

# Homework Sorting 2

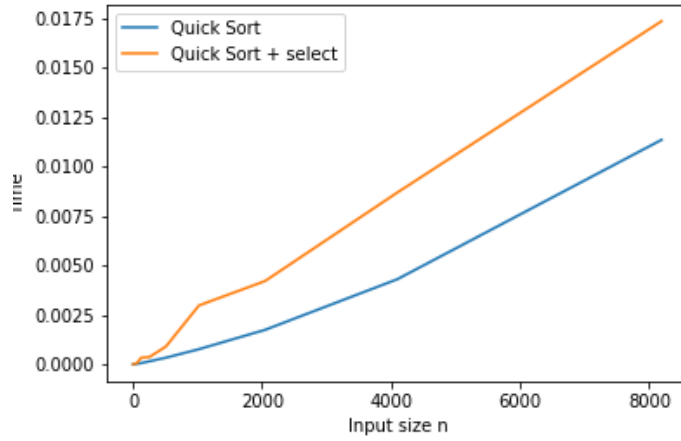
Plasencia Palacios Milton Nicolás

1. Select algorithm that deals with repeated values still belongs to  $O(n)$ ?  
Given the code:

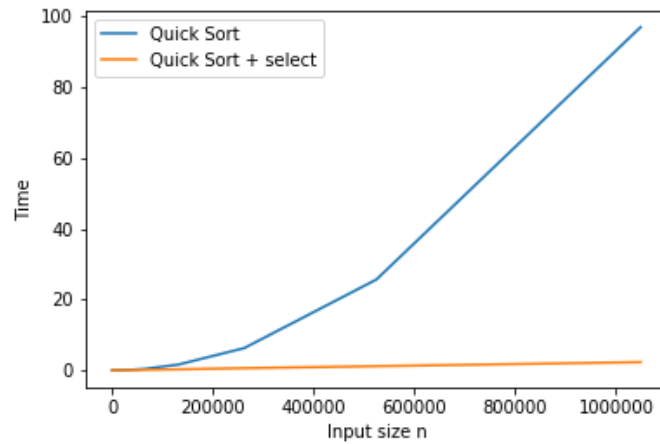
```
1
2 int* new_partition(void *A, const size_t elem_size, size_t i,
3   size_t j, size_t p, total_order_type leq)
4 {
5   swap(A+i*elem_size, A+p*elem_size, elem_size);
6   int* k= malloc(sizeof(int)*2);
7   unsigned int c = 0;
8   p = i;
9   i++;
10  j--;
11  while(i<=j){
12    if(leq(A+p*elem_size, A+i*elem_size) && leq(A+i*elem_size, A+p*
13      elem_size)){
14      c++;
15      swap(A+(p+c)*elem_size, A+i*elem_size, elem_size);
16      i++;
17    } else if(leq(A+p*elem_size, A+i*elem_size)){
18      swap(A+i*elem_size, A+j*elem_size, elem_size);
19      j--;
20    } else{
21      i++;
22    }
23  }
24  for(unsigned int count = 0; count <=c; count++){
25    swap(A+(p+count)*elem_size, A+(j-count)*elem_size, elem_size);
26  }
27  k[0] = j-c;
28  k[1] = j;
29  return k;
30 }
```

As we can see we have a generalized partition in which we are dealing also with elements that are equal to pivot. Since it works in the same way of the original partition code (it adds only a third clause to the if statement which costs  $\Theta(1)$  and deals with equal elements). So the "while loop" will have the same complexity as in the first "partition" function, that is,  $\Theta(n)$  ( $n$  is the length of the array). We know also that the complexity of "Select" depends on the "partition" function, so its complexity does not change and remains  $O(n)$ .

2. Draw the curve: Quick sort vs Quick sort + Select:



In this first graph we look at the difference in performance between Quick sort and Quick sort + select with a range of values between  $2^2 - 2^{13}$ . We can see that for this input size the naive Quick sort algorithm outperforms its variant with select.



If we consider bigger values for the input size (until  $2^{20}$ ) we can clearly see that the implementation of Quick sort + select has a better asymptotic behaviour.

