

*A collection of Real Analysis exercises*

June 12, 2024

# Chapter 1

## Metric Spaces

### Exercise 1

Let the distances in  $\mathbb{R}^2$ :

1.  $d_1(X, Y) = \sum_{i=1}^2 |y_i - x_i| = |y_1 - x_1| + |y_2 - x_2|$ ;
2.  $d_2(X, Y) = \sqrt{\sum_{i=1}^2 |y_i - x_i|^2} = \sqrt{|y_1 - x_1|^2 + |y_2 - x_2|^2}$ ;
3.  $d_\infty(X, Y) = \max_{i=1,2} |y_i - x_i| = \max\{|y_1 - x_1|, |y_2 - x_2|\}$ .

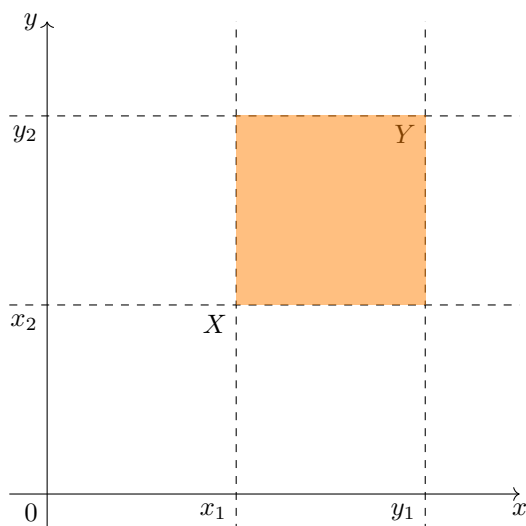
Construct the open balls related to these distances.

### Solution

**Point 1**

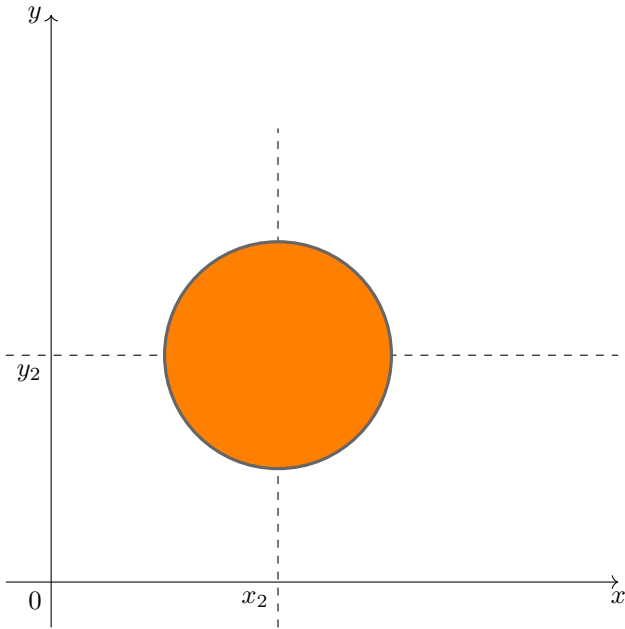
$$\begin{aligned}
 d_1(X, Y) &< r \\
 \text{iff} \\
 \sum_{i=1}^2 |y_i - x_i| &< r \\
 \text{iff} \\
 |y_1 - x_1| + |y_2 - x_2| &< r
 \end{aligned}$$

**Point 2**

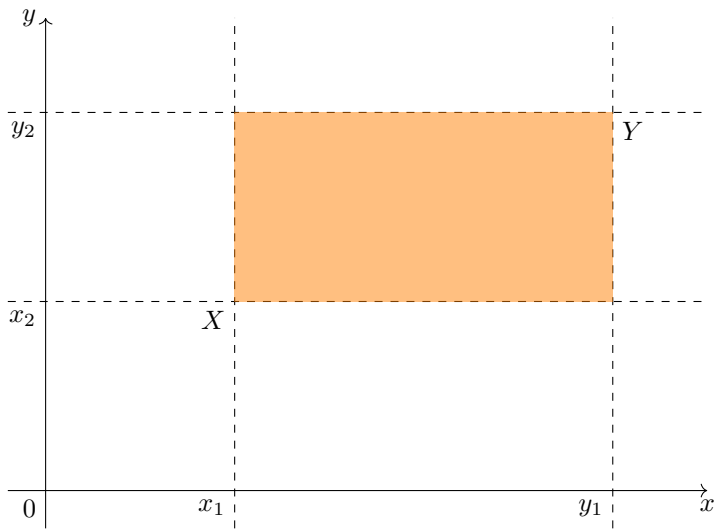


$$\begin{aligned} d_2(X;Y) &< r \\ \text{iff} \\ \sqrt{|y_1 - x_1|^2 + |y_2 - x_2|^2} &< r \\ \text{iff} \\ |y_1 - x_1|^2 + |y_2 - x_2|^2 &< r^2 \end{aligned}$$

Point 3



$$\begin{aligned} d_\infty(X,Y) &< r \\ \text{iff} \\ \max\{|y_1 - x_1|, |y_2 - x_2|\} &< r \end{aligned}$$



## Exercise 2

Let  $(X, d)$  a metric space,  $A \subset X$  not empty. Show if the following statements are true or false:

1.  $A$  open  $\implies \overset{\circ}{A} \cap \partial A = \emptyset$ ;
2. if  $\overset{\circ}{A} \cap \partial A = \emptyset \implies A$  closed;

## Solution

### Point 1

The first statement is always true. It is also true if  $A$  is closed or if  $A$  is neither open or closed.

$$\begin{aligned} \partial A &= \{x \in X \text{ s.t. } x \notin \overset{\circ}{A} \text{ and } x \in \overset{\circ}{X \setminus A}\} \\ &\implies \overset{\circ}{A} \cap \partial A = \emptyset \text{ is true.} \end{aligned}$$

### Point 2

The second statement is false. Counterexample:

$$A = ]0, 1[$$

is an open set with

$$\partial A = \{0, 1\}$$

and we have that  $\overset{\circ}{A} \cap \partial A = \emptyset \implies A$  is closed is false because  $A$  is open.

