

# Information Theory

## Chapter IV: Error control coding

## Chapter structure

1. **General presentation**
2. Analyzing linear block codes with the Hamming distance
3. Analyzing linear block codes with matrix algebra
4. Hamming codes
5. Cyclic codes

# What is error control coding?

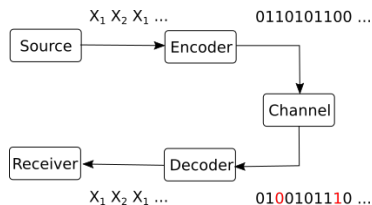


Figure 1: Communication system

- ▶ The second main task of coding: error control
- ▶ Protect information against channel errors

# Mutual information and error control

- ▶ Consider the following BSC channel ( $p = 0.01$ ):

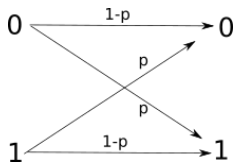


Figure 2: Binary symmetric channel (BSC)

- ▶ There exists mutual information  $I(X, Y)$  = average information transmitted on the channel
  - ▶ Isn't this information enough? Why do we still need error control?
- ▶ No! If  $p(x_1) = p(x_2) = 0.5$ , then:
  - ▶  $I(X, Y) = H(X) - H(X|Y) \approx 0.919$  bit
  - ▶  $H(X) = 1$  bit
  - ▶  $H(X|Y) \approx 0.081$  bit

# Mutual information and error control

- ▶ Even though we have large  $I(X, Y)$ , we still lose some information
  - ▶ The receiver still has an uncertainty of 0.081 for each 1 bit of information from the sender
  - ▶ Imagine downloading a file, but having 8.1% wrong bits
- ▶ Usually it is required that *all* bits are received correctly
  - ▶ No errors are allowed
- ▶ But that is not possible unless the channel is ideal
- ▶ So what do to? **Error control coding**

# Modelling the errors on the channel

- ▶ We consider only binary channels (symbols =  $\{0, 1\}$ )
- ▶ An **error** = a bit that has changed from 0 to 1 or viceversa while going through channel
- ▶ Errors can appear:
  - ▶ **independently**: sporadic errors, each bit has a random chance of error, independent of all the others
  - ▶ in **packets of errors**: groups of consecutive errors

# Modelling the errors on the channel

- ▶ Changing the value of a bit = modulo-2 sum with 1
- ▶ Value of a bit remains the same = modulo-2 sum with 0

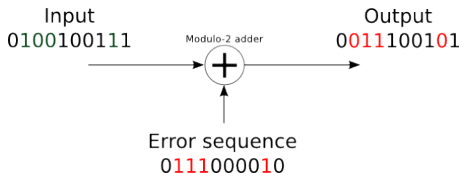


Figure 3: Channel error model

- ▶ Channel model we use (simple):
  - ▶ The transmitted sequence is summed modulo-2 with an **error sequence**



# Modelling the errors on the channel

- ▶ Channel model we use (simple):
  - ▶ The transmitted sequence is summed modulo-2 with an **error sequence**
  - ▶ Error sequence has same length as the transmitted sequence
  - ▶ Where the error sequence is 1, there is a bit error
  - ▶ Where the error sequence is 0, there is no error

$$\mathbf{r} = \mathbf{c} \oplus \mathbf{e}$$

# Mathematical properties of modulo-2 arithmetic

- ▶ Product is the same as for normal arithmetic
- ▶ Multiplication is distributive just like in normal case

$$a(b \oplus c) = ab \oplus ac$$

- ▶ Subtraction = addition. There is no negation. Each number is its own negative

$$a \oplus a = 0$$

# Error detection vs correction

What can we do about errors?

- ▶ **Error detection:** find out if there is any error in the received sequence
  - ▶ don't know exactly where, so cannot correct the bits, but can discard whole sequence
  - ▶ perhaps ask the sender to retransmit (examples: TCP/IP, internet communication etc)
  - ▶ easier to do
- ▶ **Error correction:** find out exactly which bits have errors, if any
  - ▶ locating the error = correcting error (for binary channels)
  - ▶ can correct all errored bits by inverting them
  - ▶ useful when can't retransmit (data is stored: on HDD, AudioCD etc.)
  - ▶ harder to do than mere detection

# Overview of error control coding process

The process of error control:

1. Want to send a sequence of  $k$  bits = **information word**

$$\mathbf{i} = i_1 i_2 \dots i_k$$

2. For each possible information word, the coder assigns a **codeword** of length  $n > k$ :

$$\mathbf{c} = c_1 c_2 \dots c_n$$

3. The codeword is sent on the channel instead of the original information word
4. The receiver receives a sequence  $\hat{\mathbf{c}} \approx \mathbf{c}$ , with possible errors:

$$\hat{\mathbf{c}} = \hat{c}_1 \hat{c}_2 \dots \hat{c}_n$$

5. The decoding algorithm detects/corrects the errors in  $\hat{\mathbf{c}}$

# Definitions

- ▶ An **error correcting code** is an association between the set of all possible information words to a set of codewords
  - ▶ Each possible information word  $\mathbf{i}$  has a certain codeword  $\mathbf{c}$
- ▶ The association can be done:
  - ▶ randomly: codewords are selected and associated randomly to the information words
  - ▶ based on a certain rule: the codeword is computed with some algorithm from the information word
- ▶ A code is a **block code** if it operates with words of *fixed size*
  - ▶ Size of information word  $\mathbf{i} = k$ , size of codeword  $\mathbf{c} = n$ ,  $n > k$
  - ▶ Otherwise it is a *non-block code*
- ▶ A code is **linear** if any linear combination of codewords is also a codeword

# Definitions

- ▶ A code is called **systematic** if the codeword contains all the information bits explicitly, unaltered
  - ▶ coding merely adds supplementary bits besides the information bits
  - ▶ codeword has two parts: the information bits and the parity bits
  - ▶ example: parity bit added after the information bits
- ▶ Otherwise the code is called **non-systematic**
  - ▶ the information bits are not explicitly visible in the codeword
- ▶ The **coding rate** of a code is:

$$R = k/n$$

# Definitions

- ▶ A code  $C$  is an  $t$ -**error-detecting** code if it is able to **detect**  $t$  or less errors
- ▶ A code  $C$  is an  $t$ -**error-correcting** code if it is able to **correct**  $t$  or less errors
- ▶ Examples: at blackboard

## A first example: parity bit

- ▶ Add parity bit to a 8-bit long information word, before sending on a channel
  - ▶ coding rate  $R = 8/9$
  - ▶ can detect 1 error in a 9-bit codeword
  - ▶ detection algorithm: check if parity bit matches data
  - ▶ fails for 2 errors
  - ▶ cannot correct error (don't know where it is located)
- ▶ Add more parity bits to be able to locate the error
  - ▶ Example at blackboard
  - ▶ coding rate  $R = 8/12$
  - ▶ can detect and correct 1 error in a 9-bit codeword



## A second example: repetition code

- ▶ Repeat same block of data  $n$  times
  - ▶ want to send a  $k$ -bit information word
  - ▶ codeword to send = the information word repeated  $n = 5$  times
  - ▶ coding rate  $R = k/n = 1/5$
  - ▶ can detect and correct 2 errors, and maybe even more if they do not affect the same bit
  - ▶ error correcting algorithm = majority rule
  - ▶ not very efficient

# Redundancy

- ▶ Merriam-Webster: “**redundant**” definition:
  1. *exceeding what is necessary or normal : superfluous*
  2. *characterized by or containing an excess; specifically : using more words than necessary*
- ▶ Because  $k < n$ , error control coding introduces **redundancy**
  - ▶ to transmit  $k$  bits of information we actually send more bits ( $n$ )

# Redundancy

- ▶ Error control coding adds redundancy, while source coding aims to reduce redundancy. Contradiction?
- ▶ No:
  - ▶ Source coding reduces existing redundancy from the data, which served no purpose
  - ▶ Error control coding adds redundancy **in a controlled way**, with a purpose
- ▶ Source coding and error control coding in practice: do sequentially, independently
  1. First perform source coding, eliminating redundancy in representation of data
  2. Then perform error control coding, adding redundancy for protection

## Shannon's noisy channel theorem (second theorem, channel coding theorem)

- ▶ A coding rate is called **achievable** for a channel if, for that rate, there exists a coding and decoding algorithm guaranteed to correct all possible errors on the channel

### Shannon's noisy channel coding theorem (second theorem)

For a given channel, all rates below capacity  $R < C$  are achievable. All rates above capacity,  $R > C$ , are not achievable.

