

Algorithms on Strings

Advanced Programming and Algorithmic Design

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String-Matching

“This is a string” or “This_is,a+string” or ϵ

Basic Definitions and Properties (Cont'd)

If $x \in \Sigma^*$ and $y \in \Sigma^*$, then $xy \in \Sigma^*$ is their **concatenation**

If $y = xw$:

- x is a **prefix** of y and we write $x \sqsubseteq y$
- w is a **suffix** of y and we write $x \sqsupseteq y$

If $x \in \Sigma^*$ and $q \in \mathbb{N}$, x_q will be the x 's prefix of length q

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Lemma (Overlapping-suffix lemma)

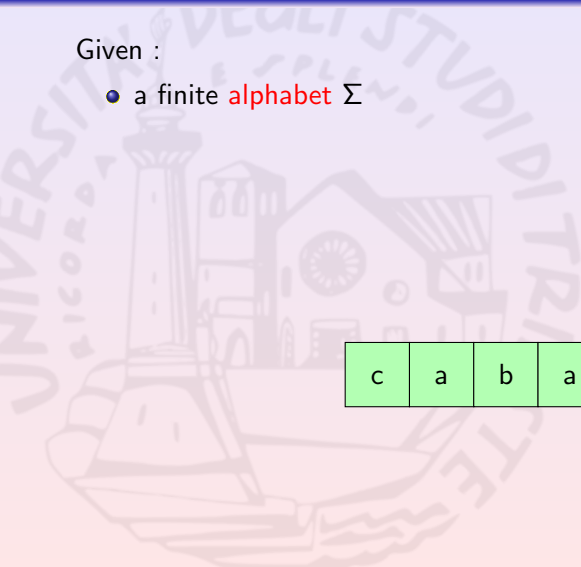
Let x , y , and w s.t. $x \sqsupset w$ and $y \sqsupset w$.

- if $|x| > |y|$, then $y \sqsubset x$
- if $|x| = |y|$, then $y = x$

Basic Definitions and Properties (Cont'd)

Given :

- a finite **alphabet** Σ



c	a	b	a	b	b
---	---	---	---	---	---

Basic Definitions and Properties (Cont'd)

Given :

- a finite **alphabet** Σ
- a **text** $T[1 \dots n]$

Text

c	a	b	a	b	b
---	---	---	---	---	---

Basic Definitions and Properties (Cont'd)

Given :

- a finite **alphabet** Σ
- a **text** $T[1 \dots n]$
- a **pattern** $P[1 \dots m]$ with $m \leq n$

Text

c	a	b	a	b	b
---	---	---	---	---	---

Pattern

b	a	b
---	---	---

Basic Definitions and Properties (Cont'd)

Given :

- a finite **alphabet** Σ
- a **text** $T[1 \dots n]$
- a **pattern** $P[1 \dots m]$ with $m \leq n$

P occurs with shift s in T means $T[s + 1 \dots s + m] = P$

Text

c	a	b	a	b	b
---	---	---	---	---	---

Pattern

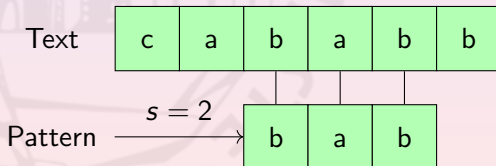
b	a	b
---	---	---

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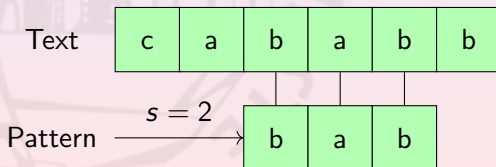


Basic Definitions and Properties (Cont'd)

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- a **text** $T[1 \dots n]$
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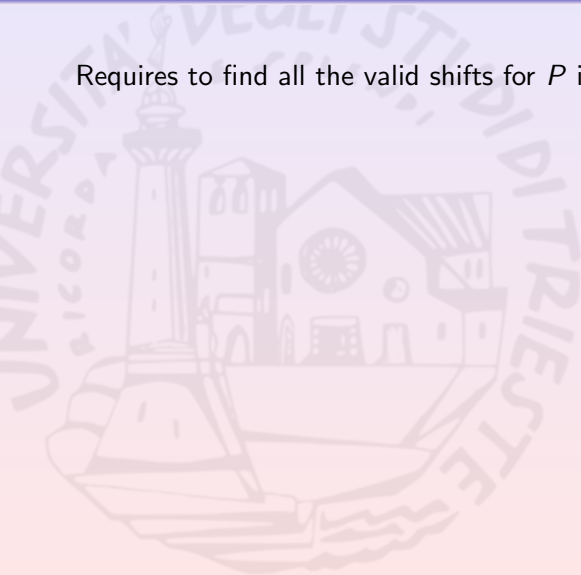
P occurs with shift s in T means $T[s + 1 \dots s + m] = P$



If P occurs with shift s in T , then s is a **valid shift**

The String-Matching Problem

Requires to find all the valid shifts for P in T



The String-Matching Problem

Requires to find all the valid shifts for P in T

E.g., For

Text

c	a	b	a	b	a	b	a	b	a	c
---	---	---	---	---	---	---	---	---	---	---

Pattern

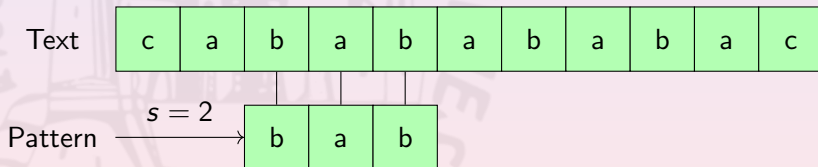
b	a	b
---	---	---

We get {

The String-Matching Problem

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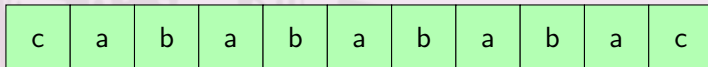
We get $\{2,$

The String-Matching Problem

Requires to find all the valid shifts for P in T

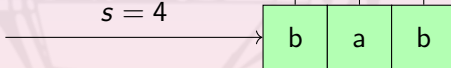
E.g., For

Text



Pattern

$s = 4$



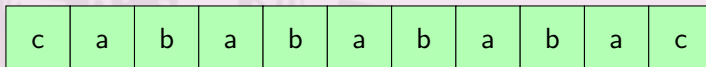
We get $\{2, 4,$

The String-Matching Problem

Requires to find all the valid shifts for P in T

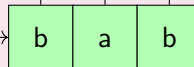
E.g., For

Text



Pattern

$s = 6$



We get $\{2, 4, 6\}$

A Naïve Solution to String-Matching Problem

To solve the problem, we may try all the possible shifts for P in T

Text

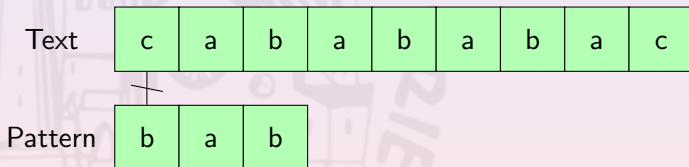
c	a	b	a	b	a	b	a	c
---	---	---	---	---	---	---	---	---

Pattern

b	a	b
---	---	---

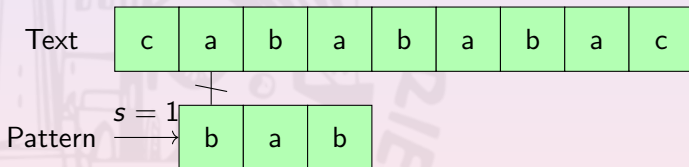
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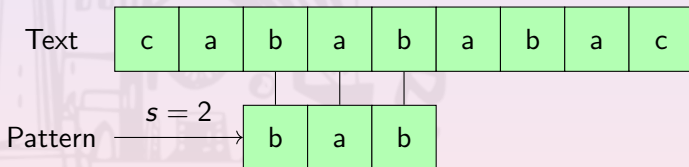
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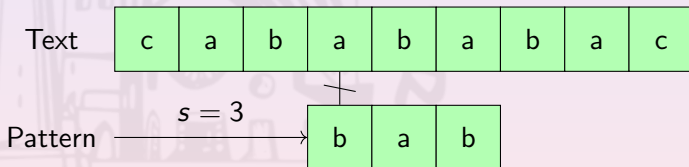
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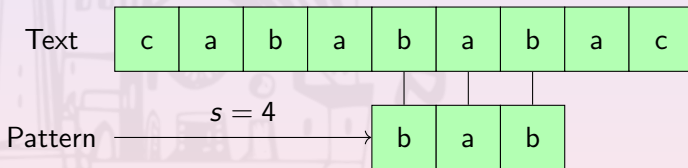
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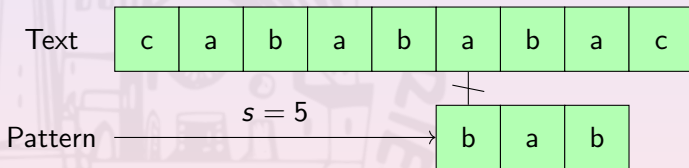
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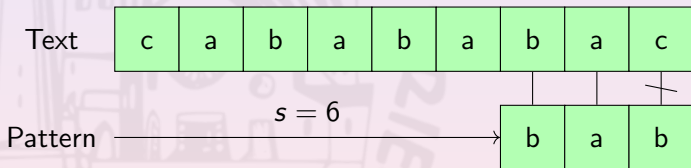
A Naïve Solution to String-Matching Problem

To solve the problem, we may try all the possible shifts for P in T



A Naïve Solution to String-Matching Problem

To solve the problem, we may try all the possible shifts for P in T



Naïve Solution: Pseudo-Code

```
def NAIVE_STRING_MATCHING(T, P):  
    valid  $\leftarrow$  []  
    for s  $\leftarrow$  1 upto  $|T| - |P| + 1$ :  
        i  $\leftarrow$  1  
        while i  $\leq$  |P| and T[i+s] = P[i]:  
            i  $\leftarrow$  i+1  
        endwhile  
  
        if i > |P|:  
            valid.append(s)  
        endif  
    endfor  
  
    return valid  
enddef
```

