## Multi-Domain SFI

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April 30, 2019

### 1 Introduction

Software Fault Isolation, or SFI, is a set of well-defined, assembly-language-specific application binary restrictions which provide certain security guarantees [1]. Specifically, SFI allows a single virtual address space to be partitioned into two domains such that each domain can only access memory within itself or via specific trampolines to the opposite domain. Multi-Domain SFI is a generalization of SFI that allows for up to O(b) unique isolation domains for any b-bit virtual address space.

#### 1.1 Motivation

The motivation for this work is a desire to add arbitrary process-like code and memory isolation on platforms that do not support classical \*nix-stlye processes. Specifically, the development of Unikernels – small binaries that contain both compiled application code and the minimum number of operating system components needed to run that application code [2] – has demonstrated the need for multi-domain SFI.

One common criticism of Unikernels is the difficulty in debugging live systems. When something causes a deployed Unikernel to crash, the lack of an underlying operating system means that it can be difficult to examine the state of the program at the time of its failure. While remote debugging exists for a number of major Unikernel systems (such as a remote GDB stub for the popular IncludeOS [3] Unikernel<sup>1</sup>), remote debugging capabilities are not guaranteed to be online if the application error in any way modified the in-memory machine instructions or runtime memory utilized by the remote debugging stub.

While it would seem that traditional SFI would offer the ability to isolate the remote debugging region of virtual memory from Unikernel application code, further investigation revealed that the two domain limitation of traditional SFI is inadequate for solving this problem. This is illustrated in the C++ standard library. In the case of IncludeOS, the standard library is referened both by the remote debugging stub and Unikernel application code. Sharing this segment of memory between the two different SFI isolation domains is non-ideal as it requires placing trust in both isolation domains not to corrupt the standard library code for the other domain. As such, a third isolation domain with appropriate

<sup>&</sup>lt;sup>1</sup>https://www.includeos.org/blog/2018/debugging.html

trampolines into the required portions of the standard library would allow decreasing the amount of trust to the standard library not corrupting itself, which is significantly more ideal. This context proved to be the motivation for multi-domain SFI.

### 1.2 Document Layout

Following the introduction and motivation for multi-domain SFI, this paper is divided into three substantive sections.

The first section focuses on the theoretical model upon which multi-domain SFI is built. This section provides deep detail on the specific compiler and assembly-level tools which multi-domain SFI utilizes. The second section describes the methods that developers use to annotate code which is to be compiled with multi-domain SFI. This section focuses on a C++ specific code annotation paradigm. The third section presents a practical example walkthrough of multi-domain SFI using a simple toy program.

This paper concludes with a high-level summary of multi-domain SFI and notes related to ongoing work.

# 2 Theoretical Design

The purpose of multi-domain SFI is to partition the address space into  $d \in D$  isolation domains such that each domain is restricted in performing potentially dangerous memory operations across domains. Specifically, any domain is allowed to write and jump to targets within itself. Write operations, however, are not permitted across domains. Jumps are permitted across domains only to targets to which the invoking domain has explicitly been given access permission, and the targets of these jumps must be direct (i.e. statically verifiable targets). Reads are not restricted, as they do not pose a threat in corrupting memory across domains. A domain shall be formally defined as a compile-time specified, contiguous region of memory in the virtual address space to which a set of developer specified, immutable memory operation permissions are assigned. These memory permissions are manifested as bitmasks and are described in section 2.2.

Multi-domain SFI uses two tools to constrain memory access: memory alignment and bitmasks preceding indirect jumps. These two tools directly affect the assembly code constructed at compile time, and conformance to the alignment and bitmasking specifications can be verified statically for any generated binary.

Each of the two tools employed by multi-domain SFI targets a different aspect of constraining memory access; required bitmasks are used to ensure that the target of any indirect jump is a region of memory which the invoker is allowed to access, while forced memory alignment ensures that the destinations of any jump are indeed valid starting memory addresses for a full machine instruction.

The remainder of this section will address the application of memory alignment and bitmasks in depth, modifications to standard calling conventions for inter-domain control transfers, and then outline the limitations of the multi-domain SFI system.

