

# Bounds on the rate of superimposed codes

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**Abstract**—A binary code is called a superimposed cover-free  $(s, \ell)$ -code if the code is identified by the incidence matrix of a family of finite sets in which no intersection of  $\ell$  sets is covered by the union of  $s$  others. A binary code is called a superimposed list-decoding  $s_L$ -code if the code is identified by the incidence matrix of a family of finite sets in which the union of any  $s$  sets can cover not more than  $L - 1$  other sets of the family. For  $L = \ell = 1$ , both of the definitions coincide and the corresponding binary code is called a superimposed  $s$ -code. Our aim is to obtain new lower and upper bounds on the rate of the given codes. The most interesting result is a lower bound on the rate of superimposed cover-free  $(s, \ell)$ -codes based on the ensemble of constant weight binary codes. If the parameter  $\ell \geq 1$  is fixed and  $s \rightarrow \infty$ , then the ratio of this lower bound to the best known upper bound converges to the limit  $2e^{-2} = 0.271$ . For the classical case  $\ell = 1$  and  $s \geq 2$ , the given statement means that the upper bound on the rate of superimposed  $s$ -codes obtained by A.G. Dyachkov and V.V. Rykov (1982) is asymptotically attained to within a constant factor  $a$ ,  $2e^{-2} \leq a \leq 1$ .

## I. NOTATIONS AND DEFINITIONS

Let  $N, t, s, L$  and  $\ell$  be integers,  $1 \leq s < t$ ,  $1 \leq L \leq t - s$ ,  $1 \leq \ell \leq t - s$ . Let  $\triangleq$  denote the equality by definition,  $|A|$  – the size of  $A$  and  $[N] \triangleq \{1, 2, \dots, N\}$  – the set of integers from 1 to  $N$ . A binary  $(N \times t)$ -matrix

$$X = \|x_i(j)\|, \quad x_i(j) = 0, 1, \quad i \in [N], \quad j \in [t] \quad (1)$$

with  $N$  rows and  $t$  columns (codewords) is called a *code of length  $N$  and size  $t$* . The standard symbol  $\vee$  denotes the *disjunct* (Boolean) sum of two binary numbers:

$$0 \vee 0 = 0, \quad 0 \vee 1 = 1 \vee 0 = 1 \vee 1 = 1,$$

as well as the component-wise disjunct sum of two binary columns. We say that a column  $\mathbf{u}$  covers column  $\mathbf{v}$  ( $\mathbf{u} \succeq \mathbf{v}$ ) if  $\mathbf{u} \vee \mathbf{v} = \mathbf{u}$ . The standard symbol  $\lfloor a \rfloor$  ( $\lceil a \rceil$ ) will be used to denote the largest (least) integer  $\leq a$  ( $\geq a$ ).

**Definition 1.** [1]. A code  $X$  is called a *superimposed cover-free  $(s, \ell)$ -code* (briefly, *CF  $(s, \ell)$ -code*) if for any two non-intersecting sets  $\mathcal{S}, \mathcal{L} \subset [t]$ ,  $|\mathcal{S}| = s$ ,  $|\mathcal{L}| = \ell$ ,  $\mathcal{S} \cap \mathcal{L} = \emptyset$ , there exists a row  $\mathbf{x}_i$ ,  $i \in [N]$ , for which

$$x_i(j) = 0 \text{ for any } j \in \mathcal{S} \text{ and } x_i(k) = 1 \text{ for any } k \in \mathcal{L}.$$

Taking into account the evident symmetry over  $s$  and  $\ell$ , we introduce  $t_{cf}(N, s, \ell) = t_{cf}(N, \ell, s)$  – the maximal size of CF  $(s, \ell)$ -codes of length  $N$  and define the *rate* of CF  $(s, \ell)$ -codes:

$$R(s, \ell) = R(\ell, s) \triangleq \overline{\lim}_{N \rightarrow \infty} \frac{\log_2 t_{cf}(N, s, \ell)}{N} \quad (2)$$