A match is tested for all the possible $|T|$

Each match test costs $O(|P|)$

Since $|P| \leq |T|$, the overall complexity is

E.g., to face a worst-case-scenario consider

Text	a	a	a	a	a
Pattern	a	a	a	a	b

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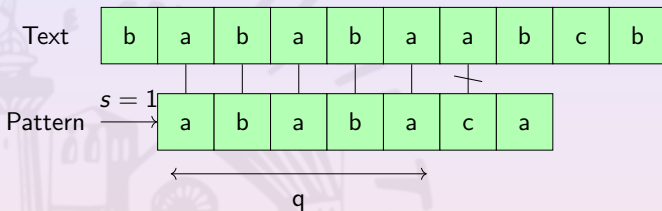
Text

a	a	a	a	a	a	a	a	a
---	---	---	---	---	---	---	---	---

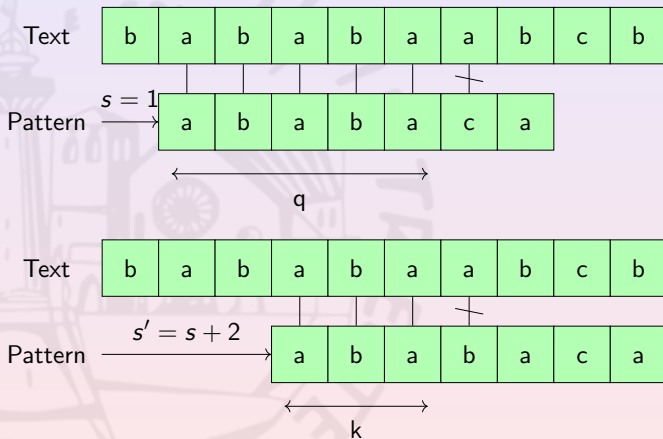
Pattern

a	a	a	a	b
---	---	---	---	---

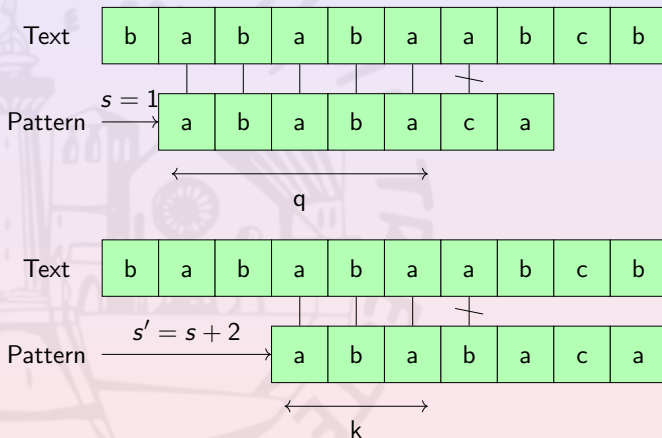
A Better Idea



A Better Idea



A Better Idea



Thus, $P_k \sqsubset P_q$ because $P_q \sqsubset T[2..q+1]$ and $P_k \sqsubset T[2..q+1]$

The Prefix Function

The **prefix function** for P is defined as

$$\pi[q] = \max\{k : k < q \text{ and } P_k \sqsupseteq P_q\}$$

P_5

a	b	a	b	a
---	---	---	---	---

The Prefix Function

The **prefix function** for P is defined as

$$\pi[q] = \max\{k : k < q \text{ and } P_k \sqsupset P_q\}$$

$\pi[q]$ is the longest prefix of P that is a proper suffix of P_q

P_5

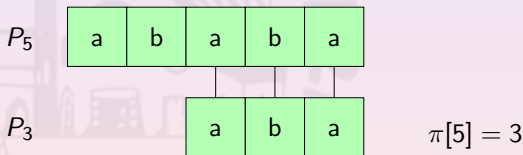
a	b	a	b	a
---	---	---	---	---

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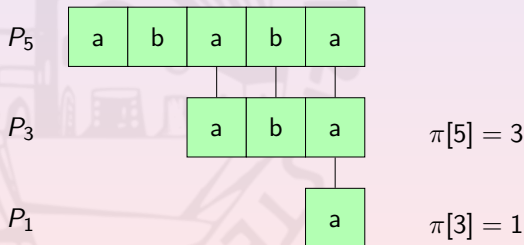


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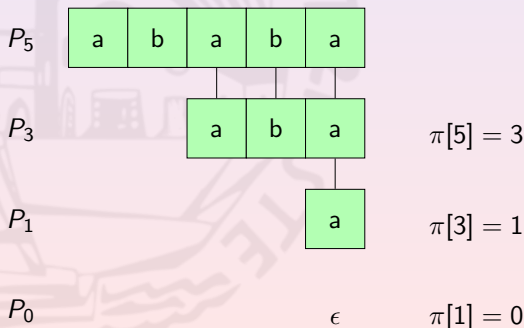


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Computing the Prefix Function

Let $\pi^*[q]$ be $\{\pi[q], \pi^2[q], \dots, \pi^{(t)}[q]\}$

Lemma (Prefix-function iteration lemma)

$$\pi^*[q] = \{k : k < q \text{ and } P_k \sqsupset P_q\}$$

Lemma

If $\pi[q] > 0$, then $\pi[q] - 1 \in \pi^[q - 1]$*

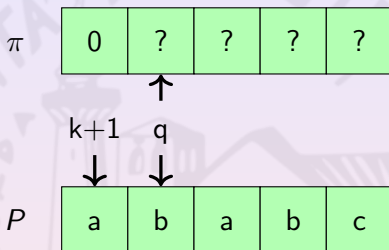
Let E_q be $\{k \in \pi^*[q] : P[k + 1] = P[q + 1]\}$

Theorem

$$\pi[q] = \begin{cases} 0 & \text{if } E_{q-1} = \emptyset \\ 1 + \max\{k \in E_{q-1}\} & \text{otherwise} \end{cases}$$

Computing the Prefix Function: Pseudo-Code

```
def COMPUTE_PREFIX_FUNCTION(P):  
   $\pi \leftarrow \text{INIT\_ARRAY}(|P|)$   
   $\pi[1] \leftarrow 0$   
   $k \leftarrow 0$   
  for  $q \leftarrow 2$  upto  $|P|$ :  
    while  $k > 0$  and  $P[k+1] \neq P[q]$ :  
       $k = \pi[k]$   
    endwhile  
    if  $P[k+1] = P[q]$ :  
       $k = k + 1$   
     $\pi[q] \leftarrow k$   
  endfor  
  
  return  $\pi$   
enddef
```



At the begin of each **for**-loop iteration, $k = \pi[q - 1]$

P_k is the largest proper suffix of P_{q-1} which is also a prefix for it i.e., $P_k \sqsupset P_{q-1}$ and $P_k \sqsubset P_{q-1}$

- $\pi[1] = 0$

- $q = 2$

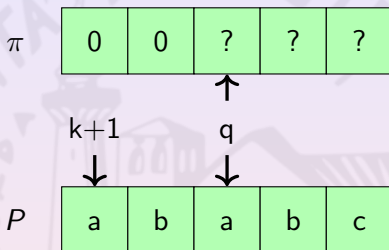
- $k = 0$

Then no **while**-loop iterations

Since $P[k + 1] \neq P[q]$, $P_{k+1} \not\supseteq P_q$ and k is not updated

$$\pi[q] \leftarrow 0$$

Computing the Prefix Function: an Example



When $q = 3$:

- $k = 0$
- $P[k + 1] = P[q]$

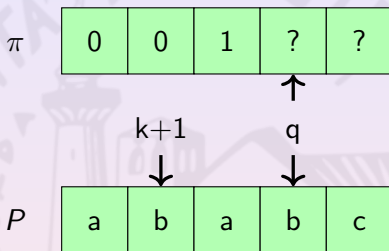
Then no **while**-loop iterations

At the begin of each **for**-loop iteration, $k = \pi[q - 1]$

P_k is the largest proper suffix of P_{q-1} which is also a prefix for it i.e., $P_k \sqsubset P_{q-1}$ and $P_k \sqsubset P_{q-1}$

Since $P[k + 1] = P[q]$, $P_{k+1} \sqsubset P_q$ and k is updated to 1

$\pi[q] \leftarrow 1$



P_k is the largest proper suffix of P_{q-1} which is also a prefix for it i.e., $P_k \sqsupset P_{q-1}$ and $P_k \sqsubset P_{q-1}$

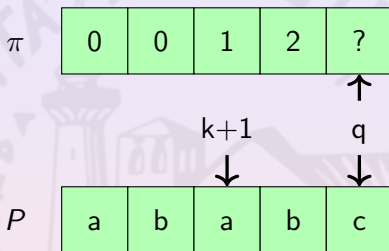
- $k = 1$
- $P[k + 1] = P[q]$

Then no **while**-loop iterations

Since $P[k + 1] = P[q]$,
 $P_{k+1} \sqsupset P_q$ and k is up-
 dated to 2

$$\pi[q] \leftarrow 2$$

Computing the Prefix Function: an Example



At the begin of each **for**-loop iteration, $k = \pi[q - 1]$

P_k is the largest proper suffix of P_{q-1} which is also a prefix for it i.e., $P_k \sqsupset P_{q-1}$ and $P_k \sqsubset P_{q-1}$

When $q = 5$:

- $k = 2$
- $P[k + 1] \neq P[q]$

Since $P[k + 1] \neq P[q]$, the 2nd largest prefix-suffix P_{q-1} is computed i.e., $\pi[k]$ and k is updated to 0

