

# Autonomous and Mobile Robotics

Prof. Giuseppe Oriolo

## Humanoid Robots 4: Gait Generation

DIPARTIMENTO DI INGEGNERIA INFORMATICA  
AUTOMATICA E GESTIONALE ANTONIO RUBERTI



SAPIENZA  
UNIVERSITÀ DI ROMA

# gait generation

generate a gait for walking while maintaining balance  
(also called **walking pattern generation**)

**balance**: what is it? how do we guarantee it?

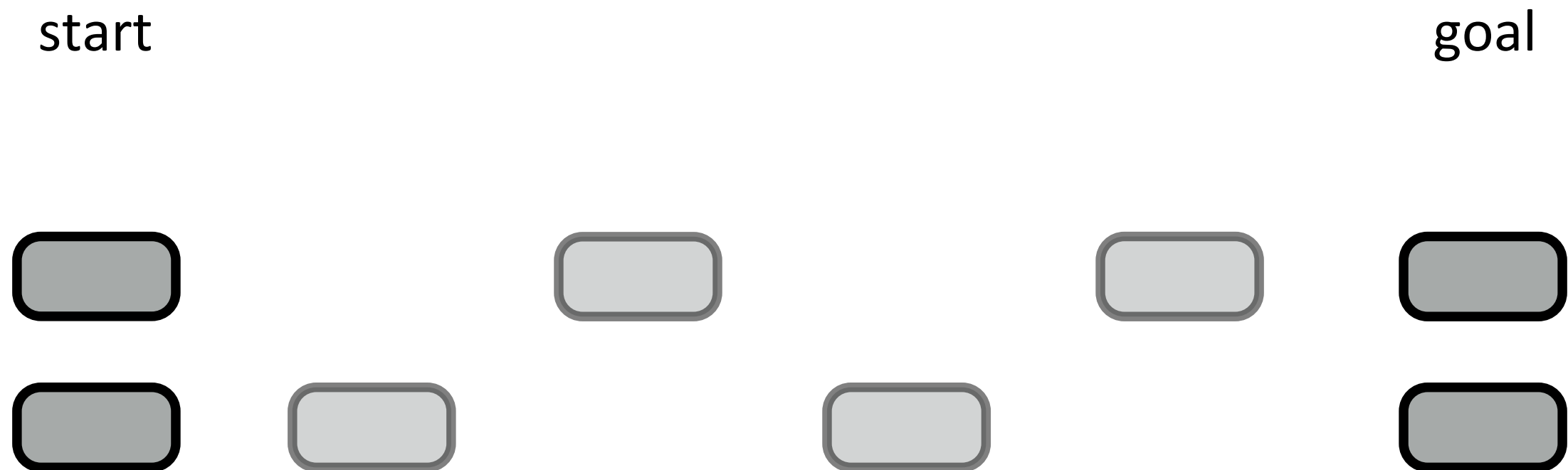
for this, different approaches available:

- nonlinear analysis
- Poincaré maps
- Viability
- Capturability
- **Zero Moment Point** ← will use this (most popular)

# ZMP-based gait generation

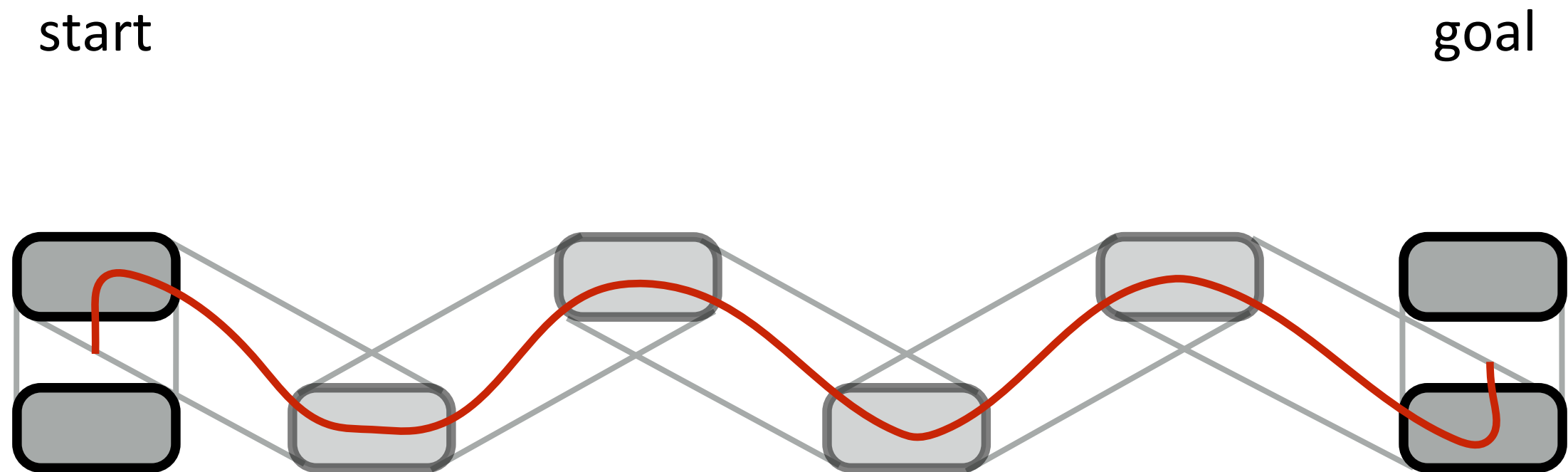
an **algorithm** based on the **strategy**: keep the ZMP inside the Support Polygon (SP)

1. **plan the footsteps...**



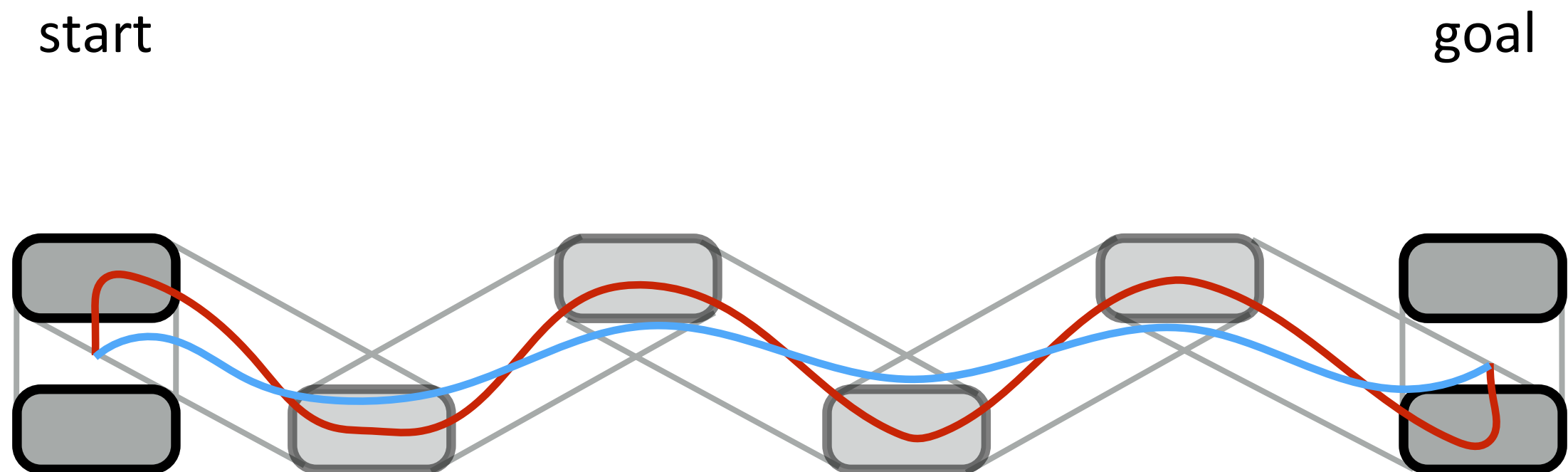
# ZMP-based gait generation

...2. plan a ZMP trajectory such that the ZMP is always inside the current SP...



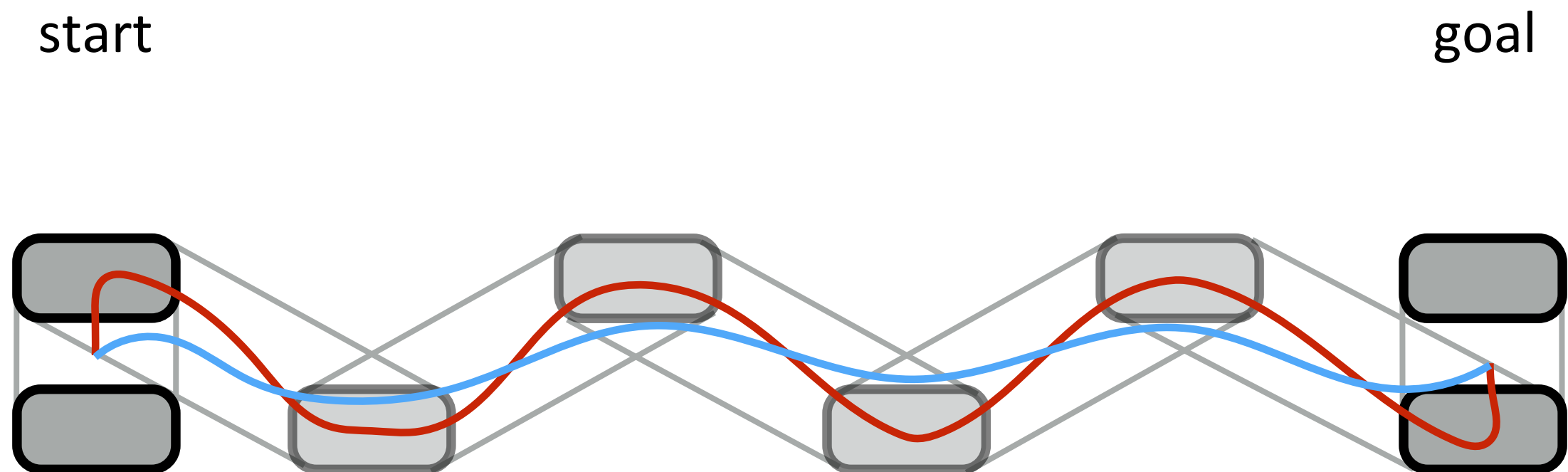
# ZMP-based gait generation

...3. compute a CoM trajectory such that the ZMP moves as planned...



