\right)\right) - \frac{\beta k v(H'')}{2} \left(\log\left(\frac{v(H''/uv)}{\beta k}\right) + 1\right) - 1$$

$$= \beta k \left(v(H'') \left(\log\left(\frac{v(H'')}{\beta k}\right) + 1\right) - (v(H'') - 1) \left(\log\left(\frac{v(H'') - 1}{\beta k}\right) + 1\right)\right) - 2 - v(H)$$

Proof (cont'd)

$$= \beta^k \left( v(t^{(i)}) \left( \log\left(\frac{v(t^{(i)})}{\beta^k}\right) - \log\left(\frac{v(t^{(i)})-1}{\beta^k}\right) + 1 + \log\left(\frac{v(t^{(i)})-1}{\beta^k}\right) \right) \right)$$

$$-2 - v(t^{(i)})$$

Recall that

$$v(t) \leq \beta k \left( \log\left(\frac{v(t)}{\beta^k}\right) + 1 \right)$$

## Lecture 17:

Theorem (Bollobás - Thomassen, '96)

If  $G$  is  $22k$ -connected, then  $G$  is  $k$ -linked.

Lemma (Thomassen, '84)

Let  $0 < \beta < 1$  be the root of  $I = \beta + (1 + \ln(\frac{2}{\beta}))$  and let  $k \geq 3$  be an integer. Let  $G$  be a graph with  $e(G) \geq kN(G)$ .

Then  $G$  contains a minor s.t.

(1)  $v(H) \leq k+2$ , and

(2)  $\Delta(H) \geq v(H) + \lfloor \beta k \rfloor - 1$ .

Idea: If we can rearrange this minor so that each terminal is in a distinct "bag", then we can use "many common neighbors" in  $H$  property to do fine linking.

key technical lemma:

Let  $d \geq 0$ ,  $k \geq 0$ , and  $l \geq d + \lfloor \frac{3k}{2} \rfloor$  be integers. Let  $G$  be a graph containing vertex-disjoint non-empty connected subgraphs  $C_1, C_2, \dots, C_l$ . Such that each  $C_i$  is adjacent to all but at most  $d$  other  $C_j$ 's. Suppose  $S = \{s_1, \dots, s_k\}$  is a set of  $k$  vertices such that there is no  $S$ -out of order  $\leq k$  which avoids  $d+1$  of the subgraphs  $C_1, \dots, C_l$ .

Then,  $G$  contains vertex-disjoint non-empty connected subgraphs  $D_1, \dots, D_m$ , where  $m = l - \lfloor \frac{k}{d} \rfloor$  such that  $\forall i \in [k]$ , the subgraph  $D_i$  contains  $s_i$  and is adjacent to all but at most  $d$  of the subgraphs  $D_{i+1}, \dots, D_m$ .



Note: In fact, for every  $c_i \in C$ ,  $C_i$  is adjacent to all but at most  $d$  of  $C_1, \dots, C_m$ .

Proof:

- In fact, we'll prove the lemma under a weaker assumption on the  $C_i$ 's (and hence a stronger version of the lemma)
  - Each  $C_i$  is at least one of the following:
    - { Connected (as before), or
    - { Not connected and each of its components contains a vertex in  $S$
  - And is adjacent to all but at most  $d$  of the other  $C_j$ 's ( $j \neq i$ ) that do not contain a vertex in  $S$ .

Let  $G, C_1, \dots, C_m, S$  be a minimum counterexample.

Obs: If an isolated vertex  $v$  of  $G$ ,

Proof: If  $v \notin S$ , then  $G - v$  is a smaller counterexample bc if  $v \in C_i$ , then  $C_i = \{v\}$  but then  $C_i$  is non-adjacent to too many other  $C_j$ 's. So stay w/ any  $C_i$ , but then deleting it does not affect the  $C_i$ 's connectedness.

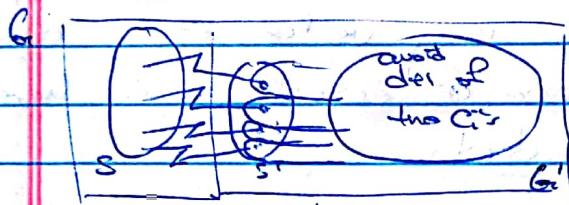
If  $v \in S$ , then  $S \setminus v$  is an  $S$ -set of order  $\leq k-1$  which avoids at least  $d-k > d - \lfloor \frac{d}{2} \rfloor \geq d-1$ , a contradiction.  
(This is the  $S$ -cut assumption)

→ Proof continued.