# Channel coding theorem explained

In layman terms:

- ▶ For all coding rates  $R < C$ , **there is a way** to recover the transmitted data perfectly (decoding algorithm will detect and correct all errors)
- ▶ For all coding rates  $R > C$ , **there is no way** to recover the transmitted data perfectly

# Channel coding theorem example

- ▶ We send bits on a channel with capacity 0.7 bits/message
- ▶ For any coding rate  $R < 0.7$  there exists an error correction code that allows fixing of all errors
  - ▶  $R < 0.7$  means we send more than 10 bits for every 7 information bits
- ▶ With less than 10 bits for every 7 information bits  $\Rightarrow$  no code exists that can fix all errors
- ▶ The theorem makes it clear when it is possible to fix all errors, and guarantees that a code exists in this case

# Ideas behind channel coding theorem

- ▶ The rigorous proof of the theorem is too complex to present
- ▶ Key ideas of the proof:
  - ▶ Use very long information words,  $k \rightarrow \infty$
  - ▶ Use random codes, compute the probability of having error after decoding
  - ▶ If  $R < C$ , *in average for all possible codes*, the probability of error after decoding goes to 0
  - ▶ If the average for all codes goes to 0, there exists at least one code better than the average
  - ▶ That is the code we should use

# Ideas behind channel coding theorem

- ▶ **The theorem does not tell what code to use**, only that some code exists
  - ▶ There is no clue of how to actually find the code in practice
  - ▶ Only some general principles:
    - ▶ using longer information words is better
    - ▶ random codewords are generally good
- ▶ In practice, we cannot use infinitely long codewords, so we will only get a *good enough* code



# Distance between codewords

Practical ideas for error correcting codes:

- ▶ If a codeword  $\mathbf{c}_1$  is received with errors and becomes identical to another codeword  $\mathbf{c}_2 \implies$  cannot detect any errors
  - ▶ Receiver will think it received a correct codeword  $c_2$  and the information word was  $\mathbf{i}_2$ , but actually it was  $\mathbf{i}_1$
- ▶ We want codewords as different as possible from each other
- ▶ How to measure this difference? **Hamming distance**

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# Hamming distance

- ▶ The **Hamming distance** of two binary sequences **a**, **b** of length  $n =$  the total number of bit differences between them

$$d_H(\mathbf{a}, \mathbf{b}) = \sum_{i=1}^N a_i \oplus b_i$$

- ▶ We need at least  $d_H(a, b)$  bit changes to convert one sequence into another
- ▶ It satisfies the 3 properties of a metric function:
  1.  $d_H(\mathbf{a}, \mathbf{b}) \geq 0 \quad \forall \mathbf{a}, \mathbf{b}$ , with  $d_H(\mathbf{a}, \mathbf{b}) = 0 \Leftrightarrow \mathbf{a} = \mathbf{b}$
  2.  $d_H(\mathbf{a}, \mathbf{b}) = d_H(\mathbf{b}, \mathbf{a}), \forall \mathbf{a}, \mathbf{b}$
  3.  $d_H(\mathbf{a}, \mathbf{c}) \leq d_H(\mathbf{a}, \mathbf{b}) + d_H(\mathbf{b}, \mathbf{c}), \forall \mathbf{a}, \mathbf{b}, \mathbf{c}$
- ▶ The **minimum Hamming distance of a code**,  $d_{Hmin}$  = the minimum Hamming distance between any two codewords **c**<sub>1</sub> and **c**<sub>2</sub>
- ▶ Example at blackboard

# Nearest-neighbor decoding

## Coding:

- ▶ Design a code with large  $d_{Hmin}$
- ▶ Send a codeword  $\mathbf{c}$  of the code

## Decoding:

- ▶ Receive a word  $\mathbf{r}$ , that may have errors
- ▶ Error detecting:
  - ▶ check if  $r$  is part of the codewords of the code  $C$ :
  - ▶ if  $r$  is part of the code, decide that there have been no errors
  - ▶ if  $r$  is not a codeword, decide that there have been errors
- ▶ Error correcting:
  - ▶ if  $\mathbf{r}$  is a codeword, decide there are no errors
  - ▶ else, choose codeword **nearest** to the received  $\mathbf{r}$ , in terms of Hamming distance
  - ▶ this is known as **nearest-neighbor decoding**

# Performance of nearest neighbor decoding

Theorem:

- ▶ If the minimum Hamming distance of a code is  $d_{Hmin}$ , then:
  1. the code can *detect* up to  $d_{Hmin} - 1$  errors
  2. the code can *correct* up to  $\left\lfloor \frac{d_{Hmin}-1}{2} \right\rfloor$  errors using nearest-neighbor decoding

Consequence:

- ▶ It is good to have  $d_{Hmin}$  as large as possible
  - ▶ This implies longer codewords, i.e. smaller coding rate, i.e. more redundancy

# Performance of nearest neighbor decoding

Proof:

1. at least  $d_{Hmin}$  binary changes are needed to change one codeword into another,  $d_{Hmin} - 1$  is not enough  $\Rightarrow$  the errors are detected
2. the received word  $\mathbf{r}$  is closer to the original codeword than to any other codeword  $\Rightarrow$  nearest-neighbor algorithm will find the correct one
  - ▶ because  $\left\lfloor \frac{d_{Hmin}-1}{2} \right\rfloor =$  less than half the distance to another codeword

Note: if the number of errors is higher, can fail:

- ▶ Detection failure: decide that there were no errors, even if they were (more than  $d_{Hmin} - 1$ )
- ▶ Correction failure: choose a wrong codeword

Example: blackboard

# Computational complexity

- ▶ **Computational complexity** = the amount of computational resources required by an algorithm
  - ▶ only refers to the **order of magnitude of the dominant term**
    - ▶ neglects the other terms
    - ▶ neglects actual coefficient values in front
- ▶ Computational complexity with respect to number of information bits  $k$ , of the search-based nearest neighbor decoding (as presented earlier), is

$$\mathcal{O}(k) = 2^k$$

- ▶ Proof: Requires comparing with all codewords, and there are  $2^k$  codewords in total

# Computational complexity

- ▶ This implementation is **very inefficient**
  - ▶  $k$  doubles  $\Rightarrow$  four times the computations
  - ▶  $k$  increases 10 times  $\Rightarrow$  computation increases 1000 times
  - ▶  $k$  increases 100 times  $\Rightarrow$  computation increases 1000000 times
  - ▶ for  $k = 256$  you'd need all the energy of the Sun
- ▶ Need to find ways to make it simpler



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# Review of basic algebra

Informal definitions:

- ▶ **Vector space** = a set such that:  
one element + another element = still an element from the set
  - ▶ Examples: Euclidian vector spaces: a line, points in 2D, 3D
  - ▶ Elements = “vectors”
- ▶ **Basis** = a set of  $n$  independent vectors  $\mathbf{e}_1, \dots, \mathbf{e}_n$ 
  - ▶ Any vector  $\mathbf{v}$  can be expressed as a linear combination of the basis elements

$$\mathbf{v} = \mathbf{e}_1 \cdot \alpha_1 + \dots + \mathbf{e}_n \cdot \alpha_n$$

# Review of basic algebra

- ▶ **Subspace** = a smaller dimensional vector space inside a larger vector space
  - ▶ Examples: a line in a plane
    - ▶ sum of two vectors on a line = still on the line
    - ▶ size of subspace = 1
    - ▶ size of larger space = 2
  - ▶ A plane in 3D space
    - ▶ sum of two vectors from the plane = still on the plane
    - ▶ size of subspace = 2
    - ▶ size of larger space = 3

# Binary sequences form a vector space

- ▶ The set of all binary sequences of size  $n$  is a vector space
  - ▶ sum of two sequences of size  $n$  is still a sequence of size  $n$
- ▶ The sum operation = modulo-2 sum  $\oplus$
- ▶ Multiplication with 0 and 1 = as in usual arithmetic

# How to look at matrix-vector multiplications

- ▶ Matrix-vector multiplication
  - ▶ Output vector = linear combination of the matrix columns
- ▶ Vector-matrix multiplication
  - ▶ Output vector = linear combination of the matrix rows
- ▶ Explain at the blackboard, draw picture

# How to look at matrix-vector multiplications

- ▶ Vector spaces can be perfectly described with matrix-vector multiplications
  - ▶ Matrix columns/rows = elements of the basis
  - ▶ The output vector = the vector
  - ▶ The multiplied vector = the coefficients of the linear combination
- ▶ Any vector  $\mathbf{v}$  can be expressed as a linear combination of the basis elements

$$\mathbf{v} = \mathbf{e}_1 \cdot \alpha_1 + \dots + \mathbf{e}_n \cdot \alpha_n$$

$$\mathbf{v} = \begin{bmatrix} \mathbf{e}_1 & \mathbf{e}_2 & \dots & \mathbf{e}_n \end{bmatrix} \begin{bmatrix} \alpha_1 \\ \alpha_2 \\ \dots \\ \alpha_n \end{bmatrix}$$

