



# Constraints on the Progenitor of SN 2016gkg from Its Shock-cooling Light Curve

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## Abstract

SN 2016gkg is a nearby SN Iib discovered shortly after explosion. Like several other Type Iib events with early-time data, SN 2016gkg displays a double-peaked light curve, with the first peak associated with the cooling of a low-mass extended progenitor envelope. We present unprecedented intranight-cadence multi-band photometric coverage of the first light curve peak of SN 2016gkg obtained from the Las Cumbres Observatory Global Telescope network, the Asteroid Terrestrial-impact Last Alert System, the *Swift* satellite, and various amateur-operated telescopes. Fitting these data to analytical shock-cooling models gives a progenitor radius of  $\sim 40\text{--}150 R_{\odot}$  with  $\sim 2\text{--}40 \times 10^{-2} M_{\odot}$  of material in the extended envelope (depending on the model and the assumed host-galaxy extinction). Our radius estimates are broadly consistent with values derived independently (in other works) from *HST* imaging of the progenitor star. However, the shock-cooling model radii are on the lower end of the values indicated by pre-explosion imaging. Hydrodynamical simulations could refine the progenitor parameters deduced from the shock-cooling emission and test the analytical models.

**Key words:** supernovae: general – supernovae: individual (SN 2016gkg)

**Supporting material:** data behind figure

## 1. Introduction

Type Iib supernovae (SNe) are a class of explosions defined by the presence of hydrogen in their spectra (similar to SNe II) at early times and strong helium lines (similar to SNe Ib) at later times. Such spectral evolution suggests that SN Iib progenitors are partially stripped stars, having lost some but not all of their hydrogen envelope.

Curiously, some SNe Iib show double-peaked light curves (Richmond et al. 1994; Arcavi et al. 2011; Kumar et al. 2013; Bufano et al. 2014; Morales-Garoffolo et al. 2014), apparent in all optical bands. The common interpretation is that the first peak is due to the cooling of the ejecta following the shock breakout, while the second peak is from nickel-decay power.

The first double-peaked SN Iib to have been studied with modern shock-cooling models was SN 2011dh (Arcavi et al. 2011). Its rapid temperature evolution, when compared to the Rabinak & Waxman (2011) models, suggested a compact ( $R \sim 10^{11}$  cm) progenitor, while pre-explosion *HST* images indicated an extended ( $R \sim 10^{13}$  cm) progenitor (Maund et al. 2011; Van Dyk et al. 2011, 2013). This discrepancy was later attributed to the fact that the Rabinak & Waxman (2011) models consider red supergiants (RSGs) and

blue supergiants (BSGs) with massive envelopes, while the progenitors of double-peaked Iib SNe likely have a different structure.

Bersten et al. (2012) showed that hydrodynamical modeling of SN 2011dh as the explosion of a star with a compact core and a low-mass extended envelope can reproduce the full double-peaked light curve, its fast temperature evolution and a progenitor radius consistent with that inferred from pre-explosion *HST* imaging (such a structure had in fact already been suggested for the progenitor star of the first double-peaked Type Iib SN 1993J by Hoflich et al. 1993 and Woosley et al. 1994).

Nakar & Piro (2014, hereafter NP14) later confirmed that progenitors with massive envelopes (which they call “standard progenitors”) cannot produce double-peaked light curves in the redder (e.g., *R* and *I*) bands and that stars with low-mass extended envelopes (which they call “non-standard progenitors”) are indeed required. NP14 also reproduced the Bersten et al. (2012) progenitor parameters for SN 2011dh using approximate analytical expressions linking the time and luminosity of the first peak to the pre-explosion mass and radius of the extended material. Piro (2015, hereafter P15) later expanded the NP14 model, providing an analytical expression for the full light curve shape around the first peak. Both NP14 and P15 assume that the extended mass is lower than the core

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mass and that it is concentrated around the outer radius of the envelope, but make no explicit assumptions on the precise density profile, whether it is polytropic or not. More recently, Sapir & Waxman (2016, hereafter **SW16**) extended the analytical model of Rabinak & Waxman (2011), which specifically considers polytropic density profiles, to later times by calibrating it to numerical simulations. Unlike the original Rabinak & Waxman (2011) model, the **SW16** extension can produce double-peaked light curves in all optical bands, for low enough envelope masses.

