

# Machine Learning for Quantum Mechanics in a Nutshell

Part of supplementary material for  
M. Rupp, *Int J Quant Chem*, 2015.

\

Please adjust the following path to where you unpacked the reference \

implementation code from the supplementary material.\

```
AppendTo [$Path, FileNameJoin [{"Path", "to", "library"}]];
```

```
(* Parent directory containing QMMLPack directory *)
```

```
Needs ["QMMLPack`"]
```

```
© Matthias Rupp 2006–2015. Please cite
```

```
M. Rupp: Machine Learning for Quantum
```

```
Mechanics in a Nutshell, Int.J.Quant.Chem., 2015.
```

\

The following “Code” section \

contains auxiliary code and can simply be executed.\

---

## Code

```
On[Assert];
```

### Auxiliary routines

Plots reference labels versus predictions

```
Clear[ScatterPlot];
```

```
ScatterPlot::usage = "ScatterPlot [y,f] plots true values y versus predicted values \
f.";
```

```
ScatterPlot [true_ , pred_] := Module [{min, max},
  {min, max} = {Min[true , pred], Max[true , pred]}; (* Cover all points *)
  ListPlot [Transpose [{true , pred}], PlotRange → {{min, max}, {min, max}},
    Frame → {{True , False}, {True , False}}, FrameStyle → 12,
    FrameLabel → {"predicted ", None}, {"reference ", None}},
    Prolog → {Dashed , Black , Line[{{min, min}, {max, max}}]}
  ]
];
```

Plots performance as a function of the two hyperparameters  $\lambda$  and  $\sigma$

```
Clear[HyperparameterPlot];
```

```
HyperparameterPlot::usage =
  "HyperparameterPlot [hpgrid,perf,stat] plots stat from performance \
statistic evaluated at lsgrid x rsgrid.";
```

```
(* Uses (1-(1-x)^p)^1/p to increase color range for
  colors in [0,1]. Felix Faber came up with this function. *)
HyperparameterPlot [rsgrid_ , lsgrid_ , perf_ , stat_String , dots_ : {}] := Module [{
  hpgrid , data , min , max , scalef , contours , opt ,
  colorf , rsticks10 , lsticks10 , epilog , gopts , legend , p1 , p2 ,
  slabels = {"RootMeanSquareError " → "RMSE", "MeanAbsoluteError " → "MAE",
    "CorrelationSquared " → "R2", "OneMinusCorrelationSquared " → "1-R2"},
  colorbasef = ColorData ["TemperatureMap "], colorfexp = 3
  (* was 2.5 *), precision = 10.^-6},

  (* Set up data to plot *)
  hpgrid = Outer[List, lsgrid , rsgrid , 1]; (* Tuples { $\sigma$ , $\lambda$ } arranged as a grid *)
  data = Flatten [
```

```

MapThread [Append [{#1, Round[{#2, precision}] &, {Log[2, hpgrid], (stat /. perf)}], 2], 1];
(* List of tuples {{σ, λ}, s}, where s is the chosen performance statistic *)

min = Min[data[[All, 3]]];
max = Max[data[[All, 3]]];
scalef = ((# - min)/(max - min) &);
(* Range of performance statistic and function scaling to interval [0,1] *)
contours = Quantile[data[[All, 3]], {0.01, 0.05, 0.1, 0.2, 0.3, 0.4, 0.5, 0.6}];
(* Quantiles to use for contour lines *)

opt = Extract[data, Position[data[[All, 3]], Min[data[[All, 3]], {1}, Heads → False]];
(* Position of optimal hyperparameters *)
opt = First[Sort[opt, (OrderedQ[{Norm[{#1}], Norm[{#2}]}] &)]];
(* If there is an optimal basin, prefer larger values for regularization *)

(* Set up graph *)
colorf = Function[x, colorbasef[Power[1 - (1 - scalef[x])^colorfexp, 1/colorfexp]]];
(* Color function *)

rsticks10 = Log[10, rsgrid]; (* Regularization strength axes ticks with base 10 *)
rsticks10 = FindDivisions[{Min[rsticks10], Max[rsticks10]}, 5];
(* Find "nice" values *)
rsticks10 = Map[{Log[2, Power[10, #]], #} &, rsticks10];
(* Locations with respect to base 2 used by the graph *)

lsticks10 = Log[10, lsgrid]; (* Length scale axis ticks with base 10 *)
lsticks10 = FindDivisions[{Min[lsticks10], Max[lsticks10]}, 5];
(* Find "nice" values *)
lsticks10 = Map[{Log[2, Power[10, #]], #} &, lsticks10];
(* Locations with respect to base 2 used by the graph *)

epilog = {Black, PointSize[Large], Point[opt[[{1, 2}]], Orange, Point[Log[2, dots]]];
(* Epilog plots points at location of
optimal hyperparameters and any passed ones *)

(* Create graph *)
gopts = {
  ColorFunction → colorf, ColorFunctionScaling → False,
  FrameLabel → {"log2(λ)", "log10(λ)", {"log2(σ)", "log10(σ)"}, FrameStyle → 12,
  FrameTicks → {{Automatic, N[rsticks10]}, {Automatic, lsticks10}},
  ImageSize → Medium
};