- ▶  $\mathbf{e}_1, \dots, \mathbf{e}_n$  are **column vectors**
- ▶ Equation can be transposed  $\Rightarrow$  all vectors become row vectors

# Codewords form a vector space

- ▶ The set of all binary codewords of a linear block code is a vector space of dimension  $k$ 
  - ▶ because sum (XOR) of two codewords = still a codeword (linear)
  - ▶ total number of codewords is  $2^k \Rightarrow$  dimension is  $k$
  - ▶ actual length of codewords is  $n$ , so actually it is a subspace of the larger space of all binary sequences of length  $n$
- ▶ Therefore, all codewords can be expressed as matrix-vector multiplications
- ▶ Need to find a basis for the codewords

# Generator matrix

- ▶ All codewords for a linear block code can be generated via a **matrix-vector multiplication**:

$$\mathbf{i} \cdot [\mathbf{G}] = \mathbf{c}$$

The diagram shows the matrix-vector multiplication  $\mathbf{i} \cdot [\mathbf{G}] = \mathbf{c}$  using colored blocks. Above the first row of blocks is the label  $\mathbf{i}$ , above the matrix is  $\mathbf{G}$ , and above the result is  $\mathbf{c}$ . The vector  $\mathbf{i}$  is a 1x4 row of colored squares: orange, green, yellow, and red. The matrix  $\mathbf{G}$  is a 4x10 grid of colored squares with four rows: orange, green, yellow, and red. The result  $\mathbf{c}$  is a 1x10 row of gray squares. A dot operator is placed between the vector and the matrix, and an equals sign is placed between the matrix and the result.

Figure 4: Codeword construction with generator matrix

- ▶  $[\mathbf{G}]$  = **generator matrix** of size  $k \times n$  (“fat” matrix,  $k < n$ )
  - ▶ it is fixed, it fully defines the whole code



# Generator matrix

- ▶ Row-wise interpretation:
  - ▶ Any codeword  $\mathbf{c}$  = a linear combination of rows in  $[G]$
  - ▶ The rows of  $[G]$  = a *basis* for the linear block code
  - ▶ Could also be transposed, i.e. use column vectors instead
- ▶ All operations are done in modulo-2 arithmetic
- ▶ There exists a separate codeword for all possible information words  $\mathbf{i}$

# Proof of linearity

- Prove that a codeword + another codeword = also codeword:

$$\mathbf{i}_1 \cdot [G] = \mathbf{c}_1$$

$$\mathbf{i}_2 \cdot [G] = \mathbf{c}_2$$

$$\mathbf{c}_1 \oplus \mathbf{c}_2 = (\mathbf{i}_1 \oplus \mathbf{i}_2) \cdot [G] = \text{codeword}$$

# Parity check matrix

- ▶ Every generator matrix  $[G]$  has a related **parity-check matrix**  $[H]$  such that

$$\mathbf{0} = [H] \cdot [G]^T$$

- ▶ also known as **control matrix**
  - ▶ size of  $[H]$  is  $(n - k) \times n$
  - ▶  $[G]$  and  $[H]$  are related, one can be deduced from the other
- ▶  $[H]$  is very useful to check if a binary word is a codeword or not (i.e. for nearest neighbor error detection)

# Using the parity check matrix

- ▶ Theorem: every codeword  $\mathbf{c}$  generated with  $[G]$  ( $\mathbf{i} \cdot [G] = \mathbf{c}$ ) will produce a 0 vector when multiplied with the corresponding  $[H]$  matrix:

$$\mathbf{0} = [H] \cdot \mathbf{c}^T$$

- ▶ Proof:

$$\mathbf{i} \cdot [G] = \mathbf{c}$$

$$[G]^T \cdot \mathbf{i}^T = \mathbf{c}^T$$

$$[H] \cdot \mathbf{c}^T = [H] \cdot [G]^T \cdot \mathbf{i}^T = \mathbf{0}$$

- ▶ All codewords generated with  $[G]$  will produce 0 when multiplied with  $[H]$
- ▶ All binary sequences that are not codewords will produce  $\neq 0$  when multiplied with  $[H]$

# Relation between $[G]$ and $[H]$

- ▶  $[G]$  and  $[H]$  are related
  - ▶ The codewords form a  $k$ -dimensional subspace inside the larger  $n$ -dimensional vector space
  - ▶ The rows of  $[H]$  are the “missing” dimensions of the subspace (the “orthogonal complement”)
- ▶ Together  $[G]$  and  $[H]$  form a full square matrix  $n \times n$ , which is a basis for the full  $n$ -dimensional vector space
  - ▶ size of  $[H]$  is  $(n - k) \times n$
  - ▶ size of  $[G]$  is  $k \times n$
- ▶ Examples:
  - ▶ line in a 2D plane, has one orthogonal dimension
  - ▶ plane in 3D space, has one orthogonal dimension
  - ▶ line in 3D space, has 2 orthogonal dimension

# Standard $[G]$ and $[H]$ for systematic codes

- ▶ For systematic codes,  $[G]$  and  $[H]$  have special forms (known as “**standard**” forms)
- ▶ Generator matrix
  - ▶ first part = identity matrix
  - ▶ second part = some matrix  $Q$

$$[G]_{k \times n} = [I_{k \times k} \quad Q_{k \times (n-k)}]$$

- ▶ Parity-check matrix
  - ▶ first part = same  $Q$ , but **transposed**
  - ▶ second part = identity matrix

$$[H]_{(n-k) \times n} = [Q_{(n-k) \times k}^T \quad I_{(n-k) \times (n-k)}]$$

- ▶ Can easily compute one from the other
- ▶ Example at blackboard

# Interpretation as parity bits

- ▶ Multiplication with  $G$  in standard form produces the codeword as
  - ▶ first part = information bits (since first part of  $[G]$  is identity matrix)
  - ▶ additional bits = combinations of information bits = *parity bits*
- ▶ The additional bits added by coding are actually just parity bits
  - ▶ Proof: write the generation equations (example)
- ▶ Parity-check matrix in standard form  $[H]$  checks if parity bits correspond to information bits
  - ▶ Proof: write down the parity check equation (see example)
- ▶ If all parity bits match the data, the result of multiplying with  $[H]$  is  $0$ 
  - ▶ otherwise the it is  $\neq 0$

# Interpretation as parity bits

- ▶ **Generator & parity-check matrices are just mathematical tools for easy computation and checking of parity bits**
- ▶ We're still just adding parity bits, but now we have matrices for easy computation and verification of codewords



# Syndrome

- ▶ Nearest neighbor error detection = check if received word  $\mathbf{r}$  is a codeword
- ▶ We do this easily by multiplying with  $[H]$
- ▶ The resulting vector  $\mathbf{z} = [H] \cdot [\mathbf{r}]^T$  is known as **syndrome**
- ▶ Column-wise interpretation of multiplication:

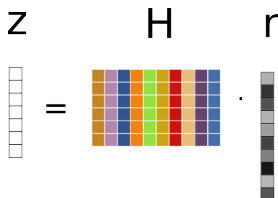
$$\mathbf{z} = \mathbf{H} \cdot \mathbf{r}$$


Figure 5: Codeword checking with parity-check matrix

# Nearest neighbor error detection with matrices

Nearest neighbor error **detection** with matrices:

1. generate codewords with generator matrix:

$$\mathbf{i} \cdot [\mathbf{G}] = \mathbf{c}$$

2. send codeword  $\mathbf{c}$  on the channel
3. a random error word  $\mathbf{e}$  is applied on the channel
4. receive word  $\mathbf{r} = \mathbf{c} \oplus \mathbf{e}$
5. compute **syndrome** of  $\mathbf{r}$ :

$$\mathbf{z} = [\mathbf{H}] \cdot \mathbf{r}^T$$

6. Decide:
  - ▶ If  $\mathbf{z} = 0 \Rightarrow \mathbf{r}$  has no errors
  - ▶ If  $\mathbf{z} \neq 0 \Rightarrow \mathbf{r}$  has errors

# Nearest neighbor error correction with matrices

Nearest neighbor error **correction** with matrices:

- ▶ Syndrome  $\mathbf{z} \neq 0 \Rightarrow \mathbf{r}$  has errors, we need to locate them
- ▶ The syndrome is the effect only of the error word:

$$\mathbf{z} = [\mathbf{H}] \cdot \mathbf{r}^T = [\mathbf{H}] \cdot (\mathbf{c}^T \oplus \mathbf{e}^T) = [\mathbf{H}] \cdot \mathbf{e}^T$$