**Definition 2.** [2]. A code  $X$  is called a *list-decoding superimposed code of strength  $s$  and list size  $L$*  (briefly, *LD  $s_L$ -code*), if the disjunct sum of any  $s$ -subset of codewords  $X$  can cover not more than  $L - 1$  codewords that are not components of the given  $s$ -subset. We introduce  $t_{ld}(N, s, L)$  – the maximal size of LD  $s_L$ -codes of length  $N$  and define the *rate* of LD  $s_L$ -codes:

$$R_L(s) \triangleq \overline{\lim}_{N \rightarrow \infty} \frac{\log_2 t_{ld}(N, s, L)}{N} \quad (3)$$

If  $L = \ell = 1$ , then Definitions 1 and 2 coincide, i.e.,  $R_1(s) = R(s, 1)$ ,  $s = 1, 2, \dots$ , and the corresponding code is called a *superimposed  $s$ -code*. Superimposed  $s$ -codes were introduced in the initial paper [3], where the first nontrivial properties, applications and constructions<sup>1</sup> were developed. In addition, the problem of obtaining bounds on the rate  $R(s, 1)$  was suggested.

In the given article, we present a brief survey of known results and formulate new upper and lower bounds on  $R(s, \ell)$  and  $R_L(s)$ . A preprint containing their detailed proofs is available at: arXiv: 1401.0050 [cs.IT].

## II. SURVEY OF RESULTS

### A. Lower and Upper Bounds on $R(s, 1)$

The best known lower bound on the rate  $R(s, 1)$  was obtained in paper [6], where using a random coding method based on the ensemble of binary constant weight codes, we proved that

$$R(s, 1) \geq \underline{R}(s, 1) \triangleq s^{-1} \cdot \max_{0 < Q < 1} A(s, Q), \quad s \geq 1, \quad (4)$$

$$A(s, Q) \triangleq \log_2 \frac{Q}{1 - y} - sK(Q, 1 - y) - K\left(Q, \frac{1 - y}{1 - y^s}\right),$$

$$K(a, b) \triangleq a \cdot \log_2 \frac{a}{b} + (1 - a) \cdot \log_2 \frac{1 - a}{1 - b} \quad (5)$$

and  $y = y(s, Q)$  is the unique root of the equation:

$$y = 1 - Q + Qy^s \cdot \frac{1 - y}{1 - y^s}, \quad 1 - Q \leq y < 1. \quad (6)$$

If  $s \rightarrow \infty$ , then the asymptotic behavior of (4)-(6) has the form:

$$R(s, 1) \geq \underline{R}(s, 1) = \frac{1}{s^2 \log_2 e} (1 + o(1)). \quad (7)$$

Here and below,  $e = 2, 718$  is the base of the natural logarithm.

<sup>1</sup>Later on, the constructions were essentially extended in [4]-[5]

Obviously [3],  $R(s, 1) \leq 1/s$ ,  $s = 1, 2, \dots$ , and the best known upper bound on  $R(s, 1)$  was proved in paper [7]. This upper bound is called a *recurrent bound* and it will be denoted by the symbol  $\bar{R}(s, 1)$ ,  $s = 1, 2, \dots$ . For its description, we introduce the standard notation of binary entropy

$$h(v) \triangleq -v \log_2 v - (1-v) \log_2(1-v), \quad 0 < v < 1, \quad (8)$$

and for each integer  $s$ ,  $s \geq 1$ , define the following function:

$$f_s(v) \triangleq h(v/s) - v h(1/s), \quad 0 < v < 1. \quad (9)$$

Evidently [7], for any value of argument  $v$ ,  $0 < v < 1$ , the function  $f_s(v)$  is positive and  $\cap$ -convex. In addition, its maximal value

$$\max_{0 < v < 1} f_s(v) = f_s(v_s), \text{ where } v_s \triangleq \frac{s}{1 + 2^{s \cdot h(\frac{1}{s})}}. \quad (10)$$

Put  $\bar{R}(1, 1) \triangleq 1$  and

$$\bar{R}(2, 1) \triangleq \max_{0 < v < 1} f_2(v) = f_2(v_2) = 0.322. \quad (11)$$

Then for  $s = 3, 4, \dots$ , the sequence  $\bar{R}(s, 1)$  is defined [7] as the unique root of the following recurrent equation:

$$\bar{R}(s, 1) = f_s \left( 1 - \frac{\bar{R}(s, 1)}{\bar{R}(s-1, 1)} \right). \quad (12)$$

