

# Separable Codes for the Symmetric Multiple-Access Channel

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**Abstract**—A binary matrix is called an *s-separable code* for the *disjunctive multiple-access channel (disj-MAC)* if Boolean sums of sets of *s* columns are all distinct. The well-known issue of the combinatorial coding theory is to obtain upper and lower bounds on the rate of *s*-separable codes for the *disj-MAC*. In our paper, we generalize the problem and discuss upper and lower bounds on the rate of *q*-ary *s*-separable codes for the models of noiseless symmetric MAC, i.e., at each time instant the output signal of MAC is a symmetric function of its *s* input signals.

**Index Terms**—Multiple-access channel (MAC), separable codes, random coding method, list-decoding.

## I. INTRODUCTION

We STUDY some combinatorial coding problems for the multiple access channel (MAC) that were motivated by two specific noiseless MAC models, corresponding to the transmission of *q*-ary symbols based on the frequency modulation method. Both models were suggested in the paper [1] and were called the *s*-user *q*-frequency MAC with (the *B*-MAC) and without (the *A*-MAC) intensity information. Using a well-known terminology [2] of the combinatorial coding theory, we describe the *A*-MAC and the *B*-MAC coding problems along with the previously obtained results as follows.

Given arbitrary integers  $2 \leq s < t/2$ ,  $q \geq 2$  and  $N \geq 2$ , introduce a code  $X$  consisting of  $t$  codewords of length  $N$  over a *q*-ary alphabet. The code  $X$  is called

- *s-separable* [3] code for the *A*-MAC if for any two distinct *s*-tuples of the codewords there exists a coordinate  $i$ ,  $1 \leq i \leq N$ , in which the union of the *s* elements of

the first *s*-tuple differs from the union of the *s* elements of the second *s*-tuple.

- *s-separable* [4] code for the *B*-MAC if for any two distinct *s*-tuples of the codewords there exists a coordinate  $i$ ,  $1 \leq i \leq N$ , in which the type (or the composition) of the first *s*-tuple differs from the type of the second *s*-tuple.
- $(\leq s)$ -separable [3] code for the *A*-MAC if for any *k*-tuple and any *m*-tuple, where  $1 \leq k, m \leq s$ , of the codewords there exists a coordinate  $i$ ,  $1 \leq i \leq N$ , in which the union of the *k* elements of the *k*-tuple differs from the union of the *m* elements of the *m*-tuple.
- *s-frameproof* code [5] if for any *s*-tuple of the codewords and every other codeword, there exists a coordinate  $i$ ,  $1 \leq i \leq N$ , in which the symbol of the other codeword doesn't belong to the union of the *s* elements of the *s*-tuple.
- *s-hash* code [6], [7] if  $q \geq s$  and for every *s*-tuple of the codewords there exists a coordinate  $i$ ,  $1 \leq i \leq N$ , in which they are all different.

If  $t^{(A)}(s, q, N)$  denote the largest size of *s*-separable codes for the *A*-MAC, then the number

$$R^{(A)}(s, q) = \lim_{N \rightarrow \infty} \frac{\ln t^{(A)}(s, q, N)}{N},$$

is said to be the rate of *s*-separable codes for the *A*-MAC. By the similar way we define the rate  $R^{(B)}(s, q)$  of *s*-separable codes for the *B*-MAC, the rate  $R^{(hash)}(s, q)$  of *s*-hash codes, the rate  $R^{(A)}(\leq s, q)$  of  $(\leq s)$ -separable codes and the rate  $R^{(fp)}(s, q)$  of *s*-frameproof codes.

## A. Related Work

Multimedia fingerprinting is a technique to trace the sources of pirate copies of copyrighted multimedia contents. Separable codes for the *A*-MAC were introduced in [3] as an efficient tool to construct codes for multimedia fingerprinting in the context of “averaging attack”. Due to its importance, constructions, applications and bounds on the rate of separable codes were further investigated and discussed in papers [8]–[11].

Other security models and applications related to separable codes have been considered, and various classes of codes were defined in the literature. We only mention the most significant one and refer the reader to [5], where the problem of preventing an adversary from framing an innocent user was addressed, and the definition of frameproof codes was given. The latter were studied extensively in [3] and [12]–[17].

One important concept, which generalizes the definition of frameproof codes, is called  $(s, s')$ -separating codes [14], [18]

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not to be confused with the definition of  $s$ -separable codes. For this kind of codes, we require the property that for any disjoint  $s$ -tuple and  $s'$ -tuple of codewords, there exists a coordinate, in which the symbols of the  $s$ -tuple are disjoint with the symbols of the  $s'$ -tuple. The most fundamental applications of  $(s, s')$ -separating codes (with  $s \neq s' \geq 2$ ) are connected with automata synthesis [19], a key distribution problem in cryptography [20] and a problem in molecular biology [21].

Finally, hash codes have undergone study due to their applications in information retrieval, cryptography and algorithms. Different problems on hash codes were considered and developed in [6], [7], [22], and [23].

Recall the well-known results emphasizing the connection between separable codes, hash codes and frameproof codes, namely: the inequalities

$$\begin{aligned} R^{(A)}(\leq s, q) &\leq \min \left\{ R^{(fp)}(s-1, q), R^{(A)}(s, q) \right\}, \\ R^{(fp)}(s, q) &\leq R^{(A)}(\leq s, q), \\ R^{(hash)}(s, q) &\leq R^{(fp)}(s-1, q), \quad q \geq s \geq 2, \end{aligned} \quad (1)$$

and asymptotic ( $s$ -fixed and  $q \rightarrow \infty$ ) lower and upper bounds

$$\begin{aligned} R^{(hash)}(s, q) &\geq \frac{\ln q}{s-1}(1+o(1)), \\ R^{(fp)}(s, q) &\leq \frac{\ln q}{s}(1+o(1)). \end{aligned} \quad (2)$$

The first and the second inequalities in (1) are simple reformulations of the corresponding evident properties of binary superimposed codes [24], [25]. The third inequality in (1) is trivially implied from the definitions. The upper bound for frameproof codes in (2) is given in [26] and is based on the same idea as an upper bound for hash codes [23], [27]. The asymptotic lower bound in (2) is an obvious corollary of the random coding lower bound proved in [6] and [28]. From (1) and (2) it follows the asymptotic ( $s$ -fixed and  $q \rightarrow \infty$ ) equalities:

$$R^{(hash)}(s, q) \sim \frac{\ln q}{s-1}, \quad R^{(fp)}(s, q) \sim \frac{\ln q}{s}. \quad (3)$$

Moreover, recent papers [9], [10] contain proofs of the asymptotic ( $s$ -fixed and  $q \rightarrow \infty$ ) equalities:

$$R^{(A)}(\leq 2, q) \sim \frac{2 \ln q}{3}; \quad R^{(A)}(\leq s, q) \sim \frac{\ln q}{s-1}, \quad s \geq 3. \quad (4)$$

Unlike (3) and (4), the similar asymptotic behavior of the rates  $R^{(A)}(s, q)$  and  $R^{(B)}(s, q)$  of  $s$ -separable codes for the A-MAC and the B-MAC is unknown at present. The aim of our paper is a further development and generalization of the given open problems.

## B. Outline

The remainder of the paper is organized as follows. After introducing notations, in Section II, we give a general definition of the noiseless symmetric MAC (the  $f$ -MAC) along with the corresponding definition of an  $s$ -separable code for the  $f$ -MAC, and describe five models of the  $f$ -MACs, which are important for applications. In Section III, we speculate about an information-theoretic upper bound, called

an *entropy* bound, on the rate of  $s$ -separable codes for the  $f$ -MAC and discuss the known and new improvements of the entropy bound. In particular, a combinatorial upper bound on  $R^{(B)}(s, q)$  is given by Theorem 1. In Section IV, new asymptotic ( $s$ -fixed,  $q \rightarrow \infty$ ) random coding lower bounds on the rates  $R^{(A)}(s, q)$  and  $R^{(B)}(s, q)$  are presented by Theorem 2 and Theorem 3, respectively. In Section V, we introduce the concept of list-decoding codes for the A-MAC and obtain an upper bound on the rate of these codes, matching with the known lower bound for very large alphabet size  $q$ . Based on a simple connection between list-decoding codes and  $s$ -separable codes, we also derive an upper bound on  $R^{(A)}(s, q)$ , given by Theorem 6. Finally, in the Appendix, we introduce the Shannon concept of an error probability for the  $f$ -MAC and investigate the logarithmic asymptotics of the standard random coding upper bounds on the error probability. The obtained results lead us to some non-asymptotic random coding lower bounds on the rate of  $s$ -separable codes for the symmetric  $f$ -MAC.

In particular, as new results we claim the following.

*Theorem 1:* For any  $s \geq 2$  and  $q \geq 2$ , the rate of  $s$ -separable  $q$ -ary codes for the B-MAC satisfies the inequality

$$R^{(B)}(s, q) \leq \begin{cases} \frac{s+1}{2s} \ln q, & \text{if } s \text{ is odd,} \\ \frac{s+2}{2(s+1)} \ln q, & \text{if } s \text{ is even.} \end{cases}$$

*Theorem 2:* If  $s \geq 2$  is fixed and  $q \rightarrow \infty$ , then the rate  $R^{(B)}(s, q)$  satisfies the asymptotic inequality

$$R^{(B)}(s, q) \geq \frac{s}{2s-1} \ln q (1+o(1)).$$

*Theorem 3:* If  $s \geq 2$  is fixed and  $q \rightarrow \infty$ , then the rate  $R^{(A)}(s, q)$  satisfies the asymptotic inequality

$$R^{(A)}(s, q) \geq \frac{2}{s+1} \ln q (1+o(1)).$$

*Theorem 6:* For any  $s \geq 2$  and  $q \geq 2$ , the rate of  $s$ -separable  $q$ -ary codes for the A-MAC satisfies the inequality

$$R^{(A)}(s, q) \leq \frac{2}{s} \ln q.$$

## II. STATEMENT OF THE PROBLEM

### A. Notations

Let  $q$ ,  $N$ ,  $t$ ,  $s$  and  $L$  be integers, where  $q \geq 2$ ,  $N \geq 2$ ,  $2 \leq s < t/2$ ,  $1 \leq L \leq t-s$ . Let symbol  $\triangleq$  denote equality by definition,  $\mathcal{A}_q \triangleq \{0, 1, \dots, q-1\}$  be the standard  $q$ -ary alphabet,  $[N] \triangleq \{1, 2, \dots, N\}$  be the set of integers from 1 to  $N$ ,  $|A|$  be the size of the set  $A$ ,  $[b]^+ \triangleq \max\{0; b\}$  be the positive part of  $b$ . A  $q$ -ary  $(N \times t)$ -matrix  $X = (x_i(j))$ ,  $i \in [N]$ ,  $j \in [t]$ ,  $x_i(j) \in \mathcal{A}_q$ , with  $t$  columns (codewords)  $\mathbf{x}(j) \triangleq (x_1(j), \dots, x_N(j))$ ,  $j \in [t]$ , and  $N$  rows  $\mathbf{x}_i \triangleq (x_i(1), \dots, x_i(t))$ ,  $i \in [N]$ , is called a  $q$ -ary code of length  $N$  and size  $t$ .

For any fixed  $q$ -ary vector  $\mathbf{x} = (x_1, \dots, x_s) \triangleq x_1^s \in \mathcal{A}_q^s$ , define the integer vector  $(s_0, s_1, \dots, s_{q-1})$  of length  $q$ , where  $s_a = s_a(\mathbf{x})$ ,  $0 \leq s_a \leq s$ ,  $a \in \mathcal{A}_q$ , is the number of positions  $i$ ,  $i \in [s]$ , such that  $x_i = a$ . Obviously,  $\sum_{a=0}^{q-1} s_a = s$ . The vector

168  $(s_0, \dots, s_{q-1})$  is said to be a *type* (or, *composition*) of the  
 169  $q$ -ary vector  $x_1^s \in \mathcal{A}_q^s$  or, briefly,

$$170 \quad T(x_1^s) \triangleq (s_0, \dots, s_{q-1}). \quad (5)$$

171 Introduce the standard symbols  $2^Y$  and  $\binom{[t]}{s}$  to denote the set  
 172 of all subsets of a set  $Y$  and the set of all subsets of size  $s$  of  
 173 the set  $[t]$ . By definition, the union  $U(x_1^s)$  of the  $q$ -ary vector  
 174  $x_1^s \in \mathcal{A}_q^s$  is

$$175 \quad U(x_1^s) \triangleq \bigcup_{i \in [s]} x_i \in 2^{\mathcal{A}_q}. \quad (6)$$

176 For any  $\mathbf{e} = \{e_1, \dots, e_s\} \in \binom{[t]}{s}$ , called a *message*, and a  
 177 code  $X$ , consider the non-ordered  $s$ -collection of codewords

$$178 \quad \mathbf{x}(\mathbf{e}) \triangleq \{x(e_1), \dots, x(e_s)\}. \quad (7)$$

179 We say that  $\mathbf{x}(\mathbf{e})$  encodes the message  $\mathbf{e}$ .

### 180 *B. The Symmetric Multiple-Access Channel*

181 We use the terminology of the noiseless (deterministic)  
 182 *multiple-access channel* (MAC), which has  $s$  inputs and one  
 183 output [2]. Let all  $s$  input alphabets of MAC be the same and  
 184 coincide with the alphabet  $\mathcal{A}_q = \{0, 1, \dots, q-1\}$ . Denote  
 185 by  $Z$  the finite output alphabet of size  $|Z|$ . Given  $s$  inputs  
 186  $(x_1, \dots, x_s) \in \mathcal{A}_q^s$  of MAC, the noiseless MAC is prescribed  
 187 by the function

$$188 \quad z = f(x_1, \dots, x_s) \triangleq f(x_1^s), \quad z \in Z, \quad x_1^s \in \mathcal{A}_q^s. \quad (8)$$

189 The deterministic model of MAC is called an *f-MAC*.

190 *Definition 1:* An *f-MAC*, given by (8), is said to be the  
 191 *symmetric f-MAC* if for any permutation  $\pi \in S_s$ , where  $S_s$   
 192 is the symmetric group on  $s$  elements, the following equality  
 193 holds

$$194 \quad f(x_1, \dots, x_s) = f(x_{\pi(1)}, \dots, x_{\pi(s)}).$$

195 *Remark 1:* Note that to determine a function  $f =$   
 196  $f(x_1, \dots, x_s) = f(x_1^s)$  for the symmetric *f-MAC* it is neces-  
 197 sary and sufficient to define  $f$  only on different compositions  
 198  $(s_0, s_1, \dots, s_{q-1}) = T(x_1^s)$ ,  $x_1^s \in \mathcal{A}_q^s$ , or, in other terms,  
 199 on multisets of cardinality  $s$  ( $s$ -collections) over  $\mathcal{A}_q$ .

200 In what follows, we consider the symmetric *f-MAC* only.

### 201 *C. Separable Codes*

202 For any message  $\mathbf{e} \in \binom{[t]}{s}$  and a fixed code  $X = (x_i(j))$ ,  
 203  $i \in [N]$ ,  $j \in [t]$ , let  $\mathbf{x}_i(\mathbf{e}) = \{x_i(e_1), \dots, x_i(e_s)\}$ ,  $i \in [N]$ ,  
 204 be the corresponding  $s$ -collection of signals (7) at  $s$  inputs of  
 205 the symmetric *f-MAC* at the  $i$ -th time unit. Then the signal  
 206  $z_i$  at the output of the symmetric *f-MAC* at the  $i$ -th time  
 207 unit is

$$208 \quad z_i = z_i^{(f)}(\mathbf{e}, X) \triangleq f(x_i(e_1), \dots, x_i(e_s)) \in Z.$$

209 On the base of the code  $X$  and  $N$  signals

$$210 \quad \mathbf{z}^{(f)}(\mathbf{e}, X) \triangleq \left( z_1^{(f)}(\mathbf{e}, X), \dots, z_N^{(f)}(\mathbf{e}, X) \right) \in Z^N,$$

211 which are known at the output of MAC, an *observer* makes  
 212 the *brute force* decision about the unknown message  $\mathbf{e}$ . To  
 213 identify  $\mathbf{e}$ , a code  $X$  is assigned.

214 *Definition 2:* A  $q$ -ary code  $X$  is said to be a *s-separable*  
 215 code of size  $t$  and length  $N$  for the *f-MAC* if all  $\mathbf{z}^{(f)}(\mathbf{e}, X)$ ,  
 216  $\mathbf{e} \in \binom{[t]}{s}$ , are distinct.

217 Let  $t^{(f)}(s, q, N)$  be the *maximal size* of *s-separable*  $q$ -ary  
 218 codes of length  $N$  for the *f-MAC*. For fixed  $s \geq 2$  and  $q \geq 2$ ,  
 219 the number

$$220 \quad R^{(f)}(s, q) \triangleq \overline{\lim}_{N \rightarrow \infty} \frac{\ln t^{(f)}(s, q, N)}{N}, \quad (9)$$

221 is said to be a *rate* of *s-separable*  $q$ -ary codes for the *f-MAC*.

### 222 *D. Examples of the Symmetric f-MAC*

223 *1) The A-MAC:* The *A-MAC* is described by the function

$$224 \quad z = f(x_1^s) \triangleq U(x_1^s) \subseteq \mathcal{A}_q,$$

225 where the union function  $U(x_1^s)$  of a vector  $x_1^s$  is given in (6).  
 226 For instance, if  $s = 4$  and  $q = 3$ , then

$$227 \quad U(0, 0, 1, 1) = \{0, 1\}, \quad U(1, 1, 0, 2) = \{0, 1, 2\}.$$

228 The cardinality  $|Z|$  of output alphabet  $Z$  for the *A-MAC* is  

$$229 \quad |Z| = \sum_{k=1}^{\min(s, q)} \binom{q}{k}. \quad \text{For } s \geq q, \text{ we have } |Z| = 2^q - 1.$$

230 *2) The B-MAC:* The *B-MAC* known also as the *compositional*  
 231 channel is described by the function

$$232 \quad z = f(x_1^s) \triangleq T(x_1^s), \quad x_1^s = (x_1, \dots, x_s) \in \mathcal{A}_q^s,$$

233 where the type function  $T(x_1^s)$  of a vector  $x_1^s$  is defined by (5).  
 234 For instance, if  $s = 4$  and  $q = 3$ , then

$$235 \quad T(0, 0, 1, 1) = (2, 2, 0), \quad T(1, 1, 0, 2) = (1, 2, 1).$$

236 The cardinality of the output alphabet for the *B-MAC* is  

$$237 \quad |Z| = \binom{q+s-1}{s}, \quad s \geq 2, q \geq 2. \quad \text{We acknowledge the paper [1],}$$

$$238 \quad \text{in which the significant applications of the } B\text{-MAC and the }$$

$$239 \quad A\text{-MAC were firstly developed.}$$

240 *3) The Erasure MAC:* A  $q$ -ary *f-MAC* is said to be the  
 241 *erasure MAC* (briefly, *eras-MAC*) if it has the  $(q+1)$ -  
 242 ary output alphabet  $Z \triangleq \{0, 1, \dots, q-1, *\}$  and the output  
 243 function  $z = f(x_1^s)$  has the form:

$$244 \quad z = f(x_1, \dots, x_s) \triangleq \begin{cases} x, & \text{if } x_1 = \dots = x_s = x, x \in \mathcal{A}_q, \\ *, & \text{otherwise.} \end{cases}$$

245 The *eras-MAC* model can be considered as an adequate  
 246 description for the transmission of  $q$ -ary symbols based on the  
 247 *frequency modulation* method.

248 *4) The Threshold MAC:* The threshold  $f_\ell$ -*MAC* (briefly,  
 249  $\ell$ -*thr*-*MAC*) has the binary input (i.e.,  $q = 2$ ) and the output  
 250 alphabet  $Z \triangleq \mathcal{A}_2 = \{0, 1\}$ , and

$$251 \quad z = f_\ell(x_1, \dots, x_s) \triangleq \begin{cases} 0, & \text{if } \sum_{i=1}^s x_i < \ell, \\ 1, & \text{otherwise,} \end{cases}$$

252 where terms of the sum are considered as 0 and 1 elements  
 253 of the ring of integers  $\mathbb{Z}$ . Separable codes for the  $\ell$ -*thr*-*MAC*  
 254 are connected with some *compressed genotyping* [29] models  
 255 arising in the molecular biology.

256     5) *The Disjunctive MAC*: The disjunctive MAC (briefly,  
 257     *disj*-MAC) has the binary input alphabet and the output  
 258     alphabet  $Z \triangleq \mathcal{A}_2 = \{0, 1\}$ , and

$$259 \quad z = f(x_1, \dots, x_s) \triangleq \begin{cases} 0, & \text{if } x_1 = \dots = x_s = 0, \\ 1, & \text{otherwise.} \end{cases}$$

260     Notice that the *disj*-MAC is equivalent to the *1-thr*-MAC.  
 261     The *disj*-MAC model is interpreted as the transmission of  
 262     binary symbols based on the *impulse modulation* method.  
 263     In addition, the binary  $s$ -separable codes for the *disj*-MAC are  
 264     closely connected with the *combinatorial search theory* [30]  
 265     and the information-theoretic model called the *design of*  
 266     *screening experiments* [31].

### 267     III. IMPROVEMENTS OF THE ENTROPY BOUND

268     In this section, we first give a general statement called  
 269     the entropy bound on the rate of separable codes for any  
 270     symmetric MAC. For an asymptotic regime  $s \rightarrow \infty$ , we recall  
 271     the best known bounds on the rate of separable codes for the  
 272     disjunctive, the erasure, the threshold, the *A* and the *B* MACs  
 273     in Sections III-B-III-F, respectively. Finally, in Section III-G,  
 274     we present Theorem 1, a novel upper bound, which holds for  
 275     any symmetric MAC and improves the entropy bound.

#### 276     A. The Entropy Upper Bound on $R^{(f)}(s, q)$

277     Let  $\mathbf{p} \triangleq \{p(a), a \in \mathcal{A}_q\}$ , where  $0 \leq p(a) \leq 1, a \in \mathcal{A}_q$ ,  
 278     and  $\sum_{a \in \mathcal{A}_q} p(a) = 1$ , be a fixed probability distribution on  
 279     the  $q$ -ary alphabet  $\mathcal{A}_q$ , and a multinomial random vector  $\xi_1^s \triangleq$   
 280      $(\xi_1, \dots, \xi_s) \in \mathcal{A}_q^s$  is the collection of  $s$  *independent* random  
 281     variables having the same distribution  $\mathbf{p}$ , i.e.,  $\Pr\{\xi_k = a\} \triangleq$   
 282      $p(a), k \in [s], a \in \mathcal{A}_q$ . If the random vector  $\xi_1^s$  is interpreted  
 283     as  $s$  signals at  $s$  *independent* inputs of the symmetric  $f$ -MAC,  
 284     then the output Shannon entropy  $H_p^{(f)}(s, q)$  is defined [2] as

$$285 \quad H_p^{(f)}(s, q) \triangleq \sum_{z \in Z} \Pr\{f(\xi_1^s) = z\} \cdot \ln \frac{1}{\Pr\{f(\xi_1^s) = z\}},$$

$$286 \quad \Pr\{\xi_1^s = a_1^s\} \triangleq \prod_{k=1}^s \Pr\{\xi_k = a_k\} \triangleq \prod_{k=1}^s p(a_k). \quad (10)$$

287     Remark 2: Remark 1 and the well-known maximization  
 288     property [2] of the Shannon entropy imply that for any sym-  
 289     metric  $f$ -MAC and any probability distribution  $\mathbf{p}$ , the entropy  
 290     function  $H_p^{(f)}(s, q)$  satisfies the inequalities

$$291 \quad H_p^{(f)}(s, q) \leq H_p^{(B)}(s, q) \leq \ln \binom{s+q-1}{q}, \quad (11)$$

292     where we took into account that for the *B*-MAC, the output  
 293     alphabet size  $|Z| = \binom{s+q-1}{q}$ .

294     Proposition 1 [32]–[34]: *The rate of  $s$ -separable  $q$ -ary  
 295     codes for the symmetric  $f$ -MAC satisfies the inequality*

$$296 \quad R^{(f)}(s, q) \leq \overline{C}^{(f)}(s, q) \triangleq \frac{\max_{\mathbf{p}} H_p^{(f)}(s, q)}{s}. \quad (12)$$

297     The foregoing statement is based on the subadditive prop-  
 298     erty [2] of the Shannon entropy and, hereinafter, the function  
 299      $\overline{C}^{(f)}(s, q)$  defined by (10) and (12) is said to be an *entropy*  
 300     *bound* for the  $f$ -MAC.