## 7. Create a **syndrome lookup table**:

- ▶ for every possible error word  $\mathbf{e}$ , compute the syndrome  $\mathbf{z} = [\mathbf{H}] \cdot \mathbf{e}^T$
- ▶ start with error words with 1 error (most likely), then with 2 errors (less likely), and so on

## 8. Locate the syndrome $\mathbf{z}$ in the table, read the corresponding error word $\hat{\mathbf{e}}$

## 9. Find the correct word:

- ▶ adding the error word again will invert the errored bits back to the originals

$$\hat{\mathbf{c}} = \mathbf{r} \oplus \hat{\mathbf{e}}$$

# Example

Example: at blackboard

# Computational complexity

- ▶ Computational complexity for error detection
  - ▶ Error detection = multiplication with  $[H]$
  - ▶ Complexity is  $\mathcal{O}(n^2)$  (size of  $[H]$  is  $(n - k) \times n$ )
  - ▶ Much more efficient!
- ▶ Computational complexity for error correction
  - ▶ Need to check all possible error words  $\Rightarrow$  bad performance
  - ▶ In practice, other tricks are used to make it much faster (see Hamming codes for example)

# Conditions on $[H]$ for error detection and correction

- ▶ How to design a good matrix  $[H]$ ?
- ▶ Conditions on  $[H]$  for successful error **detection**:
  - ▶ We can detect errors if the syndrome is **non-zero**
  - ▶ To detect a single error: every column of  $[H]$  must be non-zero
  - ▶ To detect two error: sum of any two columns of  $[H]$  cannot be zero
    - ▶ that means all columns are different
  - ▶ To detect  $n$  errors: sum of any  $n$  or less columns of  $[H]$  cannot be zero

# Conditions on $[H]$ for error detection and correction

- ▶ Conditions for syndrome-based error **correction**:
  - ▶ We can correct errors if the syndrome is **unique**
  - ▶ To correct a single error: all columns of  $[H]$  are different
    - ▶ so the syndromes, for a single error, are all different
  - ▶ To correct  $n$  errors: sum of any  $n$  or less columns of  $[H]$  are all different
    - ▶ much more difficult to obtain than for decoding
- ▶ Conditions for error correction are more demanding than for detection
- ▶ Note: Rearranging the columns of  $[H]$  (the order of bits in the codeword) does not affect performance

## Chapter structure

1. General presentation
2. Analyzing linear block codes with the Hamming distance
3. Analyzing linear block codes with matrix algebra
4. **Hamming codes**
5. Cyclic codes



# Hamming codes

- ▶ A particular class of linear error-correcting codes
- ▶ Definition: a **Hamming code** is a linear block code where the columns of  $[H]$  are *the binary representation of all numbers from 1 to  $2^r - 1$ ,  $\forall r \geq 2$*
- ▶ Example (blackboard): (7,4) Hamming code
- ▶ Systematic: arrange the bits in the codeword, such that the control bits correspond to the columns having a single 1
  - ▶ no big difference from the usual systematic case, just a rearrangement of bits
  - ▶ makes implementation easier
- ▶ Example codeword for Hamming(7,4):

$$c_1 c_2 i_3 c_4 i_5 i_6 i_7$$

# Properties of Hamming codes

► From definition of  $[H]$  it follows:

1. Codeword has length  $n = 2^r - 1$
2.  $r$  bits are parity bits (also known as **control bits**)
3.  $k = 2^r - r - 1$  bits are information bits

► Notation: **(n,k) Hamming code**

- $n$  = codeword length  $= 2^r - 1$ ,
- $k$  = number of information bits  $= 2^r - r - 1$
- Example: (7,4) Hamming code, (15,11) Hamming code, (127,120) Hamming code

# Properties of Hamming codes

- ▶ Can detect two errors
  - ▶ All columns are different  $\Rightarrow$  can detect 2 errors
  - ▶ Sum of two columns equal to a third  $\Rightarrow$  cannot correct 3

**OR**

- ▶ Can correct one error
  - ▶ All columns are different  $\Rightarrow$  can correct 1 error
  - ▶ Sum of two columns equal to a third  $\Rightarrow$  cannot correct 2
  - ▶ Non-systematic: syndrome = error position

**BUT**

- ▶ Not simultaneously!
  - ▶ same non-zero syndrome can be obtained with 1 or 2 errors, can't distinguish

# Coding rate of Hamming codes

Coding rate of a Hamming code:

$$R = \frac{k}{n} = \frac{2^r - r - 1}{2^r - 1}$$

The Hamming codes can correct 1 OR detect 2 errors in a codeword of size  $n$

- ▶ (7,4) Hamming code:  $n = 7$
- ▶ (15,11) Hamming code:  $n = 15$
- ▶ (31,26) Hamming code:  $n = 31$

Longer Hamming codes are progressively weaker:

- ▶ weaker error correction capability
- ▶ better efficiency (higher coding rate)
- ▶ more appropriate for smaller error probabilities

## Encoding & decoding example for Hamming(7,4)

See whiteboard.

In this example, encoding is done without the generator matrix  $G$ , directly with the matrix  $H$ , by finding the values of the parity bits  $c_1, c_2, c_4$  such that

$$\begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix} = [H] \begin{bmatrix} c_1 \\ c_2 \\ i_3 \\ c_4 \\ i_5 \\ i_6 \\ i_7 \end{bmatrix}$$

For a single error, the syndrome **is the binary representation of the location of the error.**

# Circuit for encoding Hamming(7,4)

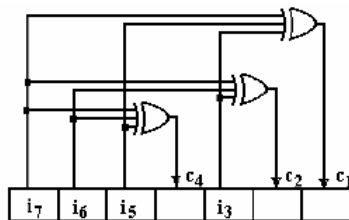


Figure 6: Hamming Encoder

- ▶ Components:
  - ▶ A **shift register** to hold the codeword
  - ▶ Logic OR gates to compute the parity bits

# Circuit for decoding Hamming(7,4)

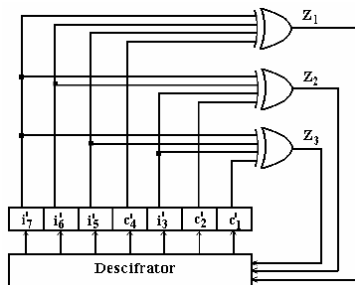


Figure 7: Hamming Encoder

- ▶ Components:
  - ▶ A **shift register** to hold the received word
  - ▶ Logic OR gates to compute the bits of the syndrome ( $z_i$ )
  - ▶ **Binary decoder**: activates the output corresponding to the binary input value, fixing the error

# SECDED Hamming codes

- ▶ Hamming codes can correct 1 error OR can detect 2 errors, but we cannot differentiate the two cases

- ▶ Example:

- ▶ the syndrome  $\mathbf{z} = [H] \cdot \mathbf{r}^T = \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}$  can be caused by:

- ▶ a single error in location 3 (bit  $i_3$ )
  - ▶ two errors in location 1 and 2 (bits  $c_1$ , bits  $c_2$ )
- ▶ if we know it is a single error, we can go ahead and correct it, then use the corrected data
- ▶ if we know there are two errors, we should NOT attempt to correct them, because we cannot locate the errors correctly
- ▶ Unfortunately, it is **not possible to differentiate** between the two cases.
- ▶ **Solution?** Add additional parity bit  $\rightarrow$  SECDED Hamming codes



# SECDED Hamming codes

- ▶ Add an additional parity bit to differentiate the two cases
  - ▶  $c_0$  = sum of all  $n$  bits of the codeword
- ▶ For (7,4) Hamming codes:

$$c_0 c_1 c_2 i_3 c_4 i_5 i_6 i_7$$

- ▶ The parity check matrix is extended by 1 row and 1 column

$$\tilde{H} = \begin{bmatrix} 1 & 1 \\ 0 & \mathbf{H} \end{bmatrix}$$

- ▶ Known as SECDED Hamming codes
  - ▶ **S**ingle **E**rror **C**orrection - **D**ouble **E**rror **D**etection

# Encoding and decoding of SECDED Hamming codes

- ▶ Encoding:
  - ▶ compute codeword using  $\tilde{H}$
  - ▶ alternatively, prepend  $\mathbf{c}_0 = \text{sum of all other bits}$

# Encoding and decoding of SECDED Hamming codes

- ▶ Decoding

- ▶ Compute syndrome of the received word using  $\tilde{H}$

$$\tilde{\mathbf{z}} = \begin{bmatrix} z_0 \\ \mathbf{z} \end{bmatrix} = [\tilde{H}] \cdot \mathbf{r}^T$$