Here, we present early-time multi-band observations of the Type IIb SN 2016gkg, covering its shock-cooling peak in unprecedented detail. SN 2016gkg was discovered by A. Buso at  $\alpha(\text{J2000}) = 0^{\text{h}}34^{\text{m}}14^{\text{s}}.46$  and  $\delta(\text{J2000}) = -29^{\circ}26'25''.0$  on September 20.19 UT<sup>15</sup> and reported by A. Buso and S. Otero.<sup>16</sup> Shortly after discovery, the transient was confirmed by the All Sky Automatic Survey for SNe (ASAS-SN; Nicholls et al. 2016) and by the Asteroid Terrestrial-impact Last Alert System (ATLAS; Tonry 2011; Tonry et al. 2016). SN 2016gkg was initially classified as an SN II owing to broad  $\text{H}\alpha$  and  $\text{H}\beta$  P-Cygni features in its spectrum (Jha et al. 2016). The emergence of broad He I features later refined the classification to that of a SN IIb (Van Dyk et al. 2016).

Pre-explosion imaging of the SN site from *HST* (Kilpatrick et al. 2016b) has been used to derive a progenitor radius estimate of  $138^{+131}_{-103} R_{\odot}$  (Kilpatrick et al. 2016a) and  $\sim 150\text{--}320 R_{\odot}$  (Tartaglia et al. 2016, considering both their progenitor candidates). Kilpatrick et al. (2016a) further find that a broad progenitor radius range of  $257^{+389}_{-189} R_{\odot}$  is consistent with the rise to the first light curve peak using the Rabinak & Waxman (2011) model. Tartaglia et al. (2016) fit this model to the first few days of the temperature evolution (rather than the luminosity evolution) and find it to be consistent with a progenitor radius of  $\sim 48\text{--}124 R_{\odot}$ .

In this work, we fit the **NP14**, **P15**, and the **SW16** models, which are better suited for double-peaked SNe IIb, to the early-time light curve of SN 2016gkg in order to test these models and obtain an independent estimate of the progenitor and explosion properties.

## 2. Observations

We compile data from the discovery report by A. Buso and S. Otero, publicly available follow-up observations taken with the Las Cumbres Observatory Global Telescope (LCOGT) network and ASAS-SN (Chen et al. 2016) and our reduction of publicly available *Swift* UVOT data for the first light curve peak, to which we add observations taken with 30–40 cm amateur-operated telescopes, our ATLAS early-time detections, and our own intensive follow-up campaign with LCOGT.

ATLAS is a twin 0.5 m telescope system on Haleakala and Mauna Loa. The first unit is operational on Haleakala and during the course of its robotic survey operations detected SN 2016gkg in two 30 s exposures 9.05 and 9.42 hr after discovery. The images were taken in the ATLAS orange filter (denoted *o*), which is a broad rectangular bandpass covering 5600–8200 Å and is primarily used during bright moon time. Image reductions were carried out with a customized pipeline, with zeropoint calibration calculated for each frame based on a custom catalog from Pan-STARRS1 (Magnier et al. 2013;

Schlafly et al. 2013). A reference sky frame was subtracted from the two target frames using a custom pipeline based on HOTPANTS and point-spread-function (PSF) photometry was measured using Gaussian profiles (see Tonry 2011 for a comparison between profiles).

Images by J. Sanchez were taken through a 40.6 cm Sky-watcher Newton *f*/4 telescope with a ZWO ASI1600 MM-C camera fitted with a ZWO UV IR CUT filter, which is a broad rectangular bandpass covering  $\sim 4000\text{--}7000 \text{ Å}$ .<sup>17</sup> Exposures were dark and flat corrected and then stacked. Images by B. Nicholls were taken through a 30 cm Meade LX200 *f*/10 telescope, which is in trust with C. Rowe and D. Victor, with an unfiltered ATIK 4000le camera. Exposures were stacked after dark subtractions. Images by B. Monard were taken through a 30 cm Meade RCX400 *f*/8 telescope with an unfiltered SBIG ST8-XME camera. Individual images were dark subtracted and flat fielded, and selective stacking was applied in median mode. Aperture photometry was used to measure the flux of the SN in the stack-combined image from each telescope. The photometry was then calibrated to *r*-band using stars from the APASS catalog (Henden et al. 2009).