#### 301     B. Bounds on the Rate $R^{(disj)}(s)$ for the Disjunctive MAC

302     One can check [33] that the entropy bound of the *disj*-  
 303     MAC is  $\overline{C}^{(disj)}(s, 2) = \ln 2/s$  and the maximum in the right-  
 304     hand side of (12) is attained at the distribution  $\mathbf{p}$  with proba-  
 305     bilities  $p(0) = 2^{-1/s}$  and  $p(1) = 1 - 2^{-1/s}$ . Some significant  
 306     results, improving the entropy bound  $R^{(disj)}(s, 2) \leq \ln 2/s$ ,  
 307     were obtained in [35] for  $s = 2$  and in [36] for  $s \geq 11$ .  
 308     In addition, we refer to the best known asymptotic ( $s \rightarrow \infty$ )  
 309     lower [31] and upper [36] bounds on the rate  $R^{(disj)}(s)$ :

$$310 \quad \frac{2(\ln 2)^2}{s^2}(1 + o(1)) \leq R^{(disj)}(s, 2) \leq \frac{4 \ln s}{s^2}(1 + o(1)),$$

311     where the lower bound is based on Proposition 5 formulated  
 312     in the Appendix.

#### 313     C. Bounds on the Rate $R^{(eras)}(s, q)$ for the Erasure MAC

314     If  $q = 2$  and  $s \rightarrow \infty$ , then it is not difficult to establish [37]  
 315     that the entropy bound of the *eras*-MAC is  $\overline{C}^{(eras)}(s, 2) \sim$   
 316      $\ln 2/s$  and the maximum in the right-hand side of (12) is  
 317     asymptotically attained at distribution  $\mathbf{p}$  with  $p(1) \sim \ln 2/s$  or  
 318     with  $p(0) \sim \ln 2/s$ . In addition, we mention the best known  
 319     asymptotic ( $s \rightarrow \infty$ ) lower [38] and upper [31] bounds on  
 320     the rate  $R^{(eras)}(s, 2)$ :

$$321 \quad \frac{2(\ln 2)^2}{s^2}(1 + o(1)) \leq R^{(eras)}(s, 2) \leq \frac{4 \ln s}{s^2}(1 + o(1)).$$

322     Open Problem: We conjecture that the entropy bound of the  
 323     *eras*-MAC does not depend on  $q \geq 2$ , i.e.,

$$324 \quad \overline{C}^{(eras)}(s, q) = \overline{C}^{(eras)}(s, 2), \quad s \geq 2, q \geq 2.$$

#### 325     D. Bounds on the Rate $R^{(\ell-thr)}(s)$ for the Threshold MAC

326     The best known asymptotic ( $\ell \geq 2$  is fixed and  $s \rightarrow \infty$ )  
 327     lower and upper bounds on the rate  $R^{(\ell-thr)}(s)$  were presented  
 328     in [39] and [40]:

$$329 \quad \frac{\ell^\ell e^{-2\ell} 2^{-\ell-1}}{(\ell-1)!s^2}(1 + o(1)) \leq R^{(\ell-thr)}(s, 2) \leq \frac{2\ell^2 \ln s}{s^2}(1 + o(1)).$$

#### 330     E. Bounds on the Rate $R^{(A)}(s, q)$ for the A-MAC

331     For fixed  $q$  and  $s \rightarrow \infty$ , the best known upper bounds on the  
 332     rate  $R^{(A)}(s, q)$  are based on the upper bound for  $R^{(disj)}(s, 2)$   
 333     and improve the entropy bound. The asymptotic ( $s \rightarrow \infty$ )  
 334     lower and upper bounds were established in [38]

$$335 \quad \frac{(q-1)}{s^2 \log_2^2 e}(1 + o(1)) \leq R^{(A)}(s, q) \leq \frac{4(q-1) \ln s}{s^2}(1 + o(1)).$$

#### 336     F. Bounds on the Rate $R^{(B)}(s, q)$ for the B-MAC

337     For fixed  $q$  and  $s \rightarrow \infty$ , the best known lower and upper  
 338     bounds on the rate  $R^{(B)}(s, q)$  were given in [32] and [41]  
 339     (case  $q = 2$ ) and in [1] and [4] (case  $q > 2$ )

$$340 \quad \frac{(q-1) \ln s}{4s}(1 + o(1)) \leq R^{(B)}(s, q) \leq \frac{(q-1) \ln s}{2s}(1 + o(1)).$$

341 *G. Combinatorial Upper Bound for the Symmetric MAC*

342 In the following theorem, we establish a combinatorial  
 343 upper bound on the rate of  $s$ -separable  $q$ -ary codes for any  
 344 symmetric  $f$ -MAC.

345 *Theorem 1:* *For any symmetric  $f$ -MAC and  $s \geq 2$ ,  $q \geq 2$ ,*  
 346 *the rate satisfies the inequality*

$$347 R^{(f)}(s, q) \stackrel{(a)}{\leq} R^{(B)}(s, q) \\ 348 \leq \overline{R}^{(B)}(s, q) \triangleq \begin{cases} \frac{s+1}{2s} \ln q, & \text{if } s \text{ is odd,} \\ \frac{s+2}{2(s+1)} \ln q, & \text{if } s \text{ is even.} \end{cases} \quad (13)$$

349 The inequality (a) is evidently implied by Remark 1 because  
 350 any  $s$ -separable code for the given symmetric  $f$ -MAC is an  
 351  $s$ -separable code for the  $B$ -MAC as well. For the  $B$ -MAC,  
 352 the maximization problem in the right-hand side of (12) was  
 353 firstly solved in [42]. Mateev [42] proved that the maximum  
 354 is attained at the uniform distribution  $p(a) = 1/q, a \in \mathcal{A}_q$ ,  
 355 and the entropy bound  $\overline{C}^{(B)}(s, q)$  is

$$356 \overline{C}^{(B)}(s, q) = \frac{1}{s} \sum_{\sum s_i=s} \frac{s!}{s_0! \dots s_{q-1}!} \frac{1}{q^s} \ln \left( \frac{s_0! \dots s_{q-1}!}{s!/q^s} \right).$$

357 Applying the foregoing formula, one can easily check that for  
 358 any  $s \geq 2$  and  $q \geq 2$ ,

$$359 \overline{C}^{(B)}(s, q) \geq \frac{1}{s} (\ln q^s - \ln s!) = \ln q - \frac{\ln s!}{s}. \quad (14)$$

360 Observe that the general bound (11) yields the upper bound

$$361 \overline{C}^{(B)}(s, q) \leq \frac{1}{s} \ln \binom{s+q-1}{s} < \ln(q+s-1) \quad (15)$$

362 From Theorem 1 and inequalities (14)-(15), it follows

363 *Corollary 1:* If  $s \geq 2$  is fixed and  $q \rightarrow \infty$ , then the entropy  
 364 bound for the  $B$ -MAC  $\overline{C}^{(B)}(s, q) \sim \ln q$ , i.e., the upper bound  
 365  $\overline{R}^{(B)}(s, q)$  defined in the left-hand side of (13) asymptotically  
 366 improves the entropy bound  $\overline{C}^{(B)}(s, q)$ . In addition,  
 367 for any  $s \geq 2$  and  $q > (s!)^{2/(s-1)}$ , the rate  $R^{(B)}(s, q)$  of  
 368  $s$ -separable codes for the  $B$ -MAC satisfies the strict inequality  
 369  $R^{(B)}(s, q) < \overline{C}^{(B)}(s, q)$ .

370 *Remark 3:* If  $s \geq 2$  is fixed and  $q \rightarrow \infty$ , then we do  
 371 not know any asymptotic results about the entropy bound  
 372  $\overline{C}^{(A)}(s, q)$  for the  $A$ -MAC which are similar to the results  
 373 described in Corollary 1 for the  $B$ -MAC.

374 *Proof of Theorem 1:* Fix an arbitrary  $q$ -ary  $(N \times t)$ -code  $X$ .  
 375 For any  $\alpha$ ,  $0 < \alpha < 1$ , without loss of generality, we may  
 376 assume that all codewords from  $X$  are distinct and the length  $N$   
 377 can be represented as a sum of two integers  $\alpha N$  and  $(1-\alpha)N$ .  
 378 Given  $X$ , introduce the bipartite graph

$$379 G = G(X) = (V, E) \triangleq (V_1 \cup V_2, E), \\ 380 |V_1| = q^{\alpha N}, \quad |V_2| = q^{(1-\alpha)N},$$

381 defined as follows. Let the vertices in  $V_1$  and  $V_2$  correspond to  
 382 distinct  $q$ -ary vectors of length  $\alpha N$  and  $(1-\alpha)N$ , respectively.  
 383 Two vertices  $v_1 \in V_1$  and  $v_2 \in V_2$  are connected with an  
 384 edge if and only if the code  $X$  contains a codeword of length  
 385  $N = \alpha N + (1-\alpha)N$  which is the concatenation of two  $q$ -  
 386 ary vectors corresponding to  $v_1$  and  $v_2$ . Thus, we obtain the  
 387 graph  $G(X)$  having  $|V| = q^{(1-\alpha)N} + q^{\alpha N}$  vertices and  $t$  edges,

388 identified by the indexes  $[t]$  of the code  $X$ . In addition, any  
 389 message  $\mathbf{e} \in \binom{[t]}{s}$  is interpreted as a non-ordered  $s$ -collection  
 390 of edges.

391 Let  $X$  be a  $q$ -ary  $s$ -separable code for the  $B$ -MAC. Now  
 392 we shall prove that there is no short cycle in  $G(X)$ . Suppose,  
 393 seeking a contradiction, that there exists a simple cycle  $C_{2\ell}$   
 394 of length  $2\ell \leq 2s$  in  $G(X)$ . Enumerate edges in  $C_{2\ell}$  by  
 395  $e_1, \dots, e_{2\ell}$ , where  $e_i$  and  $e_{i+1}$  are adjacent for any  $i \in$   
 396  $[2\ell - 1]$  ( $e_1$  and  $e_{2\ell}$  are also adjacent). Define the set  $E_1$  as  
 397  $\{e_1, e_3, \dots, e_{2\ell-1}\}$ , and let  $E_2$  be the remaining edges of the  
 398 cycle. Consider an arbitrary subset  $S \subset [t] \setminus \{E_1 \cup E_2\}$  of the  
 399 size  $|S| = s - \ell$  and define two messages  $\mathbf{e}_i \triangleq E_i \cup S \in \binom{[t]}{s}$ ,  
 400  $i = 1, 2$ . It is easy to check that outputs of the  $B$ -MAC for  
 401 these messages are the same, i.e.,  $z^{(\bar{B})}(\mathbf{e}_1, X) = z^{(B)}(\mathbf{e}_2, X)$ .  
 402 This contradicts to Definition 2.

403 It is known (e.g., see [43]) that if a bipartite graph with two  
 404 parts of sizes  $n$  and  $m$  does not contain any simple cycle of  
 405 length  $\leq 2s$ , then the number  $t$  of its edges is

$$406 t \leq \begin{cases} (2s-3) \left( (mn)^{\frac{s+1}{2s}} + m + n \right), & \text{if } s \text{ is odd,} \\ (2s-3) \left( m^{\frac{s+2}{2s}} n^{1/2} + m + n \right), & \text{if } s \text{ is even.} \end{cases}$$

407 For odd  $s$ , we obtain

$$408 t \leq (2s-3) \left[ q^{\frac{s+1}{2s}} + q^{\alpha N} + q^{(1-\alpha)N} \right] \\ 409 \leq 3(2s-3) q^{N \max \left\{ \frac{s+1}{2s}, \alpha, (1-\alpha) \right\}}.$$

410 Taking  $\alpha = 1/2$ , we derive

$$411 t \leq 3(2s-3) q^{\frac{s+1}{2s} N},$$

412 and the rate is upper bounded as in (13). Applying the second  
 413 inequality for even  $s$ , we have

$$414 t \leq (2s-3) \left[ q^{\frac{N}{2}(1+\frac{2\alpha}{s})} + q^{\alpha N} + q^{(1-\alpha)N} \right] \\ 415 \leq 3(2s-3) q^{N \max \left\{ \frac{s+2\alpha}{2s}, \alpha, 1-\alpha \right\}}.$$

416 Taking  $\alpha$  as a root of the equality  $\frac{s+2\alpha}{2s} = 1 - \alpha$ , i.e.,  $\alpha = \frac{s}{2(s+1)}$ , we obtain

$$417 t \leq 3(2s-3) q^{\frac{s+2}{2(s+1)} N},$$

418 i.e., the rate satisfies (13).  $\square$

419 **IV. ASYMPTOTIC RANDOM CODING BOUNDS FOR THE  
 A-MAC AND THE B-MAC**

420 In this section, we apply the random coding method to  
 421 construct the asymptotic ( $s$ -fixed,  $q \rightarrow \infty$ ) lower bounds on  
 422 the rate of  $s$ -separable  $q$ -ary codes for the  $A$ -MAC and the  
 423  $B$ -MAC.

424 Before deriving the bounds, let us introduce some auxiliary  
 425 notations. Notation  $2^{(\mathcal{A}_q, N)}$  stands for the Cartesian product  
 426 of  $N$  copies of  $2^{\mathcal{A}_q}$ , where  $2^{\mathcal{A}_q}$  is the set of all subsets of  $\mathcal{A}_q$ .  
 427 For a collection of codewords  $V = \{\mathbf{x}(i_1), \dots, \mathbf{x}(i_s)\} \subset \mathcal{A}_q^N$ ,  
 428 by  $T(V)$  we abbreviate the  $q$ -ary  $(N \times q)$  matrix  
 429 by  $T(V) \triangleq (T(x_1(i_1), \dots, x_1(i_s)), \dots, T(x_N(i_1), \dots, x_N(i_s)))^T$ ,

$$430 \quad (16) \quad 431$$

433 and we define the vector  $U(V)$  from  $2^{(\mathcal{A}_q, N)}$  as follows

434  $U(V) \triangleq (U(x_1(i_1), \dots, x_1(i_s)), \dots, U(x_N(i_1), \dots, x_N(i_s)))^T.$  435

(17)

### 436 A. Random Coding Lower Bound on $R^{(B)}(s, q)$

437 An asymptotic ( $q \rightarrow \infty$ ) random coding lower bound on  
438 the rate of  $s$ -separable  $q$ -ary codes for the  $B$ -MAC is given by

439 *Theorem 2: If  $s \geq 2$  is fixed and  $q \rightarrow \infty$ , then the rate*  
440  $R^{(B)}(s, q)$  *satisfies the asymptotic inequality*

441 
$$R^{(B)}(s, q) \geq \frac{s}{2s-1} \ln q (1 + o(1)).$$

442 *Proof of Theorem 2:* Consider the ensemble of matrices  
443  $X = (x_i(j))$ , where entries  $x_i(j)$ ,  $i \in [N]$ ,  $j \in [t]$ , are chosen  
444 independently and uniformly at random from the alphabet  $\mathcal{A}_q$ .  
445 Define a *bad* event  $B_j$ : “there exist two distinct messages  
446  $\mathbf{e} \neq \hat{\mathbf{e}}$  from  $\binom{[t]}{s}$  so that  $j \in \mathbf{e}$ ,  $j \notin \hat{\mathbf{e}}$  and  $T(\mathbf{x}(\mathbf{e})) = T(\mathbf{x}(\hat{\mathbf{e}}))$ ”,  
447 where the matrix  $T(\cdot)$  is defined by (16). To establish the  
448 existence of an  $s$ -separable  $q$ -ary code for the  $B$ -MAC, we  
449 shall upper bound the probability of the bad event by

$$\begin{aligned} 450 \quad \Pr\{B_j\} &= \Pr \left\{ \bigcup_{\substack{\mathbf{e}, \hat{\mathbf{e}} \in \binom{[t]}{s} \\ j \in \mathbf{e}, j \notin \hat{\mathbf{e}}}} T(\mathbf{x}(\mathbf{e})) = T(\mathbf{x}(\hat{\mathbf{e}})) \right\} \\ 451 &\stackrel{(a)}{\leq} s \max_{m \in [s]} \Pr \left\{ \bigcup_{\substack{\mathbf{e}, \hat{\mathbf{e}} \in \binom{[t]}{s}, j \in \mathbf{e} \\ |\mathbf{e} \cap \hat{\mathbf{e}}| = s-m, j \notin \hat{\mathbf{e}}}} T(\mathbf{x}(\mathbf{e})) = T(\mathbf{x}(\hat{\mathbf{e}})) \right\} \\ 452 &\stackrel{(b)}{=} s \max_{m \in [s]} \Pr \left\{ \bigcup_{\substack{\mathbf{e}, \hat{\mathbf{e}} \in \binom{[t]}{s}, j \in \mathbf{e} \\ \mathbf{e} \cap \hat{\mathbf{e}} = \emptyset}} T(\mathbf{x}(\mathbf{e})) = T(\mathbf{x}(\hat{\mathbf{e}})) \right\} \\ 453 &\stackrel{(c)}{\leq} s \max_{m \in [s]} t^{2m-1} \Pr \left\{ T(\mathbf{x}(\mathbf{e})) = T(\mathbf{x}(\hat{\mathbf{e}})) \right. \\ &\quad \left. \text{for some } \mathbf{e}, \hat{\mathbf{e}} \in \binom{[t]}{s} \right. \\ 454 &\stackrel{(d)}{=} s \max_{m \in [s]} t^{2m-1} (\Pr\{T(u_1^m) = T(v_1^m)\})^N, \end{aligned}$$

455 where inequality (a) is implied by

456 
$$\Pr \left\{ \bigcup_{m=1}^s C_i \right\} \leq s \max_{m \in [s]} \Pr\{C_i\},$$

457 equality (b) is followed by the fact

458 
$$T(V_1) = T(V_2) \iff T(V_1 \setminus V_2) = T(V_2 \setminus V_1),$$

459 inequality (c) is an evident consequence of the union bound  
460 since the number of ways to choose a pair  $\mathbf{e}, \hat{\mathbf{e}}$  with the  
461 property required is  $\binom{t}{2m-1} \binom{2m-1}{m-1} \leq t^{2m-1}$ , and  $\{u_i, v_i\}_{i=1}^m$  in  
462 the last equality (d) are independent random variables having

463 the uniform distribution on the set  $\mathcal{A}_q$ . Let us estimate the  
464 probability that two random  $m$ -tuples have the same type

465 
$$\Pr\{T(u_1^m) = T(v_1^m)\} = \Pr \left\{ \bigcup_{\pi \in S_m} \left[ \bigcap_{k=1}^m (u_k = v_{\pi(k)}) \right] \right\}$$

466 
$$\leq m! \cdot \Pr \left\{ \bigcap_{k=1}^m (u_k = v_{\pi(k)}) \right\} = \frac{m!}{q^m}.$$

467 Therefore,

468 
$$\Pr\{B_j\} \leq s \max_{m \in [s]} t^{2m-1} (m!/q^m)^N.$$

469 Since  $\Pr\{B_j\}$  does not depend on  $j \in [t]$ , we deduce that if  
470 the upper bound given above is less than  $1/2$ , then there exists  
471 an  $s$ -separable  $q$ -ary code for the  $B$ -MAC of size  $t/2$  and  
472 length  $N$ . Thus, the lower bound on  $R^{(B)}(s, q)$  is as follows

473 
$$R^{(B)}(s, q) \geq \min_{m \in [s]} \frac{m \ln q - \ln m!}{2m-1}.$$

474 This leads to the statement of Theorem 2.  $\square$

### 475 B. Random Coding Lower Bound on $R^{(A)}(s, q)$

476 Now we establish an asymptotic random coding lower  
477 bound on the rate of  $s$ -separable  $q$ -ary codes for the  $A$ -MAC  
478 which is presented by

479 *Theorem 3: If  $s \geq 2$  is fixed and  $q \rightarrow \infty$ , then the rate*  
480  $R^{(A)}(s, q)$  *satisfies the asymptotic inequality*

481 
$$R^{(A)}(s, q) \geq \frac{2}{s+1} \ln q (1 + o(1)).$$

482 *Proof of Theorem 3:* Consider the ensemble of matrices  
483  $X = (x_i(j))$ , where entries  $x_i(j)$ ,  $i \in [N]$ ,  $j \in [t]$ , are chosen  
484 independently and uniformly at random from the alphabet  $\mathcal{A}_q$ .  
485 Define a *bad* event  $A_j$ : “there exist two distinct messages  
486  $\mathbf{e} \neq \hat{\mathbf{e}}$  from  $\binom{[t]}{s}$  so that  $j \in \mathbf{e}$ ,  $j \notin \hat{\mathbf{e}}$  and  $U(\mathbf{x}(\mathbf{e})) = U(\mathbf{x}(\hat{\mathbf{e}}))$ ”,  
487 where the vector  $U(\cdot) \in 2^{(\mathcal{A}_q, N)}$  is defined by (17). To  
488 establish the existence of an  $s$ -separable  $q$ -ary code for the  
489  $A$ -MAC, we shall upper bound the probability of the bad  
490 event by

491 
$$\Pr\{A_j\} = \Pr \left\{ \bigcup_{\substack{\mathbf{e}, \hat{\mathbf{e}} \in \binom{[t]}{s} \\ j \in \mathbf{e}, j \notin \hat{\mathbf{e}}}} U(\mathbf{x}(\mathbf{e})) = U(\mathbf{x}(\hat{\mathbf{e}})) \right\}$$

492 
$$\stackrel{(a)}{\leq} s \max_{m \in [s]} \Pr \left\{ \bigcup_{\substack{\mathbf{e}, \hat{\mathbf{e}} \in \binom{[t]}{s}, j \in \mathbf{e} \\ |\mathbf{e} \cap \hat{\mathbf{e}}| = s-m, j \notin \hat{\mathbf{e}}}} U(\mathbf{x}(\mathbf{e})) = U(\mathbf{x}(\hat{\mathbf{e}})) \right\}$$

493 
$$\stackrel{(b)}{\leq} s \max \left( \Pr\{C_1\}, \max_{m \in \{2, \dots, s\}} t^{s+m-1} \Pr\{P_m\} \right),$$

494 where  $C_m$  and  $P_m$  are defined as follows

$$\begin{aligned} 495 \quad C_m &\triangleq \left\{ \bigcup_{\substack{\mathbf{e}, \hat{\mathbf{e}} \in \binom{[t]}{s}, j \in \mathbf{e} \\ |\mathbf{e} \cap \hat{\mathbf{e}}| = s-m, j \notin \hat{\mathbf{e}}}} U(\mathbf{x}(\mathbf{e})) = U(\mathbf{x}(\hat{\mathbf{e}})) \right\}, \\ 496 \quad P_m &\triangleq \left\{ \begin{array}{l} U(\mathbf{x}(\mathbf{e})) = U(\mathbf{x}(\hat{\mathbf{e}})) \\ \text{for some } \mathbf{e}, \hat{\mathbf{e}} \in \binom{[t]}{s} \\ |\mathbf{e} \cap \hat{\mathbf{e}}| = s-m \end{array} \right\}. \end{aligned}$$

497 Inequality (a) is implied by the evident inequality

$$498 \quad \Pr \left\{ \bigcup_{m=1}^s C_m \right\} \leq s \max_{m \in [s]} \Pr\{C_m\},$$

499 inequality (b) is followed by

$$500 \quad \max_{m \in [s]} \Pr\{C_m\} = \max \left( \Pr\{C_1\}, \max_{m \in \{2, \dots, s\}} \Pr\{C_m\} \right)$$

501 and the union bound, which was applied for the cases  $m \geq 2$ .

