



Universität Augsburg  
Fakultät für Angewandte  
Informatik

# Emotional face generation with adversarial networks

Nicolas Kolbenschlager

Supervisor: Vincent Karas, M.Sc.

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# Agenda

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- 1 About the topic
- 2 Generative adversarial neural networks
- 3 Generating random faces
- 4 Generating specific emotions
- 5 Difficulties encountered during training
- 6 Results and evaluation
- 7 Future work
- 8 References

# About the topic

## What, why and how?

- The successful training of machine learning models depends heavily on the quality and quantity of the used training data
- The goal of this work is to support the classification task of human emotion recognition, by **generating new images of human faces expressing specific emotions**
- Therefore, a Generative Adversarial Network (GAN) was trained on existing and annotated images from the AffWild2-Dataset <sup>[10]</sup>



Examples of the dataset (AffWild2)

# Generative adversarial neural networks

## What is a GAN?

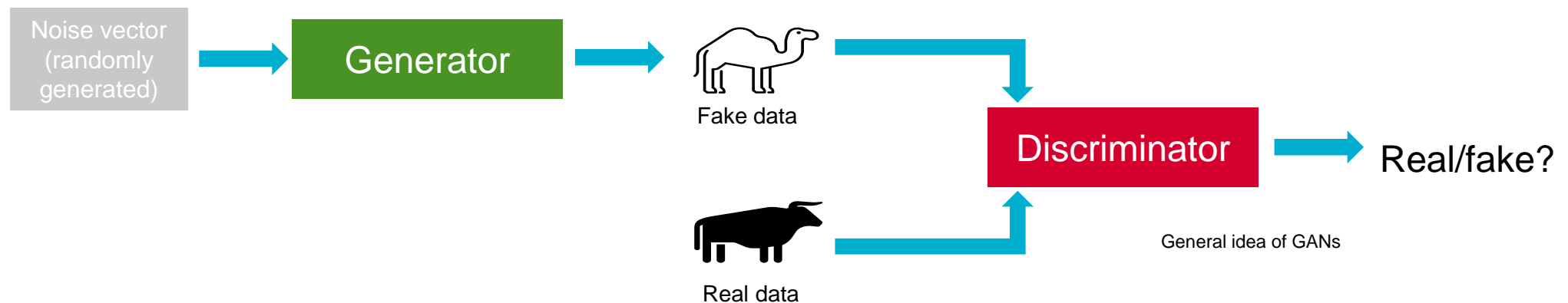
- Neural network architecture for unsupervised learning
- Name origin:
  - Generative: It generates new samples
  - Adversarial: That is done by “playing out two neural nets against each other”
- Consists of two different neural networks
  - Generator: Takes noise as input and outputs the “fake data” (data with same shape as the target samples)
  - Discriminator: Takes data (real and fake) as input and is trained to classify whether input is real (from dataset) or fake (from the generator)

*“We propose a new framework for estimating generative models via an adversarial process, in which we simultaneously train two models: a generative model  $G$  that captures the data distribution, and a discriminative model  $D$  that estimates the probability that a sample came from the training data rather than  $G$ . The training procedure for  $G$  is to maximize the probability of  $D$  making a mistake.” [1]*

# Generative adversarial networks

## What is a GAN?

- The aim is to confuse the discriminator, so that it can't predict whether input is real or fake
- If that is achieved, the output of the generator could have been part of the original training set
- One training step of a GAN:
  1. Generate (predict) fake samples with generator
  2. Train **discriminator with these fakes and label FAKE** and some **real data and label REAL**
  3. Train the GAN with **discriminator weights frozen on label REAL** (the only way to minimize training error is that output of the generator is like real data)





# Generating random faces

## First approach

- Before trying to generate specific emotions in human faces, the goal was to get a basic GAN, like described before, working
- Therefore, the labels of the images from the dataset were completely ignored and a basic GAN was trained on ~3k images from ~200 different people



