Introduction to No SQL Databases



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What is No SQL Databases?

Before that, what is "SQL databases"?



- SQL Databases are basically "Relational Databases"
- Relational databases are predominantly around since its proposal by EF Codd [1970]
- In recent decades, the enterprise computing saw many changes in terms of programming languages, architectures, platforms, and processes; however one thing remained same was "relational databases", till the time "No SQL" appeared!
- "No SQL" emerged (typically since 2009) as a major challenger!



 What has been great about Relational databases that they ruled for decades?

Let us enumerate some benefits of SQL databases!



The "Data Model"

- 1. Purely a mathematical model and hides all representational details like records, data files, etc.
- Operations are performed on "relations" while internally data are actually stored on disk files. Disk files remain transparent to database user.
- 3. Normalized data storage minimizing data redundancy that avoids various operational anomalies.
- Facilitates data integrity by its ability to define various kind of database integrity constraints.
- Schema is part of database and automatic enforcement of database constraints.



Relational Systems:

- 1. Shared Access of Integrated data
- 2. Data Independence [Three Schema Architecture]
- 3. Programming Standardization [ANSI SQL, ODBC, JDBC, etc]
- 4. Concurrent Access of data and Transaction Processing support
 provides ACID properties support
- Query Optimization DBMS generates most optimal physical query execution plan in terms of "file scan", "index scan", "hash-join", "hash-sort", etc.



Relational Systems:

- 1. Shared Access of Integrated data
- 2. Data Independence [Three Schema Architecture]
 - Schema at one level is for users (easier to use for users), where as at conceptual level optimized for data redundancy, and at physical level optimized for efficient query execution.
 - Each schema can independently optimized for respective objectives
- 3. Programming Standardization [ANSI SQL, ODBC, JDBC, etc]
- 4. Concurrent Access of data provides ACID properties support
- 5. Query Optimization DBMS generates most optimal physical query execution plan in terms of "file scan", "index scan", "hash-join", "hash-sort", etc.

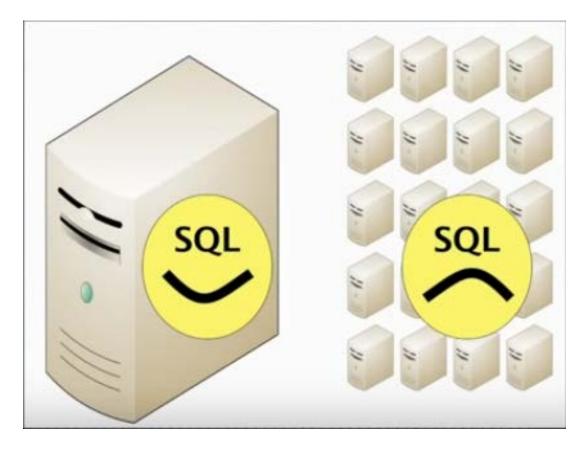


- 1. Can not scale at web scale as unable to run on "computing clusters"
- 2. Relational tables are not compatible with "in memory" program data structures
 - Objects and Tables do not match well
 - Martin Fowler names it as "impedance mismatch" problem



Relational can not scale at web scale

- Because relational can not run on computing clusters
- People tried and did not work!
- Alternative efforts were made, and
- Google Big Table, facebook cassandra, amazon dynanoDB, are result of that.
- No SQL take birth here!