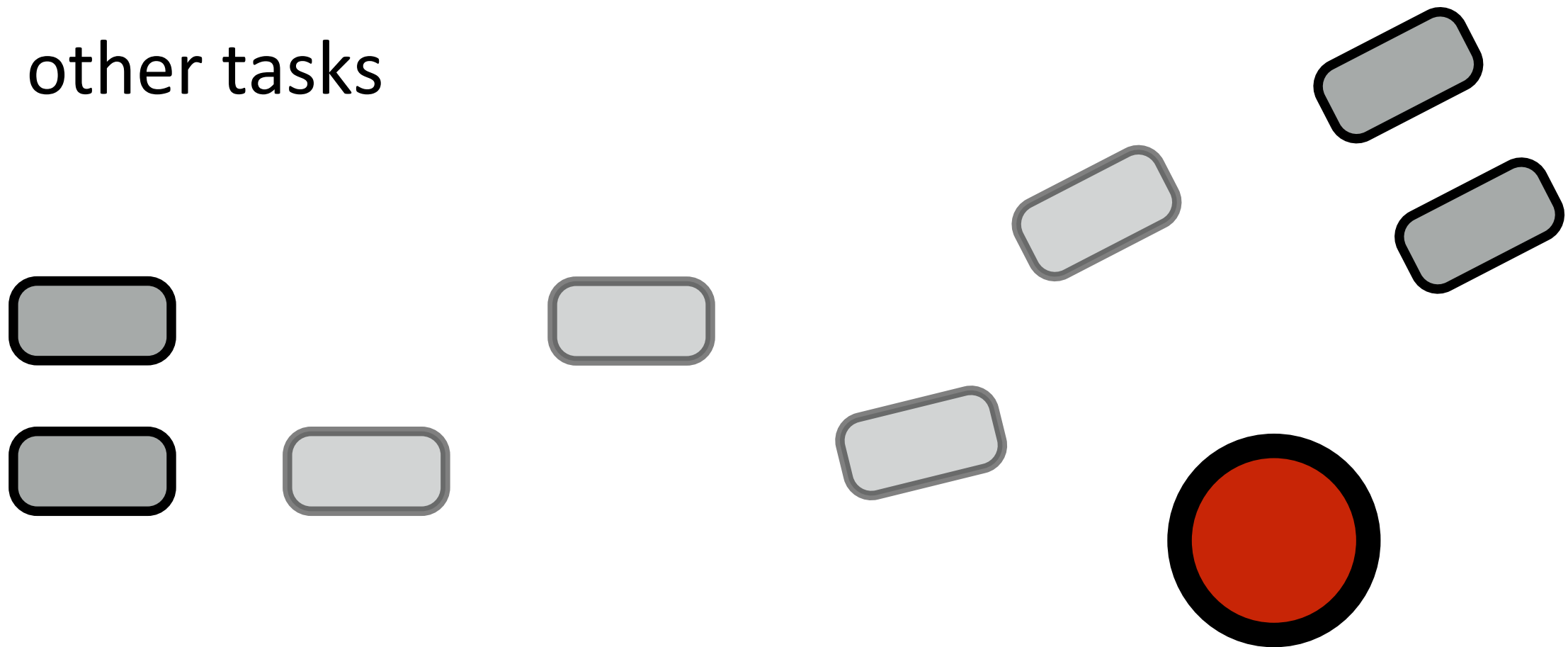
# ZMP-based gait generation

...4. track the CoM trajectory



# algorithm steps in detail

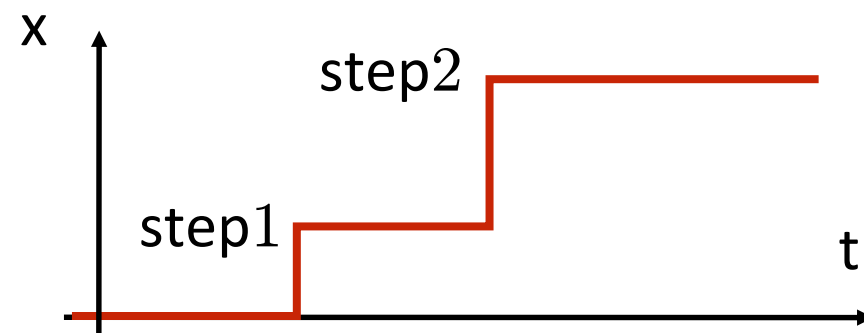
- 1 plan the footsteps (**offline**)  
timing and lengths (desired speed)  
obstacles (obstacle avoidance)  
other tasks



# algorithm steps in detail

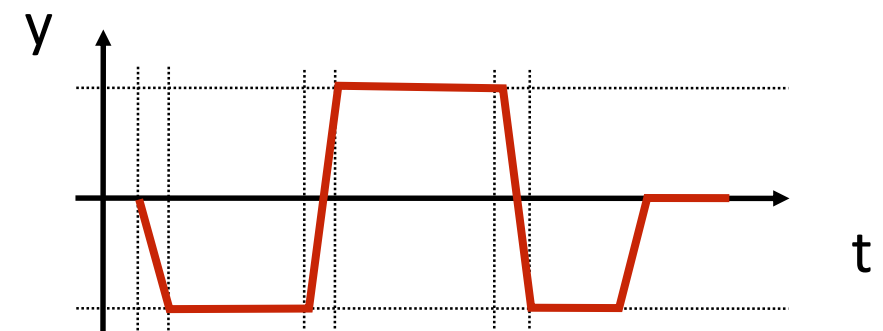
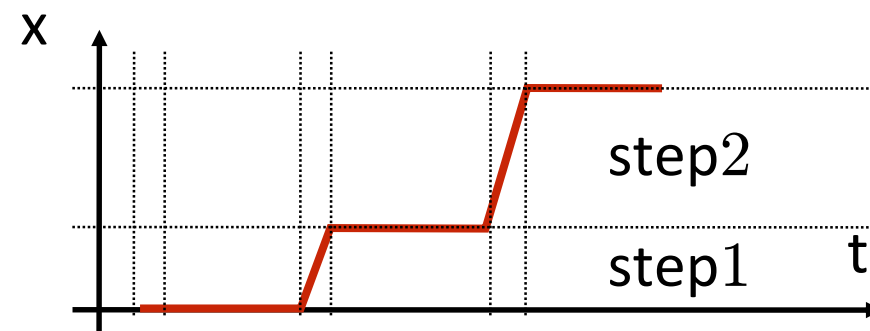
## 2 plan ZMP trajectory (interpolation)

point foot (ZMP = point of contact)



finite-sized foot

cycling through  
SS and DS phases





## algorithm steps in detail

- 3 compute a (**desired**) CoM trajectory consistent with the planned ZMP trajectory  
**use the LIP model!** e.g., for the sagittal direction

$$\ddot{c}^x = \frac{g^z}{c^z} (c^x - z^x)$$



planned ZMP trajectory

the CoM trajectory should be a solution of this 2nd order differential equation driven by the forcing term  $z^x(t)$