### 2.1 Memory Alignment

Like classic SFI [4]–[6], multi-domain SFI enforces 32-byte memory alignment for all basic blocks of machine code. Phrased differently, the virtual memory address of any possible jump target must be aligned to a 32-byte memory region. In practice, this means that the least significant 4 bits of the virtual memory address for the target of any jump must all be zeros.

Of course, most machine languages (such as x86) do not use fixed sized instructions, and instruction sizes tend to be far less than 32-bytes. This means that any basic block of code which is not 32-byte aligned by chance must be padded with NOOP instructions until the block is properly aligned. As with traditional SFI, which uses the same mechanism, this incurs some amount of binary size overhead as emitted by the compiler and memory overhead at runtime.

The reason for required memory alignment is subtle yet critical to proper SFI domain isolation. If we did not require the targets of any jumps to be memory aligned in some fashion, then any piece of code could jump to any virtual memory address even if the target address was not the intended start of a machine instruction. A clever adversary may be able to select a virtual memory address that represents the middle of a machine instruction which, misaligned, represents a different instruction [7]. By chaining together these instruction "gadgets," the adversary may be able to jump to any region of memory in the virtual address space regardless of any bitmasks prefacing intended jump instructions.

By forcing alignment for jump targets (for which we followed the convention of classic SFI in selecting 32-bytes), we can construct a compiler which enforces that no machine instruction crosses a 32-byte boundary. Through this, we can prevent the previously described fractional instruction attack with no runtime overhead.

#### 2.2 Bitmasks

The more difficult challenge in isolating regions of memory is establishing a mechanism which properly restricts memory access within a given SFI isolation domain. In general, there are three classes of memory operations: reads, writes, and jumps. For all memory operations, we will define two values: *invoking domain* and *target domain*. These values are formally defined as:

- **Invoking Domain**: The SFI isolation domain for which a given machine instruction is located within the virtual address space of a muti-domain SFI compiled application.
- Target Domain: The SFI isolation domain for which any read, write, or jump machine operation targets. It may be the same or different than the invoking domain. The term target domain is only defined for machine instructions which reference exactly one virtual address within the instruction's arguments.

For any memory operation for which there is a well defined target domain, we further define two categories of operations: direct memory operations and indirect memory operations. Every memory operation for which there is a well defined target domain must be either a direct or indirect memory operation. We will explicitly define these terms as:

- Direct Memory Operation: Direct memory operations are machine instructions whose target memory argument is given as an explicit, hard-coded value. An example in x86 is JMP 0x0804cfc0.
- Indirect Memory Operation: Indirect memory operations are machine instructions whose target memory argument is given as a value that is not resolvable at compile time, such as a register. An example in x86 is JMP EAX.

Restricting memory access takes different forms for direct and indirect memory operations. The explicit tools used in both contexts are defined in the following sections.

#### 2.2.1 Restricting Direct Memory Operations

Restricting memory access for direct memory operations is a trivially simple task when performed at compile time, and does not differ from the mechanism used by classic SFI. By definition, the target address is known at compile time since the target address is emitted directly by the compiler. Since the target domain is derived from the target address, the compiler can also easily identify the target domain for any direct memory operation.

As such, we shall define a compiler restraint for multi-domain SFI compilers that the compiler must check all potentially dangerous direct memory operations – writes and jumps – before emitting compiled binaries to ensure that every direct memory operation has a target address that is within a target domain to which the invoking domain has been granted access by the developer. If the compiler detects a memory operation that is not permitted and cannot be resolved to a permissible operation, the compiler shalt halt the compilation process and output a compiler error highlighting the offending source code.

Through this compiler design, we can guarantee that all direct memory operations successfully emitted from a correctly implemented multi-domain SFI compiler will indeed be valid memory operations. Note that if desired, this can be statically verified by examining any binary emitted by a multi-domain SFI compiler [5], since all relevant information is contained statically within the generated binary.

In multi-domain SFI, we will place the restriction that all inter-domain jumps which are not return statements must be direct jumps. This will allow the compiler to statically verify that the jump target is in a permitted domain. Furthermore, we will disallow write operations across domains, whether direct or indirect operations.

### 2.2.2 Restricting Indirect Memory Operations

Restricting indirect memory operations requires a more significant effort than direct memory operations.