## Exercise 3

Let  $(X, d)$  a metric space  $A \subset X$  closed and  $A \neq \emptyset$ . Furthermore let

$$f : X \rightarrow \mathbb{R}$$

with

$$f(x) = \inf_{a \in A \setminus \{x\}} d(x, a).$$

Tell whether the following statements are true or false.

1.  $x \in A \implies f(x) = 0$ ;
2.  $f(x) = 0 \implies x \in A$ ;

## Solution

### Point 1

This statement is not true  $\forall x$ . Counterexample:

$$A = [0, 1] \cup \{2\}$$

we have that  $2 \in A$ , but  $f(2) \neq 0$  because  $f(2) = \inf d(2, a)$  with  $a \in [0, 1]$ . If  $a \in [0, 1]$  the distance of 2 from  $a$  is greater (or equal) than the distance of 2 from 1.

$$\begin{aligned} \text{If } a &\in [0, 1] \\ \text{then } d(2, a) &\geq d(2, 1) = 1 \end{aligned}$$

so that

$$\text{if } d(2, a) \geq 1 \implies \forall a \in [0, 1] \quad d(2, a) \geq 1.$$

Then

$$\inf d(2, a) \geq 1 \quad a \in [0, 1]$$

and it can't be equal to zero.

### Point 2

Remember that  $x$  is an accumulation point for  $A$  iff  $\inf_{a \in A \setminus \{x\}} d(x, a) = 0$ .

$$f(x) = 0 \implies x \text{ is an accumulation point for } A$$

$$\text{then } x \in \overline{A} = A \quad \text{since } A \text{ is closed}$$

then the second statement is true.

**Exercise 4**

Let  $X = C^0([0, 1])$ ,  $d_\infty(f, g) = \sup_{t \in [0, 1]} |f(t) - g(t)|$  and  $d_2(f, g) = \sqrt{\int_0^1 |f(t) - g(t)|^2 dt}$ . Show that  $d_2$  and  $d_\infty$  are not equivalent.

**Solution**

If we choose  $f_n(t) = t^n \quad \forall n \in \mathbb{N}$ , we have that:

$$d_\infty(f_n, 0) = \sup_{t \in [0, 1]} |f_n(t)| = \sup_{t \in [0, 1]} |t^n| = 1.$$

It is a maximum.

$$(d_2(f_n, 0))^2 = \int_0^1 |f_n(t)|^2 dt = \int_0^1 (t)^{2n} dt = \left[ \frac{t^{2n+1}}{2n+1} \right]_0^1 = \frac{1}{2n+1}$$

then

$$d_2(f_n, 0) = \frac{1}{\sqrt{2n+1}}$$

for  $n \rightarrow \infty$ ,  $d_2 \rightarrow 0$ . So that

$$\forall c \in \mathbb{R} \exists n \in \mathbb{N} \quad \text{s.t.} \quad d_\infty(f_n, 0) > c d_2(f_n, 0)$$

then  $d_2$  and  $d_\infty$  are not equivalent.

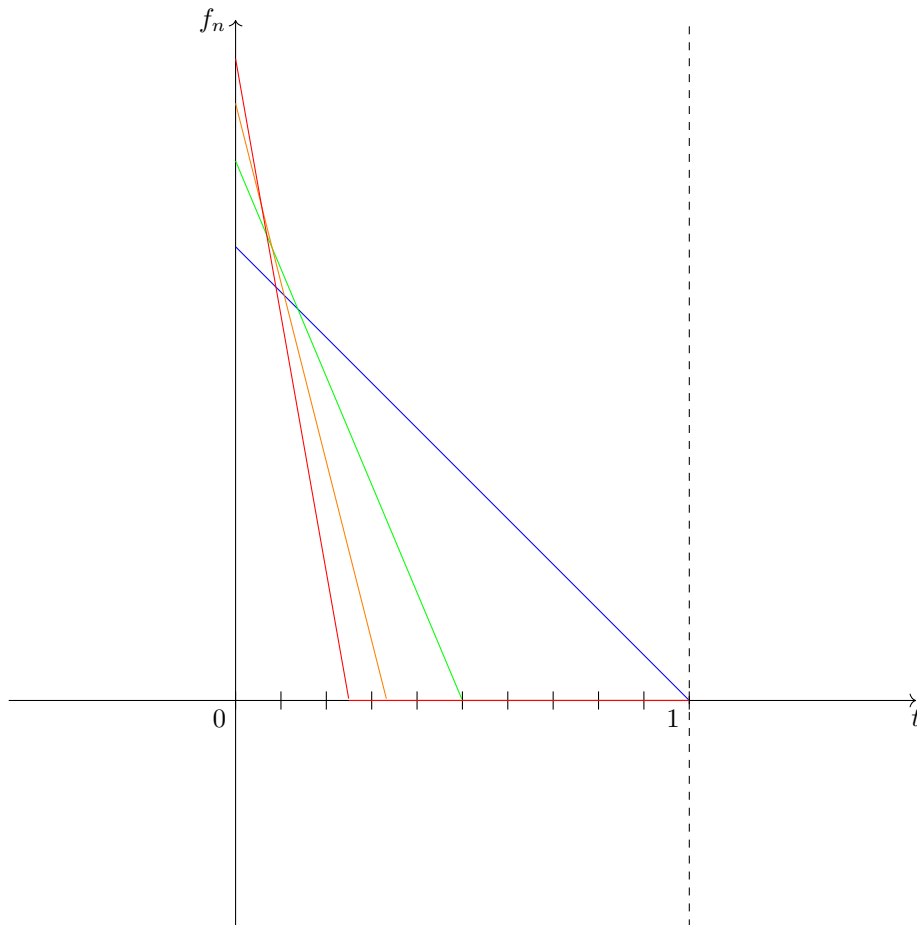
## Exercise 5

Let  $X = C^0([0, 1])$ . Show that the open ball  $B_{d_2}(0, 1)$  is unbounded with respect to  $d_\infty$ .

