### Sapienza University of Rome

### Master in Artificial Intelligence and Robotics Master in Engineering in Computer Science

# Machine Learning

A.Y. 2019/2020

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12. Convolutional Neural Networks

1/35

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### 12. Convolutional Neural Networks

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### Overview

- Convolution
- Convolutional layers
- Pooling
- Example: LeNet
- CNNs for Images
- "Famous" CNNs
- Resources

#### References

Ian Goodfellow and Yoshua Bengio and Aaron Courville. Deep Learning - Chapter 9. http://www.deeplearningbook.org

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3/35

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### Motivation

Up to now we treated inputs as general feature vectors

In some cases inputs have special structure:

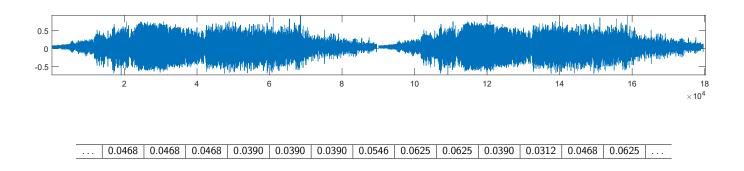
- Audio
- Images
- Videos

Signals: Numerical representations of physical quantities

Deep learning can be directly applied on signals by using suitable operators

### Motivation - Examples

#### **Audio**



Note: variable length 1D vectors (1D tensor)

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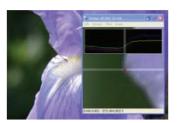
5/35

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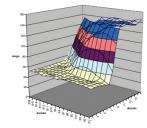
## Motivation - Examples

### **Images**





45	60	98	127	132	133	137	133
46	65	98	123	126	128	131	133
47	65	96	115	119	123	135	137
47	63	91	107	113	122	138	134
50	59	80	97	110	123	133	134
49	53	68	83	97	113	128	133
50	50	58	70	84	102	116	126
50	50	52	58	69	86	101	120



Note: multi-channel 2D matrices (3D tensor)

#### Video

A sequence of color images sampled through time Note: sequence of multi-channel 2D matrices (4D tensor)

### Convolution

Continuous functions

$$(x * w)(t) \equiv \int_{a = -\infty}^{\infty} x(a) w(t - a) da$$

Discrete functions

$$(x*w)(t) \equiv \sum_{a=-\infty}^{\infty} x(a) w(t-a)$$

Discrete limited 2D functions:

$$(I * K)(i,j) \equiv \sum_{m} \sum_{n} I(m,n)K(i-m,j-n)$$

I: input, K: kernel

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7 / 35

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### Convolution

Commutative

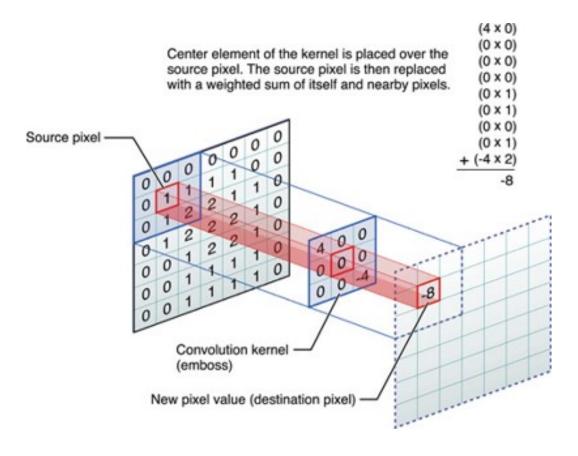
$$(I * K)(i, j) = (K * I)(i, j) = \sum_{m} \sum_{n} I(i - m, j - n)K(m, n)$$

#### **Cross-correlation**

$$(I * K)(i, j) = \sum_{m} \sum_{n} I(i + m, j + n)K(m, n)$$

implemented in machine learning libraries (called convolution).

## Image Convolutions



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9 / 35

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# **Image Convolutions**

Image filtering is based on convolution with special kernels





Original

Emboss

Interactive example: http://setosa.io/ev/image-kernels/

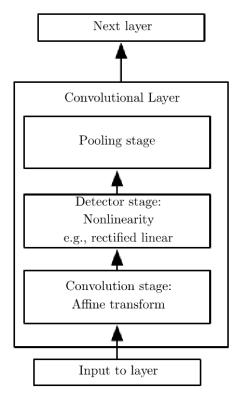
### Convolutional Neural Networks

FNN with one or more convolutional layers.

