



## ***Robotics 1***

# **Inverse kinematics**

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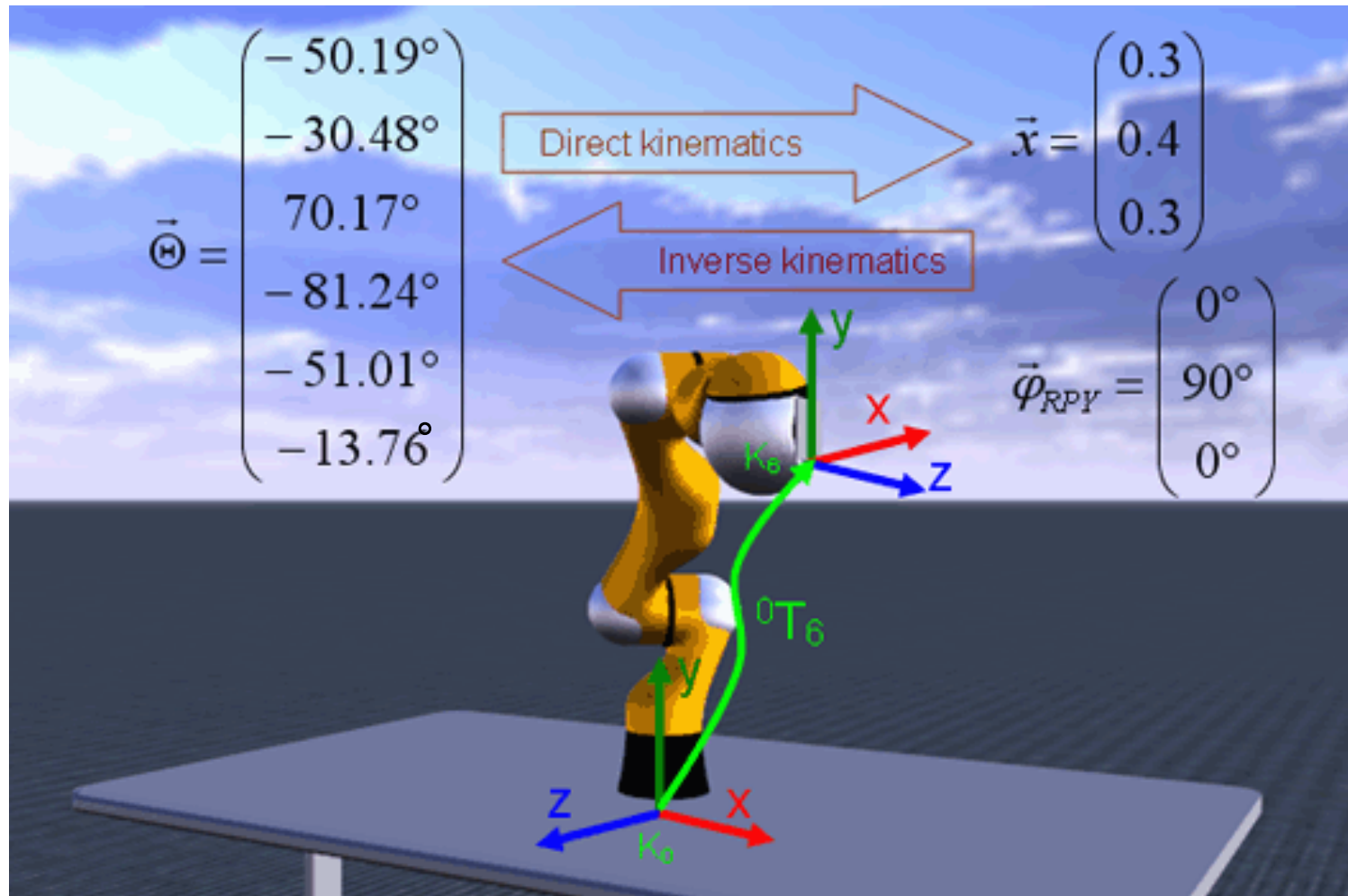
DIPARTIMENTO DI INGEGNERIA INFORMATICA  
AUTOMATICA E GESTIONALE ANTONIO RUBERTI



**SAPIENZA**  
UNIVERSITÀ DI ROMA

# Inverse kinematics

## what are we looking for?



direct kinematics is always unique;  
how about inverse kinematics for this 6R robot?



# Inverse kinematics problem

- “given a desired end-effector pose (position + orientation), **find** the values of the joint variables that will realize it”
- a **synthesis** problem, with input data in the form

$$\blacksquare T = \begin{bmatrix} R & p \\ \hline 000 & 1 \end{bmatrix} = {}^0A_n(q) \quad \blacksquare r = \begin{bmatrix} p \\ \phi \end{bmatrix} = f_r(q), \text{ or for any other task vector}$$

classical formulation: inverse kinematics for a given end-effector pose      generalized formulation: inverse kinematics for a given value of task variables

- a typical **nonlinear** problem
  - **existence** of a solution (**workspace** definition)
  - uniqueness/**multiplicity** of solutions ( $r \in R^m, q \in R^n$ )
  - solution **methods**

# Solvability and robot workspace

(for tasks related to a desired end-effector Cartesian pose)



- **primary workspace  $WS_1$** : set of all positions  $p$  that can be reached with **at least one** orientation ( $\phi$  or  $R$ )
  - out of  $WS_1$  there is no solution to the problem
  - when  $p \in WS_1$ , there is a suitable  $\phi$  (or  $R$ ) for which a solution exists
- **secondary (or *dexterous*) workspace  $WS_2$** : set of positions  $p$  that can be reached with **any** orientation (among those **feasible** for the robot direct kinematics)
  - when  $p \in WS_2$ , there exists a solution for any feasible  $\phi$  (or  $R$ )
- $WS_2 \subseteq WS_1$



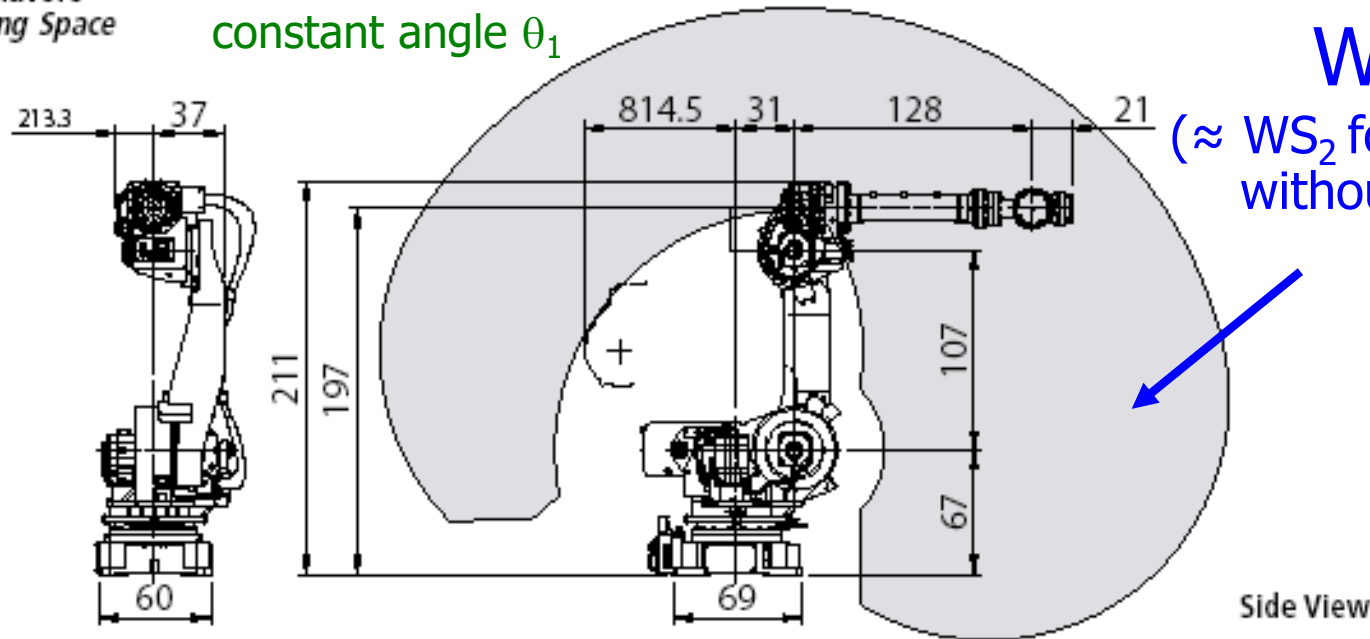
# Workspace of Fanuc R-2000i/165F

Area di lavoro  
Operating Space

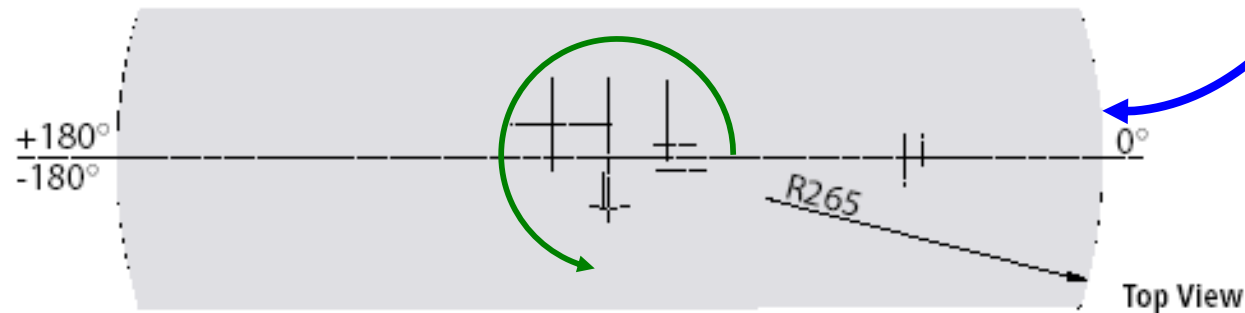
section for a  
constant angle  $\theta_1$

$$WS_1 \subset R^3$$

( $\approx WS_2$  for spherical wrist  
without joint limits)