LCOGT is a worldwide network of robotic 0.4, 1, and 2 m telescopes designed for high-cadence rapid response to transient events (Brown et al. 2013). Images of SN 2016gkg were obtained using the LCOGT 1 m telescopes at the South African Astronomical Observatory, the Cerro-Tololo Inter-American Observatory in Chile, and Siding Spring observatory in Australia using both SBIG and Sinistro cameras. Initial processing of the images was performed using the custom Python-based BANZAI pipeline. Photometry was then extracted using the PyRAF-based *lcoogtsnpipe* pipeline (Valenti et al. 2016) to perform PSF fitting and photometry extraction. The *BV gri*-band photometry was calibrated to the APASS catalog. The *U*-band photometry was calibrated to standard fields (Stetson 2000) observed on the same night as the SN field.

The *Swift* UVOT (Gehrels et al. 2004; Roming et al. 2005) data were reduced using the pipeline of the *Swift* Optical/Ultraviolet Supernova Archive (SOUSA; Brown et al. 2014). The reduction is based on that of Brown et al. (2009) using the revised UV zeropoints and time-dependent sensitivity from Breeveld et al. (2011).

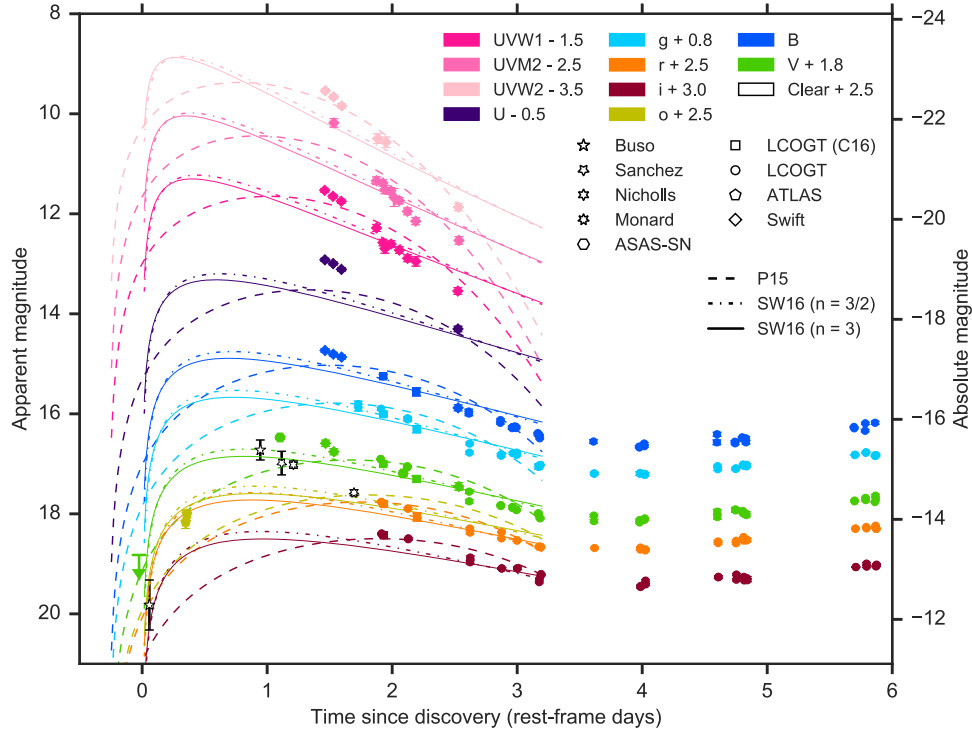
We adopt a distance of 26.4 Mpc and a distance modulus of 32.11 mag to SN 2016gkg, based on Tully–Fisher distance measurements (Tully et al. 2009) to its host galaxy, NGC 613, retrieved via the NASA Extragalactic Database (NED). We correct for Milky Way extinction using the dust maps of Schlafly & Finkbeiner (2011), obtained via NED, for the *UBV gri* filters (we use the *R*-band extinction values for correcting the Clear and *o*-band data). We use the Cardelli et al. (1989) law with  $R_V = 3.1$  to correct the *Swift* *UVW1*, *UVM2*, and *UVW 2* mag. From the Na I D EW values measured by Tartaglia et al. (2016) in a high-resolution spectrum, and using the conversions of Poznanski et al. (2012), we adopt a host-galaxy extinction of  $E(B - V) = 0.09^{+0.06}_{-0.03}$ .

Our data are presented in Figure 1, where ground-based *UBV* magnitudes are in the Vega system and *gori* magnitudes are in the AB system. The *Swift* data are presented in the UVOT system (Poole et al. 2008; Breeveld et al. 2011) and can be found also on SOUSA.