## Proof (cont)

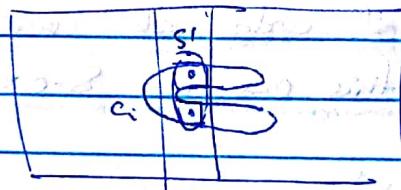
Claim: The only  $S$ -out of order  $k$  avoiding  $\geq d_k$  of the  $C_i$ 's is  $S$  itself.

Proof: Suppose not, that is, suppose  $\exists S' \subseteq V(G)$ ,  $|S'| = k$ , and  $S' = A \cap B$  for an  $S$ -out  $(A, B)$ , where  $S$  set and it avoids  $\geq d_k$  of the  $C_i$ 's.



(Let  $G' = G[B]$  with  $B$  and let  $C'_i = C_i \cap G'$ .

Remark: If a component of  $C_i$  "spits" into multiple components in  $G'$ , then each such component in  $G'$  contains a vertex of  $S'$ .



Obviously,  $C'_i$  are vertex-disjoint since the  $C_i$  are; and further, they are non-empty since each  $C_i$  has to contain a vertex in  $B$  and as it is adjacent to at least  $\lceil \frac{d}{k} \rceil$  of the del subgraphs avoided by  $A$ , by assumption.



Proof (cont)

Subclaim:  $C_i$  are non-empty, vertex-disjoint and satisfy the weaker assumption

Proof.

We've established non-empty, vertex-disjoint. Now, suppose  $C_i$  is not connected, and have a component  $J$  not containing a vertex of  $S'$ . But, then  $J$  is a component of  $C_i$  and does not contain any vertex of  $S'$  and hence any vertex of  $A$  (since connected) and hence any vertex of  $S$ , a contradiction.

So w.l.o.g  $C_i$  non-adj to  $\geq \deg$  of the  $C_j$ 's not containing a vertex of  $S'$ , but then  $C_j$ 's must be equal to  $C_i$  and hence  $C_i$  is not adjacent to them, a contradiction.

■ (Subclaim)

Further, if an  $S'$ -cut in  $G'$  of order  $\leq k$  avoids  $\geq \deg$   $C_i$ 's b/w also we can use this as our  $S$ -cut.

Now, since  $S' \neq S$ ,  $G' \neq G$ ,  $\exists D'_1, \dots, D'_m$  where  $\forall i \in [m]$ ,  $S'_i \in D'_i$  and  $\forall i \in [m]$   $D'_i$  is a non-adjacent to at most  $\ell$  of  $D'_1, \dots, D'_m$ . Let  $D_i = D'_i$  if  $i \in [k], m]$ .

Since it avoided del of the  $C_i$ 's then  $\exists$  a cut in  $G[A]$  of order  $\leq k$ , separating  $S$  from  $S'$ . By Meno's theorem,  $\exists k$  vertex-disjoint paths  $P_1, \dots, P_k$  connecting  $S$  to  $S'$  in  $G[A]$ . Let  $D_i = D'_i \cup P_i$  and now, after relabeling,  $D_i$  is as desired.

■ (Claim)

Proof Contd

Claim:  $\forall v \in V(G)$ ,  $\exists i \in S$  s.t.  $v \in C_i$ ,  $v \in C_j$

Proof:

Case 1:  $v \in S$ , then Gbar gives rise to new  $C_i$  that satisfy weaker assumptions and connectivity b/c of claim (i.e. we would be able to find another S' of order  $< k$ )

Case 2:  $v \notin S$ , let  $G' = G - v$ .

Connectivity is preserved b/c  $v$  doesn't affect  $S$ -sat.  
Non-neighbors stay b/c not in distinct  $C_i$ 's and component  $D$  of  $C_i$  containing  $u, v$  splits into  $\leq 2$  components, each containing a vertex of  $S$ .

Corollary:  $V(G) = V(C_i)$  ← Haha, what?

Proof:

Cor: Every  $C_i$  is an ind. set. (hence  $|C_i| = 1$  or  $C_i \subseteq S$ )

Remark: We'd be done by letting  $C_i = D_i$  if  $|C_i| = 1$ .

