CS162 Operating Systems and Systems Programming Lecture 8

Concurrency

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Higher-level Primitives for Synchronization

Goal of last few lectures:

- What is right abstraction for synchronizing threads that share memory?
- Want as high a level primitive as possible

We're building higher-level synchronization primitives from hardware instructions, starting with building locks

Recall: Atomic Read-Write Instructions

```
test&set (&address) { /* most architectures */
   result = M[address];  // return result from "address" and
   M[address] = 1; // set value at "address" to 1
   return result;
compare&swap (&address, reg1, reg2) { /* x86 (returns old value), 68000 */
   if (reg1 == M[address]) { // If memory still == reg1,
       M[address] = reg2; // then put reg2 => memory
       return success;
                             // Otherwise do not change memory
   } else {
       return failure;
```

Recall: Simple locks using test&set

Busy-waiting lock that doesn't require entry into the kernel:

Better locks using test&set

Idea: only busy-wait to atomically check lock value, then put threads to sleep



```
int guard = 0; // Global Variable!
int mylock = FREE; // Interface: acquire(&mylock);
                         release(&mylock);
acquire(int *thelock) {
  // Short busy-wait time
                                        release(int *thelock) {
  while (test&set(guard));
                                           // Short busy-wait time
  if (*thelock == BUSY) {
                                           while (test&set(guard));
     put thread on wait queue;
                                           if anyone on wait queue {
     go to sleep() & guard = 0;
                                              take thread off wait queue
     // guard == 0 on wakeup!
                                              Place on ready queue;
                                           } else {
  } else {
                                              *thelock = FREE;
     *thelock = BUSY;
     guard = 0;
                                           guard = 0;
```

Linux futex: Fast Userspace Mutex

uaddr points to a 32-bit value in user space futex op

- FUTEX_WAIT if val == *uaddr sleep till FUTEX_WAKE
 - » **Atomic** check that condition still holds after we disable interrupts (in kernel!)
- FUTEX_WAKE wake up at most val waiting threads
- FUTEX_LOCK_PI, FUTEX_WAKE_OP, FUTEX_CMP_REQUEUE More interesting operations!

timeout

ptr to a timespec structure that specifies a timeout for the op

Linux futex: Fast Userspace Mutex

Interface to the kernel sleep() functionality!

Let thread put themselves to sleep – conditionally!

futex is not exposed in libc; it is used within the implementation of pthreads

- Can be used to implement locks, semaphores, monitors, etc...

Example: First try: T&S and futex

Sleep interface by using futex – no busy-waiting

No overhead to acquire lock if unlocked

Every release() has to call kernel to potentially wake someone up, even if no waiters

Example: Try #2: T&S and futex

```
bool maybe = false;
int mylock = 0; // Interface: acquire(&mylock, &maybe_waiters);
                              release(&mylock, &maybe_waiters);
acquire(int *thelock, bool *maybe) {
                                                 release(int *thelock, bool *maybe) {
                                                   thelock = 0;
  while (test&set(thelock)) {
                                                   if (*maybe) {
     // Sleep, since lock busy!
                                                      *maybe = false;
     *maybe = true;
                                                      // Try to wake up someone
     futex(thelock, FUTEX_WAIT, 1);
                                                      futex(thelock, FUTEX WAKE, 1);
     // Make sure other sleepers not stuck
     *maybe = true;
```

This is syscall-free in the uncontended case

 Temporarily falls back to syscalls if multiple waiters, or concurrent acquire/release

But it can be further optimized! See "Futexes are Tricky" by Ulrich Drepper

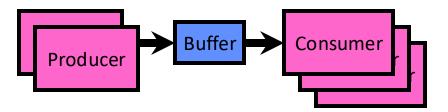
Where are we going with synchronization?

Programs	Shared Programs
Higher- level API	Locks Semaphores Monitors Send/Receive
Hardware	Load/Store Disable Ints Test&Set Compare&Swap

We are going to implement various higher-level synchronization primitives building up from atomic hardware operations

Everything is too painful if the only atomic primitives are instructions

Example: Producer-Consumer with a Bounded Buffer



Problem Definition

- Producer(s) put things into a shared buffer
- Consumer(s) take them out
- Need synchronization to coordinate producer/consumer

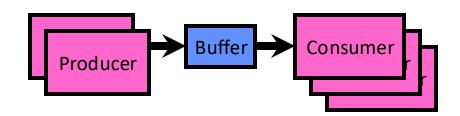
Don't want producer and consumer to have to work in lockstep, so put a fixedsize buffer between them

- Need to synchronize access to this buffer
- Producer needs to wait if buffer is full
- Consumer needs to wait if buffer is empty

Producer-Consumer with a Bounded Buffer

Example 1: GCC compiler

-cpp | cc1 | cc2 | as | ld



Example 2: Coke machine

- Producer can put limited number of Cokes in machine
- Consumer can't take Cokes out if machine is empty

Others: Web servers, Routers,

Circular Buffer Data Structure (sequential case)

```
typedef struct buf {
  int write_index;
  int read_index;
  <type> *entries[BUFSIZE];
} buf t;
```

Insert: write & bump write ptr (enqueue)

Remove: read & bump read ptr (dequeue)

How to tell if Full (on insert) Empty (on remove)?

And what do you do if it is?

What needs to be atomic?

