

# CS & IT ENGINEERING

## Database Management System

Transaction & Concurrency  
Control

DPP – 03 Discussion Notes



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TOPICS TO BE  
COVERED

01 Question

02 Discussion



# Q.1

Consider the following schedule

$S: w_1(X); w_1(Y); r_2(X); w_2(Y); r_3(X); w_3(Y)$

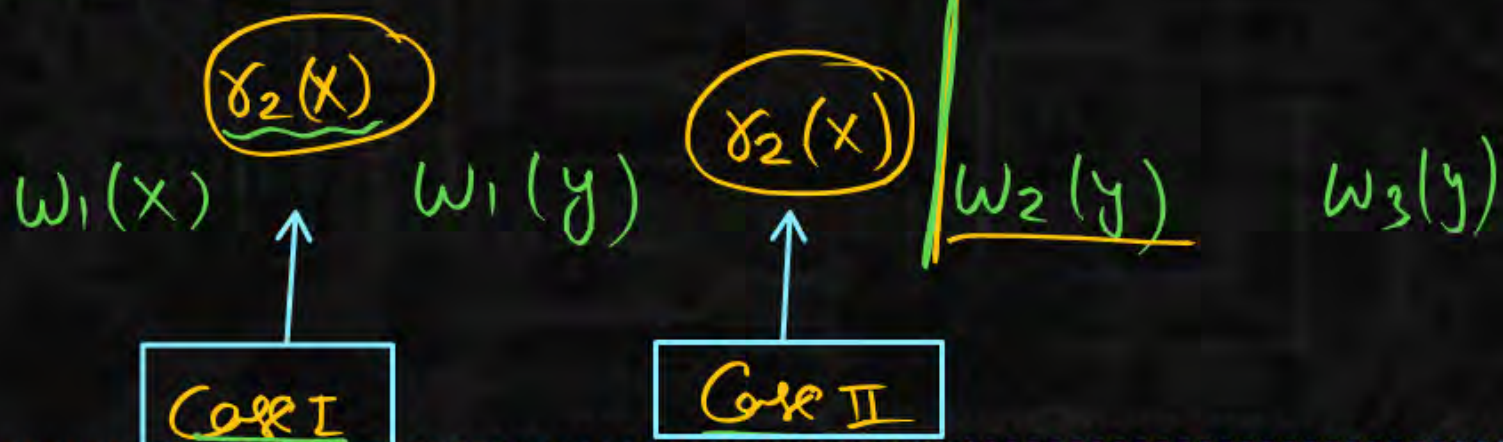
8 Ans

How many schedules are conflict equivalent to given schedule (S)

8 Ans ?

Soln

$r_2(X)$



Case I

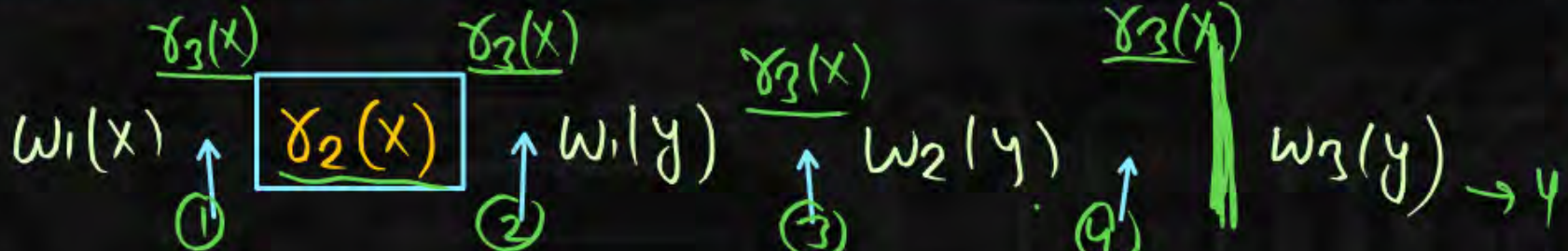
- (i)  $w_1(X) r_3(X) r_2(X) w_1(Y) w_2(Y) w_3(Y)$
- (ii)  $w_1(X) r_2(X) r_3(X) w_1(Y) w_2(Y) w_3(Y)$
- (iii)  $w_1(X) r_2(X) w_1(Y) r_3(X) w_2(Y) w_3(Y)$
- (iv)  $w_1(X) r_2(X) w_1(Y) w_2(Y) r_3(X) w_3(Y)$