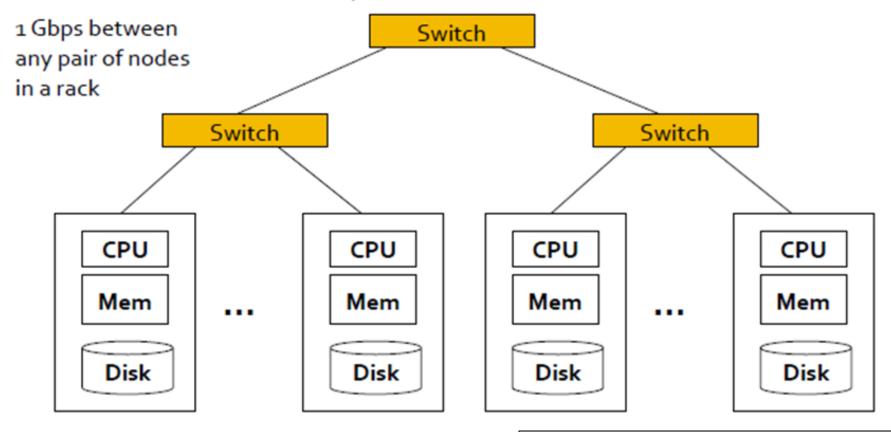


- Large number of systems work in parallel for computational tasks.
- Low cost Computers (termed as "commodity hardware" in map reduce) are connected through network and collaboratively work for a computational task in parallel.
- They work in "Nothing Shared" manner in terms of parallel computing terminology.
- Google was first to introduce a complete solution on clustered computing – Google Distributed File System and Map Reduce **Programming Abstraction** through a revolutionary article [5]



Cluster Computing Architecture

2-10 Gbps backbone between racks



Each rack contains 16-64 nodes

http://mmds.org/mmds/v2.1/ch02-mapreduce.pdf

In 2011 it was guestimated that Google had 1M machines, http://bit.ly/Shh0RO

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Relational databases are hard to scale

- Relational databases require more powerful systems to deal with increased load
 - Upgrading hardware in terms of "power" is called "Vertical Expansion" where as adding "more computers" with same power is called as "Horizontal Expansion".
 - Cluster Computing works on the principle of horizontal expansion.
- When relational system expand, they require data migration
- Vertical expansion will always have some limit to grow!
- Impossible to have "elastic" systems (seamless growing and shrinking of system requirements)



Definition from [3]

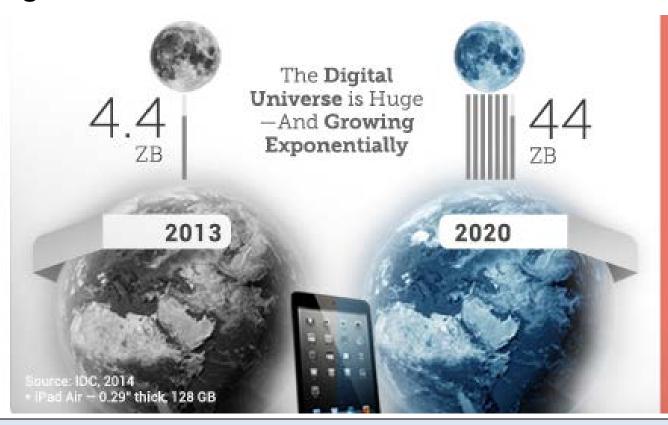
Elasticity is the degree to which a system is able to adapt to workload changes by provisioning and de-provisioning resources in an autonomic manner, such that at each point in time the available resources match the current demand as closely as possible



RDBs are not designed for Scale¹

Some snapshots from an related article, should augment the argument

 Data to be stored and processed are seeing exponential growth² from 4.4ZB in 2013 to 44ZB in 2020



If the Digital
Universe were
represented by the
memory in a stack
of tablets, in 2013
it would have
stretched
two-thirds the
way to the Moon*

By 2020, there would be 6.6 stacks from the Earth to the Moon*

¹ https://www.marklogic.com/blog/relational-databases-scale/

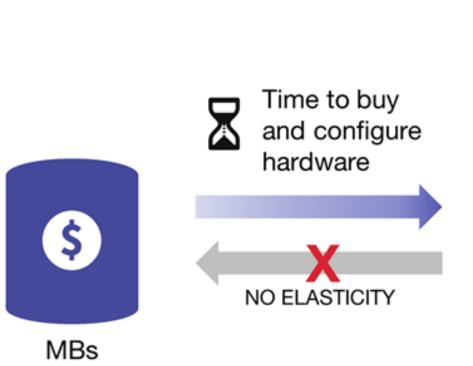
² https://www.emc.com/leadership/digital-universe/2014iview/executive-summary.htm



RDBs are not designed for Scale¹

 Horizontal Scaling is expensive, require data migration, and will always have some limit.
 Expensive

Have no Elastic behavior.



