

Gravity as a Relativistic Effect

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Problems with Newtonian gravity

- Consider two pointmasses m_1 and m_2 at resp. locations \vec{r}_1 and \vec{r}_2

Gravity as described by Newton :

Gravitational force \vec{F}_{12} of m_1 exerted on m_2 at time t

$$|\vec{F}_{12}| = \frac{Gm_1m_2}{|\vec{r}_1(t) - \vec{r}_2(t)|^2} = \frac{Gm_1m_2}{(\Delta r)^2} \text{ with } \Delta t = 0$$

- * **Clash with relativity** : Δr and Δt are no absolute values

→ The above Newtonian formula is valid in only 1 inertial frame

- * **Laws of physics should be identical in all inertial frames**

→ **New theory of gravity needed which is consistent with relativity**

- Another puzzle : $\vec{F} = \frac{d\vec{p}}{dt} = (\text{constant inertial mass } m_I) = m_I \cdot \vec{a}$

At the Earth surface $|\vec{a}| = \text{constant}$ and $|\vec{F}_{grav}| = m_G \cdot \frac{GM_{\oplus}}{R_{\oplus}^2} = m_I \cdot |\vec{a}|$

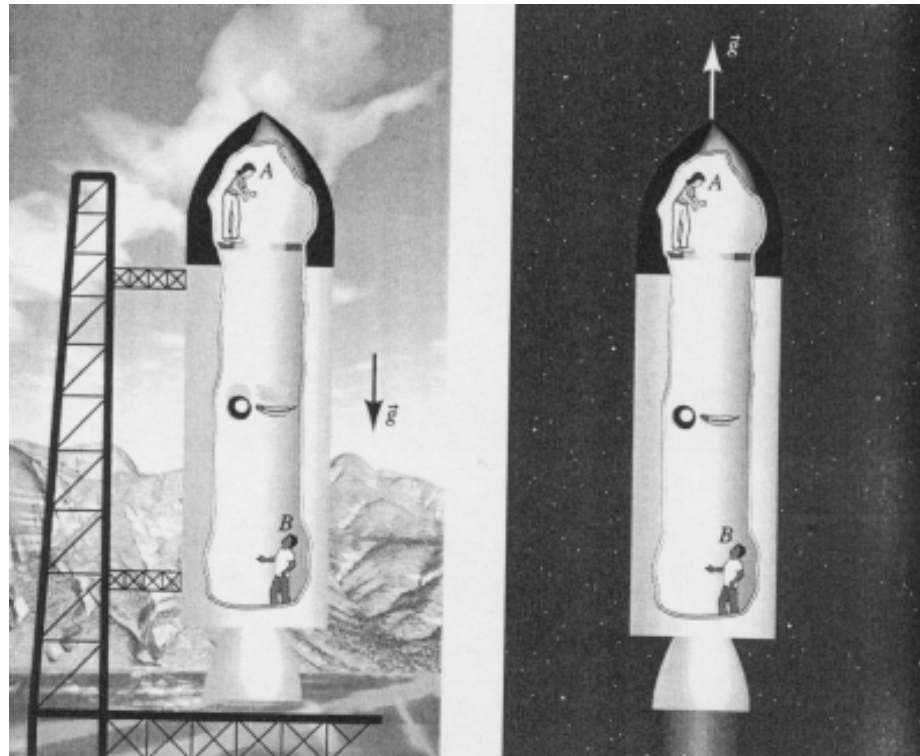
Why is **gravitational mass** m_G equal to the **inertial mass** m_I ?

Or : How does gravity "know" how strong to pull to give all objects the same \vec{a} ?

Einstein's insight

- Einstein : Observer in free fall to the earth → No experience of the gravitational field
→ An accelerated frame can transform gravity away
Objects at rest close to the observer will stay at rest → Local inertial frame
→ **The gravitational field has only a relative existence**
- * Consequence : **Gravity can be induced by accelerating the reference frame**

The equivalence principle



Effects in a uniform gravitational field

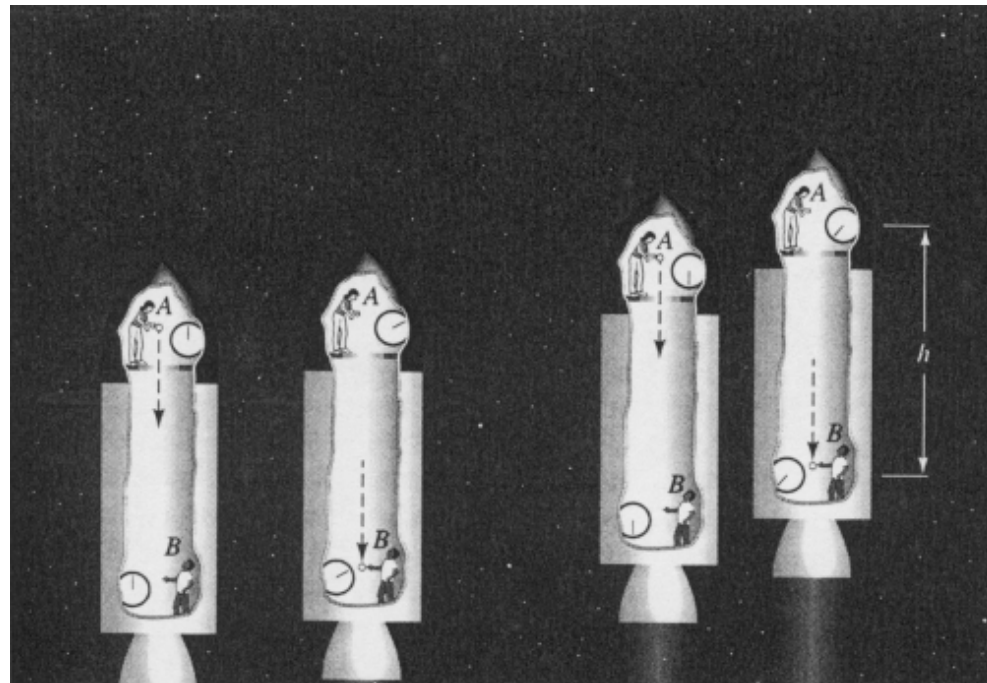
Gravitational time dilation

- Consider a rocket in empty space with constant vertical acceleration $g \hat{z}$

Nose of the rocket : Observer A with clock A emitting light signals at intervals $\Delta\tau_A$

Tail of the rocket : Observer B with clock B receiving light signals at intervals $\Delta\tau_B$

* Distance A-B $\equiv h$: **At what time intervals does observer B receive the signals ?**



