# Question Answering with BiDAF

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#### Contents

- Brief description of the problem
- Pre-processing
- BiDAF structure:
  - Character Embedding Layer
  - Word Embedding Layer
  - Contextual Embedding Layer
  - Attention Flow Layer
  - Modelling Layer
  - Output Layers
- Baseline and variants
- Analysis of results
- Discussion and possible improvements

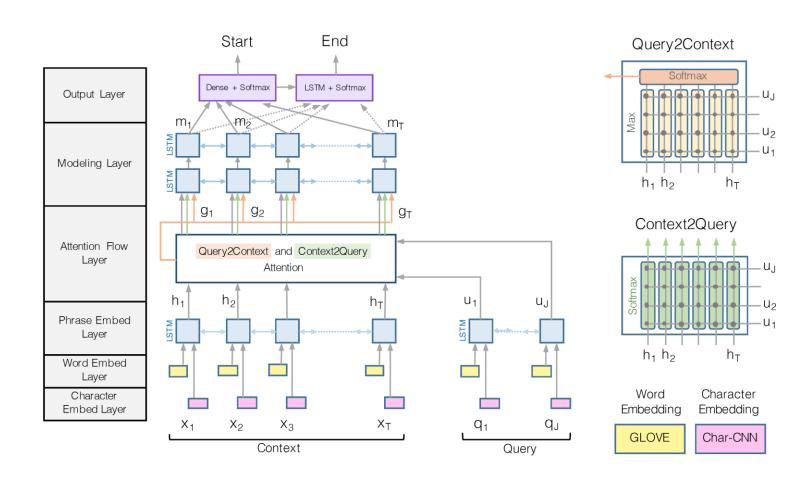
# Brief description of the problem

- Predict the answer span given a context and a question
- SQuAD dataset
- BiDAF model:
  - Close-domain (works with query + context, no access to external KB)
  - Extractive
  - > It answers to factoid questions

### Pre-processing

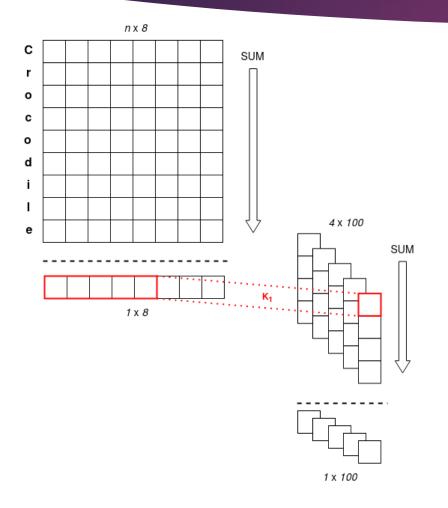
- Tokenization using NLTK's TreebankWordTokenizer
- Conversion of answer span indexes from character-level to token-level
- Dataset split in the following way:
  - > 64% reserved for training (57K samples)
  - > 16% reserved for validation (13K samples)
  - 20% reserved for test (17K samples)

Training Validation Test 20%



# BiDAF structure: Overview

#### Character Embedding Layer – Baseline

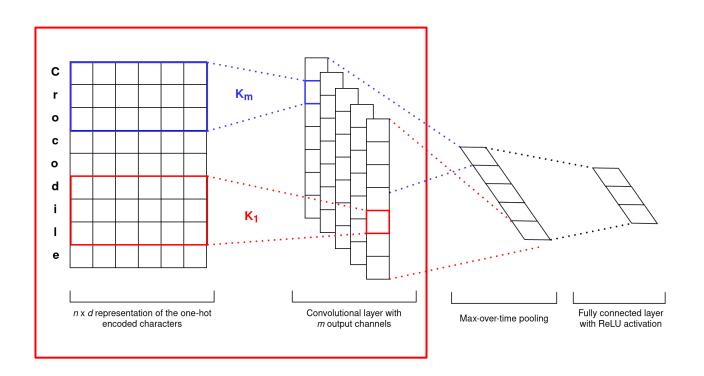


- Each character of the sentences is encoded using a trainable 8-dim embedding layer. The output has shape: batch size, sequence length, word length, char embedding dim (8); 2D slices in picture
- The embedding of each word is obtained by summing on the word length dimension (BS x SL x 8)
- Apply a convolution with kernel 1x5 and 100 output channels
- Sum on char embedding dimension obtaining a 3D tensor (BS x SL x 100)

