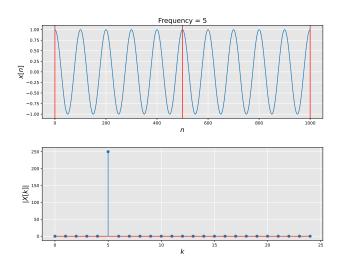
# Instantaneous Frequency and Pitch Scaling

Digital Signal Processing

February 20, 2024

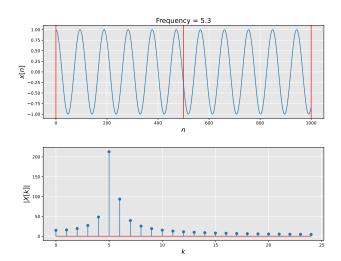


### Instantaneous Frequency



Frequency is clear in DFT when it is an integer.

### Instantaneous Frequency



But it spreads across multiple bins when it is not an integer.

# Can we Recover Non-Integer Frequency?

Continuous sinusoid:

$$x(t) = \cos(\omega_0 t + \phi)$$

Its phase angle is:

$$\theta(t) = \omega_0 t + \phi$$

Its derivative is:

$$\frac{d\theta}{dt}(t) = \omega_0$$

Which is the frequency!

### **Discrete Phase Derivative**

Discrete sinusoid:

$$x[n] = \cos(\omega_0 n + \phi)$$

Its phase angle is:

$$\theta[n] = \omega_0 n + \phi$$

Discrete derivative (backward difference):

$$\nabla \theta[n] = \theta[n] - \theta[n-1]$$

$$= \omega_0 n + \phi - (\omega_0(n-1) + \phi)$$

$$= \omega_0$$

Again, frequency!

# **Using the STFT Phase Angle**

- The DFT doesn't give us phase angle as a function of time.
- The STFT does give an estimated phase angle as a function of time!
- Given an STFT, X[k, m], we can estimate the instantaneous frequency represented in frequency bin k at time m as:

$$\omega^*[k,m] = \frac{\phi[k,m] - \phi[k,m-1]}{h},$$

where  $\phi[k, m] = Arg(X[k, m])$ , and h is the hop size.

• Note:  $\omega^*[k,m]$  is the instantaneous frequency for each bin  $0 \le k < W$  and time  $1 \le m < H$  (no backward difference for m=0)

# **Units of Frequency**

For STFT of window length W:

$$\omega_0 = \frac{2\pi}{W}$$

So, kth frequency bin represents frequency

$$\omega_0 k = \frac{2\pi k}{W}$$

- The number k is how many cycles the sinusoid  $e^{i\omega_0kn}$  makes in our window (W time steps)
- If T is the sampling period (time between samples of x[n], in seconds), then the kth frequency bin represents  $\frac{k}{WT}$  Hz. (Note: WT is window length in seconds.)

### Expected Phase Shift

If frequency was exactly the kth bin frequency,  $\omega^* = \omega_0 k$ , then

$$\omega^*[k, m] = \frac{\phi[k, m] - \phi[k, m - 1]}{h} = \omega_0 k$$

Rearranging, we get

$$\phi[k,m] - \phi[k,m-1] = \omega_0 kh$$

This is the **expected phase shift in one hop**.

### **Instantaneous Frequency**

The remainder between actual and expected phase shifts:

$$\phi_r[k,m] = \underbrace{\phi[k,m] - \phi[k,m-1]}_{\text{actual phase shift}} - \underbrace{\omega_0 k h}_{\text{expected}} \,.$$

Now, we wrap this remainder to be in  $[-\pi,\pi)$ , and calculate our final instantaneous frequency:

$$\omega^*[k,m] = \frac{\operatorname{wrap}(\phi_r[k,m])}{h} + \omega_0 k.$$

Or, in terms of integer frequency bins:

$$\kappa[k,n] = \frac{\omega^*[k,m]}{\omega_0} = \frac{\operatorname{wrap}(\phi_r[k,m])}{\omega_0 h} + k.$$

### **Wrapping to Principal Angle**

To wrap an angle between  $[-\pi, \pi)$ :

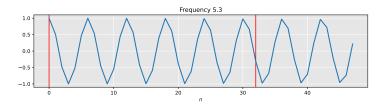
$$wrap(\phi) = (\phi + \pi) \mod (2\pi) - \pi.$$

Here, the notation  $x \bmod y$  means the remainder from floating point division.