Circular Buffer – first cut

```
mutex buf lock = <initially unlocked>
Producer(item) {
  acquire(&buf_lock);
  while (buffer full) {}; // Wait for a free slot
  enqueue(item);
  release(&buf_lock);
                                 Will we ever come out of
                                 the wait loop?
Consumer() {
  acquire(&buf_lock);
 while (buffer empty) {}; // Wait for arrival
  item = dequeue();
  release(&buf_lock);
  return item
```

Circular Buffer – 2nd cut



mutex buf_lock = <initially unlocked>

```
Producer(item) {
  acquire(&buf lock);
  while (buffer full) {release(&buf_lock); acquire(&buf_lock);}
  enqueue(item);
                                        What happens when one is waiting for the
  release(&buf_lock);
                                        other?
                                        - Multiple cores?
                                        - Single core?
Consumer() {
  acquire(&buf_lock);
  while (buffer empty) {release(&buf_lock); acquire(&buf_lock);}
  item = dequeue();
  release(&buf_lock);
  return item
```

Semaphores

Semaphores are a type of generalized lock

First defined by Dijkstra in late 60s

Main synchronization primitive in original UNIX



Semaphores

A Semaphore has a non-negative integer value and supports the following operations:

Set starting value when you initialize

```
    Down() or P(): an atomic operation that waits for semaphore to become passeren? positive, then decrements it by 1 prolaag? procure
    » Think of this as the wait() operation
```

```
    Up() or V(): an atomic operation that increments the semaphore by 1, vrijgave? waking up a waiting P, if any verhogen?
    » This of this as the signal() operation
```

Semaphores Like Integers Except...

Semaphores are like integers, except:

- No negative values
- Only operations allowed are P and V can't read or write value, except initially
- Operations must be atomic
 - » Two P's together can't decrement value below zero
 - » Thread going to sleep in P won't miss wakeup from V even if both happen at same time

Two Uses of Semaphores

Mutual Exclusion (initial value = 1)

Also called "Binary Semaphore" or "mutex".

Can be used for mutual exclusion, just like a lock:

```
semaP(&mysem);
   // Critical section goes here
semaV(&mysem);
```

Two Uses of Semaphores

Scheduling Constraints (initial value = 0)

Allow thread 1 to wait for a signal from thread 2

- thread 2 schedules thread 1 when a given event occurs

Example: suppose you had to implement ThreadJoin which must wait for thread to terminate:

```
Initial value of semaphore = 0
ThreadJoin {
    semaP(&mysem);
}
ThreadFinish {
    semaV(&mysem);
}
```

Bounded Buffer: Correctness constraints for solution

Correctness Constraints:

- Consumer must wait for producer to fill buffers, if none full (scheduling constraint)
- Producer must wait for consumer to empty buffers, if all full (scheduling constraint)

- Only one thread can manipulate buffer queue at a time (mutual exclusion)

Bounded Buffer: Correctness constraints for solution

General rule of thumb:

Use a separate semaphore for each constraint

```
Semaphore fullBuffers; // consumer's constraintSemaphore emptyBuffers;// producer's constraintSemaphore mutex; // mutual exclusion
```

```
Semaphore fullSlots = 0; // Initially, no coke
Semaphore emptySlots = bufSize;
                           // Initially, num empty slots
Semaphore mutex = 1;
                          // No one using machine
Producer(item) {
   semaP(&emptySlots);  // Wait until space
Consumer() {
   semaP(&fullSlots);  // Check if there's a coke
```



```
Semaphore fullSlots = 0; // Initially, no coke
Semaphore emptySlots = bufSize;
                           // Initially, num empty slots
Semaphore mutex = 1;
                           // No one using machine
Producer(item) {
   semaP(&emptySlots);  // Wait until space
   Enqueue(item);
Consumer() {
   semaP(&fullSlots); // Check if there's a coke
   item = Dequeue();
```



```
Semaphore fullSlots = 0; // Initially, no coke
Semaphore emptySlots = bufSize;
                           // Initially, num empty slots
Semaphore mutex = 1;
                           // No one using machine
Producer(item) {
   semaP(&emptySlots);
                      // Wait until space
   Enqueue(item);
   semaV(&fullSlots);
                           // Tell consumers there is
                           // more coke
Consumer() {
   semaP(&fullSlots);
                          // Check if there's a coke
   item = Dequeue();
   semaV(&emptySlots);  // tell producer need more
   return item;
```



```
Semaphore fullSlots = 0; // Initially, no coke
Semaphore emptySlots = bufSize;
                           // Initially, num empty slots
                           // No one using machine
Semaphore mutex = 1;
Producer(item) {
   semaP(&emptySlots);  // Wait until space
   semaP(&mutex);
   Enqueue(item);
   semaV(&mutex);
   semaV(&fullSlots);
                           // Tell consumers there is more coke
Consumer() {
   semaP(&fullSlots); // Check if there's a coke
   semaP(&mutex);
   item = Dequeue();
   semaV(&mutex);
   semaV(&emptySlots);  // tell producer need more
   return item;
```

```
Semaphore fullSlots = 0; // Initially, no coke
            Semaphore emptySlots = bufSize;
                                          // Initially, num empty slots
            Semaphore mutex = 1;
                                          // No one using machine
            Producer(item) {
                semaP(&emptySlots);
                                          // Wait until space
                semaP(&mutex);
                                             Wait until machine free
                Enqueue(item);
                semaV(&mutex)
                semaV(&fullSlots);
                                              Tell consumers there is
                                                                        Critical sections
                                           // more coke
                                                                        using mutex
                                       fullSlots signals coke
                                                                        protect integrity of
            Consumer() {
                                                                        the queue
                semaP(&fullSlots);
                                          // Check if there's a coke
                semaP(&mutex);
                                             Wait until machine free
emptySlots
                item = Dequeue();
                semaV(&mutex);
signals space
                semaV(&emptySlots);
                                          // tell producer need more
                return item;
```

Discussion About Solution

Why asymmetry?