```

```

legend = BarLegend [{colorf , {min, max}}, Round[contours , 0.1],
  LegendLabel → stat /. slabels , LabelStyle → 12, LegendMarkerSize → Automatic ];
p1 = ListDensityPlot [data , InterpolationOrder → 1, gopts , PlotRange → All];
p2 = ListContourPlot [data , ContourShading → None , Contours → contours ,
  ContourStyle → Map[Directive [Thick , Darker [colorf [#]]] &, contours ], gopts];

Legended [Show[{p1, p2}, FilterRules [gopts , Options [Graphics ]], Epilog → epilog],
  Placed [legend , After ]]
];

```

## Predicting atomization energies

### The dataset

#### Obtaining the data

\

Download the dataset, set the corresponding path and filename.\

```
filename = FileNameJoin [{"path", "to", "dataset ", "dsgdb7ae2 .xyz"}];
```

Load the data.

```

raw = Import [filename , "extXYZ "];
an = "VertexTypes " /. raw; (* Element types as string abbreviations *)
xyz = "VertexCoordinates " /. raw; (* Atom positions in Åström *)
ae = ("MolecularProperties " /. raw)[[2]]; (* Atomization energies in kcal/mol *)

```

#### Counting element types

Count number of molecules with given number of non-H atoms

```

(* Bin by number of non-H atoms *)
table4 = Tally [Map[Count [#, Except ["H"]] &, an]]
{{1, 1}, {2, 3}, {3, 12}, {4, 43}, {5, 157}, {6, 935}, {7, 5951}}

TableForm [Transpose [Append [table4 , {Σ, Total [table4 [[All, 2]]}]]]

```

1	2	3	4	5	6	7	Σ
1	3	12	43	157	935	5951	7102

#### Visualizing molecules

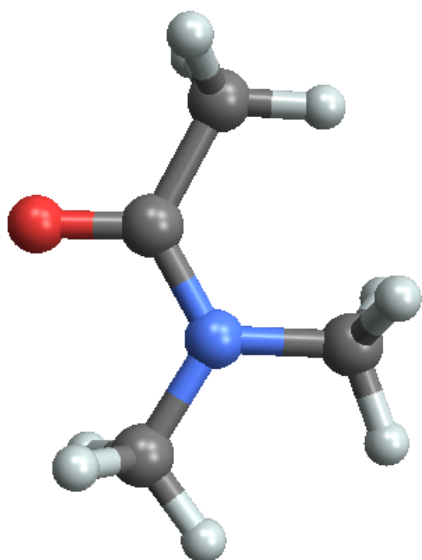
Plot routine expects coordinates in pm, so multiply coordinates by 100.

