IntList

An efficient list of integers in Java

Boxing and Unboxing

Implicit Boxing and Unboxing

```
final Integer boxed = 1;
// Implicit boxing

int unboxed = boxed;
// Implicit unboxing
```

Boxing

```
final Integer a1 = Integer.valueOf(10_000);
final Integer a2 = Integer.valueOf(10_000);
assert a1.equals(a2); // Same value
assert a1 != a2; // Different object reference
// New instance every time!
```

Boxing (not so naive)

```
final Integer a1 = Integer.valueOf(5);
final Integer a2 = Integer.valueOf(5);
assert a1.equals(a2); // Same value
assert a1 == a2; // Same object reference
// Preallocated for values between -128 to 127
```

Unboxing

```
final Integer boxed = Integer.valueOf(5);
final int unboxed = boxed.intValue();
// Dereferencing every time!
```

Unboxing null

```
final Integer boxed = null;

try {
    final int unboxed = boxed.intValue(); // BOOM!
} catch (NullPointerException e) {
    assert true : "Unboxing null should fail";
}
```

The Problem with List<Integer>

Boxes and Unboxes All The Time 👎

```
final ArrayList<Integer> list = new ArrayList<>();
list.add(1); // Boxing
list.add(2); // Boxing
list.add(3); // Boxing
final int item = list.get(0); // Unboxing
```

Accepts null 👎

```
final ArrayList<Integer> list = new ArrayList<>();
list.add(null);

try {
    final int item = list.get(0);
} catch (final NullPointerException e) {
    assert true : "Should fail";
}
```

Mutable by Default, Unmodifiable at Best 👎



```
final List<Integer> mutableList = new ArrayList<>();
mutableList.add(1); // Mutation
mutableList.add(2); // Mutation
final List<Integer> unmodifiableList = Collections.unmodifiableList(mutableList);
try {
    unmodifiableList.add(3); // BOOM! Mutation fails at runtime
} catch (UnsupportedOperationException e) {
    assert true : "Should fail";
```

Cumbersome API

```
public static class Lists {
    public static List<Integer> concat(
           List<Integer> list1,
           List<Integer> list2,
           List<Integer> list3) {
        final ArrayList<Integer> buffer = new ArrayList<>(list1);
        // Defensive copy
        buffer.addAll(list2); // Does not chain
        buffer.addAll(list3); // Does not chain
        return Collections.unmodifiableList(buffer);
        // Wrap to make unmodifiable
```

Poor Memory and CPU Efficiency 👎

Boxing

Object creations (new)

Unboxing

Dereferencing

· Integer objects

- Scattered in the heap, defeats CPU cache
- Not memory efficient

Builder Pattern against Boilerplatyness

```
final List<Integer> list1 = ListBuilder.<Integer>builder()
        .add(1)
        .add(2)
        .addAll(List.of(3, 4, 5, 6))
        .build();
final List<Integer> list2 = ListBuilder.toBuilder(List.of(1, 2, 3))
        .add(4)
        .addAll(List.of(5, 6))
        .build();
```

ListBuilder, some amount of work...

```
public class ListBuilder<T> {
    private final List<T> buffer; // Working buffer
   private ListBuilder(List<T> buffer) {
        this.buffer = buffer;
    } // ...
   public static <T> ListBuilder<T> builder() {
        final ArrayList<T> buffer = new ArrayList<>();
        return new ListBuilder<>(buffer);
   public static <T> ListBuilder<T> toBuilder(List<T> list) {
        final ArrayList<T> buffer = new ArrayList<>(list); // Defensive copy
        return new ListBuilder<>(buffer);
```

ListBuilder, even more work...

```
public class ListBuilder<T> { // ...
    public ListBuilder<T> add(T element) {
        buffer.add(element);
        return this; // Allows chaining
    public ListBuilder<T> addAll(List<T> elements) {
        buffer.addAll(elements);
        return this; // Allows chaining
    public List<T> build() {
        final ArrayList<T> result = new ArrayList<>(buffer); // Defensive copy
        return Collections.unmodifiableList(result); // Wrap to make unmodifiable
    } // ...
```

Array to the Rescue! Not so...