3. If we divide the input elements into chunks made up by 7 elements we

will get:

$$4 \left( \left\lceil \frac{1}{2} \left\lceil \frac{n}{7} \right\rceil \right\rceil - 2 \right) \geq \frac{2n}{7} - 8$$

which is a lower bound on the number of elements that are greater than the pivot (medians of medians). We can compute also the upper bound for the elements smaller than it:

$$n - \left( \frac{2n}{7} - 8 \right) = \frac{5n}{7} + 8$$

This help us to build the recursive equation:

$$T_s(n) = T_s \left( \left\lceil \frac{n}{7} \right\rceil \right) + T_s \left( \frac{5n}{7} + 8 \right) + \Theta(n)$$

To solve it we take  $cn$  and  $c'n$  as representatives (for  $O(n)$  and  $\Theta(n)$ ).

$$\begin{aligned} T(n) &\leq c \left\lceil \frac{n}{7} \right\rceil + c \left( \frac{5n}{7} + 8 \right) + c'n \\ &\leq c \left( \frac{6n}{7} \right) + 9c + c'n \end{aligned}$$

here we can take  $c \geq 28c'$  because  $\frac{5n}{7} + 8 \leq n \rightarrow n \geq 28$  and so:

$$c \frac{6n}{7} + 9c + \frac{cn}{28} \leq cn \rightarrow n > 84$$

In conclusion, by induction,  $T_s(n) \in O(n)$

If we divide in chunks with 3 elements we have that:

$$\begin{aligned} 2 \left( \left\lceil \frac{1}{2} \left\lceil \frac{n}{3} \right\rceil \right\rceil - 2 \right) &\geq \frac{n}{3} - 4 \\ n - \left( \frac{n}{3} - 4 \right) &= \frac{2n}{3} + 4 \end{aligned}$$

Hence

$$T_s(n) = T_s \left( \left\lceil \frac{n}{3} \right\rceil \right) + T_s \left( \frac{2n}{3} + 4 \right) + \Theta(n)$$

By guessing that  $T_s(n) > cn$  for some  $c > 0$  and taking as other representative  $c'n$  with  $c' > 0$ , we obtain:

$$\begin{aligned}
T_s(n) &= T_s\left(\left\lceil \frac{n}{3} \right\rceil\right) + T_s\left(\frac{2n}{7} + 4\right) + \Theta(n) \geq c\left(\frac{n}{3}\right) + c\left(\frac{4n}{6} + 4\right) + c'n \geq \\
&\geq cn + 4c + c'n > cn
\end{aligned}$$

So we end up in  $T_s(s) > cn$  which means that it grows faster than a linear function.

4.

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**Algorithm 1:** Exercise 4: Selection