Since $P[k + 1] \neq P[q]$, k is not updated

$\pi[q] \leftarrow 0$

Computing the Prefix Function: an Example

π	0	0	1	2	0
-------	---	---	---	---	---

P	a	b	a	b	c
-----	---	---	---	---	---

At the begin of each **for**-loop iteration, $k = \pi[q - 1]$

P_k is the largest proper suffix of P_{q-1} which is also a prefix for it
i.e., $P_k \sqsupset P_{q-1}$ and $P_k \sqsubset P_{q-1}$

The Prefix Function: Complexity

The **while**-loop condition holds only if $k > 0$

However, each iteration of the **while**-loop decreases k

k is initialized to 0 and is increased in the **for**-loop

So, the **while**-loop can be repeated $|P| - 1$ times at most

The overall asymptotic complexity is $\Theta(|P|)$

The Knuth-Morris-Pratt Algorithm

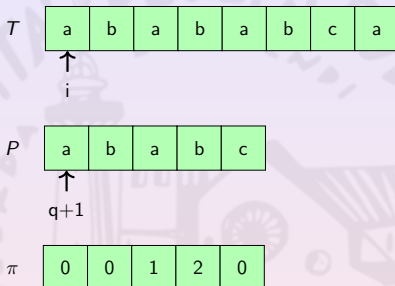
Once a mismatch has been identified after q matches

The algorithm uses the prefix function to avoid $\pi[q]$ useless character comparisons

The Knuth-Morris-Pratt Algorithm: Pseudo-Code

```
def KMP(T,P):  
    valid = []  
     $\pi \leftarrow \text{COMPUTE\_PREFIX\_FUNCTION}(P)$   
    q  $\leftarrow$  0  
    for i  $\leftarrow$  1 upto |T|:  
        while q > 0 and  $P[q+1] \neq T[i]$ :  
            q =  $\pi[q]$   
        endwhile  
        if  $P[q+1] = T[i]$ :  
            q = q + 1  
        if q = |P|:  
            valid.append(i-q+1)  
            q =  $\pi[q]$   
        endif  
    endfor  
    return valid  
enddef
```

The Knuth-Morris-Pratt Algorithm: an Example



The initialization sets

$$\bullet i = 1$$

$$\bullet q = 0$$

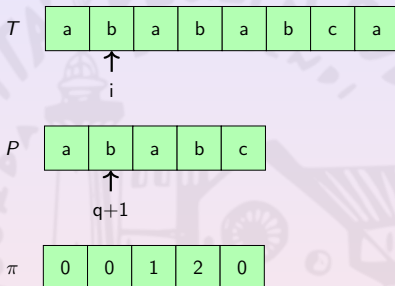
Then no **while**-loop iterations

At the begin of each **for**-loop iteration, if $i > 1$, then $q = \pi[i-1]$

P_q is the largest proper suffix of P_{i-1} which is also a prefix for it i.e., $P_q \sqsupset P_{i-1}$ and $P_q \sqsubset P_{i-1}$

Since $P[q+1] = T[i]$, $P_{q+1} \sqsupset T_i$ and q is updated to 1

The Knuth-Morris-Pratt Algorithm: an Example



When $i = 2$:

- $q = 1$
- $P[q + 1] = T[i]$

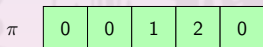
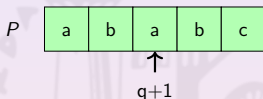
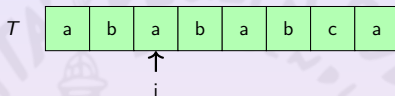
Then no **while**-loop iterations

At the begin of each **for**-loop iteration, if $i > 1$, then $q = \pi[i - 1]$

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Since $P[q + 1] = T[i]$, $P_{q+1} \sqsupset T_i$ and q is updated to 2

The Knuth-Morris-Pratt Algorithm: an Example



When $i = 3$:

- $q = 2$
- $P[q + 1] = T[i]$

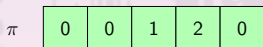
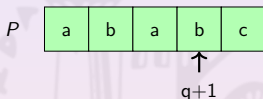
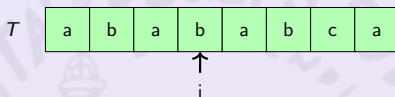
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At the begin of each **for**-loop iteration, if $i > 1$, then $q = \pi[i - 1]$

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Since $P[q + 1] = T[i]$, $P_{q+1} \sqsupset T_i$ and q is updated to 3

The Knuth-Morris-Pratt Algorithm: an Example



When $i = 4$:

- $q = 3$
- $P[q + 1] = P[i]$

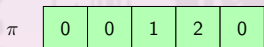
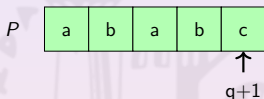
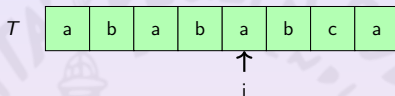
Then no **while**-loop iterations

At the begin of each **for**-loop iteration, if $i > 1$, then $q = \pi[i - 1]$

P_q is the largest proper suffix of P_{i-1} which is also a prefix for it i.e., $P_q \sqsupset P_{i-1}$ and $P_q \sqsubset P_{i-1}$

Since $P[q + 1] = T[i]$, $P_{q+1} \sqsupset T_i$ and q is updated to 4

The Knuth-Morris-Pratt Algorithm: an Example



When $i = 5$:

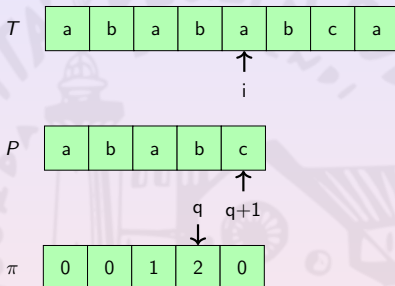
- $q = 4$
- $P[q + 1] \neq T[i]$

Since $P[q + 1] \neq T[i]$,

At the begin of each **for**-loop iteration, if $i > 1$, then $q = \pi[i - 1]$

P_q is the largest proper suffix of P_{i-1} which is also a prefix for it
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The Knuth-Morris-Pratt Algorithm: an Example



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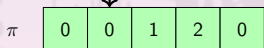
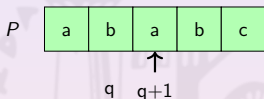
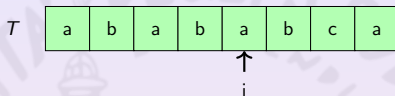
- $q = 4$
- $P[q + 1] \neq T[i]$

Since $P[q + 1] \neq T[i]$, the 2nd largest prefix-suffix P_q is computed i.e., $\pi[q]$ and

At the begin of each **for**-loop iteration, if $i > 1$, then $q = \pi[i - 1]$

P_q is the largest proper suffix of P_{i-1} which is also a prefix for it i.e., $P_q \sqsupset P_{i-1}$ and $P_q \sqsubset P_{i-1}$

The Knuth-Morris-Pratt Algorithm: an Example



At the begin of each **for**-loop iteration, if $i > 1$, then $q = \pi[i-1]$

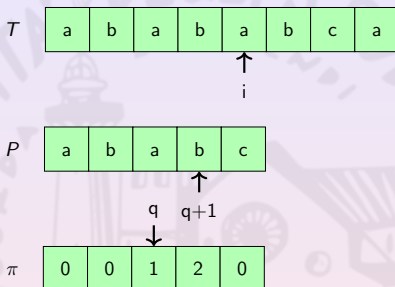
P_q is the largest proper suffix of P_{i-1} which is also a prefix for it i.e., $P_q \sqsupset P_{i-1}$ and $P_q \sqsubset P_{i-1}$

When $i = 5$:

- $q = 4$
- $P[q+1] \neq T[i]$

Since $P[q+1] \neq T[i]$, the 2nd largest prefix-suffix P_q is computed i.e., $\pi[q]$ and q is updated to 2

The Knuth-Morris-Pratt Algorithm: an Example



At the begin of each **for**-loop iteration, if $i > 1$, then $q = \pi[i-1]$

P_q is the largest proper suffix of P_{i-1} which is also a prefix for it i.e., $P_q \sqsupset P_{i-1}$ and $P_q \sqsubset P_{i-1}$

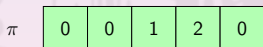
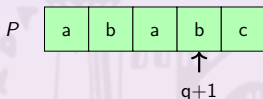
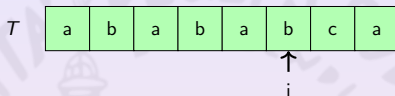
When $i = 5$:

- $q = 4$
- $P[q+1] \neq T[i]$

Since $P[q+1] \neq T[i]$, the 2nd largest prefix-suffix P_q is computed i.e., $\pi[q]$ and q is updated to 2

Since $P[q+1] = T[i]$, q is updated to 3

The Knuth-Morris-Pratt Algorithm: an Example



When $i = 6$:

- $q = 3$
- $P[q + 1] = T[i]$

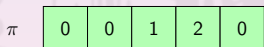
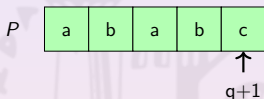
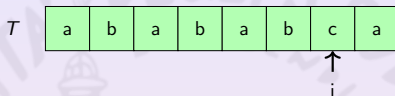
Then no **while**-loop iterations

At the begin of each **for**-loop iteration, if $i > 1$, then $q = \pi[i - 1]$

P_q is the largest proper suffix of P_{i-1} which is also a prefix for it i.e., $P_q \sqsupset P_{i-1}$ and $P_q \sqsubset P_{i-1}$

Since $P[q + 1] = T[i]$, $P_{q+1} \sqsupset T_i$ and q is updated to 4

The Knuth-Morris-Pratt Algorithm: an Example



At the begin of each **for**-loop iteration, if $i > 1$, then $q = \pi[i-1]$

P_q is the largest proper suffix of P_{i-1} which is also a prefix for it i.e., $P_q \sqsupset P_{i-1}$ and $P_q \sqsubset P_{i-1}$