#### Character Embedding Layer – Our improvements

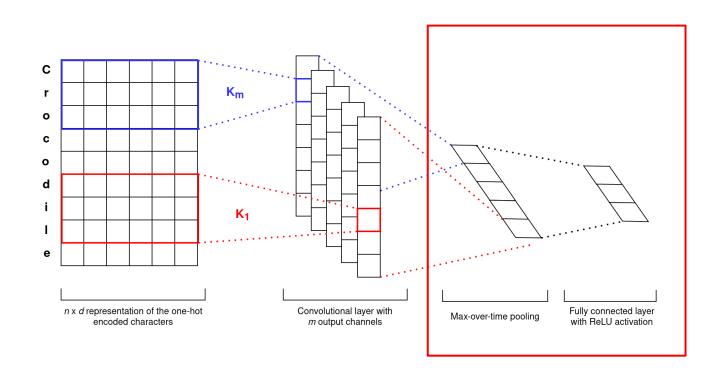
- 101-dimensional one-hot vector to each character using a non-trainable one-hot encoder
- > 1100 distinct characters in the corpus -> 99 most frequent + padding + unknown:
  - > <a> = [1, 0, 0, ..., 0]
  - > <b> = [0, 1, 0, ..., 0]
  - $\rightarrow$  <PAD> = [0, 0, ..., 0]
  - $\rightarrow$  <UNK> = [0, ..., 0, 1]
- > The output of the one-hot encoder is a 4D tensor: batch size, sequence length, word length and 101-dim character embedding.

#### Character Embedding Layer – Our improvements



- We consider 2D slices of the 4D tensor having shape word length x char embedding dim (n x d)
- Each slice is processed through a 2D convolution with m output channels and with kernel width equal to d, so the filter is slid only vertically

#### Character Embedding Layer – Our improvements

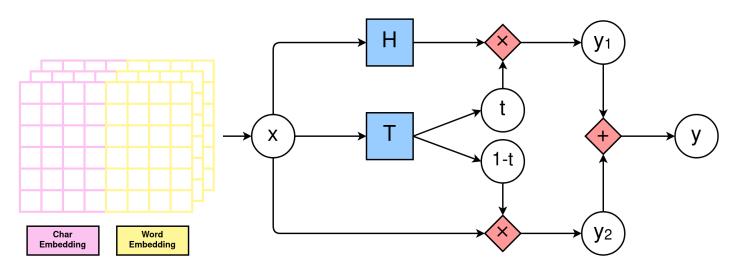


- From each of the m channels the max value is extracted using maxpooling
- The obtained vector is processed by a dense 2-layer neural network
- Output: 3D tensor (batch size, sequence length, out emb dim)
- Advantage: every word has fixed representation

# Word Embedding Layer

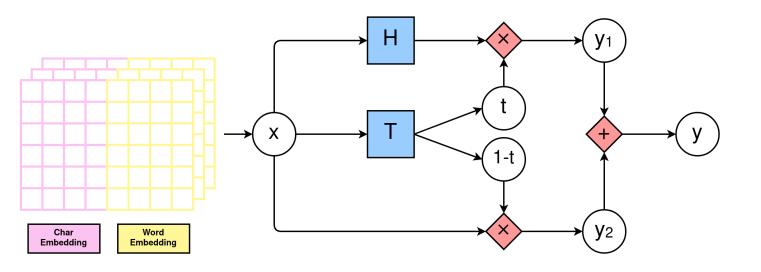
- Embedding at the word level
- Implemented as PyTorch Embedding layer
- Weights initialized using GloVe with same embedding dimension as Char Embedding Layer to give them same importance
- The output 3D tensor has shape: batch size, sequence length, output embedding dim