Case I  $\Rightarrow 4$

Case II  $\Rightarrow 4$

8 Ans

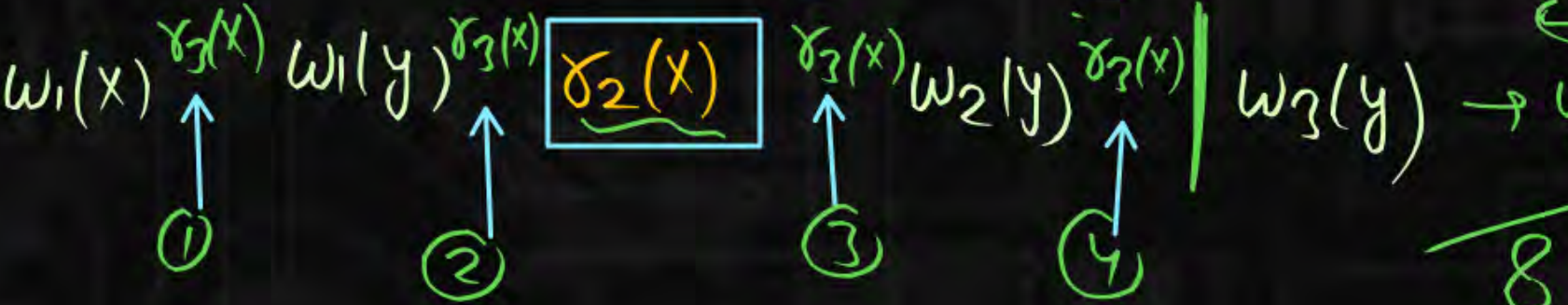
Case I



for  $r_3(X)$

Case II

Now for  $r_3(X)$



8 Ans



**Q.2**

Consider the following schedule

**[NAT]**

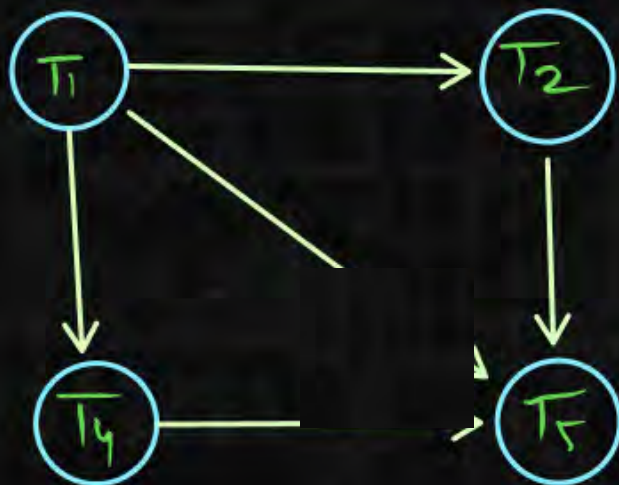
$S = r_1(P); r_3(S); w_1(Q); r_2(Q) r_4(Q),$

$w_2(R) r_5(R); w_4(T); r_5(T); w_5(Q)$

How many serial schedules conflict equal to schedules(S)?

10. Ans

10 Ans



$T_1$	$T_2$	$T_3$	$T_4$	$T_5$
<u><math>r(P)</math></u>				
		<u><math>r(S)</math></u>		
		<u><math>w(Q)</math></u>		
		<del><math>T_3</math></del>		
		<u><math>r(Q)</math></u>		
			<u><math>w(R)</math></u>	
			<u><math>w(T)</math></u>	
				<u><math>r(R)</math></u>
				<u><math>r(T)</math></u>
				<u><math>w(Q)</math></u>