502 Now let us further estimate  $\Pr\{P_m\}$  by

$$503 \quad \Pr\{P_m\} = \prod_{i=1}^N \Pr \left\{ \bigcup_{k=1}^s x_i(e_k) = \bigcup_{j=1}^s x_i(\hat{e}_j) \middle| \begin{array}{l} \text{for some } \mathbf{e}, \hat{\mathbf{e}} \in \binom{[t]}{s} \\ |\mathbf{e} \cap \hat{\mathbf{e}}| = s-m \end{array} \right\} \stackrel{(c)}{\leq} \frac{s^{mN}}{q^{mN}}. \quad (18)$$

504 To prove (c) in the last inequality, we employ the following  
505 fact. Suppose  $\xi_1, \dots, \xi_{m+s}$  are independent random variables  
506 distributed uniformly over  $\mathcal{A}_q$ . Then

$$\begin{aligned} 507 \quad \Pr \left\{ \bigcup_{k=1}^s \xi_k = \bigcup_{j=m+1}^{m+s} \xi_j \right\} &\leq \Pr \left\{ \bigcup_{k=1}^m \xi_k \subset \bigcup_{i=m+1}^{m+s} \xi_i \right\} \\ 508 &\leq \left( \Pr \left\{ \xi_1 \in \bigcup_{i=m+1}^{m+s} \xi_i \right\} \right)^m \leq \frac{s^m}{q^m}. \end{aligned}$$

509 As for  $\Pr\{C_1\}$ , we obtain its upper bound in a different way.  
510 Let  $E_j$  consist of all possible pairs  $(\mathbf{e}, \hat{\mathbf{e}})$  so that  $\mathbf{e}, \hat{\mathbf{e}} \in \binom{[t]}{s}$ ,  
511  $j \in \mathbf{e}$ ,  $j \notin \hat{\mathbf{e}}$  and  $|\mathbf{e} \cap \hat{\mathbf{e}}| = s-1$ . Since  $|\mathbf{e} \cap \hat{\mathbf{e}}| = s-1$ ,  
512 there exists  $\hat{j} \in [t]$  such that  $\mathbf{e} = \{j\} \cup \{\mathbf{e} \cap \hat{\mathbf{e}}\}$  and  $\hat{\mathbf{e}} = \{\hat{j}\} \cup \{\mathbf{e} \cap \hat{\mathbf{e}}\}$ . For a real parameter  $a$ ,  $0 < a < 1$ , we represent  
513 the event  $\{U(\mathbf{x}(\mathbf{e})) = U(\mathbf{x}(\hat{\mathbf{e}}))\}$  as a disjoint union of two  
514 events. For the first one, we additionally require the Hamming  
515 distance  $d_H(\cdot)$  between  $\mathbf{x}(j)$  and  $\mathbf{x}(\hat{j})$  to be at least  $aN$ ,  
516 i.e.,  $A_j(\mathbf{e}, \hat{\mathbf{e}}, \geq a) \triangleq \{U(\mathbf{x}(\mathbf{e})) = U(\mathbf{x}(\hat{\mathbf{e}})), d_H(\mathbf{x}(j), \mathbf{x}(\hat{j})) \geq aN\}$ .  
517 The remaining one is  $A_j(\mathbf{e}, \hat{\mathbf{e}}, < a) \triangleq \{U(\mathbf{x}(\mathbf{e})) = U(\mathbf{x}(\hat{\mathbf{e}})), d_H(\mathbf{x}(j), \mathbf{x}(\hat{j})) < aN\}$ . Then we deal with each event  
518 individually. More concretely,  $\Pr\{C_1\}$  is upper bounded by  
519

$$\begin{aligned} 521 \quad \Pr \left\{ \bigcup_{(\mathbf{e}, \hat{\mathbf{e}}) \in E_j} A_j(\mathbf{e}, \hat{\mathbf{e}}, \geq a) \right\} + \Pr \left\{ \bigcup_{(\mathbf{e}, \hat{\mathbf{e}}) \in E_j} A_j(\mathbf{e}, \hat{\mathbf{e}}, < a) \right\} \\ 522 \leq t^s \Pr \left\{ A_j(\mathbf{e}, \hat{\mathbf{e}}, \geq a) \middle| \text{for some } (\mathbf{e}, \hat{\mathbf{e}}) \in E_j \right\} + t \Pr\{d_H(\mathbf{x}(j), \mathbf{x}(\hat{j})) < aN\}, \end{aligned}$$

where the inequality is implied by the union bound, and  $\hat{j} \in [t]$ ,  $\hat{j} \neq j$ . For simplicity of notation let us assume that  $aN$  is an integer. Let us estimate the probability that two random  $q$ -ary vectors of length  $N$  have the Hamming distance at most  $aN$

$$\begin{aligned} 528 \quad &\Pr\{d_H(\mathbf{x}(j), \mathbf{x}(\hat{j})) < aN\} \\ 529 &= \sum_{i=0}^{aN-1} \Pr\{d_H(\mathbf{x}(j), \mathbf{x}(\hat{j})) = i\} \\ 530 &= \sum_{i=N-aN+1}^N \binom{N}{i} \left(\frac{1}{q}\right)^i \left(1 - \frac{1}{q}\right)^{N-i} < \frac{2^N}{q^{(1-a)N}}. \end{aligned}$$

Now, for any  $(\mathbf{e}, \hat{\mathbf{e}}) \in E_j$ , we proceed with the event  
531  $A_j(\mathbf{e}, \hat{\mathbf{e}}, \geq a) = \{U(\mathbf{x}(\mathbf{e})) = U(\mathbf{x}(\hat{\mathbf{e}})), d_H(\mathbf{x}(j), \mathbf{x}(\hat{j})) \geq aN\}$   
532 as follows  
533

$$\begin{aligned} 534 \quad &\Pr\{A_j(\mathbf{e}, \hat{\mathbf{e}}, \geq a)\} \\ 535 &\stackrel{(d)}{=} \sum_{i=aN}^N \Pr \left\{ U(\mathbf{x}(\mathbf{e})) = U(\mathbf{x}(\hat{\mathbf{e}})) \middle| d_H(\mathbf{x}(j), \mathbf{x}(\hat{j})) = i \right\} \\ 536 &\quad \times \Pr\{d_H(\mathbf{x}(j), \mathbf{x}(\hat{j})) = i\} \\ 537 &\stackrel{(e)}{\leq} \sum_{i=0}^{N-aN} \binom{N}{i} \left(\frac{1}{q}\right)^i \left(1 - \frac{1}{q}\right)^{N-i} \\ 538 &\quad \times \left(\frac{(s-1)^2}{q^2}\right)^{N-i} < \frac{(2s^2)^N}{q^{(1+a)N}}. \end{aligned}$$

Equality (d) is derived by the law of total probability. To prove  
539 (e) in the last inequality, we use the following fact. Suppose  
540  $\xi_1, \dots, \xi_{s+1}$  are independent random variables distributed uni-  
541 formly over  $\mathcal{A}_q$ . Then  
542

$$\begin{aligned} 543 \quad &\Pr \left\{ \bigcup_{k=1}^s \xi_k = \bigcup_{j=2}^{s+1} \xi_j, \xi_1 \neq \xi_{s+1} \right\} \\ 544 &\leq \Pr \left\{ \xi_1 \in \bigcup_{j=2}^s \xi_j, \xi_{s+1} \in \bigcup_{j=2}^s \xi_j \right\} \leq \frac{(s-1)^2}{q^2}. \end{aligned}$$

Therefore, we get

$$\begin{aligned} 546 \quad \Pr\{C_1\} &\leq \min_{0 < a < 1} \left( t^s \frac{(2s^2)^N}{q^{(1+a)N}} + t \frac{2^N}{q^{(1-a)N}} \right) \\ 547 &\leq 2 \min_{0 < a < 1} \left( \max \left( \frac{t^s (2s^2)^N}{q^{(1+a)N}}, \frac{t 2^N}{q^{(1-a)N}} \right) \right). \end{aligned}$$

Finally, summarizing the above arguments, we obtain

$$\begin{aligned} 549 \quad \Pr\{A_j\} &\leq 2s \max \left( \max_{m \in \{2, \dots, s\}} \frac{t^{s+m-1} s^{mN}}{q^{mN}}, \right. \\ 550 &\quad \left. \min_{0 < a < 1} \left( \max \left( \frac{t^s (2s^2)^N}{q^{(1+a)N}}, \frac{t 2^N}{q^{(1-a)N}} \right) \right) \right). \end{aligned}$$

Since  $\Pr\{A_j\}$  does not depend on  $j \in [t]$ , we deduce that  
551 if the upper bound given above is less than  $1/2$ , then there  
552 exists an  $s$ -separable  $q$ -ary code for the  $A$ -MAC of size  $t/2$   
553

and length  $N$ . Thus, the asymptotic ( $q \rightarrow \infty$ ) lower bound on  $R^{(A)}(s, q)$  is as follows

$$R^{(A)}(s, q) \geq \min\left(\frac{2}{s+1}, \max_{0 < a < 1} \left(\min\left(\frac{1+a}{s}; 1-a\right)\right)\right) \\ \times \ln q(1+o(1)) = \frac{2}{s+1} \ln q(1+o(1)). \quad \square$$

*Remark 4:* It is worth noticing that if we upper bound  $\Pr\{C_1\}$  like we estimate  $\Pr\{P_m\}$  in (18), then we would get only  $R^{(A)}(s, q) \geq \frac{1}{s} \ln q(1+o(1))$  as  $q \rightarrow \infty$ .

## V. LIST DECODING CODES FOR THE A-MAC

After giving definitions and notations, in Section V-A, we derive several useful properties establishing a connection between list-decoding codes for the A-MAC and separable codes for the A-MAC and a relation between list decoding codes over alphabets of different sizes. We recall the best known lower bounds on the rate of list-decoding codes in Section V-B. Finally, we present a new combinatorial upper bound on the rate of list-decoding codes in Section V-C, which also leads to an upper bound on the rate of separable codes for the A-MAC.

### A. Notations and Definitions

Recall that  $2^{(\mathcal{A}_q, N)}$  stands for the Cartesian product of  $N$  copies of  $2^{\mathcal{A}_q}$ , where  $2^{\mathcal{A}_q}$  is the set of all subsets of  $\mathcal{A}_q$ . A vector  $\mathcal{Q} = (\mathcal{Q}_1, \dots, \mathcal{Q}_N)^T \in 2^{(\mathcal{A}_q, N)}$  is said to *cover* a column  $x = (x_1, \dots, x_N)^T \in \mathcal{A}_q^N$  if  $x_i \in \mathcal{Q}_i$  for all  $i \in [N]$ .

*Definition 3* [38]: Given integers  $s \geq 1$  and  $L \geq 1$ , a  $q$ -ary code  $X$  of size  $t$  and length  $N$  is said to be a *list-decoding*  $(s, L, q)$ -code of size  $t$  and length  $N$  if, for any  $s$ -collection of codewords  $\{\mathbf{x}(j_1), \dots, \mathbf{x}(j_s)\}$ , the vector  $U(\mathbf{x}(j_1), \dots, \mathbf{x}(j_s))$ , defined by (17), covers not more than  $L - 1$  other codewords of the code  $X$ .

In the case  $s \geq 2$  and  $L = 1$ , the list-decoding  $(s, 1, q)$ -code (or  $s$ -frameproof code [9]) is an  $(\leq s)$ -separable  $q$ -ary code for the A-MAC. Moreover, list-decoding  $(s, 1, q)$ -code provides a simple *factor* decoding algorithm, that picks the unknown message  $\mathbf{e} = (e_1, \dots, e_s) \in \binom{[t]}{s}$  by searching all codewords of  $X$  covered by the output signal

$$\mathbf{z}^{(A)}(\mathbf{e}, X) = U(\mathbf{x}(e_1), \dots, \mathbf{x}(e_s)) \\ = \left( \bigcup_{m=1}^s x_1(e_m), \dots, \bigcup_{m=1}^s x_N(e_m) \right)^T.$$

In the general case  $L \geq 1$ , the algorithm provides a subset of  $[t]$  that contains  $s$  elements of the message  $\mathbf{e}$  and at most  $L - 1$  extra elements.

Let  $t(s, L, q, N)$  be the *maximal possible size* of list-decoding  $(s, L, q)$ -codes of length  $N$ . For fixed  $s \geq 2$ ,  $L \geq 1$  and  $q \geq 2$ , define a *rate* of list-decoding  $(s, L, q)$ -codes:

$$R(s, L, q) \triangleq \lim_{N \rightarrow \infty} \frac{\ln t(s, L, q, N)}{N}.$$

An important evident connection between  $s$ -separable  $q$ -ary codes for the A-MAC and list-decoding  $(s, L, q)$ -codes is formulated as

*Proposition 2:* Any  $s$ -separable  $q$ -ary code for the A-MAC is a list-decoding  $(s-1, 2, q)$ -code and, therefore, the rate of  $s$ -separable  $q$ -ary code for the A-MAC satisfies the inequality

$$R^{(A)}(s, q) \leq R(s-1, 2, q), \quad s \geq 2, q \geq 2.$$

Proposition 2 can be seen as a simple reformulation of the corresponding properties of binary list-decoding superimposed codes firstly introduced in [25]. A nontrivial recurrent inequality for the rate  $R(s, L, q)$  of list-decoding  $(s, L, q)$ -codes is established by

*Proposition 3:* For any integers  $q' > q \geq 2$ ,  $s \geq 2$  and  $L \geq 1$  the following inequality holds:

$$R(s, L, q) \geq \frac{R(s, L, q')}{\lceil q'/(q-1) \rceil}.$$

*Proof of Proposition 3:* Assume that there exists a list-decoding  $(s, L, q')$ -code  $X'$  of length  $N$  and size  $t$ . Let  $l \triangleq \lceil q'/(q-1) \rceil$ . Consider a  $q$ -ary code  $C$  of length  $l$  and size  $l(q-1) \geq q'$ , which is composed from all possible codewords with one nonzero symbol:

$$\begin{vmatrix} 1 & 0 & \dots & 0 & \dots & q-1 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 & \dots & 0 & q-1 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots & \dots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 1 & \dots & 0 & 0 & \dots & q-1 \end{vmatrix}$$

Let us consider an injective map  $\phi : \mathcal{A}_{q'} \rightarrow C$  such that  $\phi(i)$  is the  $(i+1)$ th codeword of  $C$ . To construct a  $q$ -ary code  $X$  of length  $lN$  and size  $t$ , we replace each symbol  $a \in \mathcal{A}_{q'}$  in all codewords in  $X'$  by  $q$ -ary codeword  $\phi(a)$ . One can easily check that the code  $X$  is a list-decoding  $(s, L, q)$ -code.  $\square$

### B. Lower Bound on the Rate $R(s, L, q)$

In [38], applying Proposition 3 and random coding arguments, the author established the lower bound on the rate of list-decoding  $(s, L, q)$ -codes which can be formulated as

*Theorem 4* [38, Th. 2]:

1. For any fixed  $q \geq 2$ ,  $s \geq 2$  and  $L \geq 1$  the following lower bound holds:

$$R(s, L, q) \geq \underline{R}(s, L, q) \triangleq \max_{q' \geq q} \frac{-\ln P(q', s, L)}{(s+L-1)k(q, q')},$$

where

$$P(q, s, L) \triangleq \sum_{m=1}^{\min(q, s)} \binom{q}{m} \left(\frac{m}{q}\right)^L \\ \times \sum_{k=0}^m (-1)^k \binom{m}{k} \left(\frac{m-k}{q}\right)^s,$$

$$k(q, q') \triangleq \begin{cases} 1, & \text{for } q = q', \\ \lceil \frac{q'}{q-1} \rceil, & \text{otherwise.} \end{cases}$$

2. For any fixed  $q \geq 2$ ,  $L \geq 1$  and  $s \rightarrow \infty$

$$\underline{R}(s, L, q) \geq \frac{L(q-1)(\ln 2)^2}{s^2} (1+o(1)).$$

3. For any fixed  $s \geq 2$ ,  $L \geq 1$  and  $q \rightarrow \infty$ ,

$$\underline{R}(s, L, q) = \frac{L}{s+L-1} \ln q(1+o(1)). \quad (19)$$

TABLE I  
THE BEST KNOWN LOWER BOUNDS ON  $R(s, L, q)$

$s$	2	3	4	5	6
$R(s, 1, 2) \geq$	0.1438 <sup>1,2,4</sup>	0.0554 <sup>2</sup>	0.0304 <sup>2</sup>	0.0194 <sup>2</sup>	0.0134 <sup>2</sup>
$R(s, 2, 2) \geq$	0.1703 <sup>2</sup>	0.0799 <sup>2</sup>	0.0474 <sup>2</sup>	0.0316 <sup>2</sup>	0.0226 <sup>2</sup>
$R(s, 1, 3) \geq$	0.2939 <sup>1,3,4</sup>	0.1171 <sup>1,4</sup>	0.0551 <sup>1</sup>	0.0360 <sup>1</sup>	0.0253 <sup>1</sup>
$R(s, 2, 3) \geq$	0.3662 <sup>1</sup>	0.1583 <sup>1</sup>	0.0864 <sup>1</sup>	0.0585 <sup>1</sup>	0.0425 <sup>1</sup>

<sup>1</sup>Theorem 4   <sup>2</sup>[38]   <sup>3</sup>[12]   <sup>4</sup>[22]

The lower bound  $\underline{R}(s, L, q)$  defined by Theorem 4 improves the best previously known bounds presented in [12], [22], and [37] in asymptotics ( $q$  is fixed,  $s \rightarrow \infty$ ) and in a wide range of parameters  $(q, s, L)$  as well. Some numerical results and a comparison of bounds are presented in Table I.

### C. Upper Bounds on the Rates $R(s, L, q)$ and $R^{(A)}(s, q)$

It was also conjectured in [38] that the lower bound (19) is tight. We prove the conjecture in

**Theorem 5:** For any  $s \geq 2$ ,  $L \geq 1$  and  $q \geq 2$  the rate  $R(s, L, q)$  of list-decoding  $(s, L, q)$ -codes satisfies the inequality

$$R(s, L, q) \leq \frac{L}{s + L - 1} \ln q. \quad (20)$$

Proposition 2 and Theorem 5 for  $L = 2$  lead to the upper bound on the rate  $R^{(A)}(s, q)$  which was announced in Section I-B as

**Theorem 6:** For any  $s \geq 2$  and  $q \geq 2$ , the rate of  $s$ -separable  $q$ -ary codes  $R^{(A)}(s, q)$  satisfies the inequality

$$R^{(A)}(s, q) \leq R(s - 1, 2, q) \leq \frac{2}{s} \ln q.$$

*Proof of Theorem 5:* Consider an arbitrary code  $X$  of length  $N$  and size  $t$ . For a convenience of the proof, we will use indexes  $j$  ( $i$ ) of codewords (rows) which can exceed  $t$  ( $N$ ), assuming that the indexes are cyclically ordered, i.e.,

$$x_n(j) = x_{n'}(j') \quad \text{for } n - n' \equiv 0 \pmod{N}, \\ j - j' \equiv 0 \pmod{t}. \quad (21)$$

For a codeword  $x(j) \in \mathcal{A}_q^N$ ,  $j \in [t]$ , we abbreviate a projection of the codeword  $x(j)$  on the coordinates  $n, n+1, \dots, n+L-1$  by

$$x_n^{n+L-1}(j) \triangleq (x_n(j), \dots, x_{n+L-1}(j)) \in \mathcal{A}_q^L.$$

A codeword  $x(j)$ ,  $j \in [t]$ , is said to be  $L$ -rare in  $X$  if there exists a row index  $n \in [N]$  such that the number of codeword indexes  $j' \in [t]$ ,  $j' \neq j$ , with the same projection  $x_n^{n+L-1}(j') = x_n^{n+L-1}(j)$  is at most  $L - 1$ . Let  $r = r_L(X)$  be the number of codewords which are  $L$ -rare in  $X$ . For each  $L$ -rare codeword  $x(j)$ , we can choose a row index  $n \in [N]$ , a  $q$ -ary sequence  $(a_1, \dots, a_L) \in \mathcal{A}_q^L$  and an ordinal number (from 1 to  $L$ ) of the  $x(j)$  among all  $\leq L$  codewords  $x(j')$ ,  $j' \in [t]$ , for which  $x_n^{n+L-1}(j') = x_n^{n+L-1}(j) = (a_1, \dots, a_L)$ . This correspondence is injective. Therefore, the following claim holds.

**Lemma 1:** For any code  $X$  of length  $N$ , the number of its  $L$ -rare codewords satisfies the inequality

$$r = r_L(X) \leq N L q^L. \quad (22)$$

Now we formulate another auxiliary statement.

**Lemma 2:** If a  $q$ -ary code  $X$  of length  $N$  has size

$$t > N L q^L \sum_{k=0}^{L-1} k!, \quad (23)$$

then there exists an ordered set of codewords  $\mathcal{L}_s = (\mathbf{x}(j_1), \dots, \mathbf{x}(j_L))$  such that there is no  $L$ -rare codeword in  $\mathcal{L}_s$ . In addition, for any  $k \in [L - 1]$ , the projections of  $\mathbf{x}(j_k)$  and  $\mathbf{x}(j_{k+1})$  on the coordinates  $1 + k(s - 1), 2 + k(s - 1), \dots, L + k(s - 1)$  are the same, i.e.,

$$\mathbf{x}_{1+k(s-1)}^{L+k(s-1)}(j_k) = \mathbf{x}_{1+k(s-1)}^{L+k(s-1)}(j_{k+1}), \quad k \in [L - 1]. \quad (24)$$

*Proof of Lemma 2:* For any  $j_1 \in [t]$ , we shall try to construct a sequence  $\mathcal{L}(j_1) = (\mathbf{x}(j_1), \mathbf{x}(j_2), \dots, \mathbf{x}(j_L))$  of  $L$  codewords by the following rules. The first element of the sequence  $\mathcal{L}(j_1)$  is  $\mathbf{x}(j_1)$ . Let a sequence  $(\mathbf{x}(j_1), \mathbf{x}(j_2), \dots, \mathbf{x}(j_k))$  of length  $k$ ,  $1 \leq k \leq L$ , be already constructed. If the last codeword  $\mathbf{x}(j_k)$  is  $L$ -rare in  $X$ , then the process ends with a failure. If  $k = L$  and  $\mathbf{x}(j_L)$  is not  $L$ -rare in  $X$ , then the process successfully ends. Otherwise, for  $k \leq L - 1$ , we consider  $L$  indexes from  $1 + k(s - 1)$  to  $L + k(s - 1)$ . Since the codeword  $\mathbf{x}(j_k)$  is not  $L$ -rare in  $X$ , we can find at least  $L$  other codewords with the same projection on the coordinates from  $1 + k(s - 1)$  to  $L + k(s - 1)$ . Among them there are at most  $k - 1$  codewords that could be already included in the sequence  $\mathcal{L}(j_1)$  at the previous  $k - 1$  steps. Therefore, there exists a codeword which has not been used. Among all such unused codewords we uniquely choose the codeword  $\mathbf{x}(j_{k+1})$  with the cyclically smallest index  $j_{k+1}$  so that  $j_{k+1} > j_k$  as the  $(k + 1)$ th element of  $\mathcal{L}(j_1)$ .

*Example 1:* Let  $t = 4$  and indexes  $j_1 = 2$  and  $j_2 = 5$  are already used in constructing the sequence, i.e., the first two element of the sequence  $\mathcal{L}(j_1)$  are  $(\mathbf{x}(2), \mathbf{x}(5))$ . Recall that the indexes  $1, 5, 9, \dots$  correspond to the codeword index 1 as they have the same residue modulo  $t = 4$ . Let codewords with indexes  $3 (7, 11, \dots)$  and  $4 (8, 12, \dots)$  be candidates to be the codeword at the third step. Then 7, corresponding to 3, is the cyclically smallest index so that  $7 > 5$ , and at the third stage we build the sequence  $(\mathbf{x}(2), \mathbf{x}(5), \mathbf{x}(7))$ .

