

# HMAC

## 1 Intro

These scribe notes are for Larry Zheng and John Poole's presentation on the topic of HMAC. Their talk was in two main sections plus a portion for references. They began with a review of MAC, and followed by presenting HMAC.

## 2 Review of MAC

Larry and John started by giving the definitions for a general MAC scheme:

A MAC scheme consists of a key generation algorithm  $KG$ , tagging algorithm  $S$ , and verification algorithm  $V$ .

**S:** Given key  $k$  and message  $M$ ,  $S$  produces tag  $t$  of message  $M$  such that  $t \xleftarrow{\$} S(k, M)$ .

**V:** Given key  $k$ , tag  $t$ , and message  $M$ ,  $V$  returns  $r \leftarrow V(k, M, t)$  where  $r$  denotes accepting or rejecting.

**Correctness:** The following correctness property should be satisfied by a MAC scheme:

$$\Pr[t = \perp \vee V(k, M, t) = \text{accept} \mid t \xleftarrow{\$} S(k, M)] = 1$$

The two definitions we use for MAC schemes are WUF-CMA (Weak unforgeability under chosen message attack) and SUF-CMA (strong unforgeability under chosen message attack). Each has a different associated game. Both the WUF-CMA and SUF-CMA games have the same functions: Tag, Vf, and Finalize. The WUF-CMA game is as seen below.

```
Subroutine Initialize
     $K \xleftarrow{\$} KG; S \leftarrow \emptyset; \text{win} \leftarrow \text{false}$ 

Subroutine Tag( $M$ )
     $S \leftarrow S \cup \{M\}; \text{Return Tag}(K, M)$ 

Subroutine Vf( $M, T$ )
     $v \leftarrow \text{Vf}(M, T)$ 
    If  $v = 1$  and  $M \notin S$  then  $\text{win} \leftarrow \text{true}$ 
    Return  $v$ 

Subroutine Finalize
    Return win
```

The only difference in the strong setting (SUF-CMA) is that the game instead stores pairs  $(M, T)$  in  $S$ . To summarize: in the weak setting an adversary forges on a brand new message, and in the strong setting they forge on a new message tag pair.

A SUF-CMA secure MAC scheme can be built with a secure PRF. John and Larry then mentioned that there is a desire to make tags on long messages, but without block ciphers. This is because a sufficiently long message could be slow to generate a tag for using only block ciphers. The proposed solution that John and Larry focused on for this is using the faster option of hash functions, resulting in HMAC.

### 3 HMAC

The goal of HMAC is to create a secure PRF.

#### 3.1 Syntax

Deterministic Function  $F : K \times M \rightarrow Y$

$y \leftarrow F(k, M)$

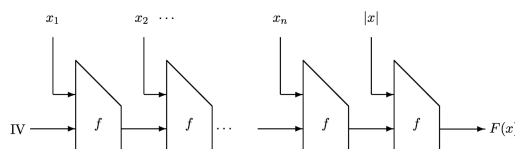
#### 3.2 Security

Larry and John presented the two cases for a security experiment as follows:

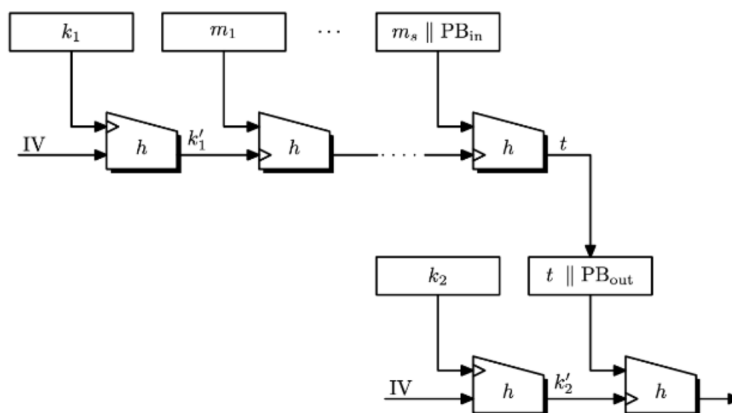
Experiment where  $b = 0$  :  $y_i \leftarrow \text{random function}$

Experiment where  $b = 1$  :  $y_i \leftarrow F(k, m_i)$

Larry and John then explained that we need to find a way to input a key, but hash functions don't take keys by default. They discussed compression functions, and how we can prepend a key to their inputs to incorporate the key as a secret bitstring. The other prerequisite, of course, is a hash function. They explained that for this presentation of HMAC, the hash functions are constructed under Merkle–Damgård construction, which relies on a compression function. Examples include MD5 and SHA-1. They showed the following as an example of a chained (iterated) hash function construction.



They then explained the ‘two key nest’ case, which comes closer to the true construction of an HMAC.



Finally, they gave a formal definition for a chained hash function, which I will not include here (see slide 14). It takes an arbitrary length string, and returns a fixed length digest, using padding and chaining.

## 4 Conclusion

To conclude, they explained that HMAC provides two main advantages. It requires only one key, and it is faster than any system that would use block ciphers. They discussed a security proof from 1996 with some flaws (a false assumption about MD5/SHA-1), and a later one from 2006 which establishes that a secure PRF implies a secure MAC. Overall, HMAC provides a reliable way to authenticate messages in a secure manner.