



# Rice Classification Methods: Derived Features vs. Images

Nick Melamed, Stephanie Owyang, Suvass Ravala



# Motivation for Looking at Rice Grains

Why is this helpful?

- Rice is consumed by roughly half of the world's population
- Understanding the characteristics of different grains allows for seed quality evaluation



## Current Work on the Subject

- Main paper: Koklu, M., Cinar, I., and Taspinar, Y.S. 2021
  - Used image dataset to achieve 100% accuracy w/ CNN, derived feature dataset to achieve 99% accuracy via ANN
  - Previous work referenced in the paper (w/ rice and other crops) hardly broke above 95%.



# Our Data

## Image Dataset - Turkey

- 15K images per rice variety, 5 grains
  - Arborio
  - Basmati
  - Ipsala
  - Jasmine
  - Karacadag

## Derived Feature Dataset - Cinar 2019

- 106 features derived from images
  - 90 color (RGB, other color scales)
  - 12 morphological
  - 4 shape



# Why 2 Different Datasets?

Can't we just do one?

- We were curious if features derived from images could produce the same results as the images themselves
  - We would assume images are superior...

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# Derived Feature Analysis



## Data Cleaning/EDA

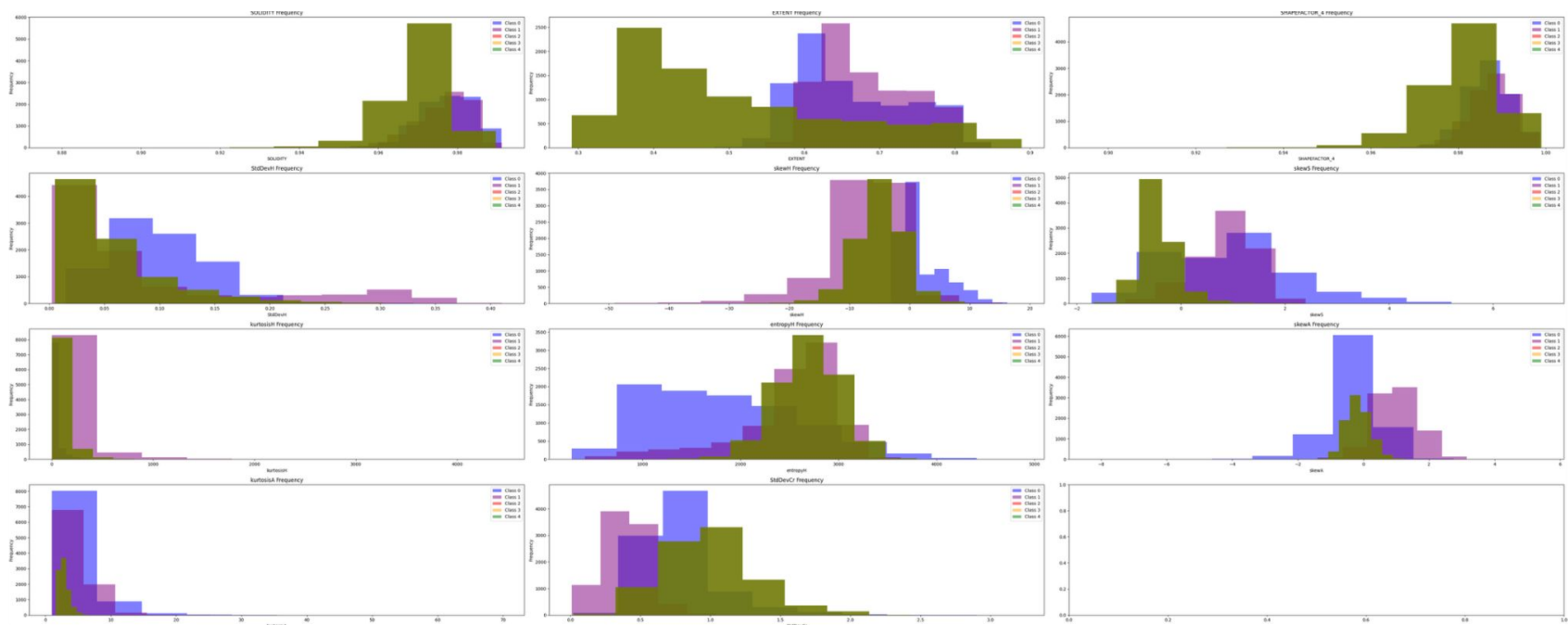
**Train/Test/Validation:** 60-20-20

**Missing Values:** 16 training, 6 validation

**Normalization:** Min/Max to reduce range of values

**Multicollinearity:** Drop columns with  $r > 0.8$  → 106 to 11 features

# Feature Inspection







## Baseline Model

What is our starting point?

- Majority Class Classifier: All predictions = majority class (0)
  - Accuracy: 0.2018
  - Loss: 139599171820.3884 (!)
  - Why so poor? Good class balance:

```
CLASS
```

```
0          9081
```

```
2          9026
```

```
4          8993
```

```
1          8953
```

```
3          8947
```

```
Name: count, dtype: int64
```

# Attempt #1: Logistic Regression

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# Hyperparameter Tuning: Keras Tuner