Let us prove that there exists a codeword  $\mathbf{x}(j_1)$  for which the described process successfully ends, i.e., as a result, we obtain a sequence  $\mathcal{L}_s := \mathcal{L}(j_1)$  without  $L$ -rare codewords. The process ends with a failure if and only if the codeword  $\mathbf{x}(j_{k+1})$  is  $L$ -rare at some step  $k \in [L - 1]$ . Fix an arbitrary  $L$ -rare codeword  $\mathbf{x}(j)$ . Given  $k \in L$ , let  $j_1$  be some element of  $[t]$  so that we add  $\mathbf{x}(j_k) = \mathbf{x}(j)$  in the sequence  $\mathcal{L}(j_1)$  at the  $k$ th step. By construction of the sequence  $\mathcal{L}(j_1)$  we know that the codeword  $\mathbf{x}(j_k)$  coincides with the codeword  $\mathbf{x}(j_{k-1})$  on the  $L$  coordinates:

$$1 + (k - 1)(s - 1), 2 + (k - 1)(s - 1), \dots, \\ (L - 1) + (k - 1)(s - 1), \quad L + (k - 1)(s - 1), \quad (25)$$

and has the cyclically smallest index  $j_k > j_{k-1}$  among all codeword indexes, except possibly representative indexes from  $\{j_1, \dots, j_{k-2}\}$ . Hence, the codeword  $\mathbf{x}(j_{k-1})$  is the first codeword before  $\mathbf{x}(j_k)$ , except  $\mathbf{x}(j_1), \dots, \mathbf{x}(j_{k-2})$ , which has the same symbols as  $\mathbf{x}(j_k)$  on the  $L$  coordinates (25). The number

of codewords among  $\mathbf{x}(j_1), \dots, \mathbf{x}(j_{k-2})$ , which have the same symbols as  $\mathbf{x}(j_k)$  and  $\mathbf{x}(j_{k-1})$  on the  $L$  coordinates (25) is from 0 to  $k - 2$ . Therefore, for fixed codeword  $\mathbf{x}(j)$  and position  $k \in [L]$ , there exist at most  $k - 1$  possible options for  $\mathbf{x}(j_{k-1})$ . Thus, any  $L$ -rare codeword  $\mathbf{x}(j)$ , uniquely chosen as the codeword  $\mathbf{x}(j_k)$  in the sequence  $\mathcal{L}_s(j_1)$ , spoils at most  $(k-1)!$  of starting codewords  $\mathbf{x}(j_1)$ . In virtue of condition (23) and upper bound (22) from Lemma 1, the code size  $t > r_L(X) \cdot \sum_{k=0}^{L-1} k!$ . Therefore, there exists a starting codeword  $\mathbf{x}(j_1)$ , such that the sequence  $\mathcal{L}(j_1)$  will be successfully constructed.  $\square$

*Lemma 3:* For any list-decoding  $(s, L, q)$ -code  $X$  of length  $N = s + L - 1$ , the size  $t$  of the code  $X$  is upper bounded as follows

$$t \leq (s + L - 1)Lq^L \sum_{k=0}^{L-1} k!. \quad (26)$$

*Proof of Lemma 3:* Consider an arbitrary list-decoding  $(s, L, q)$ -code  $X$  of the length  $N = s + L - 1$ . We prove the claim of this lemma by contradiction. Assume that  $t > (s + L - 1)Lq^L \sum_{k=0}^{L-1} k!$ . In virtue of Lemma 2, we can construct the sequence  $\mathcal{L}_s = (\mathbf{x}(j_1), \dots, \mathbf{x}(j_L))$  so that there is no  $L$ -rare codeword in  $\mathcal{L}_s$ , and the property (24) holds. Let  $J = \{j_1, \dots, j_L\}$  be the set of codeword indexes. Without loss of generality, we may assume the sequence  $(j_1, j_2, \dots, j_L)$  is lexicographically ordered or  $j_k < j_{k+1}$  for  $k \in [L-1]$ , since, otherwise, we can take (21)  $j_{k+1}$  as  $j_{k+1} + t \lceil j_k/t \rceil$ .

Now we shall find an  $s$ -collection  $I = \{i_1, \dots, i_s\} \subset [t] \setminus J$  consisting of codeword indexes such that  $U(\mathbf{x}(i_1), \dots, \mathbf{x}(i_s))$  covers  $L$  codewords  $\{\mathbf{x}(j), j \in J\}$ . Recall that by covering we mean that, for any pair  $(j, n)$ ,  $j \in J$ ,  $n \in [N]$ , there exists  $i \in I$  so that the symbol  $x_n(j) = x_n(i)$ . Define a lexicographically ordered sequence  $\mathcal{P}$  of pairs so that the first  $s + L - 1$  pairs are from  $(j_1, 1)$  to  $(j_1, s + L - 1)$ , and the following  $(s-1)(L-1)$  pairs are of the form  $(j_k, n)$ , where  $n$  runs over all row indexes from  $L + 1 + (k-1)(s-1)$  to  $L + k(s-1)$ , i.e.,

$$\begin{aligned} \mathcal{P} \triangleq & ((j_1, 1), (j_1, 2), \dots, (j_1, L + s - 1), \\ & (j_2, L + 1 + (s-1)), \dots, (j_2, L + 2(s-1)), \dots, \\ & (j_L, L + 1 + (L-1)(s-1)), \dots, (j_L, sL)). \end{aligned}$$

From (24) it follows that if, for any pair  $(j, n)$  in  $\mathcal{P}$ , there exists  $i \in I$  so that the symbol  $x_n(j) = x_n(i)$ , then the  $s$ -collection  $I$  is a required one. It remains to find an appropriate  $I$ . Notice that the length of  $\mathcal{P}$  is  $sL$ , and the second number in pairs goes from 1 to  $sL$ . Divide the sequence  $\mathcal{P}$  into  $s$  subsequences of length  $L$  so that  $\mathcal{P} = (\mathcal{P}_1, \dots, \mathcal{P}_s)$ . Let

$$\mathcal{P}_k \triangleq ((j_{k_1}, (k-1)L+1), (j_{k_2}, (k-1)L+2), \dots, (j_{k_L}, kL)).$$

It is easy to check that the projection  $\mathbf{x}(j_{k_L})$  (the codeword index is the same as the first number in the last pair of  $\mathcal{P}_k$ ) on the coordinates  $(k-1)L+1, (k-1)L+2, \dots, kL$  is

$$\begin{aligned} \mathbf{x}_{(k-1)L+1}^{kL}(j_{k_L}) \\ = (x_{(k-1)L+1}(j_{k_1}), x_{(k-1)L+2}(j_{k_2}), \dots, x_{kL}(j_{k_L})). \end{aligned}$$

From Lemma 2, it follows that the codeword  $\mathbf{x}(j_{k_L})$  is not  $L$ -rare. Therefore, we can find an index  $i_k$ ,  $i_k \notin J$ , and the

corresponding codeword  $\mathbf{x}(i_k)$  such that the projections of  $\mathbf{x}(i_k)$  and  $\mathbf{x}(j_{k_L})$  on the coordinates  $(k-1)L+1, (k-1)L+2, \dots, kL$  are the same, i.e.,

$$\mathbf{x}_{(k-1)L+1}^{kL}(i_k) = \mathbf{x}_{(k-1)L+1}^{kL}(j_{k_L}). \quad (27)$$

Since there are  $s$  subsequences  $\mathcal{P}_k$ , which form  $\mathcal{P}$ , we can find at most  $s$  different  $i_k$  so that  $U(\mathbf{x}(i_1), \dots, \mathbf{x}(i_s))$  covers  $L$  codewords  $\{\mathbf{x}(j), j \in J\}$ . This contradiction completes the proof of Lemma 3.  $\square$

Lemma 2 and Lemma 3 are intuitively illustrated by the following example.

*Example 2:* Let  $L = 4$ ,  $s = 2$  and  $N = L + s - 1 = 5$ . Then four  $q$ -ary codewords  $\mathbf{x}(j_k)$ ,  $\mathbf{x}(j_k) \in \mathcal{A}_q^5$ ,  $k \in \{1, 2, 3, 4\}$ , satisfying the equalities (24) can be written in the form:

$$\begin{aligned} \mathbf{x}(j_1) &= (x_1(j_1), x_2(j_1), x_3(j_1), x_4(j_1), x_5(j_1)), \\ \mathbf{x}(j_2) &= (y_2, x_2(j_1), x_3(j_1), x_4(j_1), x_5(j_1)), \\ \mathbf{x}(j_3) &= (y_2, y_3, x_3(j_1), x_4(j_1), x_5(j_1)), \\ \mathbf{x}(j_4) &= (y_2, y_3, y_4, x_4(j_1), x_5(j_1)). \end{aligned}$$

These codewords are covered by  $U(\mathbf{x}(i_1), \mathbf{x}(i_2))$ , where two  $q$ -ary codewords  $\mathbf{x}(i_1), \mathbf{x}(i_2) \in \mathcal{A}_q^5$  are based on the property (27) and can be written in the form:

$$\begin{aligned} \mathbf{x}(i_1) &= (x_1(j_1), x_2(j_1), x_3(j_1), x_4(j_1), a_1), \\ \mathbf{x}(i_2) &= (y_2, y_3, y_4, a_2, x_5(j_1)). \end{aligned}$$

To complete the proof of Theorem 5, consider an arbitrary list-decoding  $(s, L, q)$ -code  $X$  of length  $N$ ,  $N > s + L - 1$ , and size  $t$ . Divide each codeword of the code  $X$  into  $s + L - 1$  parts of sizes  $n_i$ , where  $\left\lfloor \frac{N}{s+L-1} \right\rfloor \leq n_i \leq \left\lceil \frac{N}{s+L-1} \right\rceil$ ,  $i \in [s + L - 1]$ .

The number of different parts is upper bounded by  $q^{\left\lceil \frac{N}{s+L-1} \right\rceil} + q^{\left\lfloor \frac{N}{s+L-1} \right\rfloor}$ . Replace each part of each codeword with a unique symbol from the  $Q$ -ary alphabet of the size  $Q \triangleq 2q^{\left\lceil \frac{N}{s+L-1} \right\rceil}$ . It is easy to see that the code  $X'$ , obtained after replacements, is a  $Q$ -ary list-decoding  $(s, L, Q)$ -code of length  $N = s + L - 1$  and size  $t$ . Thus, the inequality (26) of Lemma 3 implies that the size

$$t \leq (s + L - 1)L \sum_{n=0}^{L-1} n! 2^L q^{\left\lceil \frac{N}{s+L-1} \right\rceil}. \quad (28)$$

This upper bound immediately yields (20).  $\square$

## APPENDIX

### A. Notations and Definitions

Given the symmetric  $f$ -MAC and a  $q$ -ary code  $X$ , a message  $\mathbf{e} \in \binom{[t]}{s}$  is said to be *bad* for the code  $X$ , if there exists a message  $\mathbf{e}' \neq \mathbf{e}$  such that  $\mathbf{z}^{(f)}(\mathbf{e}', X) = \mathbf{z}^{(f)}(\mathbf{e}, X)$ . If the unknown message  $\mathbf{e}$  is interpreted as the random vector taking equiprobable values in the set  $\binom{[t]}{s}$ , then the *relative number* of “bad” messages among all  $\binom{[t]}{s} = |\binom{[t]}{s}|$  messages can be considered as the *error probability*  $\epsilon^{(f)}(X, s)$  of the code  $X$  for the *brute force* decoding.

832 *Definition 4* [33], [34], [44]: Fix a parameter  $R > 0$ .  
 833 Define the *error probability* for the symmetric  $f$ -MAC:

$$834 \quad \epsilon^{(f)}(s, q, R, N) \triangleq \min_{X:t=[\exp\{RN\}]} \epsilon^{(f)}(X, s), \quad (28)$$

835 where the minimum is taken over all  $q$ -ary codes of length  
 836  $N$  and size  $t = [\exp\{RN\}]$ . If the parameter  $R > R^{(f)}(s, q)$ ,  
 837 where the rate of  $s$ -separable codes  $R^{(f)}(s, q)$  for the  $f$ -MAC  
 838 is defined by (9), then the function

$$839 \quad E^{(f)}(s, q, R) \triangleq \overline{\lim}_{N \rightarrow \infty} \frac{-\ln \epsilon^{(f)}(s, q, R, N)}{N} \quad (29)$$

840 is called the *error exponent* for the  $f$ -MAC. The quantity

$$841 \quad C^{(f)}(s, q) \triangleq \sup \left\{ R : E^{(f)}(s, q, R) > 0 \right\} \quad (30)$$

842 is said to be the *capacity* of the  $f$ -MAC for the *exponentially*  
 843 *decreasing* error probability. Using the Shannon terminology [2], the rate of  $s$ -separable codes  $R^{(f)}(s, q)$  can be also  
 844 called the *zero error capacity* of the  $f$ -MAC.

845 It is known [33], [34], [44] that for any symmetric  $f$ -MAC  
 846 the value  $C^{(f)}(s, q)$  defined by (28)-(30) does not exceed the  
 847 entropy bound  $\overline{C}^{(f)}(s, q)$  introduced in Proposition 1, i.e.,

$$849 \quad C^{(f)}(s, q) \leq \overline{C}^{(f)}(s, q) = \frac{\max_p H_p^{(f)}(s, q)}{s}, \quad (31)$$

850 where  $H_p^{(f)}(s, q)$  is the Shannon entropy (10) of the output  
 851 of the  $f$ -MAC for the given input probability distribution  $p$ .

### 852 B. Random Coding Error Exponent for the $f$ -MAC

853 Let the symbol  $\mathcal{P}_N^{(f)}(s, t, (N_0, \dots, N_{q-1}))$  denote the *average value* of error probability  $\epsilon^{(f)}(X, s)$  over the *fixed composition ensemble* (briefly, *FC-ensemble*) of  $t$  independent  $q$ -ary codewords  $x(j)$  with the same type  $T(x(j)) = (N_0, \dots, N_{q-1})$ ,  $j \in [t]$ . By a similar symbol  $\mathcal{P}_N^{(f)}(s, t, p)$  we will denote the *average value* of error probability  $\epsilon^{(f)}(X, s)$  over the *completely randomized ensemble* (briefly, *CR-ensemble*) of  $q$ -ary codes  $X = \|x_i(j)\|$  with independent components  $x_i(j)$  having the same distribution  $p$ , i.e., the probability  $\Pr\{x_i(j) = a\} \triangleq p(a)$ ,  $i \in [N]$ ,  $j \in [t]$ ,  $a \in \mathcal{A}_q$ .

863 Let  $s \geq 2$ ,  $q \geq 2$ ,  $R > 0$  be fixed and the entropy  $H_p^{(f)}(s, q)$   
 864 of a fixed distribution  $p$  be defined by (10). If code parameters  
 865  $N, t \rightarrow \infty$  such that

$$866 \quad \frac{\ln t}{N} \sim R, \quad \frac{N_x}{N} \sim p(x), \quad x \in \mathcal{A}_q, \quad (32)$$

867 then from the standard random coding arguments [2] it follows  
 868 that the error exponent  $E^{(f)}(s, q, R)$  of the  $f$ -MAC, defined  
 869 by (28)-(29) satisfies two random coding bounds:

$$870 \quad E^{(f)}(s, q, R) \geq \overline{\lim}_{N \rightarrow \infty} \frac{-\ln \mathcal{P}_N^{(f)}(s, t, (N_0, \dots, N_{q-1}))}{N}, \quad (33)$$

$$871 \quad E^{(f)}(s, q, R) \geq \overline{\lim}_{N \rightarrow \infty} \frac{-\ln \mathcal{P}_N^{(f)}(s, t, p)}{N}. \quad (34)$$

872 To formulate the results about the logarithmic asymptotic  
 873 behavior of probabilities  $\mathcal{P}_N^{(f)}(s, t, (N_0, \dots, N_{q-1}))$  and  
 874  $\mathcal{P}_N^{(f)}(s, t, p)$ , we need the following auxiliary notations [31].

Let a symmetric  $f$ -MAC be represented as the conditional  
 probability  $\tau^{(f)}(z|x_1^s)$ , that is

$$877 \quad \tau^{(f)}(z|x_1^s) \triangleq \begin{cases} 1, & z = f(x_1^s), \\ 0, & z \neq f(x_1^s), \end{cases}$$

and the symbol

$$879 \quad \tau \triangleq \left\{ \tau(x_1^s, z) : \tau(x_1^s, z) \geq 0, \sum_{x_1^s, z} \tau(x_1^s, z) = 1 \right\} \quad (35)$$

denotes a probability distribution on the Cartesian product  
 $\mathcal{A}_q^s \times Z$ . Using the standard symbols for the conditional  
 probabilities of the distribution  $\tau$ , we abbreviate by

$$883 \quad \{\tau\}^{(f)} \triangleq \left\{ \tau : \tau^{(f)}(z|x_1^s) = 0 \Rightarrow \tau(z|x_1^s) = 0 \right\} \quad (36)$$

the subset of probability distributions  $\tau$  (35) such that  
 the conditional probability  $\tau(z|x_1^s) = 0$  is implied by  
 $\tau^{(f)}(z|x_1^s) = 0$ .

Introduce the  $\cup$ -convex information-theoretic functions of  
 the argument  $\tau \in \{\tau\}^{(f)}$ :

$$884 \quad \mathcal{H}^{(f)}(p, \tau) \triangleq \sum_{x_1^s, z} \tau(x_1^s, z) \ln \frac{\tau(x_1^s, z)}{\tau^{(f)}(z|x_1^s) \cdot \prod_{k=1}^s p(x_k)},$$

$$889 \quad I_m(p, \tau) \triangleq \sum_{x_1^s, z} \tau(x_1^s, z) \ln \frac{\tau(x_1^m | x_{m+1}^s, z)}{\prod_{k=1}^m p(x_k)}, \quad m \in [s]. \quad (37)$$

From (10), it follows that the distribution

$$892 \quad \tau_p^{(f)} \triangleq \left\{ \tau^{(f)}(z|x_1^s) \cdot \prod_{k=1}^s p(x_k), x_1^s \in \mathcal{A}_q^s, z \in Z \right\} \in \{\tau\}^{(f)}$$

and the functions (37) satisfy the equalities

$$894 \quad \mathcal{H}^{(f)}(p, \tau_p^{(f)}) = 0, \quad I_s(p, \tau_p^{(f)}) = H_p^{(f)}(s, q).$$

Proposition 4 [31], [34]: Let  $s \geq 2$ ,  $q \geq 2$ ,  $R > 0$  be fixed  
 and the entropy  $H_p^{(f)}(s, q)$  of a fixed distribution  $p$  be defined  
 by (10). If the asymptotic conditions (32) are fulfilled, then  
 for the *FC-ensemble*, there exists

$$895 \quad \lim_{N \rightarrow \infty} \frac{-\ln \mathcal{P}_N^{(f)}(s, t, (N_0, \dots, N_{q-1}))}{N} \\ 896 \quad \triangleq E_{FC}^{(f)}(s, q, R, p) > 0, \quad 0 < R < \frac{H_p^{(f)}(s, q)}{s}, \quad (38)$$

and for the *CR-ensemble*, there exists

$$901 \quad \lim_{N \rightarrow \infty} \frac{-\ln \mathcal{P}_N^{(f)}(s, t, p)}{N} \triangleq E_{CR}^{(f)}(s, q, R, p) > 0, \\ 902 \quad 0 < R < \frac{H_p^{(f)}(s, q)}{s}. \quad (39)$$

For any fixed  $p$ , the positive monotonically decreasing functions  $E_{FC}^{(f)}(s, q, R, p)$  and  $E_{CR}^{(f)}(s, q, R, p)$  are  $\cup$ -convex functions of the parameter  $R > 0$  of the following form:

$$904 \quad E_{FC}^{(f)}(s, q, R, p) \triangleq \min_{m \in [s]} E_{FC}^{(f)}(s, q, R, p, m), \\ 905 \quad E_{FC}^{(f)}(s, q, R, p, m) \triangleq \min_{\{\tau\}^{(f)}(p)} \left\{ \mathcal{H}^{(f)}(p, \tau) + [I_m(p, \tau) - mR]^+ \right\}, \\ 906 \quad (40) \quad 909$$

910 and

$$\begin{aligned} E_{CR}^{(f)}(s, q, R, \mathbf{p}) &\triangleq \min_{m \in [s]} E_{CR}^{(f)}(s, q, R, \mathbf{p}, m), \\ E_{CR}^{(f)}(s, q, R, \mathbf{p}, m) &\triangleq \min_{\{\tau\}^{(f)}} \left\{ \mathcal{H}^{(f)}(\mathbf{p}, \tau) + [I_m(\mathbf{p}, \tau) - mR]^+ \right\}. \end{aligned} \quad (41)$$

914 The minimum in (40) is taken over the subset  $\{\tau\}^{(f)}(\mathbf{p})$  of  
915 distributions  $\{\tau\}^{(f)}$  (36) for which the marginal probabilities  
916  $\tau(x_k)$  are fixed and coincide with  $p(x_k)$ ,  $k \in [s]$ , i.e.,  $\{\tau\}^{(f)}(\mathbf{p})$   
917 is defined as

$$918 \quad \left\{ \tau \in \{\tau\}^{(f)} : \sum_{x_1^{k-1}} \sum_{x_{k+1}^s} \sum_z \tau(x_1^s, z) = p(x_k), k \in [s] \right\}. \quad (42)$$

919 The minimum in (41) is taken over the set of all distributions  
920 (36).

921 Remark 5: Proposition 4 and the properties of the random  
922 error exponents (38) and (39) were formulated and proved in  
923 the papers [31] and [34] for the particular binary case  $q = 2$   
924 only. In the general case  $q \geq 2$ , we omit the proofs because  
925 one can check that the given results are based on the same  
926 methods developed in [31] and [34]. Here we only note that  
927 for the symmetric  $f$ -MAC, definitions (40)-(42) lead to the  
928 inequality

$$929 \quad E_{CR}^{(f)}(s, q, R, \mathbf{p}) \leq E_{FC}^{(f)}(s, q, R, \mathbf{p}), \quad 0 < R < \frac{H_p^{(f)}(s, q)}{s}.$$

930 Random coding bounds (33)-(34) and Proposition 4 imply  
931 that the error exponent  $E^{(f)}(s, q, R)$  defined by (28)-(29) is

$$\begin{aligned} 932 \quad E^{(f)}(s, q, R) &\geq \max_{\mathbf{p}} E_{FC}^{(f)}(s, q, R, \mathbf{p}) > 0 \\ 933 \quad 0 < R < \overline{C}^{(f)}(s, q) &= \frac{\max_{\mathbf{p}} H_p^{(f)}(s, q)}{s} \end{aligned} \quad (43)$$

934 and, obviously, the inequality (43) means that for the capacity  
935  $C^{(f)}(s, q)$  of the  $f$ -MAC, defined by (28)-(30), the lower  
936 bound

$$937 \quad C^{(f)}(s, q) \geq \overline{C}^{(f)}(s, q) = \frac{\max_{\mathbf{p}} H_p^{(f)}(s, q)}{s}, \quad (44)$$

938 holds. The inequalities (31) and (44) lead to

939 Corollary 2: The capacity  $C^{(f)}(s, q)$  of the  $f$ -MAC for the  
940 exponentially decreasing error probability coincides with the  
941 entropy bound  $\overline{C}^{(f)}(s, q)$ , i.e.,

$$942 \quad C^{(f)}(s, q) = \overline{C}^{(f)}(s, q) = \frac{\max_{\mathbf{p}} H_p^{(f)}(s, q)}{s}, \quad (45)$$

943 and the number defined by the right-hand side (45) can  
944 be considered as the Shannon capacity of the symmetric  
945  $f$ -MAC [44].

946 The following statement called the random coding lower  
947 bound on the rate  $R^{(f)}(s, q)$  of  $s$ -separable  $q$ -ary codes for  
948 the symmetric  $f$ -MAC can be obtained as a consequence of  
949 Proposition 4.

Proposition 5 [31]: The rate  $R^{(f)}(s, q)$  of  $s$ -separable  
951  $q$ -ary codes for the symmetric  $f$ -MAC satisfies the inequality

$$952 \quad R^{(f)}(s, q) \geq \underline{R}^{(f)}(s, q), \quad s \geq 2, q \geq 2,$$

where for any fixed distribution  $\mathbf{p}$  the lower bound  $\underline{R}^{(f)}(s, q)$   
953 can be represented in the form

$$\begin{aligned} 955 \quad \underline{R}^{(f)}(s, q) &\triangleq \min_{m \in [s]} \frac{E_{FC}^{(f)}(s, q, 0, \mathbf{p}, m)}{s + m - 1} \\ 956 \quad &= \min_{m \in [s]} \frac{\min_{\{\tau\}^{(f)}(\mathbf{p})} \{\mathcal{H}^{(f)}(\mathbf{p}, \tau) + I_m(\mathbf{p}, \tau)\}}{s + m - 1} \end{aligned}$$

or in the form

$$\begin{aligned} 958 \quad \underline{R}^{(f)}(s, q) &\triangleq \min_{m \in [s]} \frac{E_{CR}^{(f)}(s, q, 0, \mathbf{p}, m)}{s + m - 1} \\ 959 \quad &= \min_{m \in [s]} \frac{\min_{\{\tau\}^{(f)}} \{\mathcal{H}^{(f)}(\mathbf{p}, \tau) + I_m(\mathbf{p}, \tau)\}}{s + m - 1}. \end{aligned}$$

In paper [31], Proposition 5 was proved for the particular  
960 case of the  $B$ -MAC with binary ( $q = 2$ ) alphabet only.  
961 For an arbitrary symmetric  $f$ -MAC, one can use the same  
962 arguments. The asymptotic lower bound on the rate  $R^{(disj)}(s)$   
963 for the disjunctive MAC formulated in Sect. III-B was actually  
964 obtained in [31] as a nontrivial consequence of Proposition 5.