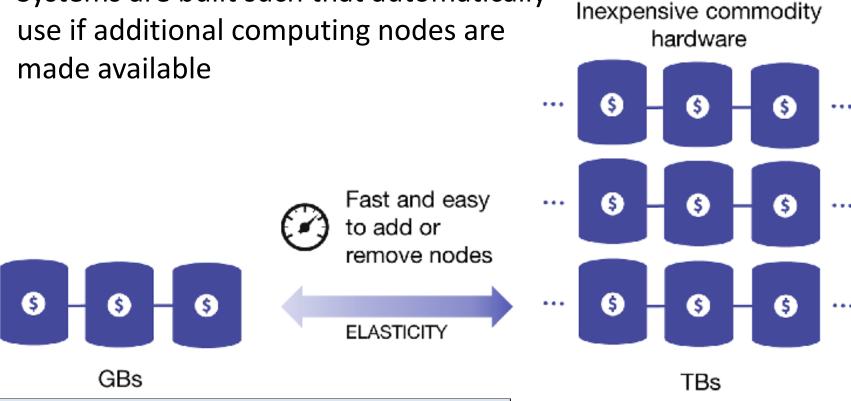


GBs



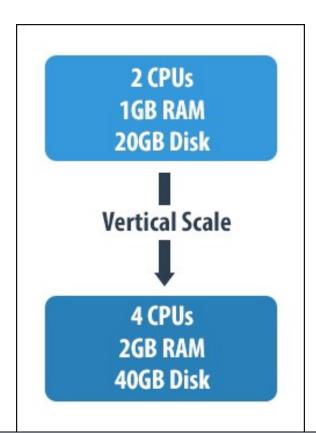
No SQL databases are designed for Scale¹

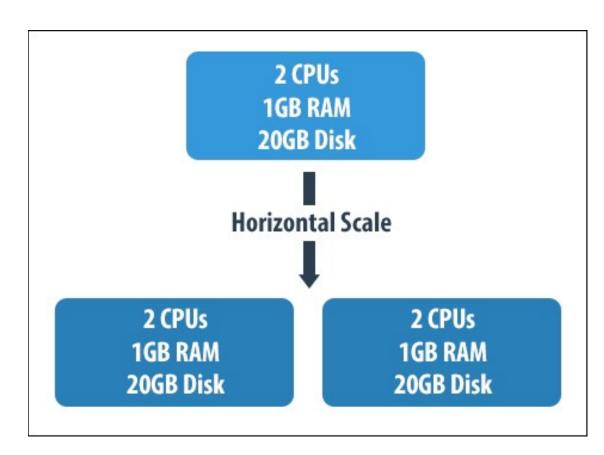
- Diagram below, taken from MarkLogic Server, captures the concept
- Systems are built such that automatically use if additional computing nodes are





Vertical vs Horizontal Scale





https://hackernoon.com/database-scaling-horizontal-and-vertical-scaling-85edd2fd9944



- May not really hard, if we do not need
 - Referential Integrity
 - ACID properties
- Moreover "row based sharding" turn out to be inefficient for query execution or so



- Relational databases has its advantages in certain terms and dominated the for decades till the time web 2.0 came post 2000 (a historical note in figure next slide)
- However Relational DBMS fail in following terms
 - Do not fit onto "cluster computing" and hence do not scale well
 - Relational Tables and "in memory data objects" are structurally different and require lots of reorganization while writing into databases and reading from.
 - "Strict schema" comes as a problem in many modern applications



Database Technological Evolutions

Timeline of major database releases and innovations [text 4]

1951: Magnetic Tape 1955: Magnetic Disk

1961: ISAM

1965: Hierarchical model

1968: IMS

1969: Network Model

1971: IDMS

1970: Codd's Paper

1974: System R

1978: Oracle

1980: Commerical Ingres

1981: Informix

1984: DB2

1987: Sybase

1989: Postgres

1989: SQL Server

1995: MySQL

2003: MarkLogic

2004: MapReduce

2005: Hadoop

2005: Vertica

2007: Dynamo

2008: Cassandra

2008: Hbase

2008: Nuo DB

2009: MongoDB

2010: VoltDB

2010: Hana

2011: Riak

2012: Areospike

2014: Splice Machine

1950 - 1972

Pre-Relational

1972 - 2005

Relational

2005 - 2015

The Next Generation



Is RDB getting phased out?