**potential instability problem!** more on this later...

# algorithm steps in detail

## 4 track the **desired** CoM trajectory

- A. define a swinging foot trajectory
- B. use kinematic control to obtain reference joint trajectories that realize the CoM and foot trajectories
- C. send the reference joint profiles to the joint servos (for a position-controlled humanoid)

other approaches possible

# instability problem: a control perspective

$$\ddot{c}^x = \frac{g^z}{c^z} (c^x - z^x)$$

$$\omega^2 = \frac{g^z}{c^z}$$

pendulum frequency

ZMP  $\longrightarrow$  CoM

$$\frac{c^x(s)}{z^x(s)} = \frac{\omega^2}{s^2 - \omega^2}$$

$$\frac{\ddot{c}^x(s)}{z^x(s)} = \frac{\omega^2 s^2}{s^2 - \omega^2}$$

inversion

$$\frac{z^x(s)}{j^x(s)} = \frac{s^2 - \omega^2}{s^3 \omega^2}$$

$$j^x(t) = \frac{d}{dt} (\ddot{c}^x(t))$$

jerk

$$\frac{z^x(s)}{\ddot{c}^x(s)} = \frac{s^2 - \omega^2}{s^2 \omega^2}$$

CoM  $\longrightarrow$  ZMP

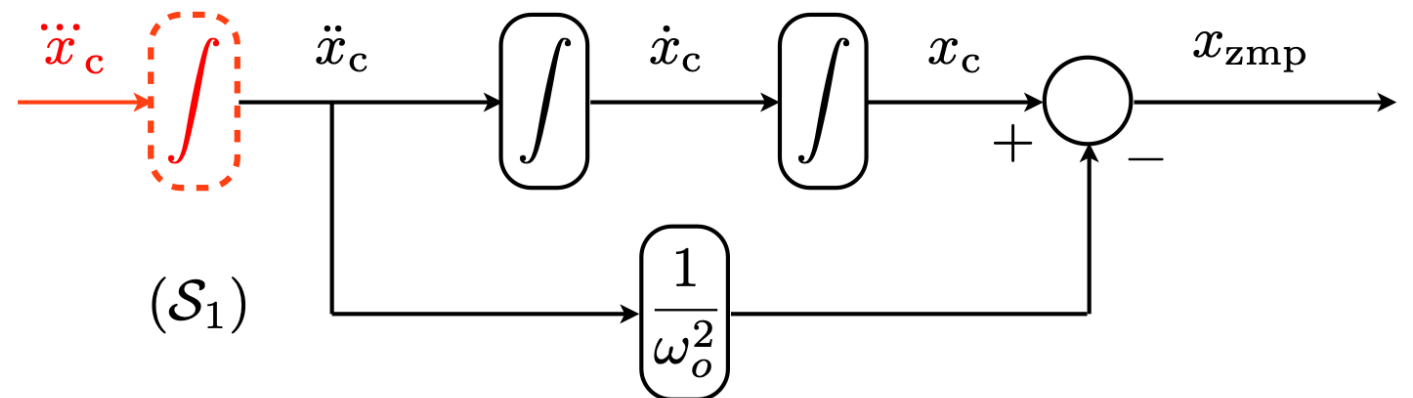
# divergence problem: a control perspective

$$\ddot{c}^x = \frac{g^z}{c^z} (c^x - z^x) \qquad \omega^2 = \frac{g^z}{c^z}$$

reference ZMP

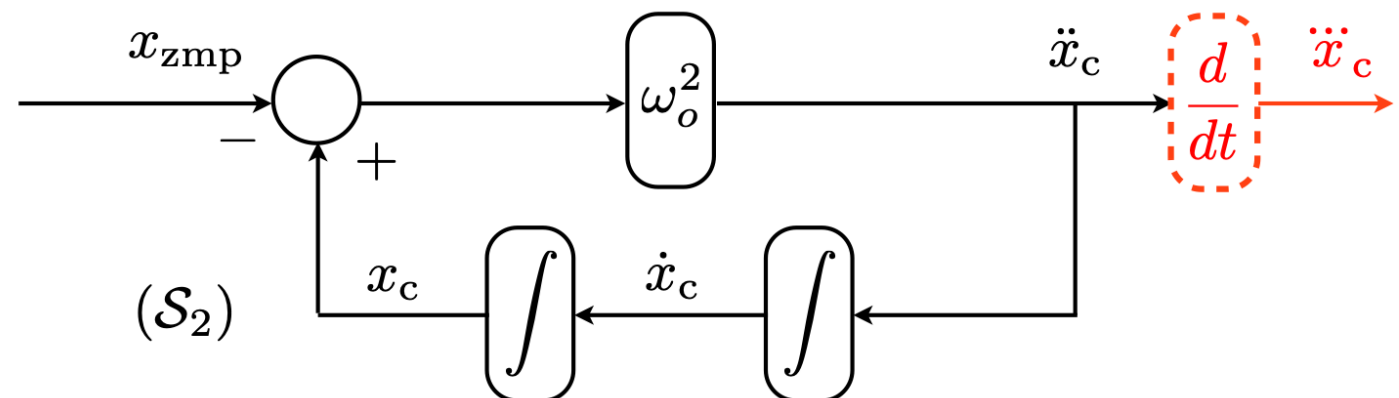
CoM  $\longrightarrow$  ZMP

**output tracking problem**



ZMP  $\longrightarrow$  CoM

**stable inversion problem**



# stable inversion

- using a change of coordinates, the LIP can be decoupled in **stable** and **unstable** dynamics

$$x_s = c - \dot{c}/\eta$$

$$x_u = c + \dot{c}/\eta$$

- the decoupled dynamics are

$$\text{stable} \quad \dot{x}_s = \eta(-x_s + x_z)$$

$$\text{unstable} \quad \dot{x}_u = \eta(x_u - x_z)$$

- the CoM evolution is bounded if and only if

$$x_u(t_0) = \eta \int_{t_0}^{\infty} e^{-\eta(\tau-t_0)} z(\tau) d\tau$$

**stability condition**

# linear MPC

- example: regulation using linear **Model Predictive Control**
- linear prediction model

$$\begin{cases} x_{k+1} &= Ax_k + Bu_k \\ y_k &= Cx_k \end{cases} \quad \begin{array}{l} x \in \mathbb{R}^n \\ u \in \mathbb{R}^m \\ y \in \mathbb{R}^p \end{array}$$

- relationship between input and states

$$x_k = A^k x_0 + \sum_{j=0}^{k-1} A^j B u_{k-1-j}$$

# linear MPC – cost function

- cost function

$$J(z, x_0) = x'_N P x_N + \sum_{k=0}^{N-1} x'_k Q x_k + u'_k R u_k \quad \begin{array}{l} R = R' \succ 0 \\ Q = Q' \succeq 0 \\ P = P' \succeq 0 \end{array} \quad z = \begin{bmatrix} u_0 \\ u_1 \\ \vdots \\ u_{N-1} \end{bmatrix}$$

- goal: find a sequence  $z^*(t)$  that minimizes  $J(z, x_0)$ , i.e., that steers the state  $x$  to the origin optimally

# linear MPC – cost function

- cost function

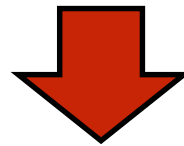
$$\begin{aligned}
 J(z, x_0) &= x_0' Q x_0 + \overbrace{\begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_{N-1} \\ x_N \end{bmatrix}'}^{\bar{Q}} \begin{bmatrix} Q & 0 & 0 & \dots & 0 \\ 0 & Q & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & \dots & 0 & Q & 0 \\ 0 & 0 & \dots & 0 & P \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_{N-1} \\ x_N \end{bmatrix} \\
 &+ \underbrace{\begin{bmatrix} u_0 \\ u_1 \\ \vdots \\ u_{N-1} \end{bmatrix} \begin{bmatrix} R & 0 & \dots & 0 \\ 0 & R & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & \dots & 0 & R \end{bmatrix}}_{\bar{R}} \begin{bmatrix} u_0 \\ u_1 \\ \vdots \\ u_{N-1} \end{bmatrix} \\
 \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_N \end{bmatrix} &= \underbrace{\begin{bmatrix} B & 0 & \dots & 0 \\ AB & B & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ A^{N-1}B & A^{N-2}B & \dots & B \end{bmatrix}}_{\bar{S}} \underbrace{\begin{bmatrix} u_0 \\ u_1 \\ \vdots \\ u_{N-1} \end{bmatrix}}_z + \underbrace{\begin{bmatrix} A \\ A^2 \\ \vdots \\ A^N \end{bmatrix}}_{\bar{T}} x_0
 \end{aligned}$$



# linear MPC – unconstrained case

- if the system is linear the cost function is **quadratic** in the input

$$\begin{aligned} J(z, x_0) &= (\bar{S}z + \bar{T}x_0)' \bar{Q} (\bar{S}z + \bar{T}x_0) + z' \bar{R} z + x_0' Q x_0 \\ &= \frac{1}{2} z' \underbrace{2(\bar{R} + \bar{S}' \bar{Q} \bar{S})}_H z + x_0' \underbrace{2\bar{T}' \bar{Q} \bar{S}}_{F'} z + \frac{1}{2} x_0' \underbrace{2(Q + \bar{T}' \bar{Q} \bar{T})}_Y x_0 \end{aligned} \quad z = \begin{bmatrix} u_0 \\ u_1 \\ \vdots \\ u_{N-1} \end{bmatrix}$$



$$J(z, x_0) = \frac{1}{2} z' H z + x_0' F' z + \frac{1}{2} x_0' Y x_0$$

- without constraints, optimal solution by zeroing the gradient

$$\nabla_z J(z, x_0) = H z + F x_0 = 0 \quad \Rightarrow \quad z^* = \begin{bmatrix} u_0^* \\ u_1^* \\ \vdots \\ u_{N-1}^* \end{bmatrix} = -H^{-1} F x_0$$

# linear MPC – constraints

- add output and input **constraints**

$$\begin{cases} u_{\min} \leq u(t) \leq u_{\max} \\ y_{\min} \leq y(t) \leq y_{\max} \end{cases}$$

- what results is a **constrained optimal control problem**

$$\begin{aligned} \min_z \quad & x'_N P x_N + \sum_{k=0}^{N-1} x'_k Q x_k + u'_k R u_k \\ \text{s.t.} \quad & u_{\min} \leq u_k \leq u_{\max}, \quad k = 0, \dots, N-1 \\ & y_{\min} \leq y_k \leq y_{\max}, \quad k = 1, \dots, N \end{aligned}$$

# linear MPC – constraints

- we want to formulate this as a standard convex **Quadratic Program (QP)**

$$\begin{aligned} V(x_0) = \frac{1}{2}x_0'Yx_0 + \min_z \quad & \frac{1}{2}z'H z + x_0'F'z && \text{(quadratic objective)} \\ \text{s.t.} \quad & Gz \leq W + Sx_0 && \text{(linear constraints)} \end{aligned}$$

- we already found the cost function: how do we write the constraints?