- Producer does: semaP(&emptyBuffer), semaV(&fullBuffer)
- Consumer does: semaP(&fullBuffer), semaV(&emptyBuffer)

Does order matter? What if we decrement mutex before full/emptyBuffer?

Semaphores are good but...

Semaphores are a huge step up; just think of trying to do the bounded buffer with only loads and stores or even with locks!

Problem is that semaphores are dual purpose:

- They are used for both mutex and scheduling constraints
- Example: the fact that flipping of P's in bounded buffer gives deadlock is not immediately obvious. How do you prove correctness to someone?

Monitors are even nicer!

Use *locks* for mutual exclusion and *condition variables* for scheduling constraints

Monitor: a lock and zero or more condition variables for managing concurrent access to shared data

A monitor is a paradigm for concurrent programming

- Some languages, like Java, provide them natively
- Others use actual locks and condition var objects

Condition Variables

A queue of threads waiting for something (a condition) inside a critical section

Key idea: allow going to sleep inside the critical section by atomically releasing lock at time we go to sleep

Contrast to semaphores: Can't wait inside critical section

Condition Variables

Operations on condition variables:

- Wait (&lock): Atomically release lock and go to sleep.
 Re-acquire lock later, when returning from sleep.
- Signal(): Wake up one waiter, if any exists.
- Broadcast(): Wake up all waiters.

Rule: Must hold lock when doing condition variable ops!

Monitor with Condition Variables

Lock: the lock provides mutual exclusion to shared data

- Always acquire before accessing shared data structures
- Always release after finishing with shared data
- Lock is initially free

Condition Variable: a queue of threads waiting for something inside a critical section

 Key idea: make it possible to go to sleep inside critical section by atomically releasing lock at time we go to sleep

Infinite Synchronized Buffer (with condition variable)

```
lock buf_lock;
condition buf_CV;
queue queue;

// Initially unlocked
// Initially empty
// Actual queue!
```

Infinite Synchronized Buffer (with condition variable)

```
lock buf_lock;
condition buf_CV;
queue queue;

Producer(item) {
   acquire(&buf_lock);
   enqueue(&queue,item);
   cond_signal(&buf_CV);
   release(&buf_lock);
}

// Initially unlocked
// Actual queue!

// Get Lock
// Add item
// Signal any waiters
// Release Lock
}
```

Infinite Synchronized Buffer (with condition variable)

```
// Initially unlocked
lock buf lock;
condition buf_CV;
                   // Initially empty
                   // Actual queue!
queue queue;
Producer(item) {
 Consumer() {
  acquire(&buf lock);  // Get Lock
  if (isEmpty(\overline{\&}queue)) {
    cond_wait(&buf_CV, &buf_lock); // If empty, sleep
  return(item);
```

Infinite Synchronized Buffer (with condition variable)

```
lock buf lock;
                  // Initially unlocked
condition buf_CV;  // Initially empty
                  // Actual queue!
queue queue;
Producer(item) {
 Consumer() {
 acquire(&buf lock);  // Get Lock
 while (isEmpty(&queue)) {
   cond_wait(&buf_CV, &buf_lock); // If empty, sleep
 return(item);
```

Mesa vs. Hoare monitors

Need to be careful about precise definition of signal and wait.

```
while (isEmpty(&queue)) {
    cond_wait(&buf_CV,&buf_lock); // If nothing, sleep
}
item = dequeue(&queue); // Get next item

Why didn't we do this?

if (isEmpty(&queue)) {
    cond_wait(&buf_CV,&buf_lock); // If nothing, sleep
}
item = dequeue(&queue); // Get next item
```

Answer: depends on the type of scheduling

- Mesa-style: Named after Xerox-Parc Mesa Operating System
 - » Most OSes use Mesa scheduling!
- Hoare-style: Named after British logician Tony Hoare

Hoare monitors

Signaler gives up lock, CPU to waiter; waiter runs immediately

Then, Waiter gives up lock, processor back to signaler when it exits critical section or if it waits again

At first glance, this seems like good semantics

Waiter gets to run immediately, condition is still correct!

Mesa monitors

Signaler keeps lock and processor
Waiter placed on ready queue with no special priority

```
Put waiting thread on ready queue acquire(&buf_lock);

... while (isEmpty(&queue)) {

cond_signal(&buf_CV);

... while (isEmpty(&queue)) {

cond_wait(&buf_CV,&buf_lock);

... cond_wait(&buf_CV,&buf_lock);

... lock.Release();
```

Practically, need to check condition again after wait

- By the time the waiter gets scheduled, condition may be false again -- so, just check again with the "while" loop

Bounded Buffer – Attempt 4

```
lock buf_lock = <initially unlocked>
condition isNotEmpty = <initially empty>
condition isNotFull = <initially empty>
```

Bounded Buffer – Attempt 4

```
lock buf lock = <initially unlocked>
condition isNotEmpty = <initially empty>
condition isNotFull = <initially empty>
Producer(item) {
  acquire(&buf lock);
  while (buffer full) { cond_wait(&isNotFull, &buf_lock); }
  enqueue(item);
  cond_signal(&isNotEmpty);
  release(&buf lock);
Consumer() {
  acquire(buf lock);
  while (buffer empty) { cond wait(&isNotEmpty, &buf lock); }
  item = dequeue();
  cond signal(&isNotFull);
  release(buf lock);
  return item
```

Again: Why the while Loop?

MESA semantics

For most operating systems, when a thread is woken up by signal(), it is simply put on the ready queue

It may or may not reacquire the lock immediately!