For  $s = 2, 3, \dots$ , we proved [7] the inequalities

$$R(s, 1) \leq \bar{R}(s, 1) \leq \frac{2 \log_2 [e(s+1)/2]}{s^2}, \quad (13)$$

which yield the asymptotic upper bound:

$$R(s, 1) \leq \frac{2 \log_2 s}{s^2} (1 + o(1)), \quad s \rightarrow \infty. \quad (14)$$

Several numerical values of the lower bound  $R(s, 1)$ , defined by (4)-(6) and the upper bound  $\bar{R}(s, 1)$  defined by (11)-(12) are given in Table 1.

Our first new result is given by

**Theorem 1.** *If  $s \geq 8$ , then the recurrent sequence  $\bar{R}(s, 1)$  satisfies the inequality*

$$\bar{R}(s, 1) \geq \frac{2 \log_2 [(s+1)/8]}{(s+1)^2}, \quad s \geq 8. \quad (15)$$

From (13) and (15), it follows that the asymptotic equality is

$$\bar{R}(s, 1) = \frac{2 \log_2 s}{s^2} (1 + o(1)), \quad s \rightarrow \infty. \quad (16)$$

For classical superimposed  $s$ -codes, the main result of our work is presented by

**Theorem 2.** *For the rate  $R(s, 1)$ , the asymptotic inequality holds:*

$$R(s, 1) \geq \frac{4e^{-2} \log_2 s}{s^2} (1 + o(1)), \quad s \rightarrow \infty. \quad (17)$$

The bound (17) essentially improves inequality (7). To within a constant factor  $a$ ,  $4e^{-2} \leq a \leq 2$ , bounds (14) and (17) establish the asymptotic behavior for the rate  $R(s, 1)$  of superimposed  $s$ -codes. It is important to note that Theorem 2 is obtained as a consequence of lower bounds on the rate  $R(s, \ell)$  for CF  $(s, \ell)$ -codes at  $\ell \geq 2$ . These lower bounds formulated in Sect. B are constructed using a random coding method based on the ensemble of binary constant weight codes.

### B. Upper and Lower Bounds on $R(s, \ell)$ for $2 \leq \ell \leq s$

Superimposed cover-free  $(s, \ell)$ -codes (CF  $(s, \ell)$ -codes) were introduced in [1]. The first upper bounds on  $R(s, \ell)$  for CF  $(s, \ell)$ -codes,  $2 \leq \ell \leq s$ , were obtained in [8]-[9]. In papers [10]-[11], the following recurrent inequality was proved:

$$R(s, \ell) \leq \frac{R(s-i, \ell-j)}{R(s-i, \ell-j) + \frac{(i+j)^{i+j}}{i^i \cdot j^j}}, \quad (18)$$

where  $i \in [s-1]$ ,  $j \in [\ell-1]$ , that can be considered as an improvement of the recurrent inequality

$$R(s, \ell) \leq R(s-i, \ell-j) \cdot \frac{i^i \cdot j^j}{(i+j)^{i+j}}, \quad i \in [s-1], j \in [\ell-1],$$

established in [12]. The recurrent inequality (18) and the recurrent upper bound  $\bar{R}(s, 1)$ ,  $s \geq 1$ , defined by (9)-(12), yield the best known upper bound on  $R(s, \ell)$ ,  $2 \leq \ell \leq s$ , having the following recurrent form:

$$\begin{aligned} R(s, \ell) &\leq \bar{R}(s, \ell) \triangleq \\ &\triangleq \min_{i \in [s-1]} \min_{j \in [\ell-1]} \frac{\bar{R}(s-i, \ell-j)}{\bar{R}(s-i, \ell-j) + \frac{(i+j)^{i+j}}{i^i \cdot j^j}}. \end{aligned} \quad (19)$$

The asymptotic consequence of (19) is given [13] by

**Theorem 3.** *If  $s \rightarrow \infty$  and  $\ell \geq 2$  is fixed, then*

$$R(s, \ell) \leq \bar{R}(s, \ell) \leq \frac{(\ell+1)^{\ell+1}}{2 e^{\ell-1}} \cdot \frac{\log_2 s}{s^{\ell+1}} \cdot (1 + o(1)). \quad (20)$$

