

1    **Convergent evolution of increased urine concentrating ability in desert mammals**

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15    **Abstract**

16  
17    One of the most celebrated textbook examples of physiological adaptations to desert  
18    environments is the unique ability that desert mammals have to produce hyperosmotic urine.  
19    Commonly perceived as an adaptation mainly observed in small rodents, the extent to which urine  
20    concentrating ability has independently evolved in distinct lineages, including medium-sized and  
21    large desert mammals, has not previously been assessed using modern phylogenetic approaches.  
22    Here, we explicitly test the general hypothesis that desert-dwelling mammals have evolved  
23    increased ability to concentrate urine compared to non-desert species, controlling for body mass  
24    and other covariates. Phylogenetic generalized least-squares models show that the mean aridity  
25    index of a species distribution range largely predicts its urine concentrating ability, even when  
26    accounting for body mass differences and phylogenetic correlations. In contrast, we find much  
27    weaker correlations between mass-adjusted basal metabolic rate and environmental variables.

28  
29    **Subject areas:** ecology, evolution, comparative physiology, desert biology

30  
31    **Keywords:** phylogenetic generalized least squares, adaptation, hyperosmotic urine, deserts,  
32    aridity index

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38      1. Background

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40           Deserts are defined as regions with aridity index, a ratio of annual precipitation and  
41 potential evapo-transpiration, below 0.20 [1]. Desert species independently evolved striking  
42 adaptations to cope with the water scarcity and extreme climatic and physical conditions that  
43 characterize such habitats [2–7]. For the last fifty years, this has captured the attention of many  
44 eco-physiologists who turned to desert biology to study the physiological mechanisms that help  
45 maintaining body temperature and retain water. Remarkably, most of the classic works on  
46 mammalian desert physiology, pioneered by Schmidt-Nielsen et al [8–11], have survived the test  
47 of time, as researchers have applied new perspectives and tools to test specific hypothesis  
48 regarding the evolution of adaptive traits [12,13].

49           Among the classical findings of physiological adaptations that minimize water loss, is the  
50 ability of some desert mammals to produce highly concentrated urine [14]. This phenotype is  
51 mostly associated with desert ‘evaders’, such as the Australian Hopping mouse, which has the  
52 record for highest hyperosmotic urine (above 9000 mOsm/kg) [3,15]. Evaders, part of a  
53 classification system proposed by Willmer *et al.* (2000), are small body-sized animals that are able  
54 to evade extreme conditions through behavior [5]. By contrast, large-sized mammals unable to  
55 shelter from extreme climates that are forced to withstand heat, are called ‘endurers’, and  
56 medium-sized mammals unable to evade nor withstand extremes as efficiently as evaders and  
57 endurers are called ‘evaporators’ [5]. Because mammalian urine concentrating ability (mOsm/Kg)  
58 is negatively correlated with body mass [16], desert evaders stand out in this capacity [15].  
59 However, when correcting for differences in body mass, the capacity to highly concentrate urine  
60 seems to have independently evolved in deserts. For example, evaporators such as the fennec fox  
61 can concentrate urine up to 4022 mOsm/Kg, which is much more concentrated than in similar-  
62 sized non-desert counterparts and, when mass-adjusted, nearly as impressive as the 5500  
63 mOsm/Kg of a lesser Egyptian gerbil [5,17,18].

64           Classical comparative physiology studies have provided evidence for convergent adaptive  
65 evolution of ecologically relevant phenotypes in multiple desert mammals. A textbook example  
66 includes basal metabolic rate (BMR), which is positively correlated with zoogeographical zones,  
67 even when accounting for phylogeny and body mass [19,20]. Desert mammals evolved lower  
68 production of metabolic heat (low BMR) to maintain body temperatures and avoid water loss by  
69 evaporative cooling [19–23]. Surprisingly, and to the best of our knowledge, no study to date has  
70 attempted to identify continuous climatic or environmental variables that help explain variation in  
71 urine concentrating ability, one of the most celebrated examples of mammalian desert adaptation.  
72 Moreover, and in contrast to BMR, previous studies concerning urine concentrating ability have

73 ignored phylogenetic correlations when analyzing associations between this trait and the  
74 environment [16,24].