Also, if  $|C_i| \geq 2$  (if  $C = \{v \in S, C_i$  containing  $v$  has  $\geq 2$  vertices).

Claim: Exist matching from  $C$  to  $V(G) - S \subseteq G$

Proof: By Hall's theorem,  $\exists X \subseteq C$  such that  $|Y \cap N(X)| \geq |N(Y)|$  for all  $Y \subseteq X$

$C \rightarrow$

Proof (Cont'd)

Proof (Claim - Cont'd)

But then  $S = S' \times V_4$  have  $|S'| < |S| = k$  and is an  $S$ -cut avoiding  $|V(G) - S - S'| = l - k - k_2 = l - 3k/2 > d+1$   $C_i$ 's.  $\square$  (Claim)

And that completes the proof.

Defn: A graph  $G$  is  $(t, n)$ -knit if  $(\leq n \leq k \leq |V(G)|)$  and  $\forall S \subseteq V(G)$ ,  $|S| = k$  and partition  $S$  into  $S_1, \dots, S_t$  where  $t \geq n$ . non-empty parts, then  $G$  contains vertex-disjoint, connected subgraphs  $R_1, \dots, R_t$  s.t.  $S_i \subseteq V(R_i) \ \forall i \in \mathbb{Z}^+$ .

Observation: If  $G$  is  $(2k, k)$ -knit, then  $G$  is  $k$ -linked.

Obs: If  $G$  is  $(2k, k)$ -knit, then  $G$  is  $k$ -linked.

## Lecture 18:

Recall!

We finished proving the key technical lemma (Bellairs-Thomassen):

(Let  $d \geq 0$ ,  $k \geq 2$ ,  $l \geq d + 3k/2$  be integers. Let  $G$  be a graph with  $\chi(G) \geq k$  containing vertex-disjoint, non-empty, connected subgraphs  $C_1, \dots, C_l$  such that each of them is non-adj. to at most  $d$  others. Let  $S = \{S_1, \dots, S_m\} \subseteq V(G)$ . Then  $G$  contains vertex-disjoint, non-empty, connected subgraphs  $D_1, \dots, D_m$ , where  $m = l - \lfloor l/2 \rfloor$  such that

- $H \in \mathcal{E}(k)$ ,  $S \in \mathcal{E}(D_i)$
- $H \in \mathcal{E}(l)$ ,  $D_i$  is non-adj. to at most  $l$  of  $\{D_{m+1}, \dots, D_m\}$ .

((We technically proved a stronger version - that we avoid Scott, but we'll only need this statement))

Defn  $G$  is  $(k,n)$ -knit if  $1 \leq n \leq k \leq \chi(G)$  and  $\forall S \subseteq V(G)$ ,  $|S| \leq k$  and partition  $S_1, \dots, S_t \in \mathcal{E}(k)$  (non-empty),  $t \geq n$ , then  $\exists$  of  $S$

vertex-disjoint, connected subgraphs  $R_1, \dots, R_t$  s.t.  $S_i \subseteq V(R_i)$   
 $H \in \mathcal{E}(t)$

and,  $(2k, k)$ -knit  $\Rightarrow$   $k$ -linked.

Theorem: (Let  $G$  be a graph with  $\chi(G) \geq k$  such that  $G$  has a minor  $H$  with  $2\delta(H) \geq v(H) + \lfloor \frac{5k}{2} \rfloor - 2 - n$ , then  $G$  is  $(k,n)$ -knit. In particular, if  $\chi(G) \geq 2k^2$  and  $2\delta(H) \geq v(H) + 4k^2 - 2$ , then  $G$  is  $k$ -linked.)

Proof:

- Let the bags in the model of  $H$  in  $G$  be the  $C_i$ 's. Hence
- Let  $\ell = v(H)$ ,  $d := v(H) - 1 - \delta(H)$ . Let  $S = \{S_1, \dots, S_{\ell}\}$ , then
- $\ell = 2d \Leftrightarrow v(H) - 2\delta(H) \geq 2d + \lfloor \frac{\ell k}{2} \rfloor - n$ , and the conditions to apply key technical lemma.
- So,  $D_1, \dots, D_m$ ,  $V(C_i) \subseteq S_i$ ,  $s_i \in D_i$  and  $V(C_i) \cap V(C_j) = \emptyset$  if  $i \neq j$ .
- $\sum d_i = \ell$ .
- Obs: If pair  $D_i, D_j$  ( $i, j \in [m]$ ) has at least  $m-k-2d$   $\geq \ell - \lfloor \frac{3k}{2} \rfloor - 2d \geq k-n$  subgraphs in  $D_{i+1}, \dots, D_m$  to which they are both adj. Now, greedily connect  $S_1$ , then  $S_2$ , etc., to  $S_\ell$  by ordering each  $S_i = \{S_{i,1}, S_{i,2}, \dots, S_{i,\ell}\}$  kind common neighbor of  $S_i$ , and  $S_{i,j}$  disjoint from previous common neighbor. Let  $T_i$  be the appropriate unions of the  $D_i$ 's

