

# Hypothesis Test for Upper Bound on the Size of Random Defective Set

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**Abstract**—Let  $1 \leq s < t$ ,  $N \geq 2$  be fixed integers and a complex electronic circuit of size  $t$  is said to be an  $s$ -active,  $s \ll t$ , and can work as a system block if not more than  $s$  elements of the circuit are defective. Otherwise, the circuit is said to be an  $s$ -defective and should be replaced by a similar  $s$ -active circuit. Suppose that there exists a possibility to run  $N$  non-adaptive group tests to check the  $s$ -activity of the circuit. As usual, we say that a (disjunctive) group test yields the positive response if the group contains at least one defective element. In this paper, we will interpret the unknown set of defective elements as a random set and discuss upper bounds on the error probability of the hypothesis test for the null hypothesis  $\{H_0 : \text{the circuit is } s\text{-active}\}$  versus the alternative hypothesis  $\{H_1 : \text{the circuit is } s\text{-defective}\}$ . Along with the conventional decoding algorithm based on the known random set of positive responses and disjunctive  $s$ -codes, we consider a  $T$ -weight decision rule which is based on the simple comparison of a fixed threshold  $T$ ,  $1 \leq T < N$ , with the known random number of positive responses  $p$ ,  $0 \leq p \leq N$ .

**Keywords:** Hypothesis test, group testing, disjunctive codes, maximal error probability, error exponent, random coding bounds.

## I. STATEMENT OF PROBLEM

Let  $N \geq 2$ ,  $t \geq 2$ ,  $s$  and  $T$  be integers, where  $1 \leq s < t$  and  $1 \leq T < N$ . The symbol  $\triangleq$  denotes the equality by definition,  $|A|$  – the size of the set  $A$  and  $[N] \triangleq \{1, 2, \dots, N\}$  – the set of integers from 1 to  $N$ . A binary  $(N \times t)$ -matrix

$$X = \|x_i(j)\|, \quad x_i(j) = 0, 1, \quad i \in [N], j \in [t],$$

$$\mathbf{x}(j) \triangleq (x_1(j), \dots, x_N(j)), \quad \mathbf{x}_i \triangleq (x_i(1), \dots, x_i(t)),$$

with  $t$  columns (codewords)  $\mathbf{x}(j)$ ,  $j \in [t]$ , and  $N$  rows  $\mathbf{x}_i$ ,  $i \in [N]$ , is called a *binary code of length  $N$  and size  $t = \lfloor 2^{RN} \rfloor$* , where a fixed parameter  $R > 0$  is called a *rate* of the code  $X$ . The number of 1's in a binary column  $\mathbf{x} = (x_1, \dots, x_N) \in \{0, 1\}^N$ , i.e.,  $|\mathbf{x}| \triangleq \sum_{i=1}^N x_i$ , is called a *weight* of  $\mathbf{x}$ . A code  $X$  is called a *constant weight code of weight  $w$* ,  $1 \leq w < N$ , if for any  $j \in [t]$ , the weight  $|\mathbf{x}(j)| = w$ . The conventional symbol  $\mathbf{u} \vee \mathbf{v}$  will be used to denote the disjunctive (Boolean) sum of binary columns  $\mathbf{u}, \mathbf{v} \in \{0, 1\}^N$ . We say that a column  $\mathbf{u}$  covers a column  $\mathbf{v}$  if  $\mathbf{u} \vee \mathbf{v} = \mathbf{u}$ .

### A. Disjunctive and Threshold Disjunctive Codes

**Definition 1.** [4]. A code  $X$  is called a *disjunctive  $s$ -code*,  $s \in [t-1]$ , if the disjunctive sum of any  $s$ -subset of codewords

of  $X$  covers those and only those codewords of  $X$  which are the terms of the given disjunctive sum.

Let  $\mathcal{S}$ ,  $\mathcal{S} \subset [t]$ , be an arbitrary fixed collection of defective elements of size  $|\mathcal{S}|$ . For a binary code  $X$  and collection  $\mathcal{S}$ , define the binary *response vector* of length  $N$ , namely:

$$\mathbf{x}(\mathcal{S}) \triangleq \begin{cases} \bigvee_{j \in \mathcal{S}} \mathbf{x}(j) & \text{if } \mathcal{S} \neq \emptyset, \\ (0, 0, \dots, 0) & \text{if } \mathcal{S} = \emptyset. \end{cases}$$