The best known lower bound for  $R(s, \ell)$ ,  $2 \leq \ell \leq s$ , was obtained in [8] with the help of a random coding method based on the standard ensemble with independent components of binary codewords and a special ensemble with independent constant-weight codewords suggested in [14]. For fixed  $\ell \geq 2$  and  $s \rightarrow \infty$ , the asymptotic behavior of this lower bound can be written [8] as follows

$$R(s, \ell) \geq \frac{e^{-\ell} \ell^{\ell+1} \log_2 e}{s^{\ell+1}} (1 + o(1)). \quad (21)$$

The central result of our paper is a new random coding bound for  $R(s, \ell)$ ,  $2 \leq \ell \leq s$ , formulated below as Theorem 4. The given lower bound is based on the ensemble with binary independent constant weight codewords.

**Theorem 4.** *(Random coding bound  $\underline{R}(s, \ell)$ .) The following two statements hold.* 1. *Let  $2 \leq \ell \leq s$ . Then the rate of CF  $(s, \ell)$ -codes*

$$\underline{R}(s, \ell) \geq \underline{R}(s, \ell) \triangleq \frac{1}{s + \ell - 1} \max_{0 < z < 1} T(z, s, \ell), \quad (22)$$

$$\begin{aligned} T(z, s, \ell) &\triangleq \frac{\ell z^s (1-z)^\ell}{1 - z^s (1-z)^\ell} \log_2 \left[ \frac{z}{1-z} \right] + \\ &+ (s + \ell - 1) \cdot \log_2 [1 - z^s (1-z)^\ell] - \\ &- (s + \ell) \frac{z - z^s (1-z)^\ell}{1 - z^s (1-z)^\ell} \log_2 [1 - z^{s-1} (1-z)^\ell]. \end{aligned} \quad (23)$$

**2.** If  $s \rightarrow \infty$  and  $\ell \geq 2$  is fixed, then the lower bound  $\underline{R}(s, \ell)$  satisfies the asymptotic equality:

$$\underline{R}(s, \ell) = \frac{e^{-\ell} \ell^{\ell+1} \log_2 s}{s^{\ell+1}} (1 + o(1)). \quad (24)$$

With the help of Theorem 4 and recurrent inequality (18) we essentially improve the asymptotic behavior of lower bound (21) and prove

**Theorem 5.** For any fixed  $\ell = 1, 2, \dots$  and  $s \rightarrow \infty$ , the rate  $R(s, \ell)$  satisfies the asymptotic inequality

$$R(s, \ell) \geq \left( \frac{\ell+1}{e} \right)^{\ell+1} \frac{\log_2 s}{s^{\ell+1}} (1 + o(1)). \quad (25)$$

It is evident that Theorem 2 is a direct corollary of Theorem 5. From the evident comparison of upper bound (20) with lower bound (25) the result formulated in the paper abstract follows.

For fixed  $s \geq 2$ , any  $i = 1, 2, \dots$  and any integer parameter  $j$ ,  $2 \leq j \leq s$ , inequality (18) can be written in the form

$$R(s, 1) \geq \frac{R(s+i, j)}{1 - R(s+i, j)} \frac{(i+j-1)^{i+j-1}}{i^i (j-1)^{j-1}},$$

where  $2 \leq j \leq s$ ,  $i = 1, 2, \dots$ . Therefore, applying the lower bound of Theorem 4, we get the following lower bound on the rate of classical superimposed  $s$ -codes:

$$\begin{aligned} R(s, 1) &\geq \underline{R}'(s, 1) \triangleq \\ &\triangleq \max_{i \geq 1, 2 \leq j \leq s} \left\{ \frac{\underline{R}(s+i, j)}{1 - \underline{R}(s+i, j)} \frac{(i+j-1)^{i+j-1}}{i^i (j-1)^{j-1}} \right\}. \end{aligned} \quad (26)$$