# linear MPC – constraints

- input constraints

$$\begin{cases} u_k \leq u_{\max} \\ -u_k \leq -u_{\min} \end{cases} \quad \longrightarrow \quad \begin{bmatrix} 1 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 \\ \vdots & & \ddots & \vdots \\ 0 & \dots & 0 & 1 \\ -1 & 0 & \dots & 0 \\ 0 & -1 & \dots & 0 \\ \vdots & & \ddots & \vdots \\ 0 & \dots & 0 & -1 \end{bmatrix} z \leq \begin{bmatrix} u_{\max} \\ u_{\max} \\ \vdots \\ u_{\max} \\ -u_{\min} \\ -u_{\min} \\ \vdots \\ -u_{\min} \end{bmatrix} \quad z = \begin{bmatrix} u_0 \\ u_1 \\ \vdots \\ u_{N-1} \end{bmatrix}$$

# linear MPC – constraints

- we write the output in terms of predicted inputs using

$$y_k = CA^k x_0 + \sum_{i=0}^{k-1} CA^i B u_{k-1-i} \leq y_{\max}, \quad k = 1, \dots, N$$

- the upper output constraint is

$$\begin{bmatrix} CB & 0 & \dots & 0 \\ CAB & CB & \dots & 0 \\ \vdots & & & \vdots \\ CA^{N-1}B & \dots & CAB & CB \end{bmatrix} z \leq \begin{bmatrix} y_{\max} \\ y_{\max} \\ \vdots \\ y_{\max} \end{bmatrix} - \begin{bmatrix} CA \\ CA^2 \\ \vdots \\ CA^N \end{bmatrix} x_0$$

and the lower output constraint is analogous

# linear MPC – algorithm

at each step:

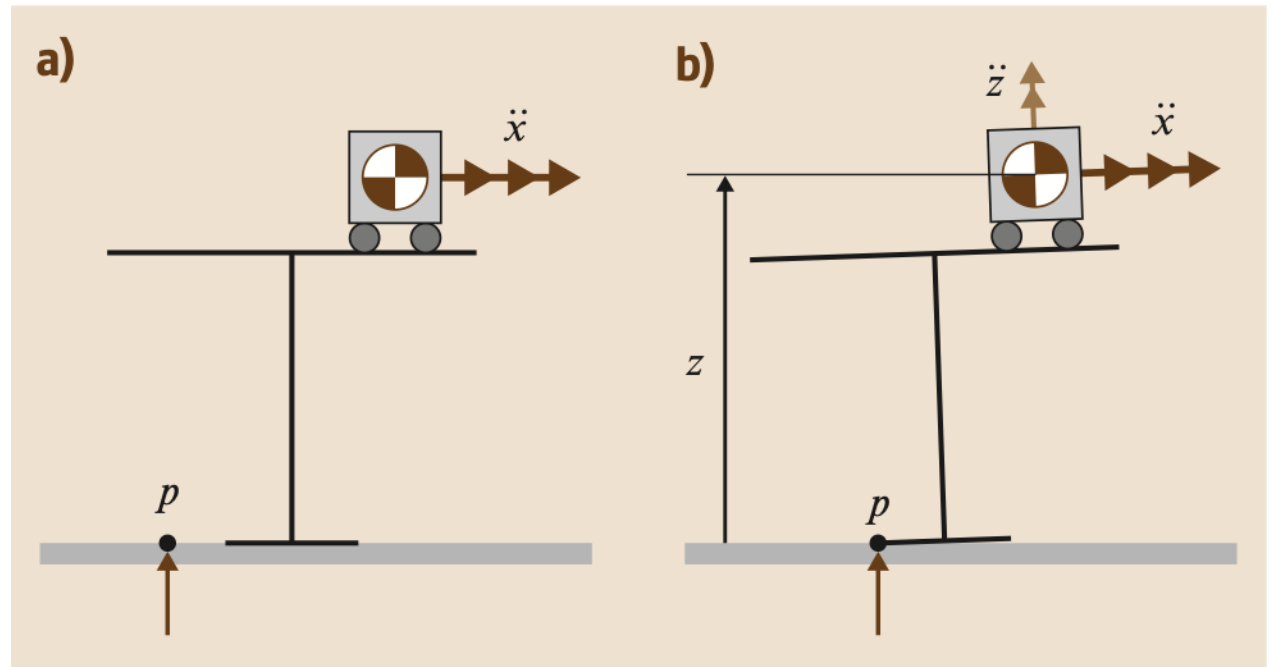
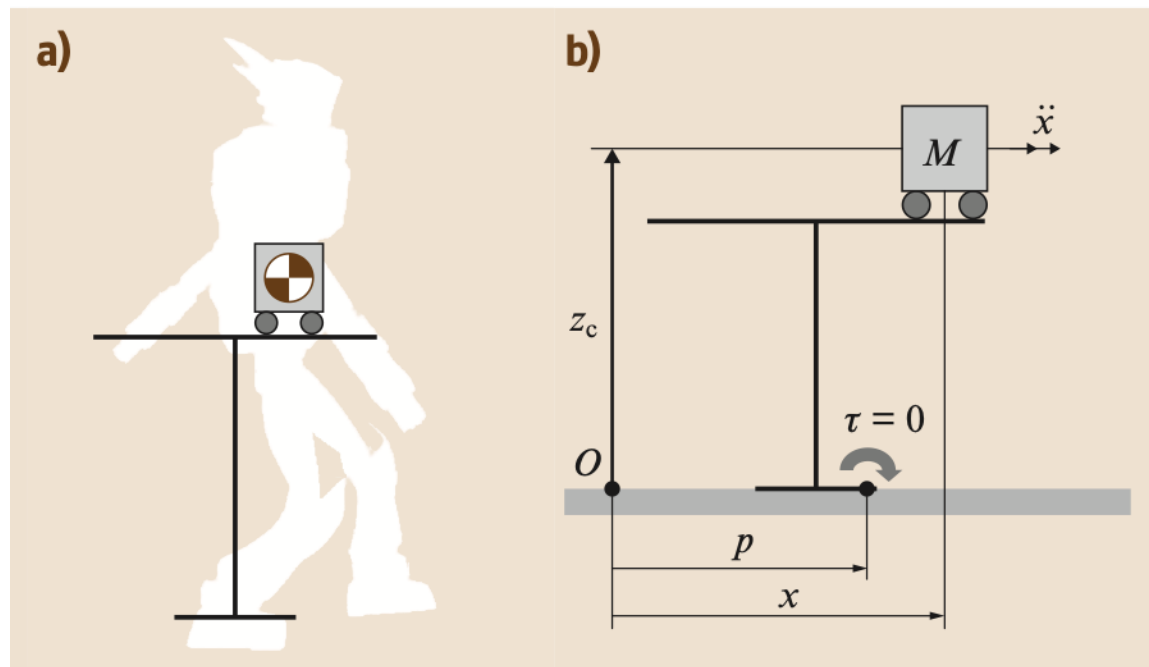
- measure or estimate the **current state**
- compute the **prediction** (optimal control sequence) by solving the QP starting from the current state
- apply only the **first input** of the predicted sequence

# preview control

CoM trajectory generation can be seen as the  
design of a ZMP tracking controller

CoM  $\longrightarrow$  ZMP

$$\frac{z^x(s)}{j^x(s)} = \frac{s^2 - \omega^2}{s^3 \omega^2}$$



# preview control

- Cart-Table (CT) model: the **state** of the system is defined by position, velocity and acceleration of the CoM

$$\mathbf{x} = [\mathbf{c}, \quad \dot{\mathbf{c}}, \quad \ddot{\mathbf{c}}]^T$$

- the **control input** is the jerk of the CoM

$$\mathbf{u} = \dddot{\mathbf{c}}$$

- the **state dynamics** is a triple integrator

$$\frac{d}{dt} \begin{pmatrix} \mathbf{c} \\ \dot{\mathbf{c}} \\ \ddot{\mathbf{c}} \end{pmatrix} = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} \mathbf{c} \\ \dot{\mathbf{c}} \\ \ddot{\mathbf{c}} \end{pmatrix} + \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \mathbf{u}$$

- the **output** is the ZMP

$$\mathbf{z} = \begin{pmatrix} 1 & 0 & -c^z/g \end{pmatrix} \begin{pmatrix} \mathbf{c} \\ \dot{\mathbf{c}} \\ \ddot{\mathbf{c}} \end{pmatrix}$$