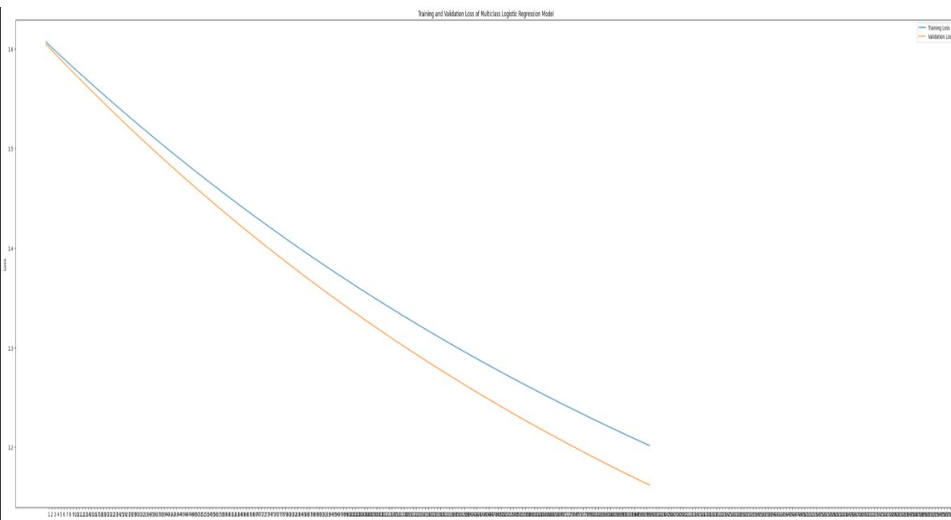
Optimal Learning Rate:  $\sim 9.7e-5$

Optimal Epochs: 200

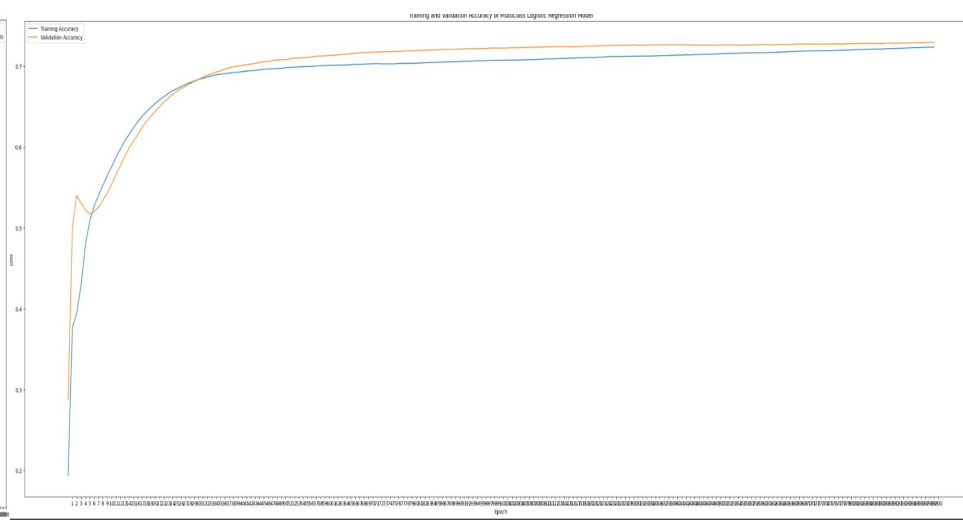
Optimal Batch Size: 32

Optimal Regularization Parameter:  $1e-5$  (Elastic Net)

Training and Validation Loss:



Training and Validation Accuracy:





## Generalizability and Overfitting Fixes

### Attempts to Increase Accuracy:

Reduce LR if Accuracy Doesn't Increase

Use lower LR in case of complex loss function

### Attempts to Reduce Overfitting:

Early Stopping if Loss Doesn't Improve

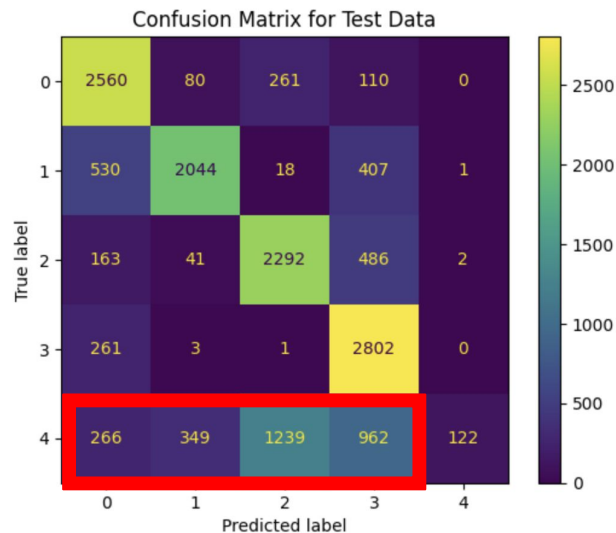
Elastic Net Regularization (50/50 L1/L2)

Training Accuracy	Validation Accuracy	Test Accuracy
0.724	0.729	0.654

# Limitations

Why not 100% Accuracy?

- Pretty substantial improvement in accuracy, but still rather low
- Logistic Regression cannot model nonlinear relationships between features!



# Attempt #2: Feed Forward Neural Network

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# Hyperparameter Tuning: Keras Tuner