$T_3$  can be placed Any where

Case I  $T_1$   $T_2$   $T_4$   $T_5$

or

Case II  $T_1$   $T_4$   $T_2$   $T_5$

for  $T_3$

Case I  $T_3$   $T_1$   $T_2$   $T_4$   $T_5$   $T_3$

Case II  $T_3$   $T_1$   $T_4$   $T_2$   $T_5$   $T_3$



Case I  
Box  $(T_3)$



(i)  $(T_3)$   $T_1$   $T_2$   $T_4$   $T_5$

(ii)  $T_1$   $(T_3)$   $T_2$   $T_4$   $T_5$

(iii)  $T_1$   $T_2$   $(T_3)$   $T_4$   $T_5$

(iv)  $T_1$   $T_2$   $T_4$   $(T_3)$   $T_5$

(v)  $T_1$   $T_2$   $T_4$   $T_5$   $(T_3)$

5

5+5  
10

Box  $T_3$   
Case II



(i)  $(T_3)$   $T_1$   $T_4$   $T_2$   $T_5$

(ii)  $T_1$   $(T_3)$   $T_4$   $T_2$   $T_5$

(iii)  $T_1$   $T_4$   $(T_3)$   $T_2$   $T_5$

(iv)  $T_1$   $T_4$   $T_2$   $(T_3)$   $T_5$

(v)  $T_1$   $T_4$   $T_2$   $T_5$   $(T_3)$

5



Q.3

Consider the following schedule

[NAT]

 $S = r_1(P); r_3(S); w_1(Q); r_2(Q) \ r_4(Q), w_2(R);$ 
 $r_5(R); w_4(T); r_5(T); w_5(Q)$ 

10 Ans

How many serial schedules view equal to schedule(S)\_\_\_\_\_?

 $T_1 \rightarrow T_5$  $T_1 \rightarrow T_2$  $T_1 \rightarrow T_4$  $T_2 \rightarrow T_5$  $T_4 \rightarrow T_5$ 

① Initial Read

Data P:  $T_1$ Item S:  $T_3$ 

② Final Write

Q:  $T_5 \Rightarrow T_1 \rightarrow T_5$ 

③ UPDATED Read

Q:  $w_4(Q) - r_2(Q) : T_1 \rightarrow T_2$  $w_1(Q) - r_4(Q) : T_1 \rightarrow T_4$ R:  $w_2(R) - r_5(R) : T_2 \rightarrow T_5$ T:  $w_4(T) - r_5(T) : T_4 \rightarrow T_5$ 

$T_1$	$T_2$	$T_3$	$T_4$	$T_5$
$r(P)$		$r(S)$		
<u><math>w(Q)</math></u>	<u><math>r(Q)</math></u>		<u><math>r(Q)</math></u>	
	<u><math>w(R)</math></u>			
			$w(T)$	<u><math>r(R)</math></u>
				$r(T)$ $w(Q)$