The key insight in multi-domain SFI is that for every isolation domain  $d_i \in D$ , we define a corresponding immutable bitmask  $m_i$  which completely defines the regions of memory upon which  $d_i$  is permitted to perform memory operations.

Bitmasks are constructed as follows: each isolation domain  $d_i$  will be associated with a tag  $t_i$ . No two isolation domains can have the same tag. A tag is a unique virtual memory address which is a power of 2, thus having a single 1 in its binary representation at bit b. An isolation domain will begin at the memory address specified by its tag and contain the

entire virtual address range whose address is larger than  $t_i$  and smaller the first address with bit b+1 equal to 1. We will further define the bitmask generator G to be the following value for 32-bit address spaces:

$$G \triangleq (\neg \prod_{i=0}^{n} (t_i)) \mid 0xFFFFFFFF0 \tag{1}$$

where | represents logical OR,  $\prod$  represent the logical OR of all elements in the specified set, and  $\neg$  represents the bitflip operation. That is, G is defined at the value where all the corresponding bits  $b_i$  for each  $t_i$  are set to zero, the lowest 4 bits are set to zero (for 32-byte alignment), and all other bits are set to 1. Finally, the bitmask  $m_i$  for region  $d_i$  is defined as:

$$m_i \triangleq t_i \mid G \tag{2}$$

Bitmasks are used to ensure that indirect jumps have targets that are within their containing isolation domain. This is enforced by requiring that the registers containing the targets of all potentially dangerous indirect memory operations – writes and jumps – from invoking domain  $d_i$  are logically ANDed with  $m_i$  in the instruction immediately preceding the indirect memory operation.

For example, the following generic indirect jump assembly instruction:

#### JMP EAX

Would be emitted by the multi-domain SFI compiler as:

```
AND EAX, m_i
JMP EAX
```

for where m<sub>i</sub> is the bitmask as defined in Eq. 2. for the invoking domain  $d_i$ .

Note that many assembly languages allow offset-based addressing. For example, in x86 it would perfectly reasonable to jump to a fixed offset from a register such as:

```
JMP EAX 0x42
```

In multi-domain SFI, it is required that the compiler emit this instruction as:

```
ADD EAX 0x42
AND EAX, m_i
JMP EAX
```

in order to ensure that the bitmask masks the target to the correct region of virtual memory. Finally, because the tag and bitmask associated with any domain is dependent upon the specific domains included in a given program, binaries will need to be statically linked so that tags and bitmasks can be appropriately generated. Dynamic linking is not supported in multi-domain SFI.

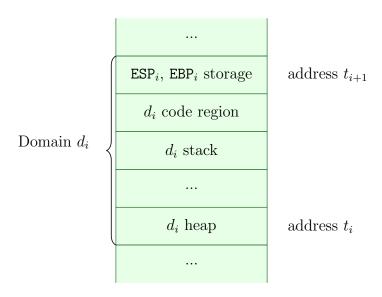


Figure 1: An example of the memory layout for a specific domain

### 2.3 Stacks and Heaps

Since potentially dangerous indirect memory operations are disallowed across domains, traditional stack and heap implementations shared across the entire program become virtually impossible to implement. While there could be a specific isolation domain which exists to contain a central stack and heap for the entire program, code from different domains would not be allowed to use relative offsetting from ESP to write over values or jump to addresses in stack variables. Furthermore, if a central stack and heap are not protected from modifications made by adversarial domains, an adversarial domain could cause the entire program to crash by, for example, smashing the stack.

Because of this, multi-domain SFI requires that each isolation domain contain it's own stack and heap.

As a consequence of this, the ESP and EBP registers must be stored and loaded between every inter-domain control transfer. To implement this behavior, the two words at the top of each domain's virtual memory region (whose addresses have the largest values) will be used to store the domain's ESP and EBP values when transferring control to another domain.

Thus, any given domain will be laid out as depicted in Figure 1.

# 2.4 Restricting Return Addresses

Function returns present a subtle and challenging problem. Normally, return addresses are placed on the stack by a function caller. Subsequent return instructions then jump to the runtime-specified return address on the stack. However, we cannot apply a standard bitmask before the indirect jump taken within a return statement, as we cannot be sure at compile time which SFI domains are valid targets for any given application of the function (since we cannot know the caller before execution).