<sup>15</sup> <http://ooruri.kusastro.kyoto-u.ac.jp/mailarchive/vsnet-alert/20188>

<sup>16</sup> <https://wis-tns.weizmann.ac.il/object/2016gkg>

<sup>17</sup> <https://astronomy-imaging-camera.com/wp-content/uploads/IR-Window-graph1.jpg>



**Figure 1.** Early-time photometry of SN 2016gkg corrected for Milky Way extinction and a host-galaxy extinction value of  $E(B - V) = 0.09$  (Tartaglia et al. 2016). Best-fit P15 and SW16 models to the first peak are shown in lines. The Buso & Otero (stars), ASAS-SN (hexagons and upper limit arrow), and publicly available LCOGT data (squares) are taken from Chen et al. (2016). Error bars denote  $1\sigma$  uncertainties (they are sometimes smaller than the data markers). The SW16 models are plotted for their respective validity time ranges (Equation (9)).

(The data used to create this figure are available.)

### 3. Analysis

We consider three analytical models of the shock-cooling emission: NP14, which connects the time and luminosity of the first light curve peak to the radius and mass of the extended envelope; P15, which extends this model to an expression of the early-time light curve peak; and SW16, which extends the Rabinak & Waxman (2011) models to an expression of the early-time light curve peak.

#### 3.1. NP14 Model

NP14 provide a relation between the luminosity of the first peak and the radius  $R_e$  of the extended envelope of the progenitor star, and between the time of the first peak and the mass  $M_e$  concentrated around  $R_e$ :

$$R_e \approx 2 \times 10^{13} \kappa_{0.34}^{-1/4} \left[ \frac{L_{\text{bol}}(t_p)}{10^{43} \text{ erg s}^{-1}} \right] v_9^{-2} \text{ cm}, \quad (1)$$

$$M_e \approx 5 \times 10^{-3} \kappa_{0.34}^{-1} v_9 \left( \frac{t_p}{1 \text{ day}} \right)^2 M_\odot, \quad (2)$$

where  $\kappa_{0.34}$  is the opacity in units of  $0.34 \text{ cm}^2 \text{ g}^{-1}$ ,  $v_9$  is the characteristic velocity  $v_e$  of the extended material in units of  $10^9 \text{ cm s}^{-1}$ , and  $L_{\text{bol}}(t_p)$  is the bolometric luminosity at the time of first peak  $t_p$ .

We fit the A. Buso and V-band data with a smoothing spline to interpolate the time and luminosity of the first peak. We set the explosion time to that found by the best P15 fit (see below). Since we do not have multi-band coverage at  $t_p$ , we approximate  $L_{\text{bol}}(t_p)$  as the interpolated V-band peak luminosity times a

bolometric correction, assuming a blackbody with temperature  $T_{\text{obs}}$  at peak. An estimate of this temperature, as given by NP14, is

$$T_{\text{obs}}(t_p) \approx 3 \times 10^4 \kappa_{0.34}^{-1/4} \left( \frac{t_p}{1 \text{ day}} \right)^{-1/2} R_{13}^{1/4} \text{ K}, \quad (3)$$

where  $R_{13} = R_e / 10^{13} \text{ cm}$ .

Since  $L_{\text{bol}}(t_p)$  is required in order to obtain  $R_e$ ,  $T_{\text{obs}}(t_p)$  is required in order to obtain  $L_{\text{bol}}(t_p)$ , and  $R_e$  is required to obtain  $T_{\text{obs}}(t_p)$ , we solve for  $R_e$ ,  $L_{\text{bol}}(t_p)$  and  $T_{\text{obs}}(t_p)$  iteratively until the difference in the values of  $R_e$  and  $T_{\text{obs}}(t_p)$  between consecutive iterations is less than 1%. The solution converges within a few iterations and is not sensitive to the initial values used.

This model assumes one characteristic velocity for the extended material,  $v_e$ . In reality, there is a velocity gradient in the envelope. Jha et al. (2016) measure an expansion velocity of  $1.7 \times 10^9 \text{ cm s}^{-1}$  from the minimum of the H $\alpha$  P-cygni line in the first SN 2016gkg spectrum obtained 1.7 days from discovery. We set  $v_e$  to this value, though the exact relation between  $v_e$  and the measured expansion velocity of H depends on the velocity profile of the expanding envelope, which is not known.