□

Corollary: If  $c > 0$  s.t.  $\forall \delta$ , if  $G$  is a graph w/  $\chi(G) \geq ck$ , then  $G$  is  $(ckn)-k$ -kit  $\leq c$ .

Corollary: If  $\chi(G) \geq 2ck$ , then  $G$  is  $(c)-$ linked.

- Corollary: Let  $H$  be a graph with vertices  $v_1, \dots, v_m$ . Let  $G$  be a graph with  $\chi(G) \geq 2d\delta(H) + v(H)$  and let  $u_1, \dots, u_m$  be distinct vertices of  $G$ . Then  $G$  contains  $\delta(H)$  pairwise vertex-disjoint paths  $P_{i,j}$  joining  $v_i$  to  $v_j$  whenever  $v_i, v_j \in E(H)$ .

Corollary: If  $\chi(G) \geq \lceil \rho^2 \rceil$  or  $\alpha(G) \leq \lceil 2\rho^2 v(G) \rceil$ , then  $G$  contains a  $k_F$ -minor.

Back to Hadwiger's Conjecture:

Theorem (Kostin, Sang, '98)

If  $G$  has no  $k_F$ -minor, then  $\chi(G) \leq O(t(\text{log } t)^{354})$

Theorem (Russo, '19)

—, then  $\chi(G) \leq O(t(\text{log } t)^3) \quad \forall \beta > 1$ .

Big Picture

$$\text{No } k_F\text{-minor} \Rightarrow \alpha(G) \leq \frac{v(G)}{2t+1}$$

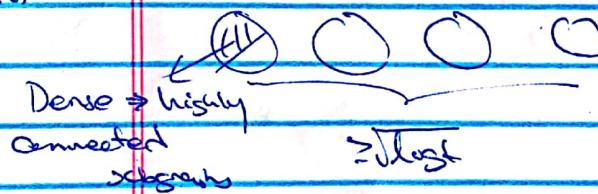
- Small ( $v(G) \leq t \cdot \text{polylog } t$ ) graphs: Use the Duchet - Meyniel independence result iteratively to color w/ few colors.
- Large graphs:
  - We may assume large min. degrees by criticality.
  - Even further — large connectivity by Kawa - abayhi

Case I:

I many (i.e.  $\geq \text{log } t$ ) vertex-disjoint small (as above),

dense subgraphs  $\xrightarrow{\text{use bad}} k_F\text{-minors}$ .

$\geq t(\text{log } t)^t$



If those are many dense subgraphs, we can always find a  $k_F$ -minor.



Case 2:

If many ...

Then, we can partition G into:

- A few of these small subgraphs.

This can be colored by our previous argument.

- And, the remainder will induce a sparse graph ( $\text{density} < O(f(\log t)^P)$ ) and hence can be colored w/ separate set of colors by greedy.

Why would this be true? (We've turned all small<sup>dense</sup> subgraphs, and the remaining set is sparse).

Small subgraphs:

Things we still need to argue!

- Small graphs have chromatic number at most  $O(\log n)$ .
- Case 1 and Case 2
- Sparsity in Case 2

Sketch

In the first case, we want to

Color

Small graphs:

In fact, Seymour (2016) noted that the Duval-Magyar implies:

Theorem: If  $G$  has no  $k_F$ -minor, then  $\exists X \subseteq V(G)$  with  $|X| \geq \frac{|V(G)|}{2}$  and  $\chi(G[X]) = t-1, t, t+1, t+2, t+3$ .