The solution taken by multi-domain SFI requires two components: a trampoline domain and return masks.

#### 2.4.1 Trampoline Domain

A trampoline domain is a specific isolation domain that is included in all multi-domain SFI compiled programs. The purpose of the trampoline domain is to provide an interface through which domains can jump to code in different domains. All inter-domain jumps must go through the trampoline domain.

In Section 3.1, we describe how developers make certain functions available to other domains. For now, we will define the term *export* as the process by which a developer chooses to make a function defined within one domain available to another domain. For example, a single function myFunc may be exported from SFI domain foo to domain bar.

For each function that one domain exports to another, a trampoline function is generated by the multi-domain SFI compiler in the trampoline domain. That is,  $\forall d_i, d_j \in D \setminus d_{\text{tramp}}$  s.t.  $d_i \neq d_j$  there will be a specific trampoline function created in the trampoline domain  $d_{\text{tramp}}$  for every function defined in  $d_i$  and exported to  $d_j$ .

Trampoline functions, which are created silently by the compiler, are standard functions whose function bodies contain only a call to the target function with the same arguments passed to the trampoline function. However, the return behavior of the trampoline function is slightly modified. Rather than emitting a RET instruction at the end of the trampoline function, the compiler will emit instructions that place the return value of the function on the trampoline stack, load the return address into a register, mask the return address (described in Section 2.4.2), and then jump to the masked address.

Since there are no restrictions on read instructions across domains, there are no issues with invoking domains being able to read return values across domains. It is important to note, however, that domains cannot pass arguments onto the stacks of other domains when making an inter-domain call, as that would require the invoking domain to be able to write to the stack of the target domain. Instead, we will redefine inter-domain function calling conventions such that the invoking domain places arguments on *its own* stack, and then the target domain copies the arguments off of the stack by reading the values at the expected argument offsets from the invoking domain's stored ESP address. To prevent domains from specifying arbitrary return addresses on their own stack before jumping to the trampoline domain, the trampoline domain shall copy the return address of its invoker onto its own stack just as it copies the function arguments. Similarly, return values are read by the invoking domain from the expected offset of the target domain's stored ESP value. Each domain will be required to clean up arguments on its own stack at the end of a function's execution. Note that the trampoline domain will always be either the invoking or target domain for every inter-domain call.

While we will require that every inter-domain jump go through the trampoline region, we will also place more specific limits on the domains that are able to access specific addresses within the trampoline region. Since we require that all inter-domain jumps be direct memory operations, we by definition know the exact targets of every inter-domain jump at compile time. As a result, we can restrict that a domain  $d_i$  only be allowed to jump to a function in  $d_{\text{tramp}}$  if the target function is a trampoline for some function in  $d_j$  which was exported to  $d_i$ .

Finally, the trampoline domain shall be created within the address space just as any other domain; that is,  $d_{\text{tramp}}$  will have a corresponding tag  $t_{\text{tramp}}$  which is a power of two.

Even though every domain should be able to access the trampoline domain, we require that any jumps to the trampoline domain be direct jumps. As such, we do not need to worry about updating the definition of  $m_i$  from Eq. 2 to grant access to the trampoline region as bitmasks are used for providing access for indirect jumps.

#### 2.4.2 Return Masks

In order to allow inter-domain transfers via trampoline functions as described in Section 2.4.1, it was necessary that we modify the sequence of instruction used for returns. Instead of the compiler emitting a RET instruction at the end of each function, it instead emits instructions which place the return value onto the stack, load the return address into a register, mask the return address, and then jump to the masked return address. In multi-domain SFI, we will require that this process be used for every function return, not just inter-domain returns, since we cannot know at compile time which functions were the targets of inter-domain calls. The return process is an exception to the rule that inter-domain jumps must be direct operations.

As such, we define the return mask  $r_i$  for domain  $d_i$  as:

$$r_i \triangleq \left(\prod_{j \in \mathsf{access}(d_i)} t_j\right) \mid G \tag{3}$$

where  $access(d_i)$  returns the set of indices j for the domains  $d_j \in D$  that are allowed to access domain  $d_i$ . However, since we require that inter-domain transfers pass through  $d_{tramp}$ , we know that  $access(d_i)$  will only return  $\{i, tramp\}$ . Therefore, Eq. 3 can be simplified to:

$$r_i = t_i \mid t_{\text{tramp}} \mid G$$

Therefore, we require that each return address is ANDed with  $r_i$  before every indirect return jump.