In the classical problem of *non-adaptive group testing*, we describe  $N$  tests as a binary  $(N \times t)$ -matrix  $X = \|x_i(j)\|$ , where a column  $\mathbf{x}(j)$  corresponds to the  $j$ -th element, a row  $\mathbf{x}_i$  corresponds to the  $i$ -th test and  $x_i(j) \triangleq 1$  if and only if the  $j$ -th element is included into the  $i$ -th testing group. The result of each test equals 1 if at least one defective element is included into the testing group and 0 otherwise, so the column of results is exactly equal to the response vector  $\mathbf{x}(\mathcal{S})$ . Definition 1 of disjunctive  $s$ -code  $X$  gives the important sufficient condition for the evident identification of any unknown collection of defective elements  $\mathcal{S}$  if the number of defective elements  $|\mathcal{S}| \leq s$ . In this case, the identification of the unknown  $\mathcal{S}$  is equivalent to discovery of all codewords of code  $X$  covered by  $\mathbf{x}(\mathcal{S})$ , and its complexity is equal to the code size  $t$ . Note that this algorithm also allows us to check  $s$ -activity of the circuit defined in the abstract. Moreover, it is easy to prove by contradiction that every code  $X$  which allows to check  $s$ -activity of the circuit without error is disjunctive  $s$ -code. Indeed, if code  $X$  is not disjunctive  $s$ -code, then there exist a set  $\mathcal{S} \subset [t]$ ,  $|\mathcal{S}| = s$ , and a number  $j \in [t] \setminus \mathcal{S}$  such that  $\mathbf{x}(\mathcal{S}) = \mathbf{x}(\mathcal{S} \cup \{j\})$ .

**Proposition 1.** *The results of non-adaptive group tests specified by code  $X$  allow to check  $s$ -activity of the circuit if and only if  $X$  is disjunctive  $s$ -code.*

**Definition 2.** Let  $s, s \in [t-1]$ , and  $T, T \in [N-1]$ , be arbitrary fixed integers. A disjunctive  $s$ -code  $X$  of length  $N$  and size  $t$  is said to be a *disjunctive  $s$ -code with threshold  $T$*  (or, briefly,  *$s^T$ -code*) if the disjunctive sum of any  $\leq s$  codewords of  $X$  has weight  $\leq T$  and the disjunctive sum of any  $\geq s+1$  codewords of  $X$  has weight  $\geq T+1$ .

Obviously, for any  $s$  and  $T$ , the definition of  $s^T$ -code gives a sufficient condition for code  $X$  applied to the group testing problem described in the abstract of our paper. In this case, only on the base of the known *number of positive responses*

$|\mathbf{x}(S)|$ , we decide that the controllable circuit identified by an unknown collection  $\mathcal{S}$ ,  $\mathcal{S} \subset [t]$ , is  $s$ -active, i.e., the unknown size  $|\mathcal{S}| \leq s$ , if  $|\mathbf{x}(S)| \leq T$  ( $s$ -defective, i.e., the unknown size  $|\mathcal{S}| \geq s + 1$ , if  $|\mathbf{x}(S)| \geq T + 1$ ).

**Remark 1.** The concept of  $s^T$ -codes was motivated by troubleshooting in complex electronic circuits using a non-adaptive identification scheme which was considered in [8].

**Remark 2.** A similar model of special disjunctive  $s$ -codes was considered in [3], where the conventional disjunctive  $s$ -code is supplied with an additional condition: the weight  $|\mathbf{x}(S)|$  of the response vector of any subset  $\mathcal{S}$ ,  $\mathcal{S} \subset [t]$ ,  $|\mathcal{S}| \leq s$ , is at most  $T$ . Note that these codes have a weaker condition than our  $s^T$ -codes. In [3] authors motivate their group testing model with bounded weight of the response vector by a risk for the safety of the persons, who perform tests, in some contexts, when the number of positive test results is too large.

### B. Hypothesis Test

Let a circuit of size  $t$  be identified by an unknown collection  $\mathcal{S}_{un}$ ,  $\mathcal{S}_{un} \subset [t]$ , of defective elements of an unknown size  $|\mathcal{S}_{un}|$ . For a reasonable probabilistic interpretation, we assume that the different collections of defective elements of the same size are *equiprobable*. That is why, we set that the *probability distribution* of the random collection  $\mathcal{S}_{un}$ ,  $\mathcal{S}_{un} \subset [t]$ , is identified by an unknown probability vector  $\mathbf{p} \triangleq (p_0, p_1, \dots, p_t)$ ,  $p_k \geq 0$ ,  $k = 0, 1, \dots, t$ ,  $\sum_{k=0}^t p_k = 1$ , as follows:

$$\Pr\{\mathcal{S}_{un} = \mathcal{S}\} \triangleq \frac{p_{|\mathcal{S}|}}{\binom{t}{|\mathcal{S}|}} \quad \text{for any } \mathcal{S} \subseteq [t]. \quad (1)$$