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969

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# Separable Codes for the Symmetric Multiple-Access Channel

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**Abstract**—A binary matrix is called an *s-separable code* for the *disjunctive multiple-access channel (disj-MAC)* if Boolean sums of sets of *s* columns are all distinct. The well-known issue of the combinatorial coding theory is to obtain upper and lower bounds on the rate of *s*-separable codes for the *disj-MAC*. In our paper, we generalize the problem and discuss upper and lower bounds on the rate of *q*-ary *s*-separable codes for the models of noiseless symmetric MAC, i.e., at each time instant the output signal of MAC is a symmetric function of its *s* input signals.

**Index Terms**—Multiple-access channel (MAC), separable codes, random coding method, list-decoding.

## I. INTRODUCTION

We STUDY some combinatorial coding problems for the multiple access channel (MAC) that were motivated by two specific noiseless MAC models, corresponding to the transmission of *q*-ary symbols based on the frequency modulation method. Both models were suggested in the paper [1] and were called the *s*-user *q*-frequency MAC with (the *B*-MAC) and without (the *A*-MAC) intensity information. Using a well-known terminology [2] of the combinatorial coding theory, we describe the *A*-MAC and the *B*-MAC coding problems along with the previously obtained results as follows.

Given arbitrary integers  $2 \leq s < t/2$ ,  $q \geq 2$  and  $N \geq 2$ , introduce a code  $X$  consisting of  $t$  codewords of length  $N$  over a *q*-ary alphabet. The code  $X$  is called

- *s-separable* [3] code for the *A*-MAC if for any two distinct *s*-tuples of the codewords there exists a coordinate  $i$ ,  $1 \leq i \leq N$ , in which the union of the *s* elements of

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the first *s*-tuple differs from the union of the *s* elements of the second *s*-tuple.

- *s-separable* [4] code for the *B*-MAC if for any two distinct *s*-tuples of the codewords there exists a coordinate  $i$ ,  $1 \leq i \leq N$ , in which the type (or the composition) of the first *s*-tuple differs from the type of the second *s*-tuple.
- $(\leq s)$ -separable [3] code for the *A*-MAC if for any *k*-tuple and any *m*-tuple, where  $1 \leq k, m \leq s$ , of the codewords there exists a coordinate  $i$ ,  $1 \leq i \leq N$ , in which the union of the *k* elements of the *k*-tuple differs from the union of the *m* elements of the *m*-tuple.
- *s-frameproof* code [5] if for any *s*-tuple of the codewords and every other codeword, there exists a coordinate  $i$ ,  $1 \leq i \leq N$ , in which the symbol of the other codeword doesn't belong to the union of the *s* elements of the *s*-tuple.
- *s-hash* code [6], [7] if  $q \geq s$  and for every *s*-tuple of the codewords there exists a coordinate  $i$ ,  $1 \leq i \leq N$ , in which they are all different.

If  $t^{(A)}(s, q, N)$  denote the largest size of *s*-separable codes for the *A*-MAC, then the number

$$R^{(A)}(s, q) = \lim_{N \rightarrow \infty} \frac{\ln t^{(A)}(s, q, N)}{N},$$

is said to be the rate of *s*-separable codes for the *A*-MAC. By the similar way we define the rate  $R^{(B)}(s, q)$  of *s*-separable codes for the *B*-MAC, the rate  $R^{(hash)}(s, q)$  of *s*-hash codes, the rate  $R^{(A)}(\leq s, q)$  of  $(\leq s)$ -separable codes and the rate  $R^{(fp)}(s, q)$  of *s*-frameproof codes.

## A. Related Work

Multimedia fingerprinting is a technique to trace the sources of pirate copies of copyrighted multimedia contents. Separable codes for the *A*-MAC were introduced in [3] as an efficient tool to construct codes for multimedia fingerprinting in the context of “averaging attack”. Due to its importance, constructions, applications and bounds on the rate of separable codes were further investigated and discussed in papers [8]–[11].

Other security models and applications related to separable codes have been considered, and various classes of codes were defined in the literature. We only mention the most significant one and refer the reader to [5], where the problem of preventing an adversary from framing an innocent user was addressed, and the definition of frameproof codes was given. The latter were studied extensively in [3] and [12]–[17].

One important concept, which generalizes the definition of frameproof codes, is called  $(s, s')$ -separating codes [14], [18]

not to be confused with the definition of  $s$ -separable codes. For this kind of codes, we require the property that for any disjoint  $s$ -tuple and  $s'$ -tuple of codewords, there exists a coordinate, in which the symbols of the  $s$ -tuple are disjoint with the symbols of the  $s'$ -tuple. The most fundamental applications of  $(s, s')$ -separating codes (with  $s \neq s' \geq 2$ ) are connected with automata synthesis [19], a key distribution problem in cryptography [20] and a problem in molecular biology [21].

Finally, hash codes have undergone study due to their applications in information retrieval, cryptography and algorithms. Different problems on hash codes were considered and developed in [6], [7], [22], and [23].

Recall the well-known results emphasizing the connection between separable codes, hash codes and frameproof codes, namely: the inequalities

$$\begin{aligned} R^{(A)}(\leq s, q) &\leq \min \left\{ R^{(fp)}(s-1, q), R^{(A)}(s, q) \right\}, \\ R^{(fp)}(s, q) &\leq R^{(A)}(\leq s, q), \\ R^{(hash)}(s, q) &\leq R^{(fp)}(s-1, q), \quad q \geq s \geq 2, \end{aligned} \quad (1)$$

and asymptotic ( $s$ -fixed and  $q \rightarrow \infty$ ) lower and upper bounds

$$\begin{aligned} R^{(hash)}(s, q) &\geq \frac{\ln q}{s-1}(1+o(1)), \\ R^{(fp)}(s, q) &\leq \frac{\ln q}{s}(1+o(1)). \end{aligned} \quad (2)$$

The first and the second inequalities in (1) are simple reformulations of the corresponding evident properties of binary superimposed codes [24], [25]. The third inequality in (1) is trivially implied from the definitions. The upper bound for frameproof codes in (2) is given in [26] and is based on the same idea as an upper bound for hash codes [23], [27]. The asymptotic lower bound in (2) is an obvious corollary of the random coding lower bound proved in [6] and [28]. From (1) and (2) it follows the asymptotic ( $s$ -fixed and  $q \rightarrow \infty$ ) equalities:

$$R^{(hash)}(s, q) \sim \frac{\ln q}{s-1}, \quad R^{(fp)}(s, q) \sim \frac{\ln q}{s}. \quad (3)$$

Moreover, recent papers [9], [10] contain proofs of the asymptotic ( $s$ -fixed and  $q \rightarrow \infty$ ) equalities:

$$R^{(A)}(\leq 2, q) \sim \frac{2 \ln q}{3}; \quad R^{(A)}(\leq s, q) \sim \frac{\ln q}{s-1}, \quad s \geq 3. \quad (4)$$

Unlike (3) and (4), the similar asymptotic behavior of the rates  $R^{(A)}(s, q)$  and  $R^{(B)}(s, q)$  of  $s$ -separable codes for the A-MAC and the B-MAC is unknown at present. The aim of our paper is a further development and generalization of the given open problems.

## B. Outline

The remainder of the paper is organized as follows. After introducing notations, in Section II, we give a general definition of the noiseless symmetric MAC (the  $f$ -MAC) along with the corresponding definition of an  $s$ -separable code for the  $f$ -MAC, and describe five models of the  $f$ -MACs, which are important for applications. In Section III, we speculate about an information-theoretic upper bound, called

an *entropy* bound, on the rate of  $s$ -separable codes for the  $f$ -MAC and discuss the known and new improvements of the entropy bound. In particular, a combinatorial upper bound on  $R^{(B)}(s, q)$  is given by Theorem 1. In Section IV, new asymptotic ( $s$ -fixed,  $q \rightarrow \infty$ ) random coding lower bounds on the rates  $R^{(A)}(s, q)$  and  $R^{(B)}(s, q)$  are presented by Theorem 2 and Theorem 3, respectively. In Section V, we introduce the concept of list-decoding codes for the A-MAC and obtain an upper bound on the rate of these codes, matching with the known lower bound for very large alphabet size  $q$ . Based on a simple connection between list-decoding codes and  $s$ -separable codes, we also derive an upper bound on  $R^{(A)}(s, q)$ , given by Theorem 6. Finally, in the Appendix, we introduce the Shannon concept of an error probability for the  $f$ -MAC and investigate the logarithmic asymptotics of the standard random coding upper bounds on the error probability. The obtained results lead us to some non-asymptotic random coding lower bounds on the rate of  $s$ -separable codes for the symmetric  $f$ -MAC.

In particular, as new results we claim the following.

*Theorem 1:* For any  $s \geq 2$  and  $q \geq 2$ , the rate of  $s$ -separable  $q$ -ary codes for the B-MAC satisfies the inequality

$$R^{(B)}(s, q) \leq \begin{cases} \frac{s+1}{2s} \ln q, & \text{if } s \text{ is odd,} \\ \frac{s+2}{2(s+1)} \ln q, & \text{if } s \text{ is even.} \end{cases}$$

*Theorem 2:* If  $s \geq 2$  is fixed and  $q \rightarrow \infty$ , then the rate  $R^{(B)}(s, q)$  satisfies the asymptotic inequality

$$R^{(B)}(s, q) \geq \frac{s}{2s-1} \ln q (1+o(1)).$$

*Theorem 3:* If  $s \geq 2$  is fixed and  $q \rightarrow \infty$ , then the rate  $R^{(A)}(s, q)$  satisfies the asymptotic inequality

$$R^{(A)}(s, q) \geq \frac{2}{s+1} \ln q (1+o(1)).$$

*Theorem 6:* For any  $s \geq 2$  and  $q \geq 2$ , the rate of  $s$ -separable  $q$ -ary codes for the A-MAC satisfies the inequality

$$R^{(A)}(s, q) \leq \frac{2}{s} \ln q.$$

## II. STATEMENT OF THE PROBLEM

### A. Notations

Let  $q$ ,  $N$ ,  $t$ ,  $s$  and  $L$  be integers, where  $q \geq 2$ ,  $N \geq 2$ ,  $2 \leq s < t/2$ ,  $1 \leq L \leq t-s$ . Let symbol  $\triangleq$  denote equality by definition,  $\mathcal{A}_q \triangleq \{0, 1, \dots, q-1\}$  be the standard  $q$ -ary alphabet,  $[N] \triangleq \{1, 2, \dots, N\}$  be the set of integers from 1 to  $N$ ,  $|A|$  be the size of the set  $A$ ,  $[b]^+ \triangleq \max\{0; b\}$  be the positive part of  $b$ . A  $q$ -ary  $(N \times t)$ -matrix  $X = (x_i(j))$ ,  $i \in [N]$ ,  $j \in [t]$ ,  $x_i(j) \in \mathcal{A}_q$ , with  $t$  columns (codewords)  $\mathbf{x}(j) \triangleq (x_1(j), \dots, x_N(j))$ ,  $j \in [t]$ , and  $N$  rows  $\mathbf{x}_i \triangleq (x_i(1), \dots, x_i(t))$ ,  $i \in [N]$ , is called a  $q$ -ary code of length  $N$  and size  $t$ .

For any fixed  $q$ -ary vector  $\mathbf{x} = (x_1, \dots, x_s) \triangleq x_1^s \in \mathcal{A}_q^s$ , define the integer vector  $(s_0, s_1, \dots, s_{q-1})$  of length  $q$ , where  $s_a = s_a(\mathbf{x})$ ,  $0 \leq s_a \leq s$ ,  $a \in \mathcal{A}_q$ , is the number of positions  $i$ ,  $i \in [s]$ , such that  $x_i = a$ . Obviously,  $\sum_{a=0}^{q-1} s_a = s$ . The vector

( $s_0, \dots, s_{q-1}$ ) is said to be a *type* (or, *composition*) of the  $q$ -ary vector  $x_1^s \in \mathcal{A}_q^s$  or, briefly,

$$T(x_1^s) \triangleq (s_0, \dots, s_{q-1}). \quad (5)$$

Introduce the standard symbols  $2^Y$  and  $\binom{[t]}{s}$  to denote the set of all subsets of a set  $Y$  and the set of all subsets of size  $s$  of the set  $[t]$ . By definition, the union  $U(x_1^s)$  of the  $q$ -ary vector  $x_1^s \in \mathcal{A}_q^s$  is

$$U(x_1^s) \triangleq \bigcup_{i \in [s]} x_i \in 2^{\mathcal{A}_q}. \quad (6)$$

For any  $\mathbf{e} = \{e_1, \dots, e_s\} \in \binom{[t]}{s}$ , called a *message*, and a code  $X$ , consider the non-ordered  $s$ -collection of codewords

$$\mathbf{x}(\mathbf{e}) \triangleq \{x(e_1), \dots, x(e_s)\}. \quad (7)$$

We say that  $\mathbf{x}(\mathbf{e})$  encodes the message  $\mathbf{e}$ .

### B. The Symmetric Multiple-Access Channel

We use the terminology of the noiseless (deterministic) *multiple-access channel* (MAC), which has  $s$  inputs and one output [2]. Let all  $s$  input alphabets of MAC be the same and coincide with the alphabet  $\mathcal{A}_q = \{0, 1, \dots, q-1\}$ . Denote by  $Z$  the finite output alphabet of size  $|Z|$ . Given  $s$  inputs  $(x_1, \dots, x_s) \in \mathcal{A}_q^s$  of MAC, the noiseless MAC is prescribed by the function

$$z = f(x_1, \dots, x_s) \triangleq f(x_1^s), \quad z \in Z, \quad x_1^s \in \mathcal{A}_q^s. \quad (8)$$

The deterministic model of MAC is called an *f-MAC*.

*Definition 1:* An *f-MAC*, given by (8), is said to be the *symmetric f-MAC* if for any permutation  $\pi \in S_s$ , where  $S_s$  is the symmetric group on  $s$  elements, the following equality holds

$$f(x_1, \dots, x_s) = f(x_{\pi(1)}, \dots, x_{\pi(s)}).$$

*Remark 1:* Note that to determine a function  $f = f(x_1, \dots, x_s) = f(x_1^s)$  for the symmetric *f-MAC* it is necessary and sufficient to define  $f$  only on different compositions  $(s_0, s_1, \dots, s_{q-1}) = T(x_1^s)$ ,  $x_1^s \in \mathcal{A}_q^s$ , or, in other terms, on multisets of cardinality  $s$  ( $s$ -collections) over  $\mathcal{A}_q$ .

In what follows, we consider the symmetric *f-MAC* only.

### C. Separable Codes

For any message  $\mathbf{e} \in \binom{[t]}{s}$  and a fixed code  $X = (x_i(j))$ ,  $i \in [N]$ ,  $j \in [t]$ , let  $\mathbf{x}_i(\mathbf{e}) = \{x_i(e_1), \dots, x_i(e_s)\}$ ,  $i \in [N]$ , be the corresponding  $s$ -collection of signals (7) at  $s$  inputs of the symmetric *f-MAC* at the  $i$ -th time unit. Then the signal  $z_i$  at the output of the symmetric *f-MAC* at the  $i$ -th time unit is

$$z_i = z_i^{(f)}(\mathbf{e}, X) \triangleq f(x_i(e_1), \dots, x_i(e_s)) \in Z.$$

On the base of the code  $X$  and  $N$  signals

$$\mathbf{z}^{(f)}(\mathbf{e}, X) \triangleq \left( z_1^{(f)}(\mathbf{e}, X), \dots, z_N^{(f)}(\mathbf{e}, X) \right) \in Z^N,$$

which are known at the output of MAC, an *observer* makes the *brute force* decision about the unknown message  $\mathbf{e}$ . To identify  $\mathbf{e}$ , a code  $X$  is assigned.

*Definition 2:* A  $q$ -ary code  $X$  is said to be a *s-separable* code of size  $t$  and length  $N$  for the *f-MAC* if all  $\mathbf{z}^{(f)}(\mathbf{e}, X)$ ,  $\mathbf{e} \in \binom{[t]}{s}$ , are distinct.

Let  $t^{(f)}(s, q, N)$  be the *maximal size* of *s-separable*  $q$ -ary codes of length  $N$  for the *f-MAC*. For fixed  $s \geq 2$  and  $q \geq 2$ , the number

$$R^{(f)}(s, q) \triangleq \overline{\lim}_{N \rightarrow \infty} \frac{\ln t^{(f)}(s, q, N)}{N}, \quad (9)$$

is said to be a *rate* of *s-separable*  $q$ -ary codes for the *f-MAC*.

### D. Examples of the Symmetric *f-MAC*

1) *The A-MAC*: The *A-MAC* is described by the function

$$z = f(x_1^s) \triangleq U(x_1^s) \subseteq \mathcal{A}_q,$$

where the union function  $U(x_1^s)$  of a vector  $x_1^s$  is given in (6). For instance, if  $s = 4$  and  $q = 3$ , then

$$U(0, 0, 1, 1) = \{0, 1\}, \quad U(1, 1, 0, 2) = \{0, 1, 2\}.$$

The cardinality  $|Z|$  of output alphabet  $Z$  for the *A-MAC* is  $|Z| = \sum_{k=1}^{\min(s, q)} \binom{q}{k}$ . For  $s \geq q$ , we have  $|Z| = 2^q - 1$ .

2) *The B-MAC*: The *B-MAC* known also as the *compositional channel* is described by the function

$$z = f(x_1^s) \triangleq T(x_1^s), \quad x_1^s = (x_1, \dots, x_s) \in \mathcal{A}_q^s,$$

where the type function  $T(x_1^s)$  of a vector  $x_1^s$  is defined by (5). For instance, if  $s = 4$  and  $q = 3$ , then

$$T(0, 0, 1, 1) = (2, 2, 0), \quad T(1, 1, 0, 2) = (1, 2, 1).$$

The cardinality of the output alphabet for the *B-MAC* is  $|Z| = \binom{q+s-1}{s}$ ,  $s \geq 2$ ,  $q \geq 2$ . We acknowledge the paper [1], in which the significant applications of the *B-MAC* and the *A-MAC* were firstly developed.

3) *The Erasure MAC*: A  $q$ -ary *f-MAC* is said to be the *erasure MAC* (briefly, *eras-MAC*) if it has the  $(q+1)$ -ary output alphabet  $Z \triangleq \{0, 1, \dots, q-1, *\}$  and the output function  $z = f(x_1^s)$  has the form:

$$z = f(x_1, \dots, x_s) \triangleq \begin{cases} x, & \text{if } x_1 = \dots = x_s = x, x \in \mathcal{A}_q, \\ *, & \text{otherwise.} \end{cases}$$

The *eras-MAC* model can be considered as an adequate description for the transmission of  $q$ -ary symbols based on the *frequency modulation* method.

4) *The Threshold MAC*: The threshold  $f_\ell$ -*MAC* (briefly,  $\ell$ -*thr*-*MAC*) has the binary input (i.e.,  $q = 2$ ) and the output alphabet  $Z \triangleq \mathcal{A}_2 = \{0, 1\}$ , and

$$z = f_\ell(x_1, \dots, x_s) \triangleq \begin{cases} 0, & \text{if } \sum_{i=1}^s x_i < \ell, \\ 1, & \text{otherwise,} \end{cases}$$

where terms of the sum are considered as 0 and 1 elements of the ring of integers  $\mathbb{Z}$ . Separable codes for the  $\ell$ -*thr*-*MAC* are connected with some *compressed genotyping* [29] models arising in the molecular biology.

256     5) *The Disjunctive MAC*: The disjunctive MAC (briefly,  
 257     *disj*-MAC) has the binary input alphabet and the output  
 258     alphabet  $Z \triangleq \mathcal{A}_2 = \{0, 1\}$ , and

$$259 \quad z = f(x_1, \dots, x_s) \triangleq \begin{cases} 0, & \text{if } x_1 = \dots = x_s = 0, \\ 1, & \text{otherwise.} \end{cases}$$

260     Notice that the *disj*-MAC is equivalent to the *1-thr*-MAC.  
 261     The *disj*-MAC model is interpreted as the transmission of  
 262     binary symbols based on the *impulse modulation* method.  
 263     In addition, the binary  $s$ -separable codes for the *disj*-MAC are  
 264     closely connected with the *combinatorial search theory* [30]  
 265     and the information-theoretic model called the *design of*  
 266     *screening experiments* [31].

### 267     III. IMPROVEMENTS OF THE ENTROPY BOUND

268     In this section, we first give a general statement called  
 269     the entropy bound on the rate of separable codes for any  
 270     symmetric MAC. For an asymptotic regime  $s \rightarrow \infty$ , we recall  
 271     the best known bounds on the rate of separable codes for the  
 272     disjunctive, the erasure, the threshold, the *A* and the *B* MACs  
 273     in Sections III-B-III-F, respectively. Finally, in Section III-G,  
 274     we present Theorem 1, a novel upper bound, which holds for  
 275     any symmetric MAC and improves the entropy bound.

#### 276     A. The Entropy Upper Bound on $R^{(f)}(s, q)$

277     Let  $\mathbf{p} \triangleq \{p(a), a \in \mathcal{A}_q\}$ , where  $0 \leq p(a) \leq 1, a \in \mathcal{A}_q$ ,  
 278     and  $\sum_{a \in \mathcal{A}_q} p(a) = 1$ , be a fixed probability distribution on  
 279     the  $q$ -ary alphabet  $\mathcal{A}_q$ , and a multinomial random vector  $\xi_1^s \triangleq$   
 280      $(\xi_1, \dots, \xi_s) \in \mathcal{A}_q^s$  is the collection of  $s$  *independent* random  
 281     variables having the same distribution  $\mathbf{p}$ , i.e.,  $\Pr\{\xi_k = a\} \triangleq$   
 282      $p(a), k \in [s], a \in \mathcal{A}_q$ . If the random vector  $\xi_1^s$  is interpreted  
 283     as  $s$  signals at  $s$  *independent* inputs of the symmetric  $f$ -MAC,  
 284     then the output Shannon entropy  $H_p^{(f)}(s, q)$  is defined [2] as

$$285 \quad H_p^{(f)}(s, q) \triangleq \sum_{z \in Z} \Pr\{f(\xi_1^s) = z\} \cdot \ln \frac{1}{\Pr\{f(\xi_1^s) = z\}},$$

$$286 \quad \Pr\{\xi_1^s = a_1^s\} \triangleq \prod_{k=1}^s \Pr\{\xi_k = a_k\} \triangleq \prod_{k=1}^s p(a_k). \quad (10)$$

287     Remark 2: Remark 1 and the well-known maximization  
 288     property [2] of the Shannon entropy imply that for any sym-  
 289     metric  $f$ -MAC and any probability distribution  $\mathbf{p}$ , the entropy  
 290     function  $H_p^{(f)}(s, q)$  satisfies the inequalities

$$291 \quad H_p^{(f)}(s, q) \leq H_p^{(B)}(s, q) \leq \ln \binom{s+q-1}{q}, \quad (11)$$

292     where we took into account that for the *B*-MAC, the output  
 293     alphabet size  $|Z| = \binom{s+q-1}{q}$ .

294     Proposition 1 [32]–[34]: The rate of  $s$ -separable  $q$ -ary  
 295     codes for the symmetric  $f$ -MAC satisfies the inequality

$$296 \quad R^{(f)}(s, q) \leq \overline{C}^{(f)}(s, q) \triangleq \frac{\max_{\mathbf{p}} H_p^{(f)}(s, q)}{s}. \quad (12)$$

297     The foregoing statement is based on the subadditive prop-  
 298     erty [2] of the Shannon entropy and, hereinafter, the function  
 299      $\overline{C}^{(f)}(s, q)$  defined by (10) and (12) is said to be an *entropy*  
 300     *bound* for the  $f$ -MAC.