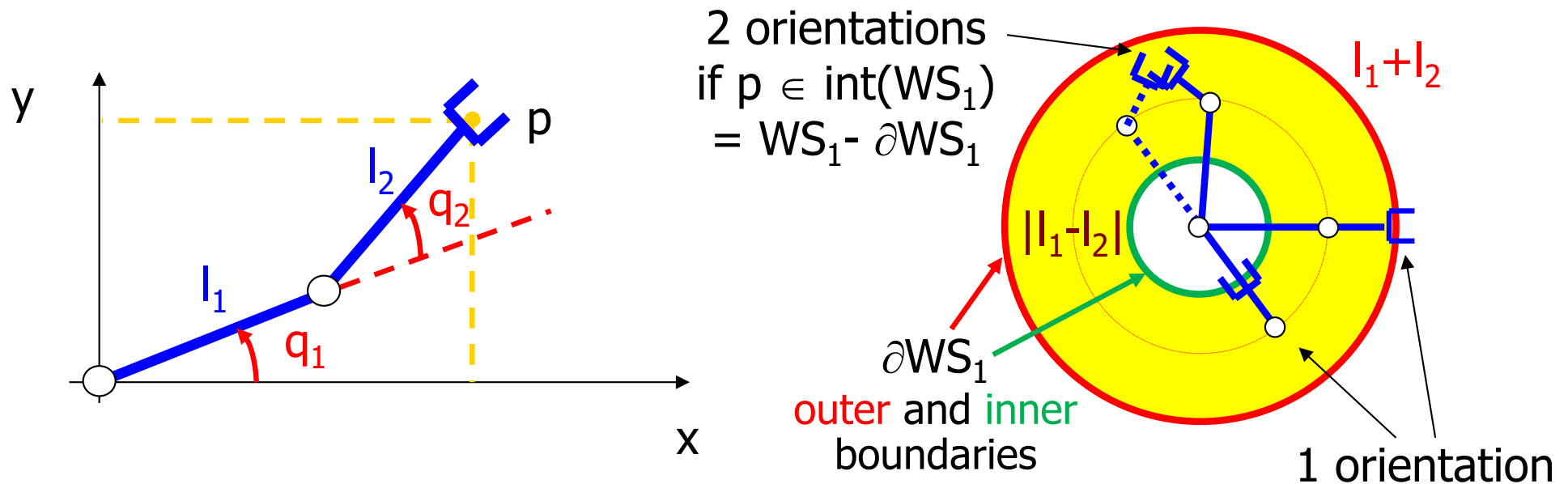
Side View



Top View

rotating the  
base joint angle  $\theta_1$

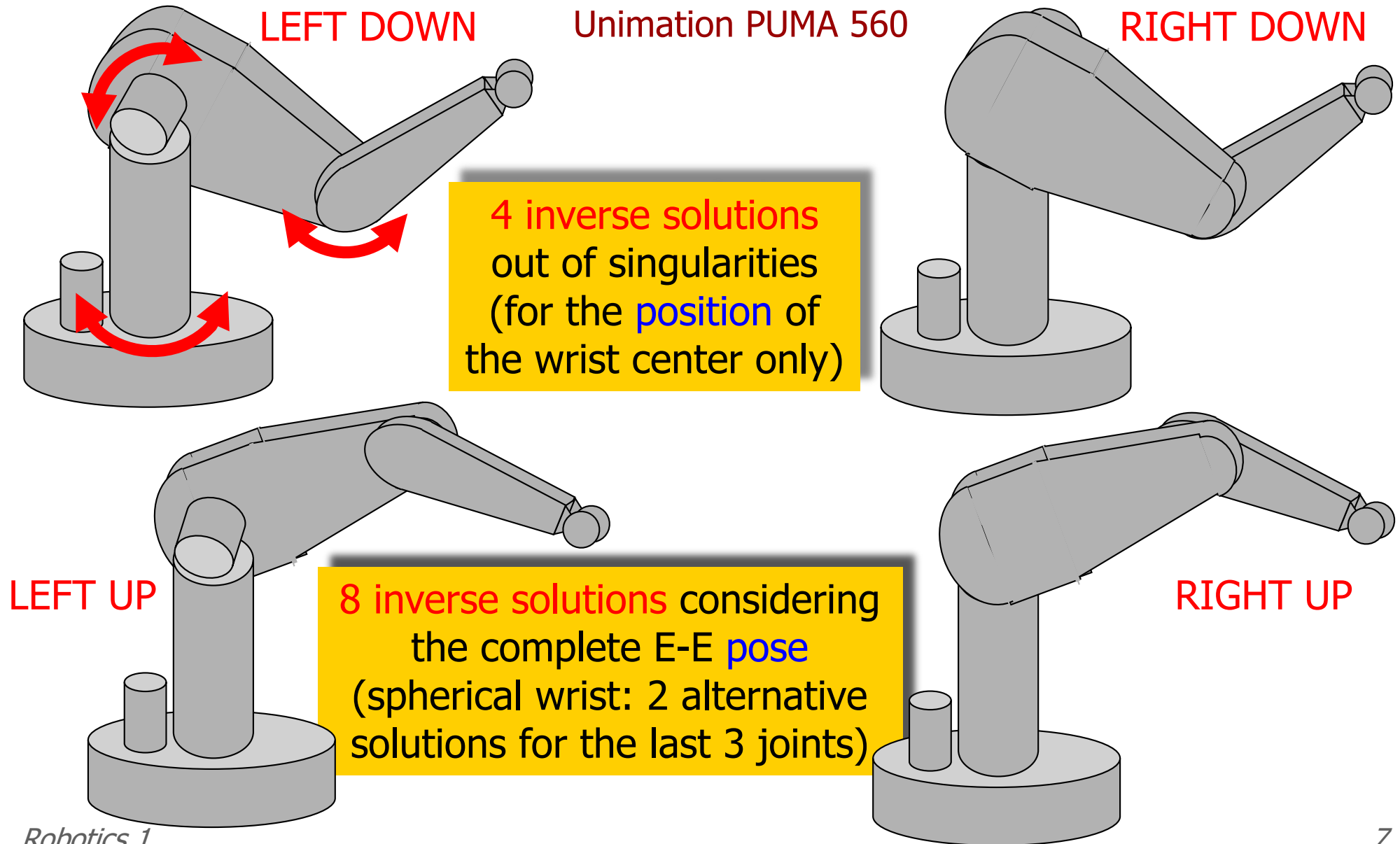
# Workspace of planar 2R arm



- if  $l_1 \neq l_2$ 
  - $WS_1 = \{p \in R^2: |l_1 - l_2| \leq \|p\| \leq l_1 + l_2\} \subset R^2$
  - $WS_2 = \emptyset$
- if  $l_1 = l_2 = \ell$ 
  - $WS_1 = \{p \in R^2: \|p\| \leq 2\ell\} \subset R^2$
  - $WS_2 = \{p = 0\}$  (infinite number of feasible orientations at the origin)



# Wrist position and E-E pose inverse solutions for an articulated 6R robot





# Inverse kinematic solutions of UR10

## 6-dof Universal Robot UR10, with non-spherical wrist



video (slow motion)

desired pose

$$p = \begin{pmatrix} -0.2373 \\ -0.0832 \\ 1.3224 \end{pmatrix} [\text{m}]$$

$$R = \begin{pmatrix} \sqrt{3}/2 & 0.5 & 0 \\ -0.5 & \sqrt{3}/2 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

home configuration at start

$$q = (0 \quad -\pi/2 \quad 0 \quad -\pi/2 \quad 0 \quad 0)^T [\text{rad}]$$





# The 8 inverse kinematic solutions of UR10



shoulderRight  
wristDown  
elbowUp

$$q = \begin{pmatrix} 1.0472 \\ -1.2833 \\ -0.7376 \\ -2.6915 \\ -1.5708 \\ 3.1416 \end{pmatrix}$$



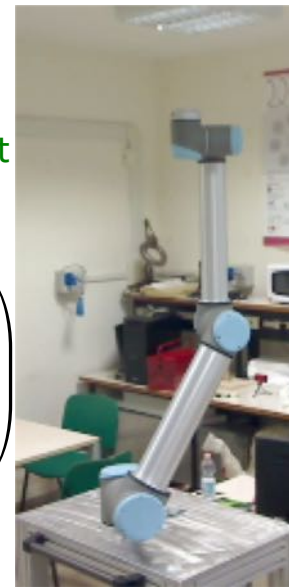
shoulderRight  
wristDown  
elbowDown

$$q = \begin{pmatrix} 1.0472 \\ -1.9941 \\ 0.7376 \\ 2.8273 \\ -1.5708 \\ 3.1416 \end{pmatrix}$$



shoulderRight  
wristUp  
elbowUp

$$q = \begin{pmatrix} 1.0472 \\ -1.5894 \\ -0.5236 \\ 0.5422 \\ 1.5708 \\ 0 \end{pmatrix}$$



shoulderRight  
wristUp  
elbowDown

$$q = \begin{pmatrix} 1.0472 \\ -2.0944 \\ 0.5236 \\ 0 \\ 1.5708 \\ 0 \end{pmatrix}$$



shoulderLeft  
wristDown  
elbowDown

$$q = \begin{pmatrix} 2.7686 \\ -1.0472 \\ -0.5236 \\ 3.1416 \\ -1.5708 \\ 1.4202 \end{pmatrix}$$



shoulderLeft  
wristDown  
elbowUp

$$q = \begin{pmatrix} 2.7686 \\ -1.5522 \\ 0.5236 \\ 2.5994 \\ -1.5708 \\ 1.4202 \end{pmatrix}$$



shoulderLeft  
wristUp  
elbowDown

$$q = \begin{pmatrix} 2.7686 \\ -1.1475 \\ -0.7376 \\ 0.3143 \\ 1.5708 \\ -1.7214 \end{pmatrix}$$



shoulderLeft  
wristUp  
elbowUp

$$q = \begin{pmatrix} 2.7686 \\ -1.8583 \\ 0.7376 \\ -0.4501 \\ 1.5708 \\ -1.7214 \end{pmatrix}$$

# Multiplicity of solutions

## some examples



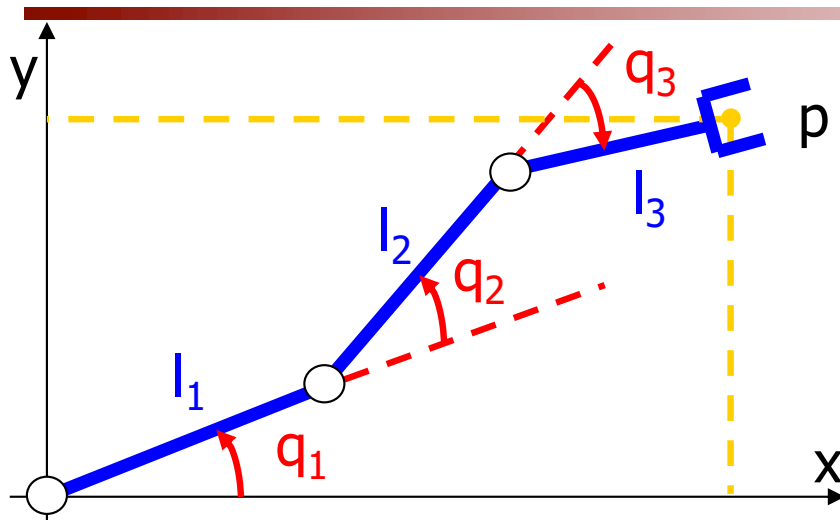
- E-E positioning ( $m=2$ ) of a planar 2R robot arm
  - 2 **regular** solutions in  $\text{int}(WS_1)$
  - 1 solution on  $\partial WS_1$
  - for  $l_1 = l_2$ :  $\infty$  solutions in  $WS_2$

} *singular solutions*
- E-E positioning of an articulated elbow-type 3R robot arm
  - 4 **regular** solutions in  $WS_1$  (with **singular** cases yet to be investigated ...)
- spatial 6R robot arms
  - $\leq 16$  **distinct solutions**, out of singularities: this “upper bound” of solutions was shown to be attained by a particular instance of “orthogonal” robot, i.e., with twist angles  $\alpha_i = 0$  or  $\pm\pi/2$  ( $\forall i$ )
  - analysis based on **algebraic transformations** of robot kinematics
    - transcendental equations are transformed into a single polynomial equation of one variable
    - seek for an equivalent polynomial equation of the least possible degree



# A planar 3R arm

workspace and number/type of inverse solutions



$$l_1 = l_2 = l_3 = \ell, \quad n=3, m=2$$

$$WS_1 = \{p \in \mathbb{R}^2: \|p\| \leq 3\ell\} \subset \mathbb{R}^2$$

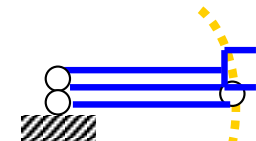
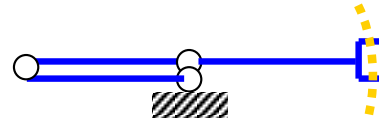
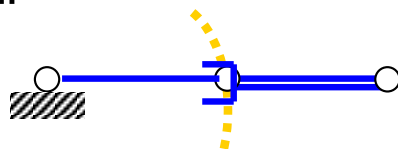
$$WS_2 = \{p \in \mathbb{R}^2: \|p\| \leq \ell\} \subset \mathbb{R}^2$$

any planar orientation is feasible in  $WS_2$

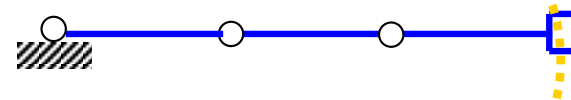
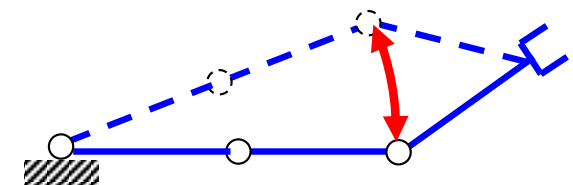
1. in  $WS_1$  :  $\infty^1$  **regular** solutions (except for 2. and 3.), at which the E-E can take a *continuum* of  $\infty$  orientations (but *not all* orientations in the plane!)

2. if  $\|p\| = 3\ell$  : only 1 solution, **singular**

3. if  $\|p\| = \ell$  :  $\infty^1$  solutions, 3 of which **singular**



4. if  $\|p\| < \ell$  :  $\infty^1$  **regular** solutions (**never singular**)





# Multiplicity of solutions

## summary of the general cases



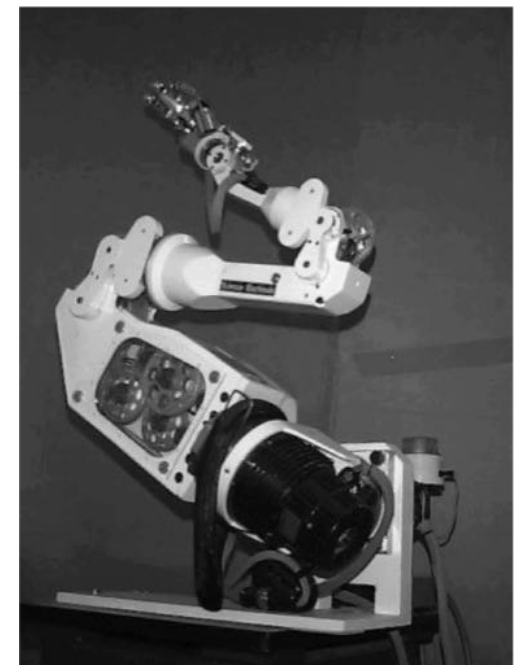
- if  $m = n$ 
  - $\nexists$  solutions
  - a finite number of solutions (regular/generic case)
  - “degenerate” solutions: infinite or finite set, but anyway different in number from the generic case (singularity)
- if  $m < n$  (robot is redundant for the kinematic task)
  - $\nexists$  solutions
  - $\infty^{n-m}$  solutions (regular/generic case)
  - a finite or infinite number of singular solutions
- use of the term singularity will become clearer when dealing with differential kinematics
  - instantaneous velocity mapping from joint to task velocity
  - lack of full rank of the associated  $m \times n$  Jacobian matrix  $J(q)$



# Dexter robot (8R arm)

- $m = 6$  (position and orientation of E-E)
- $n = 8$  (all revolute joints)
- $\infty^2$  inverse kinematic solutions (redundancy degree =  $n - m = 2$ )

video

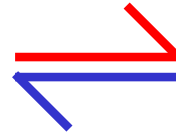


exploring inverse kinematic solutions by a self-motion



# Solution methods

**ANALYTICAL solution**  
(in closed form)



**NUMERICAL solution**  
(in iterative form)

- preferred, if it can be found\*
- use ad-hoc geometric inspection
- algebraic methods (solution of polynomial equations)
- systematic ways for generating a reduced set of equations to be solved

\* **sufficient conditions for 6-dof arms**

- 3 consecutive rotational joint axes are incident (e.g., spherical wrist), **or**
- 3 consecutive rotational joint axes are parallel

- certainly needed if  $n > m$  (redundant case), or at/close to singularities
- slower, but easier to be set up
- in its basic form, it uses the (analytical) **Jacobian matrix** of the direct kinematics map

$$J_r(q) = \frac{\partial f_r(q)}{\partial q}$$

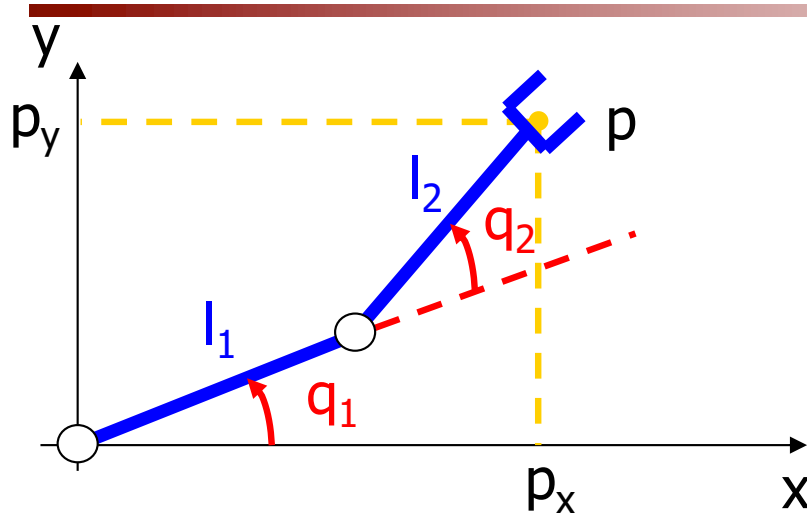
- **Newton** method, **Gradient** method, and so on...

