



Model **Driven** Solutions

Where Business Meets Technology

The NIEM-UML Approach to Model Driven Information Sharing

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Object Management Group: BoD, Gov-DTF Co-Chair

From 2012

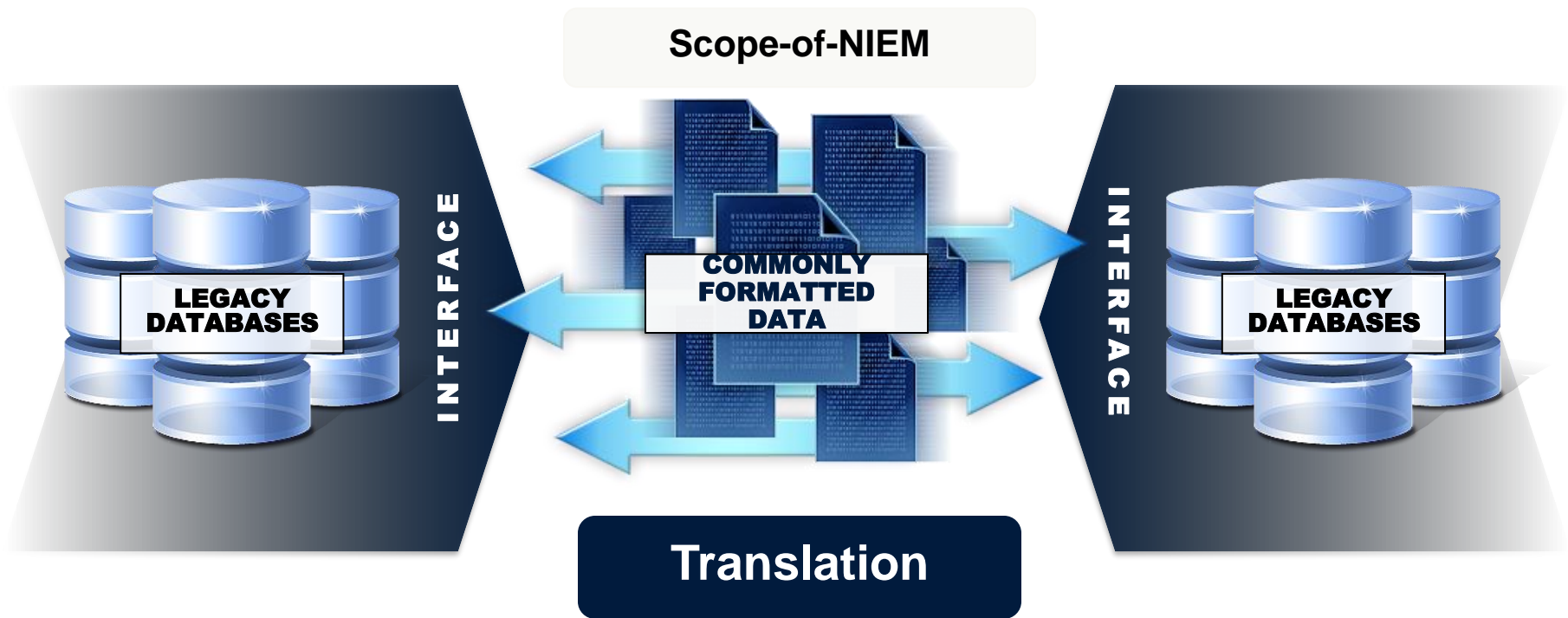


OBJECT MANAGEMENT GROUP



NATIONAL INFORMATION EXCHANGE MODEL

NIEM: Standardizing Data Moving Across Systems



NIEM intentionally does not address standardizing data inside legacy systems. NIEM serves as a translation layer (providing a common understanding) between and across disparate systems.

The NIEM-UML Specification



*This is not an official NIEM-UML logo, one is in the works.

NIEM-UML Goals



- Represent the **terms** and **semantics** of NIEM while being **agnostic** of its **structural representation**
- To **leverage standards** and standards based tools
- To **reduce complexity** and lower the barrier for entry
- To **facilitate reuse** of NIEM models and as a result schemas
- To embrace accepted **UML modeling** styles and constructs
- To enable use of NIEM-PIM models for use with **other standards, technologies** and layers
- To support **deterministic mapping** to and from the NIEM technology layers based on NIEM rules

Clarity: Ensure that a UML representation of a NIEM model produced by one developer can be interpreted as expected by another.

Simplicity: Make developing NIEM packages simple and business focused

Completeness: Ensure that a developer can produce a UML representation of any NIEM concept, including semantics, XML Schema structure, and metadata.

Practicality: With minimal effort, a developer can employ the profile in current UML modeling and MDA tools to develop a NIEM model.

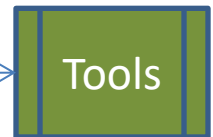
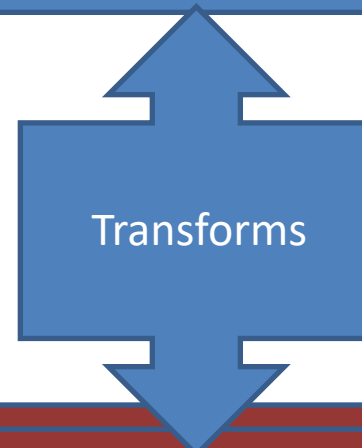
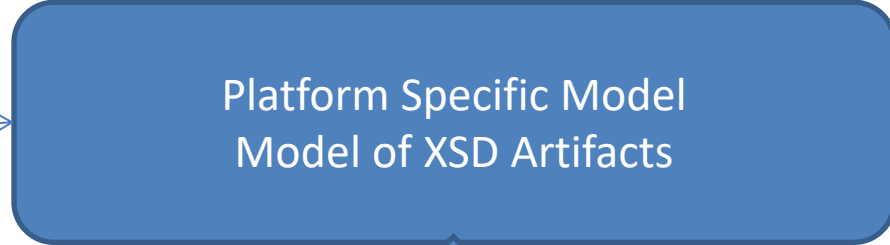
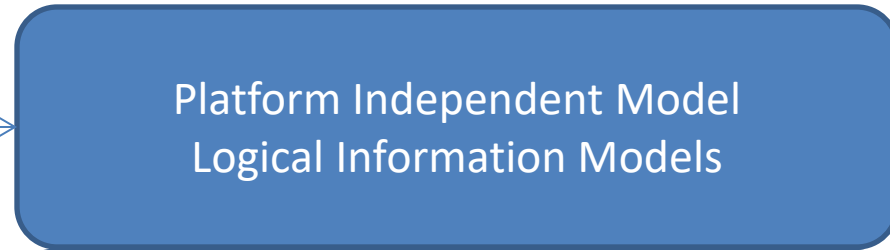
NIEM-UML Layers (High Level)