- Answer is definitely NO!
- RDBs are still going to be as the most common form of database in use [text 1]
- RDB still stands out as better option where
 - Transaction databases where ACID compliance is required and cluster distribution is not required
- Or we say other way round; actually there are only two loudly known reasons of choosing NoSQL databases are-
 - (1) Huge Data Size
 - (2) Ease of development (bypassing ORM and so; however there is a cost associated with, when we do this)



- Primarily addresses problems of "Relational databases" at web scale and others.
- Relational databases are hard to scale and will always have some limit to grow.
- Relational Databases are not designed to run on "computing clusters"
- Structural mismatch between data in memory (objects) and data in database tables – impedance mismatch.
- "Fixed Schema" comes as a problem sometimes
- Database Integration



Fixed Schema

- modern applications have high variability in terms of data values different objects of a type store.
- Application may see "unseen" fields as application evolves with time, etc.
- Putting them in fixed schema makes them inflexible, complex, and wastes storage space, etc.
- Database Integration
 - Integrating databases based on relational have strong dependency on schema
 - If one application changes the schema, other application using the same schema will crash.



- Player like Google, Amazon were already having their own solutions for challenges faced in their applications by 2005 or so.
- Such solutions created an excitement in database community and there was call for a meet in 2009.
- The term "NoSQL" was a hurray name for the meet.
- The call was for "open-source, Distributed, non-relational databases."
- There were presentation in Meet from Voldemort, Cassandra, Dynomite, HBase, Hypertable, CouchDB, and MongoDB.

The Term "No SQL" [text 1]

- How do we interpret the term "No SQL" and define No SQL database?
- The term can not be interpreted as database "systems not having SQL" (many no sql systems do provide some variation of SQL, and some, like Cassandra provide almost SQL interface!)
- One most commonly interpretation of No SQL is "Not Only SQL"?
- However this also has a problem?
- This means "Not Only SQL" does it mean No SQL include SQL (relational) systems too?
 - Not correct (relational are not included in No SQL)
- As such, No-SQL does not seem to have a common definition, Martin Fowler suggests that it can be described by certain characteristics!



Primary characteristics of No SQL

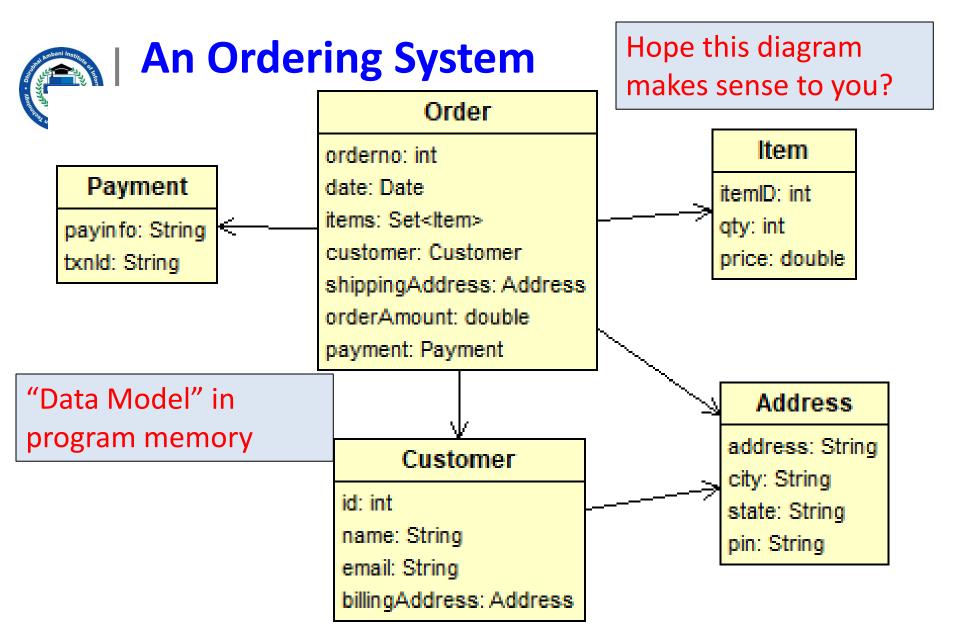
- Not Relational: NoSQL systems do not include database systems that are Relational, and before!
- Runs on "cluster of computers" can scale seamlessly well
- Flexible Schema can be even schema less
 - NoSQL databases operate on either No Schema or has notion of Flexible Schema (Note: relation databases work on strict schema)!
- Easier to program program objects can be saved as such!
- Do not implement Referential Integrity
- Do not implement ACID but have different notion of consistency [ensuring ACID is hard on clusters]



- "Impedance Mismatch" as database programming problem
- "Aggregated Oriented Databases"
- Concept of "Key Value"
- Concept of data distribution on "computing nodes" sharding