D. Pieper, PhD thesis, Stanford University, 1968





# Inverse kinematics of planar 2R arm



direct kinematics

$$p_x = l_1 c_1 + l_2 c_{12}$$

$$p_y = l_1 s_1 + l_2 s_{12}$$

data

$q_1, q_2$  unknowns

“squaring and summing” the equations of the direct kinematics

$$p_x^2 + p_y^2 - (l_1^2 + l_2^2) = 2 l_1 l_2 (c_1 c_{12} + s_1 s_{12}) = 2 l_1 l_2 c_2$$

and from this

$$c_2 = (p_x^2 + p_y^2 - l_1^2 - l_2^2) / 2 l_1 l_2, \quad s_2 = \pm \sqrt{1 - c_2^2}$$

in analytical form

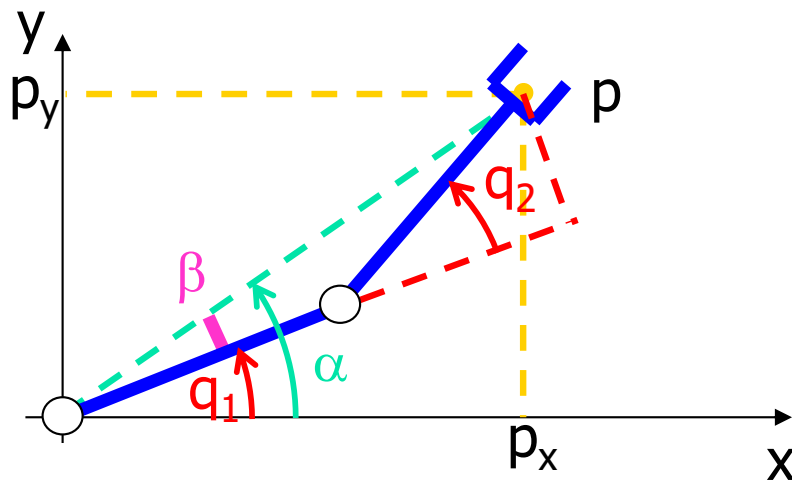
$$q_2 = \text{ATAN2} \{s_2, c_2\}$$

must be in  $[-1, 1]$  (else, point p is outside robot workspace!)

2 solutions



# Inverse kinematics of 2R arm (cont'd)



by geometric inspection

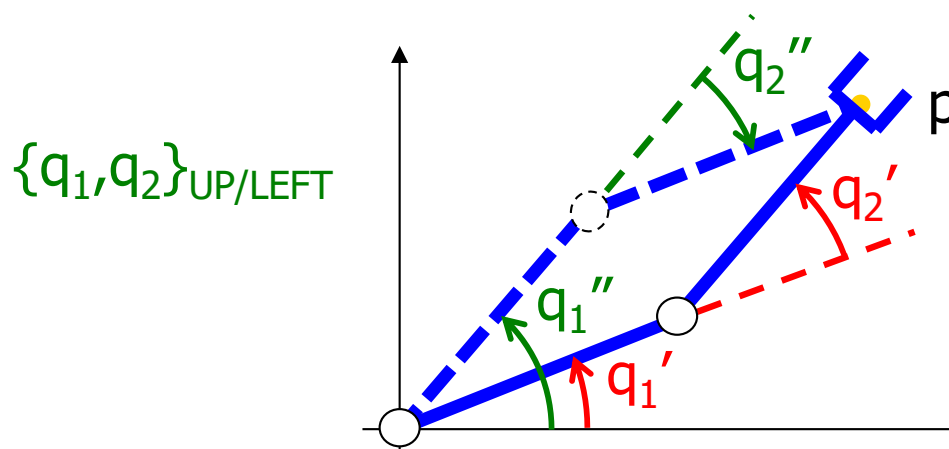
$$q_1 = \alpha - \beta$$



2 solutions  
(one for each value of  $s_2$ )

$$q_1 = \text{ATAN2} \{p_y, p_x\} - \text{ATAN2} \{l_2 s_2, l_1 + l_2 c_2\}$$

note: difference of ATAN2 needs to be re-expressed in  $(-\pi, \pi]$ !



$\{q_1, q_2\}_{\text{DOWN/RIGHT}}$

$q_2'$  e  $q_2''$  have same absolute value, but opposite signs



# Algebraic solution for $q_1$

another  
solution  
method...

$$\left. \begin{aligned} p_x &= l_1 c_1 + l_2 c_{12} = l_1 c_1 + l_2 (c_1 c_2 - s_1 s_2) \\ p_y &= l_1 s_1 + l_2 s_{12} = l_1 s_1 + l_2 (s_1 c_2 + c_1 s_2) \end{aligned} \right\} \text{linear in } s_1 \text{ and } c_1$$

$$\underbrace{\begin{bmatrix} l_1 + l_2 c_2 & -l_2 s_2 \\ l_2 s_2 & l_1 + l_2 c_2 \end{bmatrix}} \begin{bmatrix} c_1 \\ s_1 \end{bmatrix} = \begin{bmatrix} p_x \\ p_y \end{bmatrix}$$

$$\det = (l_1^2 + l_2^2 + 2 l_1 l_2 c_2) > 0$$

except for  $l_1=l_2$  and  $c_2=-1$   
being then  $q_1$  undefined  
(singular case:  $\infty^1$  solutions)

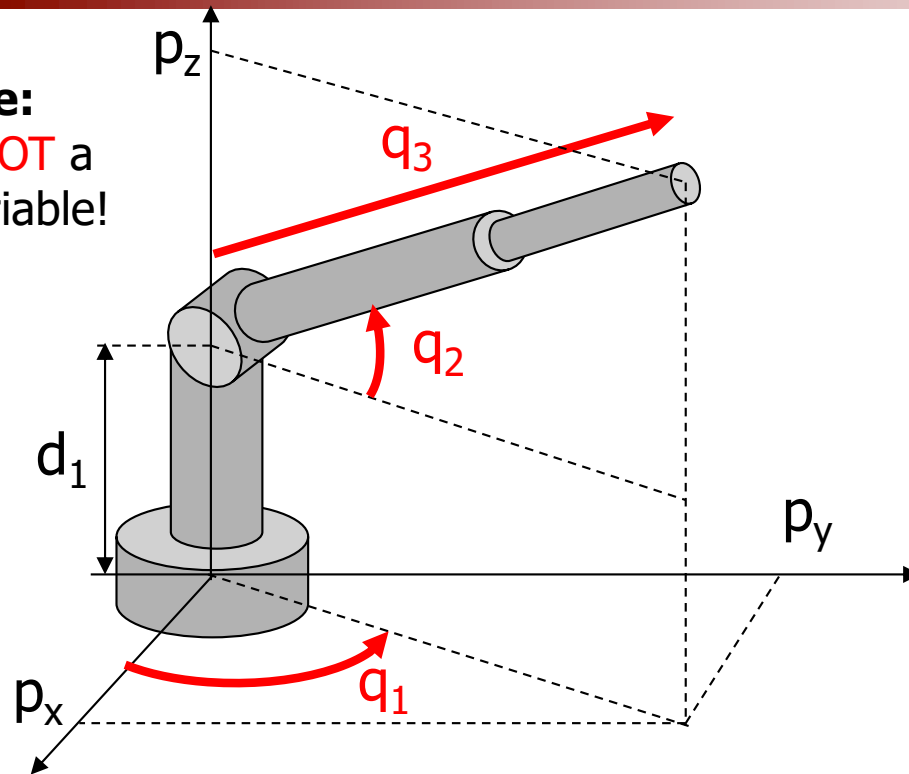
$$q_1 = \text{ATAN2} \{s_1, c_1\} = \text{ATAN2} \{(p_y(l_1 + l_2 c_2) - p_x l_2 s_2) / \det, (p_x(l_1 + l_2 c_2) + p_y l_2 s_2) / \det\}$$

- notes:
- a) this method provides directly the result in  $(-\pi, \pi]$
  - b) when evaluating ATAN2,  $\det > 0$  can be eliminated from the expressions of  $s_1$  and  $c_1$



# Inverse kinematics of polar (RRP) arm

**Note:**  
 $q_2$  is **NOT** a  
DH variable!



$$p_x = q_3 c_2 c_1$$

$$p_y = q_3 c_2 s_1$$

$$p_z = d_1 + q_3 s_2$$

$$p_x^2 + p_y^2 + (p_z - d_1)^2 = q_3^2$$

$$q_3 = + \sqrt{p_x^2 + p_y^2 + (p_z - d_1)^2}$$

our choice: take here only the positive value...

if  $q_3 = 0$ , then  $q_1$  and  $q_2$  remain both undefined (stop); else

$$q_2 = \text{ATAN2}\{(p_z - d_1)/q_3, \pm \sqrt{(p_x^2 + p_y^2)/q_3^2}\}$$

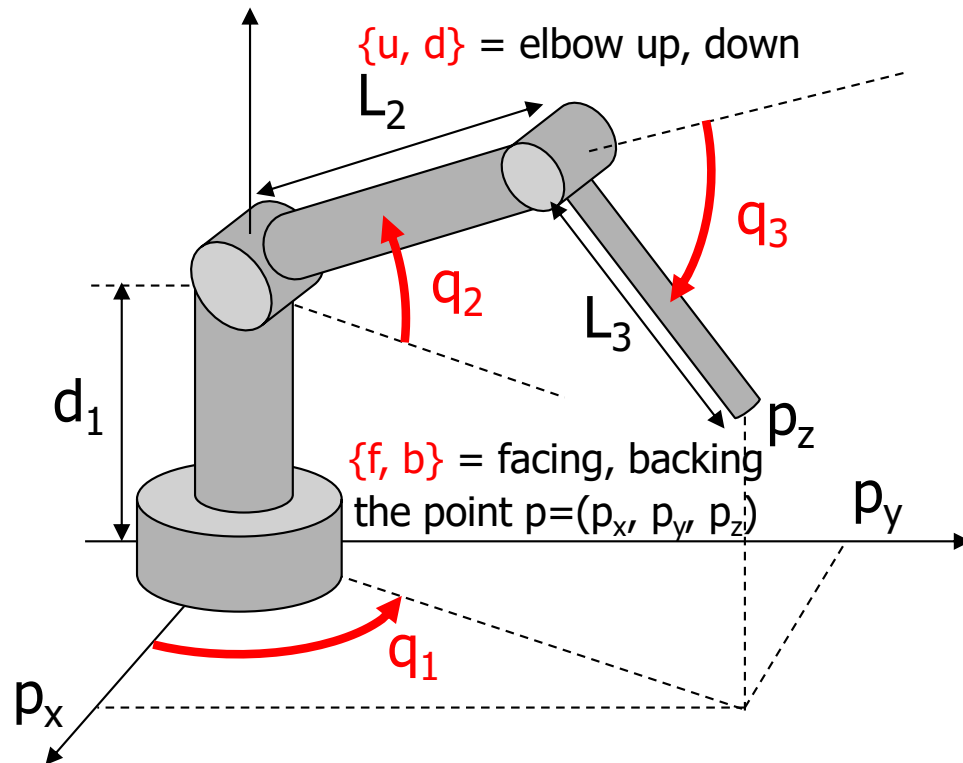
(if it stops,  
a **singular** case:  
 $\infty^2$  or  $\infty^1$   
solutions)

if  $p_x^2 + p_y^2 = 0$ , then  $q_1$  remains undefined (stop); else

$$q_1 = \text{ATAN2}\{p_y/c_2, p_x/c_2\} \quad (2 \text{ regular solutions } \{q_1, q_2, q_3\})$$

we have eliminated  $q_3 > 0$  from both arguments!