Effects in a uniform gravitational field

- Enable simple Newtonian mechanics by selecting an inertial frame such that Rocket velocity $V = 0$ at the first signal emission
 $\Delta V = g \cdot h/c \ll c \rightarrow$ No acceleration to relativistic V while light travels nose-tail
- Choose time and axis origin : First pulse emitted at $t \equiv 0$ and $z_B(t = 0) \equiv 0$
 $V(t = 0) \equiv 0 \rightarrow$ Observer locations : $z_B(t) = \frac{1}{2}gt^2$ $z_A(t) = h + \frac{1}{2}gt^2$
 First pulse emitted at $t = 0$ and received at $t = t_1$
 Second pulse emitted at $t = \Delta\tau_A$ and received at $t = t_1 + \Delta\tau_B$
- Distance traveled by first pulse : $z_A(0) - z_B(t_1) = ct_1$
 $\rightarrow h - \frac{1}{2}gt_1^2 = ct_1$ (1)
- Distance traveled by second pulse : $z_A(\Delta\tau_A) - z_B(t_1 + \Delta\tau_B) = c(t_1 + \Delta\tau_B - \Delta\tau_A)$
 $\rightarrow h + \frac{1}{2}g(\Delta\tau_A)^2 - \frac{1}{2}g(t_1 + \Delta\tau_B)^2 = c(t_1 + \Delta\tau_B - \Delta\tau_A)$ (2)
- Combining (1)&(2) and neglecting higher orders of $\Delta\tau_A$ and $\Delta\tau_B$:
 $\rightarrow \Delta\tau_B = \frac{c\Delta\tau_A}{c + gt_1} \approx \frac{c\Delta\tau_A}{c + gh/c} = \frac{\Delta\tau_A}{1 + gh/c^2}$

Effects in a uniform gravitational field

Equivalence principle : The same must happen in a uniform gravitational field

- Gravitational time dilation

$$\Delta\tau_B \approx \frac{\Delta\tau_A}{1 + gh/c^2}$$

Emission and reception rates ν :

$$\nu_B \approx \nu_A (1 + gh/c^2)$$

- In terms of the gravitational potential Φ :

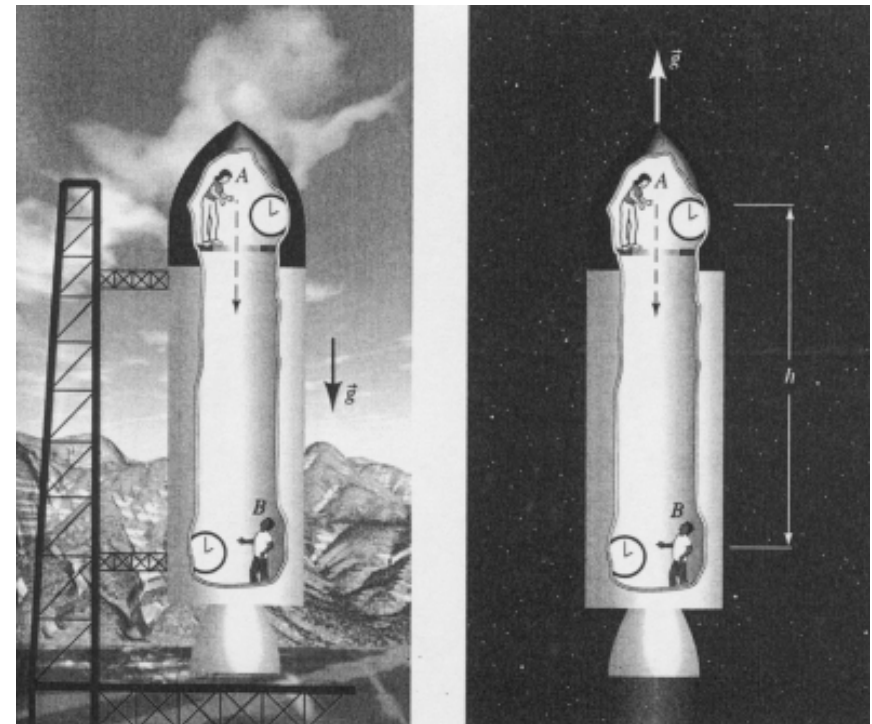
$$\nu_B \approx \nu_A \left(1 + \frac{\Phi_A - \Phi_B}{c^2} \right)$$

Also valid in non-uniform grav. fields

Gravitational red shift

$$z \equiv \frac{\lambda_{obs} - \lambda_{emit}}{\lambda_{emit}} = \frac{\lambda_{obs}}{\lambda_{emit}} - 1$$

($z < 0 \rightarrow$ blue shift)

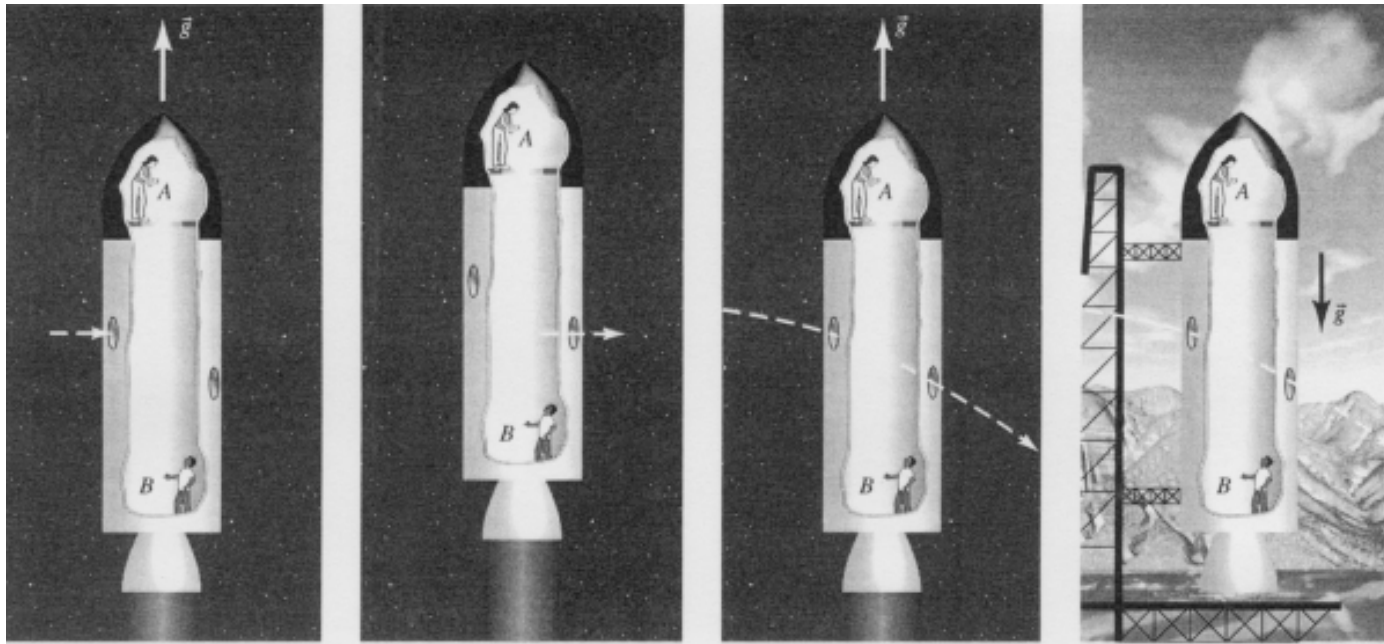


* Exercise : Determine the redshift at $r = \infty$ for the light emitted from the edge of a white dwarf with mass $M = M_{\odot}$ and radius $R = 10^3$ km

