Appendix B - Non Linear Estimation and Faulty Equipment Classification

```
Loading and Training Data
       import pandas as pd
In [1]:
```

train_dates = ["2020-05-16", "2020-05-17", "2020-05-18", "2020-05-19", "2020-05-20", "2020-05-21"]

Defining Non-Linear Function

import matplotlib.pyplot as plt

import numpy as np

In [3]: # choose training data

from scipy.optimize import curve_fit

df = pd.read_csv('Data/Plant_1_Clean.csv')

df_train = df[df["DATES"].isin(train_dates)]

def func(X, a, b, c, d): In [4]: '''Nonlinear function to predict DC power output from Irradiation and Temperature.''' x,y = X # E(t), T(t)x=x*1000y=y*1000

fit function

p0 = [1.,0.,-1.e4,-1.e-1] # starting values

sigma_abcd = np.sqrt(np.diagonal(pcov))

predict & save

return a*x*(1-b*(y+x/800*(c-20)-25)-d*np.log(x+1e-10))popt, pcov = curve fit(func, (df train.IRRADIATION, df train.MODULE TEMPERATURE), df train.DC POWER, p0, maxfev=5000) df_train["Prediction_NL"] = func((df_train.IRRADIATION, df_train.MODULE_TEMPERATURE), *popt) df_train["Residual_NL"] = df_train["Prediction_NL"] - df_train["DC_POWER"]

df["Prediction_NL"] = func((df.IRRADIATION, df.MODULE_TEMPERATURE), *popt) df["Residual_NL"] = df["Prediction_NL"] - df["DC_POWER"] <ipython-input-4-f4408d9065be>:14: SettingWithCopyWarning: A value is trying to be set on a copy of a slice from a DataFrame. Try using .loc[row_indexer,col_indexer] = value instead See the caveats in the documentation: https://pandas.pydata.org/pandas-docs/stable/user_guide/indexing.html#returning-a-view-versus-a-copy df_train["Prediction_NL"] = func((df_train.IRRADIATION, df_train.MODULE_TEMPERATURE), *popt)

<ipython-input-4-f4408d9065be>:15: SettingWithCopyWarning: A value is trying to be set on a copy of a slice from a DataFrame. Try using .loc[row_indexer,col_indexer] = value instead See the caveats in the documentation: https://pandas.pydata.org/pandas-docs/stable/user guide/indexing.html#returning-a-view-versus-a-copy df_train["Residual_NL"] = df_train["Prediction_NL"] - df_train["DC_POWER"] plt.scatter(df.IRRADIATION, df.DC_POWER, label="Measured")

plt.scatter(df.IRRADIATION, df.Prediction_NL, color="r", label="NL Prediction") plt.legend() plt.xlabel("Irradiation (kW/m²)") plt.ylabel("DC Power (kW)") plt.title("Nonlinear Model Prediction") plt.show() Nonlinear Model Prediction Measured 14000 NL Prediction 12000 10000

DC Power (kW) 8000 6000 4000 2000 1.2 0.2 0.6 1.0 Irradiation (kW/m2) plt.scatter(df.MODULE_TEMPERATURE, df.DC_POWER, label="Measured") plt.scatter(df.MODULE TEMPERATURE, df.Prediction NL, color="r", label="NL Prediction") plt.legend() plt.xlabel("Module Temperature (°C)") plt.ylabel("DC Power (kW)") plt.title("Nonlinear Model Prediction") plt.show() Nonlinear Model Prediction

2000 30 60 50 Module Temperature (°C) Parameter Estimates and Signal to Noise popt

Measured

NL Prediction

14000

12000

10000

8000

6000

4000

DC Power (kW)

Calculating Residuals and Coming Up w/ Threshold for Classifying Faults resids = df.Prediction_NL - df.DC_POWER In [9]:

iqr = resids.quantile(0.75) - resids.quantile(0.25)

In [11]: In [12] Out[12]:

In [8]:

In [10]:

Out[13]:

In [14]:

In [15]:

Out[14]: 82.000000 82.0 82.000000 count 49298.768293 4135001.0 884.252831 12967.318944 0.0 1967.500697

Unnamed: 0 PLANT_ID

11976.000000 4135001.0

46725.500000 4135001.0

50% 46795.500000 4135001.0

75% 61435.250000 4135001.0

Plotting Faulty Classifications plt.scatter(nonfaultdf.MODULE_TEMPERATURE, nonfaultdf.DC_POWER, label="Non-Fault") plt.scatter(faultdf.MODULE_TEMPERATURE, faultdf.DC_POWER, label="Fault",color="r") plt.legend() plt.xlabel("Module Temperature (°C)") plt.ylabel("DC Power (kW)") plt.savefig('Plots/suboptimal.png', dpi = 600) plt.show()

DC Power (kW) 6000 4000 2000

plt.xlabel("Irradiation, kW/m^2")

Unnamed: 0 PLANT_ID

34387.500000 4135001.0

17194.250000 4135001.0

34387.500000 4135001.0

51580.750000 4135001.0

max 68774.000000 4135001.0

1.000000 4135001.0

count 68774.000000

19853.488044

mean

std

min

25%

plt.ylabel("DC Power (kW)")