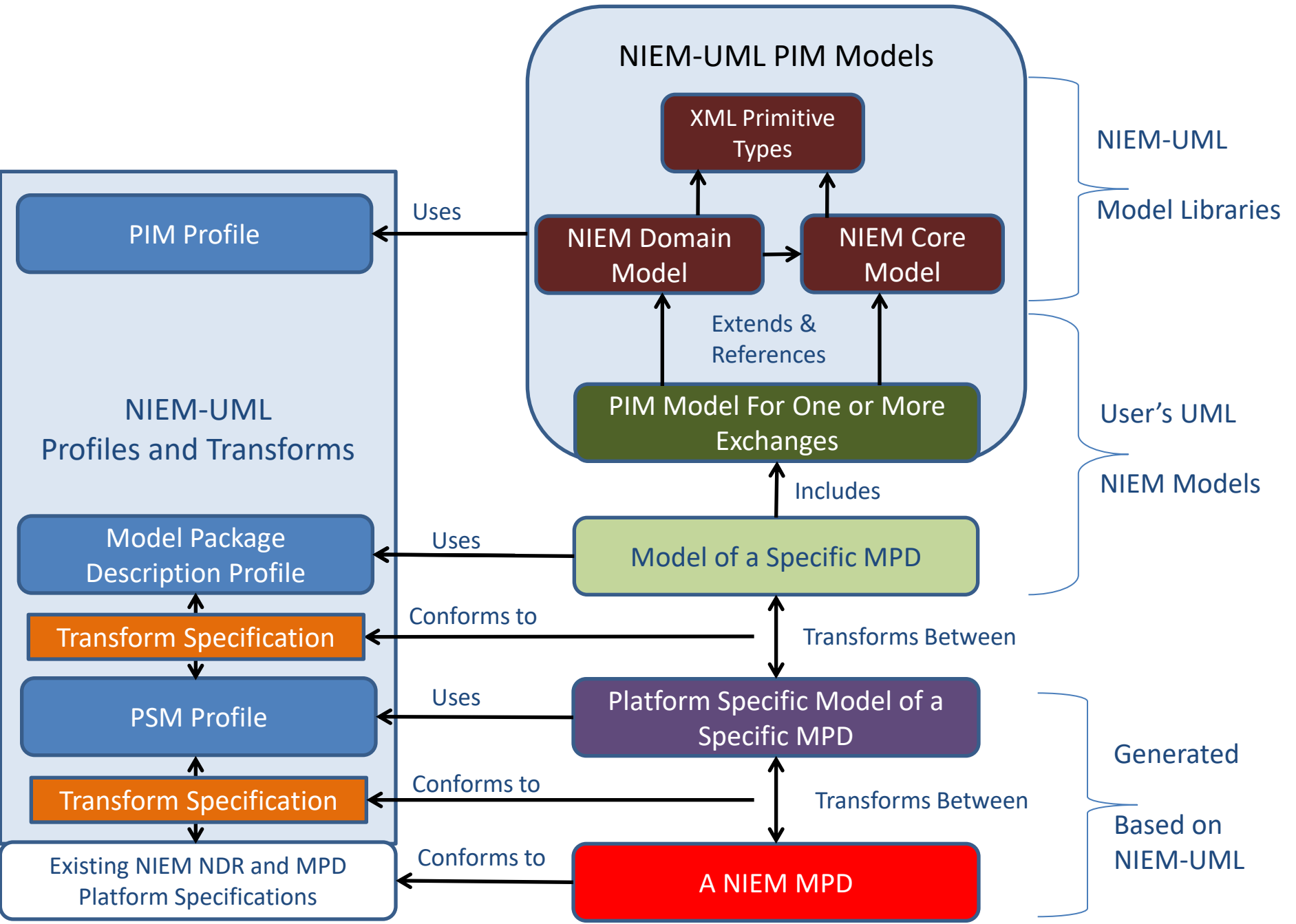
Users &
Architects



Developers



(Based on
extensive NIEM
naming, design
and packaging
rules)



What is the NIEM PIM Profile?



- A simplified subset of the Unified Modeling Language (UML)
- A set of UML constructs and stereotypes
 - Extends UML to represent NIEM **business information concepts**
 - Business information concepts are augmented with NIEM-Platform mapping information
 - Enforces NIEM rules (of which there are over 300) by leveraging OCL – *a valid NIEM-UML model will produce a valid MPD model*
- Representations correspond to commonly used UML patterns with a well defined mapping to NIEM platform
- Provides a generalized information modeling environment not specific to NIEM schema
- Supports mapping to and from the NIEM platform, supporting and enforcing the NDR and MPD
 - E.g. name prefix and suffixes are added as specified by NIEM rules

NIEM-UML Platform Independent Model (PIM) By Example

This presentation focuses primarily on the platform
independent (business information model) layer of
NIEM-UML



Pet Adoption Example

Data Exchange of adoptions by pet rescue centers

This is a very high-level example, intended to provide a general idea of what a PIM looks like and what it provides, it does not cover all NIEM-UML concepts.

Information to Exchange



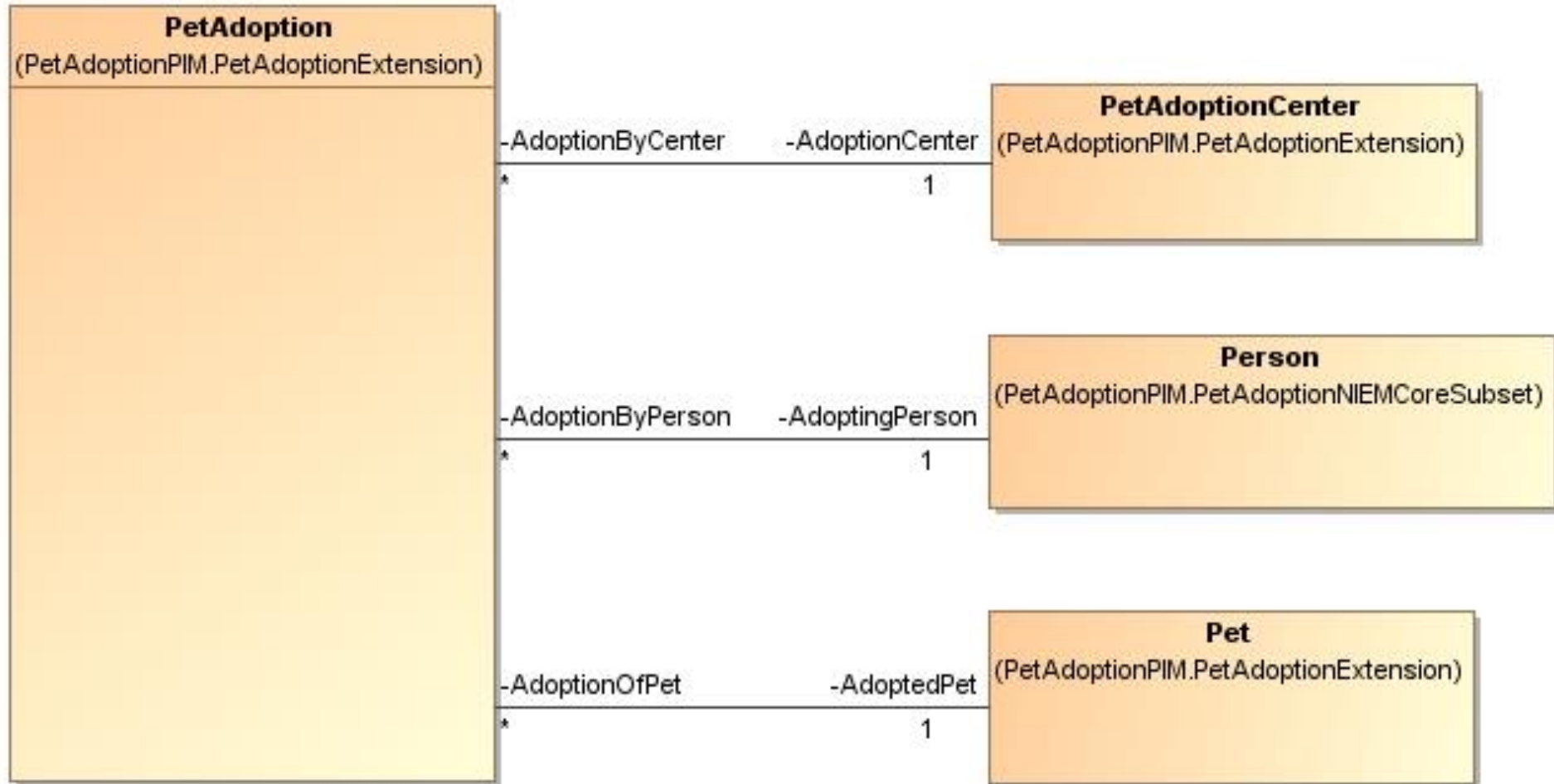
- Pet Adoptions
- Pets (Being adopted)
- People (Adopting)
- Pet Adoption Centers (Facilitating Adoptions)
- Addresses (Of people and adoption centers)
- Contact information (For people and adoption centers)
- Associations for contact information related to people