75 Here, we explicitly test the hypothesis that the aridity index of a species range predicts  
76 maximum urine concentrating ability, even when accounting for body mass and phylogenetic  
77 relationship, and provide statistical evidence that the ability to avoid water loss by producing  
78 hyperosmotic urine has evolved independently in multiple phylogenetic lineages of desert  
79 mammals. Additionally, we use similar models to re-analyze mass adjusted BMR for species in  
80 which urine osmolality was obtained, and test aridity index and temperature variables as  
81 predictors for metabolism in deserts.

82

## 83 2. Methods

84

85 Phenotypes were obtained by extensive literature search and by taking advantage of large  
86 datasets from previously published revision studies [16,19,24,25]. A total of 108 mammalian  
87 species' maximum urine concentrating abilities came from two previous studies by Beuchat  
88 [16,24], which recorded the specific condition (factor defined as C) under which urine osmolality  
89 (hereafter mOsm) was measured, including: dehydrated (D), given salt (S) or protein (P) loading,  
90 combinations of these conditions (DP, DS, SP, DSP), or treatment not specified (X), as well as the  
91 method used to measure urine mOsm (see 15,23 for more information). We also recorded the  
92 highest urine osmolality values for 13 additional species from other studies [18,26–30], and also  
93 noted the experimental conditions (C) the study-cases were subjected to, the method (M) used to  
94 measure urine mOsm, and the study-species diet (Di), following the same notation as Beuchat (see  
95 15,23 for more information). For species with more than one record of urine mOsm, due to  
96 different treatments/studies, we opted to keep values taken from captive study-subjects under  
97 controlled/experimentally induced dehydration, as opposed to measurements of unknown  
98 condition taken from the field. Ultimately, only one individual was used per species.

99 As mOsm is a plastic trait, the experimental conditions under which this parameter is  
100 measured can greatly affect estimates. In particular, the degree of hydration of the study animals  
101 naturally affects urine osmolality. As such, we curated two datasets: 1) a larger dataset including  
102 all 121 reported measurements of mOsm from Beuchat [16,24] and our search [18,26–30]; 2) a  
103 subset of the larger dataset only containing 87 observations in which the study-subject, in  
104 captivity, was dehydrated (D) and not given food or any other treatment. However, we note that  
105 there is still some residual variation in the number of days under water deprivation for an animal  
106 to be considered fully dehydrated and variation in the method used for measuring mOsm. Also,  
107 for the 121 species dataset, 24 records came from studies that did not report the procedures used

108 to measure mOsm. A full detail of the conditions and studies can be found in electronic  
109 Supplementary Material TableS1. Downstream analyses were performed on both data sets.

110 The distribution polygons of each species for which physiological data were available were  
111 downloaded from the IUCN database [31,32]. We also downloaded the following environmental  
112 variables at about 1km spatial resolution: annual aridity index (AI) from CGIAR-CSI Global Aridity  
113 database [33], and annual mean temperature (BIO1), maximum temperature of the warmest  
114 month (BIO5), maximum temperature of the coldest month (BIO6), and temperature annual range  
115 (BIO7) from the WorldClim database [34]. We then used a Geographical Information System to  
116 calculate mean values for each environmental variable within the distribution polygon of each  
117 species. For species with global distributions ranges, encompassing humid to arid environments,  
118 and for which phenotypes under review were sampled from a specific region, we partitioned the  
119 original distribution polygon accordingly and calculated mean values for the sampled zone. To  
120 avoid the effects of collinearity we tested for correlations between pairs of variables using a  
121 Spearman correlation test before testing them together as predictors for mOsm and BMR in  
122 downstream analyses. We used a previously published mammalian tree estimated using both  
123 nuclear and mitochondrial genes [35] and pruned the tree to only retain the species included in  
124 or study. For visualization of trait evolution on the tree, we projected states for maximum mOsm  
125 and bioclimatic variables, estimated using maximum likelihood, onto the internal edges and nodes  
126 of the tree using a color gradient. The estimation was done using the *fastAnc* option in the  
127 ‘contMap’ function implemented in ‘phytools’ [36].