\

Change index  $i$  to visualize other molecules. Use the mouse to rotate,  
hold the shift \

key to pan, hold the command key to zoom.

```
i = 1046 ; MoleculePlot3D [an[[i], xyz[[i]] * 100]
```



### Creating a training set

```
nmol = Length[an]; (* Number of molecules in dataset *)
indtrain = Flatten [Position [an, _?(Count [#1, Except ["H"]] ≤ 4 &), {1}, Heads → False]];
(* Indices of molecules with 4 or fewer non-H atoms *)
t = Length[indtrain]; (* Number of such molecules *)

ind = Complement [Range[nmol], indtrain]; (* Indices of remaining molecules *)
ind = Sort[ind, (OrderedQ [{Length[an[[#1]], Length[an[[#2]]]}] &)];
(* Sort remaining molecules by number of atoms *)
ind = ind[[Round [Range[1, nmol - t, (nmol - t)/(1000 - t)]]]];
(* Stratified selection of 941 molecules *)
indtrain = Join[indtrain, ind]; (* Add those to the training set *)

indpredict = Complement [Range[nmol], indtrain];
(* All other molecules go into the prediction set *)

Assert [Length[indtrain] == 1000]
Assert [Intersection[indtrain, indpredict] == {}]
Verify stratification graphically

ListPlot [Map[Length, an[[indtrain]]]
```

### Creating a hold-out set

```
ind = Select[indtrain, (Count[an[[#1]], Except["H"]] > 4 &)];
(* All molecules in training set with 5 or more non-H atoms *)
ind = Sort[ind, (OrderedQ [{Length[an[[#1]], Length[an[[#2]]]}] &)];
(* Sort them by number of atoms *)
ind = ind[[Round [Range[1, Length[ind], Length[ind]/100]]]];
(* Stratified selection of 100 molecules *)

indholdout = ind;
indproper = Complement[indtrain, indholdout];
(* Remaining molecules constitute training set proper *)

Assert [Length[indholdout] == 100]
Assert [Intersection[indproper, indholdout] == {}]
Assert [Union[indproper, indholdout] == Sort[indtrain]]
```

## Representation

### Computing Coulomb matrices

\

In preparation, convert element types from string abbreviations to atomic \

numbers, and, coordinates from Ångström to atomic units \

(Bohr radii).\

```
(* Convert element types from strings to atomic numbers *)
an = Map[ElementData [#, "AtomicNumber "] &, an, {2}]; (* H→1, C→6, N→7, O→8, S→16 *)

(* Convert atom positions to atomic units *)
ang2bohr =
  QuantityMagnitude [UnitConvert [Quantity [1, "Ångström"], Quantity [1, "BohrRadius "]]]
  (* 100/52.917720859 *);
xyz = xyz * ang2bohr ;

Coulomb matrices.

cm = Table[
  If[i == j, 0.5 an[[k, i]]^2.4,
    an[[k, i]] an[[k, j]] / EuclideanDistance [xyz[[k, i]], xyz[[k, j]]] (* Equ. 26 *)
  , {k, nmol}, {i, Length [an[[k]]]}, {j, Length [an[[k]]]}];

Sort by row norm.

t = Table [Norm[cm[[k, i]], {k, nmol}, {i, Length [an[[k]]]}];
(* Row norms for each matrix 's rows *)
t = Map[Reverse [Ordering [#, 1] &], t];
(* Yields index reordering for descending order of row norms *)
cm = MapThread [#1[[#2, #2]] &, {cm, t}];
(* Simultaneously rearrange rows and columns of each matrix *)

Pad.

Max[Map[Length, an]] (* Maximum number of atoms in dataset *)
23

cm = Map[PadRight [#, {23, 23}] &, cm];

Vectorize

cm = Map[LowerTriangularPart , cm];
```

Dimensions [cm]

{7102 , 276}

## Model building

### Basic model

Choose some values for hyperparameters.