PetAdoptionExchange

(PetAdoptionPIM.PetAdoptionExchange)

-people : Person [1..*]
-pets : Pet [1..*]
-petAdoptions : PetAdoption [1..*]
-petAdoptionCenters : PetAdoptionCenter [1..*]
-addresses : Address [*]
-contactInformation : ContactInformation [*]
-personContactInformationAssociations : PersonContactInformationAssociation [*]

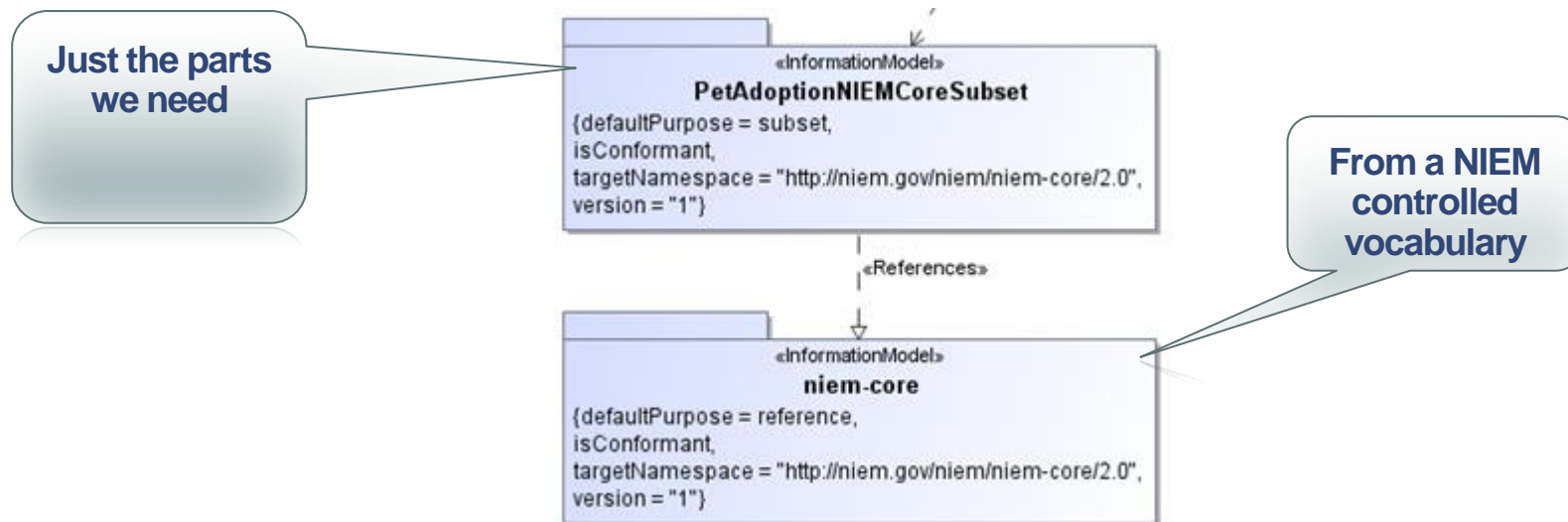
High-level information Model



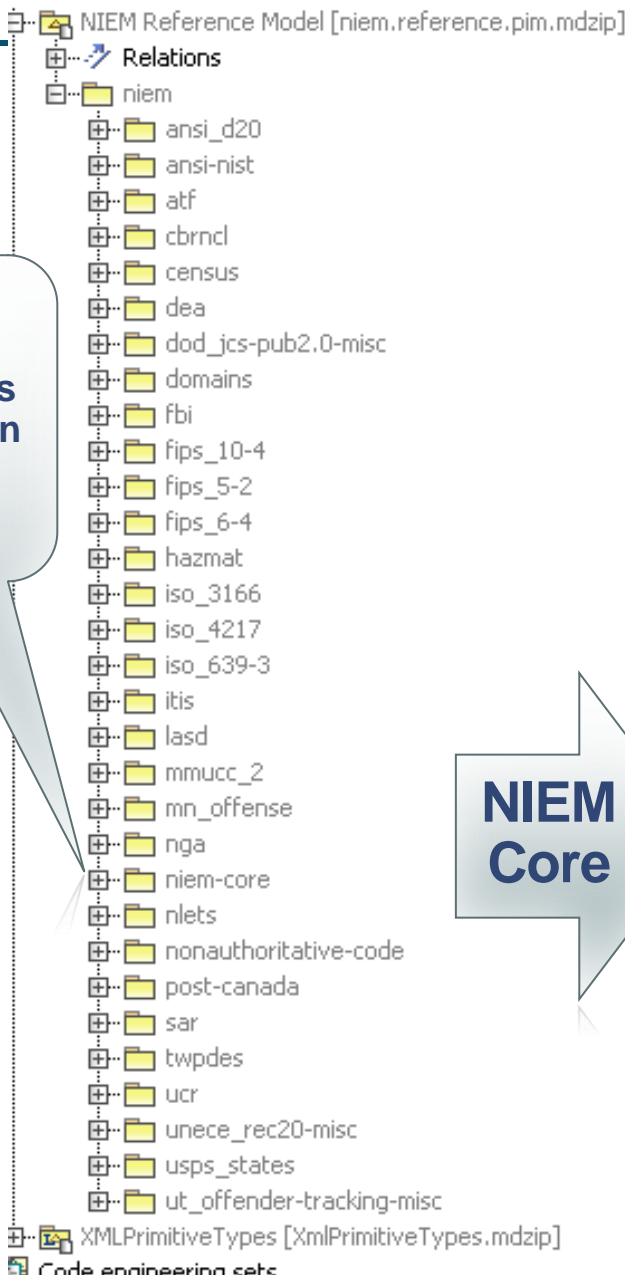
Reusing Reference Namespaces



- Central to NIEM is the reuse of concepts defined in “reference namespaces”, controlled business vocabularies of data exchange elements
- “NIEM Core” is the central reference vocabulary, extended with multiple domain specific vocabularies