$(s, 1)$	$(2, 1)$	$(3, 1)$	$(4, 1)$	$(5, 1)$	$(6, 1)$
$\underline{R}(s, 1)$	.322	.199	.140	.106	.083
$\underline{R}(s, 1)$	.182	.079	.044	.028	.019
$\underline{R}'(s, 1)$	.128	.082	.0566	.0420	.0325
$(i, j)$	$(1, 2)$	$(2, 2)$	$(3, 2)$	$(3, 2)$	$(4, 2)$
$(s, \ell)$	$(2, 2)$	$(3, 2)$	$(4, 2)$	$(5, 2)$	$(6, 2)$
$\overline{R}(s, \ell)$	.161	.0744	.0455	.0286	.0203
$\underline{R}(s, \ell)$	.0584	.0310	.0185	.0120	.00825
$Q(s, \ell)$	.32	.27	.24	.21	.19
$(s, \ell)$	$(3, 3)$	$(4, 3)$	$(5, 3)$	$(6, 3)$	$(4, 4)$
$\overline{R}(s, \ell)$	.0387	.0183	.0109	.00669	.00958
$\underline{R}(s, \ell)$	.0098	.0055	.0034	.00215	.00192
$Q(s, \ell)$	.34	.31	.28	.26	.35
$(s, \ell)$	$(5, 4)$	$(6, 4)$	$(5, 5)$	$(6, 5)$	$(6, 6)$
$\overline{R}(s, \ell)$	.0045	.00256	.00239	.00114	.00060
$\underline{R}(s, \ell)$	.0011	.00067	.00040	.00023	.00008
$Q(s, \ell)$	.32	.30	.37	.35	.38

Table 1.

In Table 1, for  $\ell = 1$  and  $2 \leq s \leq 6$ , we give numerical values of the lower bound  $\underline{R}'(s, 1)$  along with optimal parameters  $(i, j)$  from definition (26). For  $3 \leq s \leq 6$ , the given values improve the lower bound  $\underline{R}(s, 1)$  defined by (4)-(6). For several parameters  $2 \leq \ell \leq s \leq 6$ , Table 1 also gives the values of the upper bound  $\overline{R}(s, \ell)$ , defined by (19), and lower bound  $\underline{R}(s, \ell)$  along with the values of optimal relative weight

$Q(s, \ell)$  for the ensemble used in Theorem 4. In the proof of Theorem 4, the following asymptotic equality is established

$$Q(s, \ell) = \frac{\ell}{s} (1 + o(1)), \quad s \rightarrow \infty, \quad \ell = 2, 3, \dots \quad (27)$$

### C. Bounds on the Rate $R_L(s)$ for LD $s_L$ -Codes

Superimposed list-decoding codes (LD  $s_L$ -codes) were introduced in [2] where nontrivial bounds on the rate  $R_L(s)$  were obtained. Some constructions were considered in [5] (see, also [15]-[17]) in connection with two-stage pooling designs arising from the potentialities of molecular biology to identify any  $p$ -subset,  $p \leq s$ , of positive clones in the clone-library of size  $t$ . From Definition 2, follows the possibility of applying an LD  $s_L$ -code  $X$  of size  $t$  and length  $N$  at the first screening stage. Then  $\leq s+L-1$  candidates are confirmed individually in a confirmatory (second) screening stage. In other words, if the number of positive clones  $\leq s$ , then the two stage list decoding algorithm needs to carry out  $\leq N+s+L-1$  tests (pools). Note, that at fixed  $s \geq 2$ , the rate of two-stage pooling designs  $R_L(s)$  is an increasing function of parameter  $L \geq 1$  and, hence, the number

$$R_\infty(s) \triangleq \lim_{L \rightarrow \infty} R_L(s) \quad (28)$$

can be interpreted as the *maximal rate* for two-stage group testing in the disjunct search model of  $p$ ,  $p \leq s$ , positives.

The following important properties of LD  $s_L$ -codes arise immediately from Definition 2.