#### 301     B. Bounds on the Rate $R^{(disj)}(s)$ for the Disjunctive MAC

302     One can check [33] that the entropy bound of the *disj*-  
 303     MAC is  $\overline{C}^{(disj)}(s, 2) = \ln 2/s$  and the maximum in the right-  
 304     hand side of (12) is attained at the distribution  $\mathbf{p}$  with proba-  
 305     bilities  $p(0) = 2^{-1/s}$  and  $p(1) = 1 - 2^{-1/s}$ . Some significant  
 306     results, improving the entropy bound  $R^{(disj)}(s, 2) \leq \ln 2/s$ ,  
 307     were obtained in [35] for  $s = 2$  and in [36] for  $s \geq 11$ .  
 308     In addition, we refer to the best known asymptotic ( $s \rightarrow \infty$ )  
 309     lower [31] and upper [36] bounds on the rate  $R^{(disj)}(s)$ :

$$310 \quad \frac{2(\ln 2)^2}{s^2}(1 + o(1)) \leq R^{(disj)}(s, 2) \leq \frac{4 \ln s}{s^2}(1 + o(1)),$$

311     where the lower bound is based on Proposition 5 formulated  
 312     in the Appendix.

#### 313     C. Bounds on the Rate $R^{(eras)}(s, q)$ for the Erasure MAC

314     If  $q = 2$  and  $s \rightarrow \infty$ , then it is not difficult to establish [37]  
 315     that the entropy bound of the *eras*-MAC is  $\overline{C}^{(eras)}(s, 2) \sim$   
 316      $\ln 2/s$  and the maximum in the right-hand side of (12) is  
 317     asymptotically attained at distribution  $\mathbf{p}$  with  $p(1) \sim \ln 2/s$  or  
 318     with  $p(0) \sim \ln 2/s$ . In addition, we mention the best known  
 319     asymptotic ( $s \rightarrow \infty$ ) lower [38] and upper [31] bounds on  
 320     the rate  $R^{(eras)}(s, 2)$ :

$$321 \quad \frac{2(\ln 2)^2}{s^2}(1 + o(1)) \leq R^{(eras)}(s, 2) \leq \frac{4 \ln s}{s^2}(1 + o(1)).$$

322     Open Problem: We conjecture that the entropy bound of the  
 323     *eras*-MAC does not depend on  $q \geq 2$ , i.e.,

$$324 \quad \overline{C}^{(eras)}(s, q) = \overline{C}^{(eras)}(s, 2), \quad s \geq 2, q \geq 2.$$

#### 325     D. Bounds on the Rate $R^{(\ell-thr)}(s)$ for the Threshold MAC

326     The best known asymptotic ( $\ell \geq 2$  is fixed and  $s \rightarrow \infty$ )  
 327     lower and upper bounds on the rate  $R^{(\ell-thr)}(s)$  were presented  
 328     in [39] and [40]:

$$329 \quad \frac{\ell^\ell e^{-2\ell} 2^{-\ell-1}}{(\ell-1)!s^2}(1 + o(1)) \leq R^{(\ell-thr)}(s, 2) \leq \frac{2\ell^2 \ln s}{s^2}(1 + o(1)).$$

#### 330     E. Bounds on the Rate $R^{(A)}(s, q)$ for the *A*-MAC

331     For fixed  $q$  and  $s \rightarrow \infty$ , the best known upper bounds on the  
 332     rate  $R^{(A)}(s, q)$  are based on the upper bound for  $R^{(disj)}(s, 2)$   
 333     and improve the entropy bound. The asymptotic ( $s \rightarrow \infty$ )  
 334     lower and upper bounds were established in [38]

$$335 \quad \frac{(q-1)}{s^2 \log_2^2 e}(1 + o(1)) \leq R^{(A)}(s, q) \leq \frac{4(q-1) \ln s}{s^2}(1 + o(1)).$$

#### 336     F. Bounds on the Rate $R^{(B)}(s, q)$ for the *B*-MAC

337     For fixed  $q$  and  $s \rightarrow \infty$ , the best known lower and upper  
 338     bounds on the rate  $R^{(B)}(s, q)$  were given in [32] and [41]  
 339     (case  $q = 2$ ) and in [1] and [4] (case  $q > 2$ )

$$340 \quad \frac{(q-1) \ln s}{4s}(1 + o(1)) \leq R^{(B)}(s, q) \leq \frac{(q-1) \ln s}{2s}(1 + o(1)).$$

341 *G. Combinatorial Upper Bound for the Symmetric MAC*

342 In the following theorem, we establish a combinatorial  
 343 upper bound on the rate of  $s$ -separable  $q$ -ary codes for any  
 344 symmetric  $f$ -MAC.

345 *Theorem 1:* For any symmetric  $f$ -MAC and  $s \geq 2$ ,  $q \geq 2$ ,  
 346 the rate satisfies the inequality

$$347 R^{(f)}(s, q) \stackrel{(a)}{\leq} R^{(B)}(s, q) \\ 348 \leq \overline{R}^{(B)}(s, q) \triangleq \begin{cases} \frac{s+1}{2s} \ln q, & \text{if } s \text{ is odd,} \\ \frac{s+2}{2(s+1)} \ln q, & \text{if } s \text{ is even.} \end{cases} \quad (13)$$

349 The inequality (a) is evidently implied by Remark 1 because  
 350 any  $s$ -separable code for the given symmetric  $f$ -MAC is an  
 351  $s$ -separable code for the  $B$ -MAC as well. For the  $B$ -MAC,  
 352 the maximization problem in the right-hand side of (12) was  
 353 firstly solved in [42]. Mateev [42] proved that the maximum  
 354 is attained at the uniform distribution  $p(a) = 1/q$ ,  $a \in \mathcal{A}_q$ ,  
 355 and the entropy bound  $\overline{C}^{(B)}(s, q)$  is

$$356 \overline{C}^{(B)}(s, q) = \frac{1}{s} \sum_{\sum s_i=s} \frac{s!}{s_0! \dots s_{q-1}!} \frac{1}{q^s} \ln \left( \frac{s_0! \dots s_{q-1}!}{s!/q^s} \right).$$

357 Applying the foregoing formula, one can easily check that for  
 358 any  $s \geq 2$  and  $q \geq 2$ ,

$$359 \overline{C}^{(B)}(s, q) \geq \frac{1}{s} (\ln q^s - \ln s!) = \ln q - \frac{\ln s!}{s}. \quad (14)$$

360 Observe that the general bound (11) yields the upper bound

$$361 \overline{C}^{(B)}(s, q) \leq \frac{1}{s} \ln \binom{s+q-1}{s} < \ln(q+s-1) \quad (15)$$

362 From Theorem 1 and inequalities (14)-(15), it follows

363 *Corollary 1:* If  $s \geq 2$  is fixed and  $q \rightarrow \infty$ , then the entropy  
 364 bound for the  $B$ -MAC  $\overline{C}^{(B)}(s, q) \sim \ln q$ , i.e., the upper bound  
 365  $\overline{R}^{(B)}(s, q)$  defined in the left-hand side of (13) asymptotically  
 366 improves the entropy bound  $\overline{C}^{(B)}(s, q)$ . In addition,  
 367 for any  $s \geq 2$  and  $q > (s!)^{2/(s-1)}$ , the rate  $R^{(B)}(s, q)$  of  
 368  $s$ -separable codes for the  $B$ -MAC satisfies the strict inequality  
 369  $R^{(B)}(s, q) < \overline{C}^{(B)}(s, q)$ .

370 *Remark 3:* If  $s \geq 2$  is fixed and  $q \rightarrow \infty$ , then we do  
 371 not know any asymptotic results about the entropy bound  
 372  $\overline{C}^{(A)}(s, q)$  for the  $A$ -MAC which are similar to the results  
 373 described in Corollary 1 for the  $B$ -MAC.

374 *Proof of Theorem 1:* Fix an arbitrary  $q$ -ary  $(N \times t)$ -code  $X$ .  
 375 For any  $\alpha$ ,  $0 < \alpha < 1$ , without loss of generality, we may  
 376 assume that all codewords from  $X$  are distinct and the length  $N$   
 377 can be represented as a sum of two integers  $\alpha N$  and  $(1-\alpha)N$ .  
 378 Given  $X$ , introduce the bipartite graph

$$379 G = G(X) = (V, E) \triangleq (V_1 \cup V_2, E), \\ 380 |V_1| = q^{\alpha N}, \quad |V_2| = q^{(1-\alpha)N},$$

381 defined as follows. Let the vertices in  $V_1$  and  $V_2$  correspond to  
 382 distinct  $q$ -ary vectors of length  $\alpha N$  and  $(1-\alpha)N$ , respectively.  
 383 Two vertices  $v_1 \in V_1$  and  $v_2 \in V_2$  are connected with an  
 384 edge if and only if the code  $X$  contains a codeword of length  
 385  $N = \alpha N + (1-\alpha)N$  which is the concatenation of two  $q$ -  
 386 ary vectors corresponding to  $v_1$  and  $v_2$ . Thus, we obtain the  
 387 graph  $G(X)$  having  $|V| = q^{(1-\alpha)N} + q^{\alpha N}$  vertices and  $t$  edges,

388 identified by the indexes  $[t]$  of the code  $X$ . In addition, any  
 389 message  $\mathbf{e} \in \binom{[t]}{s}$  is interpreted as a non-ordered  $s$ -collection  
 390 of edges.

391 Let  $X$  be a  $q$ -ary  $s$ -separable code for the  $B$ -MAC. Now  
 392 we shall prove that there is no short cycle in  $G(X)$ . Suppose,  
 393 seeking a contradiction, that there exists a simple cycle  $C_{2\ell}$   
 394 of length  $2\ell \leq 2s$  in  $G(X)$ . Enumerate edges in  $C_{2\ell}$  by  
 395  $e_1, \dots, e_{2\ell}$ , where  $e_i$  and  $e_{i+1}$  are adjacent for any  $i \in$   
 396  $[2\ell-1]$  ( $e_1$  and  $e_{2\ell}$  are also adjacent). Define the set  $E_1$  as  
 397  $\{e_1, e_3, \dots, e_{2\ell-1}\}$ , and let  $E_2$  be the remaining edges of the  
 398 cycle. Consider an arbitrary subset  $S \subset [t] \setminus (E_1 \cup E_2)$  of the  
 399 size  $|S| = s - \ell$  and define two messages  $\mathbf{e}_i \triangleq E_i \cup S \in \binom{[t]}{s}$ ,  
 400  $i = 1, 2$ . It is easy to check that outputs of the  $B$ -MAC for  
 401 these messages are the same, i.e.,  $z^{(\bar{B})}(\mathbf{e}_1, X) = z^{(B)}(\mathbf{e}_2, X)$ .  
 402 This contradicts to Definition 2.

403 It is known (e.g., see [43]) that if a bipartite graph with two  
 404 parts of sizes  $n$  and  $m$  does not contain any simple cycle of  
 405 length  $\leq 2s$ , then the number  $t$  of its edges is

$$406 t \leq \begin{cases} (2s-3) \left( (mn)^{\frac{s+1}{2s}} + m+n \right), & \text{if } s \text{ is odd,} \\ (2s-3) \left( m^{\frac{s+2}{2s}} n^{1/2} + m+n \right), & \text{if } s \text{ is even.} \end{cases}$$

407 For odd  $s$ , we obtain

$$408 t \leq (2s-3) \left[ q^{\frac{s+1}{2s}} + q^{\alpha N} + q^{(1-\alpha)N} \right] \\ 409 \leq 3(2s-3) q^{N \max \left\{ \frac{s+1}{2s}, \alpha, (1-\alpha) \right\}}.$$

410 Taking  $\alpha = 1/2$ , we derive

$$411 t \leq 3(2s-3) q^{\frac{s+1}{2s} N},$$

412 and the rate is upper bounded as in (13). Applying the second  
 413 inequality for even  $s$ , we have

$$414 t \leq (2s-3) \left[ q^{\frac{N}{2}(1+\frac{2\alpha}{s})} + q^{\alpha N} + q^{(1-\alpha)N} \right] \\ 415 \leq 3(2s-3) q^{N \max \left\{ \frac{s+2\alpha}{2s}, \alpha, 1-\alpha \right\}}.$$

416 Taking  $\alpha$  as a root of the equality  $\frac{s+2\alpha}{2s} = 1 - \alpha$ , i.e.,  $\alpha = \frac{s}{2(s+1)}$ , we obtain

$$417 t \leq 3(2s-3) q^{\frac{s+2}{2(s+1)} N},$$

418 i.e., the rate satisfies (13).  $\square$

420 **IV. ASYMPTOTIC RANDOM CODING BOUNDS FOR THE  
 421 A-MAC AND THE B-MAC**

422 In this section, we apply the random coding method to  
 423 construct the asymptotic ( $s$ -fixed,  $q \rightarrow \infty$ ) lower bounds on  
 424 the rate of  $s$ -separable  $q$ -ary codes for the  $A$ -MAC and the  
 425  $B$ -MAC.

426 Before deriving the bounds, let us introduce some auxiliary  
 427 notations. Notation  $2^{(\mathcal{A}_q, N)}$  stands for the Cartesian product  
 428 of  $N$  copies of  $2^{\mathcal{A}_q}$ , where  $2^{\mathcal{A}_q}$  is the set of all subsets of  $\mathcal{A}_q$ .  
 429 For a collection of codewords  $V = \{\mathbf{x}(i_1), \dots, \mathbf{x}(i_s)\} \subset \mathcal{A}_q^N$ ,  
 430 by  $T(V)$  we abbreviate the  $q$ -ary  $(N \times q)$  matrix

$$431 T(V) \triangleq (T(x_1(i_1), \dots, x_1(i_s)), \dots, T(x_N(i_1), \dots, x_N(i_s)))^T, \\ 432 \quad (16)$$

433 and we define the vector  $U(V)$  from  $2^{(\mathcal{A}_q, N)}$  as follows

$$434 \quad U(V) \triangleq (U(x_1(i_1), \dots, x_1(i_s)), \dots, U(x_N(i_1), \dots, x_N(i_s)))^T. \quad (17)$$

### 436 A. Random Coding Lower Bound on $R^{(B)}(s, q)$

437 An asymptotic ( $q \rightarrow \infty$ ) random coding lower bound on  
438 the rate of  $s$ -separable  $q$ -ary codes for the  $B$ -MAC is given by

439 *Theorem 2: If  $s \geq 2$  is fixed and  $q \rightarrow \infty$ , then the rate*  
440  $R^{(B)}(s, q)$  *satisfies the asymptotic inequality*

$$441 \quad R^{(B)}(s, q) \geq \frac{s}{2s-1} \ln q (1 + o(1)).$$

442 *Proof of Theorem 2:* Consider the ensemble of matrices  
443  $X = (x_i(j))$ , where entries  $x_i(j)$ ,  $i \in [N]$ ,  $j \in [t]$ , are chosen  
444 independently and uniformly at random from the alphabet  $\mathcal{A}_q$ .  
445 Define a *bad* event  $B_j$ : “there exist two distinct messages  
446  $\mathbf{e} \neq \hat{\mathbf{e}}$  from  $\binom{[t]}{s}$  so that  $j \in \mathbf{e}$ ,  $j \notin \hat{\mathbf{e}}$  and  $T(\mathbf{x}(\mathbf{e})) = T(\mathbf{x}(\hat{\mathbf{e}}))$ ”,  
447 where the matrix  $T(\cdot)$  is defined by (16). To establish the  
448 existence of an  $s$ -separable  $q$ -ary code for the  $B$ -MAC, we  
449 shall upper bound the probability of the bad event by

$$\begin{aligned} 450 \quad \Pr\{B_j\} &= \Pr \left\{ \bigcup_{\substack{\mathbf{e}, \hat{\mathbf{e}} \in \binom{[t]}{s} \\ j \in \mathbf{e}, j \notin \hat{\mathbf{e}}}} T(\mathbf{x}(\mathbf{e})) = T(\mathbf{x}(\hat{\mathbf{e}})) \right\} \\ 451 &\stackrel{(a)}{\leq} s \max_{m \in [s]} \Pr \left\{ \bigcup_{\substack{\mathbf{e}, \hat{\mathbf{e}} \in \binom{[t]}{s}, j \in \mathbf{e} \\ |\mathbf{e} \cap \hat{\mathbf{e}}| = s-m, j \notin \hat{\mathbf{e}}}} T(\mathbf{x}(\mathbf{e})) = T(\mathbf{x}(\hat{\mathbf{e}})) \right\} \\ 452 &\stackrel{(b)}{=} s \max_{m \in [s]} \Pr \left\{ \bigcup_{\substack{\mathbf{e}, \hat{\mathbf{e}} \in \binom{[t]}{s}, j \in \mathbf{e} \\ \mathbf{e} \cap \hat{\mathbf{e}} = \emptyset}} T(\mathbf{x}(\mathbf{e})) = T(\mathbf{x}(\hat{\mathbf{e}})) \right\} \\ 453 &\stackrel{(c)}{\leq} s \max_{m \in [s]} t^{2m-1} \Pr \left\{ T(\mathbf{x}(\mathbf{e})) = T(\mathbf{x}(\hat{\mathbf{e}})) \text{ for some } \mathbf{e}, \hat{\mathbf{e}} \in \binom{[t]}{m} \text{ } \mathbf{e} \cap \hat{\mathbf{e}} = \emptyset \right\} \\ 454 &\stackrel{(d)}{=} s \max_{m \in [s]} t^{2m-1} (\Pr\{T(u_1^m) = T(v_1^m)\})^N, \end{aligned}$$

455 where inequality (a) is implied by

$$456 \quad \Pr \left\{ \bigcup_{m=1}^s C_i \right\} \leq s \max_{m \in [s]} \Pr\{C_i\},$$

457 equality (b) is followed by the fact

$$458 \quad T(V_1) = T(V_2) \iff T(V_1 \setminus V_2) = T(V_2 \setminus V_1),$$

459 inequality (c) is an evident consequence of the union bound  
460 since the number of ways to choose a pair  $\mathbf{e}, \hat{\mathbf{e}}$  with the  
461 property required is  $\binom{t}{2m-1} \binom{2m-1}{m-1} \leq t^{2m-1}$ , and  $\{u_i, v_i\}_{i=1}^m$  in  
462 the last equality (d) are independent random variables having

463 the uniform distribution on the set  $\mathcal{A}_q$ . Let us estimate the  
464 probability that two random  $m$ -tuples have the same type

$$465 \quad \Pr \{ T(u_1^m) = T(v_1^m) \} = \Pr \left\{ \bigcup_{\pi \in S_m} \left[ \bigcap_{k=1}^m (u_k = v_{\pi(k)}) \right] \right\}$$

$$466 \quad \leq m! \cdot \Pr \left\{ \bigcap_{k=1}^m (u_k = v_{\pi(k)}) \right\} = \frac{m!}{q^m}.$$

467 Therefore,

$$468 \quad \Pr\{B_j\} \leq s \max_{m \in [s]} t^{2m-1} (m!/q^m)^N.$$

469 Since  $\Pr\{B_j\}$  does not depend on  $j \in [t]$ , we deduce that if  
470 the upper bound given above is less than  $1/2$ , then there exists  
471 an  $s$ -separable  $q$ -ary code for the  $B$ -MAC of size  $t/2$  and  
472 length  $N$ . Thus, the lower bound on  $R^{(B)}(s, q)$  is as follows

$$473 \quad R^{(B)}(s, q) \geq \min_{m \in [s]} \frac{m \ln q - \ln m!}{2m-1}.$$

474 This leads to the statement of Theorem 2.  $\square$

### 475 B. Random Coding Lower Bound on $R^{(A)}(s, q)$

476 Now we establish an asymptotic random coding lower  
477 bound on the rate of  $s$ -separable  $q$ -ary codes for the  $A$ -MAC  
478 which is presented by

479 *Theorem 3: If  $s \geq 2$  is fixed and  $q \rightarrow \infty$ , then the rate*  
480  $R^{(A)}(s, q)$  *satisfies the asymptotic inequality*

$$481 \quad R^{(A)}(s, q) \geq \frac{2}{s+1} \ln q (1 + o(1)).$$

482 *Proof of Theorem 3:* Consider the ensemble of matrices  
483  $X = (x_i(j))$ , where entries  $x_i(j)$ ,  $i \in [N]$ ,  $j \in [t]$ , are chosen  
484 independently and uniformly at random from the alphabet  $\mathcal{A}_q$ .  
485 Define a *bad* event  $A_j$ : “there exist two distinct messages  
486  $\mathbf{e} \neq \hat{\mathbf{e}}$  from  $\binom{[t]}{s}$  so that  $j \in \mathbf{e}$ ,  $j \notin \hat{\mathbf{e}}$  and  $U(\mathbf{x}(\mathbf{e})) = U(\mathbf{x}(\hat{\mathbf{e}}))$ ”,  
487 where the vector  $U(\cdot) \in 2^{(\mathcal{A}_q, N)}$  is defined by (17). To  
488 establish the existence of an  $s$ -separable  $q$ -ary code for the  
489  $A$ -MAC, we shall upper bound the probability of the bad  
490 event by

$$491 \quad \Pr\{A_j\} = \Pr \left\{ \bigcup_{\substack{\mathbf{e}, \hat{\mathbf{e}} \in \binom{[t]}{s} \\ j \in \mathbf{e}, j \notin \hat{\mathbf{e}}}} U(\mathbf{x}(\mathbf{e})) = U(\mathbf{x}(\hat{\mathbf{e}})) \right\}$$

$$492 \quad \stackrel{(a)}{\leq} s \max_{m \in [s]} \Pr \left\{ \bigcup_{\substack{\mathbf{e}, \hat{\mathbf{e}} \in \binom{[t]}{s}, j \in \mathbf{e} \\ |\mathbf{e} \cap \hat{\mathbf{e}}| = s-m, j \notin \hat{\mathbf{e}}}} U(\mathbf{x}(\mathbf{e})) = U(\mathbf{x}(\hat{\mathbf{e}})) \right\}$$

$$493 \quad \stackrel{(b)}{\leq} s \max \left( \Pr\{C_1\}, \max_{m \in \{2, \dots, s\}} t^{s+m-1} \Pr\{P_m\} \right),$$

494 where  $C_m$  and  $P_m$  are defined as follows

$$\begin{aligned} 495 \quad C_m &\triangleq \left\{ \bigcup_{\substack{\mathbf{e}, \hat{\mathbf{e}} \in \binom{[t]}{s}, j \in \mathbf{e} \\ |\mathbf{e} \cap \hat{\mathbf{e}}| = s-m, j \notin \hat{\mathbf{e}}}} U(\mathbf{x}(\mathbf{e})) = U(\mathbf{x}(\hat{\mathbf{e}})) \right\}, \\ 496 \quad P_m &\triangleq \left\{ \begin{array}{l} U(\mathbf{x}(\mathbf{e})) = U(\mathbf{x}(\hat{\mathbf{e}})) \\ \text{for some } \mathbf{e}, \hat{\mathbf{e}} \in \binom{[t]}{s} \\ |\mathbf{e} \cap \hat{\mathbf{e}}| = s-m \end{array} \right\}. \end{aligned}$$

497 Inequality (a) is implied by the evident inequality

$$498 \quad \Pr \left\{ \bigcup_{m=1}^s C_m \right\} \leq s \max_{m \in [s]} \Pr\{C_m\},$$

499 inequality (b) is followed by

$$500 \quad \max_{m \in [s]} \Pr\{C_m\} = \max \left( \Pr\{C_1\}, \max_{m \in \{2, \dots, s\}} \Pr\{C_m\} \right)$$

501 and the union bound, which was applied for the cases  $m \geq 2$ .