# Inverse kinematics of 3R elbow-type arm



direct  
kinematics

$$p_x = c_1 (L_2 c_2 + L_3 c_{23})$$

$$p_y = s_1 (L_2 c_2 + L_3 c_{23})$$

$$p_z = d_1 + L_2 s_2 + L_3 s_{23}$$

$WS_1 = \{\text{spherical shell centered at } (0,0,d_1), \text{ with outer radius } R_{\text{out}} = L_2 + L_3 \text{ and inner radius } R_{\text{in}} = |L_2 - L_3|\}$



symmetric structure **without** offsets  
e.g., first 3 joints of Mitsubishi PA10 robot

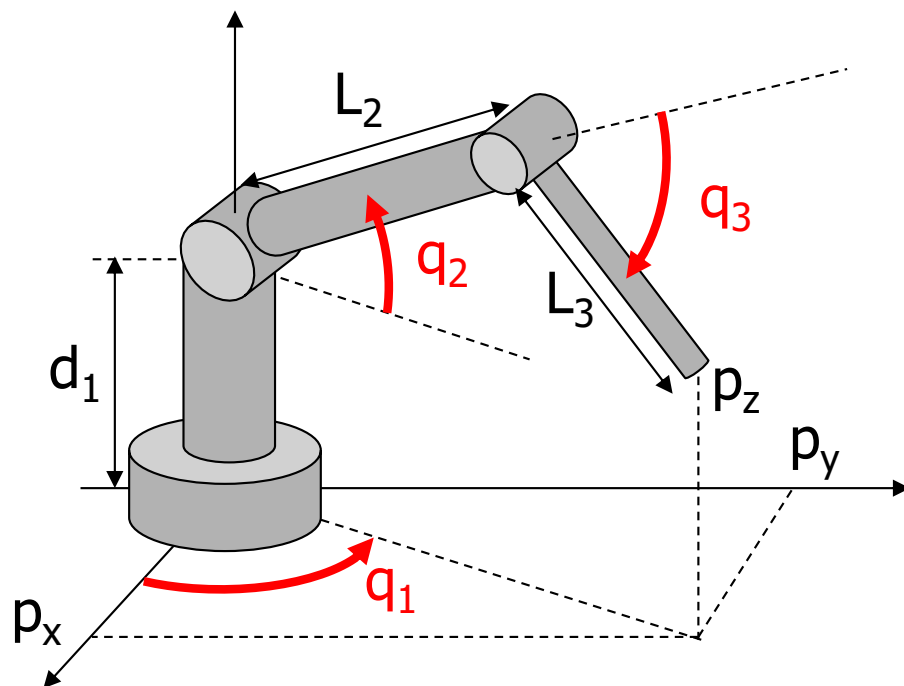


**four regular** inverse  
kinematics solutions in  $WS_1$

**Note:** more details (e.g., full handling of **singular cases**) can be found in the solution of the Robotics 1 written exam of 11.04.2017



# Inverse kinematics of 3R elbow-type arm



$$p_x = c_1 (L_2 c_2 + L_3 c_{23})$$

$$p_y = s_1 (L_2 c_2 + L_3 c_{23})$$

$$p_z = d_1 + L_2 s_2 + L_3 s_{23}$$

direct  
kinematics

$$p_x^2 + p_y^2 + (p_z - d_1)^2 = c_1^2 (L_2 c_2 + L_3 c_{23})^2 + s_1^2 (L_2 c_2 + L_3 c_{23})^2 + (L_2 s_2 + L_3 s_{23})^2$$

$$= \dots = L_2^2 + L_3^2 + 2L_2 L_3 (c_2 c_{23} + s_2 s_{23}) = L_2^2 + L_3^2 + 2L_2 L_3 c_3$$

$$c_3 = (p_x^2 + p_y^2 + (p_z - d_1)^2 - L_2^2 - L_3^2) / 2L_2 L_3 \in [-1, 1] \text{ (else, p is out of workspace!)}$$

$$\downarrow$$
$$\pm s_3 = \pm \sqrt{1 - c_3^2}$$



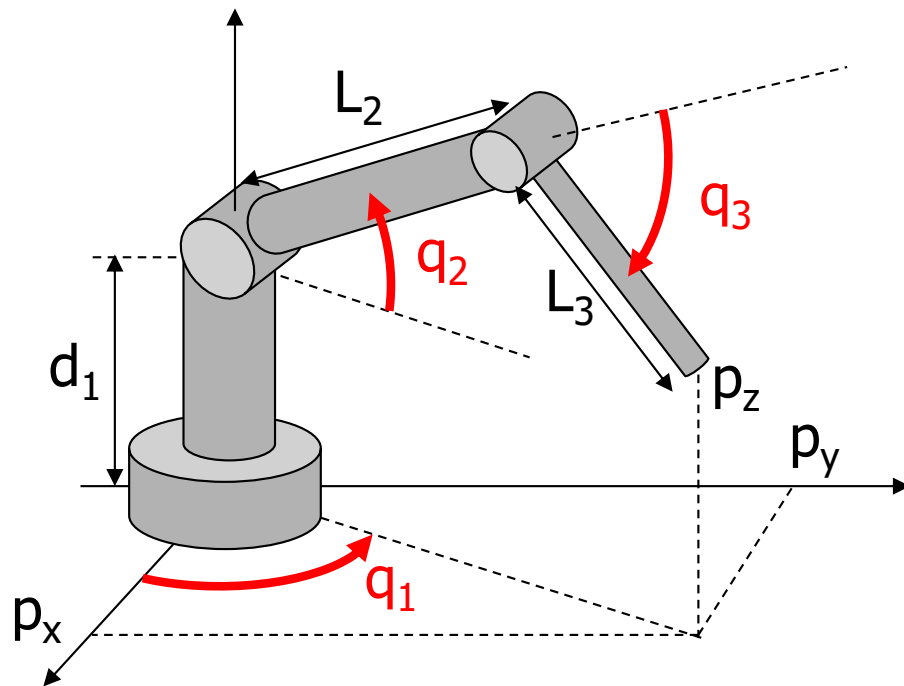
two solutions

$$q_3^{\{+\}} = \text{ATAN2}\{s_3, c_3\}$$

$$q_3^{\{-\}} = \text{ATAN2}\{-s_3, c_3\} = -q_3^{\{+\}}$$



# Inverse kinematics of 3R elbow-type arm



$$p_x = c_1 (L_2 c_2 + L_3 c_{23})$$

$$p_y = s_1 (L_2 c_2 + L_3 c_{23})$$

$$p_z = d_1 + L_2 s_2 + L_3 s_{23}$$

direct  
kinematics

(being  $p_x^2 + p_y^2 = (L_2 c_2 + L_3 c_{23})^2 > 0$ )

**only** when  $p_x^2 + p_y^2 > 0$   
(else  $q_1$  is **undefined** —infinite solutions!)

$$\Rightarrow \begin{cases} c_1 = p_x / \pm \sqrt{p_x^2 + p_y^2} \\ s_1 = p_y / \pm \sqrt{p_x^2 + p_y^2} \end{cases}$$

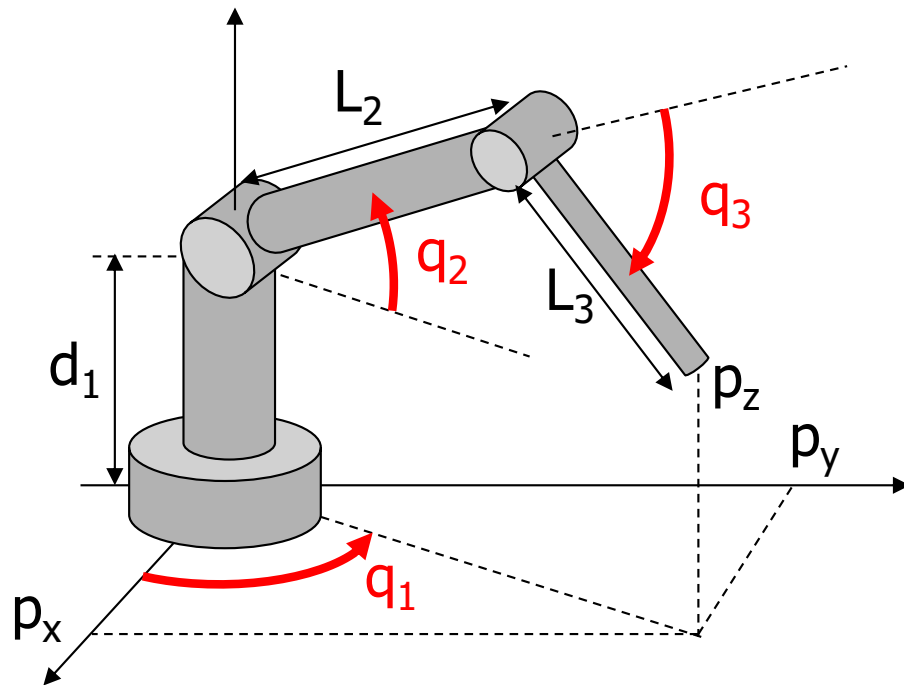
again, two solutions

$$\Rightarrow \begin{cases} q_1^{+} = \text{ATAN2}\{p_y, p_x\} \\ q_1^{-} = \text{ATAN2}\{-p_y, -p_x\} \end{cases}$$





# Inverse kinematics of 3R elbow-type arm



combine the first two direct kinematics equations and rearrange the last one

$$\begin{cases} c_1 p_x + s_1 p_y = L_2 c_2 + L_3 c_{23} \\ \quad \quad \quad = (L_2 + L_3 c_3) c_2 - L_3 s_3 s_2 \\ p_z - d_1 = L_2 s_2 + L_3 s_{23} \\ \quad \quad \quad = L_3 s_3 c_2 + (L_2 + L_3 c_3) s_2 \end{cases}$$

define and solve a linear system  $Ax = b$  in the algebraic unknowns  $x = (c_2, s_2)$

$$\begin{pmatrix} L_2 + L_3 c_3 & -L_3 s_3^{\{+,-\}} \\ L_3 s_3^{\{+,-\}} & L_2 + L_3 c_3 \end{pmatrix} \begin{pmatrix} c_2 \\ s_2 \end{pmatrix} = \begin{pmatrix} c_1^{\{+,-\}} p_x + s_1^{\{+,-\}} p_y \\ p_z - d_1 \end{pmatrix}$$

coefficient matrix  $A$

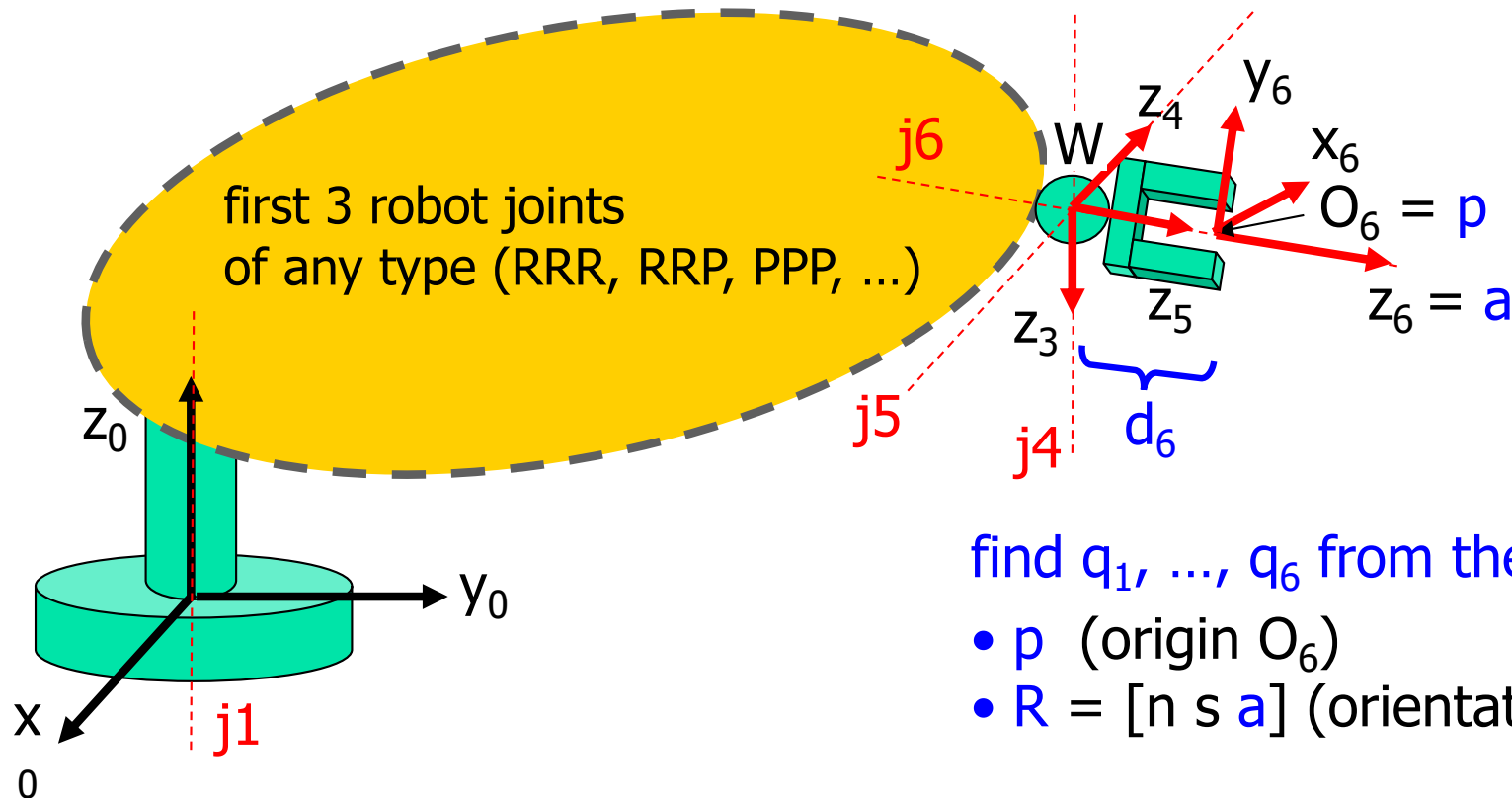
known vector  $b$

provided  $\det A = p_x^2 + p_y^2 + (p_z - d_1)^2 > 0$   
(else  $q_2$  is undefined —infinite solutions!)