**Proposition 1.** [2]. For any  $s \geq 1$  and  $L \geq 1$ , the rate  $R_L(s)$  of LD  $s_L$ -codes satisfies the inequality

$$R_L(s) \leq \frac{1}{s}, \quad s \geq 1, \quad L \geq 1. \quad (29)$$

**Proposition 2.** If  $s > L \geq 2$ , then the maximal size  $t_{ld}(N, s, L)$  and the rate  $R_L(s)$  of LD  $s_L$ -codes satisfy the inequalities

$$\begin{aligned} t_{ld}(N, s, L) &\leq t_{ld}(N, \lfloor s/L \rfloor, 1) + L - 1, \\ R_L(s) &\leq R(\lfloor s/L \rfloor, 1), \quad L \leq s. \end{aligned} \quad (30)$$

**Proposition 3.** [2]. If all  $\binom{t}{s}$  disjunct sums corresponding to different  $s$ -collections of columns of a code  $X$  are distinct, then the code  $X$  is an LD  $(s-1)_2$ -code. The given sufficient condition for LD  $(s-1)_2$ -code is evidently proved by contradiction.

The first results about the upper and lower bounds on the rate  $R_L(s)$  for  $L \geq 2$  were published in [2]. The upper bound on  $R_L(s)$  was obtained as an obvious consequence of the second inequality in (30) and upper bound (13). The lower bound on  $R_L(s)$  was proved by a random coding method based on the standard ensemble of binary codewords with independent components.

In consequent works [18]-[19] the given bounds were improved. Other our results concerning new lower and upper bounds on the rate  $R_L(s)$  are presented below in the form of Theorem 6 and 7.

**Theorem 6.** (Recurrent upper bound  $\bar{R}_L(s)$ ). *The following three statements hold.* **1.** *For any fixed  $L \geq 1$ , the rate of LD  $s_L$ -codes  $R_L(s) \leq \bar{R}_L(s)$ ,  $s = 1, 2, \dots$ , and the right-hand side sequence  $\bar{R}_L(s)$ ,  $s = 1, 2, \dots$ , is defined recurrently:*

- if  $1 \leq s \leq L$ , then

$$\bar{R}_L(s) \triangleq 1/s, \quad s = 1, 2, \dots, L; \quad (31)$$

- if  $s = L + 1, L + 2, \dots$ , then

$$\bar{R}_L(s) \triangleq \min\{1/s; r_L(s)\} \quad (32)$$

and  $r_L(s)$  is the unique root of the equation

$$r_L(s) \triangleq \max_{(34)} f_{\lfloor s/L \rfloor}(v), \quad (33)$$

where the function  $f_n(v)$ ,  $n = 1, 2, \dots$ , of parameter  $v$ ,  $0 < v < 1$ , is defined by (8) – (9) and the maximum is taken over all  $v$  satisfying the condition

$$0 < v < 1 - \frac{r_L(s)}{\bar{R}_L(s-1)}; \quad (34)$$

- if  $s > 2L$  and  $L \geq 1$ , then equation (33) can be written in the form of the equality

$$r_L(s) = f_{\lfloor s/L \rfloor} \left( 1 - \frac{r_L(s)}{\bar{R}_L(s-1)} \right). \quad (35)$$

**2.** *For any  $L \geq 1$ , there exists an integer  $s(L) \geq 2$ , such that*

$$\bar{R}_L(s) = \begin{cases} 1/s & \text{if } s = s(L) - 1, \\ < 1/s & \text{if } s \geq s(L), \end{cases}$$

and  $s(L) = L \log_2 L$  as  $L \rightarrow \infty$ . **3.** *If  $L \geq 1$  is fixed and  $s \rightarrow \infty$ , then*

$$\bar{R}_L(s) = \frac{2L \log_2 s}{s^2} (1 + o(1)). \quad (36)$$

The recurrent bound (31)–(35) and asymptotic behavior (36) are generalizations of the recurrent bound (11)–(12) and asymptotic behavior (16).