502 Now let us further estimate  $\Pr\{P_m\}$  by

$$503 \quad \Pr\{P_m\} = \prod_{i=1}^N \Pr \left\{ \bigcup_{k=1}^s x_i(e_k) = \bigcup_{j=1}^s x_i(\hat{e}_j) \middle| \begin{array}{l} \text{for some } \mathbf{e}, \hat{\mathbf{e}} \in \binom{[t]}{s} \\ |\mathbf{e} \cap \hat{\mathbf{e}}| = s-m \end{array} \right\} \stackrel{(c)}{\leq} \frac{s^{mN}}{q^{mN}}. \quad (18)$$

504 To prove (c) in the last inequality, we employ the following  
505 fact. Suppose  $\xi_1, \dots, \xi_{m+s}$  are independent random variables  
506 distributed uniformly over  $\mathcal{A}_q$ . Then

$$\begin{aligned} 507 \quad \Pr \left\{ \bigcup_{k=1}^s \xi_k = \bigcup_{j=m+1}^{m+s} \xi_j \right\} &\leq \Pr \left\{ \bigcup_{k=1}^m \xi_k \subset \bigcup_{i=m+1}^{m+s} \xi_i \right\} \\ 508 &\leq \left( \Pr \left\{ \xi_1 \in \bigcup_{i=m+1}^{m+s} \xi_i \right\} \right)^m \leq \frac{s^m}{q^m}. \end{aligned}$$

509 As for  $\Pr\{C_1\}$ , we obtain its upper bound in a different way.  
510 Let  $E_j$  consist of all possible pairs  $(\mathbf{e}, \hat{\mathbf{e}})$  so that  $\mathbf{e}, \hat{\mathbf{e}} \in \binom{[t]}{s}$ ,  
511  $j \in \mathbf{e}$ ,  $j \notin \hat{\mathbf{e}}$  and  $|\mathbf{e} \cap \hat{\mathbf{e}}| = s-1$ . Since  $|\mathbf{e} \cap \hat{\mathbf{e}}| = s-1$ ,  
512 there exists  $\hat{j} \in [t]$  such that  $\mathbf{e} = \{j\} \cup \{\mathbf{e} \cap \hat{\mathbf{e}}\}$  and  $\hat{\mathbf{e}} = \{\hat{j}\} \cup \{\mathbf{e} \cap \hat{\mathbf{e}}\}$ . For a real parameter  $a$ ,  $0 < a < 1$ , we represent  
513 the event  $\{U(\mathbf{x}(\mathbf{e})) = U(\mathbf{x}(\hat{\mathbf{e}}))\}$  as a disjoint union of two  
514 events. For the first one, we additionally require the Hamming  
515 distance  $d_H(\cdot)$  between  $\mathbf{x}(j)$  and  $\mathbf{x}(\hat{j})$  to be at least  $aN$ ,  
516 i.e.,  $A_j(\mathbf{e}, \hat{\mathbf{e}}, \geq a) \triangleq \{U(\mathbf{x}(\mathbf{e})) = U(\mathbf{x}(\hat{\mathbf{e}})), d_H(\mathbf{x}(j), \mathbf{x}(\hat{j})) \geq aN\}$ .  
517 The remaining one is  $A_j(\mathbf{e}, \hat{\mathbf{e}}, < a) \triangleq \{U(\mathbf{x}(\mathbf{e})) = U(\mathbf{x}(\hat{\mathbf{e}})), d_H(\mathbf{x}(j), \mathbf{x}(\hat{j})) < aN\}$ . Then we deal with each event  
518 individually. More concretely,  $\Pr\{C_1\}$  is upper bounded by  
519

$$\begin{aligned} 521 \quad \Pr \left\{ \bigcup_{(\mathbf{e}, \hat{\mathbf{e}}) \in E_j} A_j(\mathbf{e}, \hat{\mathbf{e}}, \geq a) \right\} + \Pr \left\{ \bigcup_{(\mathbf{e}, \hat{\mathbf{e}}) \in E_j} A_j(\mathbf{e}, \hat{\mathbf{e}}, < a) \right\} \\ 522 \leq t^s \Pr \left\{ A_j(\mathbf{e}, \hat{\mathbf{e}}, \geq a) \middle| \begin{array}{l} \text{for some } (\mathbf{e}, \hat{\mathbf{e}}) \in E_j \end{array} \right\} + t \Pr\{d_H(\mathbf{x}(j), \mathbf{x}(\hat{j})) < aN\}, \end{aligned}$$

523 where the inequality is implied by the union bound, and  $\hat{j} \in [t]$ ,  
524  $\hat{j} \neq j$ . For simplicity of notation let us assume that  $aN$   
525 is an integer. Let us estimate the probability that two random  
526  $q$ -ary vectors of length  $N$  have the Hamming distance at most  
527  $aN$

$$\begin{aligned} 528 \quad &\Pr\{d_H(\mathbf{x}(j), \mathbf{x}(\hat{j})) < aN\} \\ 529 &= \sum_{i=0}^{aN-1} \Pr\{d_H(\mathbf{x}(j), \mathbf{x}(\hat{j})) = i\} \\ 530 &= \sum_{i=N-aN+1}^N \binom{N}{i} \left(\frac{1}{q}\right)^i \left(1 - \frac{1}{q}\right)^{N-i} < \frac{2^N}{q^{(1-a)N}}. \end{aligned}$$

531 Now, for any  $(\mathbf{e}, \hat{\mathbf{e}}) \in E_j$ , we proceed with the event  
532  $A_j(\mathbf{e}, \hat{\mathbf{e}}, \geq a) = \{U(\mathbf{x}(\mathbf{e})) = U(\mathbf{x}(\hat{\mathbf{e}})), d_H(\mathbf{x}(j), \mathbf{x}(\hat{j})) \geq aN\}$   
533 as follows

$$\begin{aligned} 534 \quad &\Pr\{A_j(\mathbf{e}, \hat{\mathbf{e}}, \geq a)\} \\ 535 &\stackrel{(d)}{=} \sum_{i=aN}^N \Pr \left\{ U(\mathbf{x}(\mathbf{e})) = U(\mathbf{x}(\hat{\mathbf{e}})) \middle| d_H(\mathbf{x}(j), \mathbf{x}(\hat{j})) = i \right\} \\ 536 &\quad \times \Pr\{d_H(\mathbf{x}(j), \mathbf{x}(\hat{j})) = i\} \\ 537 &\stackrel{(e)}{\leq} \sum_{i=0}^{N-aN} \binom{N}{i} \left(\frac{1}{q}\right)^i \left(1 - \frac{1}{q}\right)^{N-i} \\ 538 &\quad \times \left(\frac{(s-1)^2}{q^2}\right)^{N-i} < \frac{(2s^2)^N}{q^{(1+a)N}}. \end{aligned}$$

539 Equality (d) is derived by the law of total probability. To prove  
540 (e) in the last inequality, we use the following fact. Suppose  
541  $\xi_1, \dots, \xi_{s+1}$  are independent random variables distributed uni-  
542 formly over  $\mathcal{A}_q$ . Then

$$\begin{aligned} 543 \quad &\Pr \left\{ \bigcup_{k=1}^s \xi_k = \bigcup_{j=2}^{s+1} \xi_j, \xi_1 \neq \xi_{s+1} \right\} \\ 544 &\leq \Pr \left\{ \xi_1 \in \bigcup_{j=2}^s \xi_j, \xi_{s+1} \in \bigcup_{j=2}^s \xi_j \right\} \leq \frac{(s-1)^2}{q^2}. \end{aligned}$$

545 Therefore, we get

$$\begin{aligned} 546 \quad \Pr\{C_1\} &\leq \min_{0 < a < 1} \left( t^s \frac{(2s^2)^N}{q^{(1+a)N}} + t \frac{2^N}{q^{(1-a)N}} \right) \\ 547 &\leq 2 \min_{0 < a < 1} \left( \max \left( \frac{t^s (2s^2)^N}{q^{(1+a)N}}, \frac{t 2^N}{q^{(1-a)N}} \right) \right). \end{aligned}$$

548 Finally, summarizing the above arguments, we obtain

$$\begin{aligned} 549 \quad \Pr\{A_j\} &\leq 2s \max \left( \max_{m \in \{2, \dots, s\}} \frac{t^{s+m-1} s^{mN}}{q^{mN}}, \right. \\ 550 &\quad \left. \min_{0 < a < 1} \left( \max \left( \frac{t^s (2s^2)^N}{q^{(1+a)N}}, \frac{t 2^N}{q^{(1-a)N}} \right) \right) \right). \end{aligned}$$

551 Since  $\Pr\{A_j\}$  does not depend on  $j \in [t]$ , we deduce that  
552 if the upper bound given above is less than  $1/2$ , then there  
553 exists an  $s$ -separable  $q$ -ary code for the  $A$ -MAC of size  $t/2$

and length  $N$ . Thus, the asymptotic ( $q \rightarrow \infty$ ) lower bound on  $R^{(A)}(s, q)$  is as follows

$$R^{(A)}(s, q) \geq \min\left(\frac{2}{s+1}, \max_{0 < a < 1} \left(\min\left(\frac{1+a}{s}; 1-a\right)\right)\right) \\ \times \ln q(1+o(1)) = \frac{2}{s+1} \ln q(1+o(1)). \quad \square$$

*Remark 4:* It is worth noticing that if we upper bound  $\Pr\{C_1\}$  like we estimate  $\Pr\{P_m\}$  in (18), then we would get only  $R^{(A)}(s, q) \geq \frac{1}{s} \ln q(1+o(1))$  as  $q \rightarrow \infty$ .

## V. LIST DECODING CODES FOR THE A-MAC

After giving definitions and notations, in Section V-A, we derive several useful properties establishing a connection between list-decoding codes for the A-MAC and separable codes for the A-MAC and a relation between list decoding codes over alphabets of different sizes. We recall the best known lower bounds on the rate of list-decoding codes in Section V-B. Finally, we present a new combinatorial upper bound on the rate of list-decoding codes in Section V-C, which also leads to an upper bound on the rate of separable codes for the A-MAC.

### A. Notations and Definitions

Recall that  $2^{(\mathcal{A}_q, N)}$  stands for the Cartesian product of  $N$  copies of  $2^{\mathcal{A}_q}$ , where  $2^{\mathcal{A}_q}$  is the set of all subsets of  $\mathcal{A}_q$ . A vector  $\mathcal{Q} = (\mathcal{Q}_1, \dots, \mathcal{Q}_N)^T \in 2^{(\mathcal{A}_q, N)}$  is said to *cover* a column  $x = (x_1, \dots, x_N)^T \in \mathcal{A}_q^N$  if  $x_i \in \mathcal{Q}_i$  for all  $i \in [N]$ .

*Definition 3* [38]: Given integers  $s \geq 1$  and  $L \geq 1$ , a  $q$ -ary code  $X$  of size  $t$  and length  $N$  is said to be a *list-decoding*  $(s, L, q)$ -code of size  $t$  and length  $N$  if, for any  $s$ -collection of codewords  $\{\mathbf{x}(j_1), \dots, \mathbf{x}(j_s)\}$ , the vector  $U(\mathbf{x}(j_1), \dots, \mathbf{x}(j_s))$ , defined by (17), covers not more than  $L - 1$  other codewords of the code  $X$ .

In the case  $s \geq 2$  and  $L = 1$ , the list-decoding  $(s, 1, q)$ -code (or  $s$ -frameproof code [9]) is an  $(\leq s)$ -separable  $q$ -ary code for the A-MAC. Moreover, list-decoding  $(s, 1, q)$ -code provides a simple *factor* decoding algorithm, that picks the unknown message  $\mathbf{e} = (e_1, \dots, e_s) \in \binom{[t]}{s}$  by searching all codewords of  $X$  covered by the output signal

$$\mathbf{z}^{(A)}(\mathbf{e}, X) = U(\mathbf{x}(e_1), \dots, \mathbf{x}(e_s)) \\ = \left( \bigcup_{m=1}^s x_1(e_m), \dots, \bigcup_{m=1}^s x_N(e_m) \right)^T.$$

In the general case  $L \geq 1$ , the algorithm provides a subset of  $[t]$  that contains  $s$  elements of the message  $\mathbf{e}$  and at most  $L - 1$  extra elements.

Let  $t(s, L, q, N)$  be the *maximal possible size* of list-decoding  $(s, L, q)$ -codes of length  $N$ . For fixed  $s \geq 2$ ,  $L \geq 1$  and  $q \geq 2$ , define a *rate* of list-decoding  $(s, L, q)$ -codes:

$$R(s, L, q) \triangleq \lim_{N \rightarrow \infty} \frac{\ln t(s, L, q, N)}{N}.$$

An important evident connection between  $s$ -separable  $q$ -ary codes for the A-MAC and list-decoding  $(s, L, q)$ -codes is formulated as

*Proposition 2:* Any  $s$ -separable  $q$ -ary code for the A-MAC is a list-decoding  $(s-1, 2, q)$ -code and, therefore, the rate of  $s$ -separable  $q$ -ary code for the A-MAC satisfies the inequality

$$R^{(A)}(s, q) \leq R(s-1, 2, q), \quad s \geq 2, q \geq 2.$$

Proposition 2 can be seen as a simple reformulation of the corresponding properties of binary list-decoding superimposed codes firstly introduced in [25]. A nontrivial recurrent inequality for the rate  $R(s, L, q)$  of list-decoding  $(s, L, q)$ -codes is established by

*Proposition 3:* For any integers  $q' > q \geq 2$ ,  $s \geq 2$  and  $L \geq 1$  the following inequality holds:

$$R(s, L, q) \geq \frac{R(s, L, q')}{\lceil q'/(q-1) \rceil}.$$

*Proof of Proposition 3:* Assume that there exists a list-decoding  $(s, L, q')$ -code  $X'$  of length  $N$  and size  $t$ . Let  $l \triangleq \lceil q'/(q-1) \rceil$ . Consider a  $q$ -ary code  $C$  of length  $l$  and size  $l(q-1) \geq q'$ , which is composed from all possible codewords with one nonzero symbol:

$$\begin{vmatrix} 1 & 0 & \dots & 0 & \dots & q-1 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 & \dots & 0 & q-1 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots & \dots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 1 & \dots & 0 & 0 & \dots & q-1 \end{vmatrix}$$

Let us consider an injective map  $\phi : \mathcal{A}_{q'} \rightarrow C$  such that  $\phi(i)$  is the  $(i+1)$ th codeword of  $C$ . To construct a  $q$ -ary code  $X$  of length  $lN$  and size  $t$ , we replace each symbol  $a \in \mathcal{A}_{q'}$  in all codewords in  $X'$  by  $q$ -ary codeword  $\phi(a)$ . One can easily check that the code  $X$  is a list-decoding  $(s, L, q)$ -code.  $\square$

### B. Lower Bound on the Rate $R(s, L, q)$

In [38], applying Proposition 3 and random coding arguments, the author established the lower bound on the rate of list-decoding  $(s, L, q)$ -codes which can be formulated as

*Theorem 4* [38, Th. 2]:

1. For any fixed  $q \geq 2$ ,  $s \geq 2$  and  $L \geq 1$  the following lower bound holds:

$$R(s, L, q) \geq \underline{R}(s, L, q) \triangleq \max_{q' \geq q} \frac{-\ln P(q', s, L)}{(s+L-1)k(q, q')},$$

where

$$P(q, s, L) \triangleq \sum_{m=1}^{\min(q, s)} \binom{q}{m} \left(\frac{m}{q}\right)^L \\ \times \sum_{k=0}^m (-1)^k \binom{m}{k} \left(\frac{m-k}{q}\right)^s,$$

$$k(q, q') \triangleq \begin{cases} 1, & \text{for } q = q', \\ \lceil \frac{q'}{q-1} \rceil, & \text{otherwise.} \end{cases}$$

2. For any fixed  $q \geq 2$ ,  $L \geq 1$  and  $s \rightarrow \infty$

$$\underline{R}(s, L, q) \geq \frac{L(q-1)(\ln 2)^2}{s^2} (1+o(1)).$$

3. For any fixed  $s \geq 2$ ,  $L \geq 1$  and  $q \rightarrow \infty$ ,

$$\underline{R}(s, L, q) = \frac{L}{s+L-1} \ln q(1+o(1)). \quad (19)$$

TABLE I  
THE BEST KNOWN LOWER BOUNDS ON  $R(s, L, q)$

$s$	2	3	4	5	6
$R(s, 1, 2) \geq$	0.1438 <sup>1,2,4</sup>	0.0554 <sup>2</sup>	0.0304 <sup>2</sup>	0.0194 <sup>2</sup>	0.0134 <sup>2</sup>
$R(s, 2, 2) \geq$	0.1703 <sup>2</sup>	0.0799 <sup>2</sup>	0.0474 <sup>2</sup>	0.0316 <sup>2</sup>	0.0226 <sup>2</sup>
$R(s, 1, 3) \geq$	0.2939 <sup>1,3,4</sup>	0.1171 <sup>1,4</sup>	0.0551 <sup>1</sup>	0.0360 <sup>1</sup>	0.0253 <sup>1</sup>
$R(s, 2, 3) \geq$	0.3662 <sup>1</sup>	0.1583 <sup>1</sup>	0.0864 <sup>1</sup>	0.0585 <sup>1</sup>	0.0425 <sup>1</sup>

<sup>1</sup>Theorem 4   <sup>2</sup>[38]   <sup>3</sup>[12]   <sup>4</sup>[22]

The lower bound  $\underline{R}(s, L, q)$  defined by Theorem 4 improves the best previously known bounds presented in [12], [22], and [37] in asymptotics ( $q$  is fixed,  $s \rightarrow \infty$ ) and in a wide range of parameters  $(q, s, L)$  as well. Some numerical results and a comparison of bounds are presented in Table I.

### C. Upper Bounds on the Rates $R(s, L, q)$ and $R^{(A)}(s, q)$

It was also conjectured in [38] that the lower bound (19) is tight. We prove the conjecture in

**Theorem 5:** For any  $s \geq 2$ ,  $L \geq 1$  and  $q \geq 2$  the rate  $R(s, L, q)$  of list-decoding  $(s, L, q)$ -codes satisfies the inequality

$$R(s, L, q) \leq \frac{L}{s + L - 1} \ln q. \quad (20)$$

Proposition 2 and Theorem 5 for  $L = 2$  lead to the upper bound on the rate  $R^{(A)}(s, q)$  which was announced in Section I-B as

**Theorem 6:** For any  $s \geq 2$  and  $q \geq 2$ , the rate of  $s$ -separable  $q$ -ary codes  $R^{(A)}(s, q)$  satisfies the inequality

$$R^{(A)}(s, q) \leq R(s - 1, 2, q) \leq \frac{2}{s} \ln q.$$

**Proof of Theorem 5:** Consider an arbitrary code  $X$  of length  $N$  and size  $t$ . For a convenience of the proof, we will use indexes  $j$  ( $i$ ) of codewords (rows) which can exceed  $t$  ( $N$ ), assuming that the indexes are cyclically ordered, i.e.,

$$x_n(j) = x_{n'}(j') \quad \text{for } n - n' \equiv 0 \pmod{N}, \\ j - j' \equiv 0 \pmod{t}. \quad (21)$$

For a codeword  $x(j) \in \mathcal{A}_q^N$ ,  $j \in [t]$ , we abbreviate a projection of the codeword  $x(j)$  on the coordinates  $n, n+1, \dots, n+L-1$  by

$$x_n^{n+L-1}(j) \triangleq (x_n(j), \dots, x_{n+L-1}(j)) \in \mathcal{A}_q^L.$$

A codeword  $x(j)$ ,  $j \in [t]$ , is said to be  $L$ -rare in  $X$  if there exists a row index  $n \in [N]$  such that the number of codeword indexes  $j' \in [t]$ ,  $j' \neq j$ , with the same projection  $x_n^{n+L-1}(j') = x_n^{n+L-1}(j)$  is at most  $L - 1$ . Let  $r = r_L(X)$  be the number of codewords which are  $L$ -rare in  $X$ . For each  $L$ -rare codeword  $x(j)$ , we can choose a row index  $n \in [N]$ , a  $q$ -ary sequence  $(a_1, \dots, a_L) \in \mathcal{A}_q^L$  and an ordinal number (from 1 to  $L$ ) of the  $x(j)$  among all  $\leq L$  codewords  $x(j')$ ,  $j' \in [t]$ , for which  $x_n^{n+L-1}(j') = x_n^{n+L-1}(j) = (a_1, \dots, a_L)$ . This correspondence is injective. Therefore, the following claim holds.

**Lemma 1:** For any code  $X$  of length  $N$ , the number of its  $L$ -rare codewords satisfies the inequality

$$r = r_L(X) \leq N L q^L. \quad (22)$$

Now we formulate another auxiliary statement.

**Lemma 2:** If a  $q$ -ary code  $X$  of length  $N$  has size

$$t > N L q^L \sum_{k=0}^{L-1} k!, \quad (23)$$

then there exists an ordered set of codewords  $\mathcal{L}_s = (\mathbf{x}(j_1), \dots, \mathbf{x}(j_L))$  such that there is no  $L$ -rare codeword in  $\mathcal{L}_s$ . In addition, for any  $k \in [L - 1]$ , the projections of  $\mathbf{x}(j_k)$  and  $\mathbf{x}(j_{k+1})$  on the coordinates  $1 + k(s - 1), 2 + k(s - 1), \dots, L + k(s - 1)$  are the same, i.e.,

$$\mathbf{x}_{1+k(s-1)}^{L+k(s-1)}(j_k) = \mathbf{x}_{1+k(s-1)}^{L+k(s-1)}(j_{k+1}), \quad k \in [L - 1]. \quad (24)$$

**Proof of Lemma 2:** For any  $j_1 \in [t]$ , we shall try to construct a sequence  $\mathcal{L}(j_1) = (\mathbf{x}(j_1), \mathbf{x}(j_2), \dots, \mathbf{x}(j_L))$  of  $L$  codewords by the following rules. The first element of the sequence  $\mathcal{L}(j_1)$  is  $\mathbf{x}(j_1)$ . Let a sequence  $(\mathbf{x}(j_1), \mathbf{x}(j_2), \dots, \mathbf{x}(j_k))$  of length  $k$ ,  $1 \leq k \leq L$ , be already constructed. If the last codeword  $\mathbf{x}(j_k)$  is  $L$ -rare in  $X$ , then the process ends with a failure. If  $k = L$  and  $\mathbf{x}(j_L)$  is not  $L$ -rare in  $X$ , then the process successfully ends. Otherwise, for  $k \leq L - 1$ , we consider  $L$  indexes from  $1 + k(s - 1)$  to  $L + k(s - 1)$ . Since the codeword  $\mathbf{x}(j_k)$  is not  $L$ -rare in  $X$ , we can find at least  $L$  other codewords with the same projection on the coordinates from  $1 + k(s - 1)$  to  $L + k(s - 1)$ . Among them there are at most  $k - 1$  codewords that could be already included in the sequence  $\mathcal{L}(j_1)$  at the previous  $k - 1$  steps. Therefore, there exists a codeword which has not been used. Among all such unused codewords we uniquely choose the codeword  $\mathbf{x}(j_{k+1})$  with the cyclically smallest index  $j_{k+1}$  so that  $j_{k+1} > j_k$  as the  $(k + 1)$ th element of  $\mathcal{L}(j_1)$ .

**Example 1:** Let  $t = 4$  and indexes  $j_1 = 2$  and  $j_2 = 5$  are already used in constructing the sequence, i.e., the first two element of the sequence  $\mathcal{L}(j_1)$  are  $(\mathbf{x}(2), \mathbf{x}(5))$ . Recall that the indexes  $1, 5, 9, \dots$  correspond to the codeword index 1 as they have the same residue modulo  $t = 4$ . Let codewords with indexes  $3 (7, 11, \dots)$  and  $4 (8, 12, \dots)$  be candidates to be the codeword at the third step. Then 7, corresponding to 3, is the cyclically smallest index so that  $7 > 5$ , and at the third stage we build the sequence  $(\mathbf{x}(2), \mathbf{x}(5), \mathbf{x}(7))$ .