## Solution

Consider the following sequence of functions:

$$f_n(t) = \begin{cases} -n^{\frac{5}{4}}(t - \frac{1}{n}) & \text{for } t \in [0, \frac{1}{n}] \\ 0 & \text{for } t \in [\frac{1}{n}, 1] \end{cases}$$



$$d_2(f, g) = \sqrt{\int_0^1 |g(t) - f(t)|^2 dt}$$

$$d_\infty(f_n, 0) = \sup_{t \in [0, 1]} |f_n(t)| = f_n(0) = \sqrt[4]{n} \rightarrow \infty$$

$$(d_2(f_n, 0))^2 = \int_0^{\frac{1}{n}} -n^{\frac{5}{2}}(t - \frac{1}{n}) dt = [-\frac{n^{\frac{5}{2}}}{3}(t - \frac{1}{n})^3]_0^{\frac{1}{n}} = \frac{n^{\frac{5}{2}}}{3} \frac{1}{n^3} = \frac{1}{3\sqrt{n}}$$

then

$$d_s(f_n, 0) = \frac{1}{\sqrt{3}\sqrt{n}}.$$

With respect to  $d_\infty$  the function is unbounded because contains a sequence the goes to infinity.

## Exercise 6

Say if  $[0, +\infty[$  is bounded in  $(\mathbb{R}, d_0)$  and in  $(\mathbb{R}, d)$ , with

- $d_0$  the discrete metric;
- $d$  the Euclidean metric;

## Solution

The discrete metric is characterized by the fact that the distance between two points is equal to zero or one.

$$d_0(x, y) = \begin{cases} 1 & \text{if } x \neq y \\ 0 & \text{if } x = y \end{cases}$$

so that

$$\text{diam}([0, +\infty[) = \sup_{x, y \in [0, +\infty[} d_0(x, y) \leq 1,$$

then  $[0, +\infty[$  is bounded in  $(\mathbb{R}, d_0)$ .

If we consider the Euclidean distance

$$\text{diam}([0, +\infty[) = \sup_{x, y \in [0, +\infty[} d(x, y) = \sup_{x, y \in [0, +\infty[} |y - x| \geq n \quad \forall n \in \mathbb{N},$$

then  $\text{diam}([0, +\infty[) = +\infty$ , so that  $[0, +\infty[$  is unbounded with  $d$ .



## Exercise 7

Let  $(X, d)$  a metric space,  $f : X \rightarrow \mathbb{R}$  a continuous function and  $A \subset X$  bounded. Say if the following statements are true or false.

1.  $f(A)$  is connected;
2.  $f(A)$  is compact;
3.  $f(A)$  is open;

## Solution

### Point 1

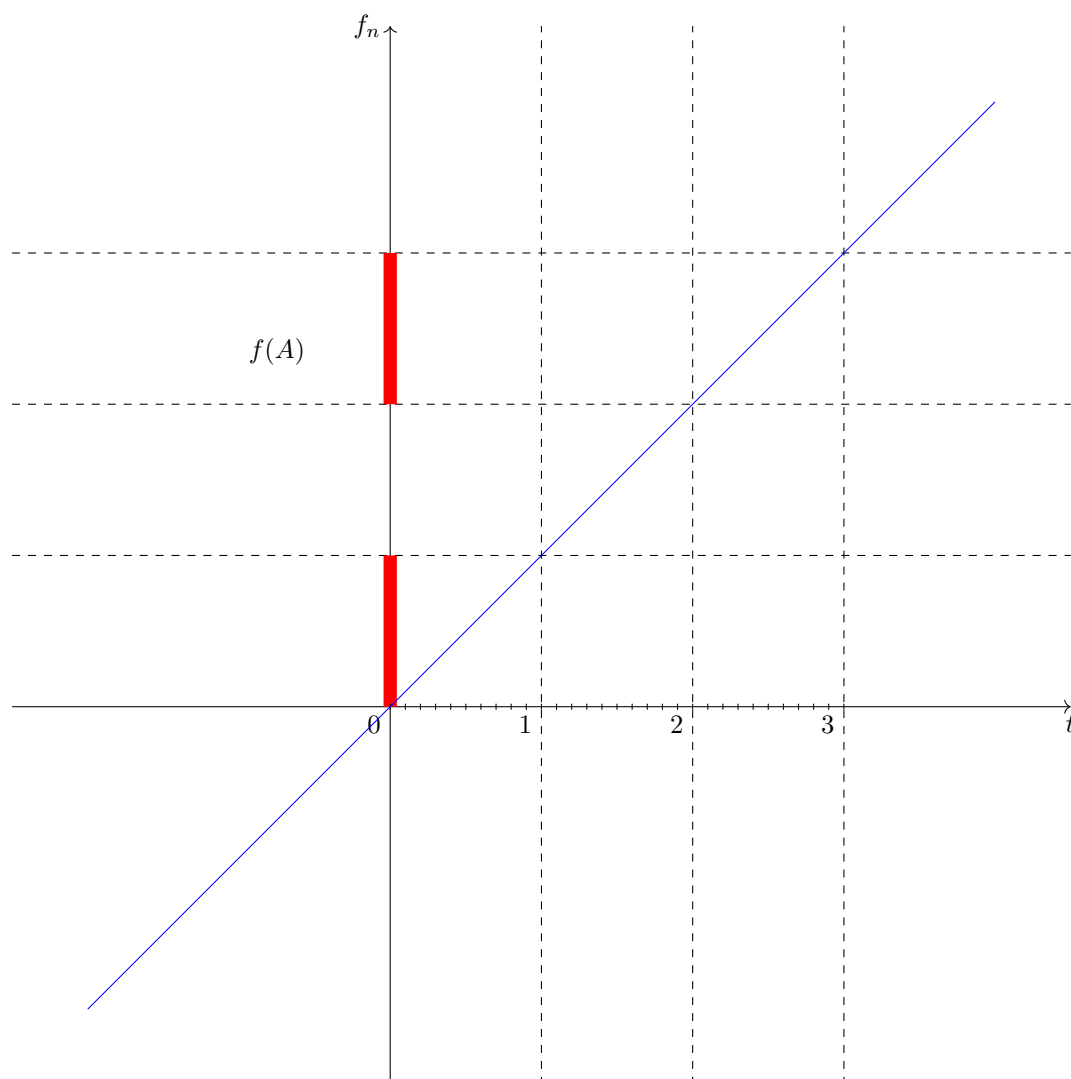
Consider  $f : \mathbb{R} \rightarrow \mathbb{R}$  given by