four regular solutions for  $q_2$ ,  
depending on combinations  
of  $\{+,-\}$  from  $q_1$  and  $q_3$

$$q_2^{\{\{f,b\},\{u,d\}\}} = \text{ATAN2}\{s_2^{\{\{f,b\},\{u,d\}\}}, c_2^{\{\{f,b\},\{u,d\}\}}\}$$

# Inverse kinematics for robots with spherical wrist



find  $q_1, \dots, q_6$  from the input data:

- $p$  (origin  $O_6$ )
- $R = [n \ s \ a]$  (orientation of  $RF_6$ )

1.  $W = p - d_6 a \rightarrow q_1, q_2, q_3$  (inverse "position" kinematics for main axes)
2.  $R = {}^0R_3(q_1, q_2, q_3) \underbrace{{}^3R_6(q_4, q_5, q_6)}_{\text{Euler ZYZ or ZXZ rotation matrix}} \rightarrow {}^3R_6(q_4, q_5, q_6) = {}^0R_3^T R \rightarrow q_4, q_5, q_6$   
(inverse "orientation" kinematics for the wrist)

↑  
given

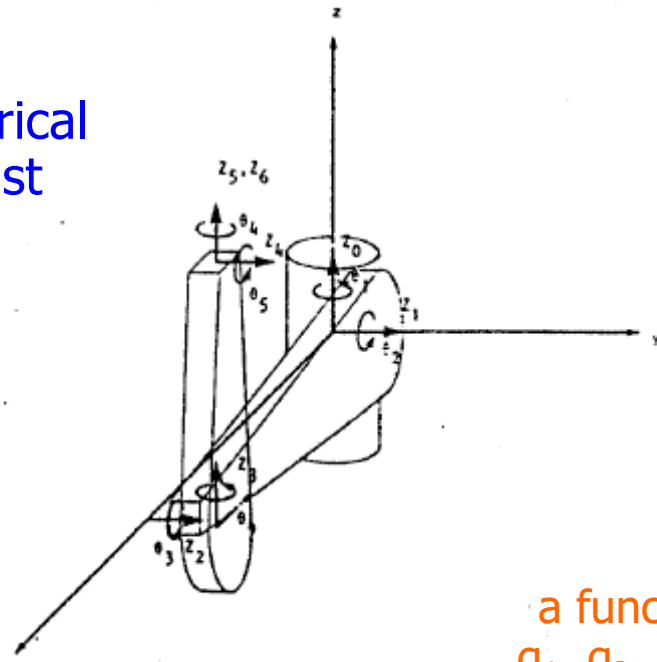
↑  
known,  
after step 1

Euler ZYZ or ZXZ  
rotation matrix



# 6R example: Unimation PUMA 600

spherical  
wrist



a function of  
 $q_1, q_2, q_3$  only!

TABLE I  
LINK PARAMETERS FOR PUMA ARM

Joint	$\alpha^\circ$	$\theta^\circ$	$d$	$a$	Range
1	$-90^\circ$	$\theta_1$	0	0	$\theta_1: +/ - 160^\circ$
2	0	$\theta_2$	0	$a_2$	$\theta_2: +45^\circ \rightarrow -225^\circ$
3	$90^\circ$	$\theta_3$	$d_3$	$a_3$	$\theta_3: 225^\circ \rightarrow -45^\circ$
4	$-90^\circ$	$\theta_4$	$d_4$	0	$\theta_4: +/ - 170^\circ$
5	$90^\circ$	$\theta_5$	0	0	$\theta_5: +/ - 135^\circ$
6	0	$\theta_6$	0	0	$\theta_6: +/ - 170^\circ$

$a_2 = 17.000$     $a_3 = 0.75$   
 $d_3 = 4.937$     $d_4 = 17.000$

here  $d_6=0$ ,  
so that  ${}^0_6W$  directly

$$\begin{aligned}
 \left. \begin{aligned}
 n_x &= C_1[C_{23}(C_4C_5C_6 - S_4S_6) - S_{23}S_5C_6] \\
 &\quad - S_1[S_4C_5C_6 + C_4S_6] \\
 n_y &= S_1[C_{23}(C_4C_5C_6 - S_4S_6) - S_{23}S_5C_6] \\
 &\quad + C_1[S_4C_5C_6 + C_4S_6] \\
 n_z &= -S_{23}(C_4C_5C_6 - S_4S_6) - C_{23}S_5C_6
 \end{aligned} \right\} n = {}^0x_6(q) \\
 \left. \begin{aligned}
 o_x &= C_1[-C_{23}(C_4C_5S_6 + S_4C_6) + S_{23}S_5S_6] \\
 &\quad - S_1[-S_4C_5S_6 + C_4C_6] \\
 o_y &= S_1[-C_{23}(C_4C_5S_6 + S_4C_6) + S_{23}S_5S_6] \\
 &\quad + C_1[-S_4C_5S_6 + C_4C_6] \\
 o_z &= S_{23}(C_4C_5S_6 + S_4C_6) + C_{23}S_5S_6
 \end{aligned} \right\} s = {}^0y_6(q) \\
 \left. \begin{aligned}
 a_x &= C_1(C_{23}C_4S_5 + S_{23}C_5) - S_1S_4S_5 \\
 a_y &= S_1(C_{23}C_4S_5 + S_{23}C_5) + C_1S_4S_5 \\
 a_z &= -S_{23}C_4S_5 + C_{23}C_5
 \end{aligned} \right\} a = {}^0z_6(q) \\
 \left. \begin{aligned}
 p_x &= C_1(d_4S_{23} + a_3C_{23} + a_2C_2) - S_1d_3 \\
 p_y &= S_1(d_4S_{23} + a_3C_{23} + a_2C_2) + C_1d_3 \\
 p_z &= -(-d_4C_{23} + a_3S_{23} + a_2S_2)
 \end{aligned} \right\} p = {}^0_6(q)
 \end{aligned}$$

8 different inverse solutions  
that can be found in closed form  
(see Paul, Shimano, Mayer; 1981)

# Numerical solution of inverse kinematics problems



- use when a closed-form solution  $\mathbf{q}$  to  $\mathbf{r}_d = \mathbf{f}_r(\mathbf{q})$  does not exist or is “too hard” to be found

- $\mathbf{J}_r(\mathbf{q}) = \frac{\partial \mathbf{f}_r}{\partial \mathbf{q}}$  (analytical Jacobian)

- Newton method (here for  $m=n$ )

- $\mathbf{r}_d = \mathbf{f}_r(\mathbf{q}) = \mathbf{f}_r(\mathbf{q}^k) + \mathbf{J}_r(\mathbf{q}^k) (\mathbf{q} - \mathbf{q}^k) + o(\|\mathbf{q} - \mathbf{q}^k\|^2)$  ← neglected

$$\mathbf{q}^{k+1} = \mathbf{q}^k + \mathbf{J}_r^{-1}(\mathbf{q}^k) [\mathbf{r}_d - \mathbf{f}_r(\mathbf{q}^k)]$$

- convergence if  $\mathbf{q}^0$  (initial guess) is close enough to some  $\mathbf{q}^*$ :  $\mathbf{f}_r(\mathbf{q}^*) = \mathbf{r}_d$
  - problems near **singularities** of the Jacobian matrix  $\mathbf{J}_r(\mathbf{q})$
  - in case of robot redundancy ( $m < n$ ), use the pseudo-inverse  $\mathbf{J}_r^\#(\mathbf{q})$
  - has **quadratic** convergence rate when near to solution (fast!)



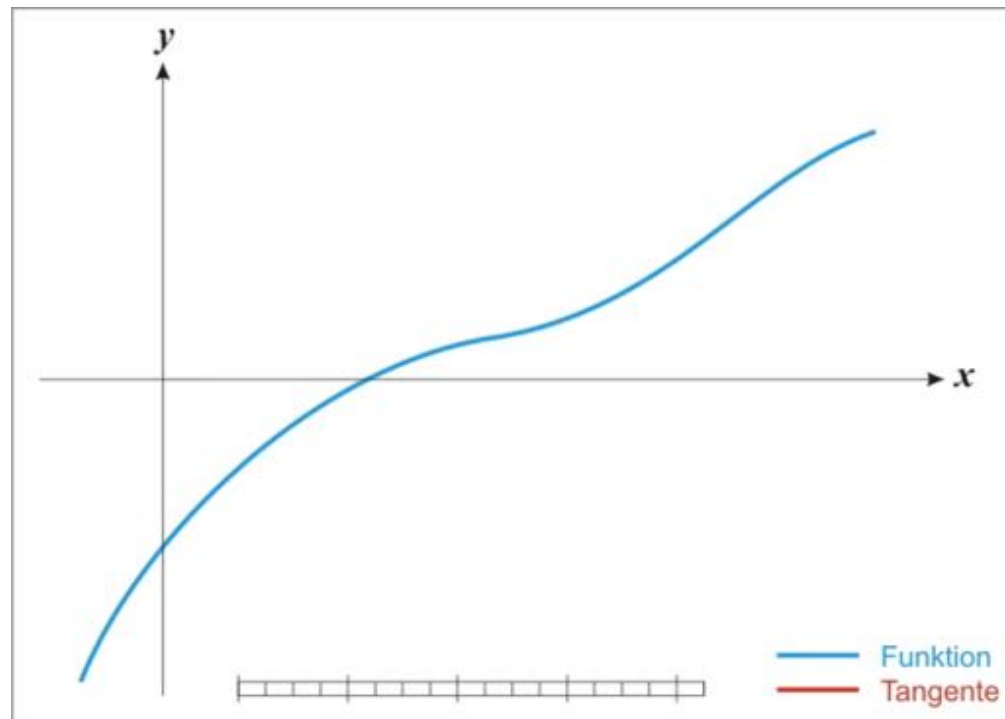
# Operation of Newton method

- in the scalar case, also known as “method of the tangent”
- for a differentiable function  $f(x)$ , find a root of  $f(x^*)=0$  by iterating as

$$x_{k+1} = x_k - \frac{f(x_k)}{f'(x_k)} \quad \rightarrow$$

an approximating sequence

$$\{x_1, x_2, x_3, x_4, x_5, \dots\} \rightarrow x^*$$



animation from  
[http://en.wikipedia.org/wiki/File:NewtonIteration\\_Ani.gif](http://en.wikipedia.org/wiki/File:NewtonIteration_Ani.gif)

# Numerical solution of inverse kinematics problems (cont'd)



- **Gradient method** (max descent)

- minimize the error function

$$H(q) = \frac{1}{2} \|r_d - f_r(q)\|^2 = \frac{1}{2} [r_d - f_r(q)]^T [r_d - f_r(q)]$$

$$q^{k+1} = q^k - \alpha \nabla_q H(q^k)$$

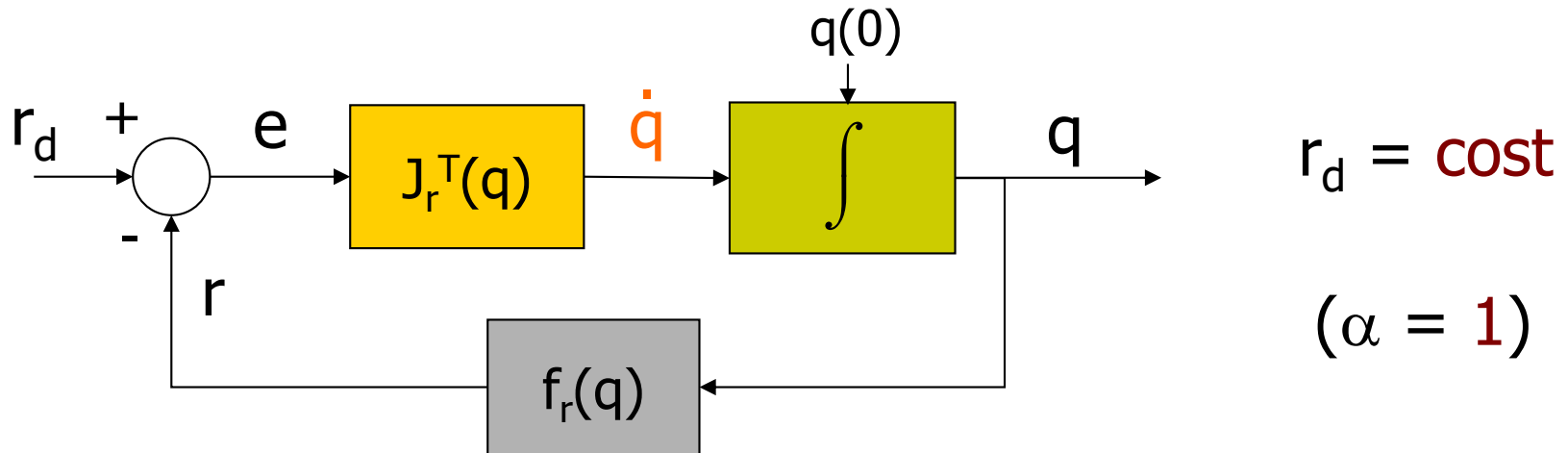
from  $\nabla_q H(q) = -J_r^T(q) [r_d - f_r(q)]$ , we get

$$q^{k+1} = q^k + \alpha J_r^T(q^k) [r_d - f_r(q^k)]$$

- the scalar **step size**  $\alpha > 0$  should be chosen so as to guarantee a decrease of the error function at each iteration (too large values for  $\alpha$  may lead the method to “miss” the minimum)
- when the step size  $\alpha$  is too small, convergence is extremely **slow**



# Revisited as a “feedback” scheme



$e = r_d - f_r(q) \rightarrow 0 \Leftrightarrow$  closed-loop equilibrium  $e=0$  is asymptotically stable