## 2.5 Function Pointers and Objects

Function pointers present a significant challenge to the usage of multi-domain SFI. Specifically, if a function pointer points to a function whose code is outside of the invoking domain, that function call will fail because the pointer will be masked before the indirect jump (which are not allowed across domains). As such, we define that function pointers cannot be invoked across domain boundaries. This may change the implementation of some programs, but we expect the impact to be small and avoidable.

However, the issue of inter-domain function pointers poses a larger issue in the context of objects. Objects are implemented by compilers as *vtables*, which are effectively structs containing function pointers. If a class is defined within the same domain in which its objects will be declared and used, than there will be no issues. However, issues arise if objects are passed between domains and methods are invoked in the non-original domain. As such, we will define that methods on objects can only be invoked in the domain in which that object was instantiated.

Furthermore, a developer may want to export a class definition to another domain. If this is occurs, the compiler will fully duplicate the method code in all exported regions such that objects instantiated in the exported region can still function normally. If an imported library (such as stdlib is exported, the compiler will interpret such an action as exporting all class definitions in the library to the exported domain.

### 2.6 Limitations

Because each isolation domain requires a tag with a uniquely positive binary bit, the number of isolation domains is limited by the size of the virtual address space. The maximum number of domains is the number of addressable bits minus the amount of overhead. Overhead is defined as the number of bits required for alignment and to fit the contents of the largest domain within one contiguous memory region. Thus, the maximum number of domains is O(b) for a virtual address space with b bits.

Additionally, multi-domain SFI affects the use of traditional Address Space Layout Randomization (ASLR). Since inter-domain jumps must be performed as direct memory operations, any address which is the potential target of an indirect jump cannot be randomized. ASLR can still be used in a smaller, modified capacity, however, to offset the starting locations of the stack within each domain by initializing the stored ESP and EBP values to small, random offsets.

# 3 Language Implementation

To gain the benefits of multi-domain SFI, developers must annotate their source code in such a way as to indicate how the address space is to be partitioned into isolation domains. In this section, we present a method of annotation for C++ which is consumed by multi-domain SFI C++ compilers to generate multi-domain SFI complaint code.

In C++, SFI domains are defined using namespaces. Formally, isolation domains are constructed as C++ namespaces named  $\mathfrak{sfi}_X$  where X is the name used to refer to the isolation domain throughout the annotated code. For example, an SFI domain named  $\mathfrak{foo}$  would be created by placing the isolation-desired code in a namespace titled  $\mathfrak{sfi}_{\mathfrak{foo}}$ .

The global namespace, such as where the main function must be placed, will be labeled as the isolation domain sfi\_std.

# 3.1 Exports

Thus, to make a function within an isolation domain available to be accessed by main, the function should be annotated with export(std).

Import macros must also be exported to specific domains. Exporting an import makes all functions within that import available to the target domain. If imports are not annotated and are in the global namespace, they will be made available only to sfi\_std. Otherwise, imports should be annotated just as regular functions, such as the following example which makes C++'s stdio available to isolation domain foo:

```
#export(foo)
#import <stdio.h>
```

Functions which are to be made available to other isolation domains are decorated with a C++ Macro that contains the name of the zone(s) which should be able to access the decorated function. This macro is called export. Specifically, if a function myFunc in isolation domain foo was to be made available to isolation domains bar and baz, myFunc would be immediately preceded by the macro export(bar, baz). Naturally, all isolation domains are allowed to access themselves without any additional annotation.

The behavior of the compiler with respect to exported functions is implemented as a trampoline domain described in Section 2.4.1.

# 4 Practical Example

This section gives an example of a toy program written using multi-domain SFI. It is broken down into a view of the toy program in C++ source code and a view of the compiled application's virtual address space during runtime.