Let  $X$  be an arbitrary code of size  $t$  and length  $N$ . Given any fixed integer parameters  $s$ ,  $1 \leq s < t$ , and  $T$ ,  $1 \leq T < N$ , introduce the null hypothesis  $\{H_0 : |\mathcal{S}_{un}| \leq s\}$  (the circuit is  $s$ -active) versus the alternative  $\{H_1 : |\mathcal{S}_{un}| \geq s + 1\}$  (the circuit is  $s$ -defective), and consider the following  $T$ -weight decision rule motivated by Definition 2, namely:

$$\begin{cases} \text{accept } \{H_0 : |\mathcal{S}_{un}| \leq s\} & \text{if } |\mathbf{x}(\mathcal{S}_{un})| \leq T, \\ \text{accept } \{H_1 : |\mathcal{S}_{un}| > s\} & \text{if } |\mathbf{x}(\mathcal{S}_{un})| > T. \end{cases} \quad (2)$$

Introduce a *maximal error probability* of the decision rule (2):

$$\varepsilon_s(T, \mathbf{p}, X) \triangleq \max \left\{ \Pr\{\text{accept } H_1 | H_0\}, \Pr\{\text{accept } H_0 | H_1\} \right\}, \quad (3)$$

where the conditional probabilities in the right-hand side of (3) are defined by (1)-(2). Note that the number  $\varepsilon_s(T, \mathbf{p}, X) = 0$  if and only if the code  $X$  is  $s^T$ -code. Denote by  $t_s(N, T)$  the maximal size of  $s^T$ -codes of length  $N$ . For a parameter  $\tau$ ,  $0 < \tau < 1$ , introduce the rate of  $s^{\lfloor \tau N \rfloor}$ -codes:

$$R_s(\tau) \triangleq \lim_{N \rightarrow \infty} \frac{\log_2 t_s(N, \lfloor \tau N \rfloor)}{N} \geq 0.$$

**Definition 3.** Let  $\tau$ ,  $0 < \tau < 1$ , and a parameter  $R$ ,  $R > R_s(\tau)$ , be fixed. For the maximal error probability  $\varepsilon_s(T, \mathbf{p}, X)$ , defined by (1)-(3), consider the function

$$\varepsilon_s^N(\tau, R) \triangleq \max_{\mathbf{p}} \left\{ \min_X \varepsilon_s(\lfloor \tau N \rfloor, \mathbf{p}, X) \right\}, \quad (4)$$

where the minimum is taken over all codes  $X$  of length  $N$  and size  $t = \lfloor 2^{RN} \rfloor$ . The number  $\varepsilon_s^N(\tau, R) > 0$  does not depend on the unknown probability vector  $\mathbf{p}$  and can be called the *universal error probability* of the decision rule (2). The corresponding *error exponent*

$$E_s(\tau, R) \triangleq \lim_{N \rightarrow \infty} \frac{-\log_2 \varepsilon_s^N(\tau, R)}{N}, \quad s \geq 1, \quad (5)$$

identifies the asymptotic behavior of the maximal error probability of the decision rule (2):

$$\exp_2\{-N[E_s(\tau, R) + o(1)]\}, \quad N \rightarrow \infty, \quad \text{if } E_s(\tau, R) > 0.$$

Along with (2) we introduce the *disjunctive decision rule* based on the conventional algorithm:

$$\begin{cases} \text{accept } H_0 & \text{if } \mathbf{x}(\mathcal{S}_{un}) \text{ covers } \leq s \text{ columns of } X, \\ \text{accept } H_1 & \text{if } \mathbf{x}(\mathcal{S}_{un}) \text{ covers } > s \text{ columns of } X. \end{cases}$$

For a fixed code rate  $R$ ,  $R > 0$ , the error exponent for the disjunctive decision rule  $E_s(R)$  is defined similarly to (3)-(5). The function  $E_s(R)$  was firstly introduced in our paper [4], where we proved

**Theorem 1.** [4]. If  $R \geq 1/s$ , then  $E_s(R) = 0$ .

**Remark 3.** In our paper we will focus on the test of hypotheses  $H_0$  and  $H_1$ , provided that the unknown defective set  $\mathcal{S}_{un}$  is a random set with probability distribution (1). A similar statistical problem of constructing confidence interval for the size  $|\mathcal{S}_{un}|$  of unknown (nonrandom) defective set  $\mathcal{S}_{un}$  was considered in [2], [7]. The authors of [2] present a randomized algorithm that uses  $G(\epsilon, c) \log_2 t$  non-adaptive tests and produces the statistic  $\hat{s}$ , that satisfies the following properties: probability  $\Pr\{\hat{s} < |\mathcal{S}_{un}|\}$  is upper bounded by a small parameter  $\epsilon \ll 1$  and the expected value of  $\hat{s}/|\mathcal{S}_{un}|$  is upper bounded by a number  $c > 1$ . In [7] an adaptive randomized algorithm is proposed (algorithm is called adaptive if the next test is constructed based on the results of the previous tests). It uses at most  $2 \log_2 \log_2 |\mathcal{S}_{un}| + O(\frac{1}{\delta^2} \log_2 \frac{1}{\epsilon})$  adaptive tests and estimates  $|\mathcal{S}_{un}|$  up to a multiplicative factor of  $1 \pm \delta$  with error probability  $\leq \epsilon$ . Note that the estimating of  $|\mathcal{S}_{un}|$  is a subtask of a non-standard group testing problem of identification  $\mathcal{S}_{un}$  where is no restriction  $|\mathcal{S}_{un}| \leq s$ . Another approach to solving this problem was considered in paper [1] where the authors propose to run a fixed non-adaptive tests on the first stage and to test individually each of the unresolved after stage 1 elements on the second stage. For probability distribution