**Optimal Learning Rate:**  $\sim 9.7e-6$

**Optimal Epochs:** 388

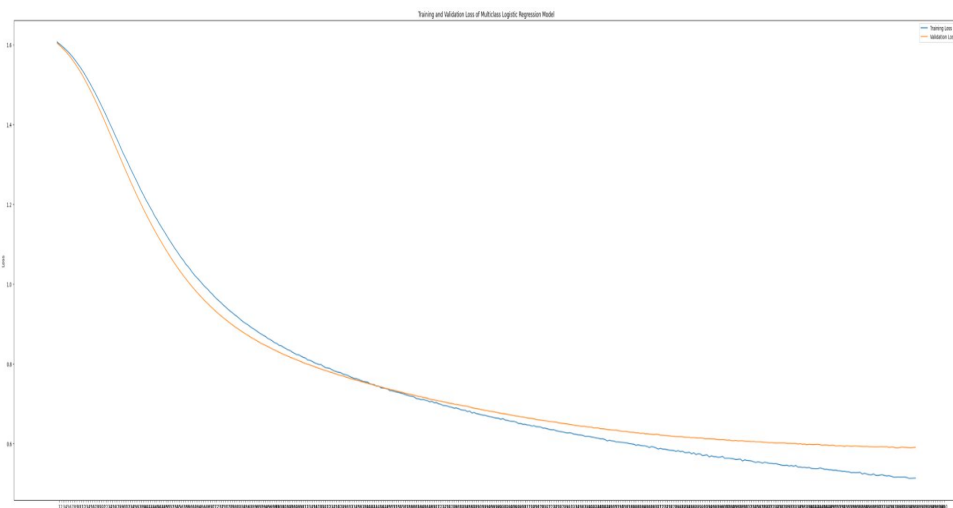
**Optimal Batch Size:** 32

**Optimal Regularization Parameter:**  $1e-5$  (Elastic Net)

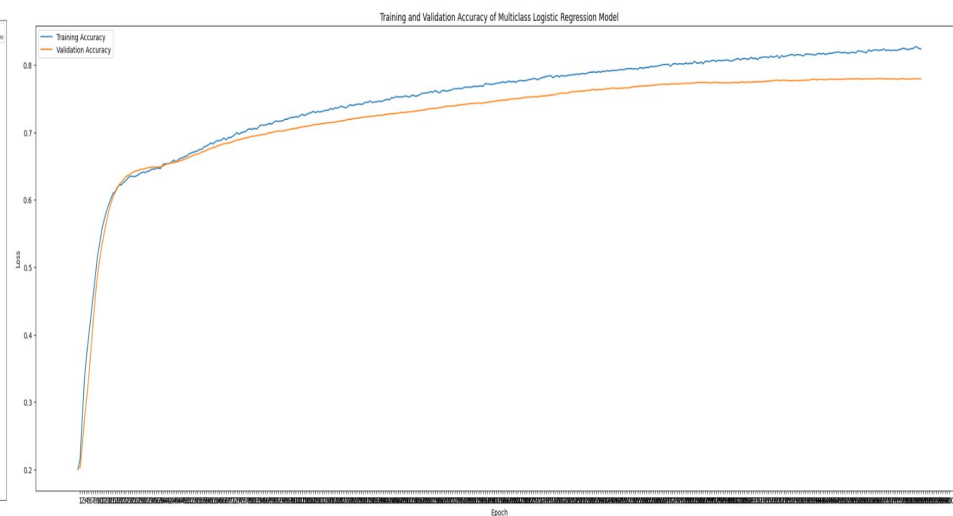
**Optimal Hidden Layers:** 1 with 32 neurons

**Optimal Dropout Rate:** 0.0

**Training and Validation Loss:**



**Training and Validation Accuracy:**





## Generalizability and Overfitting Fixes

### Attempts to Increase Accuracy:

Reduce LR if Accuracy Doesn't Increase

Use lower LR in case of complex loss function

### Attempts to Reduce Overfitting:

Early Stopping if Loss Doesn't Improve

Elastic Net Regularization (50/50 L1/L2)

Implemented Dropout and Gaussian Noise (although tuning preferred no dropout)

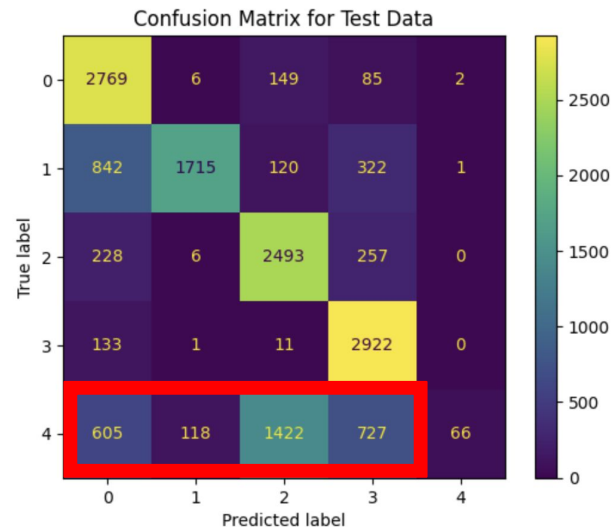
Training Accuracy	Validation Accuracy	Test Accuracy
0.842	0.779	0.649



# Limitations

Why not 100% Accuracy?

- Adding nonlinearity didn't make a huge difference...
- Could be high level of noise in data (relatively low number of observations, lot of features), curse of dimensionality, etc.