Optimal

Non-Fault

Fault

20

plt.legend()

plt.show()

14000

Out[17]:

In [19]:

In []:

14000

12000

10000

8000

 Suboptimal 12000 10000 DC Power (kW) 8000 6000 4000 2000 Irradiation, kW/m^2 df.describe()

0.0

3147.177450

4036.441826

0.000000

0.000000

428.571429

6365.468750

14471.125000

paramdf.to csv('Data/nonlinearparamsestimates.csv', index = False)

plt.savefig('Plots/suboptimal.png', dpi = 600)

Module Temperature (°C)

Running Non Linear Fit on Each Day p0 = [1.,0.,-1.e4,-1.e-1] # starting valuesIn [18]: paramdf = pd.DataFrame() for d in df.DATES.unique(): ddf = df[df.DATES == d]

paramdf = paramdf.append(dfa)

Out[7]: array([1.11066843e+01, -8.30279162e-10, -2.90893800e+08, -6.56017151e-02]) (popt / sigma_abcd).astype(float)

> upperfence = resids.quantile(0.75) + 3*iqr upperfence faultdf = df[resids > 5000] nonfaultdf = df[(resids <= 5000)]</pre>

faultdf.to_csv('Data/faulty.csv') faultdf.shape (82, 14)

array([2.04447912e+01, -2.93911876e-03, -2.95693986e-03, -5.24375084e+00])

faultdf.SOURCE_KEY.value_counts() 1BY6WEcLGh8j5v7 23

23 8

bvBOhCH3iADSZry z9Y9gH1T5YWrNuG wCURE6d3bPkepu2 McdE0feGgRqW7Ca 5 sjndEbLyjtCKgGv zBIq5rxdHJRwDNY

uHbuxQJ181W7ozc 1 ih0vzX44oOqAx2f VHMLBKoKgIrUVDU 1 1 rGa61gmuvPhdLxV 1 pkci93gMrogZuBj 7JYdWkrLSPkdwr4 1 Name: SOURCE_KEY, dtype: int64 faultdf.describe()

0.000000

0.000000

0.000000 0.000000 3551.000000 6.520020e+06 429.281250 42.121875 4021.000000 7.181310e+06 max 65737.000000 4135001.0 8479.428571 828.700000 6464.000000 7.645856e+06

DC_POWER AC_POWER DAILY_YIELD

82.000000

86.397125

192.150720

0.000000

82.000000

3226.540723

1011.282435

0.000000 2389.968750 6.466065e+06

8.200000e+01

6.749501e+06

3.606795e+05

1280.857143 6.258289e+06

plt.scatter(nonfaultdf.IRRADIATION, nonfaultdf.DC_POWER, label="Optimal") plt.scatter(faultdf.IRRADIATION, faultdf.DC POWER, label="Suboptimal",color="r")

3295.834644 6.978728e+06

0.000000 6.183645e+06

0.000000 6.512007e+06

2658.473214 7.146685e+06

6274.000000 7.268751e+06

9163.000000 7.846821e+06

4.162707e+05

3145.220597

TOTAL_YIELD AMBIENT_TEMPERATURE MODULE_TEMPERATURE IRRADIATION Prediction_NL

82.000000

50.547176

7.796285

34.780682

45.547812

53.509308

56.895085

59.987771

82.000000

0.772513

0.215521

0.406296

0.591769

0.954715

1.221652

82.000000

28.427553

1.832779

24.932560

27.048904

28.884908

29.951886

33.761304

68774.000000

25.558521

3.361300

20.398505

22.724491

24.670178

27.960429

35.252486

68774.000000 68774.000000

31.244997

12.308283

18.140415

21.123944

24.818984

41.693659

65.545714

0.232305

0.301948

0.000000

0.000000

0.031620

0.454880

1.221652

Residual_NL

82.000000

9306.275311

2758.308371

5035.275684

6866.400713

Residual 1

16.68838

548.5504

-7111.3494

-31.34619

-0.00000

3.99722

68774.000000 68774.00000

14891.731663 14891.73166

3163.865830

4032.030214

-0.000000

-0.000000

427.415207

6386.950755

82.000000

10190.528142

2478.742175

5737.528259

8150.855964

0.783414 10440.270900 8983.796284

12320.832060 12202.754099

14891.731663 14891.731663

DAILY_YIELD TOTAL_YIELD AMBIENT_TEMPERATURE MODULE_TEMPERATURE IRRADIATION Prediction_NL DC_POWER AC_POWER 68774.0 68774.000000 68774.000000 68774.000000 6.877400e+04

307.778375

394.394865

0.000000

0.000000

41.450000

623.561161

1410.950000

popt, pcov = curve_fit(func, (ddf.IRRADIATION, ddf.MODULE_TEMPERATURE), ddf.DC_POWER, p0, maxfev=100000) dfa = pd.DataFrame({'a':[popt[0]], 'b': [popt[1]], 'c':[popt[2]], 'd':[popt[3]]})