Very Memory and CPU Efficient 👍

- No boxing
- No unboxing
- Contiguous storage in memory

Only Mutable and Non-Extensible 👎

```
final int[] array = {1, 2, 3};

array[0] = 10; // Non uniform syntax ([])

// Appending an element at the end of an array
final int[] modifiedArray = new int[array.length + 1]; // Non uniform syntax (length)
System.arraycopy(array, 0, modifiedArray, 0, array.length); // Not a method of array
modifiedArray[modifiedArray.length - 1] = 40;
```

Broken toString 👎

```
final int[] array = \{1, 2, 3\};
final String wrong = array.toString();
System.out.println(wrong);
// [I@615db445
final String result = Arrays.toString(array);
System.out.println(result);
// [1, 2, 3]
```

Broken equals 👎

```
final int[] array1 = {1, 2, 3};
final int[] array2 = {1, 2, 3};

boolean wrong = array1.equals(array2);
assert !wrong : "Broken";

final boolean result = Arrays.equals(array1, array2);
assert result : "OK";
```

Broken hashCode 👎

```
final int[] array1 = {1, 2, 3};
final int[] array2 = {1, 2, 3};

boolean wrong = array1.hashCode() == array2.hashCode();
assert !wrong : "Broken";

final boolean result = Arrays.hashCode(array1) == Arrays.hashCode(array2);
assert result : "OK";
```

Very Poor API

```
public class MoreArrays {
    public static int[] concat(
            int[] array1,
            int[] array2,
            int[] array3) {
        int[] result = new int[array1.length + array2.length + array3.length];
        System.arraycopy(array1, ∅, result, ∅, array1.length);
        System.arraycopy(array2, 0, result, array1.length, array2.length);
        System.arraycopy(array3, ∅, result, array1.length + array2.length, array3.length);
        return result;
```

Apache Commons, maybe not...

```
final int[] list1 = \{1, 2, 3\};
final int[] array2 = \{5, 6\};
final int[] array3 = \{7, 8, 9\};
final int[] step1 = ArrayUtils.add(list1, 4); // Allocates an array and copies
final int[] step2 = ArrayUtils.addAll(step1, array2); // Allocates an array and copies
final int[] step3 = ArrayUtils.addAll(step2, array3); // Allocates an array and copies
final int[] result = step3;
// Not very efficient (redundant allocations and copies)
// Poorly legible (parenthesis nesting)
// Very underfeatured
```

IntList Simple and efficient?

Phase 1

Experimental API

Reaching for developper experience

Experimental API

- Limited number of features
- Simplified implementation
- Not necessarily fully correct
- To assess developer experience

Immutable API for Correctness (IntList)

```
final IntList numbers = IntList.of(9, 5, 4);
// Immutable list of numbers

final IntList modifiedNumbers = numbers.swap(0, 2);
// A new immutable list of numbers where 1st and 3rd items have been swapped
// Initial list remains unchanged.
```

But it can be inefficient...

Mutable API for Efficiency (IntList.Builder)

Practice: Exploring API

Generate a list of numbers from 1 to 20 (rangeClosed), beginning with 1 (prepend), ending with 19 and 20 (appendAll), and all mixed in-between (shuffle)

- Implement with immutable API
- Implement with mutable API

Phase 2

Preliminary Implementation

Reaching for efficiency

A Buffer for the Builder

· Goal

- Perform changes in-place on the buffer
- Extend buffer capacity when not enough space
- While minimizing moves and reallocations (implying recopies) for performance

Several attempts

- · Right expansion buffer (trailing buffer) 😐
- · Left and right expansion buffer (leading and trailing buffer) 😄
- · Circular buffer 😥