128 Phylogenetic generalized least squares (PGLS) as implemented in ‘phytools’ were  
129 performed using the ‘glS’ function with the model of Pagel (1999) [37] to test different linear  
130 models with increasing complexity. Briefly, the model of Pagel adjusts the off-diagonal elements  
131 of variance-covariance matrix in a Brownian evolution model with a multiplicative factor,  $\lambda$ , such  
132 that when  $\lambda = 0$  a star phylogeny is obtained representing no phylogenetic signal, while when  $\lambda =$   
133 1 a standard Brownian on the reference tree is obtained. As residuals of maximum urine osmolality  
134 are not normally distributed (Figure S1), we performed PGLS analyses on  $\log_{10}(\text{maximum mOsm})$   
135 using  $\log_{10}(\text{body mass})$  as covariate. Mean annual aridity index (hereafter mean AI) and  
136 uncorrelated mean temperature variables were used in PGLS models as potential predictors of  
137 urine concentrating ability. Condition (C), method (M) and diet (Di) were also included as  
138 covariates. For the dataset including only dehydrated individuals, the same PGLS models were  
139 tested with AI converted to a categorical variable using the following binning defined in [33]:  
140 humid for  $\text{AI} > 0.65$ , dry sub-humid for  $0.5 < \text{AI} < 0.65$ , semi-arid for  $0.2 < \text{AI} < 0.5$ , and arid for  $\text{AI} < 0.2$ . To test for phylogenetic signal for specific traits, we calculated Pagel’s  $\lambda$  on urine  
141 concentrating ability, body mass and AI using the function ‘phylosig’ as implemented in ‘phytools’.  
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143     3. Results

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145       We analyzed a dataset with information on maximum urine concentrating abilities, mean  
146 annual aridity index and WorldClim temperatures for a total of 121 species of mammals, with  
147 available DNA sequence information, spanning 6 g to 479 kg of body mass. Using the classification  
148 of [33] the dataset contained 32, 35, 15, and 39 species with distribution ranges classified as arid,  
149 semi-arid, dry sub-humid, and humid, respectively. Ancestral state reconstruction across our  
150 mammalian dataset's phylogeny shows that the ability to produce hyperosmotic urine has evolved  
151 multiple times in species with distribution ranges characterized by low mean AI (**Figure 1**).

152       When testing for phylogenetic signal using Pagel's  $\lambda$  we found highly significant  
153 phylogenetic signal for most variables, validating the relevance of adjusting the linear models for  
154 phylogenetic covariance (**Table 1**). The mean AI correlates with all temperature variables, except  
155 mean annual temperature range (**Figure S3**). To avoid collinearity, all WorldClim temperatures but  
156 the latter were excluded from PGLS analysis with mean AI (**Table S2**).

157       All PGLS models tested in this study point to mean annual aridity index as a highly  
158 significant and important predictor of mammalian maximum urine concentration ability (**Table 2**,  
159 **Table S2**). The lower the mean AI, the more efficient mammals are able to concentrate urine  
160 (**Figure 2**, **Figure S2**), even when accounting for the differences in body mass, diet, experimental  
161 condition, methodology and phylogeny (**Table 2**). Overall, species inhabiting arid and semi-arid  
162 environments can maximize urine concentration well above the levels of dry sub-humid and humid  
163 counterparts (**Figure 2**). In congruence with past studies, our results also show that log-  
164 transformed body mass is also negatively correlated with log-transformed maximum urine  
165 osmolality [16], though not to the same extent as mean aridity index (**Table 2**, **Figure S2**). Diet is  
166 also a significant predictor of maximum urine osmolality in fructivorous mammals, which  
167 significantly concentrate more urine than strictly carnivore species (**Table 2**). Overall, no strong  
168 correlation was found between urine concentrating ability and temperature variables (**Figure S4**,  
169 **Table S2**).

170       When the experimental condition was used as co-variate in the PGLS analysis, its role in  
171 predicting maximum urine osmolality was not significant (**Table 2**, **Table S2**). Nonetheless, we also  
172 tested similar PGLS models on a subset of the data that only included individuals fulfilling the  
173 dehydrated criterion (see Methods section), resulting in a smaller dataset including 87 species (18  
174 arid, 26 semi-arid, 13 dry sub-humid, and 30 humid). The results from this analysis are similar to  
175 those of the larger dataset conditions (**Table 2**). When using AI as a discrete variable we found  
176 that urine concentrating ability is significantly higher in species from arid environments than in  
177 species from semi-arid, dry sub-humid and humid regions (**Table S3**). In all analyses, the aridity

178 index of the species distribution is a stronger predictor of maximum urine osmolality than body  
179 mass and diet. Notably, experimental condition and methodology are non-significant.