- ▶  $z_0$  is an additional bit in the syndrome corresponding to  $c_0$
    - ▶  $z_0$  tells us whether the received  $c_0$  matches the parity of the received word
      - ▶  $z_0 = 0$ : the additional parity bit  $c_0$  matches the parity of the received word
      - ▶  $z_0 = 1$ : the additional parity bit  $c_0$  does not match the parity of the received word

# Encoding and decoding of SECDED Hamming codes

- ▶ Decoding (continued):
  - ▶ Decide which of the following cases happened:
    - ▶ If no error happened:  $z_1 = z_2 = z_3 = 0, z_0 = \forall$
    - ▶ If 1 error happened: syndrome is non-zero,  $z_0 = 1$  (does not match)
    - ▶ If 2 errors happened: syndrome is non-zero,  $z_0 = 0$  (does match, because the two errors cancel each other out)
    - ▶ If 3 errors happened: same as 1, can't differentiate
- ▶ Now can simultaneously differentiate between:
  - ▶ 1 error:  $\rightarrow$  perform correction
  - ▶ 2 errors:  $\rightarrow$  detect, but do not perform correction
- ▶ Also, if correction is never attempted, can detect up to 3 errors
  - ▶ minimum Hamming distance = 4 (no proof given)
  - ▶ don't know if 1 error, 2 errors or 3 errors, so can't try correction

## Summary until now

- ▶ Systematic codes: information bits + parity bits
- ▶ Generator matrix: use to generate codeword

$$\mathbf{i} \cdot [\mathbf{G}] = \mathbf{c}$$

- ▶ Parity-check matrix: use to check if a codeword

$$\mathbf{0} = [\mathbf{H}] \cdot \mathbf{c}^T$$

- ▶ Syndrome:

$$\mathbf{z} = [\mathbf{H}] \cdot \mathbf{r}^T$$

- ▶ Syndrome-based error detection: syndrome non-zero
- ▶ Syndrome-based error correction: lookup table
- ▶ Hamming codes:  $[\mathbf{H}]$  contains all numbers  $1 \dots 2^r - 1$
- ▶ SECDED Hamming codes: add an extra parity bit

# Chapter structure

## Chapter structure

1. General presentation
2. Analyzing linear block codes with the Hamming distance
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5. **Cyclic codes**

# Cyclic codes

Definition: **cyclic codes** are a particular class of linear block codes for which *every cyclic shift of a codeword is also a codeword*

- ▶ Cyclic shift: cyclic rotation of a sequence of bits (any direction)
- ▶ Are a particular class of linear block codes, so all the theory up to now still applies
  - ▶ they have a generator matrix, parity check matrix etc.
- ▶ But they can be implemented more efficient than general linear block codes (e.g. Hamming)
- ▶ Used **everywhere** under the common name **CRC** (**C**yclic **R**edundancy **C**heck)
  - ▶ Network communications (Ethernet), data storage in Flash memory

# Usage example: Ethernet frame

- CRC codes are used in Ethernet frames:

802.3 Ethernet packet and frame structure

Layer	Preamble	Start of frame delimiter	MAC destination	MAC source	802.1Q tag (optional)	Ethertype (Ethernet II) or length (IEEE 802.3)	Payload	Frame check sequence (32-bit CRC)	Interpacket gap
	7 octets	1 octet	6 octets	6 octets	(4 octets)	2 octets	46-1500 octets	4 octets	12 octets
Layer 2 Ethernet frame			← 64-1522 octets →						
Layer 1 Ethernet packet & IPG	← 72-1530 octets →								← 12 oct. →

Figure 8: CRC value in an Ethernet frame



# Binary polynomials

- ▶ Every binary sequence  $\mathbf{a}$  corresponds to a polynomial  $\mathbf{a}(\mathbf{x})$  with binary coefficients

$$a_0 a_1 \dots a_{n-1} \rightarrow \mathbf{a}(\mathbf{x}) = a_0 \oplus a_1 x \oplus \dots \oplus a_{n-1} x^{n-1}$$

- ▶ Example:

$$10010111 \rightarrow 1 \oplus x^3 \oplus x^5 \oplus x^6 \oplus x^7$$

- ▶ From now on, by “codeword” we also mean the corresponding polynomial.
- ▶ Can perform all mathematical operations with these polynomials:
  - ▶ addition, multiplication, division etc. (examples)
- ▶ There are efficient circuits for performing multiplications and divisions.

# Generator polynomial

## Theorem:

- ▶ All the codewords of a cyclic code are multiples of a certain polynomial  $g(x)$ , known as **generator polynomial**.

# Properties of generator polynomial

The generator polynomial  $g(x)$  must satisfy the following:

- ▶  $g(x)$  must have first and last coefficient equal to 1
- ▶  $g(x)$  must be a factor of  $X^n \oplus 1$
- ▶ The *degree* of  $g(x)$  is  $n - k$ , where:
  - ▶  $n$  = the size of codeword (codeword polynomial has degree  $n - 1$ )
  - ▶  $k$  = the size of the information word (information polynomial has degree  $k - 1$ )

$$(k - 1) + (n - k) = n - 1$$

- ▶ **The degree of  $g(x)$  is the number of parity bits of the code.**

# Example of generator polynomials

Example:

$$1 \oplus x^7 = (1 \oplus x)(1 \oplus x + \oplus x^3)(1 \oplus x^2 \oplus x^3)$$

Each factor can generate a code:

- ▶  $1 \oplus x$  generates a (7,6) cyclic code
- ▶  $1 \oplus x \oplus x^3$  generates a (7,4) cyclic code
- ▶  $1 \oplus x^2 \oplus x^3$  generates a (7,4) cyclic code

# Popular polynomials

Some popular polys are:

16 bits: (16,12,5,0)	[X25 standard]
(16,15,2,0)	["CRC-16"]
32 bits: (32,26,23,22,16,12,11,10,8,7,5,4,2,1,0)	[Ethernet]

Figure 9: Popular generator polynomials  $g(x)$

- ▶ Image from [http://www.ross.net/crc/download/crc\\_v3.txt](http://www.ross.net/crc/download/crc_v3.txt)
- ▶ Your turn: write the polynomials in mathematical form

# Proving the cyclic property

Theorem:

- ▶ Any cyclic shift of a codeword is also a codeword.

Proof:

- ▶ It is enough to consider a cyclic shift by 1 position
- ▶ Original codeword

$$c_0 c_1 c_2 \dots c_{n-1} \rightarrow \mathbf{c}(\mathbf{x}) = c_0 \oplus c_1 x \oplus \dots \oplus c_{n-1} x^{n-1}$$

- ▶ Cyclic shift to the right by 1 position

$$c_{n-1} c_0 c_1 \dots c_{n-2} \rightarrow \mathbf{c}'(\mathbf{x}) = c_{n-1} \oplus c_0 x \oplus \dots \oplus c_{n-2} x^{n-1}$$

- ▶ We can rewrite:

$$\begin{aligned}\mathbf{c}'(\mathbf{x}) &= x \cdot \mathbf{c}(\mathbf{x}) \oplus c_{n-1} x^n \oplus c_{n-1} \\ &= x \cdot \mathbf{c}(\mathbf{x}) \oplus c_{n-1} (x^n \oplus 1)\end{aligned}$$

# Proving the cyclic property

Proof (continued):

- ▶ Since  $\mathbf{c}(\mathbf{x})$  is a multiple of  $g(x)$ , so is  $x \cdot \mathbf{c}(\mathbf{x})$
- ▶ Also  $(x^n \oplus 1)$  is always a multiple of  $g(x)$
- ▶  $\Rightarrow$  It follows that their sum  $\mathbf{c}'(\mathbf{x})$  is also a multiple of  $g(x)$ , which means it is a codeword.