- Another thread could be scheduled first and "sneak in" to empty the queue
- Need a loop to re-check condition on wakeup

Is this busy waiting?

Basic Structure of Mesa Monitor Program

Monitors represent the synchronization logic of the program

- Wait if necessary
- Signal when change something so any waiting threads can proceed

```
lock
while (need to wait) {
    condvar.wait();
}
unlock

do something so no need to wait

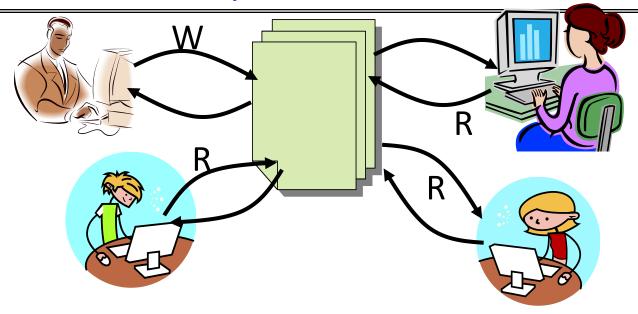
lock
condvar.signal();
Check and/or update
    state variables

Wait if necessary

Check and/or update
    state variables

unlock
```

Readers/Writers Problem



Motivation: Consider a shared database

- Two classes of users:
 - » Readers never modify database
 - » Writers read and modify database
- Is using a single lock on the whole database sufficient?
 - » Like to have many readers at the same time
 - » Only one writer at a time

Basic Readers/Writers Solution

Correctness Constraints:

- Readers can access database when no writers
- Writers can access database when no readers or writers
- Only one thread manipulates state variables at a time

Basic structure of a solution:

```
- Reader()
    Wait until no writers
    Access database
    Check out - wake up a waiting writer
- Writer()
    Wait until no active readers or writers
    Access database
    Check out - wake up waiting readers or writer
```

Basic Readers/Writers Solution

State variables (Protected by a lock called "lock"):

- » int AR: Number of active readers; initially = 0
- » int WR: Number of waiting readers; initially = 0
- » int AW: Number of active writers; initially = 0
- » int WW: Number of waiting writers; initially = 0
- » Condition okToRead = NIL
- » Condition okToWrite = NIL

Code for a Reader

```
Reader() {
 // First check self into system
 acquire(&lock);
 while ((AW + WW) > 0) { // Is it safe to read?
    WR++;
                          // No. Writers exist
    cond wait(&okToRead, &lock);// Sleep on cond var
                          // No longer waiting
    WR--;
                          // Now we are active!
 AR++;
 release(&lock);
 // Perform actual read-only access
 AccessDatabase (ReadOnly) ;
  // Now, check out of system
 acquire(&lock);
                          // No longer active
 AR--:
 if (AR == 0 \&\& WW > 0) // No other active readers
    cond signal(&okToWrite);// Wake up one writer
 release(&lock);
```

Code for a Writer

```
Writer()
 // First check self into system
 acquire(&lock);
 while ((AW + AR) > 0) { // Is it safe to write?
                         // No. Active users exist
   WW++;
    cond wait(&okToWrite,&lock); // Sleep on cond var
                         // No longer waiting
   WW--:
 AW++;
                         // Now we are active!
 release(&lock);
 // Perform actual read/write access
 AccessDatabase(ReadWrite);
 // Now, check out of system
 acquire(&lock);
                         // No longer active
 AW--;
                       // Give priority to writers
 if (WW > 0) {
   cond_signal(&okToWrite);// Wake up one writer
 } else if (WR > 0) { // Otherwise, wake reader
    cond broadcast(&okToRead); // Wake all readers
 release(&lock);
```

Use an example to simulate the solution

Consider the following sequence of operators:

Initially: AR = 0, WR = 0, AW = 0, WW = 0

```
R1 comes along (no waiting threads)
                AR = 0, WR = 0, AW = 0, WW = 0
Reader()
    acquire(&lock)
                                   Is it safe to read?
    while ((AW + WW) > 0) {
                                // No. Writers exist
      WR++;
      cond_wait(&okToRead,&lock);// Sleep on cond var WR--; // No longer waiting
    AR++;
                                // Now we are active!
    release(&lock);
    AccessDBase (ReadOnly) ;
    acquire(&lock);
    AR--;
    if (AR == 0 && WW > 0)
       cond signal(&okToWrite);
    release(&fock);
```

```
R1 comes along (no waiting threads)
                AR = 0, WR = 0, AW = 0, WW = 0
Reader()
    acquire(&lock);
                                // Is it safe to read?
                                // No. Writers exist
       WR++;
      cond_wait(&okToRead,&lock);// Sleep on cond var WR--; // No longer waiting
    AR++;
                                // Now we are active!
    release(&lock);
    AccessDBase (ReadOnly) ;
    acquire(&lock);
    AR--;
    if (AR == 0 && WW > 0)
       cond signal(&okToWrite);
    release(&fock);
```

```
R1 comes along (no waiting threads)
           AR = 1, WR = 0, AW = 0, WW = 0
Reader()
  acquire(&lock);
  AR++;
                     // Now we are active!
  release(&lock);
  AccessDBase (ReadOnly) ;
  acquire(&lock);
  AR--;
   if (AR == 0 && WW > 0)
    cond signal(&okToWrite);
  release(&fock);
```

```
R1 comes along (no waiting threads)
              AR = 1, WR = 0, AW = 0, WW = 0
Reader()
   acquire(&lock);
   cond_wait(&okToRead,&lock);// Sleep on cond var WR--; // No longer waiting
                            // Now we are active!
   AR++;
   release(&lock);
   AccessDBase (ReadOnly) ;
   acquire(&lock);
   AR--;
   if (AR == 0 && WW > 0)
      cond signal(&okToWrite);
   release(&fock);
```

```
R1 accessing dbase (no other threads)
           AR = 1, WR = 0, AW = 0, WW = 0
Reader()
  acquire(&lock);
  // Now we are active!
  AR++;
  release(&lock);
  AccessDBase (ReadOnly)
  acquire(&lock);
  AR--;
   if (AR == 0 && WW > 0)
    cond signal(&okToWrite);
  release(&fock);
```

```
R2 comes along (R1 accessing dbase)
             AR = 1, WR = 0, AW = 0, WW = 0
Reader()
   acquire(&lock);
                            Is it safe to read?
   while ((AW + WW) > 0) {
                          // No. Writers exist
     WR++;
     AR++;
                          // Now we are active!
   release(&lock);
   AccessDBase (ReadOnly) ;
   acquire(&lock);
   AR--;
   if (AR == 0 && WW > 0)
     cond signal(&okToWrite);
   release(&fock);
```

```
R2 comes along (R1 accessing dbase)
                AR = 1, WR = 0, AW = 0, WW = 0
Reader()
    acquire(&lock);
                                // Is it safe to read?
                                // No. Writers exist
       WR++;
      cond_wait(&okToRead,&lock);// Sleep on cond var WR--; // No longer waiting
    AR++;
                                // Now we are active!
    release(&lock);
    AccessDBase (ReadOnly) ;
    acquire(&lock);
    AR--;
    if (AR == 0 && WW > 0)
       cond signal(&okToWrite);
    release(&fock);
```

```
R2 comes along (R1 accessing dbase)
              AR = 2, WR = 0, AW = 0, WW = 0
Reader()
   acquire(&lock);
   cond_wait(&okToRead,&lock);// Sleep on cond var WR--; // No longer waiting
   AR++;
                            // Now we are active!
   release(&lock);
   AccessDBase (ReadOnly) ;
   acquire(&lock);
   AR--;
   if (AR == 0 && WW > 0)
      cond signal(&okToWrite);
   release(&fock);
```

```
R2 comes along (R1 accessing dbase)
              AR = 2, WR = 0, AW = 0, WW = 0
Reader()
   acquire(&lock);
   cond_wait(&okToRead,&lock);// Sleep on cond var WR--; // No longer waiting
                            // Now we are active!
   AR++;
   release(&lock);
   AccessDBase (ReadOnly) ;
   acquire(&lock);
   AR--;
   if (AR == 0 && WW > 0)
      cond signal(&okToWrite);
   release(&fock);
```

R1 and R2 accessing dbase

```
AR = 2, WR = 0, AW = 0, WW = 0
```

AccessDBase (ReadOnly)

```
acquire(&lock);
AR--;
if (AR == 0 && WW > 0)
```

Assume readers take a while to access database Situation: Locks released, only AR is non-zero

```
W1 comes along (R1 and R2 are still accessing dbase)
               AR = 2, WR = 0, AW = 0, WW = 0
Writer()
    acquire(&lock);
           ((AW + AR) > 0)
      cond wait (&okToWrite, &lock);/
      WW--7
    AW++;
    release (&lock);
    AccessDBase(ReadWrite);
    acquire(&lock);
    AW
      cond_signal(&okToWrite);
      else if (WR > 0
      cond broadcast(&okToRead);
    release (&lock);
```

```
W1 comes along (R1 and R2 are still accessing dbase)
                 AR = 2, WR = 0, AW = 0, WW = 0
Writer()
    acquire(&lock);
       WW++;
       cond wait (&okToWrite, &lock);/
       WW--7
    AW++;
    release (&lock);
    AccessDBase (ReadWrite) ;
    acquire(&lock);
    AW
      cond signal(&okToWrite);
else_if (WR > 0) {
       cond broadcast(&okToRead);
    release (&lock);
```

W1 comes along (R1 and R2 are still accessing dbase)

```
    AR = 2, WR = 0, AW = 0, WW = 1

Writer() {
    acquire (&lock);
    while ((AW + AR) > 0) {
      cond wait(&okToWrite,&lock);
                                        Sleep on cond var
                               // No longer waiting
      ww--;
    AW++;
    release (&lock);
    AccessDBase (ReadWrite) ;
    acquire(&lock);
    AW
      cond_signal(&okToWrite);
      else if (WR > 0
      cond broadcast(&okToRead);
    release (&lock);
```

```
R3 comes along (R1 and R2 accessing dbase, W1 waiting)
               AR = 2, WR = 0, AW = 0, WW = 1
Reader()
   acquire(&lock);
    while ((AW + WW) > 0) {
                                 Is it safe to read?
                              // No. Writers exist
      WR++;
      cond wait(&okToRead, &lock);// Sleep on cond var
                              // No longer waiting
      WR---
    AR++;
                              // Now we are active!
    release(&lock);
    AccessDBase (ReadOnly) ;
    acquire(&lock);
    AR--;
    if (AR == 0 && WW > 0)
      cond signal(&okToWrite);
    release(&fock);
```

```
R3 comes along (R1 and R2 accessing dbase, W1 waiting)
               AR = 2, WR = 0, AW = 0, WW = 1
Reader()
    acquire(&lock);
                              // Is it safe to read?
                              // No. Writers exist
      WR++;
      cond wait(&okToRead, &lock);// Sleep on cond var
                              // No longer waiting
      WR---
    AR++;
                              // Now we are active!
    release(&lock);
    AccessDBase (ReadOnly) ;
    acquire(&lock);
    AR--;
    if (AR == 0 && WW > 0)
      cond signal(&okToWrite);
    release(&fock);
```

```
R3 comes along (R1 and R2 accessing dbase, W1 waiting)
             AR = 2, WR = 1, AW = 0, WW = 1
Reader()
   acquire(&lock);
   cond wait(&okToRead, &lock);// Sleep on cond var
                          // No longer waiting
     WR--:
   AR++;
                          // Now we are active!
   lock.release();
   AccessDBase (ReadOnly) ;
   acquire(&lock);
   AR--;
   if (AR == 0 && WW > 0)
     cond signal(&okToWrite);
   release(&lock);
```

```
R3 comes along (R1, R2 accessing dbase, W1 waiting)
               AR = 2, WR = 1, AW = 0, WW = 1
Reader()
    acquire(&lock);
    while ((AW + WW) > 0) { // Is it safe to read?
                                     Writers exist
      WR++;
      cond wait(&okToRead, &lock);// Sleep on cond var
      WR--;
                              // No longer waiting
                              // Now we are active!
    AR++;
    release(&lock);
    AccessDBase (ReadOnly) ;
    acquire(&lock);
    AR--;
    if (AR == 0 && WW > 0)
      cond signal(&okToWrite);
    release(&fock);
```

```
R1 and R2 accessing dbase, W1 and R3 waiting
          AR = 2, WR = 1, AW = 0, WW = 1
Reader()
  acquire(&lock);
  // Now we are active!
  AR++;
  release(&lock);
  AccessDBase (ReadOnly) ;
  acquire(&lock);
  AR--;
   if (AR == 0 && WW > 0)
```