$$f(x) = x$$

and consider  $A = [0, 1] \cup [2, 3]$ , there is no request on  $A$ , so that

$$f(A) = [0, 1] \cup [2, 3]$$

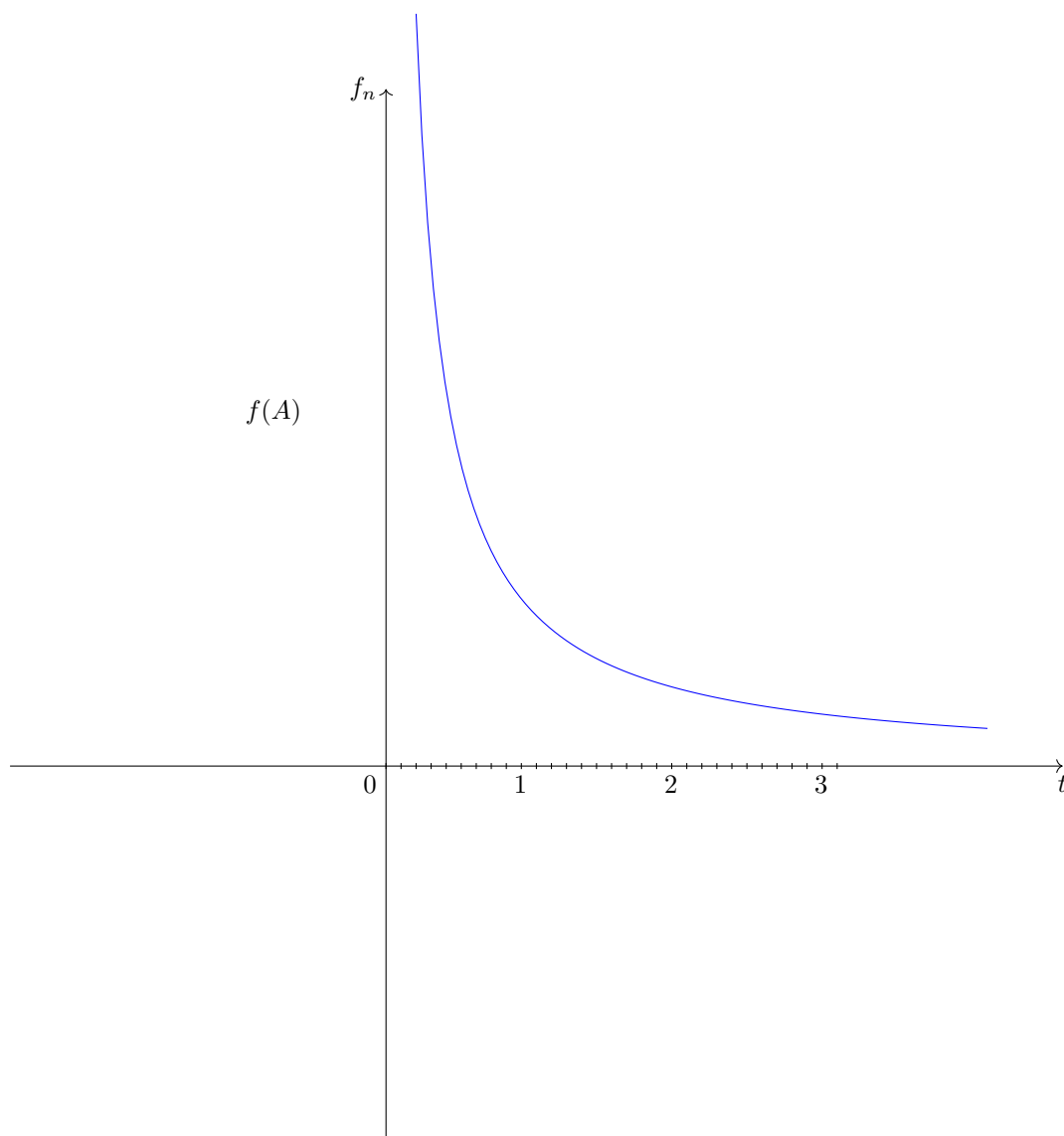
is not connected. **Point 2**



Consider  $f : ]0, +\infty[ \rightarrow \mathbb{R}$ , given by

$$f(x) = \frac{1}{x}$$

If we choose  $A = ]0, 1]$  we have  $f(A) = [1, +\infty[$  that is not compact because it is not bounded.



**Point 3**

If we consider  $f : \mathbb{R} \rightarrow \mathbb{R}$  with  $f(x) = 1$ ,

$$A = [0, 1]$$

$$f(A) = \{1\} \quad \text{that is closed.}$$

Then all the three statements are false.