$V = \frac{1}{2} e^T e \geq 0$  Lyapunov candidate function

$$\dot{V} = e^T \dot{e} = e^T \frac{d}{dt} (r_d - f_r(q)) = -e^T J_r \dot{q} = -e^T J_r J_r^T e \leq 0$$

$$\dot{V} = 0 \Leftrightarrow e \in \text{Ker}(J_r^T) \quad \text{in particular } e = 0$$

asymptotic stability





# Properties of Gradient method

- computationally simpler: Jacobian transpose, rather than its (pseudo)-inverse
- direct use also for robots that are redundant for the task
- may not converge to a solution, but it never diverges
- the discrete-time evolution of the continuous scheme

$$\mathbf{q}^{k+1} = \mathbf{q}^k + \Delta T \mathbf{J}_r^T(\mathbf{q}^k) [\mathbf{r}_d - \mathbf{f}(\mathbf{q}^k)] \quad (\alpha = \Delta T)$$

is equivalent to an iteration of the Gradient method

- scheme can be accelerated by using a gain matrix  $\mathbf{K} > 0$

$$\dot{\mathbf{q}} = \mathbf{J}_r^T(\mathbf{q}) \mathbf{K} \mathbf{e}$$

**note:**  $\mathbf{K}$  can be used also to “escape” from being stuck in a stationary point, by rotating the error  $\mathbf{e}$  out of the kernel of  $\mathbf{J}_r^T$  (if a singularity is encountered)



# A case study

## analytic expressions of Newton and gradient iterations

- 2R robot with  $l_1 = l_2 = 1$ , desired end-effector position  $r_d = p_d = (1,1)$
- direct kinematic function and error

$$f_r(q) = \begin{pmatrix} c_1 + c_{12} \\ s_1 + s_{12} \end{pmatrix} \quad e = p_d - f_r(q) = \begin{pmatrix} 1 \\ 1 \end{pmatrix} - f_r(q)$$

- Jacobian matrix

$$J_r(q) = \frac{\partial f_r(q)}{\partial q} = \begin{pmatrix} -(s_1 + s_{12}) & -s_{12} \\ c_1 + c_{12} & c_{12} \end{pmatrix}$$

- **Newton** versus **Gradient** iteration

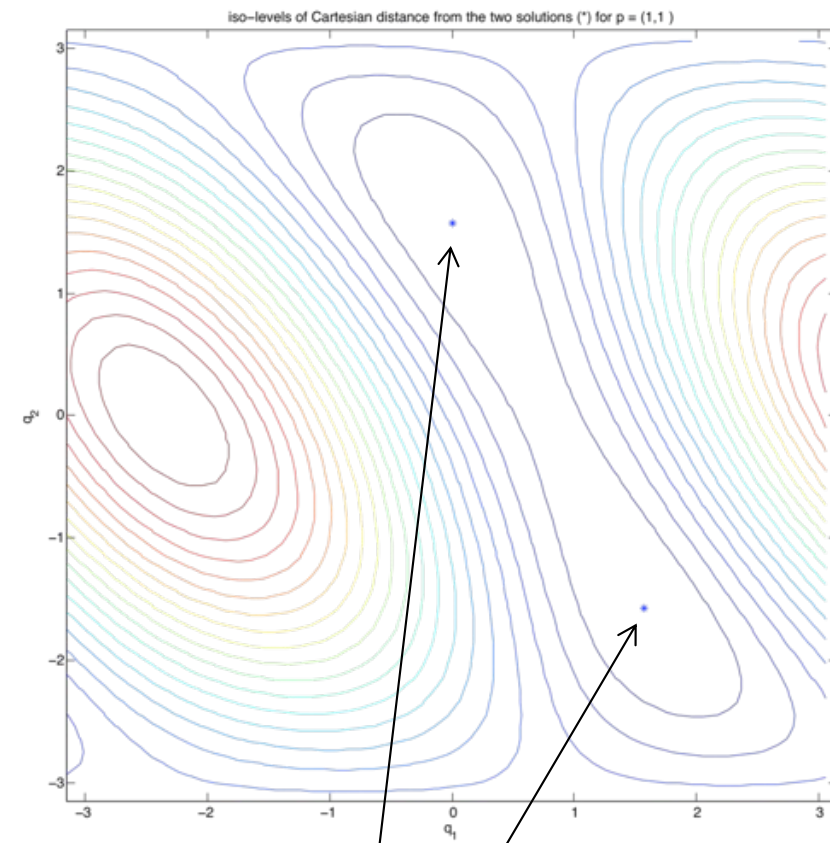
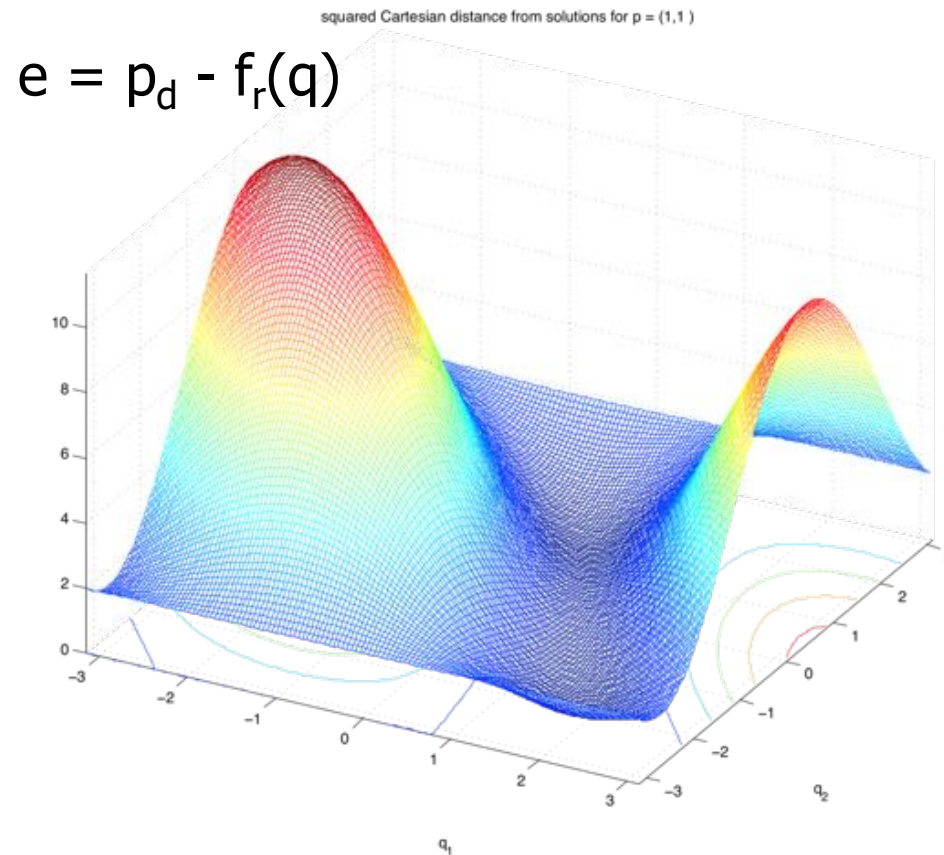
$$q^{k+1} = q^k + \underbrace{\left[ \frac{1}{s_2} \begin{pmatrix} c_{12} & s_{12} \\ -(c_1 + c_{12}) & -(s_1 + s_{12}) \end{pmatrix} \right]_{q=q^k}}_{J_r^{-1}(q^k)} \cdot \underbrace{\begin{pmatrix} 1 - (c_1 + c_{12}) \\ 1 - (s_1 + s_{12}) \end{pmatrix}}_{e_k} \Big|_{q=q^k}$$

$\det J_r(q)$  points to the  $\frac{1}{s_2}$  term.

$$\underbrace{\alpha \begin{pmatrix} -(s_1 + s_{12}) & c_1 + c_{12} \\ -s_{12} & c_{12} \end{pmatrix}}_{J_r^T(q^k)} \Big|_{q=q^k}$$

# Error function

- 2R robot with  $l_1=l_2=1$ , desired end-effector position  $p_d = (1,1)$



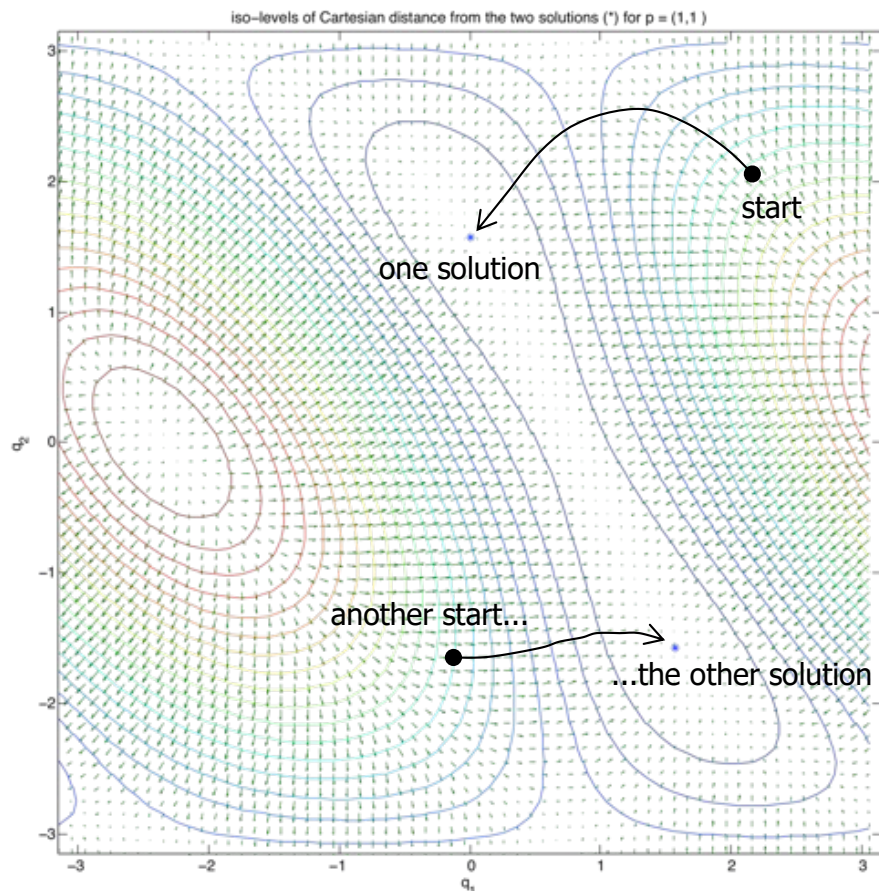
two local minima  
(inverse kinematic solutions)

plot of  $\|e\|^2$  as a function of  $q = (q_1, q_2)$

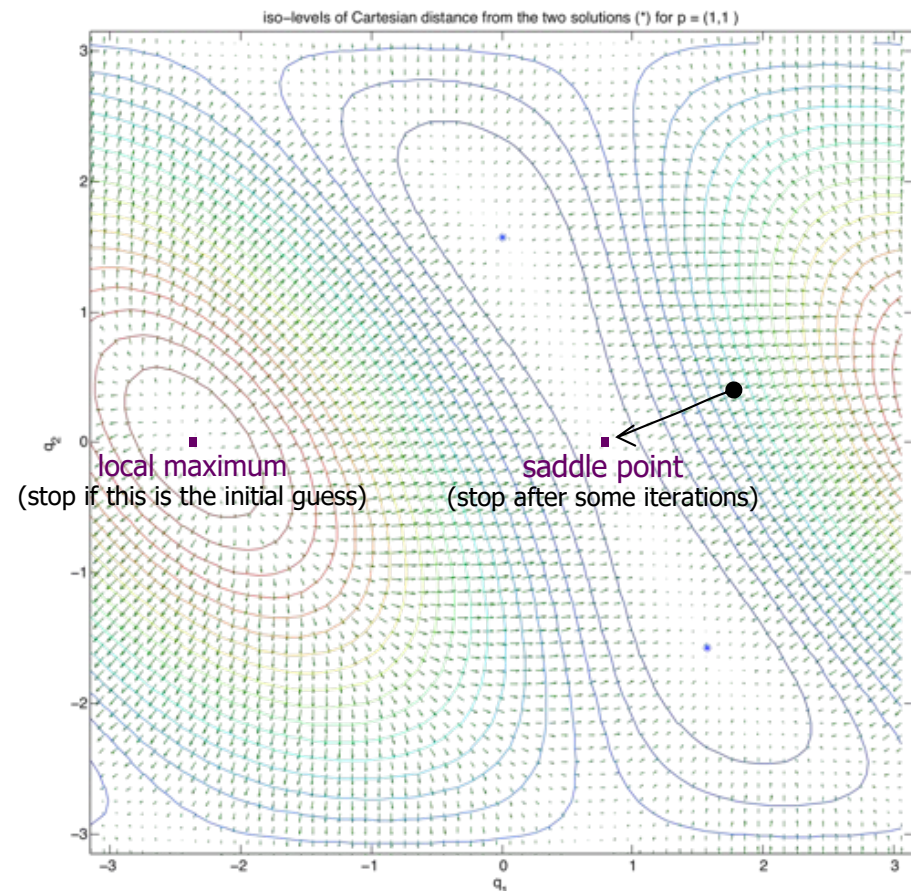


# Error reduction by Gradient method

- flow of iterations along the **negative** (or anti-) gradient
- two possible cases: convergence or stuck (at **zero gradient**)



$$(q_1, q_2)' = (0, \pi/2) \quad (q_1, q_2)'' = (\pi/2, -\pi/2)$$



$$(q_1, q_2)_{\max} = (-3\pi/4, 0) \quad (q_1, q_2)_{\text{saddle}} = (\pi/4, 0)$$