Status:

- R1 and R2 still reading
- W1 and R3 waiting on okToWrite and okToRead, respectively

```
R2 finishes (R1 accessing dbase, W1 and R3 waiting)
              AR = 2, WR = 1, AW = 0, WW = 1
Reader()
   acquire(&lock);
   cond_wait(&okToRead,&lock);// Sleep on cond var WR--; // No longer waiting
                            // Now we are active!
   AR++;
   release(&lock);
   AccessDBase (ReadOnly) ;
   acquire(&lock);
   AR--;
    if (AR == 0 && WW > 0)
      cond signal(&okToWrite);
   release(&lock);
```

```
R2 finishes (R1 accessing dbase, W1 and R3 waiting)
              AR = 1, WR = 1, AW = 0, WW = 1
Reader()
   acquire(&lock);
   cond_wait(&okToRead,&lock);// Sleep on cond var WR--; // No longer waiting
   AR++;
                            // Now we are active!
   release(&lock);
   AccessDBase (ReadOnly) ;
   acquire(&lock);
   AR--;
   if (AR == 0 \&\& WW > 0)
      cond signal(&okToWrite);
   release(&lock);
```

```
R2 finishes (R1 accessing dbase, W1 and R3 waiting)
              AR = 1, WR = 1, AW = 0, WW = 1
Reader()
   acquire(&lock);
   cond_wait(&okToRead,&lock);// Sleep on cond var WR--; // No longer waiting
   AR++;
                            // Now we are active!
   release(&lock);
   AccessDBase (ReadOnly) ;
   acquire(&lock);
   AR--:
   if (AR == 0 \&\& WW > 0)
      cond signal(&okToWrite);
   release(&lock);
```

```
R2 finishes (R1 accessing dbase, W1 and R3 waiting)
              AR = 1, WR = 1, AW = 0, WW = 1
Reader()
   acquire(&lock);
   cond_wait(&okToRead,&lock);// Sleep on cond var WR--; // No longer waiting
                            // Now we are active!
   AR++;
   release(&lock);
   AccessDBase (ReadOnly) ;
   acquire(&lock);
   AR--;
    if (AR == 0 && WW > 0)
      cond signal(&okToWrite);
   release(&lock);
```

```
R1 finishes (W1 and R3 waiting)
              AR = 1, WR = 1, AW = 0, WW = 1
Reader()
   acquire(&lock);
   cond_wait(&okToRead,&lock);// Sleep on cond var WR--; // No longer waiting
                            // Now we are active!
   AR++;
   release(&lock);
   AccessDBase (ReadOnly) ;
   acquire(&lock);
   AR--;
   if (AR == 0 && WW > 0)
      cond signal(&okToWrite);
   release(&lock);
```

```
R1 finishes (W1, R3 waiting)
              AR = 0, WR = 1, AW = 0, WW = 1
Reader()
   acquire(&lock);
   cond_wait(&okToRead,&lock);// Sleep on cond var WR--; // No longer waiting
   AR++;
                            // Now we are active!
   release(&lock);
   AccessDBase (ReadOnly) ;
   acquire(&lock);
   AR--;
   if (AR == 0 \&\& WW > 0)
      cond signal(&okToWrite);
   release(&lock);
```

```
R1 finishes (W1, R3 waiting)
           AR = 0, WR = 1, AW = 0, WW = 1
Reader()
  acquire(&lock);
  // Now we are active!
  AR++;
  release(&lock);
  AccessDBase (ReadOnly) ;
   acquire(&lock);
  AR--:
  if (AR == 0 \&\& WW > 0)
    cond signal(&okToWrite);
   release(&fock);
```

```
R1 signals a writer (W1 and R3 waiting)
           AR = 0, WR = 1, AW = 0, WW = 1
Reader()
  acquire(&lock);
  // Now we are active!
  AR++;
  release(&lock);
  AccessDBase (ReadOnly) ;
   acquire(&lock);
  AR--;
   if (AR == 0 && WW > 0)
    cond signal(&okToWrite);
   release(&lock);
```

```
W1 gets signal (R3 still waiting)
               AR = 0, WR = 1, AW = 0, WW = 1
Writer()
    acquire(&lock);
    while ((AW + AR) > 0)
      cond wait(&okToWrite,&lock);
                                        Sleep on cond var
                                 No longer waiting
      WW--;
    AW++;
    release (&lock);
    AccessDBase (ReadWrite) ;
    acquire(&lock);
    AW-
      cond_signal(&okToWrite);
      else if (WR > 0)
      cond broadcast(&okToRead);
    release (&lock);
```

```
W1 gets signal (R3 still waiting)
                                                                                                                             AR = 0, WR = 1, AW = 0, WW = 0
Writer() {
                                acquire(&lock);
                                                   ILE ((AW + AR) > 0) { // Is it safe to will with the same of the safe to will be safe to will 
                                                    WW--7
                                                                                                                                                                                                                                                                                 No longer waiting