Let us prove that there exists a codeword  $\mathbf{x}(j_1)$  for which the described process successfully ends, i.e., as a result, we obtain a sequence  $\mathcal{L}_s := \mathcal{L}(j_1)$  without  $L$ -rare codewords. The process ends with a failure if and only if the codeword  $\mathbf{x}(j_{k+1})$  is  $L$ -rare at some step  $k \in [L - 1]$ . Fix an arbitrary  $L$ -rare codeword  $\mathbf{x}(j)$ . Given  $k \in L$ , let  $j_1$  be some element of  $[t]$  so that we add  $\mathbf{x}(j_k) = \mathbf{x}(j)$  in the sequence  $\mathcal{L}(j_1)$  at the  $k$ th step. By construction of the sequence  $\mathcal{L}(j_1)$  we know that the codeword  $\mathbf{x}(j_k)$  coincides with the codeword  $\mathbf{x}(j_{k-1})$  on the  $L$  coordinates:

$$1 + (k - 1)(s - 1), 2 + (k - 1)(s - 1), \dots, \\ (L - 1) + (k - 1)(s - 1), \quad L + (k - 1)(s - 1), \quad (25)$$

and has the cyclically smallest index  $j_k > j_{k-1}$  among all codeword indexes, except possibly representative indexes from  $\{j_1, \dots, j_{k-2}\}$ . Hence, the codeword  $\mathbf{x}(j_{k-1})$  is the first codeword before  $\mathbf{x}(j_k)$ , except  $\mathbf{x}(j_1), \dots, \mathbf{x}(j_{k-2})$ , which has the same symbols as  $\mathbf{x}(j_k)$  on the  $L$  coordinates (25). The number

of codewords among  $\mathbf{x}(j_1), \dots, \mathbf{x}(j_{k-2})$ , which have the same symbols as  $\mathbf{x}(j_k)$  and  $\mathbf{x}(j_{k-1})$  on the  $L$  coordinates (25) is from 0 to  $k - 2$ . Therefore, for fixed codeword  $\mathbf{x}(j)$  and position  $k \in [L]$ , there exist at most  $k - 1$  possible options for  $\mathbf{x}(j_{k-1})$ . Thus, any  $L$ -rare codeword  $\mathbf{x}(j)$ , uniquely chosen as the codeword  $\mathbf{x}(j_k)$  in the sequence  $\mathcal{L}_s(j_1)$ , spoils at most  $(k-1)!$  of starting codewords  $\mathbf{x}(j_1)$ . In virtue of condition (23) and upper bound (22) from Lemma 1, the code size  $t > r_L(X) \cdot \sum_{k=0}^{L-1} k!$ . Therefore, there exists a starting codeword  $\mathbf{x}(j_1)$ , such that the sequence  $\mathcal{L}(j_1)$  will be successfully constructed.  $\square$

*Lemma 3:* For any list-decoding  $(s, L, q)$ -code  $X$  of length  $N = s + L - 1$ , the size  $t$  of the code  $X$  is upper bounded as follows

$$t \leq (s + L - 1)Lq^L \sum_{k=0}^{L-1} k!. \quad (26)$$

*Proof of Lemma 3:* Consider an arbitrary list-decoding  $(s, L, q)$ -code  $X$  of the length  $N = s + L - 1$ . We prove the claim of this lemma by contradiction. Assume that  $t > (s + L - 1)Lq^L \sum_{k=0}^{L-1} k!$ . In virtue of Lemma 2, we can construct the sequence  $\mathcal{L}_s = (\mathbf{x}(j_1), \dots, \mathbf{x}(j_L))$  so that there is no  $L$ -rare codeword in  $\mathcal{L}_s$ , and the property (24) holds. Let  $J = \{j_1, \dots, j_L\}$  be the set of codeword indexes. Without loss of generality, we may assume the sequence  $(j_1, j_2, \dots, j_L)$  is lexicographically ordered or  $j_k < j_{k+1}$  for  $k \in [L-1]$ , since, otherwise, we can take (21)  $j_{k+1}$  as  $j_{k+1} + t \lceil j_k/t \rceil$ .

Now we shall find an  $s$ -collection  $I = \{i_1, \dots, i_s\} \subset [t] \setminus J$  consisting of codeword indexes such that  $U(\mathbf{x}(i_1), \dots, \mathbf{x}(i_s))$  covers  $L$  codewords  $\{\mathbf{x}(j), j \in J\}$ . Recall that by covering we mean that, for any pair  $(j, n)$ ,  $j \in J$ ,  $n \in [N]$ , there exists  $i \in I$  so that the symbol  $x_n(j) = x_n(i)$ . Define a lexicographically ordered sequence  $\mathcal{P}$  of pairs so that the first  $s + L - 1$  pairs are from  $(j_1, 1)$  to  $(j_1, s + L - 1)$ , and the following  $(s-1)(L-1)$  pairs are of the form  $(j_k, n)$ , where  $n$  runs over all row indexes from  $L + 1 + (k-1)(s-1)$  to  $L + k(s-1)$ , i.e.,

$$\begin{aligned} \mathcal{P} \triangleq & ((j_1, 1), (j_1, 2), \dots, (j_1, L + s - 1), \\ & (j_2, L + 1 + (s-1)), \dots, (j_2, L + 2(s-1)), \dots, \\ & (j_L, L + 1 + (L-1)(s-1)), \dots, (j_L, sL)). \end{aligned}$$

From (24) it follows that if, for any pair  $(j, n)$  in  $\mathcal{P}$ , there exists  $i \in I$  so that the symbol  $x_n(j) = x_n(i)$ , then the  $s$ -collection  $I$  is a required one. It remains to find an appropriate  $I$ . Notice that the length of  $\mathcal{P}$  is  $sL$ , and the second number in pairs goes from 1 to  $sL$ . Divide the sequence  $\mathcal{P}$  into  $s$  subsequences of length  $L$  so that  $\mathcal{P} = (\mathcal{P}_1, \dots, \mathcal{P}_s)$ . Let

$$\mathcal{P}_k \triangleq ((j_{k_1}, (k-1)L+1), (j_{k_2}, (k-1)L+2), \dots, (j_{k_L}, kL)).$$

It is easy to check that the projection  $\mathbf{x}(j_{k_L})$  (the codeword index is the same as the first number in the last pair of  $\mathcal{P}_k$ ) on the coordinates  $(k-1)L+1, (k-1)L+2, \dots, kL$  is

$$\begin{aligned} \mathbf{x}_{(k-1)L+1}^{kL}(j_{k_L}) \\ = (\mathbf{x}_{(k-1)L+1}(j_{k_1}), \mathbf{x}_{(k-1)L+2}(j_{k_2}), \dots, \mathbf{x}_{kL}(j_{k_L})). \end{aligned}$$

From Lemma 2, it follows that the codeword  $\mathbf{x}(j_{k_L})$  is not  $L$ -rare. Therefore, we can find an index  $i_k$ ,  $i_k \notin J$ , and the

corresponding codeword  $\mathbf{x}(i_k)$  such that the projections of  $\mathbf{x}(i_k)$  and  $\mathbf{x}(j_{k_L})$  on the coordinates  $(k-1)L+1, (k-1)L+2, \dots, kL$  are the same, i.e.,

$$\mathbf{x}_{(k-1)L+1}^{kL}(i_k) = \mathbf{x}_{(k-1)L+1}^{kL}(j_{k_L}). \quad (27)$$

Since there are  $s$  subsequences  $\mathcal{P}_k$ , which form  $\mathcal{P}$ , we can find at most  $s$  different  $i_k$  so that  $U(\mathbf{x}(i_1), \dots, \mathbf{x}(i_s))$  covers  $L$  codewords  $\{\mathbf{x}(j), j \in J\}$ . This contradiction completes the proof of Lemma 3.  $\square$

Lemma 2 and Lemma 3 are intuitively illustrated by the following example.

*Example 2:* Let  $L = 4$ ,  $s = 2$  and  $N = L + s - 1 = 5$ . Then four  $q$ -ary codewords  $\mathbf{x}(j_k), \mathbf{x}(j_k) \in \mathcal{A}_q^5$ ,  $k \in \{1, 2, 3, 4\}$ , satisfying the equalities (24) can be written in the form:

$$\begin{aligned} \mathbf{x}(j_1) &= (x_1(j_1), x_2(j_1), x_3(j_1), x_4(j_1), x_5(j_1)), \\ \mathbf{x}(j_2) &= (y_2, x_2(j_1), x_3(j_1), x_4(j_1), x_5(j_1)), \\ \mathbf{x}(j_3) &= (y_2, y_3, x_3(j_1), x_4(j_1), x_5(j_1)), \\ \mathbf{x}(j_4) &= (y_2, y_3, y_4, x_4(j_1), x_5(j_1)). \end{aligned}$$

These codewords are covered by  $U(\mathbf{x}(i_1), \mathbf{x}(i_2))$ , where two  $q$ -ary codewords  $\mathbf{x}(i_1), \mathbf{x}(i_2) \in \mathcal{A}_q^5$  are based on the property (27) and can be written in the form:

$$\begin{aligned} \mathbf{x}(i_1) &= (x_1(j_1), x_2(j_1), x_3(j_1), x_4(j_1), a_1), \\ \mathbf{x}(i_2) &= (y_2, y_3, y_4, a_2, x_5(j_1)). \end{aligned}$$

To complete the proof of Theorem 5, consider an arbitrary list-decoding  $(s, L, q)$ -code  $X$  of length  $N$ ,  $N > s + L - 1$ , and size  $t$ . Divide each codeword of the code  $X$  into  $s + L - 1$  parts of sizes  $n_i$ , where  $\left\lfloor \frac{N}{s+L-1} \right\rfloor \leq n_i \leq \left\lceil \frac{N}{s+L-1} \right\rceil$ ,  $i \in [s + L - 1]$ .

The number of different parts is upper bounded by  $q^{\left\lfloor \frac{N}{s+L-1} \right\rfloor} + q^{\left\lceil \frac{N}{s+L-1} \right\rceil}$ . Replace each part of each codeword with a unique symbol from the  $Q$ -ary alphabet of the size  $Q \triangleq 2q^{\left\lceil \frac{N}{s+L-1} \right\rceil}$ . It is easy to see that the code  $X'$ , obtained after replacements, is a  $Q$ -ary list-decoding  $(s, L, Q)$ -code of length  $N = s + L - 1$  and size  $t$ . Thus, the inequality (26) of Lemma 3 implies that the size

$$t \leq (s + L - 1)L \sum_{n=0}^{L-1} n! 2^L q^{\left\lceil \frac{N}{s+L-1} \right\rceil}.$$

This upper bound immediately yields (20).  $\square$

## APPENDIX

### A. Notations and Definitions

Given the symmetric  $f$ -MAC and a  $q$ -ary code  $X$ , a message  $\mathbf{e} \in \binom{[t]}{s}$  is said to be *bad* for the code  $X$ , if there exists a message  $\mathbf{e}' \neq \mathbf{e}$  such that  $\mathbf{z}^{(f)}(\mathbf{e}', X) = \mathbf{z}^{(f)}(\mathbf{e}, X)$ . If the unknown message  $\mathbf{e}$  is interpreted as the random vector taking equiprobable values in the set  $\binom{[t]}{s}$ , then the *relative number* of “bad” messages among all  $\binom{[t]}{s} = |\binom{[t]}{s}|$  messages can be considered as the *error probability*  $\epsilon^{(f)}(X, s)$  of the code  $X$  for the *brute force* decoding.

832 *Definition 4* [33], [34], [44]: Fix a parameter  $R > 0$ .  
 833 Define the *error probability* for the symmetric  $f$ -MAC:

$$834 \quad \epsilon^{(f)}(s, q, R, N) \triangleq \min_{X:t=[\exp\{RN\}]} \epsilon^{(f)}(X, s), \quad (28)$$

835 where the minimum is taken over all  $q$ -ary codes of length  
 836  $N$  and size  $t = [\exp\{RN\}]$ . If the parameter  $R > R^{(f)}(s, q)$ ,  
 837 where the rate of  $s$ -separable codes  $R^{(f)}(s, q)$  for the  $f$ -MAC  
 838 is defined by (9), then the function

$$839 \quad E^{(f)}(s, q, R) \triangleq \overline{\lim}_{N \rightarrow \infty} \frac{-\ln \epsilon^{(f)}(s, q, R, N)}{N} \quad (29)$$

840 is called the *error exponent* for the  $f$ -MAC. The quantity

$$841 \quad C^{(f)}(s, q) \triangleq \sup \left\{ R : E^{(f)}(s, q, R) > 0 \right\} \quad (30)$$

842 is said to be the *capacity* of the  $f$ -MAC for the *exponentially*  
 843 *decreasing* error probability. Using the Shannon terminology  
 844 [2], the rate of  $s$ -separable codes  $R^{(f)}(s, q)$  can be also  
 845 called the *zero error capacity* of the  $f$ -MAC.

846 It is known [33], [34], [44] that for any symmetric  $f$ -MAC  
 847 the value  $C^{(f)}(s, q)$  defined by (28)-(30) does not exceed the  
 848 entropy bound  $\overline{C}^{(f)}(s, q)$  introduced in Proposition 1, i.e.,

$$849 \quad C^{(f)}(s, q) \leq \overline{C}^{(f)}(s, q) = \frac{\max_p H_p^{(f)}(s, q)}{s}, \quad (31)$$

850 where  $H_p^{(f)}(s, q)$  is the Shannon entropy (10) of the output  
 851 of the  $f$ -MAC for the given input probability distribution  $p$ .

### 852 B. Random Coding Error Exponent for the $f$ -MAC

853 Let the symbol  $\mathcal{P}_N^{(f)}(s, t, (N_0, \dots, N_{q-1}))$  denote the *average value* of error probability  $\epsilon^{(f)}(X, s)$  over the *fixed composition ensemble* (briefly, *FC-ensemble*) of  $t$  independent  $q$ -ary codewords  $x(j)$  with the same type  $T(x(j)) = (N_0, \dots, N_{q-1})$ ,  $j \in [t]$ . By a similar symbol  $\mathcal{P}_N^{(f)}(s, t, p)$  we will denote the *average value* of error probability  $\epsilon^{(f)}(X, s)$  over the *completely randomized ensemble* (briefly, *CR-ensemble*) of  $q$ -ary codes  $X = \|x_i(j)\|$  with independent components  $x_i(j)$  having the same distribution  $p$ , i.e., the probability  $\Pr\{x_i(j) = a\} \triangleq p(a)$ ,  $i \in [N]$ ,  $j \in [t]$ ,  $a \in \mathcal{A}_q$ .

863 Let  $s \geq 2$ ,  $q \geq 2$ ,  $R > 0$  be fixed and the entropy  $H_p^{(f)}(s, q)$   
 864 of a fixed distribution  $p$  be defined by (10). If code parameters  
 865  $N, t \rightarrow \infty$  such that

$$866 \quad \frac{\ln t}{N} \sim R, \quad \frac{N_x}{N} \sim p(x), \quad x \in \mathcal{A}_q, \quad (32)$$

867 then from the standard random coding arguments [2] it follows  
 868 that the error exponent  $E^{(f)}(s, q, R)$  of the  $f$ -MAC, defined  
 869 by (28)-(29) satisfies two random coding bounds:

$$870 \quad E^{(f)}(s, q, R) \geq \overline{\lim}_{N \rightarrow \infty} \frac{-\ln \mathcal{P}_N^{(f)}(s, t, (N_0, \dots, N_{q-1}))}{N}, \quad (33)$$

$$871 \quad E^{(f)}(s, q, R) \geq \overline{\lim}_{N \rightarrow \infty} \frac{-\ln \mathcal{P}_N^{(f)}(s, t, p)}{N}. \quad (34)$$

872 To formulate the results about the logarithmic asymptotic  
 873 behavior of probabilities  $\mathcal{P}_N^{(f)}(s, t, (N_0, \dots, N_{q-1}))$  and  
 874  $\mathcal{P}_N^{(f)}(s, t, p)$ , we need the following auxiliary notations [31].

Let a symmetric  $f$ -MAC be represented as the conditional  
 probability  $\tau^{(f)}(z|x_1^s)$ , that is

$$877 \quad \tau^{(f)}(z|x_1^s) \triangleq \begin{cases} 1, & z = f(x_1^s), \\ 0, & z \neq f(x_1^s), \end{cases}$$

and the symbol

$$878 \quad \tau \triangleq \left\{ \tau(x_1^s, z) : \tau(x_1^s, z) \geq 0, \sum_{x_1^s, z} \tau(x_1^s, z) = 1 \right\} \quad (35) \quad 879$$

denotes a probability distribution on the Cartesian product  
 $\mathcal{A}_q^s \times Z$ . Using the standard symbols for the conditional  
 probabilities of the distribution  $\tau$ , we abbreviate by

$$880 \quad \{\tau\}^{(f)} \triangleq \left\{ \tau : \tau^{(f)}(z|x_1^s) = 0 \Rightarrow \tau(z|x_1^s) = 0 \right\} \quad (36) \quad 883$$

the subset of probability distributions  $\tau$  (35) such that  
 the conditional probability  $\tau(z|x_1^s) = 0$  is implied by  
 $\tau^{(f)}(z|x_1^s) = 0$ .

Introduce the  $\cup$ -convex information-theoretic functions of  
 the argument  $\tau \in \{\tau\}^{(f)}$ :

$$884 \quad \mathcal{H}^{(f)}(\mathbf{p}, \tau) \triangleq \sum_{x_1^s, z} \tau(x_1^s, z) \ln \frac{\tau(x_1^s, z)}{\tau^{(f)}(z|x_1^s) \cdot \prod_{k=1}^s p(x_k)}, \quad 889$$

$$885 \quad I_m(\mathbf{p}, \tau) \triangleq \sum_{x_1^s, z} \tau(x_1^s, z) \ln \frac{\tau(x_1^m | x_{m+1}^s, z)}{\prod_{k=1}^m p(x_k)}, \quad m \in [s]. \quad 890$$

From (10), it follows that the distribution

$$892 \quad \tau_p^{(f)} \triangleq \left\{ \tau^{(f)}(z|x_1^s) \cdot \prod_{k=1}^s p(x_k), x_1^s \in \mathcal{A}_q^s, z \in Z \right\} \in \{\tau\}^{(f)} \quad 892$$

and the functions (37) satisfy the equalities

$$893 \quad \mathcal{H}^{(f)}(\mathbf{p}, \tau_p^{(f)}) = 0, \quad I_s(\mathbf{p}, \tau_p^{(f)}) = H_p^{(f)}(s, q). \quad 894$$

Proposition 4 [31], [34]: Let  $s \geq 2$ ,  $q \geq 2$ ,  $R > 0$  be fixed  
 and the entropy  $H_p^{(f)}(s, q)$  of a fixed distribution  $p$  be defined  
 by (10). If the asymptotic conditions (32) are fulfilled, then  
 for the *FC-ensemble*, there exists

$$895 \quad \lim_{N \rightarrow \infty} \frac{-\ln \mathcal{P}_N^{(f)}(s, t, (N_0, \dots, N_{q-1}))}{N} \quad 899$$

$$896 \quad \triangleq E_{FC}^{(f)}(s, q, R, p) > 0, \quad 0 < R < \frac{H_p^{(f)}(s, q)}{s}, \quad 900$$

and for the *CR-ensemble*, there exists

$$901 \quad \lim_{N \rightarrow \infty} \frac{-\ln \mathcal{P}_N^{(f)}(s, t, p)}{N} \triangleq E_{CR}^{(f)}(s, q, R, p) > 0, \quad 902$$

$$903 \quad 0 < R < \frac{H_p^{(f)}(s, q)}{s}. \quad 903$$

For any fixed  $p$ , the positive monotonically decreasing functions  
 $E_{FC}^{(f)}(s, q, R, p)$  and  $E_{CR}^{(f)}(s, q, R, p)$  are  $\cup$ -convex functions  
 of the parameter  $R > 0$  of the following form:

$$904 \quad E_{FC}^{(f)}(s, q, R, p) \triangleq \min_{m \in [s]} E_{FC}^{(f)}(s, q, R, p, m), \quad 907$$

$$905 \quad E_{FC}^{(f)}(s, q, R, p, m) \triangleq \min_{\{\tau\}^{(f)}(p)} \left\{ \mathcal{H}^{(f)}(\mathbf{p}, \tau) + [I_m(\mathbf{p}, \tau) - mR]^+ \right\}, \quad 908$$

$$909 \quad (40) \quad 909$$

910 and

$$\begin{aligned} E_{CR}^{(f)}(s, q, R, \mathbf{p}) &\triangleq \min_{m \in [s]} E_{CR}^{(f)}(s, q, R, \mathbf{p}, m), \\ E_{CR}^{(f)}(s, q, R, \mathbf{p}, m) &\triangleq \min_{\{\tau\}^{(f)}} \left\{ \mathcal{H}^{(f)}(\mathbf{p}, \tau) + [I_m(\mathbf{p}, \tau) - mR]^+ \right\}. \end{aligned} \quad (41)$$

914 The minimum in (40) is taken over the subset  $\{\tau\}^{(f)}(\mathbf{p})$  of  
915 distributions  $\{\tau\}^{(f)}$  (36) for which the marginal probabilities  
916  $\tau(x_k)$  are fixed and coincide with  $p(x_k)$ ,  $k \in [s]$ , i.e.,  $\{\tau\}^{(f)}(\mathbf{p})$   
917 is defined as

$$918 \quad \left\{ \tau \in \{\tau\}^{(f)} : \sum_{x_1^{k-1}} \sum_{x_{k+1}^s} \sum_z \tau(x_1^s, z) = p(x_k), k \in [s] \right\}. \quad (42)$$

919 The minimum in (41) is taken over the set of all distributions  
920 (36).

921 Remark 5: Proposition 4 and the properties of the random  
922 error exponents (38) and (39) were formulated and proved in  
923 the papers [31] and [34] for the particular binary case  $q = 2$   
924 only. In the general case  $q \geq 2$ , we omit the proofs because  
925 one can check that the given results are based on the same  
926 methods developed in [31] and [34]. Here we only note that  
927 for the symmetric  $f$ -MAC, definitions (40)-(42) lead to the  
928 inequality

$$929 \quad E_{CR}^{(f)}(s, q, R, \mathbf{p}) \leq E_{FC}^{(f)}(s, q, R, \mathbf{p}), \quad 0 < R < \frac{H_p^{(f)}(s, q)}{s}.$$

930 Random coding bounds (33)-(34) and Proposition 4 imply  
931 that the error exponent  $E^{(f)}(s, q, R)$  defined by (28)-(29) is

$$\begin{aligned} 932 \quad E^{(f)}(s, q, R) &\geq \max_{\mathbf{p}} E_{FC}^{(f)}(s, q, R, \mathbf{p}) > 0 \\ 933 \quad 0 < R < \overline{C}^{(f)}(s, q) &= \frac{\max_{\mathbf{p}} H_p^{(f)}(s, q)}{s} \end{aligned} \quad (43)$$

934 and, obviously, the inequality (43) means that for the capacity  
935  $C^{(f)}(s, q)$  of the  $f$ -MAC, defined by (28)-(30), the lower  
936 bound

$$937 \quad C^{(f)}(s, q) \geq \overline{C}^{(f)}(s, q) = \frac{\max_{\mathbf{p}} H_p^{(f)}(s, q)}{s}, \quad (44)$$

938 holds. The inequalities (31) and (44) lead to

939 Corollary 2: The capacity  $C^{(f)}(s, q)$  of the  $f$ -MAC for the  
940 exponentially decreasing error probability coincides with the  
941 entropy bound  $\overline{C}^{(f)}(s, q)$ , i.e.,

$$942 \quad C^{(f)}(s, q) = \overline{C}^{(f)}(s, q) = \frac{\max_{\mathbf{p}} H_p^{(f)}(s, q)}{s}, \quad (45)$$

943 and the number defined by the right-hand side (45) can  
944 be considered as the Shannon capacity of the symmetric  
945  $f$ -MAC [44].

946 The following statement called the random coding lower  
947 bound on the rate  $R^{(f)}(s, q)$  of  $s$ -separable  $q$ -ary codes for  
948 the symmetric  $f$ -MAC can be obtained as a consequence of  
949 Proposition 4.

Proposition 5 [31]: The rate  $R^{(f)}(s, q)$  of  $s$ -separable  
951  $q$ -ary codes for the symmetric  $f$ -MAC satisfies the inequality

$$952 \quad R^{(f)}(s, q) \geq \underline{R}^{(f)}(s, q), \quad s \geq 2, q \geq 2,$$

where for any fixed distribution  $\mathbf{p}$  the lower bound  $\underline{R}^{(f)}(s, q)$   
953 can be represented in the form

$$\begin{aligned} 955 \quad \underline{R}^{(f)}(s, q) &\triangleq \min_{m \in [s]} \frac{E_{FC}^{(f)}(s, q, 0, \mathbf{p}, m)}{s + m - 1} \\ 956 \quad &= \min_{m \in [s]} \frac{\min_{\{\tau\}^{(f)}(\mathbf{p})} \{\mathcal{H}^{(f)}(\mathbf{p}, \tau) + I_m(\mathbf{p}, \tau)\}}{s + m - 1} \end{aligned}$$

or in the form

$$\begin{aligned} 958 \quad \underline{R}^{(f)}(s, q) &\triangleq \min_{m \in [s]} \frac{E_{CR}^{(f)}(s, q, 0, \mathbf{p}, m)}{s + m - 1} \\ 959 \quad &= \min_{m \in [s]} \frac{\min_{\{\tau\}^{(f)}} \{\mathcal{H}^{(f)}(\mathbf{p}, \tau) + I_m(\mathbf{p}, \tau)\}}{s + m - 1}. \end{aligned}$$

In paper [31], Proposition 5 was proved for the particular  
960 case of the  $B$ -MAC with binary ( $q = 2$ ) alphabet only.  
961 For an arbitrary symmetric  $f$ -MAC, one can use the same  
962 arguments. The asymptotic lower bound on the rate  $R^{(disj)}(s)$   
963 for the disjunctive MAC formulated in Sect. III-B was actually  
964 obtained in [31] as a nontrivial consequence of Proposition 5.

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