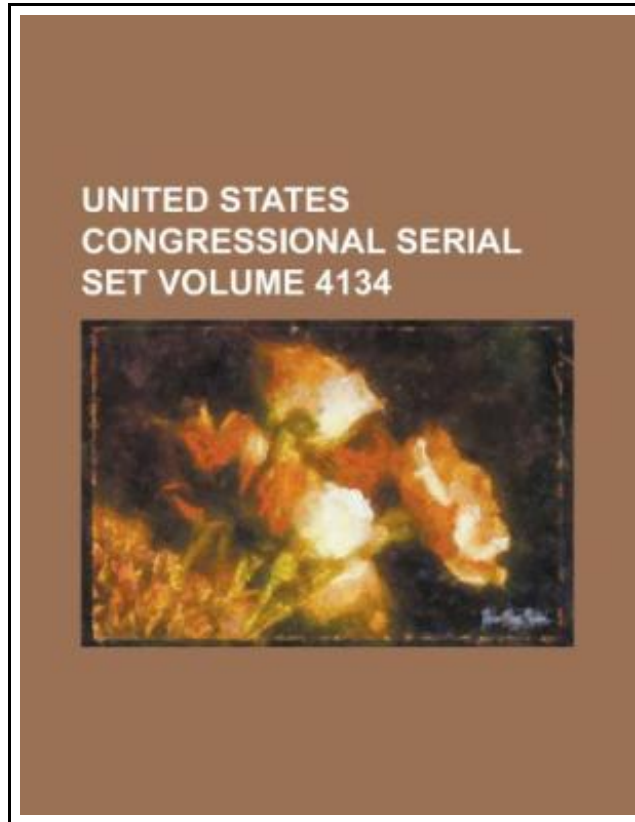


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RareBooksClub. Paperback. Book Condition: New. This item is printed on demand. Paperback. 150 pages. Original publisher: Washington : U. S. G. P. O. , 2011. LC Number: KF27 . O948 2011 OCLC Number: (OCoLC)747708625 Subject: Financial services industry -- Government policy -- United States. Excerpt: . . . 9 Thank you, Mr. Chairman. I yield the balance of my time. Mr. M H. I thank the gentleman. C ENRY I now recognize the ranking member of the Subcommittee on Government Organization, Efficiency and Financial Management, Mr. Towns, former chairman of the full committee, for 5 minutes. Mr. T. Thank you very much, Mr. Chairman, and thank OWNS you for holding this hearing today. The SEC is at an important crossroad. It is successfully emerging from a troublesome period leading up to collapse of the countrys financial system. It is paused to take the lead in reforming Wall Street and preventing another financial meltdown through its enforcement of the Dodd-Frank Act. This hearing will examine financial management, the work force and internal operations at the SEC. It is encouraging to see all the new initiatives Chairman Schapiro has put in place in the last 2 years. The SEC hired its first chief operating officer to oversee the accounting functions, financial reporting and internal controls, and we salute you for that, Madam Chair. The SEC has also hired a new chief information officer to oversee its information technology functions. The Chairperson has restruc-tured the entire Enforcement Division, recruited experts and has put a new governing structure in place. This is commendable as well. As with any organization, lapses can, do and will occur. I under-stand the SEC has taken disciplinary action against those who have been accused of misconduct at the Commission and that greater accountability has been integrated into the disciplinary...



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