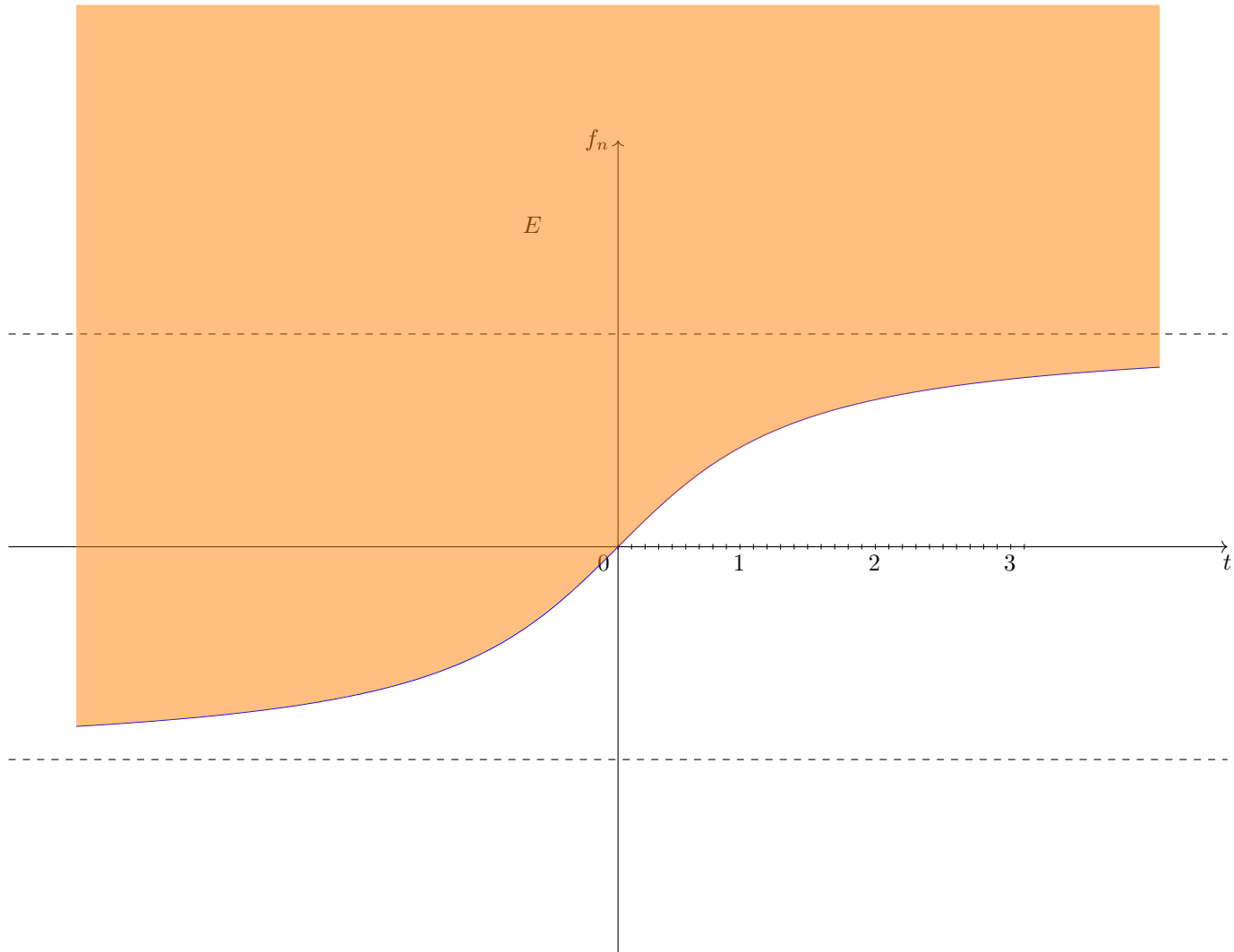
## Exercise 8

Let  $X = \mathbb{R}^2$  with the Euclidean distance. Say if the set

$$E = \{(x, y) \in \mathbb{R}^2 \quad \text{s.t.} \quad y \geq \arctan x\}$$

is complete and if it is compact.

## Solution



We can see that  $E$  is not bounded so it is not compact. Now we see if it is complete. Consider

$$f(x, y) = y - \arctan(x),$$

we have

$$E = f^{-1}([0, +\infty[).$$

It is a contrainage of a closed set, then  $E$  is closed. We know that a closed subset of a complete metric space is complete. Since  $\mathbb{R}^2$  is complete, then  $E$  is complete.

### Exercise 9

Let  $X = \mathbb{R}^2$  with the Euclidean distance and let

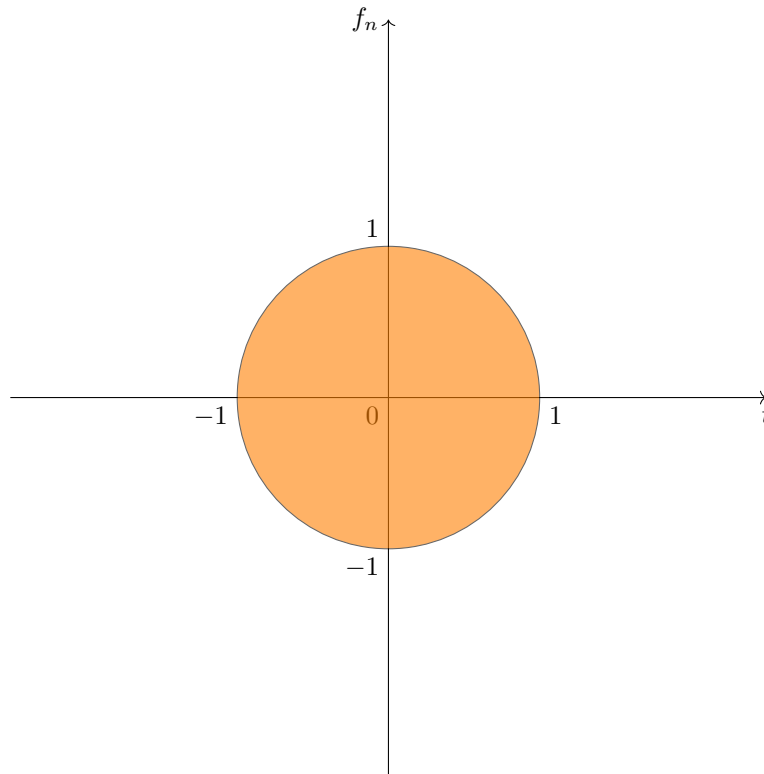
$$A = [0, 1] \times [0, +\infty[$$

$$B = \{(x, y) \in \mathbb{R}^2 \quad \text{with} \quad x^2 + y^2 < 1\}.$$

Say if  $A$  and  $B$  with the Euclidean distance are complete.

### Solution

$A$  is closed and  $A \subset \mathbb{R}^2$  that is complete with the Euclidean distance. Then  $A$  is complete.  $B$  is open,



we need to find a Cauchy sequence in  $B$  that doesn't converge in  $B$ . Consider

$$x_n = \left(1 - \frac{1}{n}, 0\right) \quad x_n \in B \quad \forall n.$$

We have that

$$x_n \rightarrow (1, 0) \quad \text{in} \quad \mathbb{R}^2,$$

but  $(1, 0) \notin B$ . We have a sequence that converge in the space, that is a Cauchy sequence, but that doesn't converge in  $B$ . Then  $B$  is not complete.