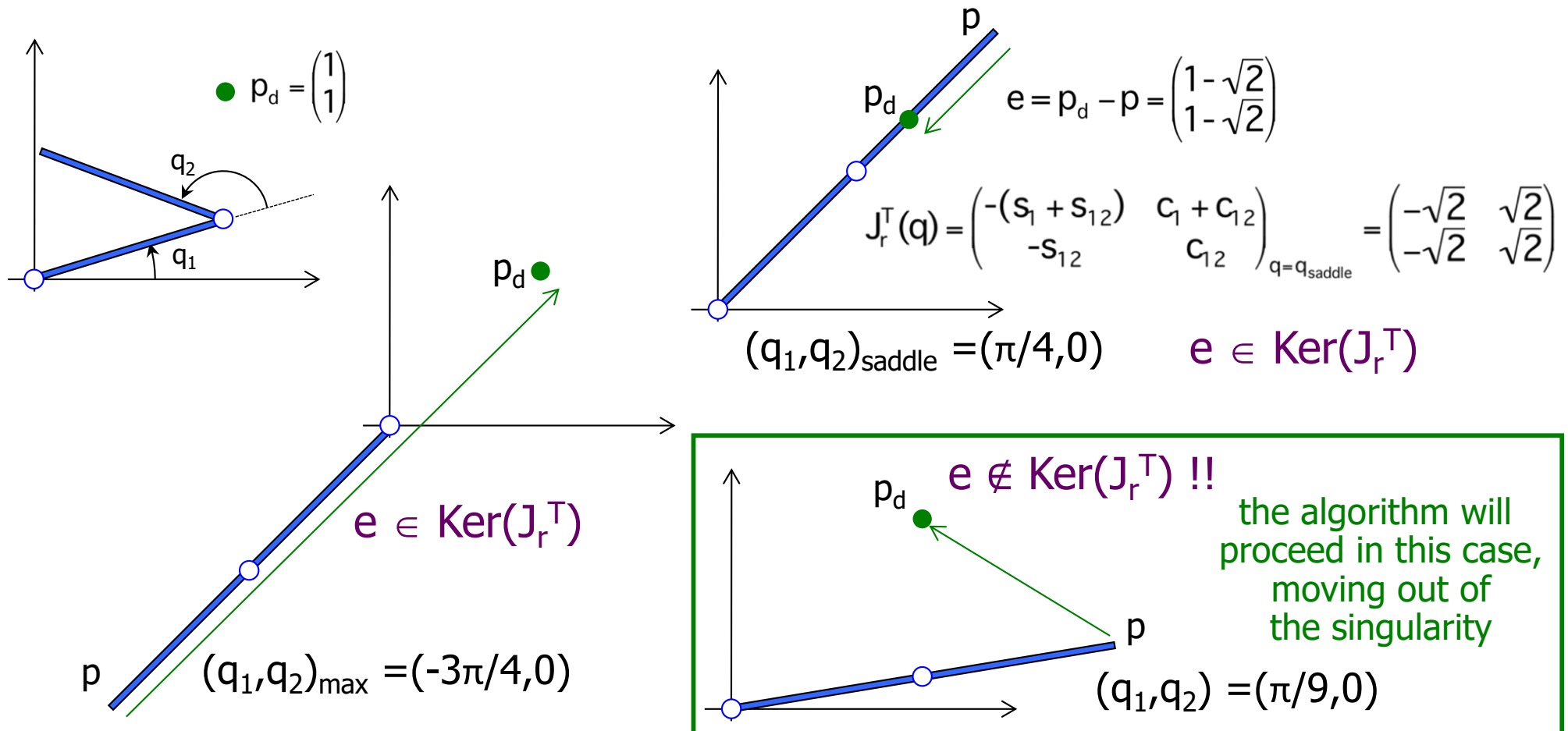
$$e \in \text{Ker}(J_r^T) !$$



# Convergence analysis

## when does the gradient method get stuck?

- lack of convergence occurs when
  - the Jacobian matrix  $J_r(q)$  is **singular** (the robot is in a “singular configuration”)
  - **AND** the **error is in the “null space”** of  $J_r^T(q)$







# Issues in implementation

- initial guess  $q^0$ 
  - only **one** inverse solution is generated for each guess
  - multiple initializations for obtaining other solutions
- optimal step size  $\alpha$  in Gradient method
  - a constant step may work good initially, but not close to the solution (or vice versa)
  - an **adaptive** one-dimensional line search (e.g., Armijo's rule) could be used to choose the best  $\alpha$  at each iteration

- stopping criteria

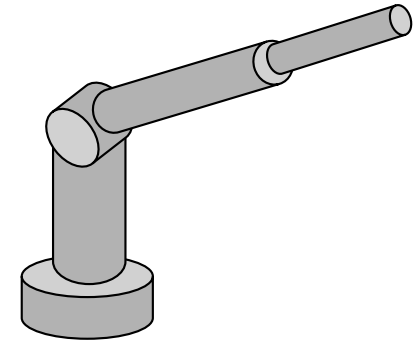
**Cartesian error**  
(possibly, separate for position and orientation)  $\|r_d - f(q^k)\| \leq \varepsilon$       **algorithm increment**  $\|q^{k+1} - q^k\| \leq \varepsilon_q$

- understanding closeness to singularities

$\sigma_{\min}\{J(q^k)\} \geq \sigma_0$       **numerical conditioning of Jacobian matrix (SVD)**  
(or a simpler test on its determinant, for  $m=n$ )



# Numerical tests on RRP robot



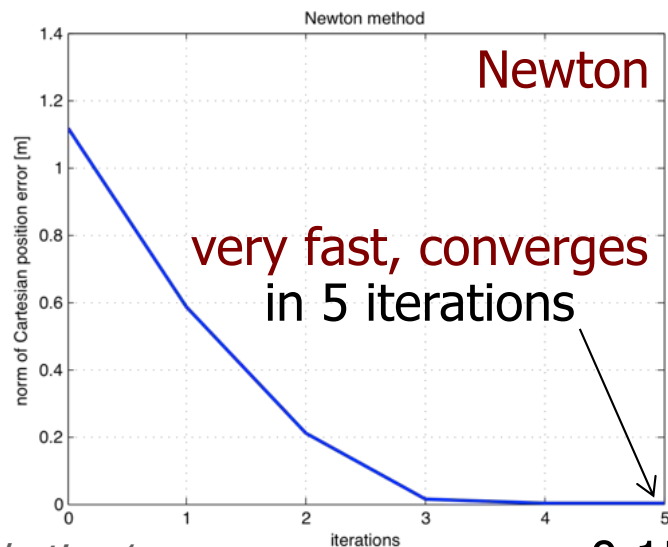
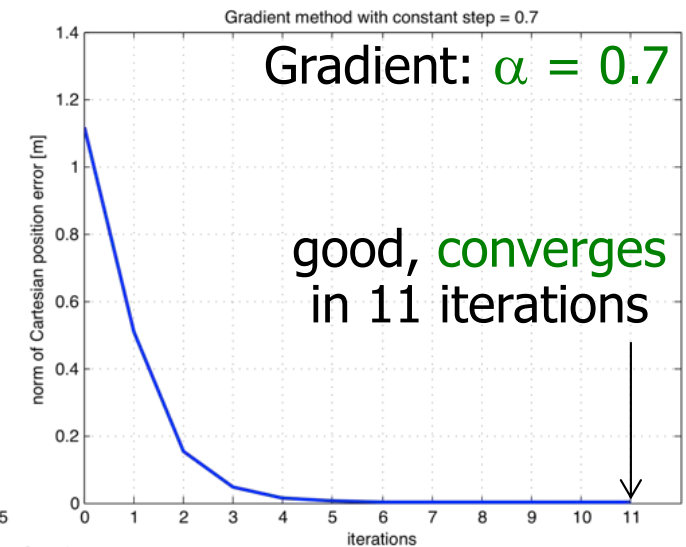
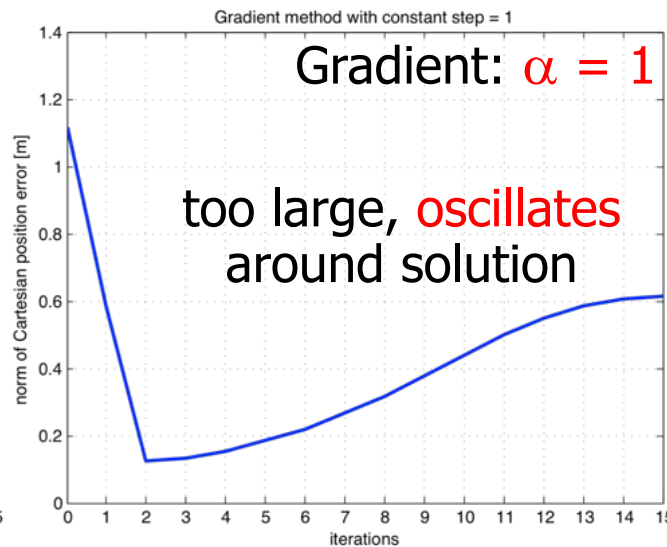
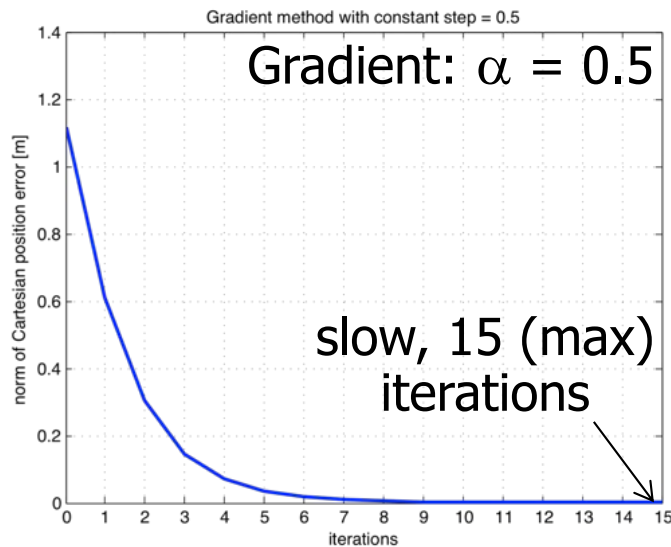
- **RRP/polar robot**: desired E-E position  $r_d = p_d = (1, 1, 1)$   
—see **slide 20**, with  $d_1=0.5$
- the two (known) **analytical** solutions, with  $q_3 \geq 0$ , are:  
 $q^* = (0.7854, 0.3398, 1.5)$   
 $q^{**} = (q_1^* - \pi, \pi - q_2^*, q_3^*) = (-2.3562, 2.8018, 1.5)$
- norms  $\varepsilon = 10^{-5}$  (max Cartesian error),  $\varepsilon_q = 10^{-6}$  (min joint increment)
- $k_{\max}=15$  (max # iterations),  $|\det(J_r)| \leq 10^{-4}$  (closeness to singularity)
- **numerical** performance of Gradient (with different steps  $\alpha$ ) vs. Newton
- **test 1**:  $q^0 = (0, 0, 1)$  as initial guess
- **test 2**:  $q^0 = (-\pi/4, \pi/2, 1)$  —“singular” start, since  $c_2=0$  (see **slide 20**)
- **test 3**:  $q^0 = (0, \pi/2, 0)$  —“double singular” start, since also  $q_3=0$
- solution and plots with Matlab code