$$\Pr\{j \in \mathcal{S}_{un}\} = p, \quad \forall i \in [t],$$

and some dependencies  $p \triangleq p(t)$ , the lower and upper bounds on asymptotics of the expected number of tests in described 2-stage procedure are obtained in [1].

## II. LOWER BOUNDS ON ERROR EXPONENTS

In this Section, we formulate and compare random coding lower bounds for the both of error exponents  $E_s(R)$  and  $E_s(\tau, R)$ . These bounds were proved applying the random coding method based on the ensemble of constant-weight

codes. A parameter  $Q$  in formulations of theorems 2-3 means the relative weight of codewords of constant-weight codes. Introduce the standard notations

$$h(Q) \triangleq -Q \log_2 Q - (1-Q) \log_2 [1-Q],$$

$$[x]^+ \triangleq \max\{x, 0\}.$$

In [4], we established

**Theorem 2.** [4]. *The error exponent  $E_s(R) \geq \underline{E}_s(R)$  where the random coding lower bound*

$$\underline{E}_s(R) \triangleq \max_{0 < Q < 1} \min_{Q \leq q < \min\{1, sQ\}} \left\{ \mathcal{A}(s, Q, q) + [h(Q) - qh(Q/q) - R]^+ \right\},$$

$$\mathcal{A}(s, Q, q) \triangleq (1-q) \log_2(1-q) + q \log_2 \left[ \frac{Qy^s}{1-y} \right]$$

$$+ sQ \log_2 \frac{1-y}{y} + sh(Q), \quad (6)$$

and  $y$  is the unique root of the equation

$$q = Q \frac{1-y^s}{1-y}, \quad 0 < y < 1. \quad (7)$$

In addition, as  $s \rightarrow \infty$  and  $R \leq \frac{\ln 2}{s}(1+o(1))$ , the lower bound  $\underline{E}_s(R) > 0$ .

In Section III we prove

**Theorem 3.** 1. *The error exponent  $E_s(\tau, R) \geq \underline{E}_s(\tau, R)$  where the random coding bound  $\underline{E}_s(\tau, R)$  does not depend on  $R > 0$  and has the form:*

$$\underline{E}_s(\tau, R) \triangleq \max_{1-(1-\tau)^{1/(s+1)} < Q < 1-(1-\tau)^{1/s}} \min \{ \mathcal{A}'(s, Q, \tau), \mathcal{A}(s+1, Q, \tau) \}, \quad (8)$$

$$\mathcal{A}'(s, Q, \tau) \triangleq \begin{cases} \mathcal{A}(s, Q, \tau), & \text{if } Q \leq \tau \leq sQ, \\ \infty, & \text{otherwise,} \end{cases} \quad (9)$$

where  $\mathcal{A}(s, Q, \tau)$  is defined by (6)-(7).

2. As  $s \rightarrow \infty$  the optimal value of  $\underline{E}_s(\tau, R)$

$$\underline{E}_{\text{Thr}}(s) \triangleq \max_{0 < \tau < 1} \underline{E}_s(\tau, R) \geq \frac{\log_2 e}{4s^2} (1+o(1)), \quad s \rightarrow \infty. \quad (10)$$

It is possible to use the decision rule (2) with any value of parameter  $T$ . The numerical values of the optimal error exponent  $\underline{E}_{\text{Thr}}(s)$  along with the corresponding optimal threshold parameter  $\tau = \tau(s)$  and the constant-weight code ensemble parameter  $Q = Q(s)$  are presented in Table I. Table I contains the numbers  $\underline{E}_s(0) \triangleq \lim_{R \rightarrow 0} \underline{E}_s(R)$  and  $R_{\text{Thr}}(s) \triangleq \sup\{R : \underline{E}_s(R) > \underline{E}_{\text{Thr}}(s)\}$  as well. Theorems 1-3 show that, for large values of the rate parameter  $R$ ,  $R > R_{\text{Thr}}(s)$ , the weight decision rule (2) has an advantage over the disjunctive decision rule as  $N \rightarrow \infty$ .