#### Contextual Embedding Layer – Highway Network



- Generalization of the residual block
- Highway Network input: Char and Word Embeddings concatenation along the embedding dimension
- $y = H(x) \cdot t + x \cdot (1-t)$
- $\succ$  t is computed by the Transform gate T
- Two branches:
  - $\triangleright$  First branch: the input x is transformed using the transform function H and reweighted according to t
  - > Second branch: the input x is carried out as it is and re-weighted according to 1-t

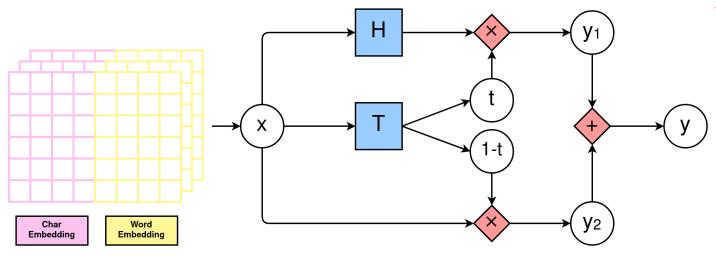
#### Contextual Embedding Layer – Baseline



#### > Baseline:

- Two Dense Highway Network blocks
- Transform gate T and transform function H are both fully connected neural networks
- Weighting value t is distinct for each element of the activation tensor

#### Contextual Embedding Layer – Our improvements

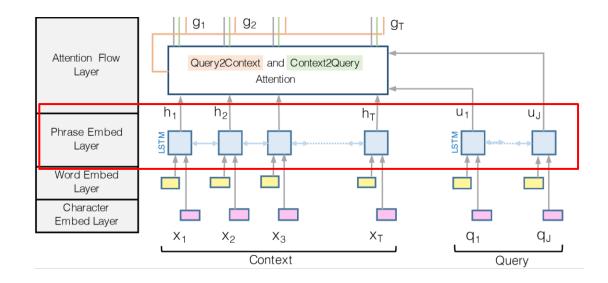


#### Our improvements:

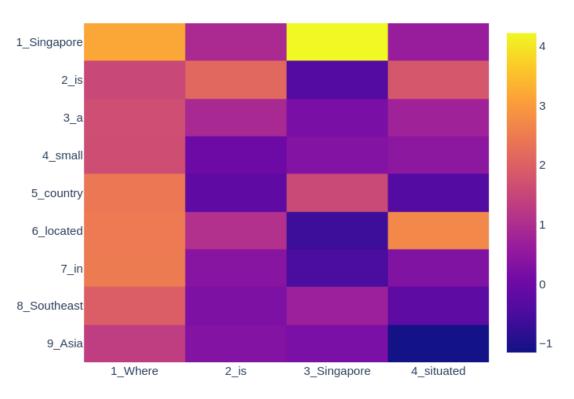
- Two Convolutional Highway Network blocks
- Transform gate T uses a 2D convolution (vertical slid) and mean operation to obtain t
- Weighting value t is shared among all the elements of the same batch
- Transform function H processes input x using 2D convolution with 5x5 kernel

# Contextual Embedding Layer - RNN

- The activations from the two branches are added together
- The Highway Network output is processed by a by a bidirectional Recurrent Neural Network (LSTM or GRU)