## Exercise 10

Let  $(X, d)$  a metric space and  $x_n$  a sequence of elements of  $X$ . Say if the following statements are true or false.

1.  $\lim_{n \rightarrow \infty} d(x_n, x_{n+1}) = 0 \implies x_n$  bounded;
2.  $x_n$  convergent  $\implies \lim_{n \rightarrow \infty} d(x_n, x_{n+1}) = 0$ ;
3.  $x_n$  Cauchy  $\implies \lim_{n \rightarrow \infty} d(x_n, x_{n+1}) = 0$ .

## Solution

### Point 1

The fact that  $\lim_{n \rightarrow \infty} d(x_n, x_{n+1}) = 0$  doesn't imply that  $x_n$  is bounded. Counterexample:

$$x_n = \log n$$

$$\lim_{n \rightarrow \infty} d(x_n, x_{n+1}) = \lim_{n \rightarrow \infty} |\log n + 1 - \log n| = \lim_{n \rightarrow \infty} \left| \log \frac{n+1}{n} \right| = 0.$$

The distances between two consecutive elements become shorter but  $x_n$  is not bounded.

$$\sup_{n \in \mathbb{N}} \{\log n, \quad n \in \mathbb{N}\} = +\infty.$$

Then the first statement is false.

### Point 2

If  $x_n$  is convergent then there exists  $x_\infty \in X$  s.t. :

$$\lim_{n \rightarrow \infty} x_n = x_\infty.$$

Then

$$0 \leq d(x_n, x_{n+1}) \leq d(x_n, x_\infty) + d(x_\infty, x_{n+1}),$$

since  $d(x_n, x_\infty) \rightarrow 0$ ,  $d(x_\infty, x_{n+1}) \rightarrow 0$ , then by "the two carabinieri theorem" we have that  $d(x_n, x_{n+1}) \rightarrow 0$ .

### Point 3

$x_n$  is a Cauchy sequence iff

$$\forall \epsilon > 0 \exists \nu \in \mathbb{N} \text{ s.t. } \forall n, m \geq \nu \in \mathbb{N} \implies d(x_n, x_m) < \epsilon.$$

If we fix  $n$ , then  $m$  can be very far, so is stronger the Cauchy condition with respect to  $(x_n, x_{n+1})$ , so that:

$$\forall \epsilon > 0 \exists \nu \in \mathbb{N} \text{ s.t. } \forall n > \nu d(x_n, x_{n+1}) < \epsilon \implies \lim_{n \rightarrow \infty} d(x_n, x_{n+1}) = 0.$$

## Exercise 11

Determine as  $\alpha$  varies the limit in  $(\mathbb{R}^2, d_2)$  of the sequence:

$$x_n = \left(\frac{1}{n}, (-1)^n \frac{n^\alpha - 1}{n^2}\right).$$

If the limit doesn't exist find eventual convergent subsequences.

## Solution

A sequence in  $\mathbb{R}^2$  is like having two sequences in  $\mathbb{R}$ :

$$a_n = \frac{1}{n} \rightarrow 0$$

$$b_n = (-1)^n \frac{n^\alpha - 1}{n^2} = (-1)^n n^{\alpha-2} + \frac{(-1)^n}{n^2} \rightarrow 0.$$

- If  $\alpha - 2 < 0$  then  $b_n \rightarrow 0$ ;
- if  $\alpha = 2$  then  $b_n \rightarrow \nexists$ ;
- if  $\alpha - 2 > 0$  then  $b_n \rightarrow \nexists$ .

Then if  $\alpha < 2$  then

$$\lim_{n \rightarrow \infty} x_n = (0, 0)$$

if  $\alpha \geq 2$

$$\lim_{n \rightarrow \infty} x_n = \nexists.$$

If we consider  $\alpha > 2$  since  $|b_n| \rightarrow +\infty$  there aren't convergent subsequences. If  $\alpha = 2$  we have the subsequence of even indices

$$x_n = \left(\frac{1}{n}; \frac{n^2 + 1}{n^2}\right) \rightarrow (0, 1),$$

the subsequence of odd indices:

$$x_n = \left(\frac{1}{n}; -\frac{n^2 + 1}{n^2}\right) \Rightarrow (0, -1).$$

## Exercise 12

Let  $(X, d)$  a metric space,  $A \subseteq X$ ,  $A \neq \emptyset$ ,  $x_n$  a sequence in  $A$  that converges to  $x_\infty \in X$ . Say if the following statements are false or true.

1.  $x_\infty$  is an accumulation point for  $A$ ;
2.  $x_\infty \in \overline{A}$ ;
3.  $x_\infty \in \overset{\circ}{A}$ ;
4.  $x_\infty \in \partial A$ .

## Solution

### Point 1

The first statement is false. Counterexample:

$$A = [0, 1] \cup \{2\}$$

$$x_n = 2 \quad \text{constant}$$

$$\lim_{n \rightarrow \infty} x_n = x_\infty = 2$$

that is not an accumulation point since 2 is an isolated point for  $A$ .

### Point 2

If  $x_\infty$  is an isolated point the statement follows by the point 1, if  $x_\infty$  is not an isolated point it will be an accumulation point then the statement trivially follows. So the statement 2 is true.

### Point 3

$x_\infty \in \overset{\circ}{A}$  is false. Counterexample:

$$A = ]0, 1]$$

$$x_n = \frac{1}{n} \implies x_\infty \rightarrow 0 \notin \overset{\circ}{A}.$$

### Point 4

$x_\infty \in \partial A$  is false. Counterexample:

$$A = ]0, 1[$$

$$x_n = \frac{1}{2} + \frac{1}{n}$$

$$x_n \rightarrow \frac{1}{2}$$

that is not in  $\partial A$ .

### Exercise 13

Show that the metric space  $(C^0([0, 1]), d_\infty)$  is not compact.