$\{\lambda, \sigma\} = \{2.^{-22.5}, 2.^{11.5}\}$  (\* e.g., center of logarithmic grid in Equ. 27 \*)  
 $\{1.68587 \times 10^{-7}, 2896.31\}$

Compute kernel matrix K.

```
kk = KernelGaussian [cm[indproper ], {σ}];
```

Train kernel ridge regression model.

```
krr = KernelRidgeRegression [kk, ae[indproper ], RegularizationStrength → λ];
```

Predict hold-out set.

```
ll = KernelGaussian [cm[indproper ], cm[indholdout ], {σ}];
```

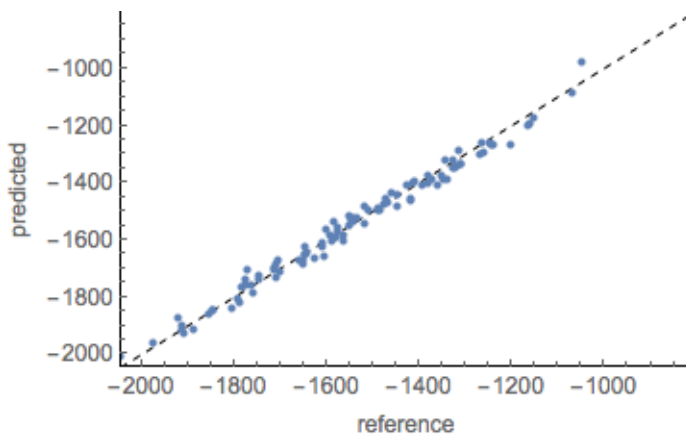
```
f = krr[ll];
```

Performance statistics

```
Loss[ae[indholdout ], f]
```

```
{NumberOfSamples → 100, RootMeanSquareError → 26.2827, MeanAbsoluteError → 21.4907,
StandardDeviation → 26.2914, MedianAbsoluteError → 20.1599,
MaximumAbsoluteError → 74.2684, Correlation → 0.993541,
CorrelationSquared → 0.987124, OneMinusCorrelationSquared → 0.0128764 }
```

```
ScatterPlot [ae[indholdout ], f]
```



```
Clear [λ, σ]
```



## Grid search

Set up grid of hyperparameter values.

```
(* Equ. 27 *)
rsgrid = Power[2, Range[-40, -5, 0.5]];
lsgrid = Power[2, Range[5, 18, 0.5]];
\
```

Define a function that returns performance on hold-out set given \

hyperparameter values.\

```
Clear[estperf];
```

```
(* Parameters are regularization strength, length scale, and kernel *)
estperf[ $\lambda$ _,  $\sigma$ _, k_] := Module[{kk, ll, krr, pred, loss},
  kk = k[cm[[indproper]], { $\sigma$ });
  ll = k[cm[[indproper]], cm[[indholdout]], { $\sigma$ });
  krr = KernelRidgeRegression[kk, ae[[indproper]], RegularizationStrength  $\rightarrow \lambda$ ];
  pred = krr[ll, "Predictions"];
  Loss[ae[[indholdout]], pred]
];
```

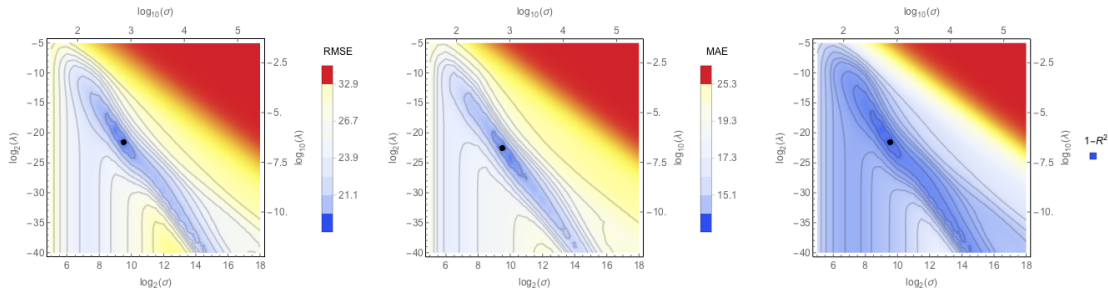
Evaluate the functions on the grid:

```
Dynamic[Log[2, { $\lambda$ ,  $\sigma$ }]
```

```
perfG = Table[estperf[ $\lambda$ ,  $\sigma$ , KernelGaussian], { $\sigma$ , lsgrid}, { $\lambda$ , rsgrid}];
```

```
(*
filename = FileNameJoin[{"path", "filename.txt.bz2"}];
Export[filename, ToString[perfG, InputForm], {"BZIP2", "String"}];
(* Use this line to store results *)
perfG = ToExpression[Import[filename, {"BZIP2", "String"}]];
(* Use this line to retrieve previously stored results *)
*)
```

```
GraphicsRow [Table [HyperparameterPlot [rsgrid , lsgrid , perfG , stat],
  {stat, {"RootMeanSquareError ", "MeanAbsoluteError ", "OneMinusCorrelationSquared "}},
  ImageSize → 18.5 * 72]
```