Reusing NIEM Core



**NIEM
Core**



**Find what
you want to
reuse in the
reference
namespaces**

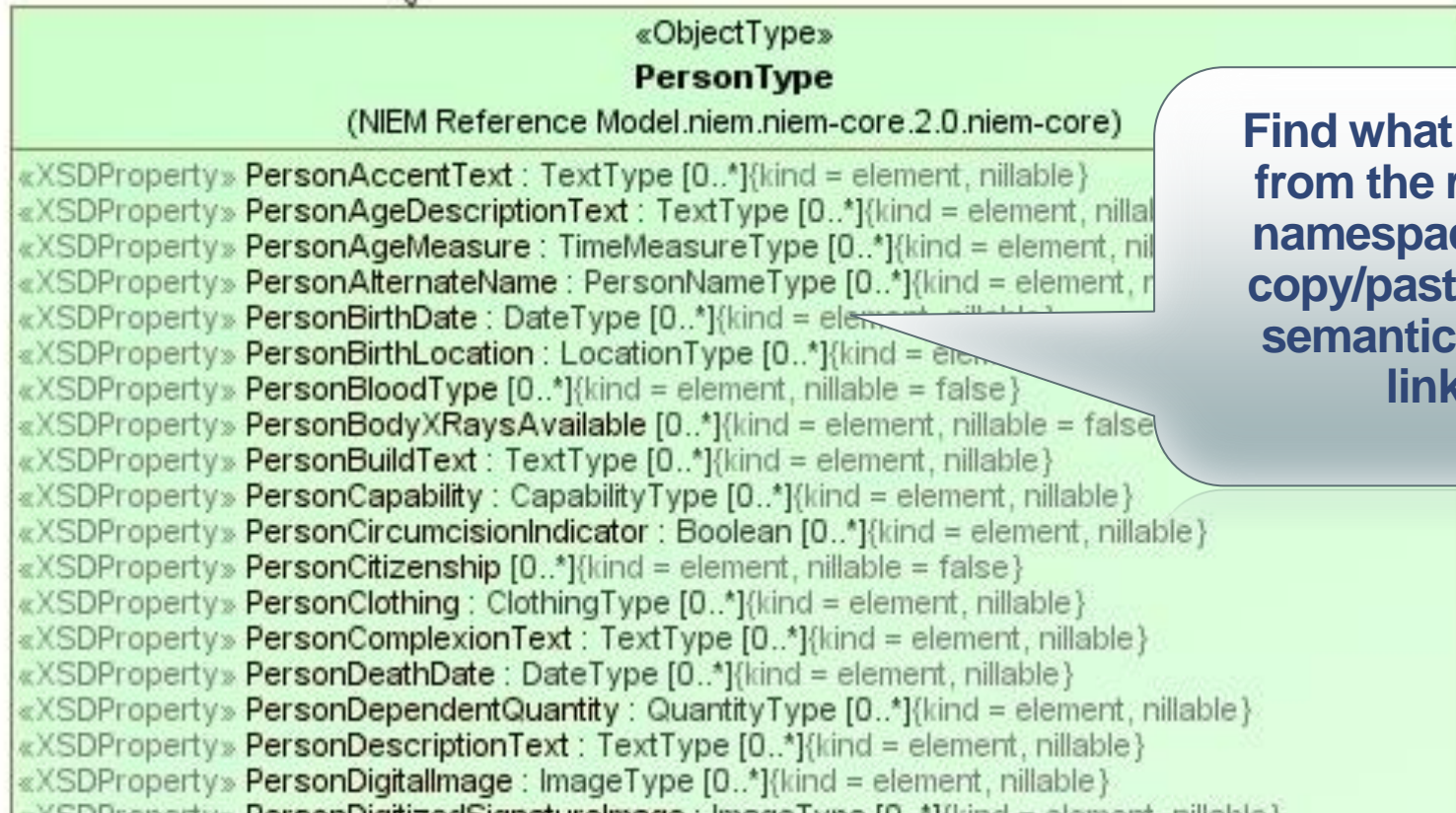
**Find what
you want to
reuse in the
reference
namespaces**

Modeling reuse of NIEM Core



Create subsets of these in a subset namespace package – reference the reference classes

«References»



Find what you want from the reference namespaces – can copy/paste, but the semantics remain linked

Repeat as required

| PersonName |
|---|
| (PetAdoptionPIM.PetAdoptionNIEMCoreSubset) |
| PersonNamePrefixText : Text [0..1]{nillable} PersonGivenName : PersonNameText [0..1]{nillable} PersonMiddleName : PersonNameText [0..1]{nillable} PersonSurName : PersonNameText [0..1]{nillable} PersonNameSuffixText : Text [0..1]{nillable} PersonMaidenName : PersonNameText [0..1]{nillable} PersonFullName : PersonNameText [1]{nillable} |
| |

«References»



«ObjectType»

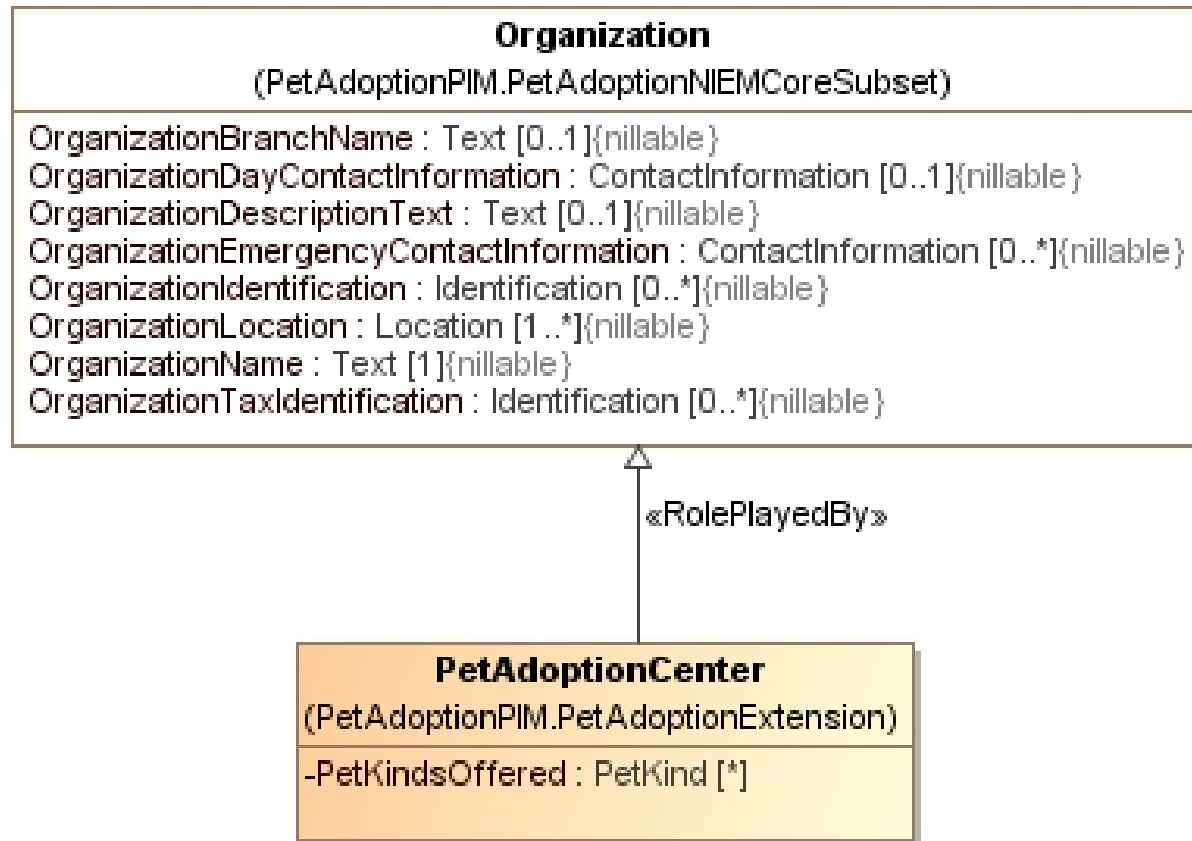
PersonNameType

(NIEM Reference Model.niem.niem-core.2.0.niem-core)