# Attempt #3: Random Forest, XGBoost

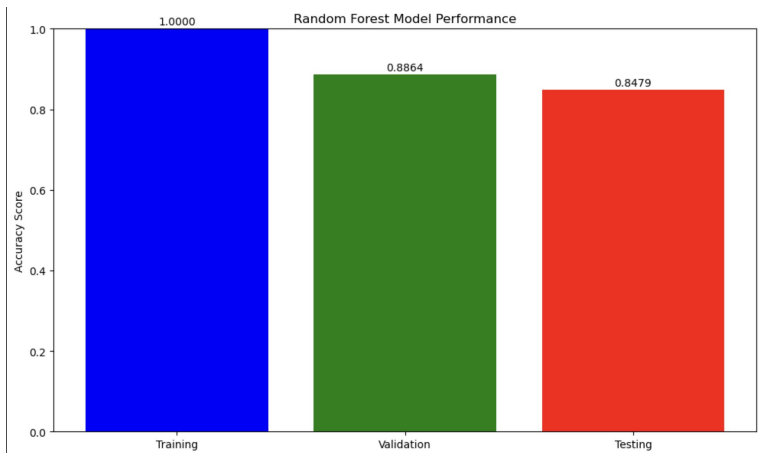
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# Random Forest - Hyperparameter Tuning

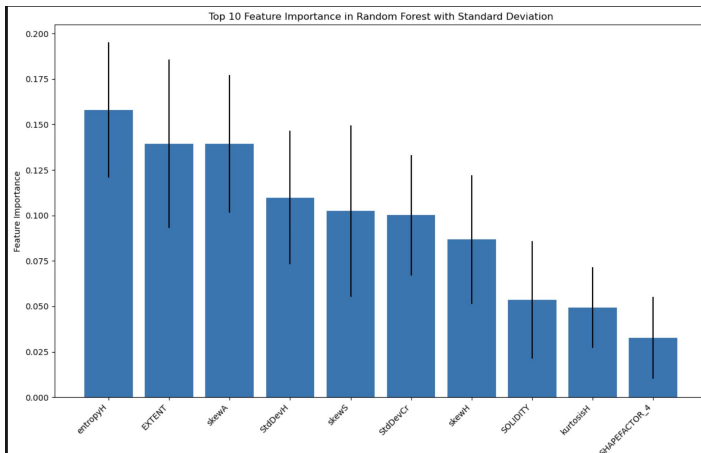
Number of Trees(n\_estimators): 100  
Max\_Depth : None  
Max\_Features: sqrt  
Random State: 1234

Class Weights: Balanced  
N Jobs: -1

Training, Validation and Testing Accuracies:



Feature Importance:





## Random Forest - Generalization

### **Attempts to Increase Accuracy (0.76 -> 0.88):**

Increase Parameters (N\_jobs, Max\_Depth, N\_Estimators)

Add Parameters (Max\_Features, Bootstrap)

### **Attempts to Reduce Overfitting:**

Large number of n\_estimators and averaged results

Random number of features each time (different subsets of data)

Training Accuracy	Validation Accuracy	Test Accuracy
1.000	0.891	0.884

# Limitations

Why not 100% Accuracy?

- Hard to understand the relationship between features and how random forest interprets them