## Attention Flow Layer



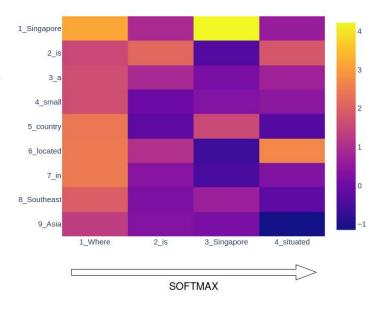
- It merges information between context and query through the bidirectional attention flow mechanism.
- Attention is computed both from context to query and vice versa by using a **shared** similarity matrix  $S \in \mathbb{R}^{c \times q}$ .
  - Given the contextual embeddings of the context  $\mathbf{H} \in \mathbb{R}^{2d \times c}$  and the query  $\mathbf{U} \in \mathbb{R}^{2d \times q}$ , each element of  $\mathbf{S}$  is computed as:  $\mathbf{S}_{ij} = \mathbf{w}_{(\mathbf{S})}^T \cdot \operatorname{concat}(\mathbf{H}_{:i}, \mathbf{U}_{:j}, \mathbf{H}_{:i} \odot \mathbf{U}_{:j})$  where  $\mathbf{w}_{(\mathbf{S})} \in \mathbb{R}^{6d}$  is a **learnable** weight vector.

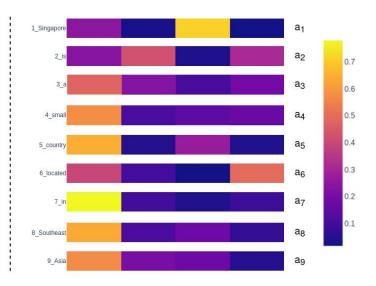
## Attention Flow Layer – C2Q

- It determines which query words are more relevant w.r.t. each context word.
- For each *i*-th context word, a vector of attention weights  $\mathbf{a}_i \in \mathbb{R}^q$  is computed by applying a softmax on the *i*-th row of  $\mathbf{S}$ .
- Each column i of the attended query matrix  $\widetilde{\mathbf{U}} \in \mathbb{R}^{2d \times c}$  is computed as:

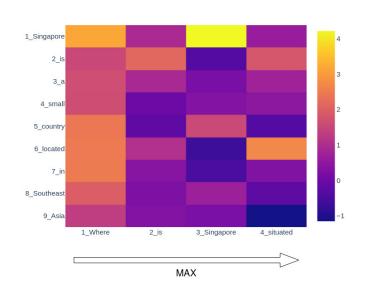
$$\widetilde{\mathbf{U}} = \sum_{j} \mathbf{a}_{ij} \cdot \mathbf{U}_{:j}$$

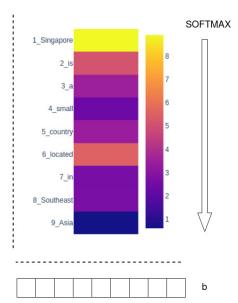
Vectors for the entire context.





## Attention Flow Layer – Q2C





- It determines the context words which are most similar to the query words, and thus more likely to contain the answer.
- For each context word, we compute the maximum similarity w.r.t. all query words and apply a softmax to obtain the vector of attention weights  $\mathbf{b} \in \mathbb{R}^c$ .
- The vector  $\tilde{\mathbf{h}} \in \mathbb{R}^{2d}$  is computed as:

$$\tilde{\mathbf{h}} = \sum_{i} \mathbf{b}_{i} \cdot \mathbf{H}_{:i}$$

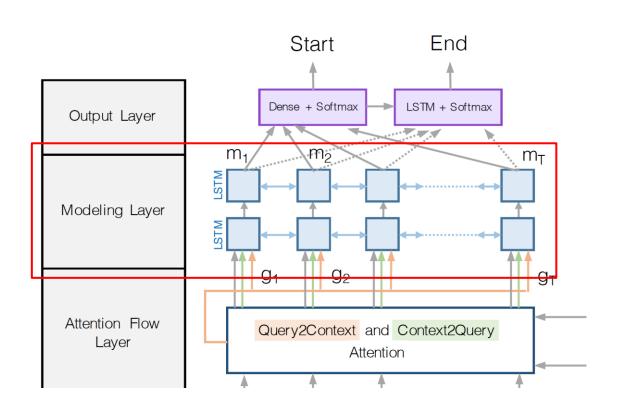
and it indicates the weighted sum of the most important words in the context w.r.t. the query.