| | |
|---------------|--|
| «XSDProperty» | PersonNamePrefixText : TextType [0..*]{kind = element, nillable} |
| «XSDProperty» | PersonGivenName : PersonNameTextType [0..*]{kind = element, nillable} |
| «XSDProperty» | PersonMiddleName : PersonNameTextType [0..*]{kind = element, nillable} |
| «XSDProperty» | PersonSurName : PersonNameTextType [0..*]{kind = element, nillable} |
| «XSDProperty» | PersonNameSuffixText : TextType [0..*]{kind = element, nillable} |
| «XSDProperty» | PersonMaidenName : PersonNameTextType [0..*]{kind = element, nillable} |
| «XSDProperty» | PersonFullName : PersonNameTextType [0..*]{kind = element, nillable} |
| «XSDProperty» | personNameCommentText : String [0..1]{kind = attribute} |

Roles of organizations

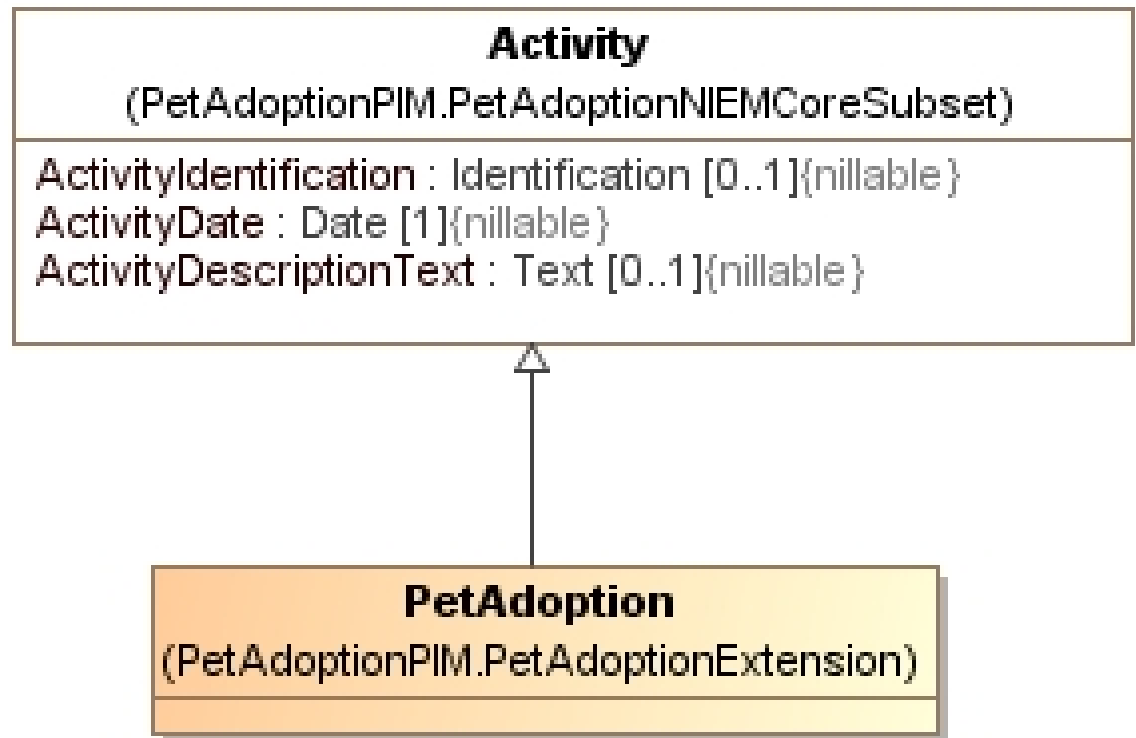
- What is an “Adoption Center”?
- It is a kind of organization
- But perhaps more properly a “role” an organization plays, as they could play other roles as well
- This is one representation of NIEM roles



What is an Adoption?



- An adoption is a kind of activity
- We can reuse this from NIEM-Core as well



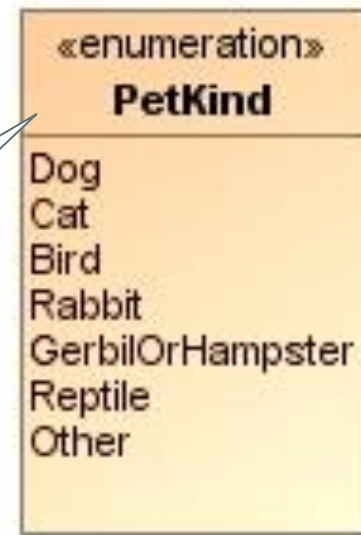
What Kinds Of Pets Are Adopted?



- PetKind is a NIEM “Code List”
- This can be used in a property of a pet as well as other places