### Solution

Consider

$$f_n(t) = t^n \quad t \in [0, 1]$$

suppose that there is a subsequence that converges to  $f \in C^0([0, 1])$ :

$$f_{n_k} \rightarrow f,$$

that is

$$d_\infty(f_{n_k}, f) \rightarrow 0, \quad \forall t \in [0, 1].$$

$$|f_{n_k}(t) - f(t)| \leq d_\infty(f_{n_k}, f) \rightarrow 0.$$

If this holds then

$$f_{n_k}(t) \rightarrow f(t) \quad \forall t \in [0, 1]$$

$$f_n(t) \rightarrow g(t) = \begin{cases} 0 & \text{if } t \in [0, 1[ \\ 1 & \text{if } t = 1 \end{cases}$$

If the sequence converge to  $g(t)$  then also the subsequences tends to  $g(t)$ , but  $f_n$  can't have convergent subsequences because they must to converge to  $g(t) \notin C^0([0, 1])$  because  $g(t)$  is not continuous. Then  $C^0([0, 1])$  is not compact.



## Exercise 14

Consider the sequence

$$f_n(t) = \sqrt{\frac{1+n^2t^2}{n}} \quad \text{for } t \in [-1, 1].$$

Show that  $f_n \rightarrow f$  with  $f(t) = |t|$  with the distance  $d_\infty$ . Furthermore deduce that the space  $C^1([-1, 1], d_\infty)$  is not complete.

## Solution

$$|f_n(t) - f(t)| = \left| \frac{\sqrt{1+n^2t^2}}{n} - |t| \right| = \left| \frac{1}{n\sqrt{1+n^2t^2} + n|t|} \right| \leq \frac{1}{n}$$

Since the denominator is greater or equal to  $n$  we have that the fraction is lower or equal to  $\frac{1}{n} \quad \forall t \in [-1, 1]$ . Then

$$0 \leq d_\infty(f_n, f) \leq \frac{1}{n} \rightarrow 0.$$

Then  $f_n \rightarrow f$  is a Cauchy sequence, but  $f \notin C^1([-1, 1])$ . Then the sequence doesn't converge in  $(C^1([-1, 1], d_\infty))$  and so it is not complete.

## Exercise 15

Let  $X = C^0([0, 1])$  with the distance  $d_2$ . Show that the sequence

$$f_n(t) = \begin{cases} 0 & \text{if } t \in [0, \frac{1}{2} - \frac{1}{n}[ \\ \sqrt{2nt + 2 - n} & \text{if } t \in [\frac{1}{2} - \frac{1}{n}, \frac{1}{2}] \\ \sqrt{-2nt + 2 + n} & \text{if } t \in [\frac{1}{2}, \frac{1}{2} + \frac{1}{n}[ \\ 0 & \text{if } t \in [\frac{1}{2} + \frac{1}{n}, 1] \end{cases}$$

1. converges to the null function in  $x = 2$ :
2. does not converge to the null function in the metric space  $(X, d_\infty)$ ;
3. admits limit in  $(X, d_\infty)$ .

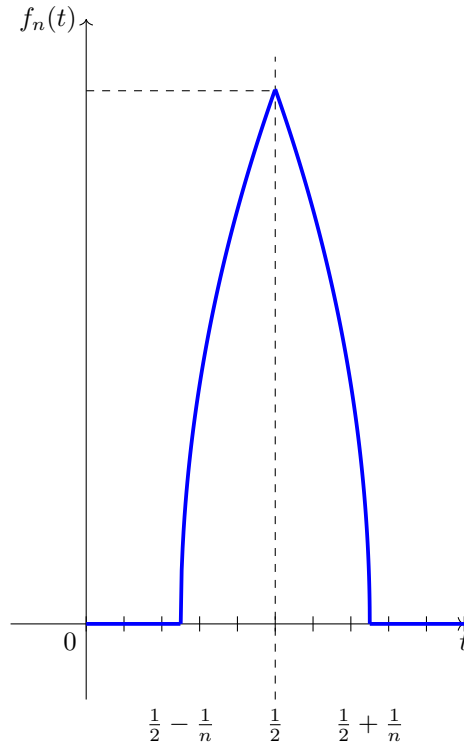
## Solution

### Point 1

We need to verify that  $d_2(f_n, 0) \rightarrow 0$  where

$$d_2(f_n, 0) = \sqrt{\int_0^1 |f_n(t)|^2 dt}$$

We have that



$$(d_2(f_n, 0))^2 \leq \frac{2}{n} \rightarrow 0.$$

### Point 2

$$d_\infty(f_n, 0) = \sup_{t \in [0, 1]} |f_n(t)| = f_n\left(\frac{1}{2}\right) = \sqrt{2}.$$

It doesn't tend to zero then it doesn't tend to the null function with the distance  $d_\infty$ . **Point 3**

We suppose that the sequence admits a limit and that

$$\exists g \in X \quad \text{s.t.} \quad d_\infty(f, g) \rightarrow 0.$$

Then

$$\forall t \in [0, 1] \quad 0 \leq |f_n(t) - g(t)| \leq d_\infty(f_n, g)$$

since the "due carabinieri" theorem

$$\forall t \in [0, 1] \quad f_n(t) \rightarrow g(t).$$

If  $t \neq \frac{1}{2}$  we have that  $f_n(t) \rightarrow 0 \implies g(t) = 0$ .

If  $t = \frac{1}{2}$   $f_n(t) = \sqrt{2} \implies g(\frac{1}{2}) = \sqrt{2} \implies g \notin X$ . Then the limit with  $d_\infty$  doesn't exist.

## Exercise 16

Let  $f \in C^1(\mathbb{R}, \mathbb{R})$ ,  $2\pi$ -periodic, such that its Fourier series is of the form

$$\sum_{n=3}^{\infty} \alpha_n \sin(nx)$$

. Let the Fourier series associated to  $f^3$  of the form

$$\sum_{n=0}^{\infty} a_n \cos(nx) + b_n \sin(nx).$$

Which of the following statements is certainly true?

1.  $b_n = \alpha_n^3 \quad \forall n$ ;
2.  $a_n = 0 \quad \forall n$ .