Leading and Trailing Buffer

```
public class Builder {
    private int[] buffer;
    private int start;
   private int end;
    public int size() { return end - start; }
    public int capacity() { return buffer.length; }
    public int leadingCapacity() { return start; }
    public int trailingCapacity() { return buffer.length - end; }
    public int freeCapacity() { return start + buffer.length - end; }
    private void ensureLeadingCapacity(int required) { /* ... */ }
    private void ensureTrailingCapacity(int required) { /* ... */ }
```

Implementing Methods

```
public class Builder {
    public Builder prepend(int value) {
        ensureLeadingCapacity(1);
        buffer[start - 1] = value;
        start--;
        return this;
    public Builder append(int value) {
        ensureTrailingCapacity(1);
        buffer[end] = value;
        end++;
        return this;
```

Informing the Builder of Future Intent

```
final IntList numbers = IntList.of(6, 5, 4);
final IntList modifiedNumbers = numbers.toBuilder(3, 1)
        // Leading capacity 3, trailing capacity 1
        .swap(0, 2)
        append(7)
        // Trailing buffer is used, no reallocation nor move is performed
        .prependAll(IntList.of(1, 2, 3))
        // Leading buffer is used, no reallocation nor move is performed
        .map(i \rightarrow i * 10)
        .build();
```

Practice: Exploring Optimizing API

Generate a list of numbers from 1 to 20 (rangeClosed), beginning with 1 (prepend), ending with 19 and 20 (appendAll), and all mixed in-between (shuffle)

Implement with optimizing mutable API (build with parameters)

Phase 3

Performance Testing

Assessing efficiency

The Challenge with Microbenchmarks

- Easy to manipulate
- Easy to misinterpret
- Benchmarking on JVM is full of pitfalls

JMH

- JMH stands for Java Microbenchmarking Harness
- Accounts for JVM optimizations and other pitfalls
- Provides accurate, reproducible benchmarking results
- Supports various modes of benchmarking, including throughput, average time, and sample time

Setup

```
public class IntListBenchmark {
   public static class Append {
        // ...
   public static void main(String[] args) throws RunnerException {
        final Options options = new OptionsBuilder()
                .include(IntListBenchmark.Append.class.getSimpleName())
                .forks(1)
                .build();
        new Runner(options).run();
```

Benchmarks

```
public class IntListBenchmark {
    public static class Append {
        @Benchmark
        @BenchmarkMode(Mode.Throughput)
        public void list() { /* ... */ }
        @Benchmark
        @BenchmarkMode(Mode.Throughput)
        public void array() { /* ... */ }
        @Benchmark
        @BenchmarkMode(Mode.Throughput)
        public void intlist() { /* ... */ }
    } // ...
```

Benchmarking List<Integer>

```
@Benchmark
@BenchmarkMode(Mode.Throughput)
public void list() {
    final List<Integer> initial = List.of(1, 2, 3);
    final List<Integer> buffer = new ArrayList<>(initial);
    for (int i = 4; i \le 10; i++) {
        buffer.add(i);
    final List<Integer> result = Collections.unmodifiableList(buffer);
```

Benchmarking int[]

```
@Benchmark
@BenchmarkMode(Mode.Throughput)
public void array() {
    int[] result = new int[]{1, 2, 3};
    for (int i = 4; i \le 10; i++) {
        result = ArrayUtils.add(result, i);
```

Benchmarking IntList

```
@Benchmark
@BenchmarkMode(Mode.Throughput)
public void intlist() {
    final IntList initial = IntList.of(1, 2, 3);
    final IntList.Builder builder = initial.toBuilder(0, 10);
    for (int i = 4; i \le 10; i++) {
        builder.append(i);
    final IntList result = builder.build();
```

Benchmark Results

Benchmark	Mode	Cnt	Score	Error	Units
IntListBenchmark.Append.list	thrpt	5	5728576,691 ±	1758171,235	ops/s
IntListBenchmark.Append.array	thrpt	5	5103144,599 ±	473447,116	ops/s
IntListBenchmark.Append.intlist	thrpt	5	15538682,673 ±	5607228,935	ops/s