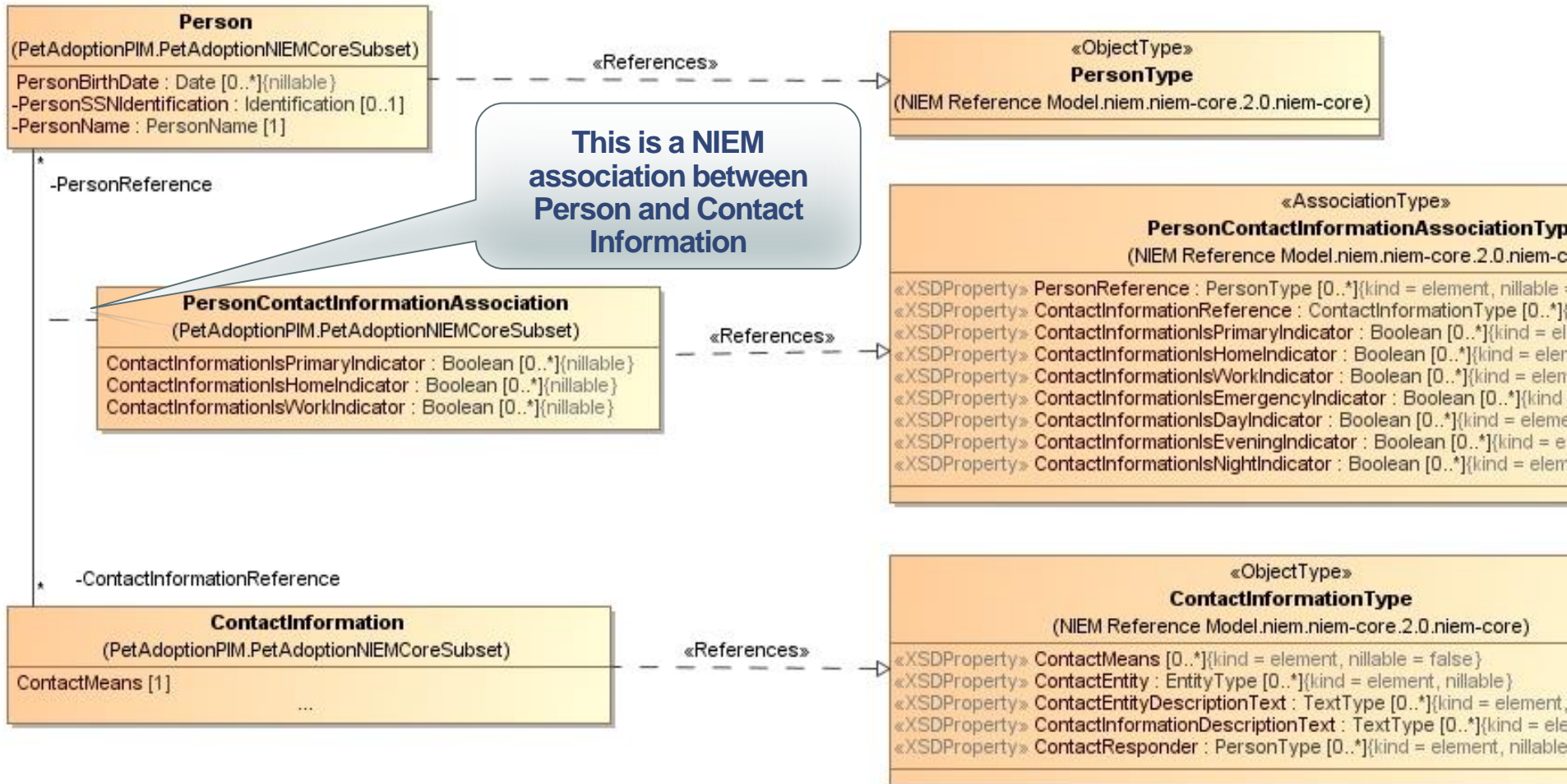


Property
using the
code list



This is a
NIEM code
list

NIEM Associations



Associations Connect Objects – in this case people and contact information

Augmentations – Phone Number ++



«Augments»

Optionally, an augmentation can be restricted to what it “applies to”

«AugmentationType»

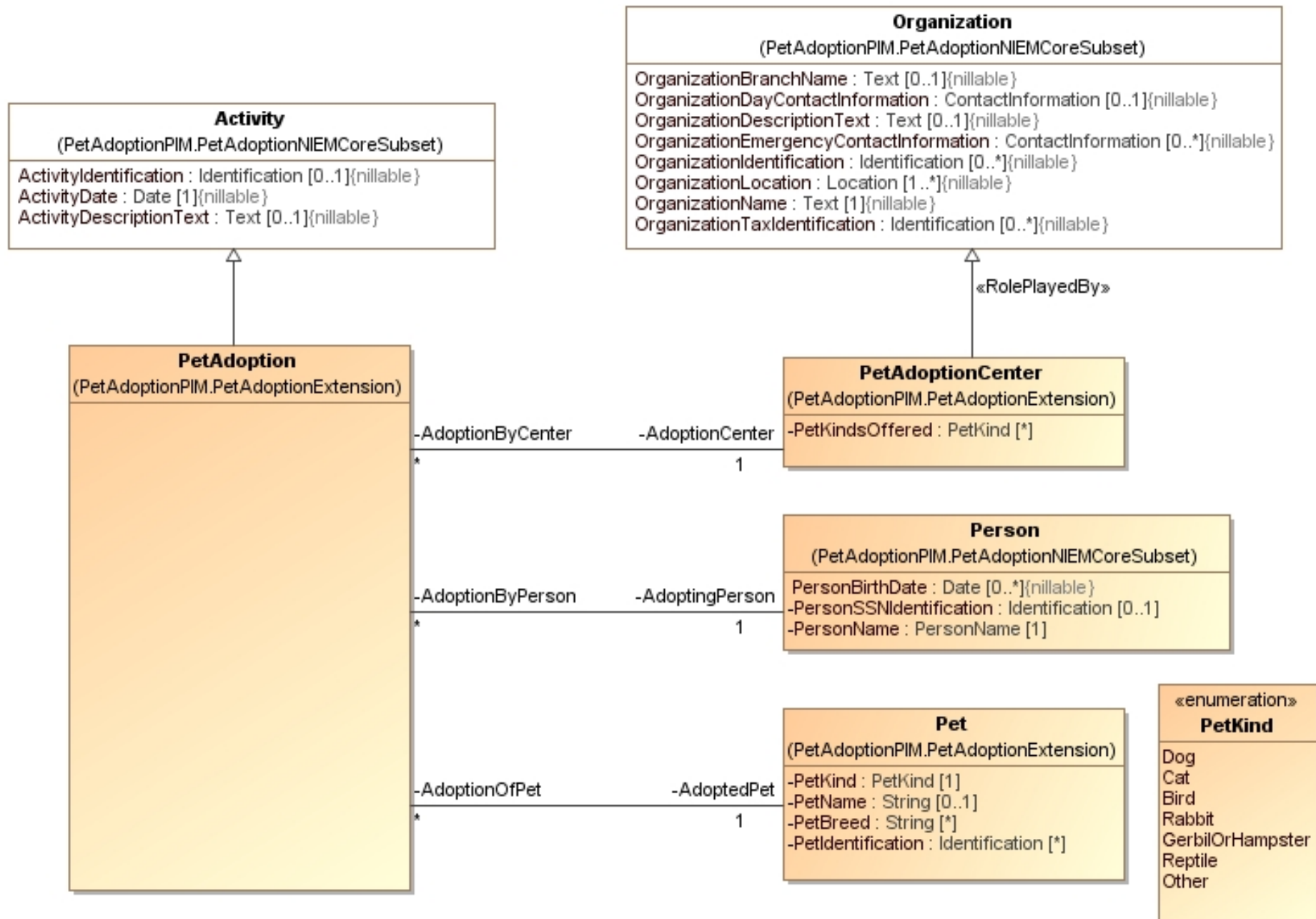
| |
|---|
| TelephoneNumberAugmentation (PetAdoptionPIM.PetAdoptionExtension) |
| -TelephoneTypeDescriptionText : Text |

This is direct extension – not an augmentation

Inheriting an augmentation results in a NIEM augmentation property, not XSD extension

| |
|---|
| TelephoneNumber (PetAdoptionPIM.PetAdoptionExtension) |
|---|

Completed High-Level Model



Adding the IEPD Metadata

Note "Information Model" stereotype

«ModelPackageDescription»

PetAdoptionIEPD

{descriptionText = "Sample IEPD for pet adoption",
ExchangePartnerName = "adoption agency",
mpdBaseURI = "http://modeldriven.org/niem/samples/PetAdoption",

«import»

mpdClassCode = iepd,
PurposeText = "Sample IEPD PIM",
StatusText = "Prototype"}

Each packages the IEPD uses become XML Schema

This models the IEPD to be produced

Subset packages automatically subset a reference model

Existing NIEM-Core is subset, not copied into IEPD

«InformationModel»

PetAdoptionExchange

{defaultPurpose = exchange,
isConformant,
targetNamespace = "http://www.modeldriven.org/niem/examples/PetAdoptionExchange",
version = "1"}

«use»

«InformationModel»

PetAdoptionExtension

{defaultPurpose = extension,
isConformant,
targetNamespace = "http://www.modeldriven.org/niem/examples/PetAdoptionExtension",
version = "1"}

«use»

«InformationModel»

PetAdoptionNIEMCoreSubset

{defaultPurpose = subset,
isConformant,
targetNamespace = "http://niem.gov/niem/niem-core/2.0",
version = "1"}

«References»

«InformationModel»