**Theorem 7.** (Random coding bound  $\underline{R}_L(s)$ ). *The following three statements hold.* **1.** *For any  $s \geq 1$  and  $L \geq 1$ , the rate of LD  $s_L$ -codes*

$$R_L(s) \geq \underline{R}_L(s) \triangleq \frac{1}{s + L - 1} \max_{0 < Q < 1} A_L(s, Q), \quad (37)$$

$$A_L(s, Q) \triangleq \log_2 \frac{Q}{1-y} - sK(Q, 1-y) - L K \left( Q, \frac{1-y}{1-y^s} \right),$$

where we use the notation (5) and parameter  $y$ ,  $1-Q \leq y < 1$ , is defined as the unique root of the equation

$$y = 1 - Q + Qy^s \left[ 1 - \left( \frac{y-y^s}{1-y^s} \right)^L \right]. \quad (38)$$

**2.** *For any fixed  $L = 1, 2, \dots$  and  $s \rightarrow \infty$ , the asymptotic behavior of the random coding bound  $\underline{R}_L(s)$  has the form*

$$\underline{R}_L(s) = \frac{L}{s^2 \log_2 e} (1 + o(1)). \quad (39)$$

**3.** *At fixed  $s = 2, 3, \dots$  and  $L \rightarrow \infty$ , there exists*

$$\underline{R}_\infty(s) \triangleq \lim_{L \rightarrow \infty} \underline{R}_L(s) = \log_2 \left[ \frac{(s-1)^{s-1}}{s^s} + 1 \right]. \quad (40)$$

If  $s \rightarrow \infty$ , then

$$\underline{R}_\infty(s) = \frac{\log_2 e}{e \cdot s} (1 + o(1)) = \frac{0,5307}{s} (1 + o(1)).$$

**Remark 1.** For the particular case  $L = 1$ , the lower bound (37)–(38) and asymptotic behavior (39) coincide with the lower bound (4)–(6) and (7). In the proofs of Theorems 4 and 7, we analyze our random coding method for a constant weight code ensemble and observe why the random coding bound (24) for CF  $(s, \ell)$ -codes essentially differs from the random coding bound (7) for classical superimposed  $s$ -codes.

The right-hand side of (40) gives the best known lower bound on the maximal rate  $R_\infty(s)$  defined by (28) for two-stage group testing in the disjunct search model. An open problem is to obtain an upper bound on  $R_\infty(s)$  improving the evident upper bound  $R_\infty(s) \leq 1/s$  which follows from (29).

**Remark 2.** We would like to mention paper [20] yielding a lower bound on  $R_\infty(s)$  that is better than (40) but, unfortunately, its proof contains a principal mistake.

$(s, L)$	$(2, 2)$	$(2, 3)$	$(2, 4)$	$(2, 5)$	$(2, 6)$
$\underline{R}_L(s)$	.235	.259	.272	.281	.287
$Q_L(s)$	.24	.23	.23	.22	.22
$(s, L)$	$(3, 2)$	$(3, 3)$	$(3, 4)$	$(3, 5)$	$(3, 6)$
$\underline{R}_L(s)$	.114	.134	.146	.155	.161
$Q_L(s)$	.18	.17	.16	.16	.15
$(s, L)$	$(4, 2)$	$(4, 3)$	$(4, 4)$	$(4, 5)$	$(4, 6)$
$\underline{R}_L(s)$	.0684	.0837	.0940	.101	.106
$Q_L(s)$	.14	.13	.13	.12	.12
$(s, L)$	$(5, 2)$	$(5, 3)$	$(5, 4)$	$(5, 5)$	$(5, 6)$
$\underline{R}_L(s)$	.0455	.0574	.0659	.0722	.0771
$Q_L(s)$	0.12	0.11	0.11	0.10	0.10
$(s, L)$	$(6, 2)$	$(6, 3)$	$(6, 4)$	$(6, 5)$	$(6, 6)$
$\underline{R}_L(s)$	.0325	.0420	.0490	.0544	.0586
$Q_L(s)$	.10	.09	.09	.09	.09
$s$	2	3	4	5	6
$\underline{R}_\infty(s)$	.322	.199	.145	.114	.094

Table 2.