The % operator in Python will do this (or the function np.mod)

Cosine with frequency f = 5.3 and window W = 32:

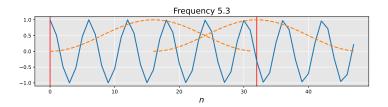
$$x[n] = \cos(2\pi f n/32)$$



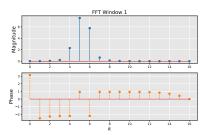
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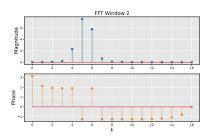
$$x[n] = \cos(2\pi f n/32)$$

Estimate STFT with just two windows (h = 16):

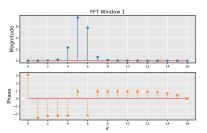


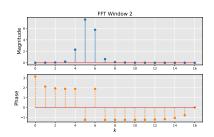
#### STFT:



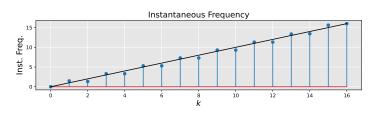


#### STFT:

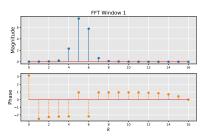


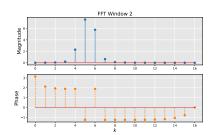


### Estimated Frequencies $\omega^*[k, n]$ :

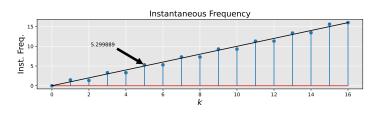


#### STFT:

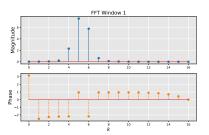


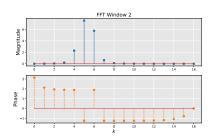


### Estimated Frequencies $\omega^*[k, n]$ :

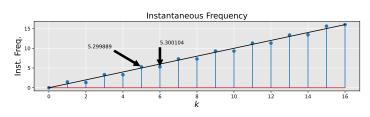


#### STFT:





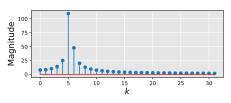
### Estimated Frequencies $\omega^*[k, n]$ :

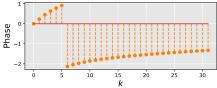


# Frequency (Pitch) Scaling

### **How To Change Pitch?**

Naive Frequency Scaling FFT

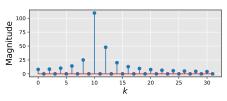


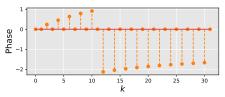


### Why not just move everything to double the frequency?

$$Y[2k] = X[k]$$

Naive Frequency Scaling FFT

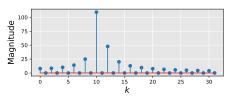


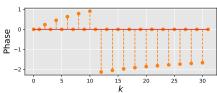


### **Naive Frequency Scaling Result**

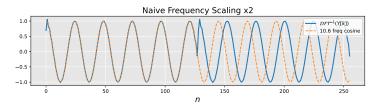
$$Y[2k] = X[k]$$

Naive Frequency Scaling FFT





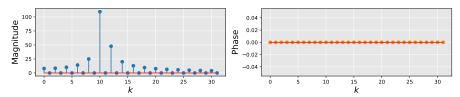
### $\mathcal{DFT}^{-1}(Y[k])$



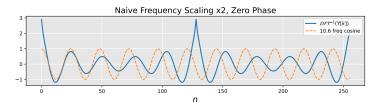
# **How About Zeroing-Out the Phase?**

$$|Y[2k]| = |X[k]|, \quad Arg(Y[2k]) = 0$$

#### Naive Frequency Scaling FFT



### $\mathcal{DFT}^{-1}(Y[k])$



# **Smart Frequency Scaling Algorithm**

- **1** Use STFT to compute instantaneous frequency,  $\kappa[k,m]$
- 2 Scale by some factor:  $\kappa_s[k,m]=R\kappa[k,m]$
- **3** Find new bins:  $k_s = \text{round}(Rk)$
- **4** Find new phase shifts:  $\Delta \phi[k_s, m] = \omega_0 h(\kappa_s[k, m] k_s)$
- 6 Accumulate with phase from previous time hop:

$$\phi_s[k_s, m] = \operatorname{wrap} \left(\phi_s[k_s, m-1] + \Delta\phi[k_s, m] + \omega_0 k_s h\right).$$

- **6** Set  $Y[k_s, m] = |X[k, m]|e^{i\phi_s[k_s, m]}$ .
- **7** Synthesize output signal: y[n] = OLA(Y[k, m])

# **Smart Frequency Scaling Result**

