

## PROBLEM 1A

*Question.* True or false? In every instance of the Stable Matching Problem, there is a stable matching containing a pair  $(m, w)$  such that  $m$  is ranked first on the preference list of  $w$  and  $w$  is ranked first on the preference list of  $m$ .

*Solution. False.* Let us consider a group containing 3 men  $(m_1, m_2, m_3)$  and 3 women  $(w_1, w_2, w_3)$ .

Let the preferences of  $m_1, m_2$  and  $m_3$  be as follows:

	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>
$m_1$	$w_2$	$w_3$	$w_1$
$m_2$	$w_2$	$w_3$	$w_1$
$m_3$	$w_1$	$w_3$	$w_2$

Let the preferences of  $w_1, w_2$  and  $w_3$  be as follows:

	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>
$w_1$	$m_2$	$m_1$	$m_3$
$w_2$	$m_3$	$m_2$	$m_1$
$w_3$	$m_2$	$m_1$	$m_3$

If we run the stable matching on the above set of men and women, we get the following matches (stable pairs):

$(m_1 \ w_3)$   
 $(m_2 \ w_2)$   
 $(m_3 \ w_1)$

As we see, in this particular outcome, there is no pair  $(m, w)$  such that  $m$  and  $w$  are ranked first on each other's preference lists.

In fact, no matter which man we start the algorithm from, we do not find the claim to be true.

- For  $m_1$  to be paired with his top preference, he would have to be paired with  $w_2$ . However, the top preference of  $w_2$  is  $m_3$ .
- Similarly, in the case of  $m_2$ , even though his top preference is  $w_2$ , the top preference of  $w_2$  is  $m_3$ .
- Lastly, for  $m_3$ , even though his top preference is  $w_1$ , the top preference of  $w_1$  is  $m_2$ .

Therefore, we cannot say that in every instance of the Stable Matching Problem, there is a stable matching containing a pair  $(m, w)$  such that  $m$  is ranked first on the preference list of  $w$  and  $w$  is ranked first on the preference list of  $m$ .  $\square$

---

### PROBLEM 1B

*Question.* True or false? Consider an instance of the Stable Matching Problem in which there exists a man  $m$  and a woman  $w$  such that  $m$  is ranked first on the preference list of  $w$  and  $w$  is ranked first on the preference list of  $m$ . Then in every stable matching  $S$  for this instance, the pair  $(m, w)$  belongs to  $S$ .

*Solution.* **True.** Proof by contradiction:

Let us consider a group containing 3 men  $(m_1, m_2, m_3)$  and 3 women  $(w_1, w_2, w_3)$ .

Let the preferences of  $m_1, m_2$  and  $m_3$  be as follows:

	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>
$m_1$	$w_2$	$w_3$	$w_1$
$m_2$	$w_3$	$w_1$	$w_2$
$m_3$	$w_1$	$w_2$	$w_3$

Let the preferences of  $w_1, w_2$  and  $w_3$  be as follows:

	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>
$w_1$	$m_1$	$m_2$	$m_3$
$w_2$	$m_3$	$m_1$	$m_2$
$w_3$	$m_2$	$m_1$	$m_3$

Here, we see that both  $m_2$  and  $w_3$  are ranked first on each other's preference lists.

Now, let us assume that there exists a stable matching  $S'$  such that  $m_2$  and  $w_3$  are **not** paired with each other.

We know two facts about the Stable Matching algorithm:

- Men will only propose to women **in the order of their preference list**. Therefore, in the case of man  $m_2$ , proposals will only happen in the order  $w_3 \rightarrow w_1 \rightarrow w_2$ .

- Every man will get a chance to propose. Therefore,  $m_2$  will surely get a chance to propose to  $w_3$  - and as  $m_2$  is the top preference of  $w_3$ , she will always match with him - as **women are never left unmatched, they always trade up**.

As a result, we cannot find a scenario where  $m_2$  and  $w_3$  do not end up together. **This fact remains true regardless of which group does the proposals.**

Even if, for the sake of the argument, we assume that there does exist a matching where  $m_2$  and  $w_3$  do not end up together, we can see that they would form an **unstable pair** - that is, they would both end up in matches where they prefer each other over their current partners.

**This contradicts the definition of Stable Matching.**

Therefore, in every stable matching  $S$  for a man  $m$  and a woman  $w$ , such that  $m$  is ranked first on the preference list of  $w$  and  $w$  is ranked first on the preference list of  $m$ , the pair  $(m, w)$  belongs to  $S$ .  $\square$

---

## PROBLEM 2 - OPTION B

*Question.* Give an example of a set of preference lists for which there is a switch that would improve the partner of a woman who switched preferences.

*Solution.* Let us consider a group of 3 men  $A, B, C$  and 3 women  $X, Y, Z$ .

Let their respective preferences be as follows:

	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>
A	X	Y	Z
B	Y	X	Z
C	X	Y	Z

	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>
X	B	A	C
Y	A	B	C
Z	A	B	C

After we run the Gale-Shapely algorithm on the above set of participants, we get the following stable matching:

(A X)  
(B Y)  
(C Z)

If we take a look at woman  $X$ , we see that her top choice is man  $B$  but she ends up with man  $A$ .

Now, suppose woman  $X$  lies about her preferences and says that her preference order is  $B \rightarrow C \rightarrow A$  instead. Now we have the new, updated list of preferences as follows:

	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>
A	X	Y	Z
B	Y	X	Z
C	X	Y	Z

	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>
<b>X</b>	<b>B</b>	<b>C</b>	<b>A</b>
Y	A	B	C
Z	A	B	C

When we run the Gale-Shapely algorithm on this new set of preferences, we get the following stable matching:

(A Y)  
(**B X**)  
(C Z)

In this scenario, we see that **woman  $X$  actually ends up with man  $B$ , her first preference**. By lying, woman  $X$  has actually hoodwinked the algorithm!

Therefore, this is an example where a switch in preferences improves the partner of a woman.

Consequently, we can also conclude that **yes, a man or a woman can end up better off by lying about his or her preferences**. This is an example of "**Machiavelli meets Gale-Shapley**".  $\square$

---