180 We also obtained mass-adjusted basal metabolic rates (BMR) for 84 of the 121 species  
181 from our dataset. BMR is weakly correlated with bioclimatic variables (**Figure S5**), and differences  
182 in this parameter are better explained by log10 body mass (**TableS4**).

183

184 **4. Discussion**

185

186 The extent to which different mammalian species from desert environments have  
187 significantly higher urine concentrating abilities, a phenotype to better retain water in extreme  
188 conditions of water-deprivation and extreme temperature ranges, has not previously been subject  
189 to rigorous statistical analyses that account for phylogeny. Here we show that increased ability to  
190 concentrate urine, measured as maximum urine osmolality, has convergently evolved in many  
191 desert mammals (**Figure 1**).

192 PGLS analysis on a multi-species dataset revealed that desert-dwelling species effectively  
193 concentrate more urine than non-desert species, even when accounting for both body mass and  
194 ancestry, and furthermore, that differences in mammalian maximum urine concentrating ability  
195 can be predicted, to some degree, by the aridity of species' range. Our measurement of  
196 environmental aridity is inherently imprecise as it does not account for spatial and temporal  
197 changes in the environmental conditions nor in the species distributions, and it implicitly assumes  
198 a uniform density distribution across the species range [33,34]. Still, we find it more rigorous than  
199 the often-used binary approach of classifying species as 'mesic' or 'xeric' based on eye-assessment  
200 of species distribution range or habitat descriptions (e.g. [19,24]). Despite these challenges, and  
201 while acknowledging additional noise added by varying experimental conditions and  
202 methodologies, we find a strongly significant correlation between maximum urine osmolality and  
203 mean annual aridity index. This observation is similar to what has been previously reported in  
204 other desert adaptive phenotypes such as basal metabolic rate [19,20]. However, we find a much  
205 weaker correlation for BMR and environmental variables when analyzing 84 of the same species  
206 as in the mOsm analysis, suggesting that, in contrast to our results for mOsm, differences in BMR  
207 among species are mostly explained by body mass differences and are only weakly predicted by  
208 environmental variables [38].

209 A contentious debate in the fields of ecological and evolutionary physiology is whether  
210 phenotypic differences between species and populations inhabiting contrasting environments are  
211 due to genetic (evolutionary) adaptations or due to plastic responses, and difference in the ability  
212 to concentrate urine is no exception. Although all mammals, when water-deprived, are able to

213 increase urine concentration in relation to their optimal hydrated states [14,39], our results for a  
214 dataset in which species were all captive and water-deprived, provide strong evidence that  
215 increased maximal urine concentration is an adaptation to high aridity and has evolved multiple  
216 times in different phylogenetic lineages. The hypothesis that higher maximum urine concentrating  
217 ability in mammals is an adaptive trait is also supported by an observed increase in renal medullary  
218 thickness in desert mammals [3,16].

219 Our PGLS analyses also suggest that, among the many physical and climatic challenges  
220 faced by desert species, aridity, more than temperature, has been one of the main selective  
221 pressures increasing maximum urine concentrating ability, and driving its repeated evolution in  
222 different desert mammalian lineages. As population and comparative genomics studies in desert  
223 environments continue to search for the genetic basis of desert adaptation (e.g. [40–43]),  
224 maximum urine concentrating ability might be one of the primary physiological traits that lends  
225 itself to more detailed genetic analyses.

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228 **Author's contributions:** J.L.R contributed to the conception and design of the study, data  
229 collection, analysis, and drafted the manuscript. R.G, J.C.B, R.N contributed to the study's  
230 conception and design, interpretation of data, editing and critical revision. All authors approve  
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240 **Competing interests:** We declare we have no competing interests

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242 **Ethics:** No ethical approval was required as this work only uses publicly available data.