## Results

Optimal hyperparameters for each statistic:

```
Table [t = Position [stat /. perfG , Min[stat /. perfG], {2}, Heads → False];
  Join[Log[2, {rsgrid [t[[1, 2]]], lsgrid [t[[1, 1]]]}],
  Log[10, {rsgrid [t[[1, 2]]], lsgrid [t[[1, 1]]]}], Extract [stat /. perfG , t]],
  {stat, {"RootMeanSquareError ", "MeanAbsoluteError ", "OneMinusCorrelationSquared "}}} //
  TraditionalForm
```

$$\begin{pmatrix} -21.5 & 9.5 & -6.47214 & 2.85978 & 19.161 \\ -22.5 & 9.5 & -6.77317 & 2.85978 & 13.3594 \\ -21.5 & 9.5 & -6.47214 & 2.85978 & 0.00717638 \end{pmatrix}$$

```
estperf [2. ^ -22, 2. ^ 9.5, KernelGaussian ]
```

```
{NumberOfSamples → 100, RootMeanSquareError → 19.2365, MeanAbsoluteError → 13.4748 ,
  StandardDeviation → 19.3156, MedianAbsoluteError → 10.1084 ,
  MaximumAbsoluteError → 75.0852, Correlation → 0.996369 ,
  CorrelationSquared → 0.992751, OneMinusCorrelationSquared → 0.00724927 }
```

Performance on prediction set

```
{λ, σ} = Power [2. , {-22, 9.5}];
```

```
kk = KernelGaussian [cm[indtrain ], {σ}];
```

```
ll = KernelGaussian [cm[indtrain ], cm[indpredict ], {σ}];
```

```
krr = KernelRidgeRegression [kk, ae[indtrain ], RegularizationStrength → λ];
```

```
Loss [ae[indpredict ], krr[ll]]
```

```
{NumberOfSamples → 6102, RootMeanSquareError → 17.6961, MeanAbsoluteError → 12.4511 ,
  StandardDeviation → 17.6912, MedianAbsoluteError → 9.5413 ,
  MaximumAbsoluteError → 203.262, Correlation → 0.996638 ,
  CorrelationSquared → 0.993287, OneMinusCorrelationSquared → 0.00671322 }
```

## Laplacian kernel

Dynamic [Log[2, { $\lambda$ ,  $\sigma$ }]

```
perfL = Table[estperf[ $\lambda$ ,  $\sigma$ , KernelLaplacian], { $\sigma$ , lsgrid}, { $\lambda$ , rsgrid}];
```

(\*

```
filename = FileNameJoin[{"path", "to", "file.txt.bz2"}];
```

```
Export[filename, ToString[perfL, InputForm], {"BZIP2", "String"}];
```

(\* Use this line to store results \*)

```
perfL = ToExpression[Import[filename, {"BZIP2", "String"}]];
```