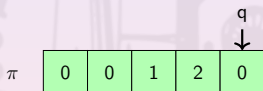
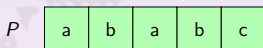
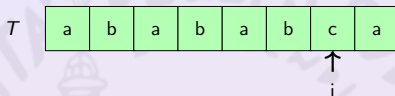
When $i = 7$:

- $q = 4$
- $P[q+1] = T[i]$

Then no **while**-loop iterations

Since $P[q+1] = T[i]$,
 $P_{q+1} \sqsupset T_i$ and

The Knuth-Morris-Pratt Algorithm: an Example



At the begin of each **for**-loop iteration, if $i > 1$, then $q = \pi[i-1]$

P_q is the largest proper suffix of P_{i-1} which is also a prefix for it i.e., $P_q \sqsupset P_{i-1}$ and $P_q \sqsubset P_{i-1}$

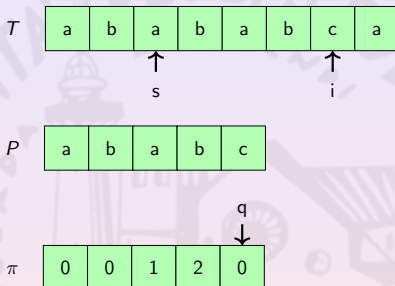
When $i = 7$:

- $q = 4$
- $P[q+1] = T[i]$

Then no **while**-loop iterations

Since $P[q+1] = T[i]$, $P_{q+1} \sqsupset T_i$ and q is updated to 5

The Knuth-Morris-Pratt Algorithm: an Example



At the begin of each **for**-loop iteration, if $i > 1$, then $q = \pi[i - 1]$

P_q is the largest proper suffix of P_{i-1} which is also a prefix for it i.e., $P_q \sqsupset P_{i-1}$ and $P_q \sqsubset P_{i-1}$

When $i = 7$:

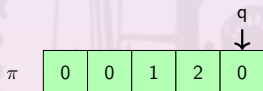
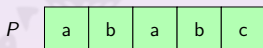
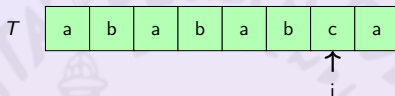
- $q = 4$
- $P[q + 1] = T[i]$

Then no **while**-loop iterations

Since $P[q + 1] = T[i]$, $P_{q+1} \sqsupset T_i$ and q is updated to 5

Since $q = |P|$, $s = i - q + 1$ is a valid shift

The Knuth-Morris-Pratt Algorithm: an Example



At the begin of each **for**-loop iteration, if $i > 1$, then $q = \pi[i-1]$

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When $i = 7$:

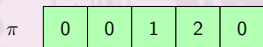
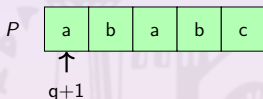
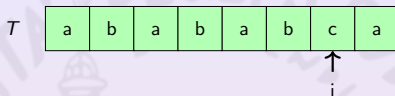
- $q = 4$
- $P[q+1] = T[i]$

Then no **while**-loop iterations

Since $P[q+1] = T[i]$, $P_{q+1} \sqsupset T_i$ and q is updated to 5

Since $q = |P|$, $s = i - q + 1$ is a valid shift and q is updated to $\pi[q]$

The Knuth-Morris-Pratt Algorithm: an Example



At the begin of each **for**-loop iteration, if $i > 1$, then $q = \pi[i-1]$

P_q is the largest proper suffix of P_{i-1} which is also a prefix for it i.e., $P_q \sqsupset P_{i-1}$ and $P_q \sqsubset P_{i-1}$

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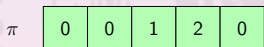
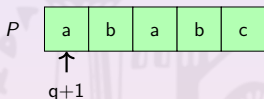
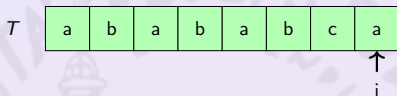
- $q = 4$
- $P[q+1] = T[i]$

Then no **while**-loop iterations

Since $P[q+1] = T[i]$, $P_{q+1} \sqsupset T_i$ and q is updated to 5

Since $q = |P|$, $s = i - q + 1$ is a valid shift and q is updated to $\pi[q] = 0$

The Knuth-Morris-Pratt Algorithm: an Example



When $i = 8$:

- $q = 0$
- $P[q + 1] = T[i]$

Then no **while**-loop iterations

At the begin of each **for**-loop iteration, if $i > 1$, then $q = \pi[i - 1]$

P_q is the largest proper suffix of P_{i-1} which is also a prefix for it i.e., $P_q \sqsupset P_{i-1}$ and $P_q \sqsubset P_{i-1}$

Since $P[q + 1] = T[i]$, $P_{q+1} \sqsupset T_i$ and q is updated to 1

The Knuth-Morris-Pratt Algorithm: Complexity

As for the prefix function computation, the **while**-loop condition holds only if $q > 0$

However, each iteration of the **while**-loop decreases q

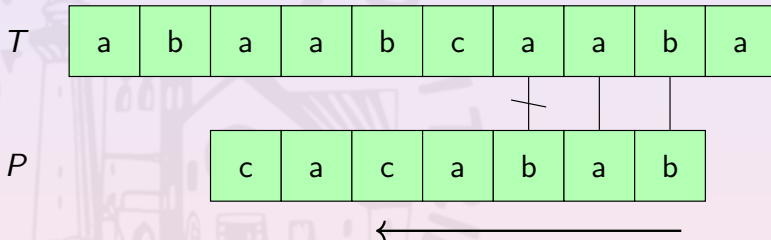
q is initialized to 0 and is increased in the **for**-loop

So, the **while**-loop can be repeated $|T|$ times at most

The overall asymptotic complexity is $\Theta(|P| + |T|)$

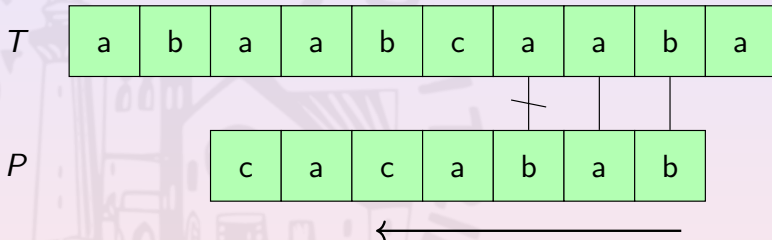
The Boyer-Moore-Galil Algorithm

Matches the pattern backward



The Boyer-Moore-Galil Algorithm

Matches the pattern backward

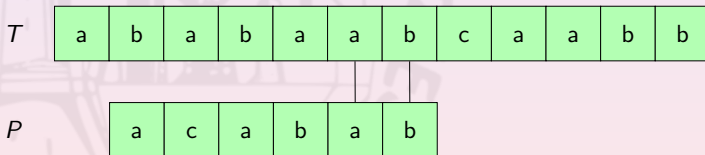


Uses 3 main ingredients:

- **good-suffix rule**
- **bad-character rule**
- **Galil's rule**

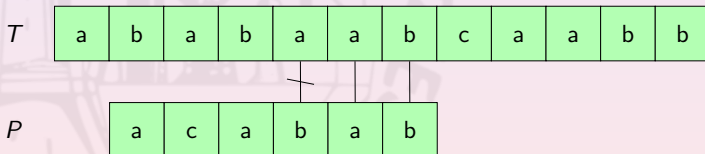
The Good-Suffix Rules

If $P[i \dots |P|] = T[i + j \dots |P| + j]$ and



The Good-Suffix Rules

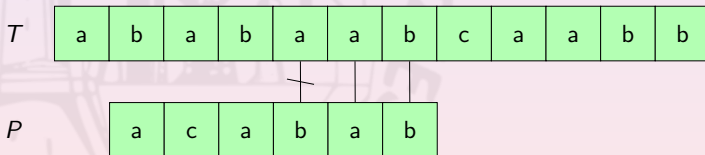
If $P[i \dots |P|] = T[i + j \dots |P| + j]$ and $P[i - 1] \neq T[i + j - 1]$



The Good-Suffix Rules

If $P[i \dots |P|] = T[i+j \dots |P|+j]$ and $P[i-1] \neq T[i+j-1]$

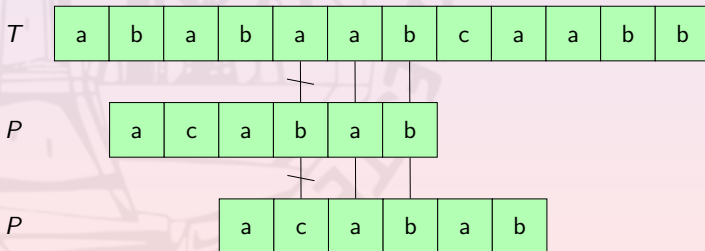
- align $T[i+j \dots |P|+j]$ to its rightmost occurrence in P with a preceding character $\neq P[i-1]$



The Good-Suffix Rules

If $P[i \dots |P|] = T[i+j \dots |P|+j]$ and $P[i-1] \neq T[i+j-1]$

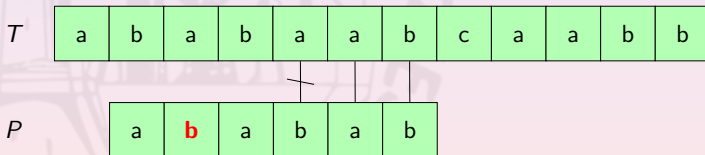
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The Good-Suffix Rules

If $P[i \dots |P|] = T[i+j \dots |P|+j]$ and $P[i-1] \neq T[i+j-1]$

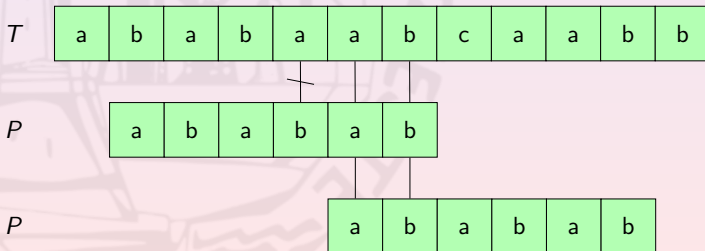
- align $T[i+j \dots |P|+j]$ to its rightmost occurrence in P with a preceding character $\neq P[i-1]$
- if not exists,