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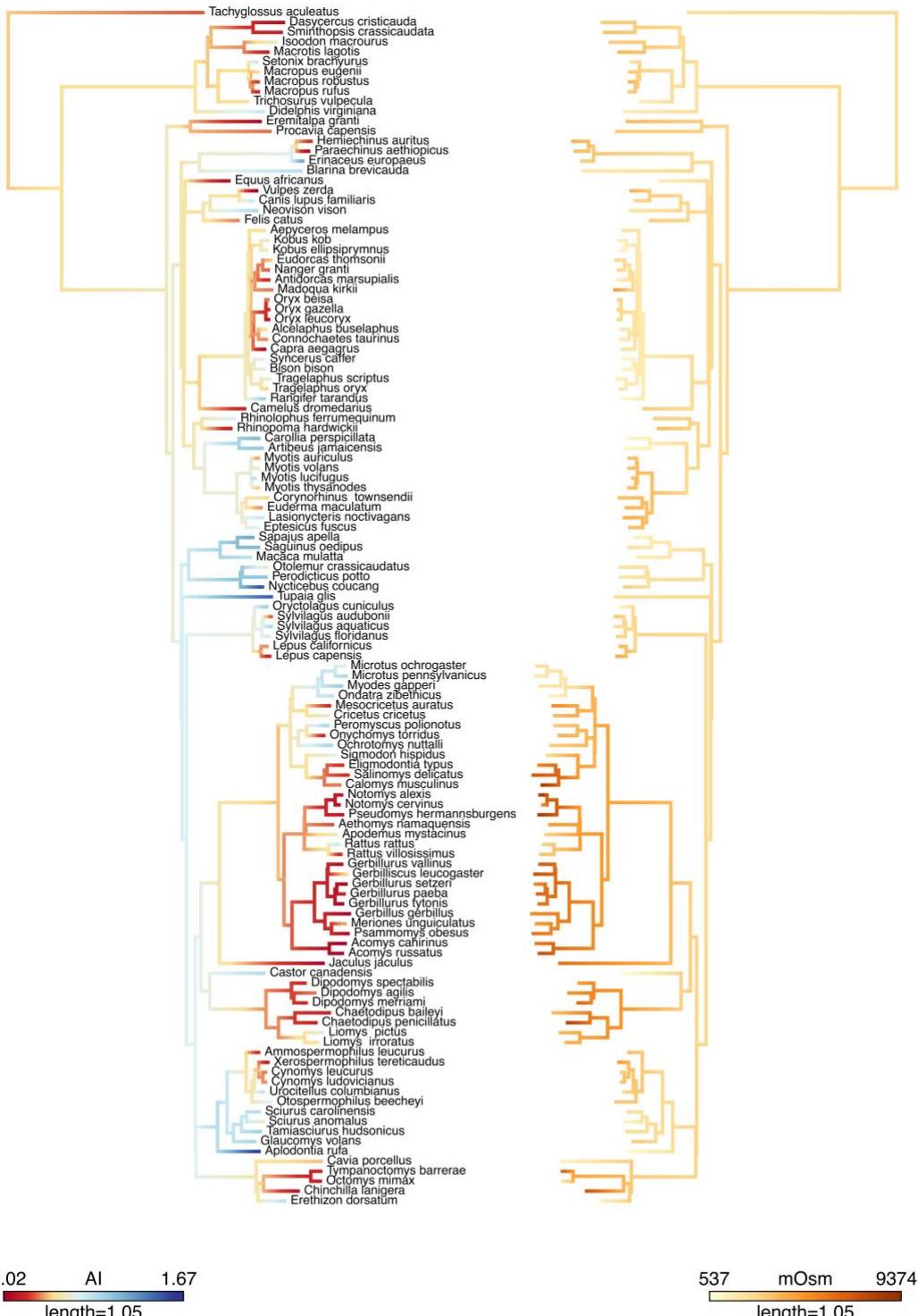