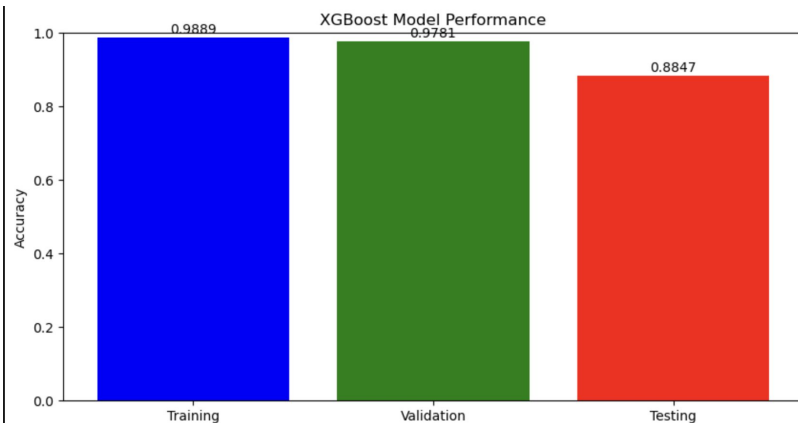


# XGBoost - Hyperparameter Tuning

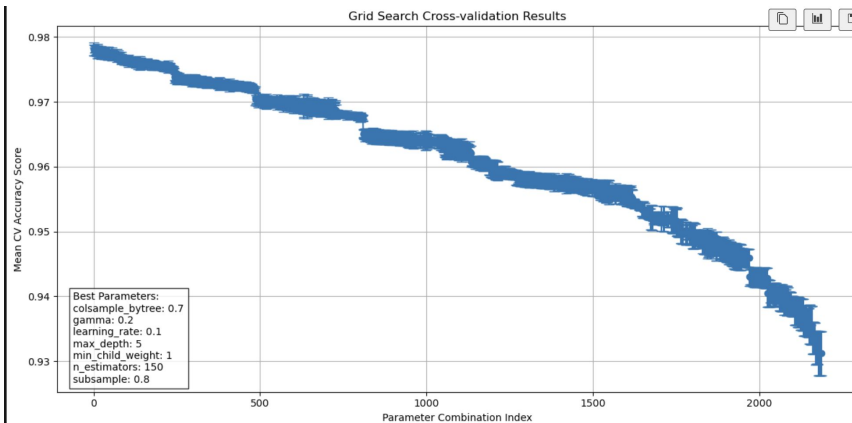
Number of Trees(n\_estimators): 150  
Max\_Depth : 5  
Learning\_Rate: 0.1

Sub Sample : 0.8  
Col\_bytree: 0.7

Training, Validation and Testing Accuracies:



Cross Validation:





## XGBoost - Generalization

### **Attempts to Increase Accuracy (0.73 -> 0.89):**

Use GridSearch methods : n\_estimators, max\_depth, earning\_rate, sub\_sample, col\_subtree

### **Attempts to Reduce Overfitting:**

Large number of n\_estimators and averaged results

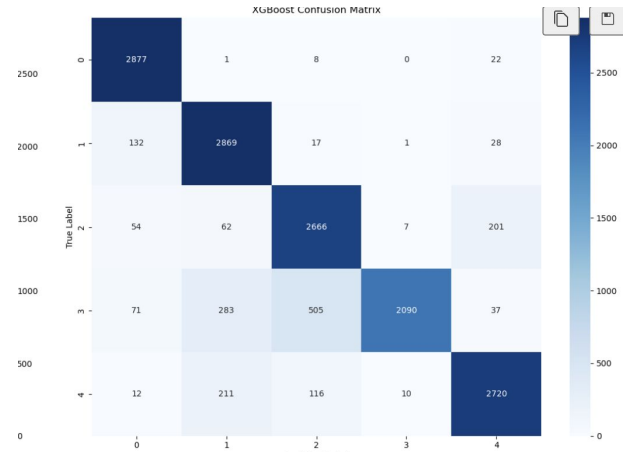
Random number of features each time (different subsets of data)

Training Accuracy	Validation Accuracy	Test Accuracy
0.98	0.979	0.885

# Limitations

Why not 100% Accuracy?