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```

Function Selection ( $A, i, l, r$ ):
    if  $l == r$  then
        return  $l$ 
    median = black-box( $A$ )
     $p$  = partition ( $A$ , median)
    if  $i == p$  then
        return  $p$ 
    else if  $i < p$  then
        return Selection( $A, i, l, p - 1$ )
    else
        return Selection( $A, i - p, p + 1, r$ )

```

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Which is basically the same algorithm we have seen during lectures and have complexity  $O(n)$  if the function that looks for the median (black-box()) is  $O(n)$  (and that is a hypothesis).

5a.  $T_1(n) = 2T_1(n/2) + O(n)$

**Recursion Tree**

The height of the tree will be  $h = \log_2(n)$  and the number of nodes at the  $i$ -th level is  $2^i$  so:

$$T_1(n) \leq \sum_{i=0}^h 2^i c \frac{n}{2^i} = cn \sum_{i=0}^h 1 = cn \log_2(n) \in O(n \log_2(n))$$

**Substitution Method**

Guess that  $T_1(n) \in O(n \log_2(n))$ . So  $cn \log_2(n)$  is the representative and  $c'n$  as the one for  $O(n)$ . Assuming that  $\forall m < n$  holds our guess, we can compute:

$$\begin{aligned}
T_2(n) &\leq 2T_2(n/2) + c'n \leq 2c \frac{n}{2} \log\left(\frac{n}{2}\right) + c'n \\
&\leq cn \log(n) - cn \log(2) + c'n \leq cn \log(n)
\end{aligned}$$

The last inequality holds when  $c'n - cn \log 2 \leq 0 \leftrightarrow c \geq c'$

$$\mathbf{5b.} T_2(n) = T_2(\lceil n/2 \rceil) + T_2(\lfloor n/2 \rfloor) + \Theta(1)$$

#### Recursion Tree

We can observe that the recursion tree will have height  $h_1 = \log(\frac{n}{2})$  if we consider the rightmost branch, and height  $h_2 = \log(2n)$  if we consider the leftmost one. So we consider two equations:

$$\begin{aligned} T_2(n) &\geq 2T_2(\frac{n}{2}) + \Theta(1) \\ T_2(n) &\leq 2T_2(\frac{n}{2}) + \Theta(1) \end{aligned}$$

And choosing "c" as a representative for  $\Theta(1)$ , we obtain:

$$T_2(n) \geq \sum_{i=0}^{h_1} c2^i \geq c \frac{2^{\log_2(\frac{n}{2})+1} - 1}{2 - 1} \geq cn - c \in \Omega(n)$$

$$T_2(n) \leq \sum_{i=0}^{h_2} c2^i \leq c \frac{2^{\log_2(2n)+1} - 1}{2 - 1} \leq 4cn - c \in O(n)$$

and so we can conclude that  $T_2(n) \in \Theta(n)$

#### Substitution Method

First we assume that  $T_2(n) \in \Theta(n)$  and we choose  $cn$  as representative of it and 1 as a representative for  $\Theta(1)$ . Assuming that  $\forall m < n \rightarrow T_2(m) \geq cm$ :

$$T_2(n) \geq c(\lceil n/2 \rceil) + c(\lfloor n/2 \rfloor) + 1 \geq cn \forall c \geq 0$$

So  $T_2(n) \in \Omega(n)$ . Now we assume that  $\forall m < n \rightarrow T_2(m) \leq cm$  but we take as a representative for  $O(n)$   $cn - d$ :

$$T_2(n) \leq c(\lceil n/2 \rceil) - d + c(\lfloor n/2 \rfloor) - d + 1 \leq cn - 2d + 1$$

And that's true for  $d \geq 1$ , so  $T_2(n) \in O(n)$ , which means that  $T_2(n) \in \Theta(n)$

$$\mathbf{5c.} T_3(n) = 3T_3(n/2) + O(n)$$

#### Recursion Tree

The recursion three has height  $h = \log_2(n)$  and at i-th level it will have  $3^i c \frac{n}{2^i}$  nodes with cost  $\frac{n}{3^i}$ . Thus:

$$T_3(n) \leq \sum_{i=0}^h \left(\frac{3}{2}\right)^i cn = cn(3n^{\log_2 3-1} - 1) \in O(n^{\log_2 3})$$

### Substitution Method

This time our guess will be  $T_3(n) \in O(n^{\log_2 3})$ ,  $cn^{\log_2 3} - c''n$  as its representative and  $c'n$  as the representative for  $O(n)$ . Assuming that  $\forall m \leq n$  holds  $T_3(m) \leq cm^{\log_2 3}$ , we can compute:

$$T_3(n) \leq 3 \left( c \left( \frac{n}{2} \right)^{\log_2 3} - c'' \frac{n}{2} \right) + c'n = cn^{\log_2 3} - \frac{3}{2}c''n + c'n \leq cn^{\log_2 3} - c''n$$

and last inequality holds when  $\frac{3}{2}c'' \geq c'$ .

**5d.**  $T_4(n) = 7T_4(n/2) + \Theta(n^2)$

### Recursion Tree

The height of the recursion tree is  $h = \log_2(n)$  and the nodes at the  $i$ -th level are  $7^i$  and the cost on that level is  $7^i c \left( \frac{n}{2^i} \right)^2$ . The complexity will be:

$$\begin{aligned} T_4(n) &\leq \sum_{i=0}^h 7^i c \left( \frac{n}{2^i} \right)^2 = cn^2 \sum_{i=0}^h \left( \frac{7}{4} \right)^i = \\ &= \frac{4}{3} cn^2 \left( \frac{7}{4} n^{\log_2 7 - \log_2 4} - 1 \right) = \frac{4}{3} cn^2 \left( \frac{7}{4} n^{\log_2 7 - 2} - 1 \right) \in O(n^{\log_2 7}) \end{aligned}$$

### Substitution Method

Our guess will be  $T_4(n) \in O(n^{\log_2 7})$ . We choose as a representative  $cn^{\log_2 7} - c''n^2$  and  $c'n^2$  as a representative of  $\Theta(n^2)$ . We assume that  $\forall m < n$  it holds  $T_4(m) \leq cm^{\log_2 7} - c''m^2$ . We can compute:

$$T_4(n) \leq 7 \left( c \left( \frac{n}{2} \right)^{\log_2 7} - c'' \frac{n^2}{2} \right) + c'n^2 = cn^{\log_2 7} - \frac{7}{4}c''n^2 + c'n^2 \in O(n^{\log_2 7})$$

Ant last step is true when  $c'' \geq \frac{4}{3}c'$ .