

# Nikolay Vorontsov

## LLMs and GenAI for NLP, 2024

### Report on the Exercises in Labs 1 – 6

GitHub repository: [nicksnlp](#)

This is what I have done:

## Week 1

### What are tokenisers?

Tokenisers are essential for the implementation of neural networks, each model exist with a tokeniser that was used when training that network.

To tokenise the text means to break it into pieces, whether into words or to smaller parts such as suffixes and stems, characters. There are different tokenisers available, each is best suited to a particular task and/or language.

### Why are they important for language modelling and LLMs?

They are crucial for the further processing of texts. Tokenisation simplifies the text, breaks it into logical units, reduces the vocabulary size, as well as enable models to handle new and rare words. This decreases the training time for the models, and improves their generalisation capabilities.

### What different tokenisation algorithms there are and which ones are the most popular ones and why?

Text can be tokenised in different ways: into sentences (e.g. in NLTK `sent_piece`), words (e.g. `split()` in python) or on some smaller elements: morphemes (rule-based tokenisation), or into parts of the words, selected on other principles, into characters or bytes.

Some of the well known tokenisers include BPE (Byte Pair Encoding) and Sentence-Piece:

#### BPE

BPE initially splits the texts of the given sample into characters, but then learning from the co-occurence, merges some of the characters into larger units, this is done recursively. The result of this tokenisation is usually a vocabulary of some *subword-units*, not necessarily morphemes. BPE is good for treating rare or unknown words, among other things. BPE's simple algorithm is provided in [2]:

```
import re
import collections

def get_stats(vocab):
    pairs = collections.defaultdict(int)
    for word, freq in vocab.items():
        symbols = word.split()
        for i in range(len(symbols) - 1):
            pairs[symbols[i], symbols[i + 1]] += freq
```

```

        return pairs

def merge_vocab(pair, v_in):
    v_out = {}
    bigram = re.escape(' '.join(pair))
    p = re.compile(r'(?!\S)' + bigram + r'(?!\S)')
    for word in v_in:
        w_out = p.sub(' '.join(pair), word)
        v_out[w_out] = v_in[word]
    return v_out

vocab = {
    'l o w </w>': 5,
    'l o w e r </w>': 2,
    'n e w e s t </w>': 6,
    'w i d e s t </w>': 3
}

num_merges = 10

for i in range(num_merges):
    pairs = get_stats(vocab)
    if not pairs:
        break
    best = max(pairs, key=pairs.get)
    vocab = merge_vocab(best, vocab)
    print(vocab)

```

## Sentence-Piece

Sentence-Piece is another language-independent subword tokeniser, based on unigram model in combination with BPE. It works well with non-phonemic symbols, such as Japanese and Chinese, and is used in such models as T5, XML-R or mBERT.

The traditional BERT model uses [WordPiece](#). GPT-2 and GPT-3 models uses BPE on a byte-level, which is effective for treating special characters, for example.

The combination of strategies for tokenisation may be useful to fit training for a particular domain.

An important feature of every tokeniser is also the ability to decode back the tokens into the readable texts, without losses! For example in neural machine translation, a translated text is evaluated on the decoded examples in comparison to the validation counterparts.

*But why not to tokenise everything into bytes, or at least characters?*

Well, it will lead to very long sequences that the neural network have to process, the vectors will become too long to compute efficiently, and some semantic information that comes from co-occurrence may be lost, or at least require much more computational power to be captured by transformers or other models during training.

References:

1. "Why are tokenisers important for language modelling and LLMs?", and further discussion. ChatGPT, OpenAI, 31 Oct. 2024
  2. "tokenisers", and further discussion. ChatGPT, OpenAI, 31 Oct. 2024
  3. Neural Machine Translation of Rare Words with Subword Units: <https://arxiv.org/abs/1508.07909>
  4. SentencePiece: A simple and language independent subword tokenizer and detokenizer for Neural Text Processing: <https://arxiv.org/abs/1808.06226>
  5. [Notes on BERT tokenizer and model](#)
- 

## Week 2

Although it felt just as a beginning, the week and exercises were very useful indeed to learn how to start and set things up, and I have learned a lot about practical aspects of dealing with LLMs.