# preview control

- by discretizing we obtain the dynamical system

$$\begin{cases} \mathbf{x}_{k+1} &= \mathbf{A}\mathbf{x}_k + \mathbf{C}\mathbf{u}_k \\ \mathbf{z}_k &= \mathbf{C}\mathbf{x}_k \end{cases}$$

where

$$\mathbf{A} = \begin{bmatrix} 1 & T & T^2/2 \\ 0 & 1 & T \\ 0 & 0 & 1 \end{bmatrix} \quad \mathbf{B} = \begin{bmatrix} T^3/6 \\ T^2/2 \\ T \end{bmatrix}$$

$$\mathbf{C} = \begin{bmatrix} 1 & 0 & -\frac{c^z}{g} \end{bmatrix}$$

# preview control

- if the ZMP reference can be **previewed** for  $N_L$  future steps, the controller can be written as

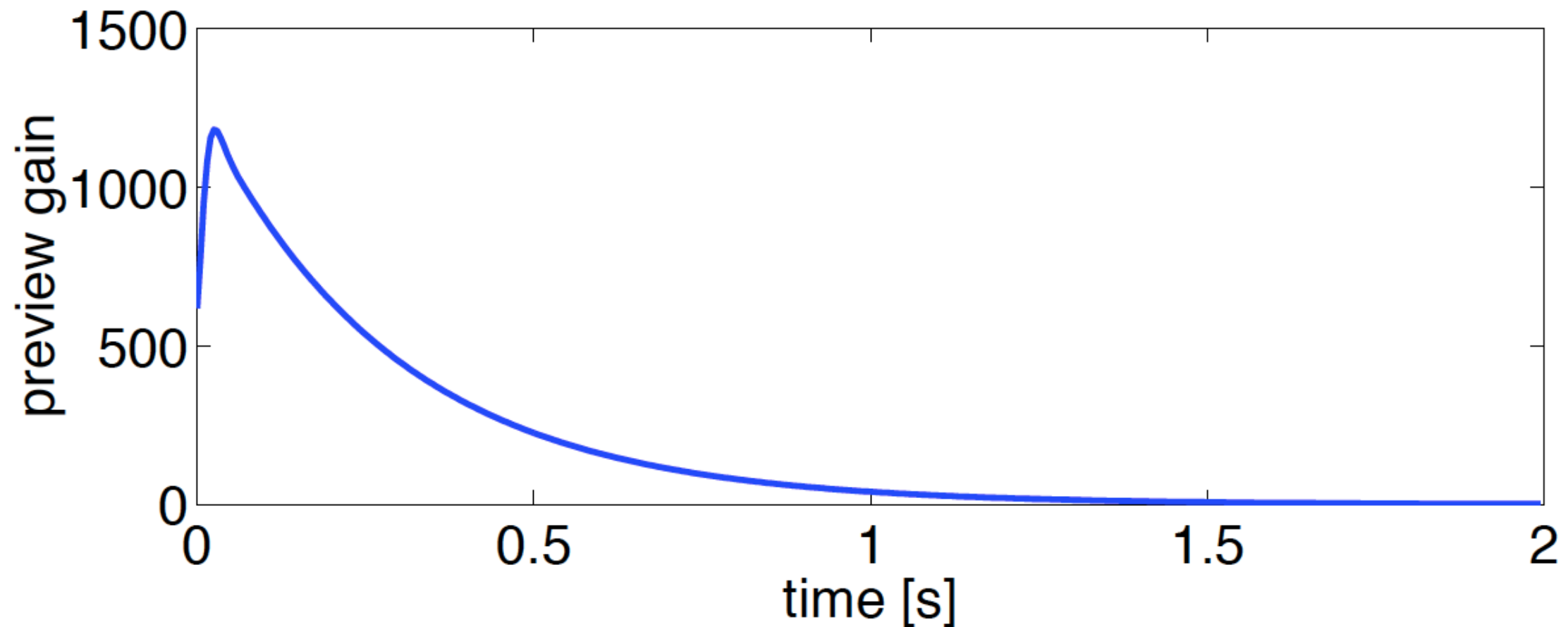
$$\mathbf{u}_k = \underbrace{-G_i \sum_{i=0}^k \mathbf{e}_k}_{\text{integral action on the tracking error}} - \underbrace{G_x \mathbf{x}_k}_{\text{state feedback}} - \underbrace{\sum_{j=1}^{N_L} G_p(j) \mathbf{z}^{ref}(k+j)}_{\text{preview action using future ZMP references}}$$

- this is the control law that minimizes the following cost function (unconstrained MPC)

$$J = \sum_{i=k}^{\infty} \{ Q_e \mathbf{e}_i^2 + \Delta \mathbf{x}_i^T Q_x \Delta \mathbf{x}_i + R \Delta \mathbf{u}_i^2 \}$$

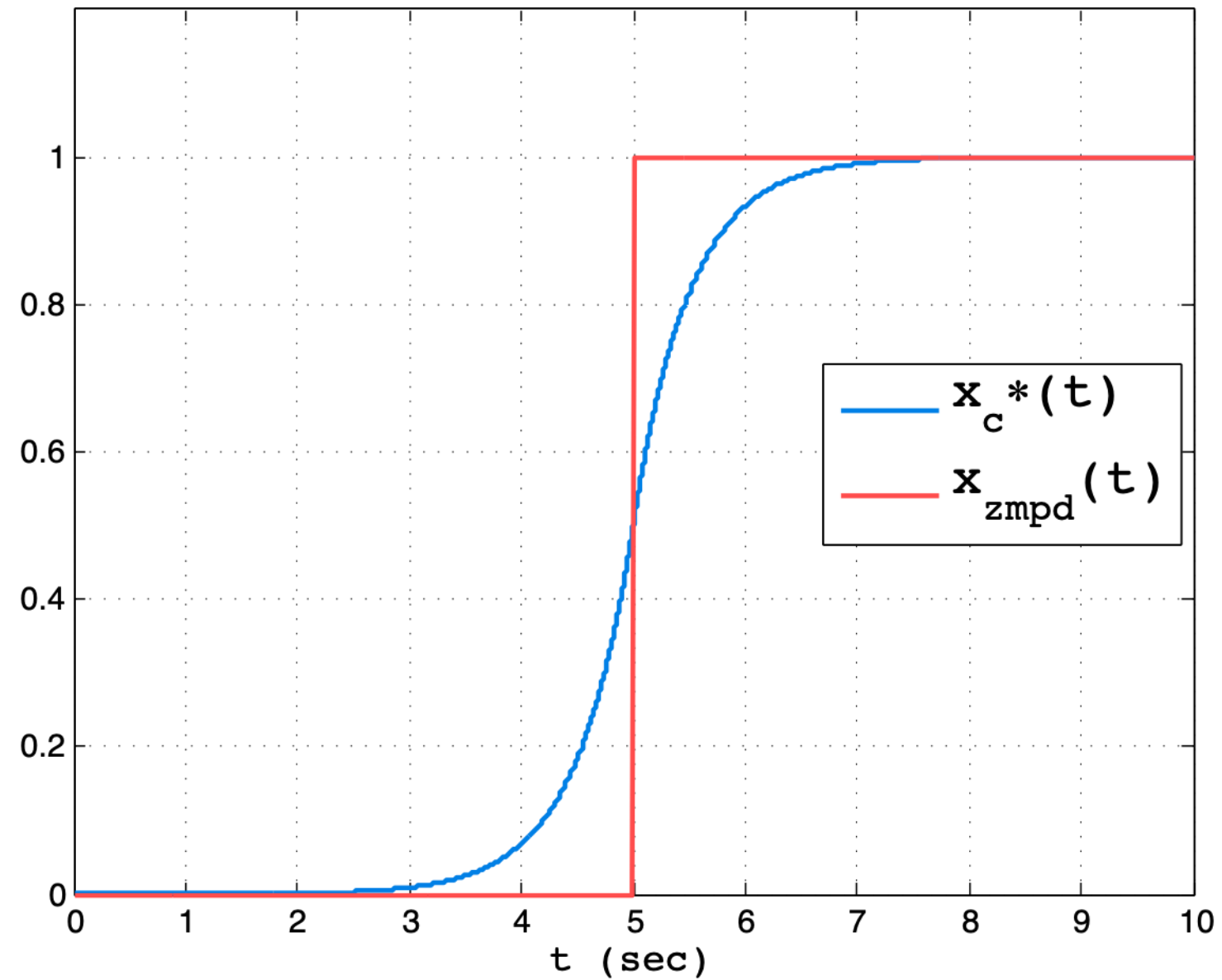
$\mathbf{e}_k = \mathbf{z}_k - \mathbf{z}^{ref}$        $\Delta \mathbf{x}_k = \mathbf{x}_k - \mathbf{x}_{k-1}$        $\Delta \mathbf{u}_k = \mathbf{u}_k - \mathbf{u}_{k-1}$

# preview control



the magnitude of the preview gain quickly becomes very small; hence, the controller does not need information on far future

# preview control



# MPC gait generation

same formulation of the preview controller

- CoM jerk is the input  $u = \ddot{c}$
- state is CoM position, velocity and acceleration

$$x = [c, \dot{c}, \ddot{c}]^T$$

- the **state dynamics** is a triple integrator

$$\frac{d}{dt} \begin{pmatrix} c \\ \dot{c} \\ \ddot{c} \end{pmatrix} = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} c \\ \dot{c} \\ \ddot{c} \end{pmatrix} + \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} u$$

- the **output** is the ZMP

$$z = \begin{pmatrix} 1 & 0 & -c^z/g \end{pmatrix} \begin{pmatrix} c \\ \dot{c} \\ \ddot{c} \end{pmatrix}$$