Effects in a uniform gravitational field

Gravitational deflection of light

- Consider a rocket in empty space with constant vertical acceleration $g \hat{z}$
Light ray enters (upper window) and exits (lower window)
Outside inertial frame : light ray describes straight path
Accelerated rocket frame : light ray falls down with acceleration g



A light ray in a gravitational field must fall with the same acceleration as other objects

Gravity as a geometrical effect

- Deflection of light in a gravitational field

Not corresponding to Newtonian gravity formula

Ultimate proof that all particles experience the same \vec{a} irrespective of m

- Einstein's idea concerning gravitational path deflection

Consider a particle traveling in a straight line over a flat rubber sheet

Put a heavy object on the rubber sheet → sheet stretches and curves

→ The particle will now follow a curved path on the sheet

Gravitational path deflection is due to curvature of space

- Similar reasoning for the time coordinates

Gravitational time dilation is due to curvature of time

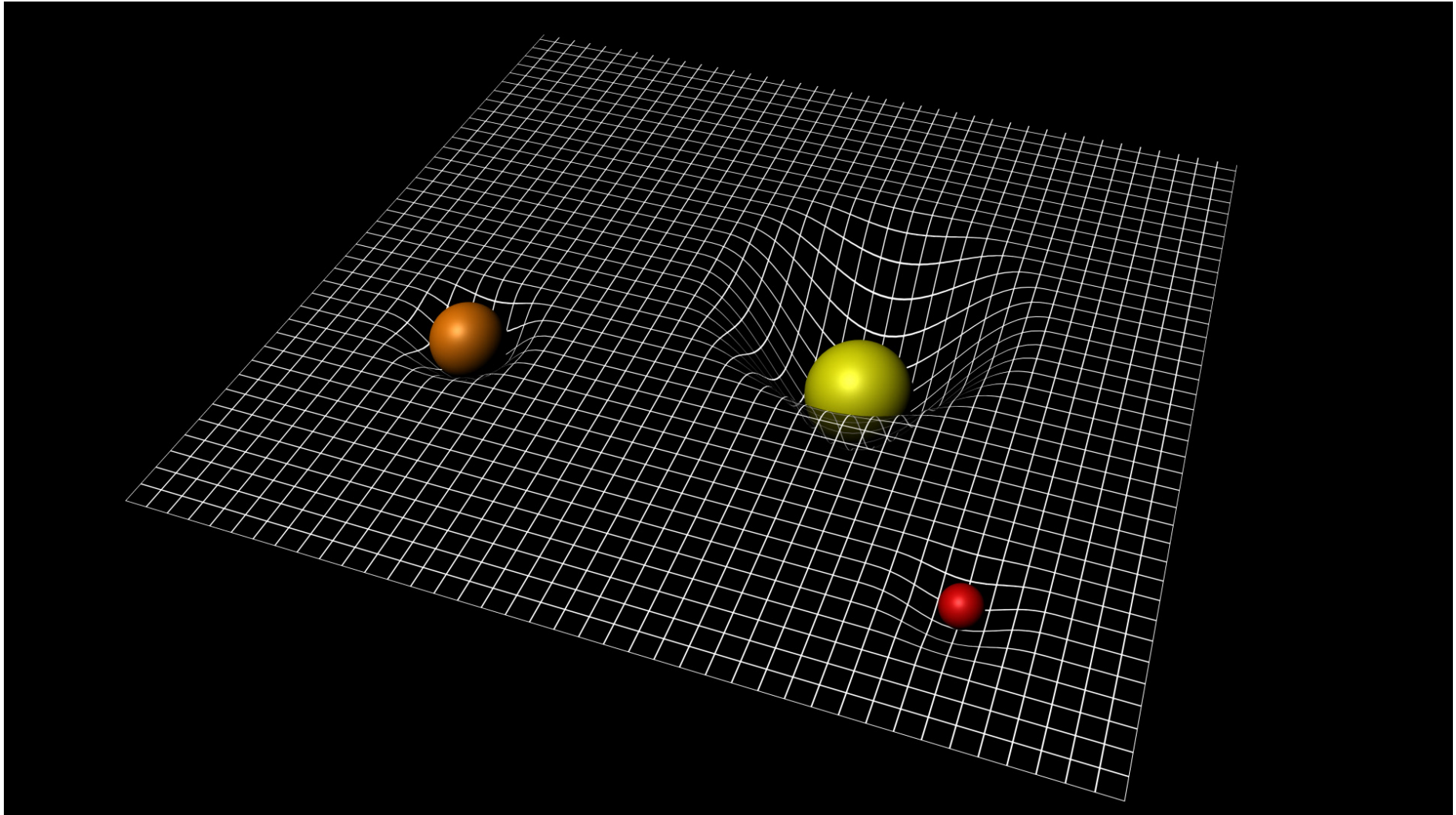
Relativistic viewpoint on gravity

Gravity is a geometric effect due to curvature of space-time

The presence of mass introduces a curvature in space-time

Gravity as a geometrical effect

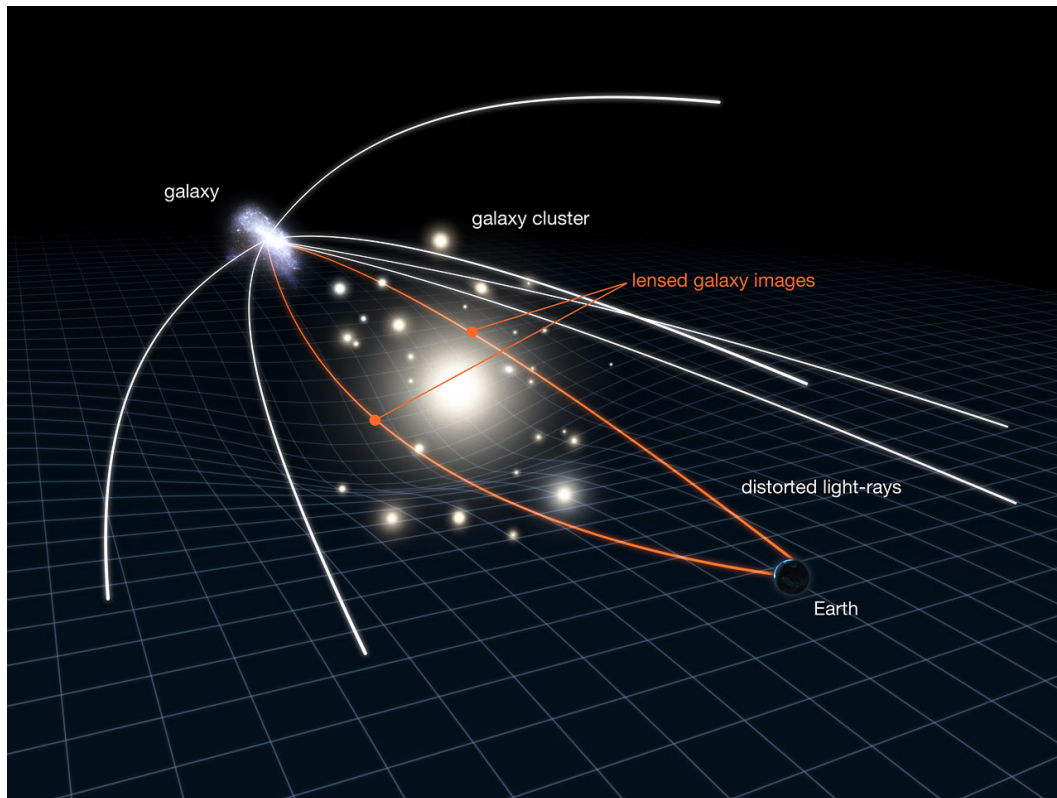
Curvature of space due to the presence of mass