 $\tilde{\mathbf{h}}$  is then replicated c times across the columns to obtain the matrix  $\tilde{\mathbf{H}} \in \mathbb{R}^{2d \times c}$ .

## Attention Flow Layer – Q2C

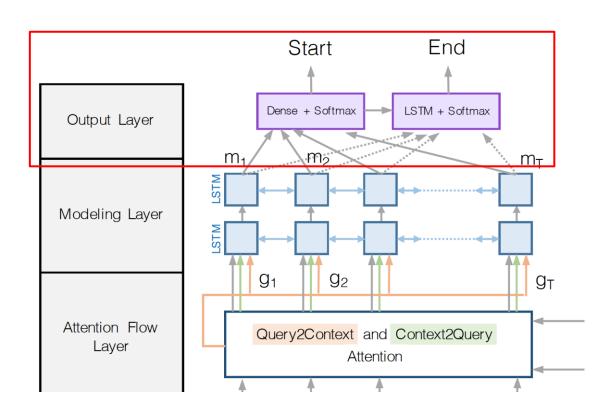
- The contextual embeddings and the attention vectors are combined to yield the matrix  $G \in \mathbb{R}^{8d \times c}$ , where each column is the query-aware representation of each context word.
- Each column of **G** is computed as:  $\mathbf{G}_{:i} = \operatorname{concat}(\mathbf{H}_{:i}, \widetilde{\mathbf{U}}_{:i}, \mathbf{H}_{:i} \odot \widetilde{\mathbf{U}}_{:i}, \mathbf{H}_{:i} \odot \widetilde{\mathbf{H}}_{:i})$

#### Modelling Layer



- The input to the modelling layer is G, which encodes the query-aware representations of context words.
- The output of the modelling layer captures the interaction among the context words conditioned on the query.
- We use a 2-layer bi-directional RNN, with output size d for each direction.
- > Hence we obtain a matrix  $\mathbf{M} \in \mathbb{R}^{2d \times c}$ , which is passed onto the output layer.

#### Output Layers

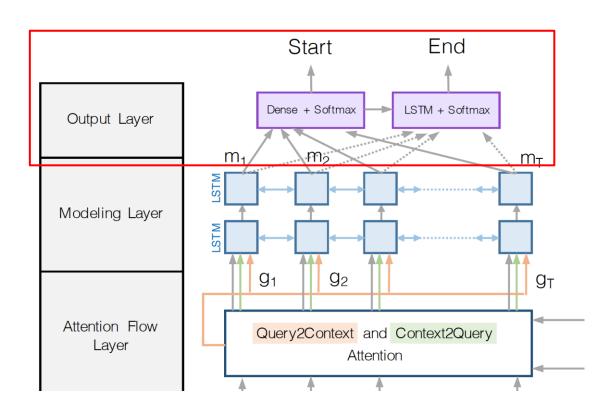


The probability distribution for the **START** of the answer span is obtained as follows:

$$\mathbf{p}^1 = \operatorname{softmax}\left(\mathbf{w}_{(\mathbf{p}^1)}^{\mathrm{T}} \cdot \operatorname{concat}(\mathbf{G}, \mathbf{M})\right)$$

 $\mathbf{w}_{(\mathbf{p}^1)}^{\mathrm{T}} \in \mathbb{R}^{10d}$  is a **learnable** weight vector.

#### Output Layers



- The probability distribution for the END of the answer span is obtained in two steps:
  - > the matrix **M** is fed into an additional bi-directional RNN which produces the matrix  $\mathbf{M}^2 \in \mathbb{R}^{2d \times c}$ ;
  - $\mathbf{p}^2 = \operatorname{softmax}\left(\mathbf{w}_{(\mathbf{p}^2)}^{\mathrm{T}} \cdot \operatorname{concat}(\mathbf{G}, \mathbf{M}^2)\right)$
- $\mathbf{w}_{(\mathbf{p}^2)}^{\mathrm{T}} \in \mathbb{R}^{10d}$  is a **learnable** weight vector.