### III. PROOF OF THEOREM 3

**Proof of Statement 1.** For a fixed code  $X$  and parameters  $s$  and  $T$ , introduce the sets  $B_k^i(T, X)$ ,  $i = 1, 2$ ,  $k = 0, 1, \dots, t$ , of collections  $\mathcal{S}$ ,  $\mathcal{S} \subset [t]$ ,  $|\mathcal{S}| = k$ , as follows:

$$B_k^1(T, X) \triangleq \{ \mathcal{S} : \mathcal{S} \subset [t], |\mathcal{S}| = k, |\mathbf{x}(\mathcal{S})| \geq T+1 \},$$

$$B_k^2(T, X) \triangleq \{ \mathcal{S} : \mathcal{S} \subset [t], |\mathcal{S}| = k, |\mathbf{x}(\mathcal{S})| \leq T \}. \quad (11)$$

TABLE I  
THE NUMERICAL VALUES OF  $\underline{E}_{\text{Thr}}(s)$  AND  $R_{\text{Thr}}(s)$

$s$	2	3	4	5	6
$\underline{E}_{\text{Thr}}(s)$	0.1380	0.0570	0.0311	0.0196	0.0135
$\tau(s)$	0.2065	0.1365	0.1021	0.0816	0.0679
$Q(s)$	0.1033	0.0455	0.0255	0.0163	0.0113
$\underline{E}_s(0)$	0.3651	0.2362	0.1754	0.1397	0.1161
$R_{\text{Thr}}(s)$	0.2271	0.1792	0.1443	0.1201	0.1027

Then the probability (3) is represented as

$$\varepsilon_s(T, \mathbf{p}, X) \triangleq \max \left\{ \sum_{k=0}^s \frac{p_k}{\sum_{l=0}^s p_l} \frac{|B_k^1(T, X)|}{\binom{t}{k}}, \sum_{k=s+1}^t \frac{p_k}{\sum_{l=s+1}^t p_l} \frac{|B_k^2(T, X)|}{\binom{t}{k}} \right\}. \quad (12)$$

One can see that, for sets (11) and any  $k$ ,  $0 \leq k < t$ , the inequalities

$$|B_{k+1}^1(T, X)| \geq \frac{t-k}{k+1} |B_k^1(T, X)| \quad \text{and}$$

$$|B_k^2(T, X)| \geq \frac{k+1}{t-k} |B_{k+1}^2(T, X)|$$

hold. Therefore, from (12) it follows that for any code  $X$ , the maximum  $\max_{\mathbf{p}} \varepsilon_s(T, \mathbf{p}, X)$  in the right-hand side of (4) is attained at the probability distribution  $\mathbf{p} = (p_0, p_1, \dots, p_t)$  such that  $p_s = p_{s+1} = 1/2$  and  $p_j = 0$ ,  $j \notin \{s, s+1\}$ . Therefore, the definition (4) is equivalent to

$$\varepsilon_s^N(\tau, R) \triangleq \min_{X: t = \lfloor 2^{RN} \rfloor} \varepsilon_s(\lfloor \tau N \rfloor, X), \quad R > R_s(\tau), \quad (13)$$

where

$$\varepsilon_s(T, X) \triangleq \max \left\{ \frac{|B_s^1(T, X)|}{\binom{t}{s}}, \frac{|B_{s+1}^2(T, X)|}{\binom{t}{s+1}} \right\}. \quad (14)$$

Fix  $s \geq 2$ ,  $0 < \tau < 1$ ,  $R > R_s(\tau)$  and a parameter  $Q$ ,  $0 < Q < 1$ . The bound (8) is obtained by the method of random coding over the ensemble of binary constant-weight codes [6] defined as the ensemble  $E(N, t, Q)$  of binary codes  $X$  of length  $N$  and size  $t = \lfloor 2^{RN} \rfloor$ , where the codewords are chosen independently and equiprobably from the set consisting of all  $\binom{N}{\lfloor QN \rfloor}$  codewords of a fixed weight  $\lfloor QN \rfloor$ .

For the ensemble  $E(N, t, Q)$ , denote the expectation of the error probability (14) by

$$\mathcal{E}_s^N(\tau, Q, R) \triangleq \mathbb{E}[\varepsilon_s(\lfloor \tau N \rfloor, X)]. \quad (15)$$

Note that there exists a code  $X$  of length  $N$  and rate  $R$  such that its maximal error probability (14) is upper bounded by  $\mathcal{E}_s^N(\tau, Q, R)$ , and due to (13) the following lower bound on the error exponent (5) of the decision rule (2) is given:

$$E_s(\tau, R) \geq \max_{0 < Q < 1} \lim_{N \rightarrow \infty} \frac{-\log_2 \mathcal{E}_s^N(\tau, Q, R)}{N}. \quad (16)$$

Further we show that the limit in the right-hand side of (16) exists and its maximum by  $Q$  equals (8).