                                AW++;
                                 release (&lock);
                                AccessDBase (ReadWrite) ;
                                 acquire(&lock);
                                 AW.
                                                 cond_signal(&okToWrite);
else_if (WR > 0) {____
                                                    cond broadcast(&okToRead);
                                 release (&lock);
```

```
W1 gets signal (R3 still waiting)
              AR = 0, WR = 1, AW = 1, WW = 0
Writer() {
   acquire(&lock);
     while ((AW + AR) > 0)
   AW++;
   release(&lock);
   AccessDBase (ReadWrite) ;
   acquire(&lock);
   AW-
     cond_signal(&okToWrite);
else_if (WR > 0) {____
      cond broadcast(&okToRead);
   release (&lock);
```

```
W1 accessing dbase (R3 still waiting)
            AR = 0, WR = 1, AW = 1, WW = 0
Writer() {
   acquire(&lock);
     AW++;
   release(&lock);
   AccessDBase(ReadWrite)
   acquire(&lock);
   AW-
     cond signal (&okToWrite);
else if (WR > 0) {
     cond broadcast('&okToRead);
   release (&lock);
```

```
W1 finishes (R3 still waiting)
             AR = 0, WR = 1, AW = 1, WW = 0
Writer() {
   acquire(&lock);
     while ((AW + AR) > 0)
   AW++;
   release (&lock);
   AccessDBase (ReadWrite) ;
   acquire(&lock);
     cond_signal (&okToWrite);
     else if (WR > 0)
     cond broadcast(&okToRead);
   release (&lock);
```

```
W1 finishes (R3 still waiting)
              AR = 0, WR = 1, AW = 0, WW = 0
Writer() {
   acquire(&lock);
     while ((AW + AR) > 0)
   AW++;
   release (&lock);
   AccessDBase (ReadWrite) ;
   acquire(&lock);
     cònd_signal(&okToWrite);
else_if (WR > 0) {
     cond broadcast(&okToRead);
   release (&lock);
```

```
W1 finishes (R3 still waiting)
              AR = 0, WR = 1, AW = 0, WW = 0
Writer() {
   acquire(&lock);
     while ((AW + AR) > 0)
   AW++;
   release (&lock);
   AccessDBase (ReadWrite) ;
   acquire(&lock);
     cond signal(&okToWrite);
else_if (WR > 0) {
     cond broadcast(&okToRead);
   release (&lock);
```

```
W1 signaling readers (R3 still waiting)
             AR = 0, WR = 1, AW = 0, WW = 0
Writer() {
   acquire (&lock);
     while ((AW + AR) > 0)

WW++;
   AW++;
   release (&lock);
   AccessDBase (ReadWrite) ;
   acquire(&lock);
     cond_signal(&okToWrite);
          broadcast(&okToRead);
   release (&lock);
```

```
R3 gets signal (no waiting threads)
               AR = 0, WR = 1, AW = 0, WW = 0
Reader()
    acquire(&lock);
    while ((AW + WW) > 0) { // Is it safe to read?
                                 No. Writers exist
      WR++;
      cond wait(&okToRead,&lock);// Sleep on cond var
                              // No longer waiting
      WR--;
    AR++;
                              // Now we are active!
    release(&lock);
    AccessDBase (ReadOnly) ;
    acquire(&lock);
    AR--;
    if (AR == 0 && WW > 0)
      cond signal(&okToWrite);
    release(&fock);
```

```
R3 gets signal (no waiting threads)
             AR = 0, WR = 0, AW = 0, WW = 0
Reader()
   acquire(&lock);
   cond wait(&okToRead, &lock);// Sleep on cond var
                          // No longer waiting
     WR---
   AR++;
                          // Now we are active!
   release(&lock);
   AccessDBase (ReadOnly) ;
   acquire(&lock);
   AR--;
   if (AR == 0 && WW > 0)
     cond signal(&okToWrite);
   release(&fock);
```

```
R3 accessing dbase (no waiting threads)
           AR = 1, WR = 0, AW = 0, WW = 0
Reader()
  acquire(&lock);
  // Now we are active!
  AR++;
  release(&lock);
  AccessDBase (ReadOnly)
  acquire(&lock);
  AR--;
   if (AR == 0 && WW > 0)
    cond signal(&okToWrite);
   release(&fock);
```

```
R3 finishes (no waiting threads)
           AR = 1, WR = 0, AW = 0, WW = 0
Reader()
  acquire(&lock);
  // Now we are active!
  AR++;
  release(&lock);
  AccessDBase (ReadOnly) ;
  acquire(&lock);
  AR--;
   if (AR == 0 && WW > 0)
    cond signal(&okToWrite);
  release(&fock);
```

```
R3 finishes (no waiting threads)
              AR = 0, WR = 0, AW = 0, WW = 0
Reader()
   acquire(&lock);
   cond_wait(&okToRead,&lock);// Sleep on cond var WR--; // No longer waiting
                            // Now we are active!
   AR++;
   release(&lock);
   AccessDBase (ReadOnly) ;
   acquire(&lock);
   AR--;
   if (AR == 0 && WW > 0)
      cond signal(&okToWrite);
   release(&fock);
```