#### Convolutional layer

Three stages:

- convolutions between input and kernel
- non-linear activation function (detector)
- pooling



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11 / 35

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# Convolutional layer: Convolution

### 1. Convolution stage

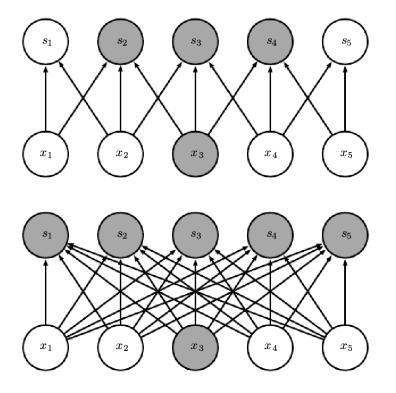
$$(I * K)(i,j) = \sum_{m} \sum_{n} I(i+m,j+n)K(m,n)$$

Very efficient in terms of memory requirements and generalization accuracy.

- Sparse connectivity
- Parameter sharing

### Sparse connectivity

sparse interactions/ sparse connectivity: outputs depend only on a few inputs (as kernel is usually much smaller than input)



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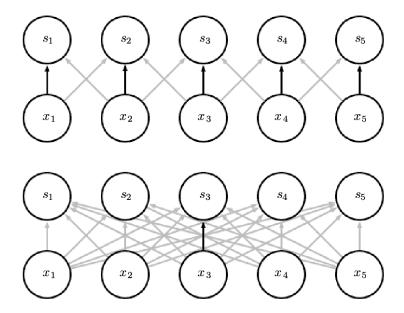
13 / 35

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# Parameter sharing

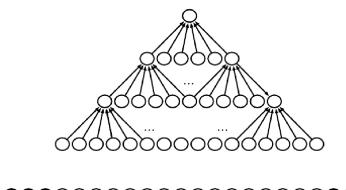
Learn only one set of parameters (for the kernel) shared to all the units.

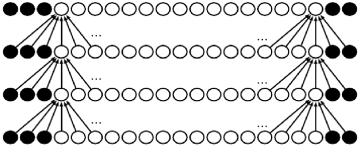
k parameters instead of  $m \times n$  (note:  $k \ll m$ )



## **Padding**

**valid** padding: output contains only valid values (depends on kernel size) **same** padding: input layer is padded with zeros, output size independent of kernel size.





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Convolutional layer: Detector

### 2. Detector stage

Use non-linear activation functions.

- ReLU
- tanh

# Convolutional layer: Pooling

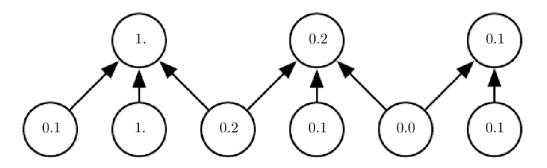
#### 3. Pooling stage

Implements invariance to local translations.

max pooling returns the maximum value in a rectangular region. average pooling returns the average value in a rectangular region.

When applied with *stride*, it reduces the size of the output layer.

Example: pool width 3 and stride 2



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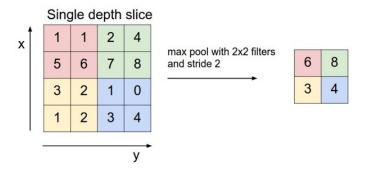
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17 / 35

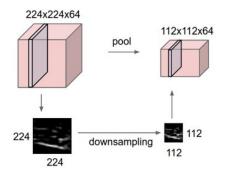
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# Convolutional layer: Pooling

#### Example of max pooling\*

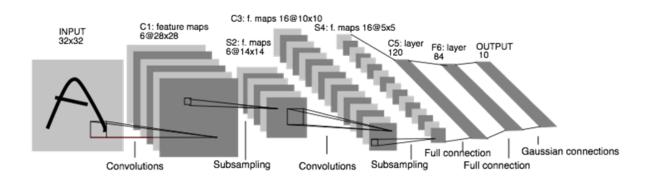


#### Introduces subsampling:



<sup>\*</sup>How does back-propagation work?

### LeNet



Y. LeCun, L. Bottou, Y. Bengio and P. Haffner: Gradient-Based Learning Applied to Document Recognition, Proceedings of the IEEE, 86(11):2278-2324, November 1998

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19 / 35

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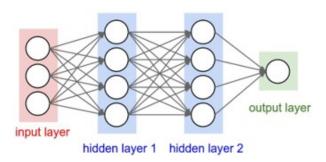
## Handwritten recognition with LeNet

#### **MNIST** database

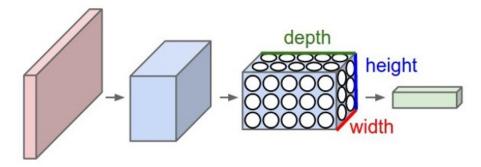


Accuracy up to 98 %.