The Good-Suffix Rules

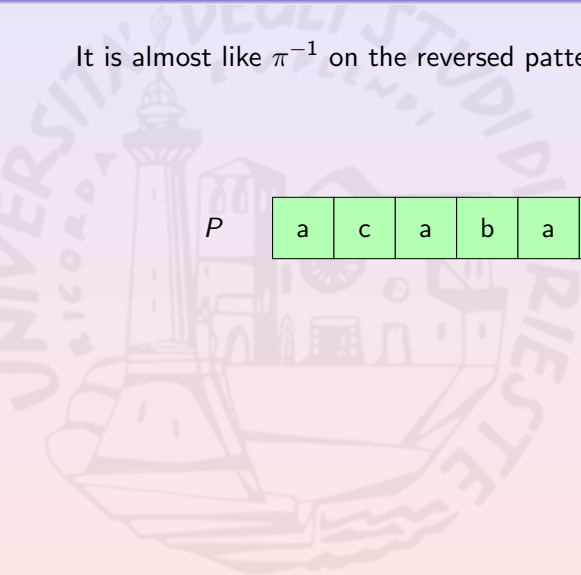
If $P[i \dots |P|] = T[i + j \dots |P| + j]$ and $P[i - 1] \neq T[i + j - 1]$

- align $T[i + j \dots |P| + j]$ to its rightmost occurrence in P with a preceding character $\neq P[i - 1]$
- if not exists, align the longest $P_q \sqsubset P$ to $T[|P| + j - q \dots |P| + j]$



The Good-Suffix Rules: Computing it

It is almost like π^{-1} on the reversed pattern P^{-1}

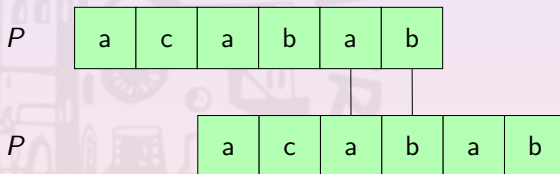


A large, faint watermark of the University of Victoria logo is visible in the background of the slide. The logo features a lighthouse and the text "UNIVERSITY OF VICTORIA" and "RICORDA" and "TRIESTE".

P	a	c	a	b	a	b
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The Good-Suffix Rules: Computing it

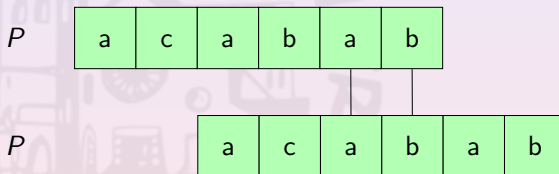
It is almost like π^{-1} on the reversed pattern P^{-1}



$$P_2^{-1} \supset P_4^{-1}$$

The Good-Suffix Rules: Computing it

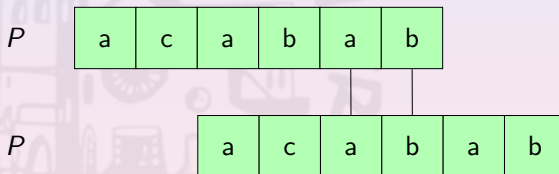
It is almost like π^{-1} on the reversed pattern P^{-1}



$$P_2^{-1} \supset P_4^{-1}, \pi[4] = 2$$

The Good-Suffix Rules: Computing it

It is almost like π^{-1} on the reversed pattern P^{-1}

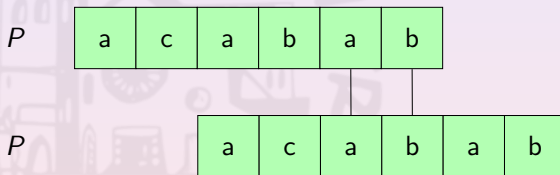


$$P_2^{-1} \supset P_4^{-1}, \pi[4] = 2, \text{ and } \pi^{-1}[2] = 4$$

The Good-Suffix Rules: Computing it

It is almost like π^{-1} on the reversed pattern P^{-1}

But $P^{-1}[q+1] \neq P^{-1}[\pi[q]+1]$ must be guaranteed

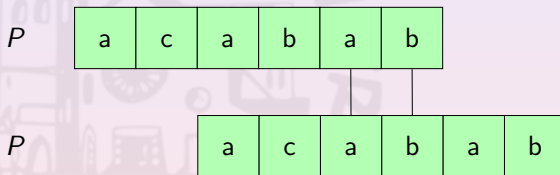


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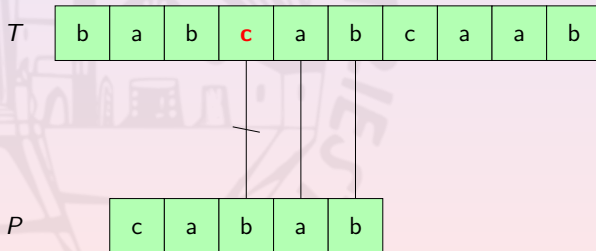


$$P_2^{-1} \supset P_4^{-1}, \pi[4] = 2, \text{ and } \pi^{-1}[2] = 4$$

You can guess a complexity $\Theta(|P|)$ to compute it

The Bad-Character Rules

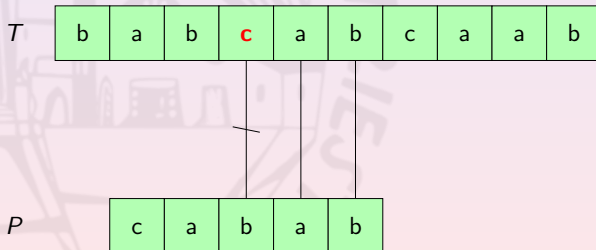
If $P[i] \neq T[i + j]$



The Bad-Character Rules

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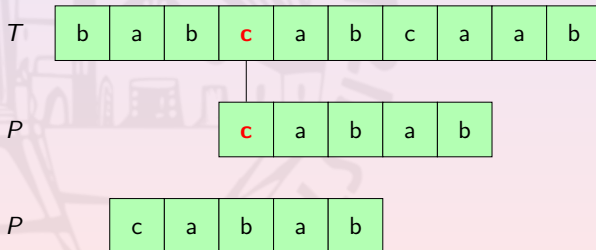
- align $T[i+j]$ to its rightmost occurrence in P



The Bad-Character Rules

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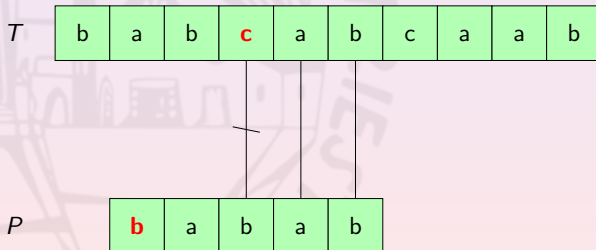
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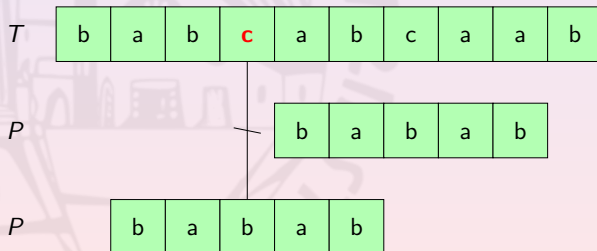
The Bad-Character Rules

If $P[i] \neq T[i+j]$

- align $T[i+j]$ to its rightmost occurrence in P
- if not exists,



- align $T[i+j]$ to its rightmost occurrence in P
- if not exists, align $P[1]$ to $T[i+j+1]$



The Bad-Character Rules: Computing It

- initialize an array C s.t. $|C| = |\Sigma|$

The Bad-Character Rules: Computing It

- initialize an array C s.t. $|C| = |\Sigma|$
- $C[a] \leftarrow |P|$ for each $a \in \Sigma$

The Bad-Character Rules: Computing It

- initialize an array C s.t. $|C| = |\Sigma|$
- $C[a] \leftarrow |P|$ for each $a \in \Sigma$
- $C[P[i]] \leftarrow |P| - i$ for each $i \in [1 \dots |P|]$

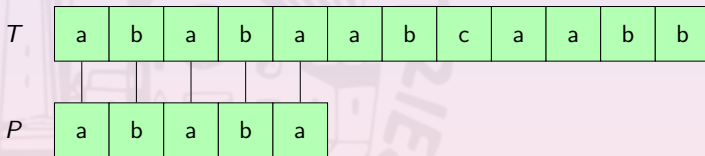
The Bad-Character Rules: Computing It

- initialize an array C s.t. $|C| = |\Sigma|$
- $C[a] \leftarrow |P|$ for each $a \in \Sigma$
- $C[P[i]] \leftarrow |P| - i$ for each $i \in [1 \dots |P|]$

The complexity is $\Theta(|P| + |\Sigma|)$

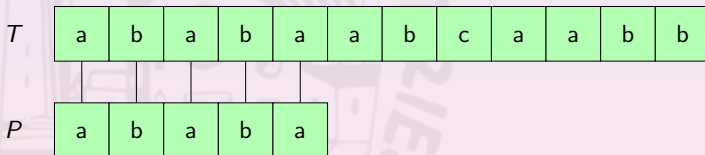
The Galil's Rules

If a valid match has been discovered



The Galil's Rules

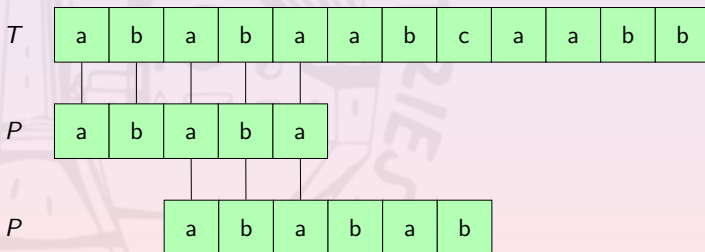
If a valid match has been discovered and P is k -periodic



The Galil's Rules

If a valid match has been discovered and P is k -periodic

P is shifted forward by k and $|P| - k$ comparisons avoided



The Boyer-Moore-Galil's Algorithm

- try to match P on T backward
- if a mismatch is found, then select the largest shift among those suggested by the good-suffix and the bad-character rules
- if a valid shift is found, apply the Galil's rules or revert to the mismatch case

The Boyer-Moore-Galil's Algorithm

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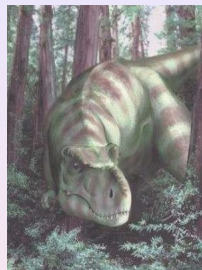
The overall asymptotic complexity is $O(|P| + |T|)$

In an average scenario is sub-linear w.r.t $|T|$.