### 4.1 C++ View

Let us consider C++ code which is a simple program that outputs:

```
Hello, World. Goodbye.
```

The source code for this toy program is as follows:

```
#export(foo, bar)
#include <stdio.h>
namespace sfi_foo {
        void hello() {
                printf("Hello ");
        }
        void world() {
                printf("World.\n");
        }
        #export(bar)
        void helloWorld() {
                hello();
                world();
        }
}
namespace sfi_bar {
```

### 4.2 Memory Layout View

The virtual memory layout depicted in Figure 2 is the in-memory representation of the virtual address space for the compiled binary of the above C++ code. Memory addresses indicate the lower bound of the address range for the adjacent memory section.

Note that NOPs will be placed after the code within each section in order to maintain the alignment required for the multi-domain SFI memory layout.

#### 4.2.1 Bitmasks View

As defined in Eq. 2, bitmasks are created by logically  $\mathtt{ORing}$  the tag of a region with the value G. Applying the definition of G from Eq. 1 yields a value of

With the value of G, we can easily calculate the bitmasks for each region from the information in the annotated code. Table 1 shows all isolation domains with their corresponding bitmasks rather than their tags.

Domain $(d_i)$	$\mathbf{Tag}(t_i)$	Bitmask $(m_i)$
stdio	0x80000000	0x87fffff0
foo	0x40000000	0x47fffff0
bar	0x20000000	0x27fffff0
std	0x10000000	0x17fffff0
tramp	0x08000000	0x0ffffff0

Table 1: Tag and bitmask values for each domain

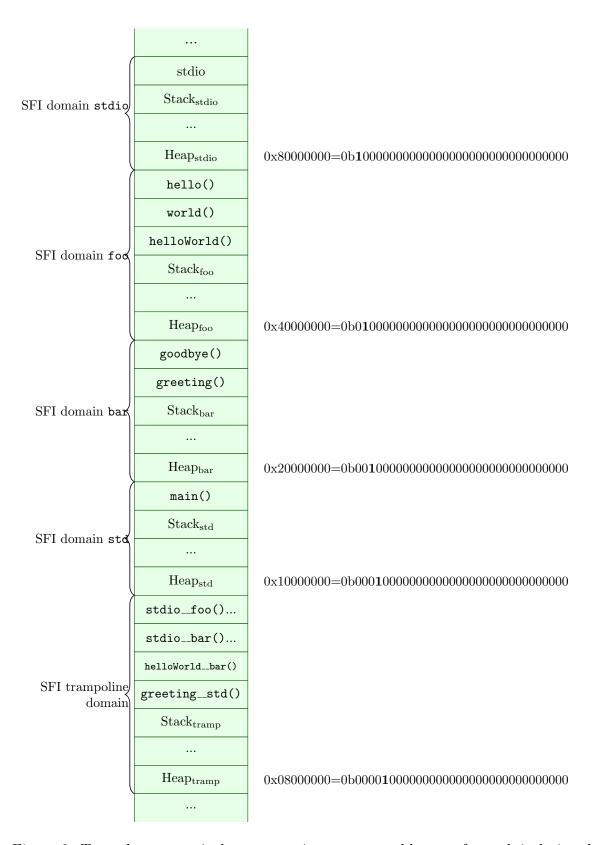


Figure 2: Tag values  $t_i$ , equivalent to starting memory addresses, for each isolation domain

# 5 Summary

The following section represents a high-level summary of multi-domain SFI as a brief summary of some of the key points of this paper.

- Every namespace that begins with sfi is an SFI isolation domain
  - More formally, an SFI zone named foo will be denoted sfi\_foo
- Functions which are to be made available to other isolation domains are decorated with a C++ Macro that contains the name of the zone(s) which should be able to access the decorated function
  - More formally, #export(foo,bar) makes the decorated function available to both
    of the isolation domains foo and bar
  - At compile time, trampoline functions to these decorated functions will be placed into a dedicated trampoline domain
- At compile time, direct jumps (e.g. JMP addr, CALL addr) within an isolation domain are allowed
- At compile time, indirect jumps (e.g. JMP reg) must be masked by a bitmask which limits the targets of jumps to within the same domain
- RET commands are universally disallowed in the generated x86 output and are replaced by indirect jumps
- All compiler-generated assembly will follow SFI-defined alignment specifications

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