- Limited Parameters tested for Grid Search optimization



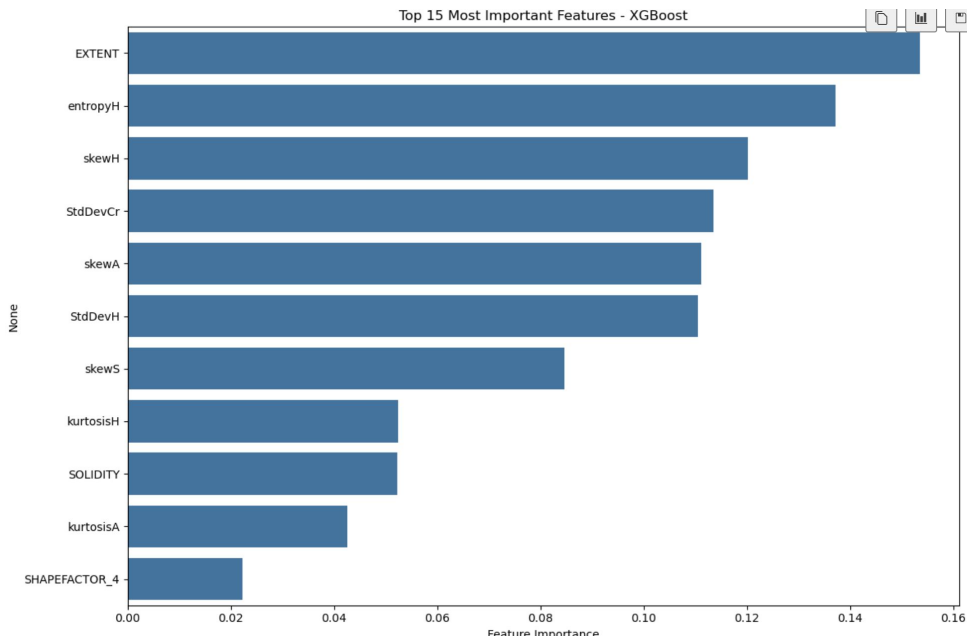


**In general, where does our  
Derived Feature analysis fall  
short?**

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# Limitations of using Derived Features

- Could have Explored and Generated more Features like Perimeter, Aspect\_Ratio (Issue of Multicollinearity...)
- Couldn't Explore All the Feature Relationships
- Limited Domain Specific Knowledge