The background of the slide features a large, faint watermark of the University of Trieste logo. The logo is circular, with the text "UNIVERSITA' DEGLI STUDI DI TRIESTE" around the top and "FONDATA NEL 1629" at the bottom. In the center is a detailed illustration of a building, likely a university hall, with a clock tower and a flag on top.

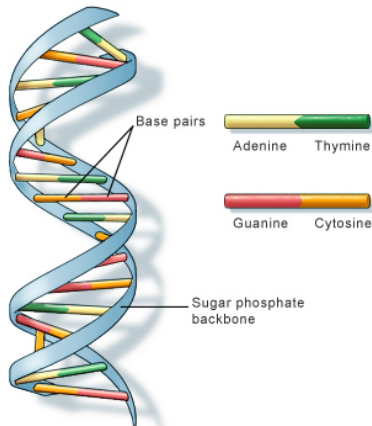
Multiple Patterns String Matching

Life's Code



Life's Code

All life forms share the same *code*: the **DNA**.



U.S. National Library of Medicine

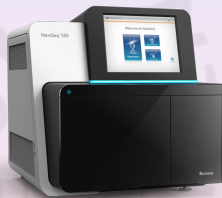
Why Studing DNA is Interesting?

- forecast/cure diseases
- threat genetic conditions



“Reading” DNA

Sequencers are machines to read DNA molecules



But they cannot (yet) accurately read a full DNA molecule

The longer the reading, the higher the probability of errors

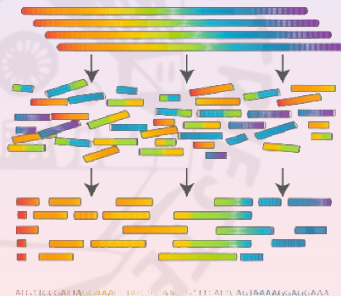
[illegible]

-

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

Sequencing and Assembling DNA

- DNA is **fragmented** in relative short pieces (about 800bps)
- the fragments are sequenced
- the sequencer reads are **assembled** like a 1-D puzzle



Still slow and expensive due to fragment lengths

Re-sequencing and Aligning

Should we repeat the process of each individual? No

- DNA is fragmented in smaller pieces (about 100bps)
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Fast and cheap due to small fragment size

The Multiple Patterns Single Text Matching Problem

We have

- a text T
- a large set of patterns $\mathcal{P} = \{P_1, \dots, P_l\}$

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We want to find a valid shift for each P_i

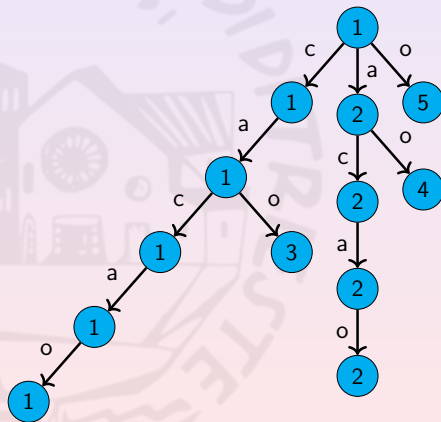
A Naïve Solution

For each P_i , compute BOYER_MOORE_GALIL(T , P_i)

Complexity: $O\left(|T| * \sum_{i=1}^l |P_i|\right)$

A Tree-Based Solution

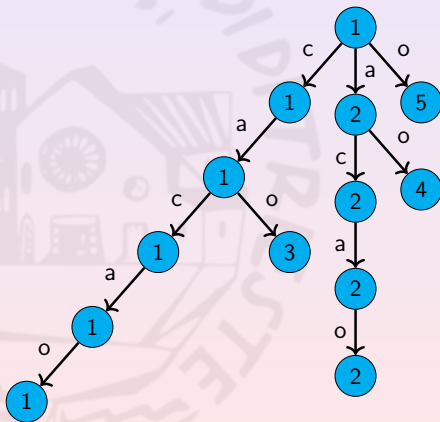
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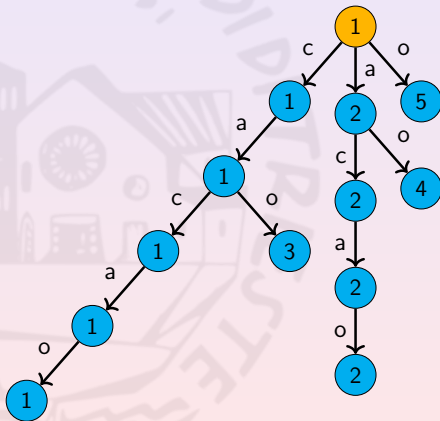
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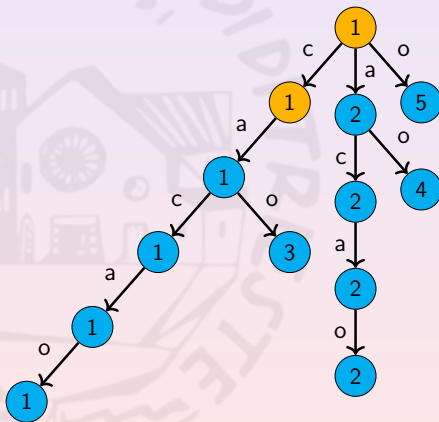
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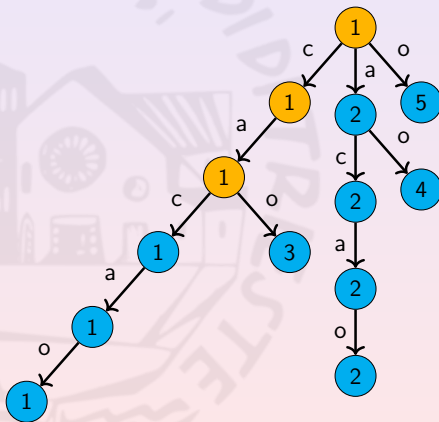
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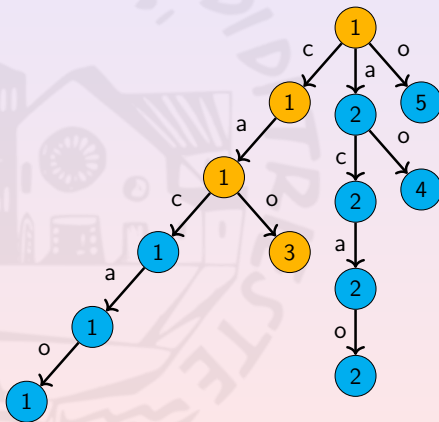
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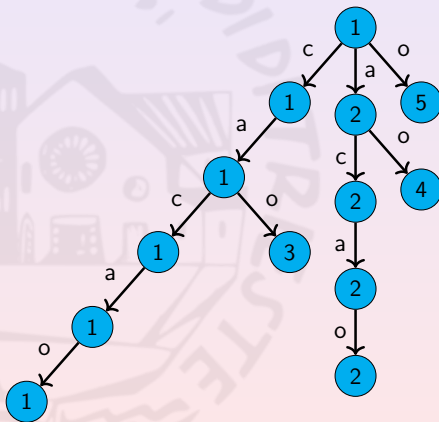
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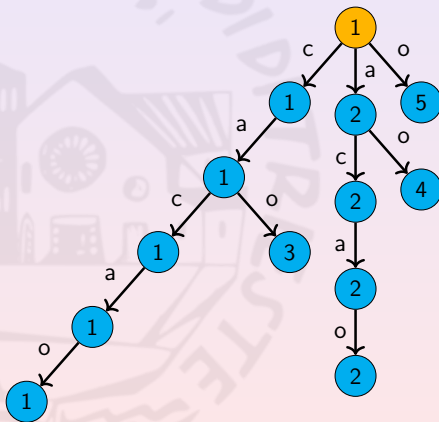
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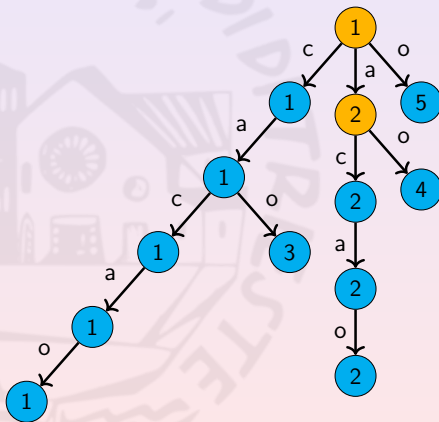
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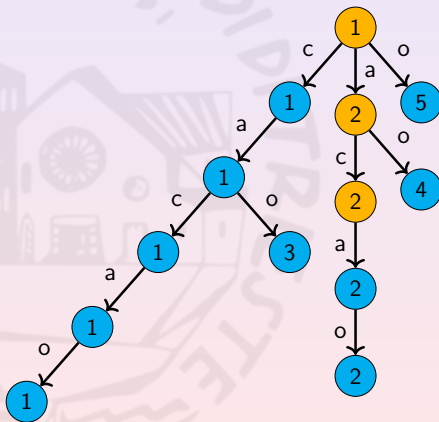
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Searching for P_i in the of T 's substrings costs $\Theta(|P_i|)$

Once it has been computed, solving our problem takes time

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How much does its computation cost?