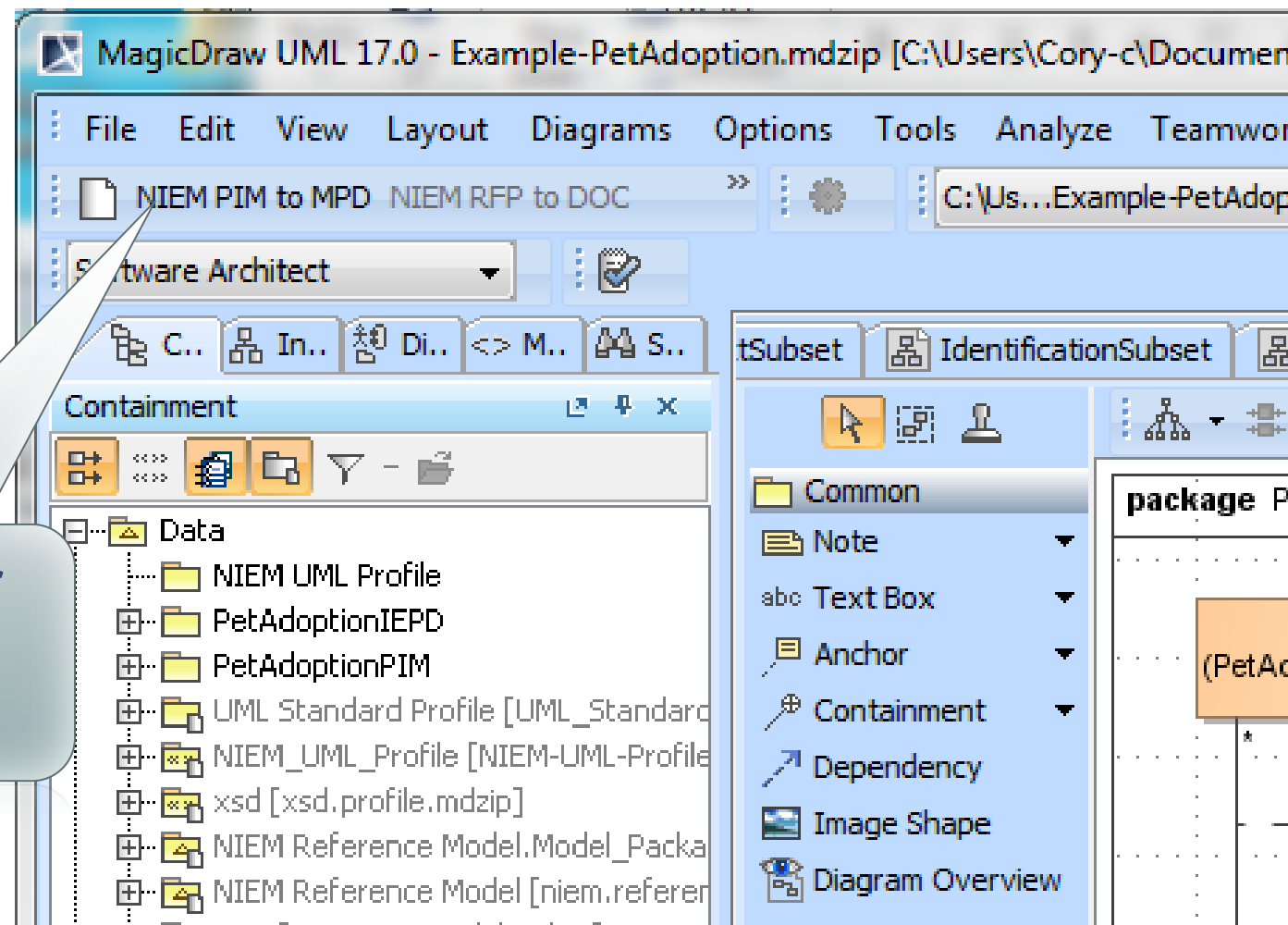
niem-core

{defaultPurpose = reference,
isConformant,
targetNamespace = "http://niem.gov/niem/niem-core/2.0",
version = "1"}

Create the IEPD from the model



**You then tell your
UML and/or MDA
tool to make the
IEPD**



All the IEPD artifacts are then created by the MDA Automation



Name



niem



XMLschemas



catalog



changelog.mpdcl

**Given a model that satisfies
the NIEM-UML profile a
valid and complete IEPD is
guaranteed to come out.**

Even this simple example produces dozens of interdependent
technology artifacts in the IEPD

MDA Automation Also creates Multiple NIEM Conformant XML Schema



```
<?xml version="1.0" encoding="UTF-8"?>
```

```
<xsd:schema xmlns:Q1="http://www.modeldriven.org/niem/examples/PetAdoptionExtension" xmlns:i="http://niem.gov/niem/appinfo/2.0"
```

```
<xsd:import namespace="http://niem.gov/niem/appinfo/2.1" schemaLocation="../../../../niem/appinfo/2.1/appinfo.xsd"/>
```

```
<xsd:import namespace="http://niem.gov/niem/structures/2.0" schemaLocation="../../../../niem/structures/2.0/structures.xsd"/>
```

```
<xsd:import namespace="http://www.modeldriven.org/niem/examples/PetAdoptionExtension" schemaLocation="../../../../XMLschemas"
```

```
<xsd:import namespace="http://www.modeldriven.org/niem/examples/PetAdoptionExchange" schemaLocation="../../../../XMLschemas"
```

```
<xsd:import namespace="http://niem.gov/niem/appinfo/2.0" schemaLocation="../../../../niem/appinfo/2.0/appinfo.xsd"/>
```

```
<xsd:import namespace="http://niem.gov/niem/proxy/xsd/2.0" schemaLocation="../../../../niem/proxy/xsd/2.0/xsd.xsd"/>
```

```
<xsd:import namespace="http://niem.gov/niem/niem-core/2.0" schemaLocation="../../../../XMLschemas/niem/niem-core/2.0/niem-core.xsd"/>
```

```
<xsd:complexType abstract="false" name="PetAdoptionExchangeType">
```

```
<xsd:annotation>
```

```
<xsd:appinfo>
```

```
<i:Base i:name="Object" i:namespace="http://niem.gov/niem/structures/2.0"/>
```

```
</xsd:appinfo>
```

```
</xsd:annotation>
```

```
<xsd:complexContent>
```

```
<xsd:extension base="s:ComplexObjectType">
```

```
<xsd:sequence>
```

```
<xsd:element maxOccurs="unbounded" minOccurs="1" ref="tns:People"/>
```

```
<xsd:element maxOccurs="unbounded" minOccurs="1" ref="tns:Pets"/>
```

```
<xsd:element maxOccurs="unbounded" minOccurs="1" ref="tns:PetAdoptions"/>
```

```
<xsd:element maxOccurs="unbounded" minOccurs="1" ref="tns:PetAdoptionCenters"/>
```

```
<xsd:element maxOccurs="unbounded" minOccurs="0" ref="tns:Addresses"/>
```

```
<xsd:element maxOccurs="unbounded" minOccurs="0" ref="tns:ContactInformation"/>
```

```
<xsd:element maxOccurs="unbounded" minOccurs="0" ref="tns:PersonContactInformationAssociations"/>
```

```
</xsd:sequence>
```

```
</xsd:extension>
```

```
</xsd:complexContent>
```

```
</xsd:complexType>
```

```
<xsd:element abstract="false" name="People" nillable="false" type="nc:PersonType"/>
```

```
<xsd:element abstract="false" name="Pets" nillable="false" type="Q1:PetType"/>
```

```
<xsd:element abstract="false" name="PetAdoptions" nillable="false" type="Q1:PetAdoptionType"/>
```