# CNNs for Images (2D input)



A regular 3-layer Neural Network



Every convolutional layer of a CNN transforms the 3D input volume to a 3D output volume of neuron activations.

Material from Fei-Fei's group

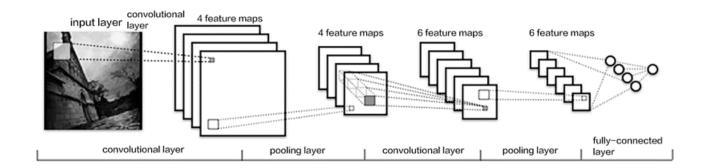
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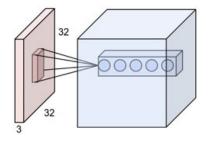
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21/35

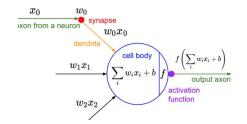
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# CNNs for Images (2D input)





Each neuron is connected to a local 'horizontal' region of the input volume, but to **all** channels (depth)



The neurons still compute a dot product of their weights with the input followed by a non-linearity

Material from Fei-Fei's group

## **Terminology**

Kernel matrix used for convolution / filtering

Kernel size 2D (horizontal) dimensions of the kernel  $(w_k \times h_k)$ 

Depth number of feature maps / filters (d)

Padding filled addition outer rows/columns (typically zeros) (p)

Stride step of sliding kernel (s) - e.g. value 1 does not skip any pixels

Depth slice a single feature map

Receptive field region in the *input space* that a particular feature is looking at (i.e. be affected by)

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23 / 35

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# Feature map size - Number of parameters

Let  $w_{in} \times h_{in}$  the dimensions of the feature map at the previous convolutional layer and  $d_{in}$ ,  $d_{out}$  be the input and output depth, respectively

Dimensions of output feature map are given by:

$$w_{out} = \frac{w_{in} - w_k + 2p}{s} + 1$$

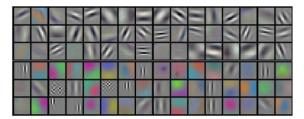
$$h_{out} = \frac{h_{in} - h_k + 2p}{s} + 1$$

The number of parameters of the convolutional layer is:

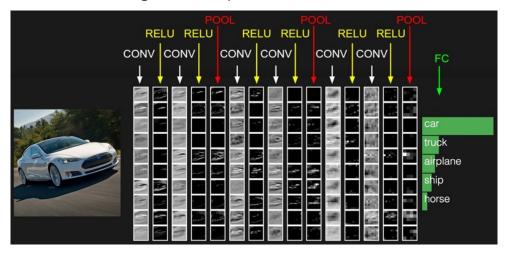
$$|\theta| = \underbrace{w_k \cdot y_k \cdot d_{in} \cdot d_{out}}_{\text{kernel weights}} + \underbrace{d_{out}}_{\text{bias}}$$

# Kernels and Feature maps - Example

Visualization of learned kernels of the first layer:



Computed activations during forward pass:



Material from Fei-Fei's group

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25 / 35

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## Recent success of CNNs

- Theoretical advancements:
  - Dropout
  - ReLUs
  - Batch Normalization
  - Skip connections
  - ...
- Massively Parallel Computing (GPUs/TPUs)
- Very large training sets (ImageNet/MS COCO)
- International competitions (ILSVRC 2010-2017)
- Developing Frameworks (Keras, Theano, Tensorflow, PyTorch, ...)