Suffix Tries: A Formal Definition

Let $\sigma(T)$ be the set of all the substrings of T .

$STrie(T)$ of T is a tuple $(Q \cup \{\perp\}, \bar{e}, L, g, f)$ where:

- $Q = \{\bar{x} \mid x \in \sigma(T)\}$
- $\perp \notin Q$
- $L: Q \mapsto [0..|T|]$ is the **shift label**
- $g: (Q \cup \{\perp\}) \times \Sigma \mapsto Q$ is the **transition function**
 - $g(\bar{x}, a) = \bar{xa}$ for all $xa \in \sigma(T)$
 - $g(\perp, a) = \epsilon$ for all $a \in \Sigma$
- $f: Q \mapsto Q \cup \{\perp\}$ is the **prefix function**
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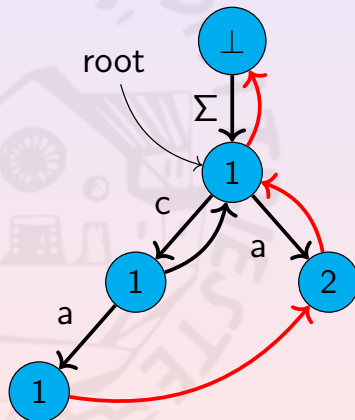
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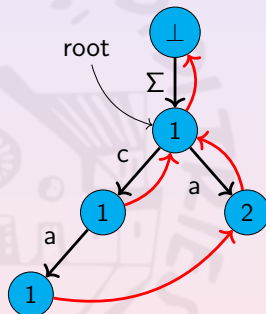
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Suffix Tries: An Example

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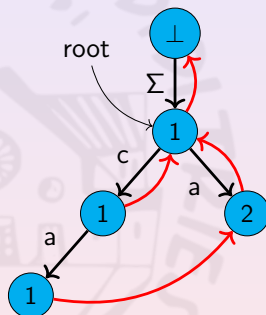


Growing Tries by Appending Characters

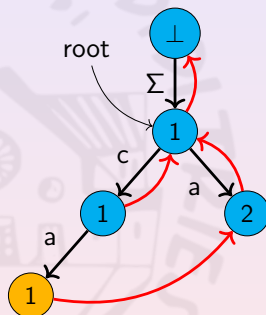
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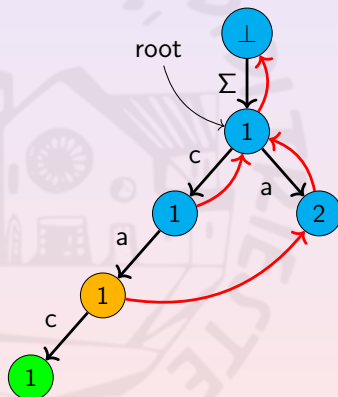


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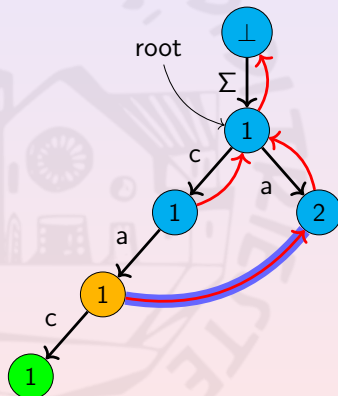
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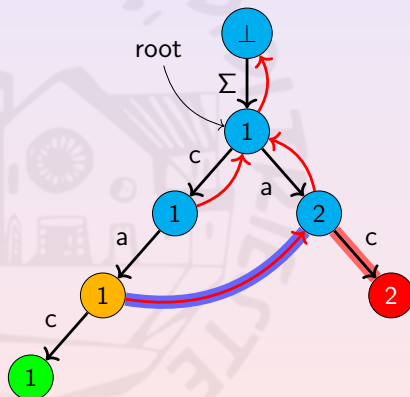
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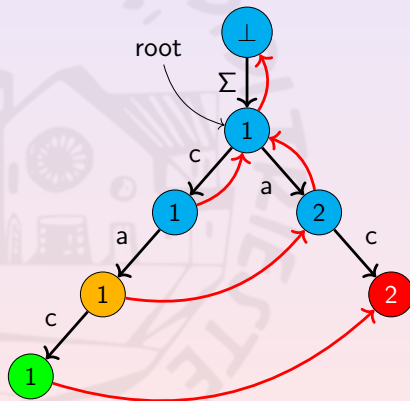


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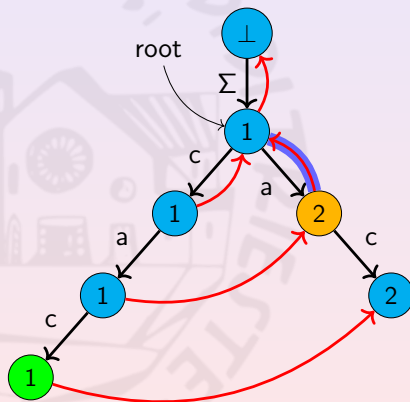
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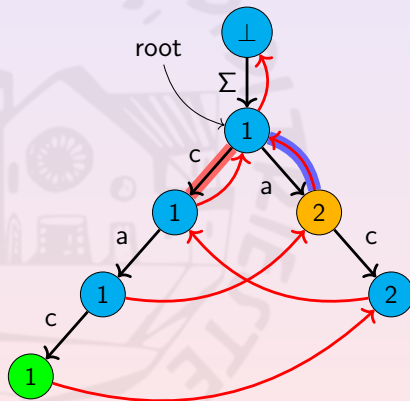
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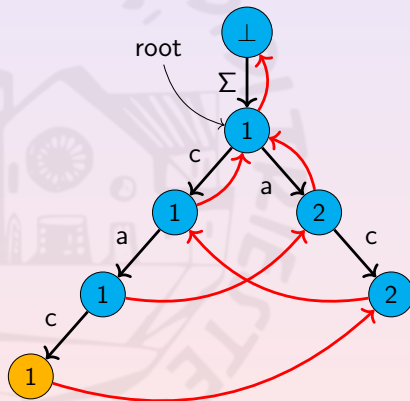
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Boundary Path

Let T^i be $T[1 \dots i]$

The **boundary path** of $STrie(T^i)$ is the sequence

$$\overline{T^i} = s_1, s_2, \dots, s_{i+1} = \perp$$

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where $s_k = f^k(\overline{T^i})$

The **active point** is the first s_j that is a leaf

The **end point** is the first $s_{j'}$ having a **$T[i+1]$ -transition**

How the Algorithm Works

It adds a $T[i + 1]$ -transition from s_h for all $h \in [1, j' - 1]$

If $h \in [1, j - 1]$, then it extends a branch

If $h \in [j, j' - 1]$, then it creates a new branch

Building a Suffix Trie: Pseudo-Code

```
def UPDATE_SUFFIX_TRIE(S, T, i, top):  
    r ← top  
    old_s ← None  
    while S.g(r, T[i]) = None:  
        s ← CREATE_NEW_NODE()  
  
        S.add_node(s)  
        S.g(r, T[i]) ← s  
  
        if old_s ≠ None:  
            S.f(old_s) ← s  
        endif  
        old_s ← s  
  
    r ← S.f(r)  
    endwhile  
    f(old_s) ← S.g(r, T[i])  
  
    return S.g(top, T[i])  
enddef
```

Building a Suffix Trie: Complexity

- each node is visited at most twice
- constant steps per node
- $|Q| = |\Sigma(T)|$

Building $STrie(T)$ costs $\Theta(\Sigma(T))$

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Lemma

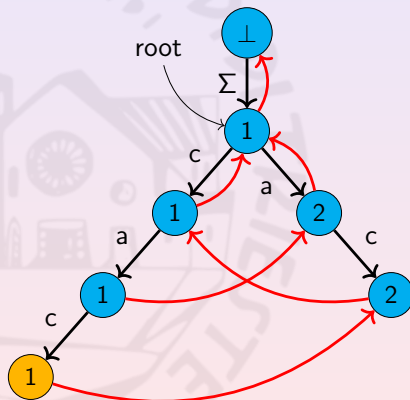
$|\Sigma(T)| \in O(|T|^2)$ (e.g., $a^n b^n$)

Theorem

Building a $STrie(T)$ costs $O(|T|^2)$

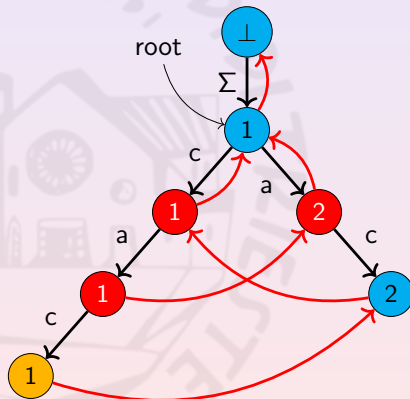
Reducing Complexity

Suffix tries are redundant



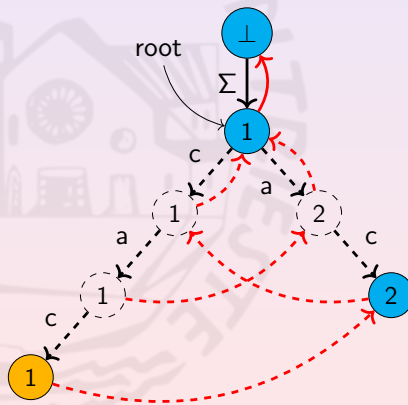
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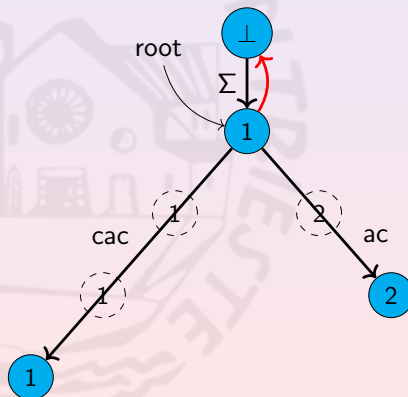
Reducing Suffix Trie Redundancy: Suffix Trees