#### 3.2. P15 Model

We cast the P15 analytical expression for the shape of the first light curve peak (their Equation (15)) in terms of  $M_e$ ,  $R_e$ ,

**Table 1**  
Best-fit Parameters to the Early-time Light Curve Peak from the **NP14**, **P15**, and **SW16** Models,  
Assuming the Nominal, Lower, and Upper Values for the Host Extinction

Parameter	$E(B - V)$	NP14	P15	SW16	
				( $n = 3/2$ )	( $n = 3$ )
$R_e$ [ $10^{12}$ cm]	0.06	$8.64^{+0.34}_{-0.34}$	$2.90^{+0.03}_{-0.03}$	$2.47^{+0.02}_{-0.02}$	$3.70^{+0.04}_{-0.04}$
	0.09	$9.13^{+0.34}_{-0.34}$	$4.00^{+0.05}_{-0.05}$	$3.07^{+0.04}_{-0.03}$	$4.57^{+0.06}_{-0.05}$
	0.15	$10.15^{+0.13}_{-0.13}$	$8.03^{+0.11}_{-0.11}$	$4.90^{+0.06}_{-0.06}$	$7.30^{+0.52}_{-0.10}$
$R_e$ [ $R_\odot$ ]	0.06	$124.3^{+4.9}_{-4.9}$	$41.8^{+0.5}_{-0.4}$	$35.6^{+0.3}_{-0.3}$	$53.3^{+0.6}_{-0.5}$
	0.09	$131.3^{+4.9}_{-4.9}$	$57.5^{+0.7}_{-0.7}$	$44.1^{+0.6}_{-0.5}$	$65.7^{+0.9}_{-0.7}$
	0.15	$146.0^{+1.8}_{-1.8}$	$115.5^{+1.6}_{-1.5}$	$70.5^{+0.8}_{-0.8}$	$105.0^{+7.5}_{-1.4}$
$M_e$ [ $10^{-2} M_\odot$ ]	0.06	$1.60^{+0.05}_{-0.05}$	$2.72^{+0.01}_{-0.01}$	$4.22^{+0.02}_{-0.02}$	$40.96^{+0.27}_{-0.29}$
	0.09	$1.60^{+0.05}_{-0.05}$	$2.50^{+0.01}_{-0.01}$	$3.99^{+0.02}_{-0.03}$	$38.34^{+0.26}_{-0.30}$
	0.15	$1.62^{+0.05}_{-0.05}$	$2.11^{+0.01}_{-0.01}$	$3.58^{+0.02}_{-0.02}$	$33.58^{+0.23}_{-1.14}$
$t_{\text{offset}}$ [days]	0.06	n/a	$-0.245^{+0.002}_{-0.002}$	0	0
	0.09	n/a	$-0.247^{+0.002}_{-0.002}$	0	0
	0.15	n/a	$-0.236^{+0.002}_{-0.002}$	0	0
$v_e$ [ $10^9$ cm s $^{-1}$ ]	0.06	1.7 (fixed)	$2.11^{+0.01}_{-0.01}$	$2.45^{+0.01}_{-0.01}$	$2.64^{+0.01}_{-0.01}$
	0.09	1.7 (fixed)	$2.05^{+0.01}_{-0.01}$	$2.47^{+0.01}_{-0.01}$	$2.69^{+0.01}_{-0.01}$
	0.15	1.7 (fixed)	$1.92^{+0.01}_{-0.01}$	$2.49^{+0.01}_{-0.01}$	$2.76^{+0.01}_{-0.01}$

**Note.** Errors denote 68% confidence bounds. The **SW16** models prefer an explosion time consistent with the discovery epoch.

$v_9$ , and the mass of the core  $M_c$ :

$$\begin{aligned}
 L(t) \approx & 8.27 \times 10^{42} \kappa_{0.34}^{-1} v_9^2 R_{13} \left( \frac{M_c}{M_\odot} \right)^{0.01} \\
 & \times \exp[-4.135 \times 10^{-11} t (tv_9 + 2 \times 10^4 R_{13})] \\
 & \times \kappa_{0.34}^{-1} \left( \frac{M_c}{M_\odot} \right)^{0.01} \left( \frac{M_e}{0.01 M_\odot} \right)^{-1} \text{erg s}^{-1}, \quad (4)
 \end{aligned}$$

where  $t$  is the time since explosion in seconds. We set  $M_c = M_\odot$  (the dependence on this parameter is very weak).

Following **P15** we assume the emission is a blackbody at radius  $R(t) = R_e + v_e t$ . This allows us to estimate the temperature

$$T(t) = \left[ \frac{L(t)}{4\pi R^2(t) \sigma_{\text{SB}}} \right]^{1/4} \quad (5)$$

(with  $\sigma_{\text{SB}}$  the Stefan–Boltzmann constant) and thus the luminosity in any band, given  $R_e$ ,  $M_e$ ,  $v_e$ , and the explosion time.