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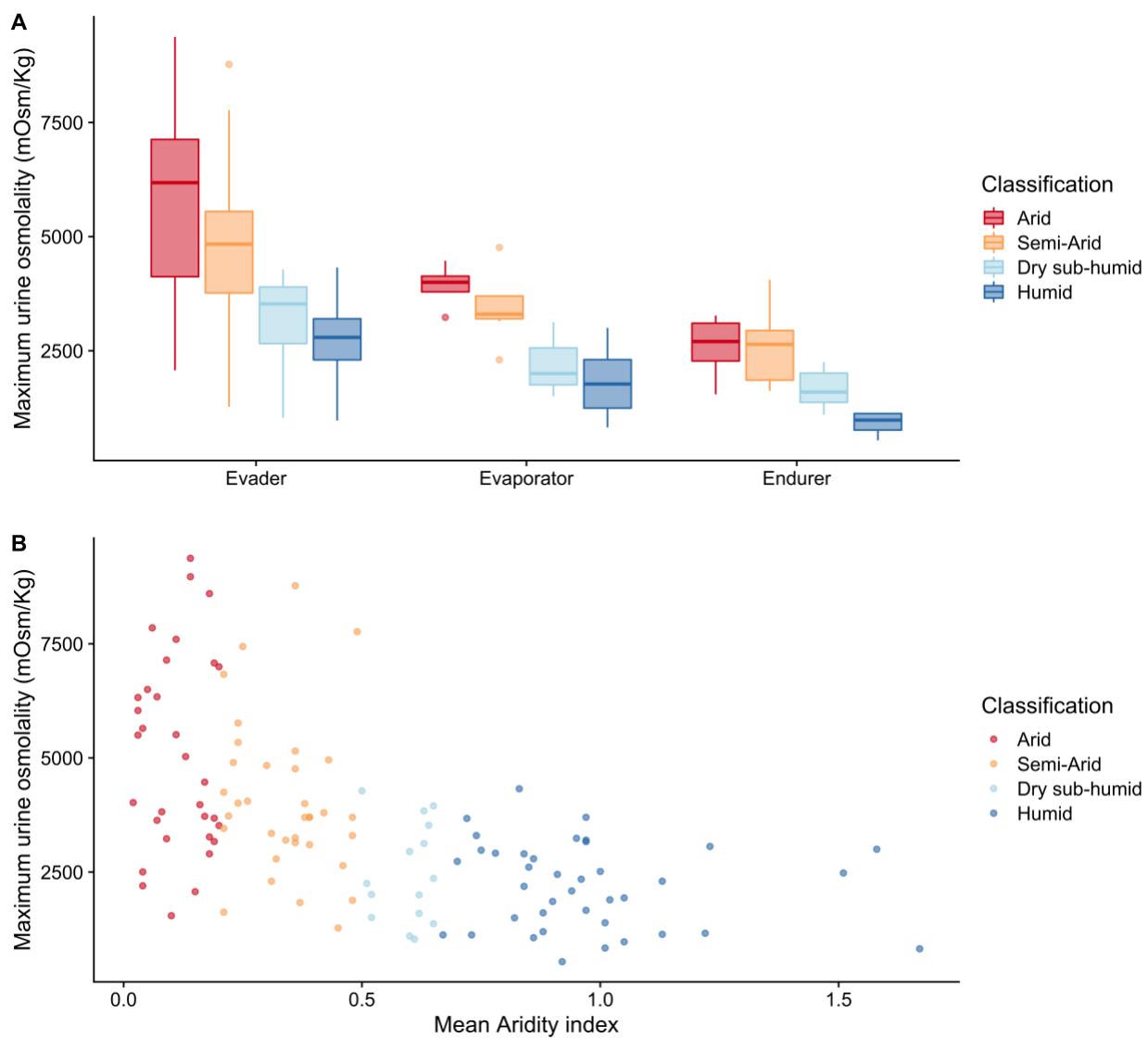