- Consider a scenario of "Order Management of MyFlipCart"
- Let us say we have following data objects with specified data fields that are to be saved in database.
 - Customer: ID, Name, email, billingAddress; ID is unique
 - Customer places orders
 - billingAddress is object with fields of Adress type
 - Order: OrderNo, Date, OrderAmount, customer (customer object can be reference); OrderNo is unique
 - Order has a list or Item objects, and each Order Item holds data: ItemID, ItemName, ItemCat, Qty, Price



```
public class Customer {
public class Payment {
                                   //business operations to be added
    private String payinfo;
    private String txnId;
                                   private int id;
 public class Address {
                                    private String name;
                                    private String email;
                                   private Address billingAddress;
     private String id;
     private String address;
                              public class Order {
     private String city;
     private String state;
                                  private int orderno;
     private String pin;
                                  private Date date;
                                  private Set<Item> items;
    public class Item {
                                  private Customer customer;
                                  private Address shippingAddress;
         private int itemID;
                                  private double orderAmount;
         private int item name;
                                  private Payment payment;
         private int category;
         private int qty;
         private double price;
```



- Hop you can visualize content of an order object?
- Here is order object in JSON representation

Problem Context:
How do we store this in database?

```
"order": {
                     A sample Order object
  "orderNo":123,
  "customer": {
    "id": 1,
    "name": "Mayank",
    "email": "mayank@gmail.com",
    "billingAddress": {"city": "Bangalore"}
  "orderItems":[
      "productId":27,
      "price": 325,
      "cat": "book",
      "productName": "NoSQL Distilled"
      "productId":19,
      "price": 550,
      "cat": "computer accessories",
      "productName": "Pen Drive : 128 GB"
  "shippingAddress":[{"city":"Ahmedabad"}],
  "payment": {
    "ccinfo": "1000-1000-1000-1000",
    "txnId": "abelif879rft"
```



Relational Schema to store order data:

Address(<u>id</u>, address, city, state, pin)

Customer(id, name, email, billingAddress_id)

FK: billingAddress_id refers into address

Order(OrderNo, date, cust_id, shipingAddress_id orderAmount, payinfo, txnId)

FK: cust_id refers into customer, shippingAddress_id refers into address

Items(<u>itemID</u>, ItemName, category)

OrderItem(OrderNo, itemID, qty, price)

FK: OrderNo refers into Order, ItemID refers into Item



Saving Object in relational Table is real pain !!!

```
function save order (Order order) {
   if !customer exists() {
       //INSERT INTO Customer Table by collecting data from order object
        cust id = order.getCustomer().getId()
        cust name = order.getCustomer().getName
        //so on
       //build a dynamic "INSERT INTO CUSTOMER ... " SQL statement and execute
   //Save Order Row
   //build a dynamic "INSERT INTO ORDER ... " SQL statement and execute
   //so forth
   //should throw exception if already there!
   //Add rows to Order Items
   //build a dynamic "INSERT INTO ORDER ... " SQL statement and execute
    //so forth
```

Though JPA tools like hibernate makes life much easy, still problem remains!



Reading Object from Tables is hard !!!

```
Order read order (int order no) {
    Order order = new Order();
    //Read row from Order table and populate order object
    sql = "select * from order where order no=" + order no
    result = execute(sql);
    order.setId( order no );
    order.setDate( result.getColumn("date") );
    order.setAmount( result.getColumn("order amount") );
    customer id = result.getColumn("customer id")
    //Read related cutomer row, construct and populate a cust
    Customer customer = new Customer()
    customer.setID( customer id )
    customer.setName( queryresult.getColumn("name") )
    customer.setEmail( queryresult.getColumn("email") )
    //
    order.setCustomer( customer );
    //so on
    return order:
```

- Problems are mainly due to structure mismatch between data object in memory and its storage in tables.
 - "Aggregated Objects" and Normalized Tables

It is referred as "impedance mismatch"

Does it make it clear that "what is impedance mismatch"?



- People hoped that some thing like Object Oriented Databases would be replacing relational databases but it did not happen
 - Probability OODB could not become success due to its own complexities, and could not provide many of RDB features
- Though most translations (Object to Relations and Relations to Objects) are automated by Object Relational Mapping (ORM) tools like Hibernate or so; they bring in their own complexity, maintenance and computational cost.
- A nice critic of ORM is available from Martin Flower at https://martinfowler.com/bliki/OrmHate.html
- No SQL Databases is helps here!