### 3.3. SW16 Model

**SW16** extend the analytical models of Rabinak & Waxman (2011) for the temperature and luminosity of the shock-cooling emission out to a few days post-explosion. At these phases, as the radiation emerges from inner layers, the self-similar solution determined by Rabinak & Waxman (2011) is no longer valid. Instead, for low enough envelope masses, **SW16** find that the luminosity is suppressed, producing an early-time light curve peak.

The final expression for the luminosity according to **SW16** is

$$\begin{aligned}
 L(t) = & 1.88 [1.66] \times 10^{42} \\
 & \times \left( \frac{v_{s,8.5} t^2}{f_\rho M \kappa_{0.34}} \right)^{-0.086[-0.175]} \frac{v_{s,8.5}^2 R_{13}}{\kappa_{0.34}} \\
 & \times \exp \left\{ - \left[ \frac{1.67 [4.57] t}{(19.5 \kappa_{0.34} M_e v_{s,8.5}^{-1})^{0.5}} \right]^{0.8[0.73]} \right\} \text{erg s}^{-1} \quad (6)
 \end{aligned}$$

for a polytropic index of  $n = 3/2[3]$  typical of stars with convective envelopes such as RSGs [stars with radiative envelopes such as BSGs], where  $t$  here is in days,  $M_e$  and  $M = M_e + M_c$  are in solar masses,  $v_{s,8.5}$  is the velocity of the shock  $v_s$  in units of  $10^{8.5}$  cm s $^{-1}$ , and

$$f_\rho \approx \begin{cases} (M_e/M_c)^{0.5} & n = 3/2 \\ 0.08 (M_e/M_c) & n = 3 \end{cases} \quad (7)$$

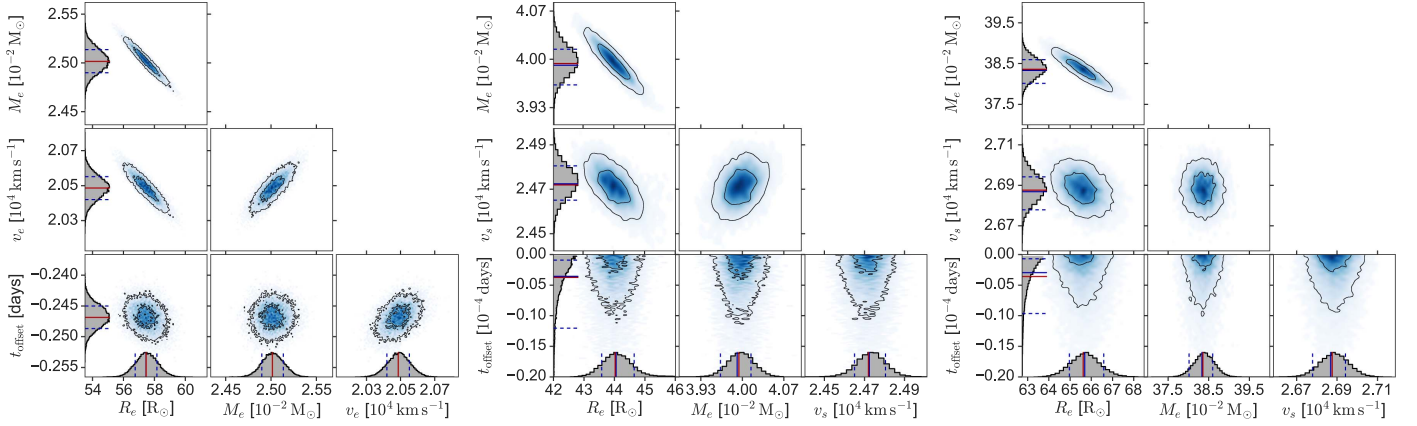
As in the **P15** fits, we fix  $M_c = M_\odot$ .