Practice: Benchmarking APIs

Generate a list of numbers from 1 to 20 (rangeClosed), beginning with 1 (prepend), ending with 19 and 20 (appendAll), and all mixed in-between (shuffle)

- Benchmark immutable API implementation
- Benchmark mutable API implementation
- Benchmark optimizing mutable API implementation

Phase 4

Scaling Implementation

Reaching for simplicity

Mirrored Immutable and Mutable API

Mutable API

- Implemented in IntList.Buffer
- Focus on efficiency
- Actual implementation for methods

Immutable API

- Implemented in IntList
- Focus on ease of use
- Most methods perform delegation to corresponding method in IntList.Buffer

Transformation method

```
public class IntList {
    public IntList set(int index, int value) {
        return toBuilder() // Create a mutable builder from this immutable IntList
                .set(index, value) // Let the builder perform the transformation on itself
                .unsafeBuild(); // Create an immutable IntList from this mutable builder
    public static class Builder {
        public Builder set(int index, int value) {
            // Actual implementation
            // This is where the transformation is actually performed by mutating this mutable builder.
            return this; // Return this builder
```

50

Query method

```
public class IntList {
    public boolean contains(int value) {
        return unsafeToBuilder() // Create a mutable builder from this immutable IntList
                .contains(value); // Let the builder perform the computation and return the result
    public static class Builder {
        public boolean contains(int value) {
            // Actual implementation
            // This is where the computation is actually performed
```

Phase 5

Testing Strategy

Reaching for correctness

Testing

- Example-based testing with jqwik (maybe JUnit 5 in the future)
 - Typical test case
 - Assert conditions that should apply to the test case
- Property testing with jqwik
 - Large number of generated test cases
 - · Assert conditions (called **properties**) that should apply to all these test cases
- Statefull property testing with jqwik
 - Property testing generalized to test subject with mutable state
 - Too deep for today
 - Still experimental

Example-Based Testing

```
@Group
class IntListTest { // ...
    @Group
    class IntListFeatures { // ...
        @Example
        void appendAll() {
            assertThat(IntList.of(10, 20, 30).appendAll(IntList.of(31, 32, 33)))
                    .isEqualTo(IntList.of(10, 20, 30, 31, 32, 33));
        @Example
        void prependAll() {
            assertThat(IntList.of(10, 20, 30).prependAll(IntList.of(1, 2, 3)))
                    .isEqualTo(IntList.of(1, 2, 3, 10, 20, 30));
       } // ...
   } // ...
```

Property Testing

```
@Group
class IntListTest { // ...
   @Group
    class IntListProperties { // ...
      @Property
      void appendAll_prependAll(
              @ForAll("intList") IntList as,
              @ForAll("intList") IntList bs) {
        final IntList 11 = as.appendAll(bs);
        final IntList 12 = bs.prependAll(as);
        assertThat(l1).isEqualTo(l2);
     } // ...
   } // ...
```

Generators for Property Testing

```
@Group
class IntListTest { // ...
    @Group
    class IntListProperties { // ...
   } // ...
    @Provide("intList")
    Arbitrary<IntList> intList() {
        return integer()
                .array(int[].class)
                .ofMinSize(∅)
                .ofMaxSize(100)
                .map(IntList::of);
```

Contributing

Many ways to contribute

- Feedback about a feature
- Proposal for a feature
- Prototype
- Documentation
- Code

Practice: Implementing Features

Inspire from Vavr Vector API

```
.removeFirst(IntPredicate) / .removeLast(IntPredicate)
```

- .reject(IntPredicate)
- .rotateLeft(int) / .rotateRight(int)
- .distinct()
- .indexWhere(IntPredicate) / .lastIndexWhere(IntPredicate)
- .count(IntPredicate) / .forAll(IntPredicate) / .exists(IntPredicate)
- .sum() / .product()