Questions

Can readers starve? Consider Reader() entry code:

What if we erase the condition check in Reader exit?

```
AR--; // No longer active
if (AR == 0 && WW > 0) // No other active readers
cond_signal(&okToWrite);// Wake up one writer
```

Questions

```
Further, what if we turn the signal() into broadcast()

AR--;

cond_broadcast(&okToWrite); // Wake up sleepers
```

Finally, what if we use only one condition variable (call it "okContinue") instead of two separate ones?

- Both readers and writers sleep on this variable
- Must use broadcast() instead of signal()

Code for a Reader

```
Reader() {
 // First check self into system
 acquire(&lock);
 while ((AW + WW) > 0) { // Is it safe to read?
    WR++;
                          // No. Writers exist
    cond wait(&okToRead, &lock);// Sleep on cond var
                          // No longer waiting
    WR--;
                          // Now we are active!
 AR++;
 release(&lock);
 // Perform actual read-only access
 AccessDBase (ReadOnly);
  // Now, check out of system
 acquire(&lock);
                          // No longer active
 AR--:
 if (AR == 0 \&\& WW > 0) // No other active readers
    cond signal(&okToWrite);// Wake up one writer
 release(&lock);
```

Code for a Writer

```
Writer()
 // First check self into system
 acquire(&lock);
 while ((AW + AR) > 0) { // Is it safe to write?
                         // No. Active users exist
   WW++;
    cond wait(&okToWrite,&lock); // Sleep on cond var
                         // No longer waiting
   WW--:
 AW++;
                         // Now we are active!
 release (&lock);
 // Perform actual read/write access
 AccessDBase(ReadWrite);
 // Now, check out of system
 acquire(&lock);
                         // No longer active
 AW--;
                       // Give priority to writers
 if (WW > 0) {
   cond_signal(&okToWrite);// Wake up one writer
 } else if (WR > 0) { // Otherwise, wake reader
    cond broadcast(&okToRead); // Wake all readers
 release(&lock);
```

Mesa Monitor Conclusion

Monitors represent the synchronization logic of the program

- Wait if necessary
- Signal when change something so any waiting threads can proceed

```
lock
while (need to wait) {
    condvar.wait();
}
unlock

do something so no need to wait

lock
condvar.signal();
Check and/or update
    state variables

Wait if necessary

Check and/or update
    state variables

unlock
```

C-Language Support for Synchronization

Pretty straightforward, just functions to call

But make sure you know all the code paths out of a critical section!

```
int Rtn() {
    acquire(&lock);
    ...
    if (exception) {
        release(&lock);
        return errReturnCode;
    }
    ...
    release(&lock);
    return OK;
}
```

Concurrency and Synchronization in C

Harder to track what to release with more locks

```
void Rtn() {
  lock1.acquire();
  if (error) {
  lock1.release();
     return;
  lock2.acquire();
  if (error) {
     lock2.release()
     lock1.release();
     return;
  lock2.release();
  lock1.release();
```

C++ Language Support for Synchronization

Languages that support exceptions are problematic (easy to make a non-local exit without releasing lock)

```
void Rtn() {
   lock.acquire();
   ...
   DoFoo();
   ...
   lock.release();
}
void DoFoo() {
   ...
   if (bad stuff) throw Exception;
   ...
}
```

An exception in DoFoo() will exit Rtn() without releasing the lock!

C++ Language Support for Synchronization (con't)

Must catch all exceptions in critical sections

– Catch exceptions, release lock, and re-throw exception:

```
void Rtn() {
  lock.acquire();
  try {
    DoFoo();
  } catch (...) { // catch exception
    lock.release(); // release lock
           // re-throw the exception
    throw;
  lock.release();
void DoFoo() {
  if (exception) throw errException;
```

Much better pattern: C++ Lock Guards

```
#include <mutex>
int global i = 0;
std::mutex global mutex;
void safe increment() {
  std::lock guard<std::mutex> lock(global mutex);
 global i++;
  // Mutex released in the destructor of "lock"
```

Python with Keyword

More versatile than we show here (can be used to close files, connections, etc.)

```
lock = threading.Lock()
...
with lock: # Automatically calls acquire()
   some_var += 1
   ...
# release() called however we leave the "with" block
```

Java synchronized Keyword

Every Java object has an associated lock:

- Lock is acquired on entry and released on exit from a synchronized method
- Lock is properly released if exception occurs inside a synchronized method
- Mutex execution of synchronized methods (beware deadlock)

```
class Account {
   private int balance;

   // object constructor
   public Account (int initialBalance) {
      balance = initialBalance;
   }
   public synchronized int getBalance() {
      return balance;
   }
   public synchronized void deposit(int amount) {
      balance += amount;
   }
}
```

Java Support for Monitors

Along with a lock, every object has a single condition variable associated with it

To wait inside a synchronized method:

```
- void wait();
```

- void wait(long timeout);

To signal while in a synchronized method:

```
- void notify();
```

- void notifyAll();

Where are we going with synchronization?

Programs	Shared Programs
Higher-level API	Locks Semaphores Monitors Send/Receive
Hardware	Load/Store Disable Ints Test&Set Compare&Swap

Implement various higher-level synchronization primitives using atomic operations