The color temperature is given by

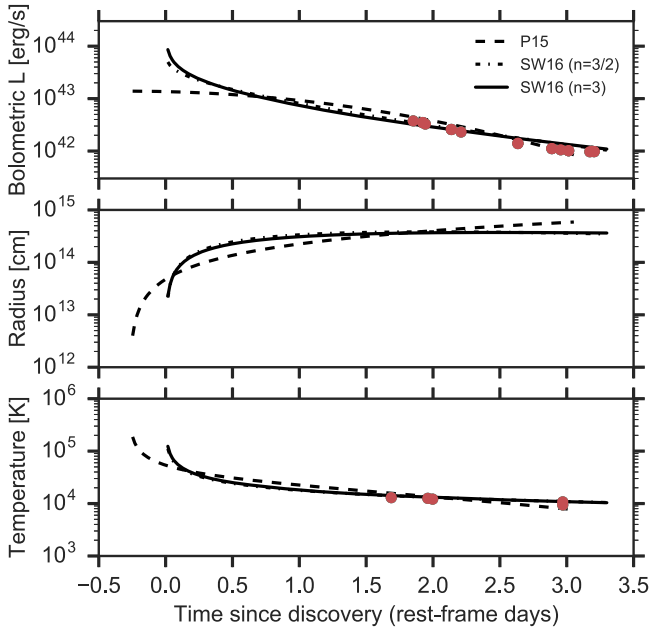
$$\begin{aligned}
 T(t) \approx & 2.05 [1.96] \times 10^4 \\
 & \times \left( \frac{v_{s,8.5}^2 t^2}{f_\rho M \kappa_{0.34}} \right)^{0.027[0.016]} \frac{R_{13}^{0.25}}{\kappa_{0.34}^{0.25}} t^{-0.5} \text{K}, \quad (8)
 \end{aligned}$$

where  $t$  is in days and  $M$  is in solar masses. This, again, allows us to fit any band as a function of  $R_e$ ,  $M_e$ ,  $v_s$ , and the explosion time.





**Figure 2.** Parameter distribution for the model fits (left to right: **P15**, **SW16** [ $n = 1.5$ ], **SW16** [ $n = 3$ ]) assuming the nominal host-extinction value of  $E(B - V) = 0.09$ . The contour lines denote 50% and 90% bounds. The red and blue solid lines overplotted on each histogram denote the mean and median of each parameter distribution (respectively). The dashed lines denote 68% confidence bounds.



**Figure 3.** Bolometric luminosity (top), blackbody radius (middle), and temperature (bottom) from the best-fit **P15** and **SW16** models to the first light curve peak of SN 2016gkg assuming the nominal host-galaxy extinction value of  $E(B - V) = 0.09$ . The points are the pseudo-bolometric light curve (top) and temperature measurements (bottom) from Tartaglia et al. (2016).

According to **SW16**, the validity of this model is limited to times

$$t > 0.2 \frac{R_{13}}{v_{s,8.5}} \max \left[ 0.5, \frac{R_{13}^{0.4}}{(f_p \kappa_{0.34} M)^{0.2} v_{s,8.5}^{0.7}} \right] \text{ days}, \quad (9)$$

$$t < 7.4 \left( \frac{R_{13}}{\kappa_{0.34}} \right)^{0.55} \text{ days}. \quad (10)$$

For all model fits we set  $\kappa_{0.34} = 1$ , as appropriate for electron scattering of solar composition material. For the **P15** and **SW16** models we simultaneously fit all bands (fitting the Clear data to the  $r$ -band model magnitudes) out to 3.2 days from discovery, using the Markov Chain Monte Carlo method through the Python `emcee` package (Foreman-Mackey et al. 2012) with

$R_e$ ,  $M_e$ ,  $v_e$  (or  $v_s$  for the **SW16** models), and  $t_{\text{offset}}$  (the offset between discovery and explosion) as free parameters. We allow the explosion time to vary between the discovery date and 0.5 days before the discovery date. We weight the data according to their uncertainties and linearly with time since explosion (with points closer to discovery given larger weights in order to offset the larger number of points post-peak).

We repeat the fit for three values of host-extinction: the nominal value of  $E(B - V) = 0.09$ , and the lower and upper bounds of  $E(B - V) = 0.06$  and  $E(B - V) = 0.15$ .