QED

- ▶ Note that we relied on two properties mentioned before:
  - ▶ that a codeword  $\mathbf{c}(\mathbf{x})$  is always a multiple of  $g(x)$
  - ▶ that  $g(x)$  is a factor of  $(x^n \oplus 1)$

# Coding and decoding of cyclic codes

- ▶ Cyclic codes can be used for detection or correction
- ▶ In practice, they are used mostly for **detection only** (e.g. in Ethernet)
  - ▶ because there are other codes with better performance for correction
- ▶ Can be systematic / non-systematic
  - ▶ In practice, the systematic variant is much preferred
- ▶ We study coding/decoding from 3 perspectives:
  - ▶ The mathematical way, with polynomials
  - ▶ The programming way, e.g. as a programming algorithm
  - ▶ The hardware way, via schematics



# 1. Coding and decoding - The mathematical way

Reminder: polynomial multiplication and division

- ▶ Two polynomials  $a(x)$  and  $b(x)$  can be multiplied
  - ▶ the result has degree = degree of  $a(x)$  + degree of  $b(x)$
- ▶ A polynomials  $a(x)$  can be divided to another polynomial  $b(x)$ :

$$a(x) = b(x)q(x) \oplus r(x)$$

- ▶  $q(x)$  = the quotient (“câtul”)
- ▶  $r(x)$  = the remainder (“restul”)
- ▶ the degree of  $r(x)$  is strictly smaller than the degree of  $b(x)$

# 1. Coding and decoding - The mathematical way

## Coding

- ▶ We want to encode the **information word** with  $k$  bits

$$i_0 i_1 i_2 \dots i_{k-1} \rightarrow i(x) = i_0 \oplus i_1 x \oplus \dots \oplus i_{k-1} x^{k-1}$$

- ▶ **Non-systematic** codeword generation:

$$c(x) = i(x) \cdot g(x)$$

- ▶ The degrees match:
  - ▶  $i(x)$  has degree  $k - 1$  ( $k$  bits)
  - ▶  $g(x)$  has degree  $n - k$  ( $n - k + 1$  bits)
  - ▶  $c(x)$  has degree  $n - 1 = (n - k) + (k - 1)$  ( $n$  bits)

# Systematic coding - The mathematical way

- ▶ **Systematic** codeword generation:

$$c(x) = x^{n-k} \cdot i(x) \oplus b(x)$$

- ▶  $b(x)$  is the remainder of dividing  $x^{n-k}i(x)$  to  $g(x)$ :

$$x^{n-k}i(x) = a(x)g(x) \oplus b(x)$$

- ▶  $b(x)$  is known as “the CRC value”
- ▶ Is this  $c(x)$  really a multiple of  $g(x)$ ? Yes, because:

$$c(x) = x^{n-k} \cdot i(x) \oplus b(x) = a(x)g(x) \oplus b(x) \oplus b(x) = a(x)g(x)$$

# Interpretation

- ▶ Why is the code systematic?
- ▶ Let's analyze the systematic codeword generation step by step
- ▶ Consider the information word/polynomial

$$\mathbf{i} = [\underbrace{i_0 i_1 \dots i_{k-1}}_k] \rightarrow i(x) = i_0 \oplus i_1 x \oplus \dots \oplus i_{k-1} x^{k-1}$$

- ▶ Multiplying  $x^{n-k} \cdot i(x)$  shifts all bits to the right with  $(n - k)$  positions

$$[\underbrace{00\dots0}_{n-k} \underbrace{i_0 i_1 \dots i_{k-1}}_k] \rightarrow i(x) = i_0 x^{n-k} \oplus i_1 x^{n-k+1} \oplus \dots \oplus i_{k-1} x^{n-1}$$

# Interpretation (continued)

- ▶ The remainder  $b(x)$  has degree strictly less than  $n - k$  (degree of  $g(x)$ ), so at most  $n - k$  bits
- ▶ Therefore adding  $b(x)$  will not overlap with  $x^{n-k} \cdot i(x)$ 
  - ▶ the  $(n - k)$  bits of  $b(x)$  will fit in the first  $n - k$  locations

$$\mathbf{c} = [\underbrace{b_0 b_1 \dots b_{n-k}}_{n-k} \underbrace{i_0 i_1 \dots i_{k-1}}] \rightarrow$$

$$\rightarrow c(x) = b_0 \oplus b_1 x \oplus \dots \oplus b_{n-k-1} x^{n-k-1} \oplus i_0 x^{n-k} \oplus i_1 x^{n-k+1} \oplus \dots \oplus i_k$$

- ▶ Hence the code is systematic: the information bits are in the codeword
- ▶ The code adds  $b(x)$  (the remainder) = the **CRC value**

# Interpretation

- ▶ Systematic cyclic codeword = compute a CRC value and append it to the data
- ▶ Different writing conventions:
  - ▶ when writing the codewords from LSB  $\rightarrow$  MSB (increasing order of degrees), the CRC appears in front
    - ▶ like in lecture slides
  - ▶ when writing the codewords from MSB  $\rightarrow$  LSB (decreasing order of degrees), the CRC appears at the end
    - ▶ like in laboratory
  - ▶ same thing, just bit ordering is reversed
  - ▶ (LSB = Least Significant Bit, MSB = Most Significant Bit)

# Decoding - The mathematical way

## Decoding

- ▶ We receive  $\mathbf{r} = r_0 r_1 r_2 \dots r_{n-1} \rightarrow \mathbf{r(x)} = r_0 \oplus r_1 x \oplus \dots \oplus r_{n-1} x^{n-1}$
- ▶ Error **detection**: check if  $r(x)$  is a codeword or not
- ▶ Check if the received  $\mathbf{r(x)}$  still is a multiple of  $g(x)$ 
  - ▶ Divide  $\mathbf{r(x)}$  to  $g(x)$ :
    - ▶ If remainder of  $r(x) : g(x)$  is 0  $\Rightarrow$  it is a codeword, no errors present
    - ▶ If remainder is non-zero  $\Rightarrow$  it's not a true codeword, **errors detected**
- ▶ Computing the remainder = computing the CRC of the received data
  - ▶ Remember lab: decoding = compute CRC of all coded data, if 0  $\Rightarrow$  OK, if non-zero  $\Rightarrow$  NOK

# Decoding - The mathematical way

- ▶ Error correction: use a lookup table (just like with matrices)
  - ▶ build a lookup table for all possible error words (same as with matrix codes)
  - ▶ for each error code, divide by  $g(x)$  and compute the remainder
  - ▶ when the remainder is identical to the remainder obtained with  $r(x)$ , we found the error word  $\Rightarrow$  correct errors
- ▶ Example: at blackboard



## 2. Coding and decoding - The programming way

- ▶ Only for systematic codes (mostly used)
- ▶ We need to compute the  $CRC = b(x) = \text{remainder of } x^{n-k}i(x) \text{ divided to } g(x)$ 
  - ▶ the remainder will be put alongside the information word
- ▶ Good reference: *"A Painless Guide to CRC Error Detection Algorithms"*, Ross N. Williams
  - ▶ [http://www.ross.net/crc/download/crc\\_v3.txt](http://www.ross.net/crc/download/crc_v3.txt)

- ▶ The mathematical polynomial division = just like XOR-ing successively with  $g(x)$ 
  - ▶ align the binary sequence of  $g(x)$  under the leftmost 1
  - ▶ XOR the sequences
  - ▶ repeat
- ▶ Best seen by example at blackboard

# Example

```
11010110110000
10011,.,.,.
-----,.,.,.
10011,.,.,.
10011,.,.,.
-----,.,.,.
00001,.,.,.
00000,.,.,.
-----,.,.,.
00010,.,.,.
00000,.,.,.
-----,.,.,.
00101,....
00000,....
-----,.,.,.
01011....
00000....
-----,.,.,.
10110...
10011...
```

Figure 10: Polynomial division = XORing succesively with  $g(x)$

# Decoding

- ▶ We receive  $\mathbf{r} = r_0 r_1 r_2 \dots r_{n-1} \rightarrow \mathbf{r}(\mathbf{x}) = r_0 \oplus r_1 x \oplus \dots \oplus r_{n-1} x^{n-1}$
- ▶ Error detection:
  - ▶ compute the CRC of all sequence  $\mathbf{r}$ 
    - ▶ If the remainder is 0  $\Rightarrow$  no errors
    - ▶ If the remainder is non-zero  $\Rightarrow$  errors detected!
- ▶ Error correction:
  - ▶ use a lookup table (just like with matrices)
    - ▶ build a lookup table for all possible error words (same as with matrix codes)
    - ▶ for each error code, compute the CRC for the error word
    - ▶ when the resulting remainder is identical to the remainder obtained with  $\mathbf{r}$ , we found the error word  $\Rightarrow$  correct errors

### 3. Coding and encoding - The hardware way

- ▶ Coding = based on polynomial multiplications and divisions
- ▶ Efficient circuits for multiplication / division exist, that can be used for systematic or non-systematic codeword generation (draw on blackboard)