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# Image Data Analysis

# Image Exploratory Data Analysis



75,000 images total

Balanced classes - 15,000 images per rice grain type

# Attempt #4: Convolutional Neural Network

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# CNN - Baseline



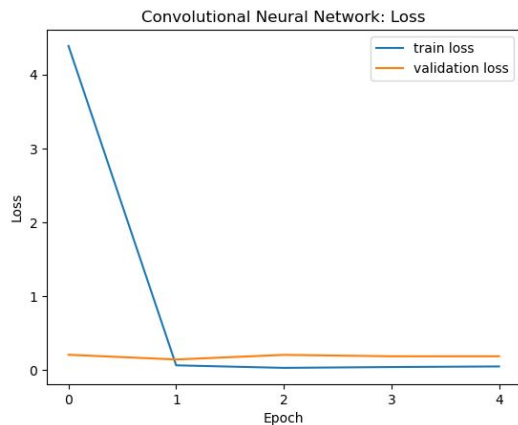
**Epochs:** 5

**Batch Size:** 32

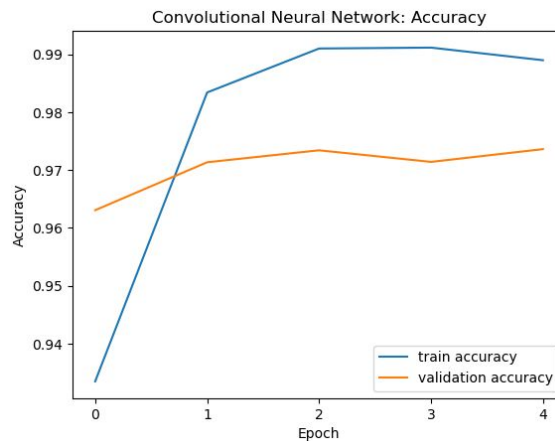
**Number of Layers:** 1

**Pooling Method:** Max Pooling 2D

## Training and Validation Loss:



## Training and Validation Accuracy:



# CNN - Hyperparameter Tuning

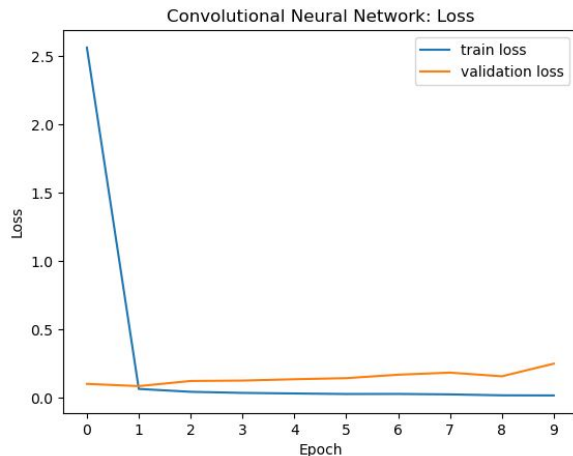
Optimal Epochs: 10

Optimal Batch Size: 32

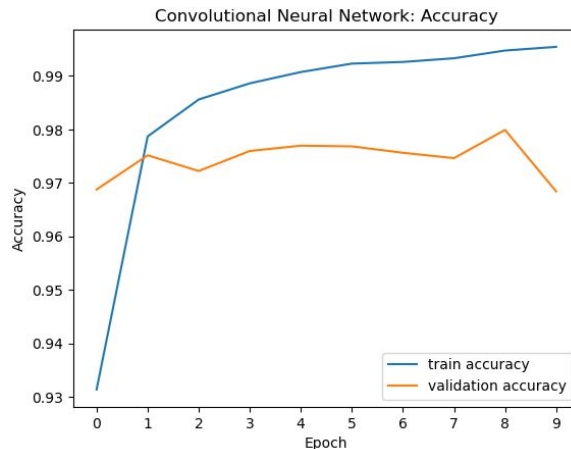
Optimal Number of layers: 2 layers

Optimal Pooling Methods: Max Pooling

## Training and Validation Loss:



## Training and Validation Accuracy:





# CNN - Generalization

## **Attempts to Increase Accuracy**

Adding layers

Using different types of activation methods and pooling methods

## **Attempts to Reduce Overfitting**

Using different types of activation methods and pooling methods

Early stop

Training Accuracy	Validation Accuracy	Test Accuracy
0.9948	0.9684	0.9686





# Limitations

Why not 100% Accuracy?

- Computational cost - each model iteration consumes a lot of memory
- Model training time - Each iteration of the model takes a long time to run and is limited to your hardware (or borrowed hardware)

# Concluding Remarks

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## Summary of Results