The cardinality of set  $B_s^1(\lfloor \tau N \rfloor, X)$  can be expressed through indicator functions:

$$|B_s^1(\lfloor \tau N \rfloor, X)| = \sum_{S \in [t], |S|=s} \mathbb{1}\{S \in B_s^1(\lfloor \tau N \rfloor, X)\}.$$

Therefore, the expectation of the cardinality  $|B_s^1(\lfloor \tau N \rfloor, X)|$  (and similarly,  $|B_{s+1}^2(\lfloor \tau N \rfloor, X)|$ ) equals

$$\begin{aligned} \mathbb{E}[|B_s^1|] &= \binom{t}{s} \Pr\{S \in B_s^1 \mid |S| = s\} \\ \left( \mathbb{E}[|B_{s+1}^2|] &= \binom{t}{s+1} \Pr\{S \in B_{s+1}^2 \mid |S| = s+1\} \right). \end{aligned} \quad (17)$$

For the ensemble  $E(N, t, Q)$ , denote the probabilities  $\Pr\{S \in B_s^1(\lfloor \tau N \rfloor, X) \mid |S| = s\}$  and  $\Pr\{S \in B_{s+1}^2(\lfloor \tau N \rfloor, X) \mid |S| = s\}$  by  $P_s^1(\tau, Q, N)$  and  $P_{s+1}^2(\tau, Q, N)$  correspondingly. It is obvious, that these probabilities depend only on  $s, \tau, Q, N$  and do not depend on  $R$ . The formulas (17) yield that the expectation (15) satisfies the inequalities:

$$\begin{aligned} \max\{P_s^1(\tau, Q, N), P_{s+1}^2(\tau, Q, N)\} &\leq \mathcal{E}_s^N(\tau, Q, R) \\ &\leq P_s^1(\tau, Q, N) + P_{s+1}^2(\tau, Q, N). \end{aligned} \quad (18)$$

Given the code  $X$ , for a fixed subset  $S \subset [t]$ ,  $|S| = k$ , of size  $k$  and a fixed integer  $w$ , consider a probability

$$P_k^N(Q, w) \triangleq \Pr\left\{\left|\bigvee_{j \in S} x(j)\right| = w\right\}.$$

Note that the probability  $P_k^N(Q, w)$  does not depend on the choice of the set  $S$  and depends only on  $k, w, N$  and  $Q$ . To compute the logarithmic asymptotics of the probabilities in (18), we represent them in the following forms:

$$\begin{aligned} P_s^1(\tau, Q, N) &= \sum_{\substack{w=\lfloor \max\{\tau, Q\}N \rfloor + 1 \\ \min\{\lfloor \tau N \rfloor, (s+1)\lfloor QN \rfloor\}}}^{\min\{N, s\lfloor QN \rfloor\}} P_s^N(Q, w), \\ P_{s+1}^2(\tau, Q, N) &= \sum_{w=\lfloor QN \rfloor}^{\min\{\lfloor \tau N \rfloor, (s+1)\lfloor QN \rfloor\}} P_{s+1}^N(Q, w). \end{aligned} \quad (19)$$

The logarithmic asymptotics of the probability  $P_k^N(Q, w)$  was calculated in [4], it equals

$$\lim_{N \rightarrow \infty} \frac{-\log_2 P_k^N(Q, \lfloor qN \rfloor)}{N} = \mathcal{A}(k, Q, q), \quad (20)$$

where the function  $\mathcal{A}(k, Q, q)$  is defined by (6)-(7). Note that  $P_s^1(\tau, Q, N) = 0$  if  $\tau > sQ$  and  $P_{s+1}^2(\tau, Q, N) = 0$  if  $\tau < Q$ . This remark, (19) and (20) yield

$$\begin{aligned} \lim_{N \rightarrow \infty} \frac{-\log_2 P_s^1(\tau, Q, N)}{N} &= \min_{q \in (i1)} \mathcal{A}'(s, Q, q), \\ \lim_{N \rightarrow \infty} \frac{-\log_2 P_{s+1}^2(\tau, Q, N)}{N} &= \min_{q \in (i2)} \mathcal{A}'(s+1, Q, q), \end{aligned} \quad (21)$$

(i1)  $\triangleq [\max\{\tau, Q\}, 1]$ , (i2)  $\triangleq [0, \min\{\tau, (s+1)Q\}]$ ,

where the function  $\mathcal{A}'(k, Q, q)$  is defined by (9).

Therefore, (18) and (21) yield existence of limit

$$\begin{aligned} \lim_{N \rightarrow \infty} \frac{-\log_2 \mathcal{E}_s^N(\tau, Q, R)}{N} &= \\ &= \min \left\{ \min_{q \in (i1)} \mathcal{A}'(s, Q, q), \min_{q \in (i2)} \mathcal{A}'(s+1, Q, q) \right\}. \end{aligned} \quad (22)$$

Let us recall some analytical properties of the function  $\mathcal{A}(k, Q, q)$ .