# MPC gait generation

- instead of tracking a ZMP reference, impose **ZMP constraints**

$$\begin{pmatrix} z_{k+1}^{x,min} \\ z_{k+2}^{x,min} \\ \vdots \\ z_{k+N}^{x,min} \\ z_{k+1}^{y,min} \\ z_{k+2}^{y,min} \\ \vdots \\ z_{k+N}^{y,min} \end{pmatrix} \leq \begin{pmatrix} z_{k+1}^x \\ z_{k+2}^x \\ \vdots \\ z_{k+N}^x \\ z_{k+1}^y \\ z_{k+2}^y \\ \vdots \\ z_{k+N}^y \end{pmatrix} \leq \begin{pmatrix} z_{k+1}^{x,max} \\ z_{k+2}^{x,max} \\ \vdots \\ z_{k+N}^{x,max} \\ z_{k+1}^{y,max} \\ z_{k+2}^{y,max} \\ \vdots \\ z_{k+N}^{y,max} \end{pmatrix}$$

(simplified case:  
in general x-y  
are not decoupled)

- the cost function can just minimize the square of the input over the prediction horizon

$$J = \sum_{i=k}^{k+N-1} \left( (\ddot{c}_i^x)^2 + (\ddot{c}_i^y)^2 \right)$$

# MPC gait generation

- the idea is to shift the the balance condition from the **cost function** (tracking a reference) to the **constraints** (ZMP in support polygon)
- more **guarantees** on the ZMP at the cost of a more **complex** controller (need to solve a constrained optimization at each step)
- good QP solvers can still guarantee **real-time** execution

# MPC on the LIP model

- we can use the **Linear Inverted Pendulum (LIP)** as the prediction model of the MPC

$$\frac{d}{dt} \begin{pmatrix} c \\ \dot{c} \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ -\eta^2 & 0 \end{pmatrix} \begin{pmatrix} c \\ \dot{c} \end{pmatrix} + \begin{pmatrix} 0 \\ \eta^2 \end{pmatrix} z$$

- the ZMP is now the **input**
- the LIP is **unstable**! how do we guarantee that we do not get a divergent CoM trajectory?



# MPC on the LIP model

- decompose the LIP in **stable** and **unstable** dynamics

$$x_s = c - \dot{c}/\eta$$

$$x_u = c + \dot{c}/\eta$$

- we want to impose the condition **at every MPC iteration**

$$x_u^k = \eta \int_{t_k}^{\infty} e^{-\eta(\tau-t_k)} z(\tau) d\tau \quad \longrightarrow \quad \text{stability constraint}$$

# the stability constraint

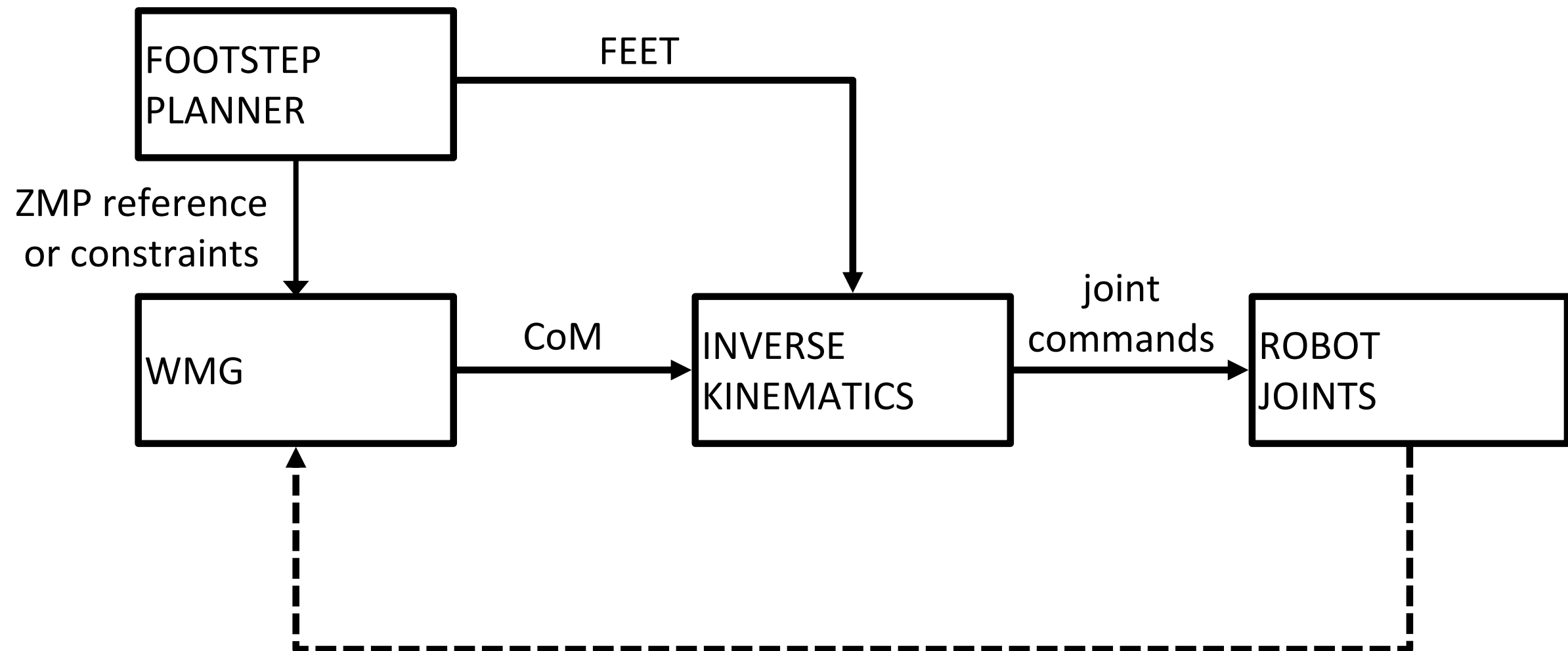
$$\text{current state} \longrightarrow \underbrace{x_u^k}_{\text{current state}} = \eta \int_{t_k}^{\infty} e^{-\eta(\tau-t_k)} \underbrace{z(\tau)}_{\text{predicted ZMP}} d\tau \longleftarrow \text{predicted ZMP}$$

- the integral requires the predicted ZMP trajectory up to infinity, but MPC has a **finite** prediction horizon
- we **conjecture** the ZMP after the horizon (e.g., with the footstep plan)