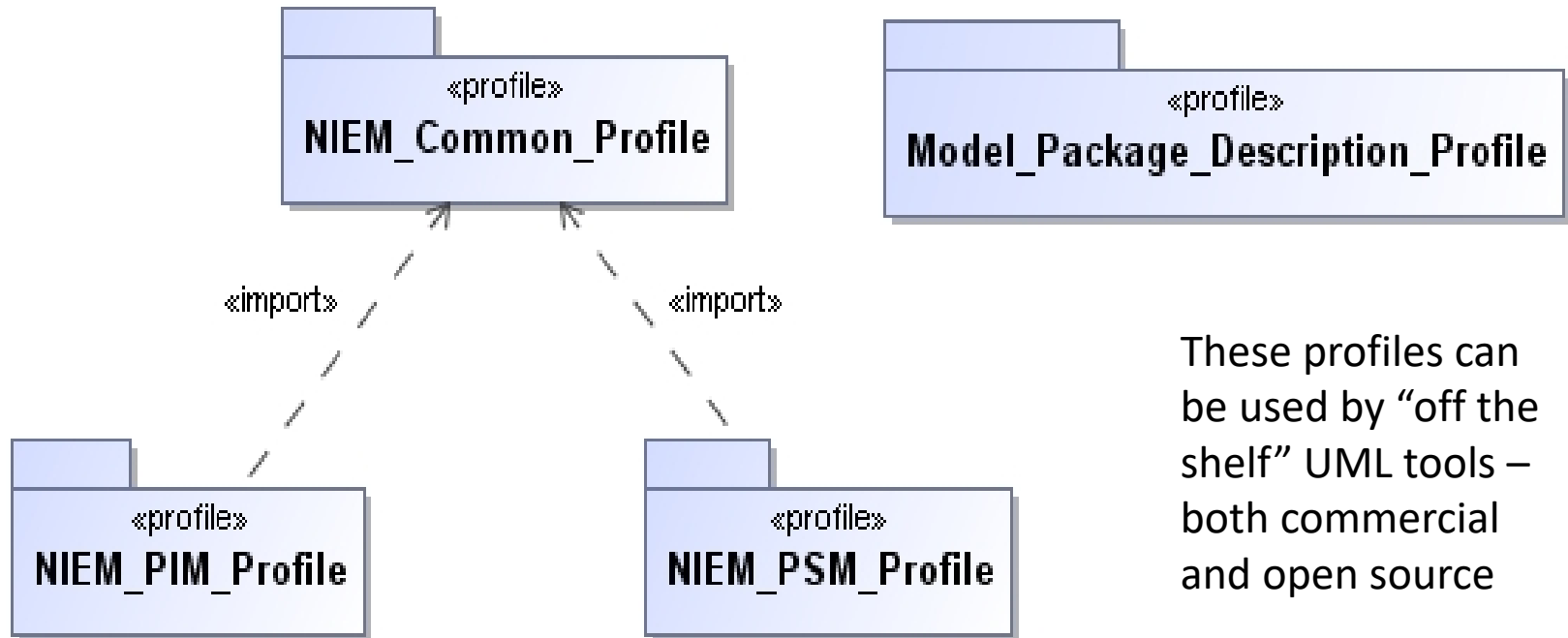


As part of this process



- The model is fully validated with “OCL Constraints” for NIEM Rules
- The produced PSM (NIEM Artifacts) are also validated
- Many NIEM rules are taken care of automatically in the transformation rules such as Naming and Global elements – there are over 300 NIEM rules!
- The resulting IEPD is either valid or any problems noted (how being tool dependent)
- There are still a few subjective NDR Rules that can’t be tested by the automation

NIEM-UML Profile Structure



These profiles can be used by “off the shelf” UML tools – both commercial and open source

NIEM Reference Vocabularies



Core (NIEM Core)

Reference (Combined)

ansi_d20

apco

atf

cbrncl

census

dea

dod_jcs-pub2.0-misc

edxl-cap

edxl-de

edxl-have

edxl

fbi

fips_10-4

fips_5-2

fips_6-4

geospatial

have-codes

hazmat

icism

iso_3166

iso_4217

iso_639-3

itis

lasd

mmucc_2

mn_offense

nga

nlets

nonauthoritative-code

post-canada

sar

twpdes

ucr

unece_rec20-misc

usps_states

ut_offender-tracking-misc

core

emergencyManagement

familyServices

infrastructureProtection

intelligence

jxdm

maritime

screening

external.cap

external.de

external.have

external.ogc

Who Is OMG?



Object Management Group (OMG):

- Founded in 1989
- More than 470 member companies
- The largest and longest standing not-for-profit, open-membership consortium which develops and maintains computer industry specifications.
- Continuously evolving to remain current while retaining a position of thought leadership.



Developing Standards

Standards are developed using OMG's mature, worldwide, open development process. With over 20 years of standards work, OMG's one-organization, one-vote policy ensures that every vendor and end-user, large and small, has an effective voice in the process.



OMG's Best-Known Successes



Common Object Request Broker Architecture

- CORBA® remains the only language- and platform-neutral interoperability standard

Unified Modeling Language

- UML® remains the world's only standardized modeling language

Business Process Modeling Notation

- BPMN™ provides businesses with the capability of understanding their internal business procedures

Common Warehouse Metamodel

- CWM™, the integration of the last two data warehousing initiatives

Meta-Object Facility

- MOF™, the repository standard

XML Metadata Interchange

- XMI®, the XML-UML standard

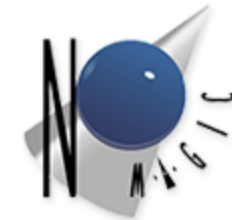
SoaML

- Service Oriented Modeling Language

Nomagic Cameo NIEM-UML



- First “Out of the box” implementation of NIEM-UML
- NIEM-UML Plugin for MagicDraw Provides:
 - The NIEM plugin using UML makes NIEM easier to implement
 - Facilitates the transform generating NIEM compliant technology artifacts
 - Helps create the model, more than 50 NIEM reference models are included
 - Facilitates NIEM subsetting
 - Plugin helps you identify and create subsets
 - Templates included with the NIEM MagicDraw plugin: MPD model, empty models
 - Visual and automated way to create subset models
 - Extensive modeling features: easy and intuitive to create NIEM IEPD models
 - Facilitates modeling metadata
 - Makes it easy to find and reuse reference models
 - The plugin contains an extensive reference model with more than 50 reference models
 - The plugin contains more than 12 examples of IEPDs
 - Ideal for security modeling
 - Support for the full lifecycle of an enterprise’s applications



No Magic

<http://www.nomagic.com/products/magicdraw-addons/cameo-niem-plugin.html>

Model Driven Solutions



- Model Driven Solutions (MDS) is a small business headquartered in North Virginia. Our primary customers are government and large corporations.
- MDS provides a Model Driven approach to business and information systems solutions.

Providing

- Enterprise Architecture
- Business Architecture
- Information Architecture
- Services Architecture
- Systems Architecture
- Executable Systems
- Automated Federation
- Open Source Tooling

Using

- Semantic Technologies
- Unified Modeling Language
- Business Process Modeling Notation
- Service Oriented Architecture
- Model Driven Architecture
- Industry Standards
- Open Source & Commercial Products

Questions and Comments