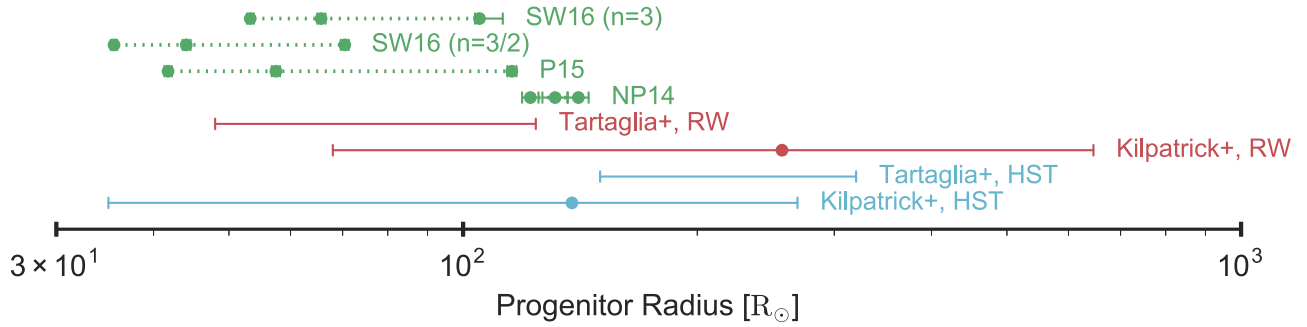
By performing spectrophotometry on the models to compare with the photometric observations, we implicitly take the characteristics of each filter transmission into account (Brown et al. 2016), including the optical tails (known as the “red leaks”) of the UVOT *UVW2* and *UVW1* filters.

#### 4. Results and Discussion

The best-fit values of  $R_e$ ,  $M_e$ ,  $v_e$  (or  $v_s$ ), and  $t_{\text{offset}}$  from each model fit are presented in Table 1. The best-fit models are presented in Figure 1, and the distribution of the parameters in Figure 2. The bolometric luminosity, radius, and temperature evolution of the best-fit **P15** and **SW16** models are consistent with the measurements of Tartaglia et al. (2016; Figure 3).

The **P15** fit reproduces the decline from the first peak in all bands (dashed lines in Figure 1), but does not capture the sharp peak itself. One of the limitations of the **P15** approach is that it does not consider the details of the stellar density profile, and thus might overestimate the luminosity and underestimate the temperature at the early rise to the first peak (**P15**). The **SW16** fits, on the other hand, reproduce the shape of the first peak more accurately, but they miss some of the sharp decline in the UV bands.

The analytical approximations in **NP14** and **P15** claim to provide parameter estimates that are accurate to within a factor of a few. Within that accuracy, the results presented here are consistent with the results of Kilpatrick et al. (2016a) and Tartaglia et al. (2016) from both the *HST* pre-explosion imaging and their respective Rabinak & Waxman (2011) fits (Figure 4). However, all models (for the three assumed host-extinction values) prefer smaller progenitor radii overall than those estimated by Tartaglia et al. (2016) from *HST* imaging.



**Figure 4.** Radius estimates of the progenitor of SN 2016gkg from (bottom to top) *HST* imaging analysis of Kilpatrick et al. (2016a) and Tartaglia et al. (2016, considering both their progenitor candidates), Rabinak & Waxman (2011) fits to the early light curve from Kilpatrick et al. (2016a) and to the early temperature evolution from Tartaglia et al. (2016), and the three methods presented in this work for the low, nominal, and high estimates of the host-galaxy extinction. The methods of NP14 and P15 rely on analytical approximations that are accurate up to factors of a few—a systematic uncertainty that is not plotted here.

The P15 fit converges on an expansion velocity  $v_e$ , which is within  $\sim 20\%$  of the value measured independently from the H $\alpha$  P-Cygni line in a spectrum taken during the shock-cooling peak (Jha et al. 2016). This is an important consistency check.

However, the shock velocity  $v_s$  preferred by the SW16 model fits is a factor of  $\sim 1.6$  larger than the H $\alpha$  expansion velocity measured by Jha et al. (2016), when in fact  $v_s$  is expected to be approximately half of the expansion velocity of some mass coordinate (Matzner & McKee 1999). This discrepancy might be due to our use of the fiducial approximations to  $f_p$  from SW16 (Equation (7)), which may not be accurate for the progenitor of SN 2016gkg. Similarly, the fiducial scaling factor we use for the temperature evaluation (Equation (8)) may differ from the true value appropriate for this event.

Full hydrodynamical modeling of our data could allow for more accurate determinations of the properties of the progenitor of SN 2016gkg, and will be able to test the validity of the analytical approximations.

As wide-field transient surveys find more core collapse SNe at very early stages, and robotic telescopes obtain high-cadence multi-band follow-up during the first days since explosion, shock-cooling models will allow for progenitor properties to be mapped statistically for SN populations. It is therefore important to be able to calibrate these models correctly in cases like SN 2016gkg where both early data and direct pre-explosion imaging exist.

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