Cor: If  $G$  has no  $k_F$ -minor, then  $\chi(G) \leq \left\lceil \log_2 \left( \frac{|V(G)|}{t} \right) + 2 \right\rceil + t$

Proof: By previous claim,  $\exists$  integer  $s \geq 0$ ,  $\exists$  disjoint  $X_1, \dots, X_s \subseteq V(G)$  s.t.  $|V(G) - \bigcup_{i=1}^s X_i| \leq \frac{|V(G)|}{2^s}$ . (Let  $s = \lceil \log_2 \left( \frac{|V(G)|}{t} \right) \rceil$ .)

then  $\frac{|V(G)|}{2^s} \leq t$  and  $\therefore \chi(G) \geq \chi(G \setminus \bigcup_{i=1}^s X_i) \leq |V(G \setminus \bigcup_{i=1}^s X_i)| \leq t$   
So,

$$\chi(G) \leq t + \sum_{i=1}^s \chi(G[X_i]) \leq t + (st - 1) \leq (s+1)t \geq t.$$

Cor: If  $\chi(G) \leq t(\log t)^F$  and  $G$  has no  $k_F$ -minor, then

$$\chi(G) \leq \left\lceil \log_2 \left( \frac{|V(G)|}{t} \right) + (\log \log t) + 2 \right\rceil$$

Remark: The tight examples for Kostochka-Thomassen's bound are random graphs on  $t^{1/t}$  vertices and hence small.

## Rechts Case I:

Lemmer (Norin, Sung)

$\exists$  c.s.t.

Let  $G$  be a graph and let  $d \geq s \geq 2$  be positive integers

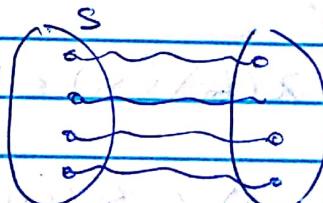
let  $s_1, s_2, t_1, \dots, t_d, r_1, \dots, r_s \in V(G)$  be distinct

If  $\chi(G) \geq C \cdot \max\{d, s\sqrt{d} \log d\}$ , then  $\exists$  a  $k_s$ -model

$H$  rooted at  $\{r_1, \dots, r_s\}$  (i.e. each  $r_i$  is in a different

bag of  $H$ ) and on  $\{(s_i, t_i)\}_{i \in \{1, \dots, s\}}$  - linkage  $\Rightarrow$

in  $G$  s.t.  $H$  and  $T$  are vertex-disjoint



Proof:

Let  $d := d(G) := \frac{\#e(G)}{\#V(G)}$  be the density (Note that  $\delta(G) \geq \frac{\chi(G)}{2} \geq \frac{Cd}{2}$ ). By Hall's criterion,  $\exists$  a model  $H$  of size  $H$  in  $G$ , whose  $v(H) \leq d \cdot s$  and every vertex in  $H$  has at least  $\frac{v(H)}{2} = \frac{d}{2} s$  non-neighbors

Now need to be technical Lemmer



last Proof! (cont)

Let the  $C_i$ 's be the bags of  $t_i$ .

Let  $S = \{s_1, \dots, s_{k+1}, t_1, r_1, \dots, r_s\}$

→ Send to key technical strengthen!

Got lazy. Idea for rest of proof:

- Split bags not containing  $r_i$ 's be used for linking and minor creation
- How? Do this randomized → Use Chernoff Bound to bound low common neighbors
- Then, use these ~~the~~ bags to link  $r_i$ 's to create  $k_i$ -minors

□

Theorem (Klein, Sung)

∃ c > 1.s.t. let  $G$  be a graph w/  $\chi(G) \geq ct(\log t)^{\frac{1}{c}}$ ,

let  $r \geq \frac{\sqrt{ct\log t}}{2}$  be an integer. If  $\exists$  <sup>pairwise</sup> disjoint

vertex disjoint subgraphs  $H_1, \dots, H_r$  of  $G$  s.t.

$d(H_i) \geq ct(\log t)^{\frac{1}{c}}$   $\forall i \in [r]$ , then  $G$  has a  $k_i$ -minor.

## References

- [1] Tom Kelly and Luke Postle. A local epsilon version of reed's conjecture. 2019.
- [2] Marthe Bonamy, Thomas Perrett, and Luke Postle. Colouring graphs with sparse neighbourhoods: Bounds and applications, 2018.
- [3] Marthe Bonamy, Tom Kelly, Peter Nelson, and Luke Postle. Bounding  $\chi$  by a fraction of  $\Delta$  for graphs without large cliques, 2018.
- [4] Tom Kelly and Luke Postle. Fractional coloring with local demands, 2018.