- Q' containing branching nodes Q_b and leaves Q_l
- $g' : ((Q_b \cup \{\perp\}) \times \Sigma^*) \mapsto Q'$
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Counting Nodes

- the leaves represent some of the suffixes of T and they are at most $|T|$
- all the internal nodes are branching and they are at most $|T|-1$

These kind of trees has $\Theta(|T|)$ nodes

Substrings to Indexes Intervals

To save space g' labels are represented as T -index intervals

E.g., if $T = cacao$, then

- cao is represented by $[3, 5]$
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If $\Sigma = \{a_1, \dots, a_k\}$, then

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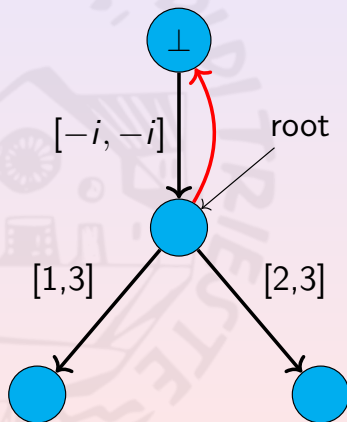
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We can also avoid L : look at the last matching label to infer shifts

A Suffix Tree Example

E.g. $T = \text{cac}$



Implicit and Explicit Nodes

Not all the node of the suffix tries are **explicitly** represented

We can represent **implicit nodes** by **reference pairs** *explicit node/substring*

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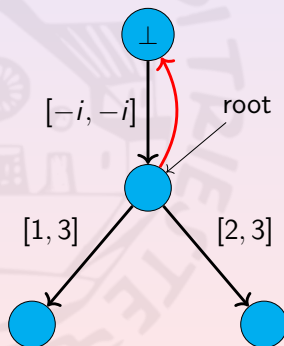
(x, ϵ) is encoded as $(x, [p + 1, p])$

If x is the closed ancestor of (x, w) , then (x, w) is **canonical**

Branch Extensions in Suffix Trees

Branch extensions is avoided by labeling transition to leaf as $[h, \infty]$

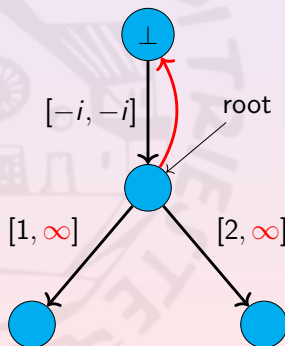
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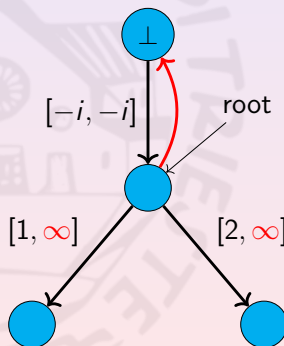
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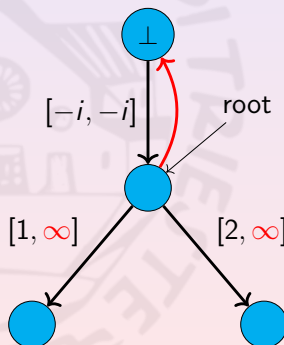
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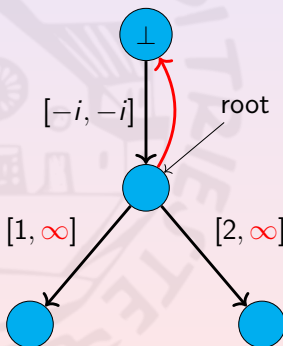
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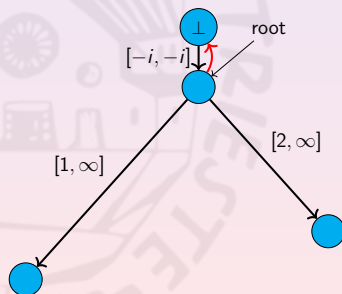


Branching in Suffix Trees: Explicit a Node

s_j has a canonical reference pair $(s, [k, i])$

If it is implicit, it should become explicit

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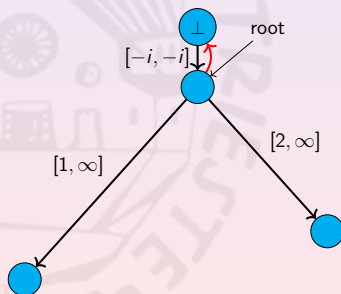


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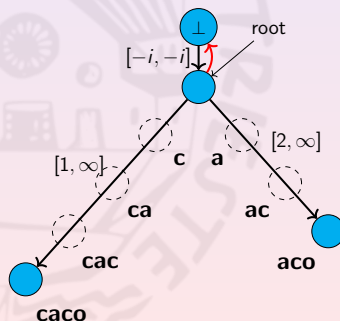


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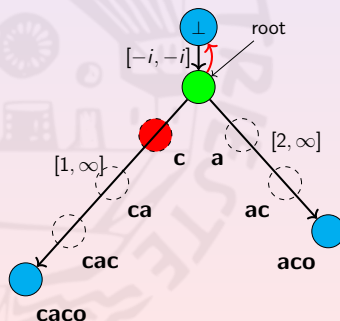


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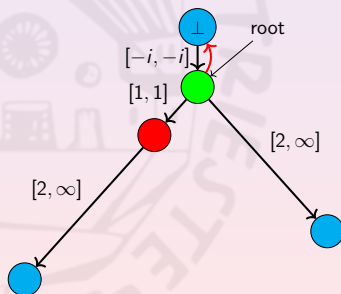


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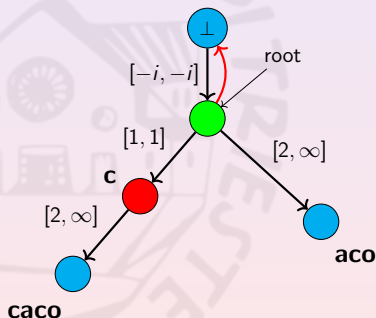
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Branching in Suffix Tries: Adding a Branch

If s_j is explicit, add a new branch labeled $[i + 1, \infty]$

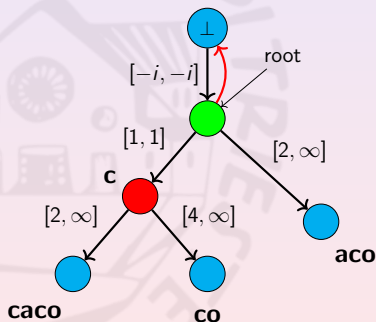
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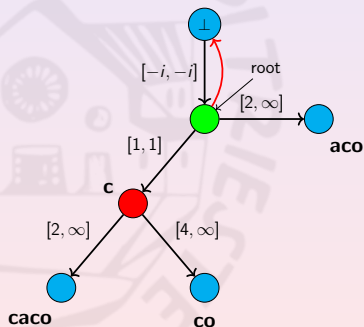
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Following the Boundary Path

If $(s, [k, i])$ is on boundary path, the next node is $(f'(s), [k, i])$

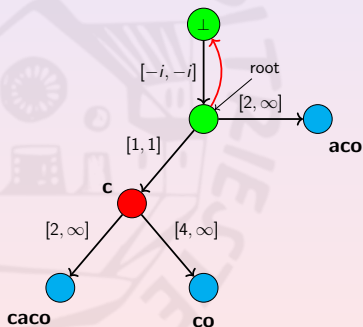
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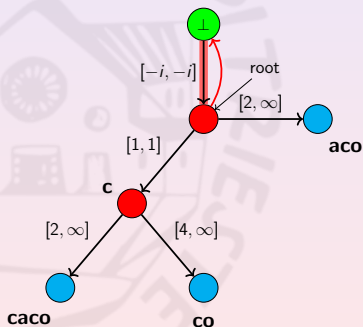
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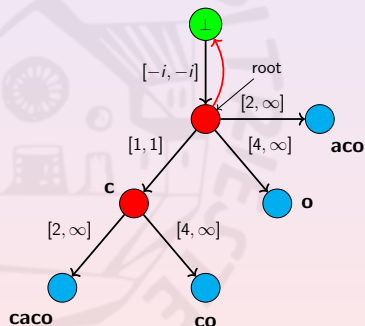
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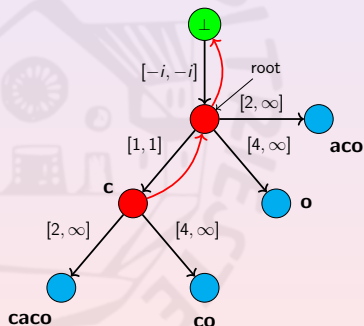
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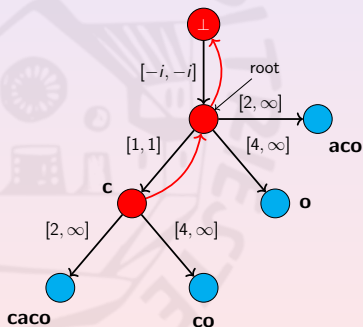
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- if $[k, i]$ is shorter than $[k', i']$, it is canonical
- otherwise replace:
 - s' with $g'(s', [k', i'])$
 - $[k, i]$ with $[k + (i' - k') + 1, i]$

and repeat

Finding Next Active Point

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Theorem

If $(s, [k, i])$ is the end point of $STree(T^i)$, then $(s, [k, i + 1])$ is the active point of $STree(T^{i+1})$.