Generated images after 10 epochs

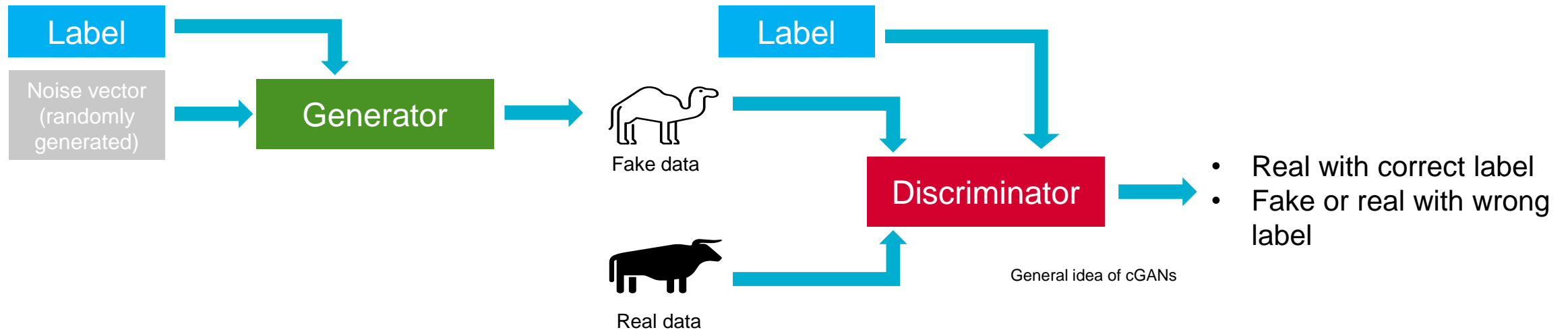
Generated images after 50 epochs

Generated images after 100 epochs

# Generating specific emotions

## What is a Conditional GAN (cGAN)?

- After generating faces without paying attention to their emotions, the labels of the dataset (condition) were added to the GAN
- A conditional GAN works like a usual unconditional GAN, but both the generator and the discriminator are given the label as additional input <sup>[2]</sup>
- The discriminator not only predicts whether the input image is real or fake, but rather if whether it is real with the correct emotion or fake or with the wrong emotion



# Difficulties encountered during training

## Mode collapse and Wasserstein-loss

- If the generator finds a relatively good output, it may learn to only produce that output
- The discriminator now should/could reject it always, but if it is stuck into a local minimum, it doesn't and it is too easy for the generator, because he can output his same image again and again
- This error is called **mode collapse** <sup>[11]</sup>



Outputs of collapsed generator

- An approach to fix it is the **Wasserstein-loss-function** <sup>[7]</sup>
- The discriminator with the Wasserstein-loss doesn't classify binary (real/fake), but it predicts the authenticity of a sample continuously ( $-\infty, +\infty$ )  $\rightarrow$  tries to avoid vanishing gradients
- In principle it is very hard to say why a specific GAN collapses and how it can be fixed (even with Wasserstein-loss)



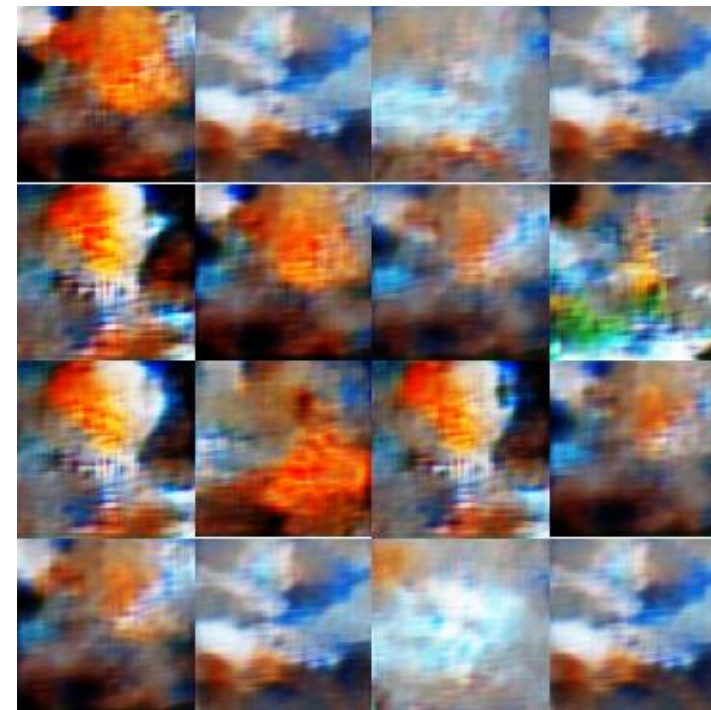
# Difficulties encountered during training