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380 **Figure 1**—Ancestral state reconstruction for mean annual aridity index (AI, left) and maximum urine osmolalities

381 (mOsm/kg; right) in a phylogenetic tree with 121 mammalian species.

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385 **Figure 2- Maximum urine osmolality (mOsm/Kg) and mean aridity index (AI).** (A) Boxplot for maximum urine osmolality  
386 in endurers, evaders, and evaporators from environments with different degree of aridity, based on 121 different  
387 species. Endurers, evaders, and evaporators are classified based on body mass following [5] and aridity classification  
388 according to [33]. (B) Scatterplot using mean aridity index as a continuous variable.

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397   **Table 1** -Phylogenetic signal ( $\lambda$ ) for variables with significant p-value. Variables for which  $\lambda$  is close to 1, and with p-  
398 values < 0.05, are variables with significant phylogenetic signals.

Variable	Pagel's $\lambda$ (p-value)
Log10(maximum urine osmolality)	0.988 (3.26e-12)
Log10 (body mass)	1.000(1.26e-41)
Mean annual aridity index	0.854 (0.0002)
Mean annual average temperature	0.668 (0.0026)
Mean temperature of the coldest month	0.772(0.0002)
Mean annual temperature range	0.840(4.90 e-06)

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431 **Table 2 –PGLS models for predicting mammalian log10(maximum urine osmolality).** Model notation refers to the  
 432 combination of variables used as predictors while taking phylogeny into account. Highlighted in bold are significant p-  
 433 values. Variables are AI = mean aridity index, BM = Log10 body mass (kg), C = condition (dehydrated-D relative to fed-  
 434 F, given salt load-S, given protein load-P, unknown-x and combinations of these), M = Method (unknown-? relative to  
 435 addition of solutes-A, Freezing point-F, Vapor pressure-V, and combinations of these), Di = Diet (Carnivorous-C relative  
 436 to Fructivorous-Fr, Granivorous-G, Herbivorous-H, Insectivorous-I, Omnivorous-O, and combinations of these).  
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<i>Dataset</i>	<i>Model</i>	<i>AIC</i>	<i>variable</i>	<i>Coefficient</i>	<i>s.e</i>	<i>t-value</i>	<i>p-value</i>
<i>All</i> <i>(n=121)</i>	AI + BM + C + M +Di	-16.3	intercept	3.703	0.097	37.99	<b>0.0000</b>
			AI	-0.368	0.043	-8.47	<b>0.0000</b>
			BM	-0.082	0.015	-5.54	<b>0.0000</b>
			DF <sub>condition</sub>	0.023	0.080	0.28	0.7763
			DP <sub>condition</sub>	-0.081	0.108	-0.75	0.4537
			DPS <sub>condition</sub>	0.020	0.178	0.11	0.9104
			DS <sub>condition</sub>	-0.047	0.106	-0.45	0.6573
			P <sub>condition</sub>	-0.006	0.077	-0.08	0.9341
			S <sub>condition</sub>	0.049	0.065	0.75	0.4536
			SP <sub>condition</sub>	0.148	0.148	1.00	0.3202
			X <sub>condition</sub>	-0.049	0.047	-1.06	0.2911
			A <sub>method</sub>	-0.068	0.153	-0.44	0.6592
			F <sub>method</sub>	0.016	0.039	0.41	0.6801
			FV <sub>method</sub>	0.058	0.097	0.60	0.5530
			V <sub>method</sub>	0.106	0.055	1.93	0.0570
			Cl <sub>diet</sub>	-0.158	0.141	-1.12	0.2649
			Fr <sub>diet</sub>	-0.533	0.149	-3.57	<b>0.0005</b>
			G <sub>diet</sub>	0.013	0.103	0.13	0.8980
			H <sub>diet</sub>	-0.132	0.088	-1.50	0.1366
			I <sub>diet</sub>	-0.130	0.107	-1.22	0.2260
			O <sub>diet</sub>	-0.055	0.095	-0.58	0.5634
<i>Dehydrated</i> <i>(n=87)</i>	AI + BM + M +Di	-34.7	intercept	3.679	0.132	27.83	<b>0.0000</b>
			AI	-0.344	0.043	-7.96	<b>0.0000</b>
			BM	-0.067	0.016	-4.18	<b>0.0001</b>
			A <sub>method</sub>	-0.107	0.141	-0.75	0.4528
			F <sub>method</sub>	-0.007	0.042	-0.16	0.8702
			FV <sub>method</sub>	0.096	0.104	0.93	0.3563
			V <sub>method</sub>	0.029	0.070	0.42	0.6758
			Cl <sub>diet</sub>	-0.098	0.165	-0.60	0.5536
			Fr <sub>diet</sub>	-0.406	0.178	-2.28	<b>0.0254</b>
			G <sub>diet</sub>	0.090	0.142	0.63	0.5281
			H <sub>diet</sub>	-0.106	0.135	-0.79	0.4333
			I <sub>diet</sub>	-0.049	0.140	-0.35	0.7261
			O <sub>diet</sub>	0.002	0.139	0.02	0.9873

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