# Circuits for multiplication of binary polynomials

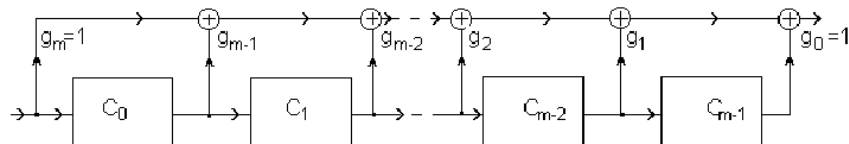


Figure 2

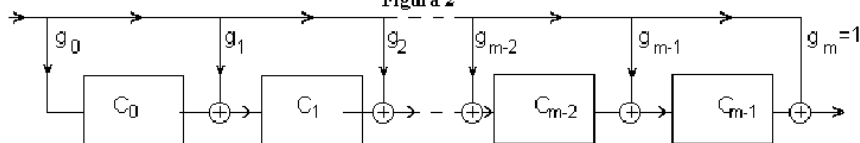


Figure 3

Figure 11: Circuits for polynomial multiplication

# Operation of multiplication circuits

- ▶ The circuits multiply an input polynomial  $a(x)$  with a polynomial  $g(x)$  defined by their structure
- ▶ The input polynomial is applied at the input, 1 bit at a time, starting from highest degree
- ▶ The output polynomial is obtained at the output, 1 bit at a time, starting from highest degree
- ▶ Because output polynomial has larger degree, the circuit needs to operate a few more samples until the final result is obtained. During this time the input is 0.
- ▶ Examples: at the whiteboard

# Circuits for division binary polynomials

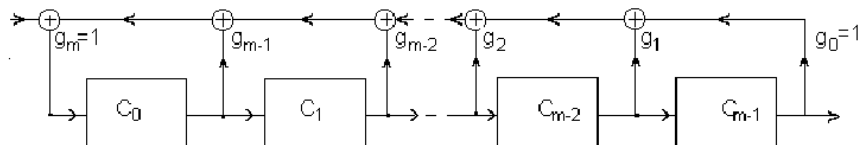


Figura 4

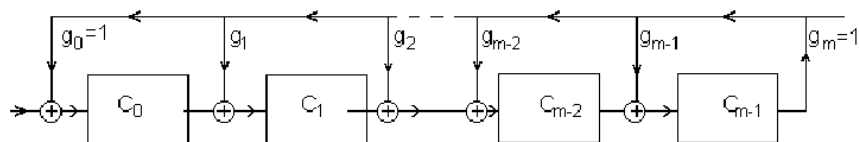


Figura 5

Figure 12: Circuits for polynomial division



# Operation of division circuits

- ▶ The circuits divide an input polynomial  $a(x)$  to a polynomial  $g(x)$  defined by their structure
- ▶ The input polynomial is applied at the input, 1 bit at a time, starting from highest degree
- ▶ The output polynomial is obtained at the output, 1 bit at a time, starting from highest degree
- ▶ Because output polynomial has smaller degree, the circuit first outputs some zero values, until starting to output the result.
- ▶ If the remainder is 0, all the cells remain with 0 at the end
- ▶ Examples: at the whiteboard

# Non-systematic cyclic encoder circuit

- ▶ Non-systematic cyclic encoder circuit:
  - ▶ simply a polynomial multiplication circuit
  - ▶ input is  $i(x)$ , output is  $c(x)$

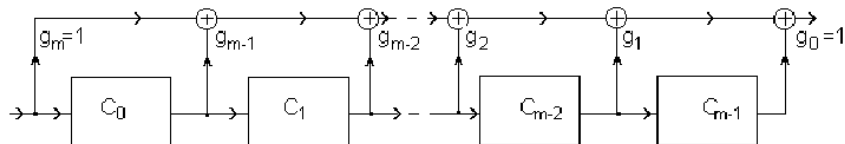


Figure 2

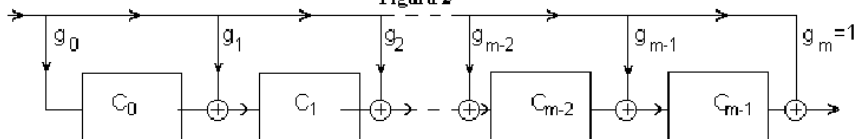


Figure 3

Figure 13: Circuits for polynomial multiplication

# Systematic cyclic encoder circuit

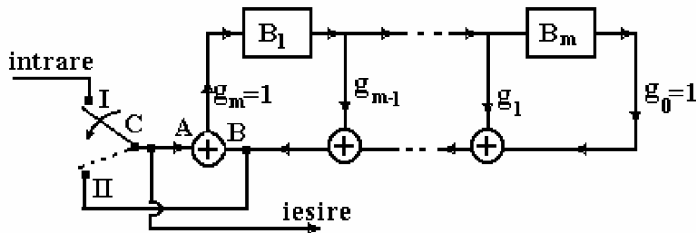


Figure 14: Systematic cyclic encoder circuit

- It contains inside a division circuit (upper right part)

# Systematic cyclic encoder circuit

Operation of the cyclic encoder circuit:

- ▶ Initially all cells are 0
- ▶ Switch in position I:
  - ▶ information bits are applied to the output and to the division circuit
  - ▶ first bits of the output are the information bits  $\Rightarrow$  indeed systematic
  - ▶ the input bits are applied to the division circuit
- ▶ Switch in position II:
  - ▶ some output bits are put at the output
  - ▶ the same output bits are also applied to the input of the division circuit
- ▶ **In the end all cells end up with value 0**
  - ▶ because in phase II we add the input (A) with itself (B) at the input of the division circuit, so they cancel each other

# Systematic cyclic encoder circuit

- ▶ Why is the output  $c(x)$  the desired codeword? Because:
  1. has the information bits in the first part (systematic)
  2. is a multiple of  $g(x)$
- ▶ Why is it a multiple of  $g(x)$ ? Because:
  - ▶ the output  $c(x)$  is always applied also to the input of the division circuit
    - ▶ in both phases of operation
  - ▶ after division, the cells end up in 0, which means there is no remainder of division
- ▶ Side note: we haven't really explained *why* the output  $c(x)$  is a codeword, we just showed that it is so

# The parity-check matrix for systematic cyclic codes

- ▶ Requires a more in-depth analysis of Linear Feedback Shift Registers (LFSR)

# Linear-Feedback Shift Registers (LFSR)

- ▶ A **flip-flop** = a cell holding a bit value (0 or 1)
  - ▶ called "*bistabil*" in Romanian
  - ▶ operates on the edges of a clock signal
- ▶ A **register** = a group of flip-flops, holding multiple bits
  - ▶ example: an 8-bit register
- ▶ A **shift register** = a register where the output of a flip-flop is connected to the input of the next one
  - ▶ the bit sequence is shifted to the right
  - ▶ has an input (for the first cell)
- ▶ A **linear feedback shift register** (LFSR) = a shift register for which the input is computed as a linear combination of the flip-flops values
  - ▶ input = usually a XOR of some cells from the register
  - ▶ like a division circuit without any input
  - ▶ feedback = all flip-flops, with coefficients  $g_i$  in general
  - ▶ example at whiteboard

# States and transitions of LFSR

- ▶ **State** of the LFSR = the sequence of bit values it holds at a certain moment (in order: right to left)
- ▶ The state at the next moment,  $S(k+1)$ , can be computed by multiplication of the current state  $S(k)$  with the **companion matrix** (or **transition matrix**)  $[T]$ :

$$S(k+1) = [T] * S(k)$$

- ▶ The companion matrix is defined based on the feedback coefficients  $g_i$ :

$$T = \begin{bmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ \cdot & \cdot & \cdot & \dots & \cdot \\ 0 & 0 & 0 & \dots & 1 \\ g_0 & g_1 & g_2 & \dots & g_{m-1} \end{bmatrix}$$

- ▶ Note: reversing the order of bits in the state  $\Rightarrow$  transposed matrix
- ▶ Starting at time 0, then the state at time  $k$  is:

$$S(k) = [T]^k S(0)$$



# Period of LFSR

- ▶ The number of states is finite  $\Rightarrow$  they must repeat at some moment
- ▶ The state equal to 0 must not be encountered (in this case the LFSR will remain 0 forever)
- ▶ The **period** of the LFSR = number of time moments until the state repeats
- ▶ If period is  $N$ , then state at time  $N$  is same as state at time 0:

$$S(N) = [T]^N S(0) = S(0),$$

which means:

$$[T]^N = I_m$$

- ▶ Maximum period is  $N_{max} = 2^m - 1$  (excluding state 0), in this case the polynomial  $g(x)$  is called **primitive polynomial**

# LFSR with inputs

- ▶ What if the LFSR has an input added to the feedback (XOR)?
  - ▶ exactly like a division circuit
  - ▶ assume the input is a sequence  $a_{N-1}, \dots, a_0$
- ▶ Since a LFSR is a **linear circuit**, the effect is added:

$$S(1) = [T] \cdot S(0) \oplus \begin{bmatrix} 0 \\ 0 \\ \dots \\ a_{N-1} \end{bmatrix}$$

