# Mitigating Unfairness and Bias in Cold Start Recommenders

By Team 17 Kumsetty Nikhil Venkat - 181IT224 Amith Bhat Nekkare - 181IT105

#### **ABSTRACT**

Till date, attempts to study bias and fairness in recommender systems have focused on improving fairness and mitigating bias in situations where a history of the item already exists. In this project, we explore the bias against new items without any feedback history which are added to recommender systems.

For this purpose, we implement two models to mitigate bias, by using fairness measures such as equal opportunity and Rawlsian Min-Max scores and incorporating them into our models. We train and test our model over the ML1M dataset, and achieve favourable results over the base paper.

 Recommender systems are important for connecting users to the right items. These systems are used in many places, such as Amazon's product recommender system, Netflix's movie recommender system, etc.

 However, a challenge associated with recommender systems is ensuring the fairness of the recommendations. For example, in a product recommender, how do we ensure the recommender gives equal treatment to products from big companies and products from new entrants? Unfairness in recommendation may lead to potential negative impacts to user satisfaction, the recommendation platform itself, etc.

Previous works have revealed that widely used recommendation algorithms can indeed produce unfair recommendations toward different items. In this scenario, they show that the main driver of unfairness is the data bias in historical feedback (like clicks or views), and recommendation algorithms unaware of this bias can inherit and amplify this bias to produce unfair recommendations.

 We know that the data bias can be transferred from warm start items to new items through item content features due to the nature of machine learning algorithms.

This fairness gap can be especially problematic since unfairness introduced by cold start recommenders will be perpetuated and accumulated through the entire life cycle of an item, resulting in growing difficulty for mitigating unfairness as the life cycle goes on.

One significant obstacle we face is the issue of formally defining fairness.
 How exactly should we quantify the concept of fairness? In this work, we follow two well-known concepts – equal opportunity and Rawlsian Max-Min fairness principle, and incorporate these concepts in our models.

 By following equal opportunity to measure fairness by the true positive rate, the fairness is directly aligned with the feedback or economic gain items receive as well as user satisfaction. The TPR is defined as the ratio of number of accurate recommendations made of items to users who will like it, to the total number of recommendations made.

 By following Rawlsian Max-Min fairness to accept inequalities, the fairness does not require decreasing utility for the better-served items and thus can better preserve the overall utility.

# Motivation

To create an equitable recommender system which gives fair recommendations to users even when there is no input about the new items.

# LITERATURE REVIEW

Paper & Author	Work Done
Burke et al. [1] (2017)	Mostly concentrated on the rating prediction tasks. Also explored fairness and unfairness in the ranking of an item by calculating the differences in the rating distributions predicted by recommenders across item groups.
Kamishima et al. [2] (2017)	Evolved into using a score concept of fairness among items using regularization based methods of evaluation of fairness in recommenders.
Prost et al. [3] (2019)	Proposed a new algorithm which investigated fairness in item rankings directly than on the predicted scores which were more intermediary in nature.
Ziwei et al. [4] (2021)	Introduced the innovation of cold start recommender systems.

## OUTCOME OF LITERATURE REVIEW

In our literature review, we found that most papers in the domain of recommender systems focussed on improving fairness in the warm start scenario (in the middle of an item's life cycle in the recommender). On the other hand, we focus on the cold start scenario (during the start of an item's life cycle)

# PROBLEM STATEMENT

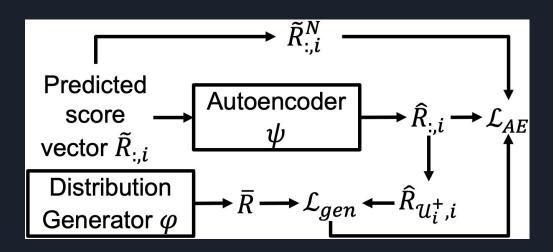
To implement two machine learning models to improve the fairness in the ranking of and mitigate bias in recommenders in the cold start scenario.

## METHODOLOGY

- Develop a model to learn a transformation from item content feature of warm start items to user-item interactions between warm start users and items during training.
- Apply the learned transformation process to the content feature of a new item to predict the possible interactions between users and new items as a recommendation during testing.
- For this purpose we will use mainly 2 types of approaches
  - Joint-learning Generative Method
  - Score Scaling Method

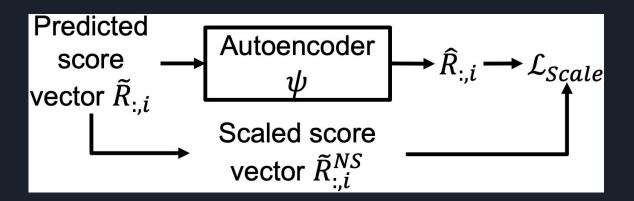
# **METHODOLOGY**

• Joint-learning Generative Method:



# **METHODOLOGY**

• Score Scaling Method:



# WORK DONE

We pre-processed the ML1M dataset.

• We have implemented the joint-learning generative, and the score-scaling models. It was run on the preprocessed ML1M dataset.

 Analysed the obtained results and optimized the gen and scale model to produce optimal results.

# Results and Analysis - gen method

- We observe that from the results obtained the score scaling model is performing better than joint-learning generative method. hence, by decreasing the popularity bias in the cold start recommendation system the recommenders ranking of the new items has improved greatly.
- We also observe that the joint-learning generative method greatly helped in improving the fairness of the recommendation of new items.

	@15	@30	@200
NDCG	53.54%	61.85%	77.67%
Recall	33.05%	34.46%	78.27%
Precision	37.94%	50.29%	76.86%

# Results and Analysis - scale method

The item-view utility improvement in score scaling method is due to that
items originally under-served by base models receive more utility from the
two proposed models, leading to the improvement of overall item-view
utility even though the best-served items receive lower utility.

	@15	@30	@200
NDCG	47.91%	58.72%	79.67%
Recall	47.11%	55.38%	79.76%
Precision	52.81%	61.35%	77.59%

## **NOVELTY**

- We implemented Dropout-Net based mitigating factor and RNN to to make use of each user's recommendation by feeding it into an RNN in the Auto-encoder component. Hence, we observed fairly better results that the base paper.
- We also run the models with k=200 ( 200 cold start items in a batch). This greatly increases the values of the precision, recall and NDCG.

	@15	@30
Score Scaling	52.82%	51.35%
Joint Learning Generative	53.79%	52.06%

## CONCLUSION

In this work, we investigated the fairness among new items in cold start recommendation systems.

We implemented the Scoring scaling and joint-learning generative models.

Lastly, we perform experiments to show the effectiveness of the two proposed models for enhancing fairness and preserving recommendation utility.

# FUTURE WORK

• Explore other techniques to mitigate unfairness and bias in cold start recommenders.

• Implement this model on other datasets such as CiteULike (dataset recording user preferences toward scientific articles), etc.

 We would also like to deploy the trained models as an application that can recommend items to users.

# Individual Contributions

 Amith Bhat: Data Preprocessing on the ML1M dataset, implementing score-scaling model.

• Kumsetty Nikhil Venkat: Data Preprocessing on the ML1M dataset, implementing joint-learning generative model.

## CITATIONS

- [1] R. Burke, "Multisided fairness for recommendation,"CoRR, vol.abs/1707.00093, 2017. [Online]. Available: http://arxiv.org/abs/1707.00093
- [2] T. Kamishima and S. Akaho, "Considerations on recommendation independence for a find-good-items task," 2017.
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# Thank You