"Aggregation Oriented Databases"

- Often objects aggregate other objects and that too at multiple level
 - Customer has multiple Orders, and then each order holds multiple items, so forth!
- Therefore let us call objects as aggregated data in this context!
- Normalized tables in Relational Database do not allow storing aggregated data objects. They require to be split in terms of table rows.
 - recall atomic values in relations definition of 1NF. And hence relational is not aggregate database.
- Database that allow storing "aggregated objects" are called aggregation oriented objects.
- In aggregated databases, our database is in this case is "collection of Order objects" or "collection of Order aggregates"!

- Here is an Aggregated Object "Order"
- Order object embeds all object data including customer, items, payments.
- Aggregation Oriented
 Databases allow us storing such an object directly in the database without breaking in pieces!
- Relational do not; therefore not aggregation oriented.
- All No SQL systems (except graph databases) are aggregation oriented

```
Aggregation Oriented
"order": {
 "orderNo":123,
                   Databases
  "customer": {
    "id": 1,
    "name": "Mayank",
    "email": "mayank@gmail.com",
    "billingAddress": {"city": "Bangalore"}
  "orderItems":[
      "productId":27,
      "price": 325,
      "cat": "book",
      "productName": "NoSQL Distilled"
      "productId":19,
      "price": 550,
      "cat": "computer accessories",
      "productName": "Pen Drive : 128 GB"
  "shippingAddress":[{"city":"Ahmedabad"}],
  "payment": {
    "ccinfo": "1000-1000-1000-1000",
    "txnId": "abelif879rft"
```



"Aggregated Oriented Databases"

- Aggregation oriented databases are in advantage because programmers do not have to all object splitting jobs for saving object in databases. Save in terms of computation required.
- Now what do we loose in such a database?
 - "Not Normalized" will have data redundancy and hence anomalies.
 - Note: customer data will be repeated with all orders of a customer.
 - Aggregations are often good only for certain set of queries where bad for other kind of queries?

Downside of "Aggregation Oriented Databases"

- First one is not normalized and hence redundancy and anomalies.
- An "aggregations" is always good for certain set of queries only while bad for other kind of queries.
- Consider our Order aggregation here. (assuming that orders are sorted in order of order no and hence in the order of order date). Then it is good for queries like
 - Get an Order for a given order-no
 - All order for a given date, or month
 - Compute monthly sales or so.



- But not good for following kind of queries
 - All orders of a customer?
 - State-wise sales of an item
 - Monthly sales of an Item?
- Since data are ordered in terms of order number and hence date.
- Normally No SQL databases distribute their data on multiple computing nodes based on "Key" and here typically Key Order No and date can be part of key.
- Now for specific customer (and item for that matter) data are spread across all nodes.
- For a customer or item based queries, we are require processing objects on all computing nodes. A very undesirable situation.



- A serious drawback of No SQL databases, therefore is there aggregation (which is basically database design) is dictated by kind of queries it is aimed to answer.
- Which is not the case with relational model, database design does not consider the "kind of queries".
- So we may different ways of aggregations!



- So we may different ways of aggregations:
 - Customer embedded in Order, or
 - Order embedded in Customer
 - Customer and Order two different aggregations and having references into other one.
 - However this may lead to split the program objects into multiple pieces – something that bothers us in relational at extreme
 - Higher aggregation granularity require less splitting of object at save time, but then it becomes more specific to a set of queries!



```
Customer Aggregate
```

- "name": "Mayank", "email": "mayank@gmail.com", "billingAddress": [{"city": "Bangalore"}],
- "orders": [

"id": 1,

- Databases is collection of **Customer objects**
- All orders of a customer are embedded in customer objects
- Not good strategy when we need to process and query orders in time dimension.

```
"orderNo":123,
"customerId":1,
"orderItems":[
    "productId":27,
    "price": 325,
    "cat": "book",
    "productName": "NoSQL Distilled"
 },
    "productId":19,
    "price": 550,
    "cat": "computer accessories",
    "productName": "Pen Drive : 128 GB"
"shippingAddress":[{"city":"Ahmedabad"}],
"payment": {
```

"ccinfo": "1000-1000-1000-1000"