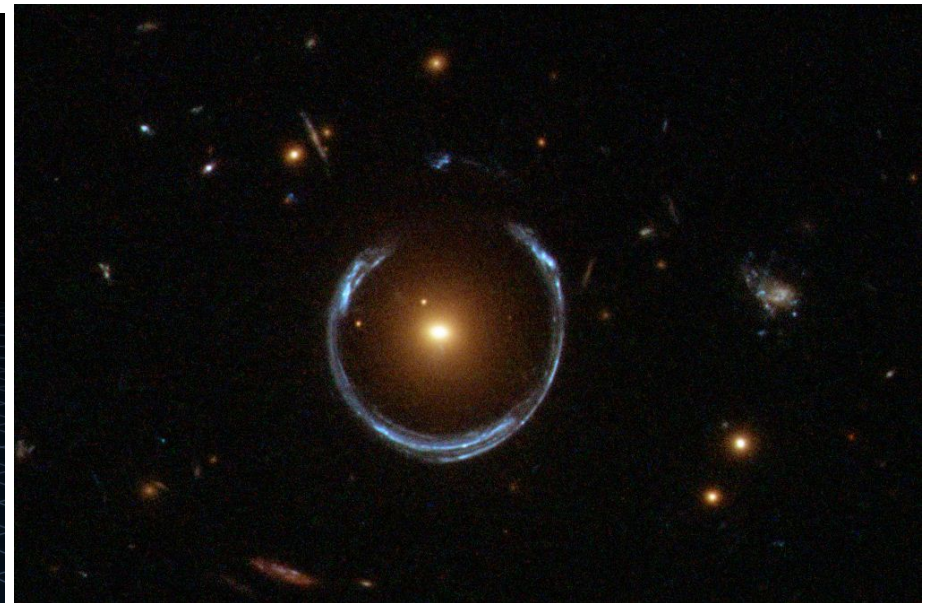
Gravity as a geometrical effect

Gravitational lensing

The principle

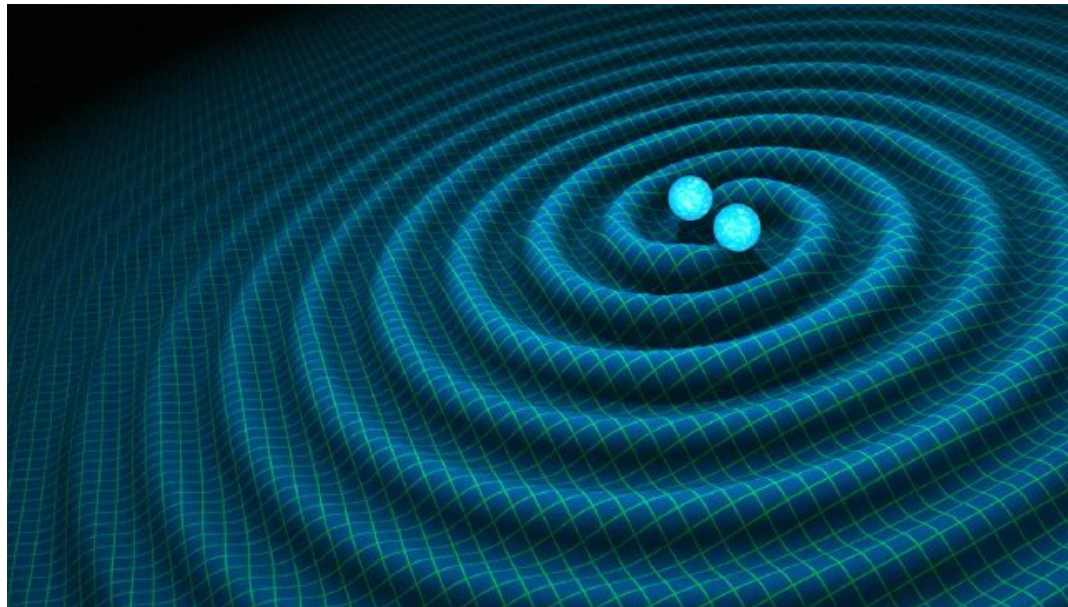


An observation



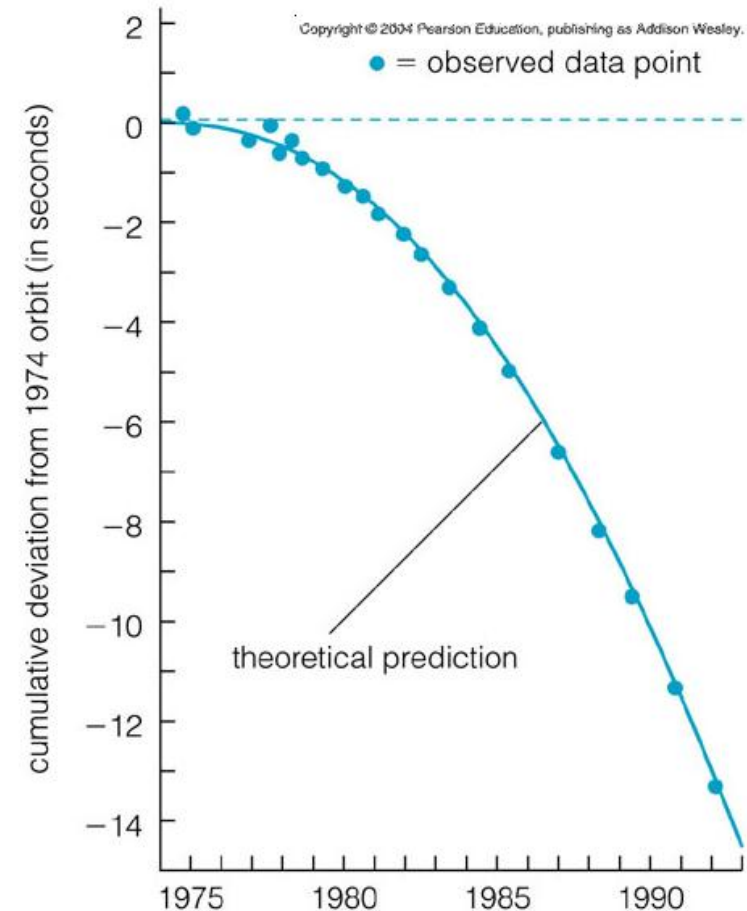
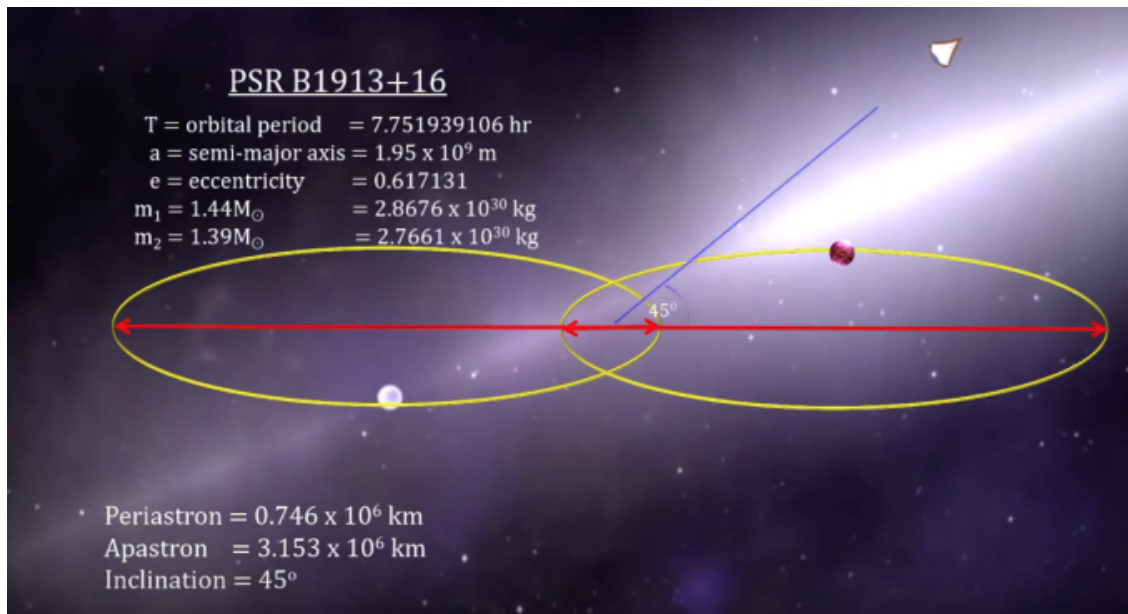
Gravitational Waves

- Consider 2 heavy objects rotating closely around each other
Time dependent space-time deformations cause ripples (e.g. a water surface)
→ These are called **gravitational waves**
- Frequency of the gravitational wave is related to the orbital period
Shorter orbital period → Higher frequency (shorter wavelength)



Gravity as a geometrical effect