Table 2 presents several numerical values of  $\underline{R}_L(s)$  for small parameters  $s$  and  $L$  along with values  $Q_L(s)$  for the corresponding optimal relative weight in the right-hand side of (37). In Table 2, some numerical values of the lower bound (40) are given as well. In proofs of Statements 2 and 3, we establish the following asymptotic equalities:

$$Q_L(s) = \frac{\ln 2}{s} + \frac{L \ln^2 2}{s^2} + o\left(\frac{1}{s^2}\right), \quad s \rightarrow \infty, \quad L = 1, 2, \dots,$$

$$Q_L(s) = \left[ \frac{s^s}{(s-1)^{s-1}} + 1 \right]^{-1} + o(1), \quad L \rightarrow \infty, \quad s = 2, 3, \dots$$

Evidently, for any  $s \geq 1$  and  $L \geq 1$ , the rate of LD  $s_L$ -codes  $R_L(s)$  satisfies the inequality

$$\underline{R}'(s, 1) \leq R(s, 1) = R_1(s) \leq R_L(s),$$

where the lower bound  $\underline{R}'(s, 1)$  is defined by (26). Hence, one can compare the bound  $\underline{R}_L(s)$  defined by (37)-(38) with the bound  $\underline{R}'(s, 1)$ . Tables 1-2 show that for  $L = 2$  and  $2 \leq s \leq 6$ , the values of  $\underline{R}_2(s)$  improve (exceed) the values of  $\underline{R}'(s, 1)$ , and it is easy to check that for  $s \geq 7$ , the values of  $\underline{R}'(s, 1)$  become greater than values of  $\underline{R}_2(s)$ . This corresponds to the asymptotic behavior of the given bounds. The same is also true when  $L \geq 2$ .

#### D. Disjunct Search Designs

**Definition 3.** [2]-[3]. A code  $X$  is called a *disjunct  $s$ -design* ( $(\leq s)$ -*design*), if the disjunct (Boolean) sum of any collection containing  $s$  ( $\leq s$ ) columns of code  $X$  differs from the disjunct sum of any other collection containing  $s$  ( $\leq s$ ) columns of code  $X$ . Let  $N(t, = s)$  ( $N(t, \leq s)$ ) be the minimal number of rows for disjunct  $s$ -designs ( $(\leq s)$ -*designs*) of size  $t$ . Introduce the *rate* of disjunct  $s$ -designs ( $(\leq s)$ -*designs*) as:

$$R(= s) \triangleq \overline{\lim}_{t \rightarrow \infty} \frac{\log_2 t}{N(t, = s)}, \quad \left( R(\leq s) \triangleq \overline{\lim}_{t \rightarrow \infty} \frac{\log_2 t}{N(t, \leq s)} \right). \quad (41)$$

Obviously [3], the rate

$$R(\leq s) \leq R(= s) \leq 1/s, \quad s = 1, 2, \dots \quad (42)$$

In the non-adaptive disjunct search model of  $s$  ( $\leq s$ ) defects among a set of  $t$  elements, Definition 3 gives the necessary and sufficient condition for identification. Any disjunct  $s$ -design can be considered as the incidence matrix for a *union-free family* [21] containing  $t$  subset of the set  $[N]$ . For any  $s = 2, 3, \dots$ , the rates (2)-(3) and (41) satisfy the following inequalities

$$R(s, 1) \leq R(\leq s) \leq R(s - 1, 1), \quad R(= s) \leq R_2(s - 1). \quad (43)$$

The first and second inequalities were observed in [3] and the third inequality is an evident consequence of Proposition 3.

Applying formulas (31)-(35), we calculated:  $s(1) = 2$ ,  $s(2) = 6$ ,  $s(3) = 12$ ,  $s(4) = 20$ ,  $s(5) = 25$ ,  $s(6) = 36, \dots$ . In addition, for  $L = 2$  and  $s \geq 7$ , we have the following values of  $\overline{R}_2(s - 1)$ :

$s$	7	8	9	10	11	12
$1/s$	.143	.125	.111	.100	.091	.083
$\overline{R}_2(s - 1)$	.163	.141	.117	.102	.086	.076

Table 3.

Table 3 shows that the upper bound  $\overline{R}_2(s - 1) < 1/s$  if  $s \geq 11$ . Therefore, the third inequality in (43) means that the rate of disjunct  $s$ -designs  $R(= s) < 1/s$  if  $s \geq 11$ . For  $s = 2$ , the nontrivial inequality  $R(= 2) \leq 0,4998 < 1/2$  was proved in [21]. For  $3 \leq s \leq 10$ , the inequality  $R(= s) < 1/s$  is our conjecture.

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