- Databases contains two collections: Customers and Orders
- Orders are taken out of customer
- Order object has reference to customer objects (like the foreign key in relational, but referential integrity check is not done.
- Now allows processing orders in time space also

```
order": {
                        Order Aggregate
 "orderNo":123,
"customerId":1
 "orderItems":[
     "productId":27,
     "price": 325,
     "cat": "book",
     "productName": "NoSQL Distilled"
     "productId":19,
     "price": 550,
     "cat": "computer accessories",
     "productName": "Pen Drive : 128 GB"
                 ":[{"city":"Ahmedabad"}],
                  -1000-1000-1000",
```

```
"customer": {
    "id": 1,
    "name": "Mayank",
    "email": "mayank@gmail.com",
    "billingAddress": {"city": "Bangalore"}
}
```

- Databases contains two collections
- Both collections have reference to each other.
 Called bidirectional references.
- Eases out and querying becomes efficient!

```
rder": {
                       Order Aggregate
"orderNo":123,
"customerId":1,
"orderItems":[
    "productId":27,
    "price": 325,
    "cat": "book",
    "productName": "NoSQL Distilled"
  },
    "productId":19,
                              Les",
```

```
"customer": {
    "id": 1,
    "name": "Mayank",
    "email": "mayank@gmail.com",
    "billingAddress": {"city": "Bangalore"},
    "orders": [{ "orderno" : 123}, { "orderno" : 154} ]
}
Ahmedabad"}],
```



"Aggregation Oriented" Databases

Wrap Up

- If a database system allows us saving "aggregated" objects as such, the we call them as "Aggregation Oriented" Database system.
- Most No SQL database systems are aggregation oriented except graph databases.
- Aggregate oriented databases are biased towards a "query load" (querying use cases)
- Having appropriate aggregate is key in No SQL database designs!

Notion of "Key Value"

- Concept of Key value is not new in Programming world.
- Hopefully you have used one following in your programming
 - HashMap in Java
 - map in C++
 - maps in python
- In some languages have concepts of associated arrays which is basically a simplification of map only.
- Idea of Map is basically to perform a <u>"key based lookup in a collection of objects"</u>
- We can have collection of items, orders, customer as map.



Notion of "Key Value"

- Idea of Map is basically to perform a "key based lookup in a collection of objects"
- In a sense Maps can also be called and used as "In Memory Key Value Database"
- Benefit of maps is "efficient access" of an object in a "collection" of objects
 - Note the word collection here!
- Operational examples on Map of Item objects

```
Item a = items.get( 123 );
//gets the item from collection. Parameter is Item No
items.put( 313, item_x );
//puts an item into items collection. Parameters are item no and
```

exists, the operation replaces existing object with passed one.

item object to be put. If item with the given item number already



- How No SQL systems fits into problem space of Relational Systems
- No SQL Database characteristics
 - Runs on cluster
 - Flexible Schema
 - Addresses the impedance mismatch problem
 - Aggregation Orientation
- Impedance Mismatch structural mismatch between program data objects



- Aggregation Oriented Databases
 - Databases that allow saving aggregates as such.
 - It makes No SQL systems program friendly, and
 - Cluster friendly distributed over computers based keys of on aggregates
- Concept of Key-Value
 - Idea of key-value is not new to programming community fundamentally has been used in binary search trees, or so.
 - It is central to No SQL systems
 - Right from basic systems like map-reduce to sophisticated no-sql systems like Cassandra

Notion of "Key Value"

- So, what is "Key" and "Value"?
 - Key is search or Lookup Key for data object in its collection
 - Value is "data object" that is put in the collection
 - In our example items; item_no is key and item object is value.
- Primary operations on maps are "Put" and "Get".
- Again note that search is possible only on Key
- No SQL database explores the idea of key value in databases.



Types of "No SQL database models"

- No-SQL databases (except graph) explore the idea of key value in databases.
- All of them are said to be "Key-Value" databases; but have significant variability in terms of "representation" and "processibility" of value part!
- Here are databases that are based on Key-Value strategy
 - Key-Value databases
 - Document Oriented databases
 - Column Family databases

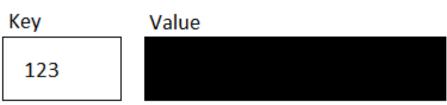


- Make sure that you have understood the meaning by "Key" and "Value"
- Key value database are aggregation oriented databases, and we have
 - "Aggregation" as value, and
 - ID of aggregation as Key (every aggregation is supposed to have a key before it can be saved in the database)
- If "Map" is called as "In Memory Database" Key value database is basically a persistent version of Maps.