A first indication : The binary pulsar PSR B1913+16



- In case of emission of gravitational waves
 - Loss of energy
 - Change of orbital period
- Observe a decrease of the orbital period ?

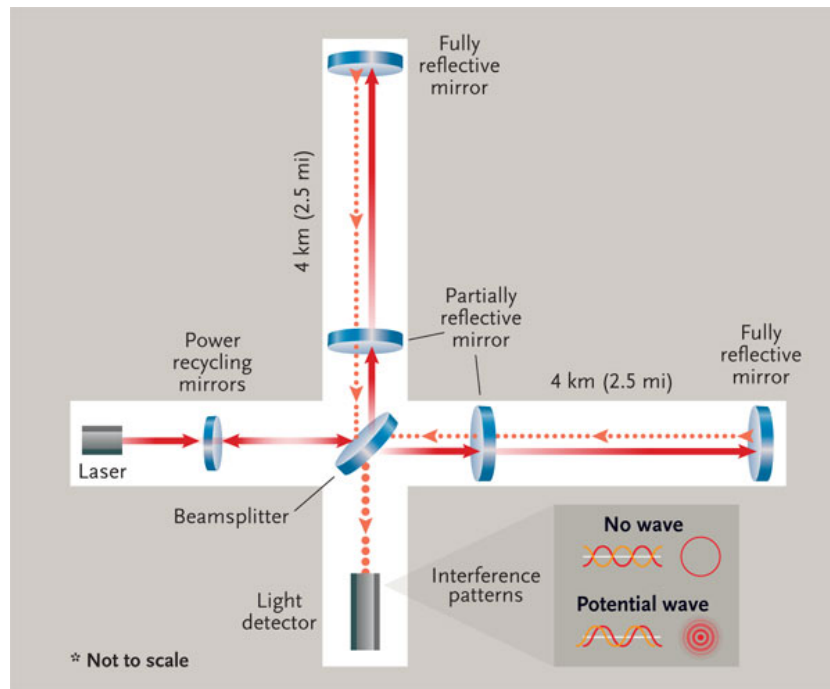
Russel Hulse and Joe Taylor
Nobel prize 1993

Gravity as a geometrical effect

The discovery of gravitational waves (2015)

- Gravitational wave deforms space
→ Temporary change ΔL
- Example : 2 corks at a water surface

The detection principle



The Ligo and Virgo interferometers



Ligo: Hanford (WA), Livingston (LA)

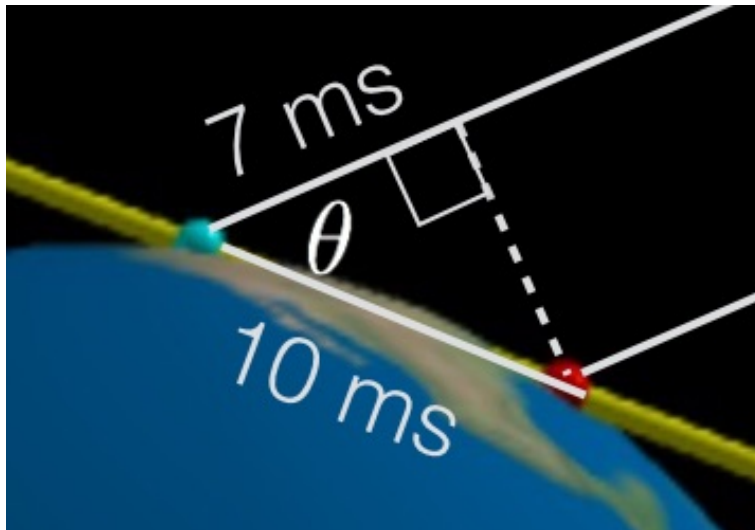
Virgo: Cascina (Italie)