**Lemma 1.** [4]. *Function  $\mathcal{A}(k, Q, q)$  as a function of the parameter  $q$  decreases in the interval  $q \in [Q, 1 - (1 - Q)^k]$ , increases in the interval  $q \in [1 - (1 - Q)^k, \min\{1, kQ\}]$  and equals 0 at the point  $q = 1 - (1 - Q)^k$ .*

Hence,

$$\begin{aligned} \min_{q \in (i1)} \mathcal{A}'(s, Q, q) &= 0 & \text{if } \tau \leq 1 - (1 - Q)^s, \\ \min_{q \in (i2)} \mathcal{A}'(s+1, Q, q) &= 0 & \text{if } \tau \geq 1 - (1 - Q)^{s+1}. \end{aligned}$$

It establishes the equivalence of the bound (16)-(22) and the bound (8).

**Proof of Statement 2.** The full proof of Statement 2 is presented in [5]. Here we give only a sketch of the proof.

Our aim is to offer the lower bound for the asymptotic behaviour of the expression

$$\begin{aligned} \underline{E}_{\text{Thr}}(s) &\triangleq \max_{0 < \tau < 1} \max_{1 - (1 - \tau)^{1/(s+1)} < Q < 1 - (1 - \tau)^{1/s}} \\ &\min\{\mathcal{A}'(s, Q, \tau), \mathcal{A}'(s+1, Q, \tau)\}, \quad s \rightarrow \infty. \end{aligned} \quad (23)$$

For any fixed  $\tau$ ,  $0 < \tau < 1$ , and any fixed  $Q$ ,  $1 - (1 - \tau)^{1/(s+1)} < Q < 1 - (1 - \tau)^{1/s}$ , let us denote the solutions of the equation (7) for  $\mathcal{A}(s, Q, \tau)$  and  $\mathcal{A}(s+1, Q, \tau)$  by  $y_1(Q, \tau)$  and  $y_2(Q, \tau)$  correspondingly. Note that  $y_1$  can be greater than 1. It follows from (7) that the parameter  $\tau$  can be expressed in two forms:

$$\tau = Q \frac{1 - y_1^s}{1 - y_1} = Q \frac{1 - y_2^{s+1}}{1 - y_2}.$$

That is why the inequality  $1 - (1 - \tau)^{1/(s+1)} < Q \Leftrightarrow \tau < 1 - (1 - Q)^{s+1}$  is equivalent to

$$\frac{1 - y_2^{s+1}}{1 - y_2} < \frac{1 - (1 - Q)^{s+1}}{1 - (1 - Q)}.$$

Note that, for any integer  $n \geq 2$ , the function  $f(x) = \frac{1 - x^n}{1 - x}$  increases in the interval  $x \in (0, +\infty)$ . Hence, we have

$$1 - (1 - \tau)^{1/(s+1)} < Q \Leftrightarrow Q < 1 - y_2,$$

and similarly,

$$Q < 1 - (1 - \tau)^{1/s} \Leftrightarrow Q > 1 - y_1.$$

In conclusion, the pair of parameters  $(y_1, Q)$ ,  $y_1 > 0$ ,  $0 < Q < 1$ , uniquely defines the parameters  $\tau$  and  $y_2$ . Moreover, if the inequalities

$$0 < \tau < 1, \quad Q < 1 - y_2, \quad Q > 1 - y_1. \quad (24)$$

hold, then the parameters  $\tau$  and  $Q$  are in the region, in which the maximum (23) is searched.

Let some constant  $c > 0$  be fixed,  $s \rightarrow \infty$  and  $y_1 \triangleq 1 - c/s^2 + o(1/s^3)$ . Then, the asymptotic behavior of  $\tau/Q$  equals

$$\frac{1 - y_2^{s+1}}{1 - y_2} = \frac{\tau}{Q} = \frac{1 - y_1^s}{1 - y_1} = s - \frac{c}{2} + o(1),$$

and, therefore,

$$y_2 = 1 - \frac{c+2}{(s+1)^2} + o\left(\frac{1}{s^3}\right) = 1 - \frac{c+2}{s^2} + \frac{2(c+2)}{s^3} + o\left(\frac{1}{s^3}\right).$$

To satisfy (24) the parameter  $Q$  should be in the interval

$$\left( \frac{c}{s^2} + o\left(\frac{1}{s^3}\right); \frac{c+2}{s^2} - \frac{2(c+2)}{s^3} + o\left(\frac{1}{s^3}\right) \right).$$

Let us define the parameter  $Q$  as  $Q \triangleq d/s^2$ , where  $d, c < d < c+2$ , is some constant. Hence,  $Q$  is in the previous interval.