I have created a Hugging Face account, learned how to use secrets and implement them within my code, both in Colab and in the python code through the system configuration files.

I have also activated API keys for Gemini and OpenAi, and learned how to use them.

I have created a python environment and tested the prompting chatbot, with a configuration file, and the notebook (it was slightly easier there). I made the LLM to sing songs about *pirates* and include words in latin (or another specified language) into it.

Also, I have already managed to put those things into practice for some other work. I found configuration to be very useful, and the fact that you can loop through the prompts, and in that way implement **zero-shot** or **few-shot** prompting with variable parameters.

For the **in-context-learning** assignment I have successfully run the notebook, and extracted *strengths* and *weaknesses* for each article.

---

## Week 3

The assignment was to find the ways to evaluate models, and more specifically their ability to produce adequate, similar in terms of content results but within different domains.

### Zero-shot prompting

I had different ideas about how this assignment can be approached. And I have come to a decision that a proper experiment requires the following set-up:

1. Selection of models (e.g. from Hugging Face), smaller would be more interesting for evaluation purposes (the larger seem to be harder to break);
2. a large selection of questions, within the specified domains, a dataset (this can be an augmented dataset, produced by an llm of a choice, or some of already available QA datasets);
3. a system that will loop through the dataset and deliver prompts into models, and register the outputs;
4. a system that compares the outputs from the models against the gold-standard, or a reference set, in terms of adequacy. An adaptation of ROUGE-metric may be an option to use here, or a specifically-

designed system for that purpose, that will check the output irrespective of their style/language, based on the defined parameters, this can be also be an llm-system.

*Unfortunately, I have not run the experiment itself yet, which would be a nice thing to do in the future.*

### Few-shot prompting

I have also tried prompting a model (GPT-4o) in an dialogue set-up, by asking it for general financial advices in a informal/slang type of language in Russian. As it proved, the model was very good in adapting to a specific language-style while still giving a reasonable advice. I suppose the dialogue systems, like chat-gpt, have some solid external architecture that adjusts the output before delivering, the model keeps remembering the previous dialogue, and is well designed for a conversation. The only way to shift its output was to trigger a different topic, which may seem more important from some point of view.

For some more specific tasks, like checking the code, the output of chat-gpt can much more often seem inadequate in terms of the questions asked, and a more accurate line up of question is important.

I have also did an interesting test and asked the model to *"give me a code to remove the outdated food from my fridge"*, which model handled relatively well. I suppose asking models ridiculous or ambiguous questions may also be a way to check their adequacy.

Unfortunately, I did not keep good references for those prompts.

---

## Week4

Fine-tuning a model with LLMs, PEFT, LoRA

### supervised\_finetuning.ipynb

I've created accounts on HuggingFace, Weights&Biases, I will use my regular Google account. I use access tokens in *Secrets* on Colab.

I am running notebook on Colab Pay-As-You-Go, T4 GPU.

- ☒ Loaded dataset, here is an example:

```
Below is an instruction that describes a task. Write a response that appropriately completes the request.
```

```
### Instruction:
```

```
Give three tips for staying healthy
```

```
### Response:
```

```
1. Eat a balanced and nutritious diet: Make sure your meals are inclusive of a variety of fruits and vegetables, lean protein, whole grains, and healthy fats. This helps to provide your body with the essential nutrients to function at its best and can help prevent chronic diseases.
```

```
2. Engage in regular physical activity: Exercise is crucial for maintaining strong bones, muscles, and cardiovascular health. Aim for at least 150 minutes of moderate aerobic exercise or 75 minutes of vigorous
```

exercise each week.

3. Get enough sleep: Getting enough quality sleep is crucial for physical and mental well-being. It helps to regulate mood, improve cognitive function, and supports healthy growth and immune function. Aim for 7–9 hours of sleep each night.

- ☒ Created config object
- ☒ Downloaded the model
- ☒ Downloaded tokeniser

Applied for an Academic account at **W&B**. Now I can hopefully visualise and save models easier.

