

CS6200 Information Retrieval

Homework2: Indexing, Term Positions

Objective

Implement your own index to take the place of elasticsearch in the HW1 code, and index the document collection used for HW1. Your index should be able to handle large numbers of documents and terms without using excessive memory or disk I/O.

This involves writing two programs:

1. A tokenizer and indexer
2. An updated version of your HW1 ranker which uses your inverted index

You have some flexibility in the choice of algorithms and file formats for this assignment. You will be asked to explain and justify your approach, but any reasonable approach will work.

Step One: Tokenizing

The first step of indexing is tokenizing documents from the collection. That is, given a raw document you need to produce a sequence of *tokens*. For the purposes of this assignment, a token is a contiguous sequence of characters which matches the regular expression `\w+(\.?\w+)*` – that is, any number of letters and numbers, possibly separated by single periods in the middle. For instance, `bob` and `376` and `98.6` and `192.160.0.1` are all tokens. `123,456` and `aunt's` are not tokens (each of these examples is two tokens — why?). All alphabetic characters should be converted to lowercase during tokenization, so `bob` and `Bob` and `B0B` are all tokenized into `bob`.

You should assign a unique integer ID to each term and document in the collection. For instance, you might want to use a token's hash code as its ID. However you decide to assign IDs, you will need to be able to convert tokens into term IDs and covert doc IDs into document names in order to run queries. This will likely require you to store the maps from term to `term_id` and from document to `doc_id` in your inverted index. One way to think about the tokenization process is as a conversion from a document to a sequence of `(term_id, doc_id, position)` tuples which need to be stored in your inverted index.

For instance, given a document with `doc_id` 20:

The car was in the car wash.

the tokenizer might produce the tuples:

(1, 20, 1), (2, 20, 2), (3, 20, 3), (4, 20, 4), (1, 20, 5), (2, 20, 6), (5, 20, 7)

with the term ID map:

1: the
2: car
3: was
4: in
5: wash

Step Two: Indexing

The next step is to record each document's tokens in an inverted index. The inverted list for a term must contain the following information:

- The DF and CF (aka TTF) of the term.
- A list of IDs of the documents which contain the term, along with the TF of the term within that document and a list of positions within the document where the term occurs. (The first term in a document has position 1, the second term has position 2, etc.)

You should also store the following information.

- The total number of distinct terms (the vocabulary size) and the total number of tokens (total CF) in the document collection.
- The map between terms and their IDs, if required by your design.
- The map between document names and their IDs, if required by your design.

Stemming and Stopping

Experiment with the affects of stemming and stop word removal on query performance. To do so, create four separate indexes:

- An index where tokens are indexed as-is
- An index where stop words are not indexed, and are removed from queries during query processing
- An index where tokens are stemmed before indexing, and stemmed in queries during query processing
- An index where tokens are stemmed and stop words are removed

You should use this list (stoplist.txt) of stop words, obtained from NLTK.

You may use any standard stemming library. For instance, the python `stemming` package and the Java `Weka` package contain stemmer implementations.

Performance Requirements

Your indexing algorithm should meet the following performance requirements. You will be asked during your demo to explain how you met them.

- You are permitted to write multiple files during the indexing process, but not more than about 1,000 files total. For instance, you may not store the inverted list for each term in a separate file.
- If you keep partial inverted lists in memory during indexing, you have to limit by either terms (not store more than 1,000 inverted lists at a time) or documents (not store more than 1,000 postings per term in memory at a time). A “posting” corresponds to one of the tuples above: one occurrence of a term in a document.
- Your final inverted index should be stored in a single file. This file must be under 50% of the size of the unindexed document collection. For the sake of this assignment, we will consider the collection to be 272MB; thus, your index must be less than 136MB.
- You should be able to access the inverted list for an arbitrary term in time at most logarithmic in the vocabulary size, regardless of where that term’s information is stored in the index. You should not need to find an inverted list by scanning through the entire index.

Step Three: Searching

Update your solution to HW1 to use your index instead of elasticsearch. Compare your results to those you obtained in HW1. Are they different? If so, why? You don’t have to run all 5 models; one VSM, one LM, and BM25 will suffice.

Proximity Search

Add one retrieval model, with scoring based on proximity on query terms in the document. You can use the ideas presented in slides, or skipgrams minimum span ([../lecture_notes/SteveKrenzel-FindingBlurbs.pdf](#)), or other ngram matching ideas.

Some Hints

There are many ways to write an indexing algorithm. We have intentionally not specified a particular algorithm or file format.

The primary challenge is to produce a single index file which uses a variable number of bytes for each term (because their inverted lists have different lengths), without any prior knowledge about how long each list will need to be. Here are a few reasonable approaches you might consider.

Option 1: Multiple passes

Make multiple passes through the document collection. In each pass, you create the inverted lists for the next 1,000 terms, each in its own file. At the end of each pass, you concatenate the new inverted lists onto the main index file (easy to concatenate the inverted files, but have to manage the catalog/offsets files)

Option 2: Merging

Create partial inverted lists for all terms in a single pass through the collection. As each partial list is filled, append it to the end of a single large index file. When all documents have been processed, run through the file a term at a time and merge the partial lists for each term. This second step can be greatly accelerated if you keep a list of the positions of all the partial lists for each term in some secondary data structure or file.

Option 3: Discontiguous Postings

Lay out your index file as a series of fixed-length records of, say, 4096 bytes each. Each record will contain a portion of the inverted list for a term. A record will consist of a header followed by a series of inverted list entries. The header will specify the term_id, the number of inverted list entries used in the record, and the file offset of the next record for the term. Records are written to the file in a single pass through the document collection, and the records for a given term are not necessarily adjacent within the index.

Extra Credit

These extra problems are provided for students who wish to dig deeper into this project. Extra credit is meant to be significantly harder and more open-ended than the standard problems. We strongly recommend completing all of the above before attempting any of these problems.

Points will be awarded based on the difficulty of the solution you attempt and how far you get. You will receive no credit unless your solution is “at least half right,” as determined by the graders.

EC1: Index Compression

Store the index in some compressed format and decompress it as needed when accessing it. For the sake of this assignment, you may not use a library for compression or decompression. For instance, it is not sufficient to run inverted lists through a gzip/gunzip routine in a library.

EC2: Multiple Fields

Provide the ability to index multiple document fields. Index the contents of the HEAD fields for a document (if any) in addition to the TEXT fields. Update your retrieval models to query the HEAD fields as well as the TEXT fields, weighting HEAD matches higher than TEXT matches. Does this improve retrieval performance? Why?

EC3: Query Optimization

Implement and compare multiple query processing algorithms (e.g., variations of doc-at-a-time and term-at-a-time matching) to achieve the best possible query performance. Include at least one inexact query processing method. How much can you improve query speed without overly sacrificing result quality?

Rubric

20 points

The correct tokens are produced

30 points

The correct inverted lists are produced

20 points

You meet the performance requirements

20 points

Your retrieval models perform as expected on your index

10 points

The proximity model perform as expected on your index

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