The full list of the asymptotic behaviors of the parameters is presented below:

$$\begin{aligned} y_1 &= 1 - \frac{c}{s^2} + o\left(\frac{1}{s^2}\right), & \tau &= \frac{d}{s} - \frac{cd}{2s^2} + o\left(\frac{1}{s^2}\right), \\ y_2 &= 1 - \frac{c+2}{s^2} + o\left(\frac{1}{s^2}\right), & Q &= \frac{d}{s^2}, \quad s \rightarrow \infty, \end{aligned} \quad (25)$$

where  $c$  and  $d$  are arbitrary constants such that  $c > 0$ ,  $c < d < c+2$ . The parameters defined by (25) satisfy the inequalities (24), and, therefore, the substitution of asymptotic behaviors (25) into (23) leads to some lower bound on  $E_{\text{Thr}}(s)$ .

We omit the calculation of the asymptotic behavior of (23). The lower bound (10) is attained at  $c \rightarrow \infty$  and  $d = c+1$ . If  $s \rightarrow \infty$ , then  $\tau$  and  $Q$  are related by  $\tau \sim s \cdot Q$ .  $\square$

#### IV. SIMULATION FOR FINITE CODE PARAMETERS

For finite  $N$  and  $t$ , we carried out a simulation as follows. The probability distribution vector  $\mathbf{p}$  (1) is defined by

$$p_s = p_{s+1} = 1/2, \quad p_j = 0, j \notin \{s, s+1\},$$

i.e. it is the distribution at which the maximum in the right-hand side of (4) is attained. A code  $X$  is generated randomly from the ensemble of constant-weight codes, i.e. for some weight parameter  $w$ , every codeword of  $X$  is chosen independently and equiprobably from the set of all  $\binom{t}{w}$  codewords. For every weight  $w$  and every decision rule, we repeat generation 1000 times and choose the code with minimal error probability. Note that for disjunctive decision rule  $\Pr\{\text{accept } H_0|H_1\} = 0$ . The results of simulation are presented in Table II, where, for brevity, the probabilities  $\Pr\{\text{accept } H_0|H_1\}$  and  $\Pr\{\text{accept } H_1|H_0\}$  are denoted by  $\Pr_{0|1}$  and  $\Pr_{1|0}$  correspondingly. The best values of the maximal error probability (3) calculated using the formulas (11) and (14) for fixed parameters  $s, t$  and  $N$  are given in boldface.

If  $s = 2$ , then for any code length  $N$  from Table II one can recommend to choose the corresponding code weight  $w$ ,  $1 < w < N$ , from Table II and generate an “optimal” random constant weight binary code of weight  $w$ , length  $N$  and arbitrary size  $t, t > N$ . In this case, for the corresponding threshold  $T$ ,  $w < T < N$ , from Table II, an “optimal” error probability of the  $T$ -weight decision rule should be similar to

TABLE II  
RESULTS OF SIMULATION

$N$	$T$ -weight decision rule				Disjunctive decision rule	
	$\Pr_{1 0}$	$\Pr_{0 1}$	$w$	$T$	$\Pr_{1 0}$	$w$
$s = 2, \quad t = 15$						
5	0.2571	<b>0.2571</b>	2	3	0.9333	2
8	<b>0.1619</b>	0.1604	3	5	0.7048	2
10	0	<b>0.1429</b>	1	2	0.4571	3
12	0	<b>0.0857</b>	1	2	0.1810	3
14	0	<b>0.0571</b>	1	2	0.0952	3
15	0	0.0462	2	4	<b>0.0286</b>	3
$s = 2, \quad t = 20$						
5	<b>0.2632</b>	0.2588	2	3	0.9579	2
8	0.1632	<b>0.1649</b>	3	5	0.8316	2
11	0.1053	<b>0.1509</b>	4	7	0.5158	3
12	<b>0.1158</b>	0.1123	4	7	0.4158	3
14	0	<b>0.0842</b>	2	4	0.2316	3
15	0	<b>0.0693</b>	2	4	0.1526	4
$s = 2, \quad t = 100$ (Estimated error probabilities)						
5	<b>0.2420</b>	0.2300	2	3	0.9980	2
8	0.1830	<b>0.1950</b>	3	5	0.9940	5
11	0.1570	<b>0.1630</b>	5	8	0.9830	4
12	0.1280	<b>0.1350</b>	4	7	0.9810	4
14	0	<b>0.1080</b>	2	4	0.9600	5
15	0	<b>0.0970</b>	2	4	0.9610	5

the corresponding maximal error probability (3) indicated in Table II in boldface. As an example of such comparison, we put in Table II error probabilities (3) for  $s = 2$  and  $t = 100$  which were estimated by the Monte Carlo method, namely, subsets  $\mathcal{S}, \mathcal{S} \subset [100]$ , of size  $|\mathcal{S}| = 2$  and  $|\mathcal{S}| = 3$  were chosen randomly 1000 times.

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