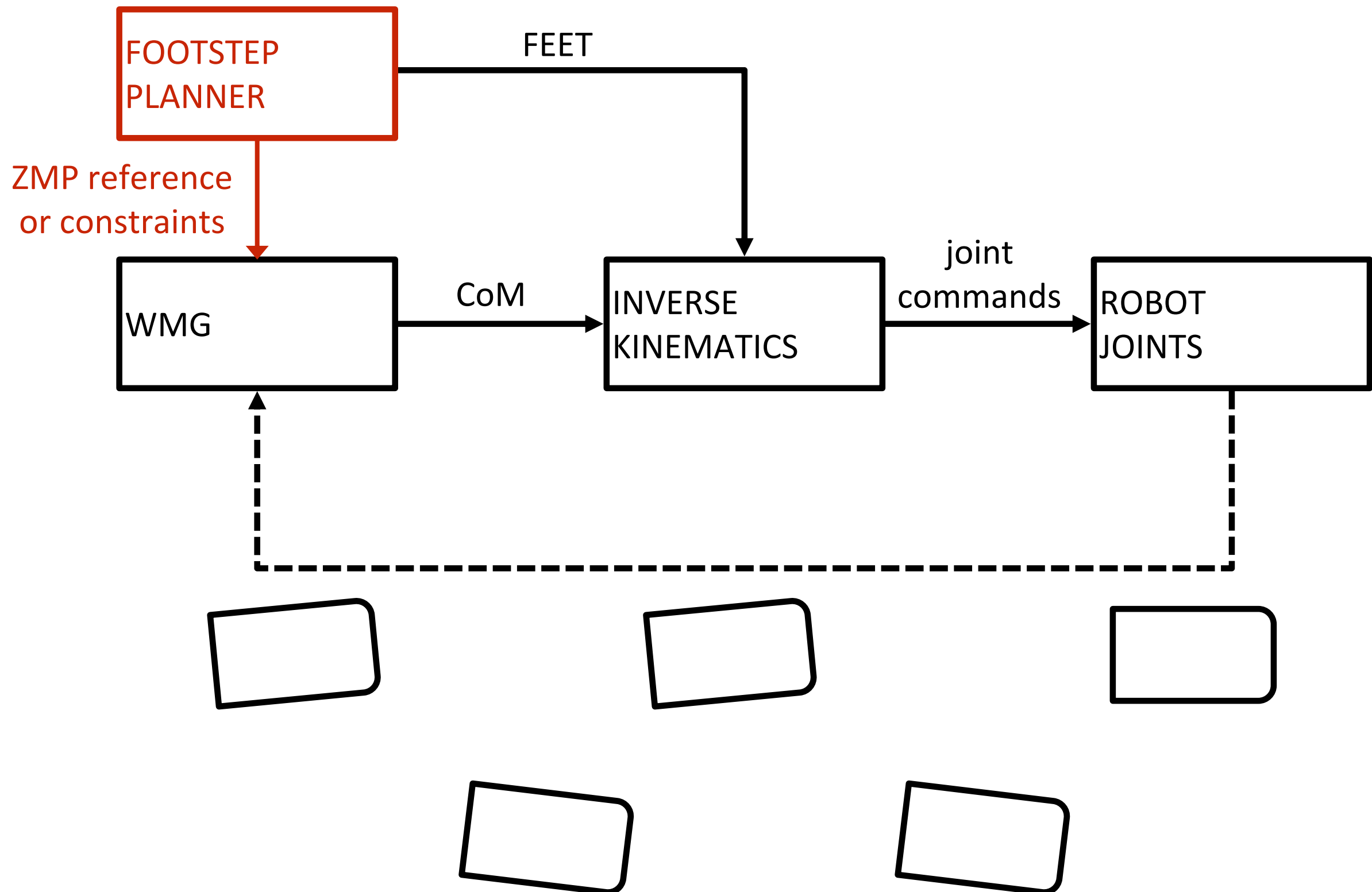
⇒ **recursive feasibility**

⇒ **stability**

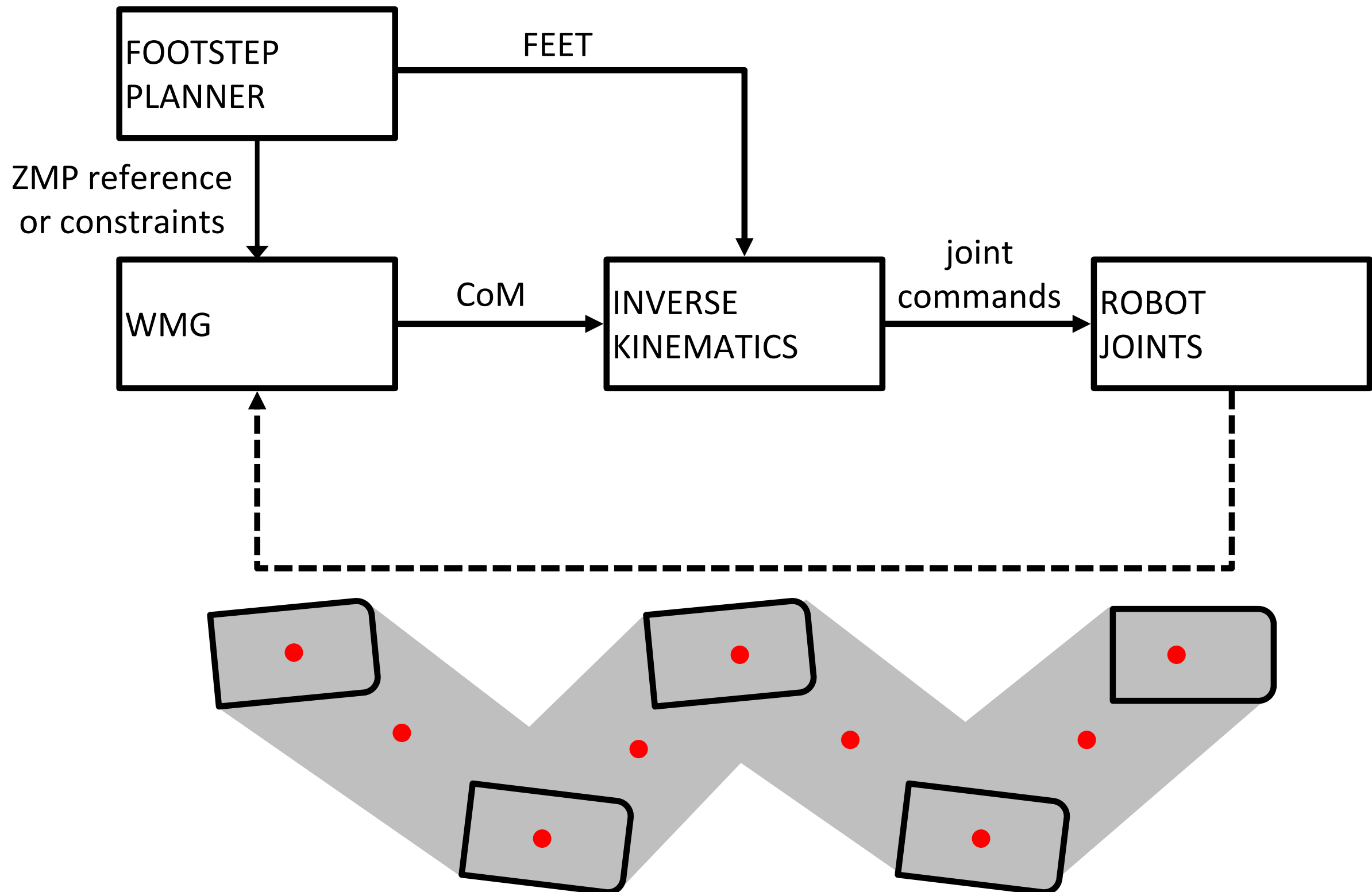
# walking pattern generator (preview control)