- ▶ In general:

$$S(k_1) = [T] \cdot S(k) \oplus a_{N-k} \cdot [U],$$

where  $[U]$  is:

$$[U] = \begin{bmatrix} 0 \\ 0 \\ \dots \\ 1 \end{bmatrix}$$

# The parity-check matrix for systematic cyclic codes

- ▶ Cyclic codes are linear block codes, so they have a parity-check and a generator matrix
  - ▶ but it is more efficient to implement them with polynomial multiplication / division circuits
- ▶ The parity-check matrix  $[H]$  can be deduced by analyzing the states of the LFSR (divider) inside the encoder:
  - ▶ it is a LFSR with feedback and input
  - ▶ the input is the codeword  $c(x)$
  - ▶ do computations at whiteboard ...
  - ▶ ... arrive at expression for matrix  $[H]$

# The parity-check matrix for systematic cyclic codes

- ▶ The parity check matrix  $[H]$  has the form

$$[H] = [U, TU, T^2U, \dots T^{n-1}U]$$

- ▶ The cyclic codeword satisfies the usual relation

$$S(n) = 0 = [H]\mathbf{c}^T$$

- ▶ In case of an error, the state at time  $n$  will be the syndrome (non-zero):

$$S(n) = [H]\mathbf{r}^T \neq 0$$

# Error detection and correction capability

## Theorem:

Any  $(n,k)$  cyclic code with  $g(x)$  being a primitive polynomial is capable of detecting 2 errors, or of correcting 1 error

### ► Proof:

- $g(x)$  is primitive polynomial  $\Rightarrow$  the LSFR cycles through all possible states (non-zero)
- therefore all the columns of  $[H]$  are distinct
- Use the conditions based on the columns of  $[H]$  from first part of chapter
  - sum of any two columns is non-zero  $\Rightarrow$  can detect 2 errors
  - any two columns are distinct  $\Rightarrow$  can correct 1 error

# Packets of errors

- ▶ Until now, we considered a single error (i.e errors appear independently)
- ▶ In real life, many times the errors appear in groups
- ▶ A **packet of errors** (*an error burst*) is a sequence of two or more **consecutive errors**
  - ▶ examples: *fading* in wireless channels
- ▶ The **length** of the packet = the number of consecutive errors

# Condition on columns of $[H]$ for packets of errors

- ▶ Conditions for packets of  $e$  errors are less restrictive than for  $e$  independent errors
- ▶ Error **detection** of  $e$  independent errors:
  - ▶ sum of **any**  $e$  or fewer columns is **non-zero**
- ▶ Error **detection** of a packet of  $e$  errors
  - ▶ sum of any **consecutive**  $e$  or fewer columns is **non-zero**
- ▶ Error **correction** of  $e$  independent errors
  - ▶ sum of **any**  $e$  or fewer columns is **unique**
- ▶ Error **correction** of a packet of  $e$  errors
  - ▶ sum of any **consecutive**  $e$  or fewer columns is **unique**

# Detection of packets of errors

## Theorem:

Any  $(n,k)$  cyclic code is capable of detecting any error packet of length  $n - k$  or less

- ▶ A large fraction of longer bursts can also be detected (but not all)
- ▶ No proof (too complicated)



# Cyclic decoder implemented with LFSR

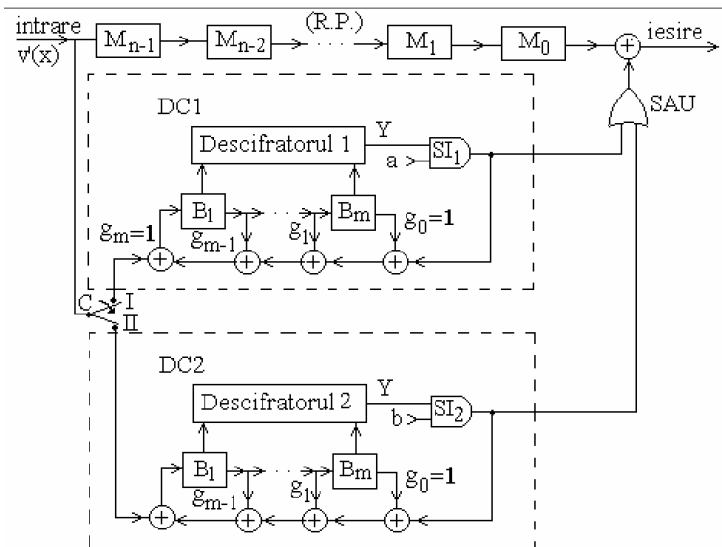


Figure 15: Cyclic decoder circuit

# Cyclic decoder implemented with LFSR

- ▶ Consists of:
  - ▶ main shift register MSR
  - ▶ main switch SW
  - ▶ 2 LFSRs (divider circuits), built based on  $g(x)$
  - ▶ 2 error locator blocks, one for each divider
  - ▶ 2 validation gates V1, V2, for each divider
  - ▶ output XOR gate for correcting errors

# Cyclic decoder implemented with LFSR

- ▶ Operation phases:

1. Input phase: SW on position I, validation gate V1 blocked

- ▶ The received codeword  $r(x)$  is received one by one, starting with largest power of  $x^n$
  - ▶ The received codeword enters the MSR and first LFSR (divider)
  - ▶ The first divider computes  $r(x) : g(x)$
  - ▶ The validation gate V1 is blocked, no output
- 
- ▶ Input phase ends after  $n$  moments, the switch SW goes into position II
  - ▶ If the received word has no errors, all LFSR cells are 0 (no remainder), will remain 0, the error locator will always output 0,
  - ▶ the MSR will output the received bits unchanged

# Cyclic decoder implemented with LFSR

2. Decoding phase: SW on position II, validation gate V1 open
  - ▶ LFSR keeps running with no input for  $n$  more moments
  - ▶ the MSR provides the received bits at the output, one by one
  - ▶ **exactly when the erroneous bit is at the main output of MSR, the error locator will output 1, and the output XOR gate will correct the bit (TO BE PROVEN)**
  - ▶ during this time the next codeword is loaded into MSR and into second LFSR (input phase for second LFSR)
  
- ▶ After  $n$  moments, the received word is fully decoded and corrected
- ▶ SW goes back into position I, the second LFSR starts decoding phase, while the first LFSR is loading the new receiver word, and so on
- ▶ **To prove:** error locator outputs 1 exactly when the erroneous bit is at the main output

# Cyclic decoder implemented with LFSR

**Theorem:** if the  $k$ -th bit  $r_{n-k}$  from  $r(x)$  has an error, the error locator will output 1 exactly after  $k - 1$  moments

- ▶ That's exactly when the erroneous  $k$ -th bit will be output from MSR  
 $\Rightarrow$  will be changed back to the good value

▶ **Proof:**

1. assume error on position  $r_{n-k}$
2. the state of the LFSR at end of phase I = syndrome = column  $(n - k)$  from  $[H]$

$$S(n) = [H]\mathbf{r}^T = [H]\mathbf{e}^T = T^{n-k}U$$

3. after another  $k - 1$  moments, the state will be

$$T^{k-1}T^{n-k}U = T^{n-1}U$$

4. since  $T^n = I_n \rightarrow T^{n-1} = T^{-1}$
5.  $T^{-1}U$  is the state preceding state  $U$ , which is state

$$\begin{bmatrix} 1 \\ 0 \\ \dots \\ 0 \end{bmatrix}$$

# Cyclic decoder implemented with LFSR

- ▶ Step 5 above can be shown in two ways:
  - ▶ reasoning on the circuit
  - ▶ using the definition of  $T^{-1}$

$$T = \begin{bmatrix} g_1 & g_2 & \dots g_{m-1} & 1 & \\ 1 & 0 & \dots & 0 & 0 \\ 0 & 1 & \dots & 0 & 0 \\ \cdot & \cdot & \cdot & \dots & \cdot \\ 0 & 0 & \dots & 1 & 0 \end{bmatrix}$$

- ▶ The error locator is designed to detect this state  $T^{-1}U$ , i.e. it is designed as shown on blackboard
- ▶ Therefore, the error locator will correct an error
- ▶ This works only for 1 error, due to proof (1 column from  $[H]$ )

# Summary of cyclic codes

- ▶ Generated using a generator polynomial  $g(x)$
- ▶ Non-systematic:

$$c(x) = i(x) \cdot g(x)$$

- ▶ Systematic:

$$c(x) = b(x) \oplus X^{n-k}i(x)$$

- ▶  $b(x)$  is the remainder of dividing  $X^{n-k}i(x)$  to  $g(x)$
- ▶ A codeword is always a multiple of  $g(x)$
- ▶ Error detection: divide by  $g(x)$ , look at remainder
- ▶ Schematics:
  - ▶ Cyclic encoder
  - ▶ Cyclic decoder with LFSR
  - ▶ Thresholding cyclic decoder
  - ▶ Encoder/decoder for packets of up to 2 errors