Gravity as a geometrical effect

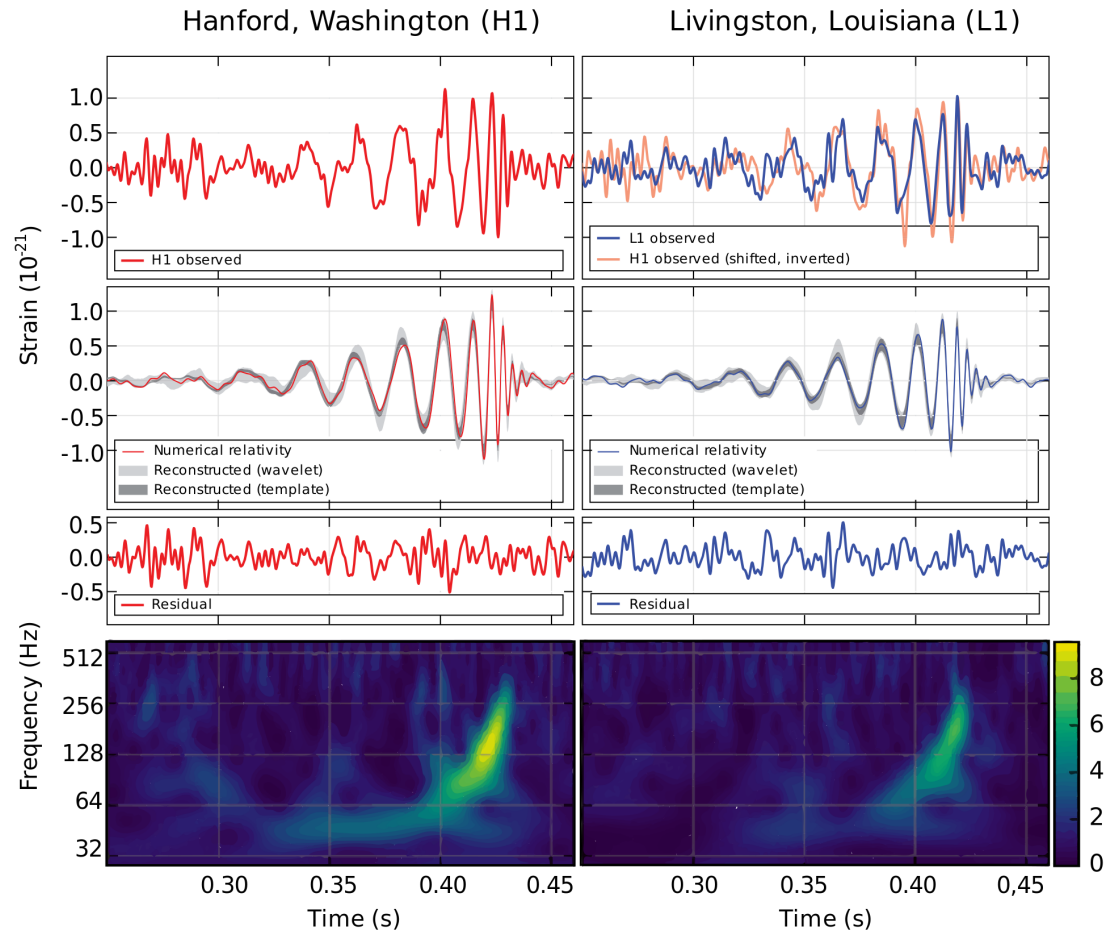
A subtle interplay

Noise reduction and arrival direction

- Hanford-Livingston: ~ 3000 km
- Grav. wave moves with light speed
- Timing of the coincidence
 - Reduces noise
 - Provides arrival direction



The discovery (GW150914)



Analysis of GW150914

- Try to describe the observed pattern on basis of theoretical templates
 - 2 Black Holes with $M_1 \approx 30M_\odot$ $M_2 \approx 35M_\odot$ and $M_{end} \approx 62M_\odot$
 - An energy equivalent of $3M_\odot c^2$ has been emitted in a fraction of a second !
 $P_{max} \approx 3.6 \cdot 10^{49} \text{W}$ → More than all stars in the visible Universe !
- Distance ca. 440 Mpc ($\sim 1.3 \cdot 10^9$ light year)

Nobel prize 2017 for the discovery of gravitational waves

(Barry Barish, Kip Thorne en Rainer Weiss)