## Solution

Trivially we can see that  $f$  is an odd function, then  $f^3$  is also an odd function, so that  $a_n = 0 \quad \forall n$  is certainly true. Then

$$\mathcal{F}_{f^3(x)} = \sum_{n=0}^{+\infty} b_n \sin(nx).$$

If we consider

$$\alpha_n = \frac{1}{\pi} \int_{-\pi}^{\pi} f(x) \sin(nx) dx$$

$$b_n = \frac{1}{\pi} \int_{-\pi}^{\pi} f^3(x) \sin(nx) dx.$$

Generally is not true that  $b_n = \alpha_n^3$ . Counterexample:

$$f(x) = \sin(x)$$

$$\alpha_3 = 1 \quad \text{and} \quad \alpha_n = 0 \quad \forall n \neq 3.$$

All the terms such as  $\sin(4x), \sin(5x), \dots, \sin(1000x)$  have null coefficients.

$$f^3(x) = \sin^3(3x)$$

$$\alpha_3 = 1$$

$$b_3 = 1^{3??}$$

$$b_3 = \int_{-\pi}^{\pi} f^3(x) \sin(3x) dx = \frac{1}{\pi} \int_{-\pi}^{\pi} \sin^4(3x) dx = \frac{1}{\pi} \int_{-\pi}^{\pi} \sin^2(3x) (1 - \cos^2(3x)) dx$$

$$= \frac{1}{\pi} \int_{-\pi}^{\pi} \sin^2(3x) dx - \frac{1}{\pi} \int_{-\pi}^{\pi} \sin^2(3x) \cos^2(3x) dx = \star$$

using the duplication and bisection formulas

$$\sin^2(3x) = \frac{1 - \cos(6x)}{2}$$

$$\sin^2(3x) \cos^2(3x) = \frac{\sin^2(6x)}{4} = \frac{1 - \cos(12x)}{8}$$

$$\star = \frac{1}{\pi} \int_{-\pi}^{\pi} \frac{1 - \cos(6x)}{2} dx - \frac{1}{\pi} \int_{-\pi}^{\pi} \frac{1 - \cos(12x)}{8} dx$$

$$= \frac{1}{2\pi} [x]_{-\pi}^{\pi} - \frac{1}{12\pi} [\sin(6x)]_{-\pi}^{\pi} - \frac{1}{8\pi} [x]_{-\pi}^{\pi} + \frac{1}{96\pi} [\sin(12x)]_{-\pi}^{\pi} = \frac{3}{4}.$$

Then

$$\alpha_3 = 1 \quad b_3 = \frac{3}{4} \neq 1^3.$$

## Exercise 17

Let  $f \in C^1(\mathbb{R}^2, \mathbb{R})^2$  such that  $f(3, 6) = (0, 0)$ . In a neighborhood of  $(3, 1)$ , which of the following statements are certainly true?

1.  $\exists \alpha \in \mathbb{R}$  such that  $x \mapsto x + \alpha f(x)$  satisfies the hypotheses of the Implicit Function Theorem.
2.  $\forall \alpha \in \mathbb{R}$   $x \mapsto x + \alpha f(x)$  satisfies the hypotheses of the Inverse Function Theorem.

## Solution

### Point 1

We need to show that the derivative of  $f$  in  $(3, 1)$  is an invertible matrix. Counterexample: let  $\alpha = 0$  and consider a function  $g(x) = x \mapsto x$ . Let

$$g(x_1, x_2) = (x_1, x_2)$$

$$Dg(x_1, x_2) = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

The determinant  $\det Dg = 1 \neq 0$ . Then the gradient matrix is invertible and since it is constant it is also invertible in  $(3, 1)$ . So the first statement is true.

### Point 2

Counterexample. We need to find a function  $f$  with two variables such that  $Df = 0$ , starting from a function  $g$  not invertible. Consider

$$g(x_1, x_2) = \begin{bmatrix} 3 \\ 1 \end{bmatrix}$$

with  $\alpha = 1$ , we have

$$Dg(x_1, x_2) = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$$

The gradient matrix  $Dg$  is not invertible and it doesn't satisfy the Inverse Function Theorem hypotheses.

$$\begin{bmatrix} 3 \\ 1 \end{bmatrix} = \begin{bmatrix} x + f_1(x) \\ y + f_2(y) \end{bmatrix}$$

$$f_1(x, y) = 3 - x$$

$$f_2(x, y) = 1 - y$$

$$f(3, 1) = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

Then the second statement is false.

## Exercise 18

Let  $f \in C^2(\mathbb{R}^2, \mathbb{R})$  such that  $f(1, 2) = 0$  and consider a neighborhood of  $(1, 2)$ . Which of the following statements are certainly true?

1.  $\forall \alpha \in \mathbb{R} \quad x + \alpha f(x, y) + y - 3 = 0$  satisfies the Implicit Function Theorem hypotheses;
2.  $\exists \alpha \in \mathbb{R}$  such that  $x + \alpha f(x, y) + y - 3 = 0$  satisfies the Implicit Function Theorem hypotheses.

## Solution

$$g(x, y) = x + \alpha f(x, y) + y - 3$$

$$g(1, 2) = 0$$

$$f \in C^2 \implies g \in C^2$$

We need to verify if

$$\frac{g}{y}(1, 2) \neq 0?$$

$$\frac{g}{x}(1, 2) \neq 0?$$

### Point 2

We take  $\alpha = 0$  so that  $g(x, y) = x + y - 3$ . Then

$$\frac{\partial g}{\partial y}(1, 2) = 1 \neq 0.$$

Then the first statement is true.

### Point 1

We need a counterexample, starting from a function  $g(x, y) = x^2 + y^2$  we can consider:

$$g(x, y) = (x - 1)^2 + (y - 2)^2$$

so that

$$\nabla g(1, 2) = [0, 0].$$

We have

$$x + \alpha f(x, y) + y - 3 = (x - 1)^2 + (y - 2)^2.$$

We take  $\alpha = 1$ .

$$x + f(x, y) + y - 3 = (x - 1)^2 + (y - 2)^2$$

$$f(x, y) = (x - 1)^2 + (y - 2)^2 - x - y + 3$$

$$f(1, 2) = (0, 0)$$

and  $f \in C^2$ , but

$$\nabla f(1, 2) = [0, 0]$$

so that  $f$  is not invertible. Then the second statement is not true.