I have tried to set-up training parameters, but keep getting errors for different arguments (*max\_seq\_length*, *dataset\_text\_field*, *packing*) of their incompatibility with SFTTrainer:

For example:

```
TypeError: SFTTrainer.__init__() got an unexpected keyword argument 'max_seq_length'
```

### Solution:

I have changed `tokenizer` into `processing_class` in `SFTTrainer`.

Commenting out `max_seq_length=None` etc. from the `SFTTrainer` arguments seems also to work.

For now I will follow the pre-existed setup, with no truncation, padding, max\_length. But there is an option to add the following into the code:

```
# Define the maximum sequence length (optional)
max_length = 512 # Set a reasonable length for your model

# Function to process the dataset by tokenizing and padding/truncating
def tokenize_function(batch):
    # Tokenize the 'text' field
    return tokenizer(batch['text'], padding="max_length", truncation=True,
max_length=max_length)

# Apply the function to the entire dataset
dataset = dataset.map(tokenize_function, batched=True)
```

Since I have got this warning:

```
/usr/local/lib/python3.10/dist-packages/trl/trainer/sft_trainer.py:300:
UserWarning: You passed a processing_class with `padding_side` not equal
to `right` to the SFTTrainer. This might lead to some unexpected behaviour
```

```
due to overflow issues when training a model in half-precision. You might
consider adding `processing_class.padding_side = 'right'` to your code.
warnings.warn(
```

I have added the following line into the code, before defining the `trainer`:

```
tokenizer.padding_side = "right"
```

However, I am not completely sure now if `right` was the correct option for padding, or whether I could get away with no padding.

Here they used the `right`:

- [Fine-Tuning Mistral](#)

While for generation the `left` padding side is suggested:

- [Generation with LLMs](#)

#### A note:

If I was to run training several times, I should consider adding specific names ( `name="small_run_1K"` ) for training runs for better management in W&B into `wand.init(...)`, as well as:

```
training_arguments = TrainingArguments(
    output_dir="./results",
    run_name="unique_run_name", # Add a custom name here
    ...
```

- ☒ Send `trainer.train()` to run...

Estimated time needed for training (1 epoch): ~ 8 hours

UPDATE: Unfortunately, I have been cut off from colab, after it was almost done.

[561/625 7:03:31 < 48:29, 0.02 it/s, Epoch 0.90/1]

**Verdict:** Running this notebook with the available resources, without saving checkpoints outside of Colab was not a good idea... The data is lost and the time too...

I will change the subset into 1K to check the pipeline, and retrain, I will call the model *shrimp*

Also I will mount the Google Drive and to save checkpoints and other data there, so I could use checkpoints to resume training if it fails during the process. If Colab fails, the environments and all the data gets cleared too.

For that reason I have added `resume_from_checkpoint=True` and `save_total_limit=3` into `TrainingArguments`. For 1K, there should be 63 steps, so I have set up `save_steps=10`, this can be 50 for 10K datapoints (625 steps) training. I have first tested the pipeline with 100 datapoints, and then run it with 1K.

**Possible alternative 1:** Save the checkpoints and models to W&B, it then needs to be loaded for resuming, with a callback function as an *artifact*...

**Possible alternative 2:** Do the whole training somewhere outside of Colab with a SLURM script.

---

- ☒ Evaluate training results and loss with W&B

For the failed **10K** run went pretty well with the loss function looking as follows.



The training on **1K datapoints** the loss gained **1.6542** at step 60. This must be lower than in 10K since the warm-up was shorter.

However, one need to decide what metrics/parameters to use to properly evaluate the model... This stays beyond the scope of this exercise, we somehow evaluate the results with the `stream` function, indeed while in 100 datapoints test-run the results very rather hallucinative, with 1K, although with a lot of repetitive information they are already reasonably good, but what is good depends of course on our needs...

- ☒ Save the model (Where!? Yes, in Colab environment...)

Saving with the name `new_model` caused issues when later pushing the model, therefore I have saved it with a different path, not `new_model`.