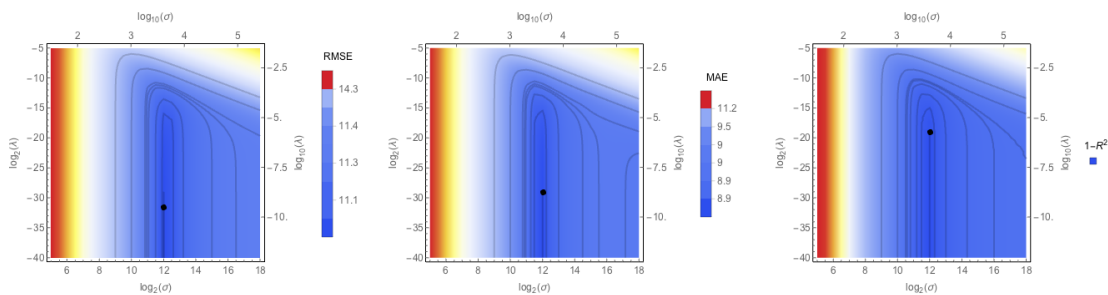
(\* Use this line to retrieve previously stored results \*)

\*)

```
GraphicsRow[Table[HyperparameterPlot[rsgrid, lsgrid, perfL, stat],
```

```
{stat, {"RootMeanSquareError", "MeanAbsoluteError", "OneMinusCorrelationSquared"}},
```

```
ImageSize -> 18.5 * 72]
```



Optimal hyperparameters for each statistic:

```
Table[t = Position[stat /. perfL, Min[stat /. perfL], {2}, Heads -> False];
```

```
Join[Log[2, {rsgrid[[t[[1, 2]]], lsgrid[[t[[1, 1]]]}],
```

```
Log[10, {rsgrid[[t[[1, 2]]], lsgrid[[t[[1, 1]]]}], Extract[stat /. perfL, t]],
```

```
{stat, {"RootMeanSquareError", "MeanAbsoluteError", "OneMinusCorrelationSquared"}}, //
```

TraditionalForm

```
(-40. 12. -12.0412 3.61236 11.0709 )
(-40. 12. -12.0412 3.61236 8.81136 )
(-40. 12. -12.0412 3.61236 0.00235088 )
```

```
estperf[2. ^ -40, 2. ^ 12, KernelLaplacian]
```

```
{NumberOfSamples -> 100, RootMeanSquareError -> 11.0709, MeanAbsoluteError -> 8.81136,
```

```
StandardDeviation -> 11.0002, MedianAbsoluteError -> 6.98926,
```

```
MaximumAbsoluteError -> 32.9206, Correlation -> 0.998824,
```

```
CorrelationSquared -> 0.997649, OneMinusCorrelationSquared -> 0.00235088 }
```

Performance on prediction set

```

{ $\lambda$ ,  $\sigma$ } = Power [2., {-40, 12}];

kk = KernelLaplacian [cm[indtrain ], { $\sigma$ }];
ll = KernelLaplacian [cm[indtrain ], cm[indpredict ], { $\sigma$ }];
krr = KernelRidgeRegression [kk, ae[indtrain ], RegularizationStrength  $\rightarrow \lambda$ ];
Loss[ae[indpredict ], krr[ll]]

{NumberOfSamples  $\rightarrow$  6102, RootMeanSquareError  $\rightarrow$  9.49595, MeanAbsoluteError  $\rightarrow$  7.08172,
  StandardDeviation  $\rightarrow$  9.49654, MedianAbsoluteError  $\rightarrow$  5.60345,
  MaximumAbsoluteError  $\rightarrow$  80.971, Correlation  $\rightarrow$  0.999032,
  CorrelationSquared  $\rightarrow$  0.998066, OneMinusCorrelationSquared  $\rightarrow$  0.00193449 }

```

Scatter plot

```

kk = KernelLaplacian [cm[indproper ], { $\sigma$ }];
ll = KernelLaplacian [cm[indproper ], cm[indholdout ], { $\sigma$ }];
krr = KernelRidgeRegression [kk, ae[indproper ], RegularizationStrength  $\rightarrow \lambda$ ];
ScatterPlot [ae[indholdout ], krr[ll]]

```