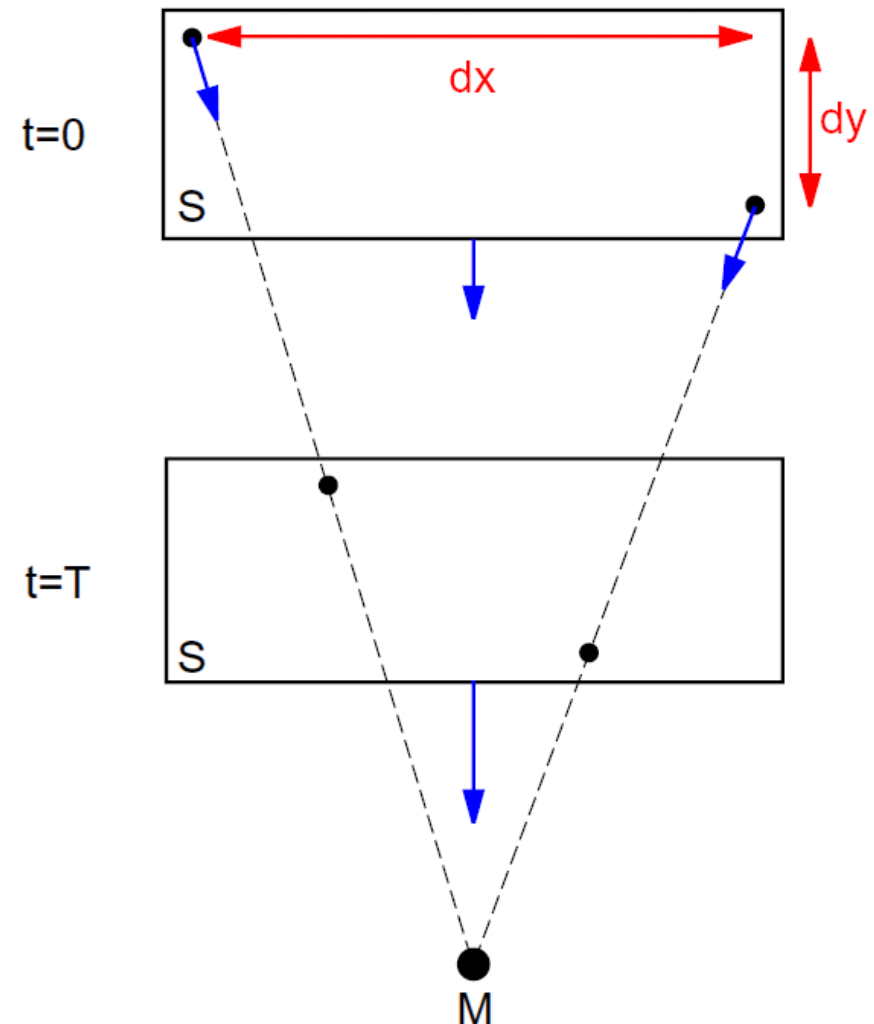
Gravity as a geometrical effect

How to introduce curvature in space-time in accordance with observations ?

- Detailed look at the equivalence principle
Frame S in free fall with two objects at rest
- * Can the earth gravity stay "hidden" ?
 $|\vec{g}|$ must be constant in $S \rightarrow dy$ small
 g_x must be small $\rightarrow dx$ small
Observation time T must be small

The Equivalence Principle

Experiments performed in a sufficiently small freely falling laboratory, over a sufficiently short time, yield results that are indistinguishable from those of the same experiments performed in an inertial frame in empty space.



Linking the Equivalence Principle with relativity

- Relativistic description of an **inertial frame**

It's all included in the metric

$$ds^2 = (cdt)^2 - d\vec{r}^2$$

As 4-vectors : $ds^2 = \eta_{\mu\nu} dx^\mu dx^\nu$

with $\eta_{\mu\nu} = \text{diag}(1, -1, -1, -1)$

- In the presence of gravity :

Freely falling frame is only locally inertial

→ All other locations in space-time have :

$$ds^2 = g_{\mu\nu}(\tilde{x}) dx^\mu dx^\nu$$

$\tilde{x} \equiv$ location in space-time

Description of space-time curvature

Introduce metric tensor $g_{\mu\nu}(\tilde{x})$ of which the components depend on the location in space-time

- Equivalence Principle : $S \rightarrow S'$

$$g_{\mu\nu}(\tilde{x}) \rightarrow g'_{\mu\nu}(\tilde{x}') = \eta_{\mu\nu}$$

- Consequences :

$g_{\mu\nu}(\tilde{x})$ must be a symmetric 4x4 matrix

Always 1 time and 3 space coordinates

- * This is the basis of **General Relativity**

What are the components of $g_{\mu\nu}(\tilde{x})$?

→ Need to investigate curvature

- Investigate spatial curvature by considering familiar 2-dim. surfaces
 - Can be looked upon as embedded in 3-dim. space → easy to catch the picture
- * 2-dimensional plane : Obviously flat
- * Surface of a sphere : probably curved
 - Can't coincide with a plane without stretching or tearing
- * Surface of a cylinder : probably flat
 - Can be unrolled onto a plane without distortion
- Gauss : The curvature of a surface can be determined intrinsically
 - Make measurements and apply the theorems of Euclidean plane geometry
 - In case of contradiction : Geometry is non-Euclidean → curved surface
- * Example : Triangle in a plane → sum of the angles adds up to 180°
 - Triangle on surface of a sphere : sum of angles $> 180^\circ$ → spherical surface is curved
- Need for a precise definition of curvature
 - Use distance ds between any 2 points on the surface

Curvature in space

- Curvature in a 2-dim. plane : Cartesian grid $x_1 \equiv x$ $x_2 \equiv y$

$$ds^2 = (dx)^2 + (dy)^2 = (dx_1)^2 + (dx_2)^2 \rightarrow g_{\mu\nu} = \text{diag}(1, 1) \quad \text{No curvature}$$

- * Use polar coordinates in the same plane : $x_1 = r$ $x_2 = \varphi$

$$ds^2 = (dr)^2 + (r d\varphi)^2 = (dx_1)^2 + (x_1)^2 (dx_2)^2 \rightarrow g_{\mu\nu} = \text{diag}(1, (x_1)^2)$$

If started with polar coord., how would we know whether the surface is curved or not ?

Try to find a coord. trafo such that $g_{\mu\nu} \rightarrow \text{diag}(1, 1)$

Obviously such a trafo is : $(x_1)' = x_1 \cos(x_2)$ $(x_2)' = x_1 \sin(x_2)$

- Curvature on the surface of a cylinder with radius R : Cyl. coordinates (r, φ, z)

$$ds^2 = (R d\varphi)^2 + dz^2 \text{ where } R \text{ is a constant} \rightarrow \text{Use } x_1 = R\varphi \quad x_2 = z$$

$$ds^2 = (dx_1)^2 + (dx_2)^2 \rightarrow g_{\mu\nu} = \text{diag}(1, 1) \quad \text{No curvature}$$

- Curvature on the surface of a sphere with radius R : Spherical coordinates (r, θ, φ)

$$ds^2 = (R d\theta)^2 + (R \sin(\theta) d\varphi)^2 \text{ where } R \text{ is a constant} \rightarrow \text{Use } x_1 = \theta \quad x_2 = \varphi$$

$$ds^2 = R^2 (dx_1)^2 + R^2 \sin^2(x_1) (dx_2)^2 \rightarrow g_{\mu\nu} = \text{diag}(R^2, R^2 \sin^2(x_1))$$

No trafo possible to get $g_{\mu\nu} = \text{diag}(1, 1) \rightarrow$ Spherical surface is intrinsically curved

The curvature formula of Gauss

- From the previous : **The curvature is contained in $g_{\mu\nu}$**
→ The **curvature K** is an invariant quantity for a certain metric $g_{\mu\nu}$
It should be possible to obtain the curvature K from the tensor $g_{\mu\nu}$
- The recipe provided by Gauss to determine the curvature (limited to 2-dim. surfaces)

$$K = \frac{1}{2g_{11}g_{22}} \left\{ \frac{-\partial^2 g_{11}}{(\partial x_2)^2} + \frac{-\partial^2 g_{22}}{(\partial x_1)^2} + \frac{1}{2g_{11}} \left[\frac{\partial g_{11}}{\partial x_1} \cdot \frac{\partial g_{22}}{\partial x_1} + \left(\frac{\partial g_{11}}{\partial x_2} \right)^2 \right] + \frac{1}{2g_{22}} \left[\frac{\partial g_{11}}{\partial x_2} \cdot \frac{\partial g_{22}}{\partial x_2} + \left(\frac{\partial g_{22}}{\partial x_1} \right)^2 \right] \right\}$$

- * For the surface of a sphere with radius R this yields : $K = 1/R^2$
- Higher dimensional surfaces : Can't be embedded in 3-dim. space → imagination fails
Equivalent of curvature K for higher dim. surfaces involves a **curvature tensor $R_{\alpha\beta\gamma\delta}$**
- * General treatment of Einstein's theory → **Tensor calculus**
Our cases contain symmetries → we can avoid tensor calculus and use K instead

Curvature in space-time : The Schwarzschild metric

- Consider an isolated point mass M at the origin O in empty space

* Two effects of curvature in space-time

Time is distorted by the gravitational time dilation

3-dim. position space becomes curved due to the presence of the mass M

- Isolated point mass M in $O \rightarrow$ Space is isotropic w.r.t. $O \rightarrow$ Use spherical coord.