## Trying to overcome the collapse

- To rule out that a fundamental error in the model architecture causes the trouble, the model was trained on a different dataset (images of landscapes labelled according to the weather) <sup>[12]</sup>
- Although most generated images look similar, this experiment proved that the model could generate images without collapsing every time



Samples of the dataset



← Image of landscape in background and clouds

← Image that differs from the others

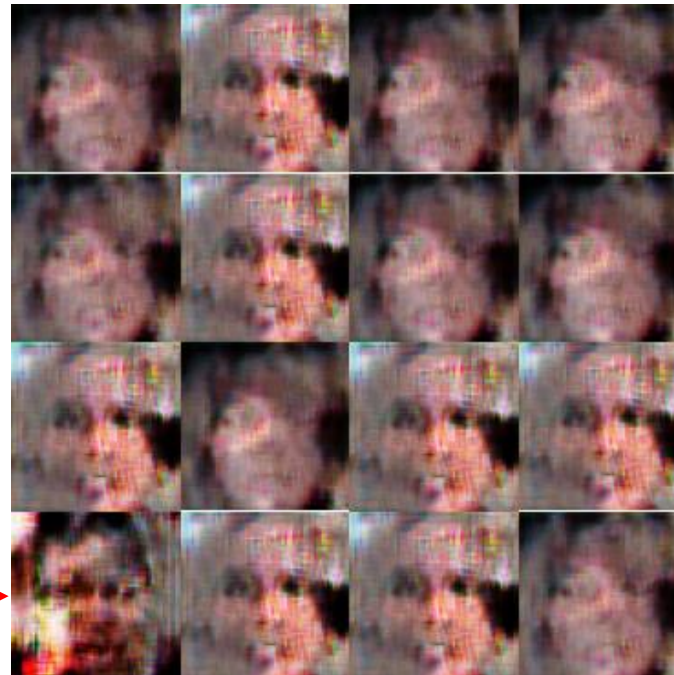
Generated images

# Results and evaluation

## The final results

- After varying the hyperparameters and model architecture several time, the model began to sometimes generate different images of faces
- Notable variation of the model architecture: the discriminator had two losses (Wasserstein for authenticity of the generated image and usual multi-classification for the category/emotion) [9]
- Although the generated images are not really usable, the results show the feasibility of generating human faces expressing emotions

Image that differs  
from the others



Generated images

# Future work

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## What could happen next?

### 1. Improve results

- More training: more data and more epochs
- Tune hyperparameter and vary model architecture (find combination that doesn't collapse)

### 2. Using for data augmentation

- The initial idea for the project was to improve classification of emotional faces by providing additional training samples
- A classifier could be trained on the real data and then on both real data and synthesized samples
- If the second approach delivers better results, the project would have served its purpose

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*Thank you for your attention.*

*Do you have any questions?*



Nicolas Kolbensschlag

Universität Augsburg

[nicolas.kolbensschlag@student.uni-augsburg.de](mailto:nicolas.kolbensschlag@student.uni-augsburg.de)

[www.uni-augsburg.de](http://www.uni-augsburg.de)