	Training Accuracy	Validation Accuracy	Test Accuracy
<b>Logistic Regression</b>	0.724	0.729	0.654
<b>FFNN</b>	0.842	0.779	0.649
<b>Random Forest</b>	1.000	0.891	0.884
<b>XGBoost</b>	0.98	0.979	0.885
<b>CNN</b>	0.994	0.968	0.968



## Comparison of Methods

Method	Dataset	Advantages	Disadvantages
<b>Logistic Regression</b>	Derived Features CSV	Simple to implement, good baseline	Poor non-linear performance
<b>FFNN</b>	Derived Features CSV	Handles non-linear relationships	Prone to overfitting
<b>Random Forest</b>	Derived Features CSV	Improved performance on non-linear patterns	Less interpretable
<b>XGBoost</b>	Derived Features CSV	More tuning parameters than random forest, usually improves performance	Complex tuning
<b>CNN</b>	Rice Grain Images	Captures spatial hierarchies and features from raw images	Computationally intensive



## Further Research

Where do we go from here?

- Continue tuning CNN models to improve generalization
- Adding more types of rice like bomba, black, red or wild rice
- How would this model do with a different background with more noise?
  - How can this be used to make the rice production process more efficient?

**Thank You!**



# Contributions

**Nick:** Motivation, EDA/Data Cleaning, Logistic Regression, Feed Forward Neural Network

**Suvass:** Random Forest, XGBoost, Limitations of Derived Feature Analysis

**Stephanie:** Image Data Analysis (Convolutional Neural Network), Results Summary, Room for Improvement + Future Research



**GitHub Repository**

**CLICK HERE**