## ImageNet and ILSVRC

**ImageNet** Huge dataset of images over 14 M labelled high resolution images about 22 K categories

**ILSVRC** Competitions of image classification at large scale (since 2010)

1.2 M images in 1 K categories

5 guesses about image label

http://image-net.org

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27 / 35

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# "Famous" CNNs

- AlexNet (2012)
- GoogLeNet / Inception (2014)
- VGG (2014)
- ResNet (2015)

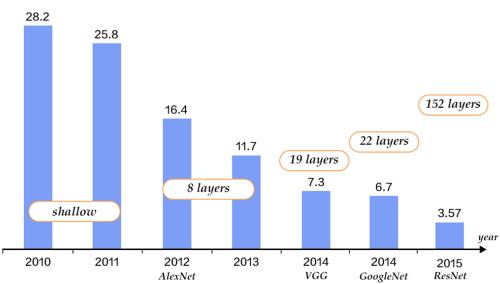
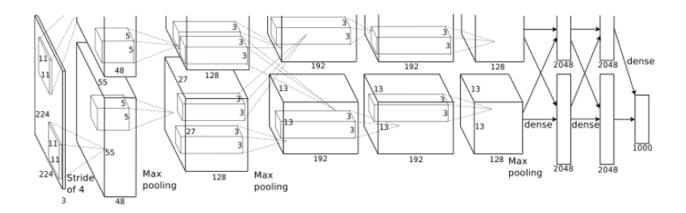


Image top-5 classification error rate on ILSVRC (%)

### "Famous" CNNs

#### AlexNet - Winner of ILSVRC 2012



- $\bullet$  Reached 16.4% top-5 image classification error on ILSVRC 2012
- Large gap from 25.8% top-5 error, best result of ILSVRC 2011
- Not commonly used anymore

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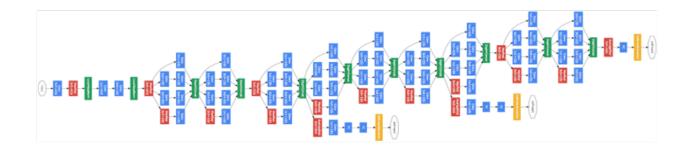
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29 / 35

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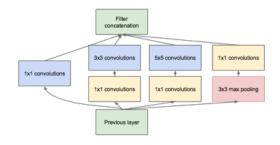
### "Famous" CNNs

### GoogLeNet/Inception - Winner of ILSVRC 2014



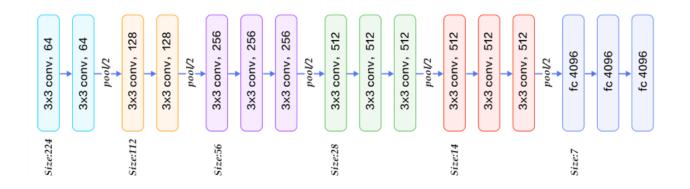
### Inception module:

- Reached 6.7% top-5 image classification error on ILSVRC 2012
- Updated versions commonly used also today (Inception v3 and v4)



## "Famous" CNNs

### VGG - 1st Runner-Up of ILSVRC 2014



- ullet Reached 7.3% top-5 image classification error on ILSVRC 2012
- Commonly used also today

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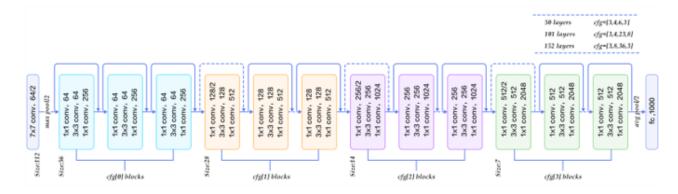
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31 / 35

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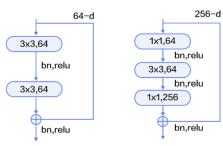
# "Famous" CNNs

#### ResNet - Winner of ILSVRC 2015



- Intuition: Zero function is easier to learn with respect to the identity
- Reached 3.6% top-5 image classification error on ILSVRC 2012
- Commonly used also today (various versions e.g. 50, 101, 152 layers)

### **Residual Layers**



(Use of skip connections)

### Common uses of famous CNNs

- Train the model on a new dataset
- Use pre-trained models (e.g., trained on ImageNet) to
  - predict ImageNet categories for new images
  - extract features to train another model (e.g., SVM)
- Refine pre-trained models on a new set of classes

Examples: https://keras.io/applications/

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33 / 35

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#### Resources

#### Frameworks

- Caffe/Caffe 2 (UC Berkeley) C/C++, Python, Matlab
- TensorFlow (Google) C/C++, Python, Java, Go
- Theano (U Montreal) Python
- CNTK (Microsoft) Python, C++ , C#/.Net, Java
- Torch/PyTorch (Facebook) Lua/Python
- MxNet (DMLC) Python, C++, R, Perl, ...
- Darknet (Redmon J.) C

High-level application libraries and models

- Keras
- TFLearn

# Summary

- CNNs are deep networks using convolution
- Very efficient (memory and generalization accuracy)
- Many successful examples
- Requires very large amount of data and very high computational resources.