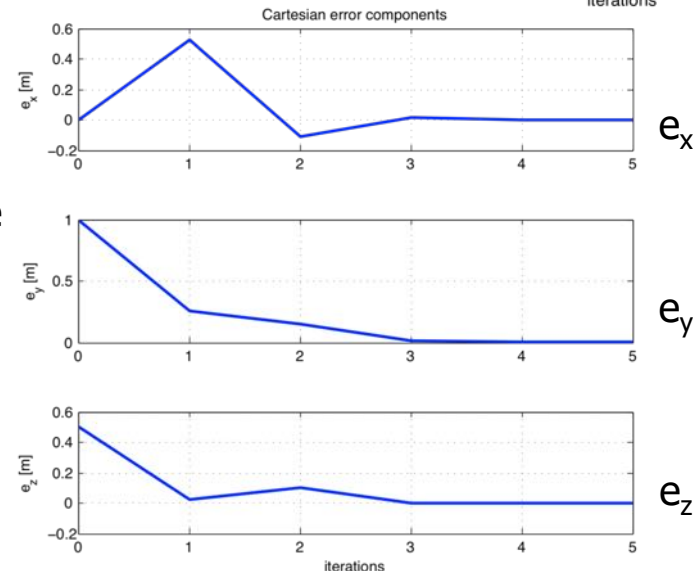


# Numerical test - 1

- **test 1:**  $q^0 = (0, 0, 1)$  as initial guess; evolution of **error norm**



Cartesian errors component-wise

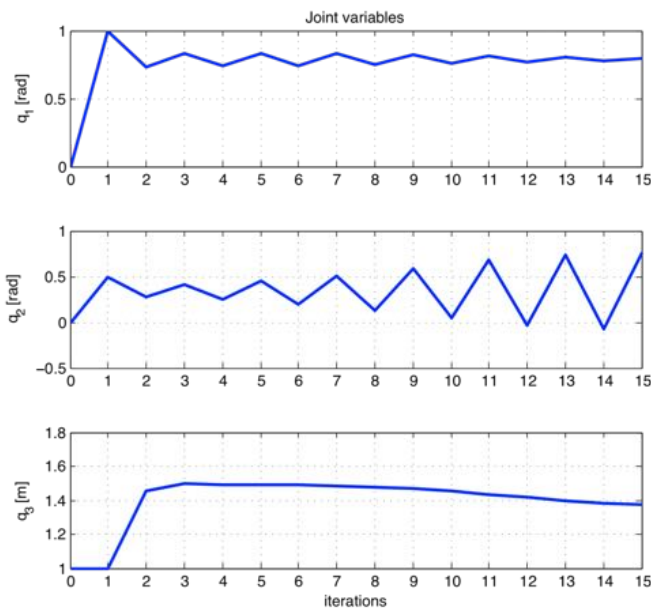


$0.57 \cdot 10^{-5}$

$0.15 \cdot 10^{-8}$

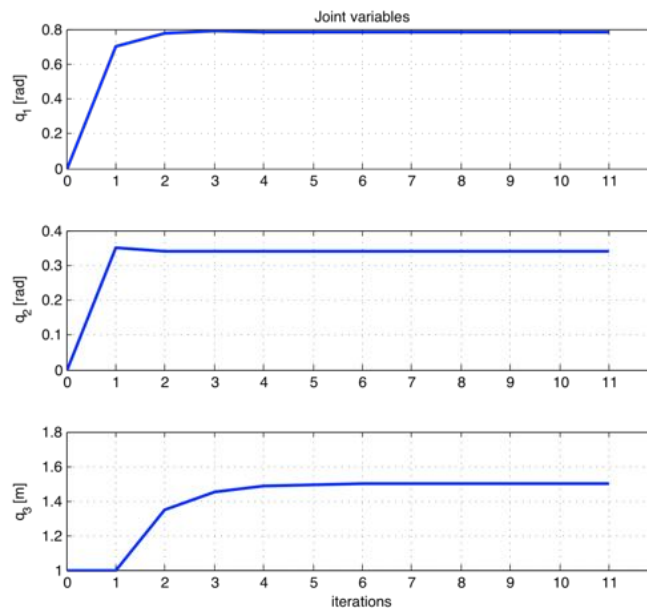
# Numerical test - 1

- test 1:  $q^0 = (0, 0, 1)$  as initial guess; evolution of joint variables



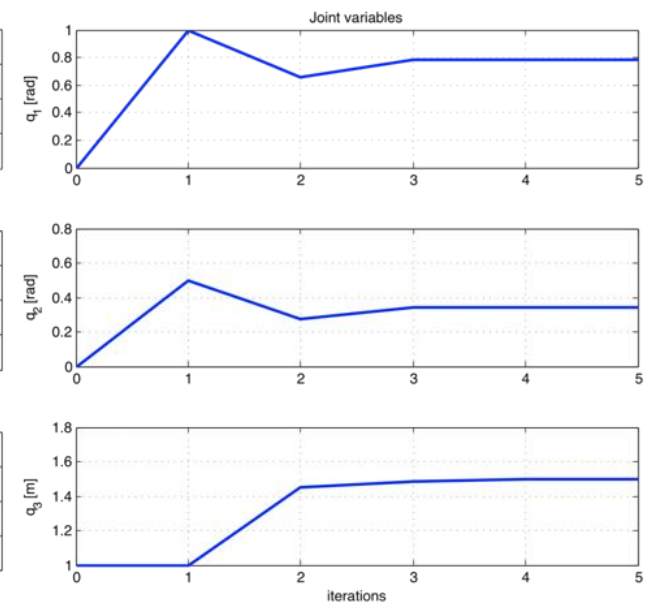
Gradient:  $\alpha = 1$

not converging  
to a solution



Gradient:  $\alpha = 0.7$

converges in  
11 iterations



Newton

converges in  
5 iterations

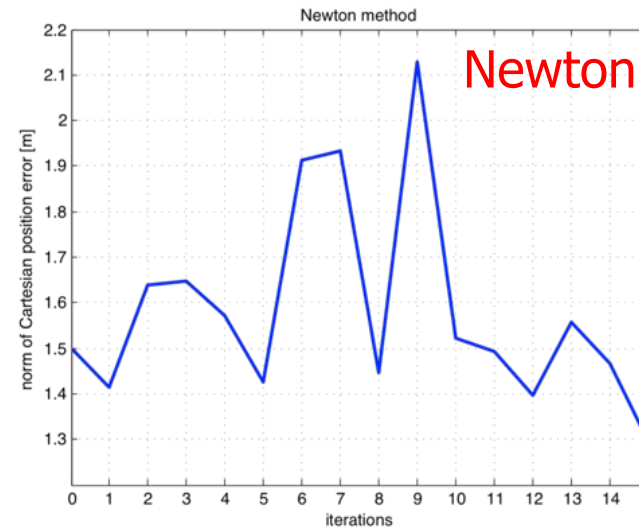
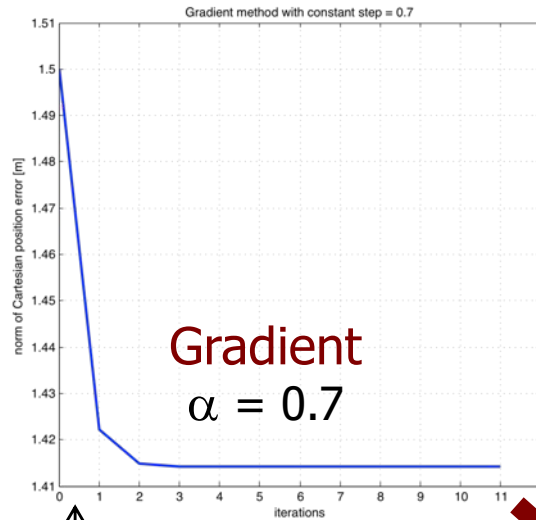
both to the same solution  $q^* = (0.7854, 0.3398, 1.5)$



# Numerical test - 2

- test 2:  $q^0 = (-\pi/4, \pi/2, 1)$ : singular start

error norms

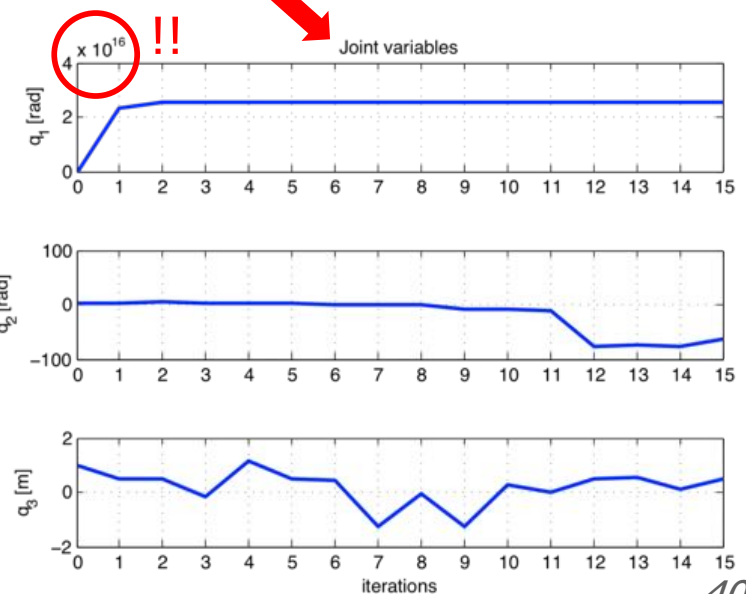
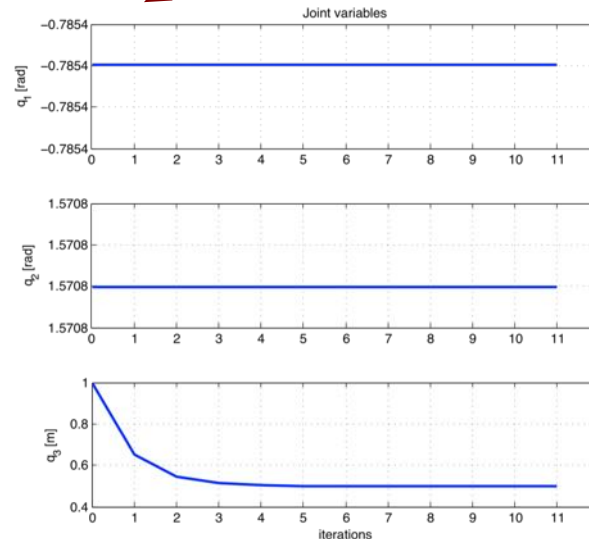


with check of singularity:  
blocked at start

without check:  
it diverges!

starts toward solution, but slowly stops  
(in singularity):  
when Cartesian error vector  $e \in \text{Ker}(J_r^T)$

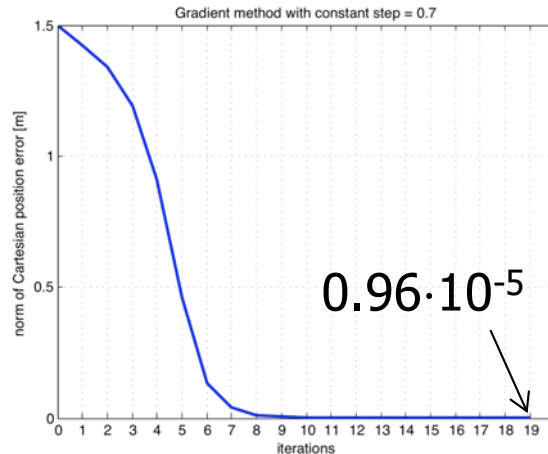
joint variables



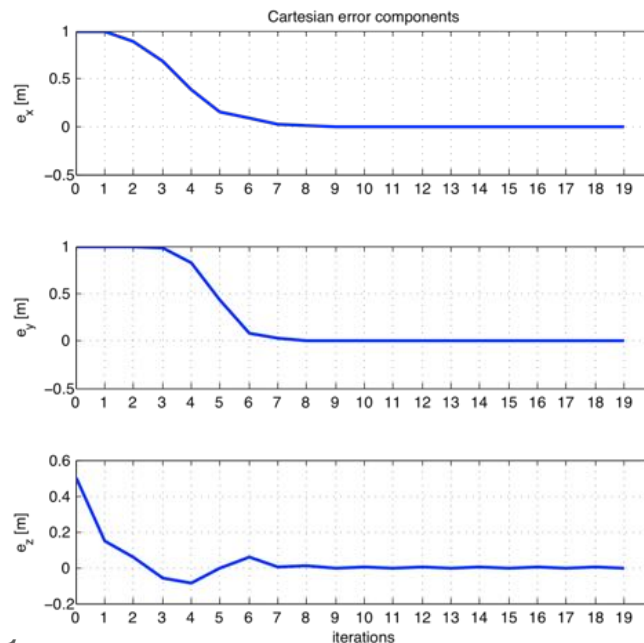
# Numerical test - 3

- test 3:  $q^0 = (0, \pi/2, 0)$ : "double" singular start

error norm



Cartesian errors

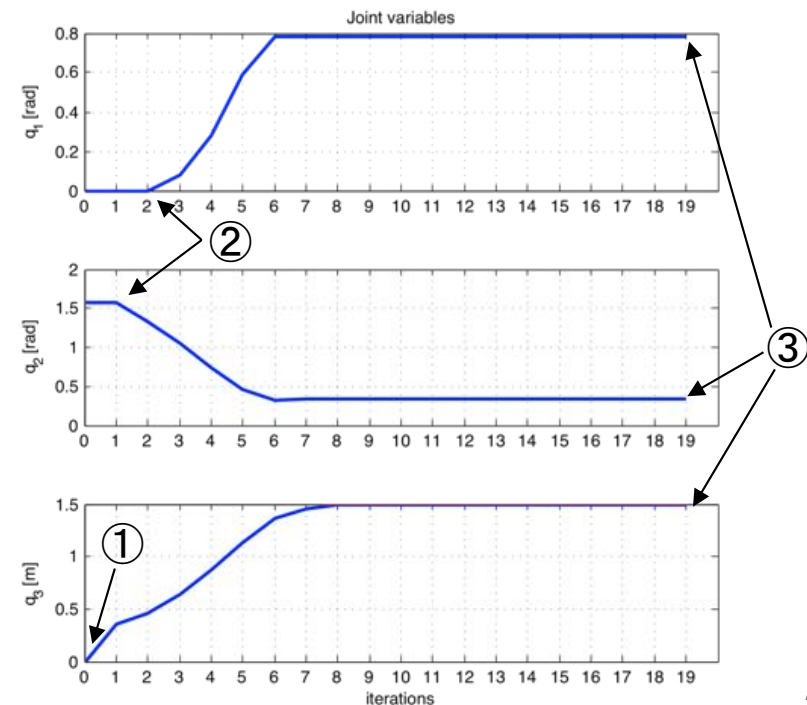


Gradient (with  $\alpha = 0.7$ )

- ① starts toward solution
- ② exits the double singularity
- ③ slowly converges in 19 iterations to the solution  $q^* = (0.7854, 0.3398, 1.5)$

Newton is either blocked at start or (w/o check) explodes! → "NaN" in Matlab

joint variables





# Final remarks

- an **efficient** iterative scheme can be devised by combining
  - **initial iterations** using Gradient ("sure but slow", linear convergence rate)
  - **switch then** to Newton method (quadratic terminal convergence rate)
- **joint range limits** are considered only at the end
  - check if the solution found is feasible, as for analytical methods
- in alternative, an **optimization** criterion can be included in the search
  - driving iterations toward an inverse kinematic solution with nicer properties
- if the problem has to be solved **on-line**
  - execute iterations and associate an actual robot motion: **repeat steps** at times  $t_0$ ,  $t_1=t_0+T$ , ...,  $t_k=t_{k-1}+T$  (e.g., every  $T=40$  ms)
  - the "good" choice for the initial guess  $q^0$  at  $t_k$  is the solution of the previous problem at  $t_{k-1}$  (provides continuity, needs only 1-2 Newton iterations)
  - crossing of singularities/handling of joint range limits need special care
- Jacobian-based inversion schemes are used also for **kinematic control**, along a continuous task trajectory  $r_d(t)$