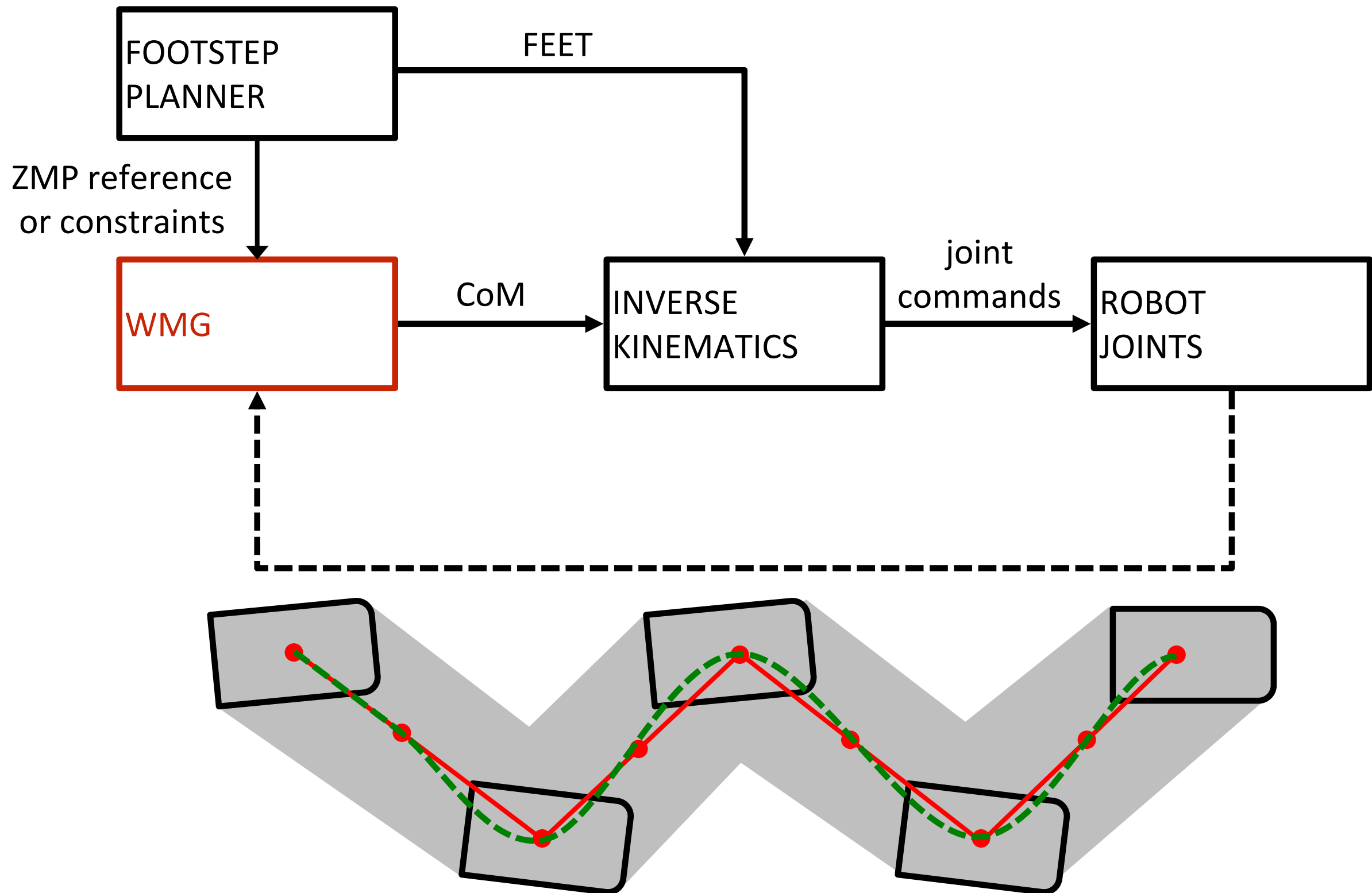
# walking pattern generator



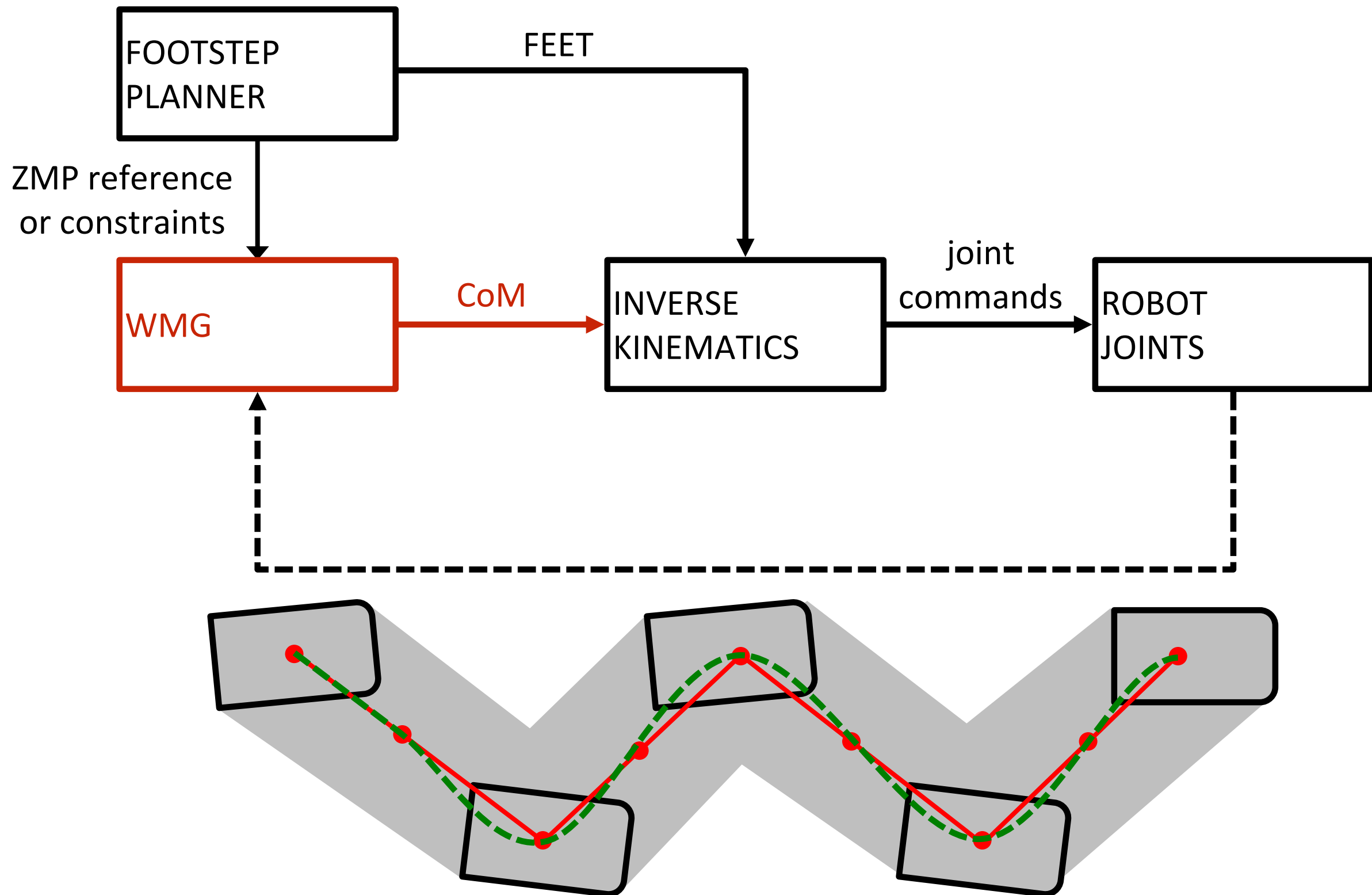
# walking pattern generator



# walking pattern generator



# walking pattern generator



# kinematic control

- how do we make the robot execute the desired CoM trajectory?
- simple solution: **kinematic tracking**

$$\mathbf{c} = \begin{pmatrix} c^x \\ c^y \\ c^z \end{pmatrix}, \quad \theta = \begin{pmatrix} \theta^x \\ \theta^y \\ \theta^z \end{pmatrix}$$

position of the CoM and  
orientation of the torso

$$\mathbf{f} = \begin{pmatrix} f^x \\ f^y \\ f^z \end{pmatrix}, \quad \phi = \begin{pmatrix} \phi^x \\ \phi^y \\ \phi^z \end{pmatrix}$$

position and orientation  
of the swing foot

everything is expressed wrt to the **current support foot**



# kinematic control

- differential kinematics

$$\dot{c} = J_c(\mathbf{q})\dot{\mathbf{q}}$$

$$\dot{\theta} = J_\theta(\mathbf{q})\dot{\mathbf{q}}$$

$$\dot{f} = J_f(\mathbf{q})\dot{\mathbf{q}}$$

$$\dot{\phi} = J_\phi(\mathbf{q})\dot{\mathbf{q}}$$



$$\begin{pmatrix} \dot{c} \\ \dot{\theta} \\ \dot{f} \\ \dot{\phi} \end{pmatrix} = \begin{pmatrix} J_c(\mathbf{q}) \\ J_\theta(\mathbf{q}) \\ J_f(\mathbf{q}) \\ J_\phi(\mathbf{q}) \end{pmatrix} \dot{\mathbf{q}}$$

velocity task

stack of jacobians

- can be written as a **stack of tasks**

$$\dot{\mathbf{t}} = J_t(\mathbf{q})\dot{\mathbf{q}}$$

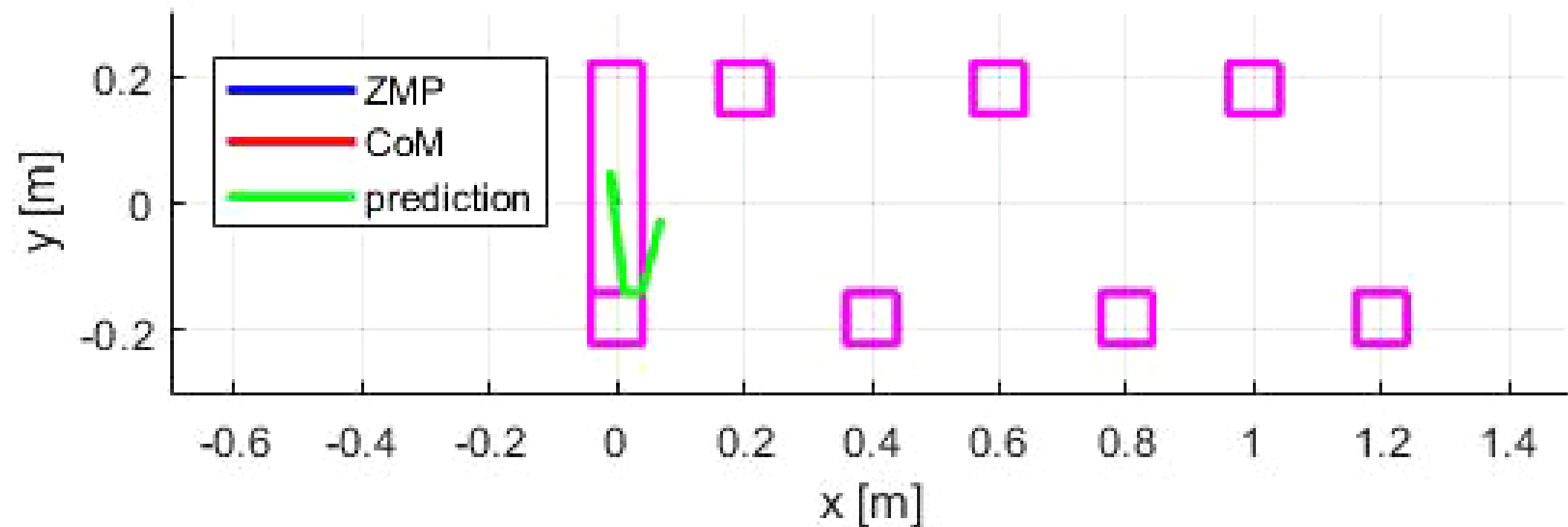
- the classic solution is the **pseudoinverse**

$$\dot{\mathbf{q}} = J_t^\#(\mathbf{q})\dot{\mathbf{t}}_{des}$$


- add a **position error** to avoid **drifting**

$$\dot{\mathbf{q}} = J_t^\#(\mathbf{q}) \left( \dot{\mathbf{t}}_{des} + k(\mathbf{t}_{des} - \mathbf{t}) \right)$$

# examples – MPC gait generation



# examples – simulations and experiments



simulation 6  
HRP-4 (V-REP)  
walking along a cusp

## other relevant topics

- **robust** gait generation (for disturbances)
- vertical CoM motion (for **uneven ground**)
- more accurate models (e.g., with **angular momentum**)
- **footstep planning** in complex environments

# some more examples – robust gait generation



## **Gait Generation using Intrinsically Stable MPC in the Presence of Persistent Disturbances**

F. M. Smaldone, N. Scianca, V. Modugno, L. Lanari, G. Oriolo

Robotics Lab, DIAG  
Sapienza Università di Roma

July 2019

## some more examples – uneven ground



### **An Integrated Motion Planner/Controller for Gait Generation on Uneven Ground**

P. Ferrari, N. Scianca, L. Lanari, G. Oriolo

Robotics Lab, DIAG  
Sapienza Università di Roma

November 2018