- ☒ Loaded the base model When loading `base_model` I have set up `device_map = {"": 0}`, and implemented quantisation, by adding: `quantization_config=bnb_config` into parameters. `bnb_config` was defined earlier.
- ☒ Merged the `base_model` and `new_model` and pushed into HuggingFace.

The new model has 3.87B parameters.

- ☒ Created a model card for this model:  
<https://huggingface.co/nicksnlp/shrimp/blob/main/README.md>





[illegible]

For the rest of the architecture I am using the similar set-up as in the previous exercise, with quantisation.

I have loaded the model and checked its layers. There are 32 layers. I have not changed any target layers for low-rank adaptation.

In `peft_config` I have changed the `task_type` to `TOKEN_CLS`, which is the one needed for classification.

In the base model itself there is no layer, responsible for classification. An extra layer is added by `AutoModelForTokenClassification` with `num_labels=2`, as told by Gemini, when assessing my code:

"The classification layer is added on top of the base model, making it separate. We want to fine-tune the base model to produce good representations which are then projected to the correct number of classes by the newly added classification layer.

Leaving specified `target_modules` raises an error, which with explanation by Gemini, I decide to comment out:

No **target\_modules**: PEFT automatically selects relevant linear layers (typically attention and MLP layers) based on task\_type.

But without `target_modules` it is impossible to run SFTTrainer, Trainer does not support peft\_config... It is a dead end.

Unfortunately, as it looks Peft is tricky to adapt for classification task... I've found an article, I will dive into it:  
<https://medium.com/@preeti.rana.ai/instruction-tuning-llama-2-7b-for-news-classification-1784e06441c8>

Okay, finally, (thanks to Gemini 2.0 Flash). It seemed to work by reducing the target\_modules to ["q\_proj", "v\_proj"]. Gemini also insisted I should add collator, may be it is what made things work... I will test it later.

The code works, here is an example of Inference:

Input:

```
input_text = "Alexanderplatz is located in London City, it has been there since 1966."
```

Output:

```
Hallucinated words: ['__Alexander', '__in', ';;', '__has', '__there', '__since', '1', '6', '!'] ['Alexander', 'in', ';;', 'has', 'there', 'since', '1', '6', '!']
```

But now I need more data.

The model is saved and pushed to Hugging Face: <https://huggingface.co/nicksnlp/llama-7B-hallucination>

UPDATE: The problem was in **collator**. The training worked with a larger selection of parameters, but the results are **different**:

Hallucinated words:

```
['__Alexander', 'platz', '__is', '__located', '__in', '__London', '__City', ';;', '__it', '__has', '__been', '__since', '__', '1', '9', '6', '6', '!']
```

```
['Alexander', 'platz', 'is', 'located', 'in', 'London', 'City', ';;', 'it', 'has', 'been', 'since', '1', '9', '6', '6', '!']
```

So, in the future, I will inspect which particular layers to address.

## Utilising DPO instead of supervised fine-tuning

### Fine\_tune\_a\_Mistral\_7b\_model\_with\_DPO.ipynb

This is a bonus exercise, but I will hopefully do it later on...

References:

1. <https://chatgpt.com/share/677021c2-0128-800b-957b-511b29768fd4>
2. <https://chatgpt.com/share/67708817-1a4c-800b-a17a-c99bcdcbd05d>
3. <https://chatgpt.com/share/67709535-13c0-800b-b07a-2446d28e701a>
4. <https://chatgpt.com/share/67714f3a-390c-800b-8a6c-2da3d5c5815b>
5. <https://chatgpt.com/share/6771c958-592c-800b-a846-1a10425d06f0>
6. <https://chatgpt.com/share/67729fee-da9c-800b-808a-28a722cd3174>

---

## Weeks 5 and 6

I have had problems in running the *Streamlit UI* on my local machine, I have installed Docker and other dependencies, but still had problems with it.

But the notebook setup for RAG worked.

Unfortunately, I did not have time to finish up those exercises to the desired level, which is something I wish to be able to do in the future...

---