Key Value databases

Data Model



- We have value as stream of bytes.
- For a database system "Value" remains as chunk, and it does not do any processing on it.
- Content of "value" or "aggregate" is created and processed by applications.
- Typical content of Value is object in JSON form because this format is very much programming friendly. Easy to serialize an program object to JSON and vice versa.
- Have No Schema. You can put anything in value part.



- Basic Operations are same as of "Map":
 - Get, and Put
- Key Value database system allows saving or reading data as "value" chunk as it is. Does not know what is there in it.
- We can only perform search operations based on Key.
- While this is basic definition of Key Value databases, real key value implementations may do little extra!
- For example Amazon Dynamo DB allows creating indexes on some data attributes (in value), and hence allow searching based on those data from value part.
- Data are distributed on computing nodes based on Key.
- DynamoDB, Redis, Riak are popular key-value databases.



Document Oriented Databases

Key 234

Value

```
"id": 234,
"name": "Mayank",
"email": "mayank@gmail.com",
"billingAddress": {"city": "Bangalore"}
```

- Document Oriented Databases are key value database that make value part partially visible.
- Value may have partial schema specified.
 - May have a required set of attributes and some constraints on them.
 - Schema remain flexible any extra set of attribute-values.



Document Oriented Databases

- Allow searching on
 - Key (data distribution again happens based on key)
 - Provides set of operations that allow facetted search, i.e.
 based on some attributes from value
- MongoDB is popular document database system



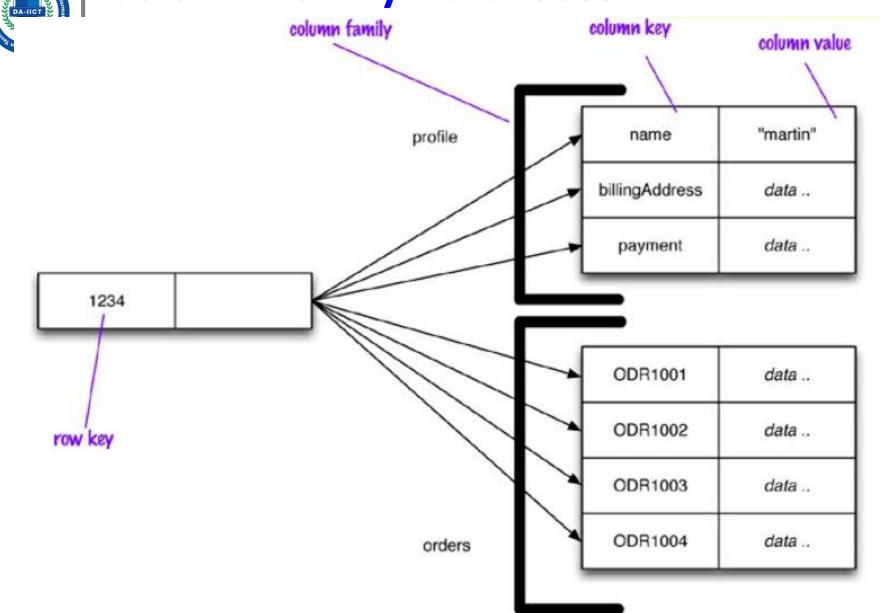
Column Family Databases

- Brings two concepts
 - Row
 - Column Family
- Still use notion of Key. Rows are identified by key.
- Row is split between "multiple aggregates". Each aggregate is called "column family".
- Content of each column family is schema less
- All aggregates (column families) for a given key can be joined if required and produce complete row.



- Column family databases are most structured (schema terms) among No SQL systems.
- BigTable, HBase, Cassandra, CouchBase are popular column family database.

DA-IICT M **Column Family Databases** column family





- [1] Chapter 1 of book NoSQL distilled.
- [2] Relational Databases Are Not Designed For Scale https://www.marklogic.com/blog/relational-databases-scale/
- [3] Herbst, Nikolas Roman, Samuel Kounev, and Ralf Reussner. "Elasticity in cloud computing: What it is, and what it is not." *Proceedings of the 10th International Conference on Autonomic Computing ({ICAC} 13)*. 2013.
- [4] History of Data Modeling: http://graphdatamodeling.com/GraphDataModeling/History.html
- [5] Dean, Jeffrey, and Sanjay Ghemawat. "MapReduce: Simplified data processing on large clusters." (2004)