# Loss and optimizer

The loss function sums the negative log probabilities of the true start and end indices:

$$L(\theta) = -\frac{1}{N} \sum_{i}^{N} \log \left( \mathbf{p}_{y_{i}^{1}}^{1} \right) + \log \left( \mathbf{p}_{y_{i}^{2}}^{2} \right)$$

#### where:

- $\triangleright$   $\theta$  is the set of trainable parameters;
- N is the number of samples;
- >  $y_i^1$  and  $y_i^2$  are the true start and end indices of the answer span for the *i*-th sample;
- $\mathbf{p}_{k}^{1}$  and  $\mathbf{p}_{l}^{2}$  are the probability that the k-th token is the start and that the l-th token is the end of the answer span.
- We trained the model using Adam optimizer with a learning rate of  $5 \cdot 10^{-3}$  and minibatches of size 8.

#### Baseline and variants

- > Baseline: dense highway network, learnable character embedding layer and dropout
- ightharpoonup Variant 1: convolutional highway network and concatenation of  $p^{start}$  when computing  $p^{end}$
- Variant 2: as the variant 1, but with a non-trainable character embedding layer based on the one-hot encoding of the most frequent characters
- Variant 3: as the variant 2, but with no dropout
- **Variant 4**: as the variant 3, but with the additional constraint  $p^{end} > p^{start}$  (which acts only at inference)

Performance on test set					
Model	Baseline	Variant 1	Variant 2	Variant 3	Variant 4
Loss	5.07	4.73	3.64	3.67	3.65
Exact score	0.266	0.288	0.402	0.412	0.421
f1 score	0.432	0.443	0.587	0.599	0.603

### Analysis of results

#### **Error type 1**

- Context: Beyoncè Giselle Knowles-Carted (born September 4, 1981) is an American singer, [...] rose to fame in the late 1990s [...] Dangerously in Love (2003), which established her [...] featured the Billboard Hot 100 number-one [...].
- Query: When did Beyoncè start becoming popular?
- Correct answer: in the late 1990s.
- Our answer: 2003).

### Analysis of results

#### Error type 2

#### Example 1

- Query: In what city did Beyoncè grow up?
- Correct answer: Houston.
- Our answer: Houston, Texas.

#### Example 2

- Query: Which three countries did Beyonce's song "Work It Out" achieve top ten status?
- Correct answer: UK, Norway, and Belgium.
- Our answer: Belgium.

### Analysis of results

#### Error type 3

#### Example 1

- Context: The latest study using magnetic resonance imaging (MRI) to humans and dogs together proved that [...].
- Query: What technology was used to show that dogs respond to voices in the same brain parts as people?
- Correct answer: MRI.
- Our answer: magnetic resonance imaging.

#### Example 2

- Context: In Islam dogs are viewed as unclean because they are viewed as scavenger. In 2015 [...].
- Query: How are dogs viewed in Islam?
- Correct answer: as unclean.
- Our answer: as scavengers.

# Discussion and possible improvements

- Evidence shows that exploiting high-level constraints (like start span index < end span index) can lead to improvements
- The output layer can learn to constraint the value of p<sup>end</sup> based on the value of p<sup>start</sup>
- Possible improvement: merge matrices (eg. word and char embedding) with other approaches instead of concatenation, such as sum or weighted sum
- The models could be improved by better exploring the hyperparameters' space

# Discussion and possible improvements

- Major weakness: models not suited for parallelized training due to RNN
- Baseline model slowed down by Dense Highway Network in the Contextual Embedding Layer



We introduced the more efficient Convolutional Highway Network

# Discussion and possible improvements

#### > Other architectures:

- Transformers which do not employ recurrent modules would be trained more efficiently
- XLNet seems the most suited solution because it does not have the limitations of BERT regarding the maximum input length, it matters since in SQuAD sometimes (context + query) > 512

# Thank you for your bidirectional attention