Curvature can only depend on r and should vanish when $r \rightarrow \infty$

- General expression for a curved isotropic metric in spherical coordinates :

$$ds^2 = \alpha(r)(c dt)^2 - [f(r)(dr)^2 + (r d\theta)^2 + (r \sin(\theta) d\varphi)^2]$$

* **Determination of the time distortion $\alpha(r)$ using $ds = c d\tau$ when $d\vec{r} = \vec{0}$**

$$\text{From before we have seen : } d\tau_{obs} \approx d\tau_{emit} \left(1 + \frac{\Phi_{emit} - \Phi_{obs}}{c^2} \right)^{-1}$$

Putting the emitter at coordinates $(t, r, 0, 0)$ and the receiver at $(t, \infty, 0, 0)$ we obtain :

$$\frac{(d\tau_{emit})^2}{(d\tau_{obs})^2} = \frac{\alpha(r)(dt)^2}{\alpha(\infty)(dt)^2} = \alpha(r) \approx \left(1 + \frac{\Phi_{emit}}{c^2} \right)^2 \approx \left(1 + \frac{2\Phi_{emit}}{c^2} \right)$$

- Using $\Phi_{emit} = \frac{-GM}{r}$ directly yields $\alpha(r) = \left(1 - \frac{2GM}{c^2 r}\right)$

The same result is obtained from a rigorous treatment of Einstein's equations !

* **Determination of the spatial deformation $f(r)$**

Spatial curvature can only depend on $r \rightarrow K(r)$ and $K(\infty) \equiv 0$

- Let's try to "guess" the most simple form of $K(r)$

$$K(r) \rightarrow 0 \text{ when } r \rightarrow \infty \Rightarrow K(r) \propto r^{-n}$$

Intuition : $K \propto M$ and also G should be in the game

Use c to get dimensions right and use $\lambda = \pm 1$ to allow positive and negative curvature

- Intuitive guess : $K(r) = \lambda M G^k c^m r^{-n}$

\rightarrow Dimensionless for $(k, m, n) = (1, -2, 3)$

Spherical surface : $K = 1/R^2 > 0 \rightarrow$ mass M : "rubber sheet" gets $K < 0$

- * So, for the simplest form we obtain $K(r) = \frac{-GM}{c^2 r^3}$

The same result is obtained from a rigorous treatment of Einstein's equations !

Curvature in space-time : The Schwarzschild metric

- Determination of $f(r)$ from the Gauss curvature formule using our metric and $K(r)$
Go 2-D in (r, φ) by using $\theta \equiv \pi/2 \rightarrow d\theta = 0$ $\sin(\theta) = 1$ and of course $dt \equiv 0$

This yields : $ds^2 = f(r)(dr)^2 + r^2(d\varphi)^2 \rightarrow K(r) = \frac{1}{2rf^2(r)} \cdot \frac{df(r)}{dr}$

Using our curvature $K(r) = \frac{-GM}{c^2 r^3}$ we obtain $\frac{1}{f^2(r)} \frac{df(r)}{dr} = \frac{-2GM}{c^2 r^2}$

* Solution of this differential equation : $\frac{-1}{f(r)} = \frac{2GM}{c^2 r} + C$ ($C = \text{constant}$)

Boundary condition : $f(r = \infty) = 1 \rightarrow C = -1 \Rightarrow f(r) = \left(1 - \frac{2GM}{c^2 r}\right)^{-1}$

- The final metric we obtain is called the **Schwarzschild metric**

$$ds^2 = \left(1 - \frac{2GM}{c^2 r}\right) (c dt)^2 - \left[\frac{(dr)^2}{\left(1 - \frac{2GM}{c^2 r}\right)} + (r d\theta)^2 + (r \sin(\theta) d\varphi)^2 \right]$$

Curvature in space-time : The Schwarzschild metric

- The Schwarzschild metric describes the space-time around an isolated point mass M

→ Prediction of worldlines of test bodies which can be experimentally verified

* Special case when $\left(1 - \frac{2GM}{c^2 r}\right) = 0 \quad \rightarrow \quad g_{00} = 0 \quad g_{11} = -\infty$

Define the Schwarzschild radius $R_s = 2GM/c^2$

- Consider a material body at rest at $r > R_s \rightarrow ds^2 > 0 \Rightarrow$ normal (timelike) situation

At $r < R_s$ the $ds^2 > 0$ means the body HAS to move → it falls into M

- Consider observation of a light ray emitted radially from r_{emit} to $r = \infty$

Schwarzschild metric : $\nu_{obs} = \nu_{emit} \left(1 - \frac{R_s}{r_{emit}}\right)^{1/2}$

If $r_{emit} > R_s \rightarrow$ light is redshifted \Rightarrow normal situation

At $r_{emit} = R_s$ the redshift becomes $\infty \rightarrow$ No light is observed (infinite time dilation)

At $r = R_s \rightarrow$ infinite time dilation \Rightarrow Events are observed as "frozen"

- * Mass M contained within a sphere of radius $R_s \rightarrow$ Nothing can escape the surface

An object which is smaller than its Schwarzschild radius is called a Black Hole

Exercises

- Consider an isolated point mass M with Schwarzschild radius R_s , located at the origin O
From a distance $r_e > R_s$ a light ray is radially emitted and observed at a distance $r_o > r_e$
- * Show that the exact formula for the gravitational redshift z is given by :

$$z = \sqrt{\frac{1 - R_s/r_o}{1 - R_s/r_e}} - 1$$

- Consider a proton as a spherical object with a radius of 1 fm.
 - * Determine from this the density of normal nuclear matter in GeV/fm^3
 - The mass of the Earth is $M = 5.975 \cdot 10^{24} \text{ kg}$
 - * Determine the Schwarzschild radius R_s of the Earth
 - Imagine that all the mass of the Earth is concentrated in a sphere with radius R_s
 - * Determine the density in GeV/fm^3 of this "Earth black hole" object
- For comparison : QGP phase transition is expected to happen at about $3 \text{ GeV}/\text{fm}^3$