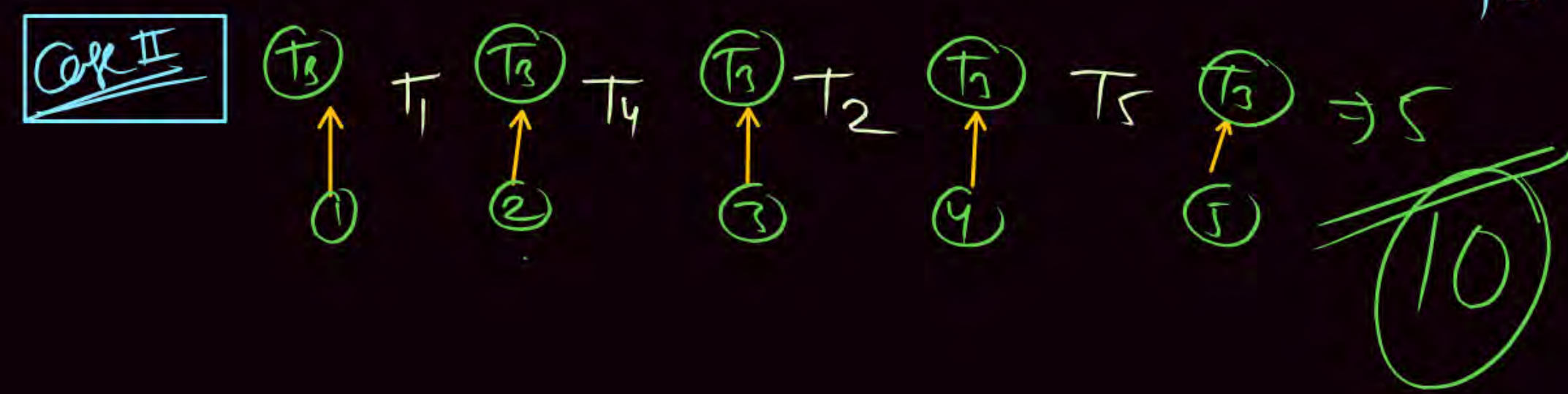
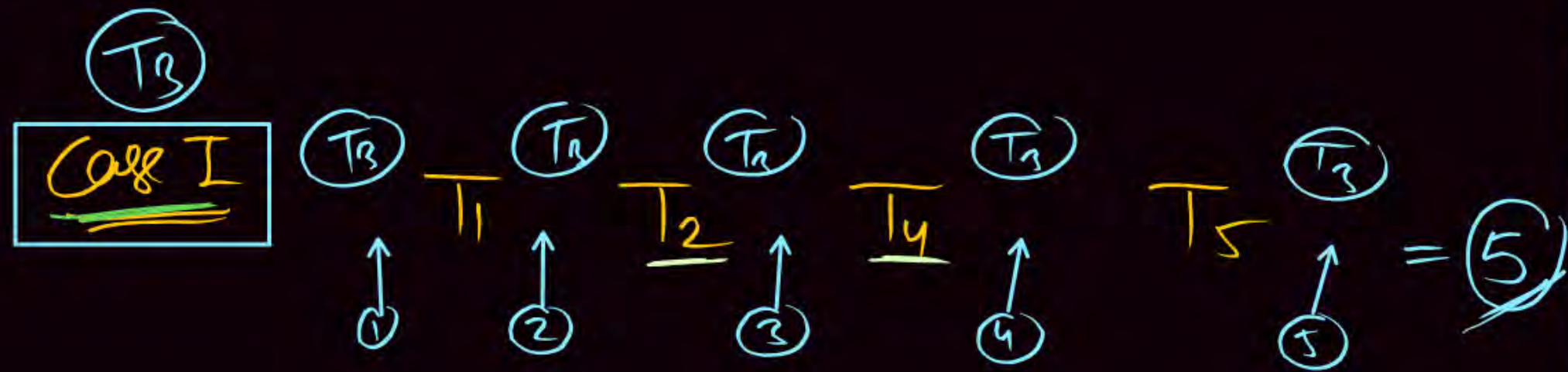
 $T_1$  $T_2 \& T_4$  $T_5$



Case I  $(T_1)$   $\underline{T_2}$   $\underline{T_4}$   $(T_5)$

Case II  $(T_1)$   $\underline{T_4}$   $\underline{T_2}$   $(T_5)$

$T_2$  &  $T_4$   $T_3$



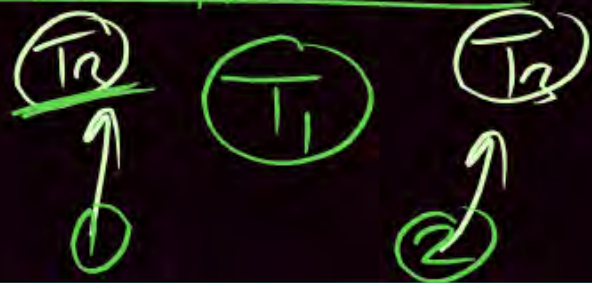
Case I

①	$(T_3)$	$T_1$	$T_2$	$T_4$	$T_5$
②	$T_1$	$(T_3)$	$T_2$	$T_4$	$T_5$
③	$T_1$	$T_2$	$(T_3)$	$T_4$	$T_5$
④	$T_1$	$T_2$	$T_4$	$(T_3)$	$T_5$
⑤	$T_1$	$T_2$	$T_4$	$T_5$	$(T_3)$



# Another Approach

Starts from  $T_3$



Case I



Case II



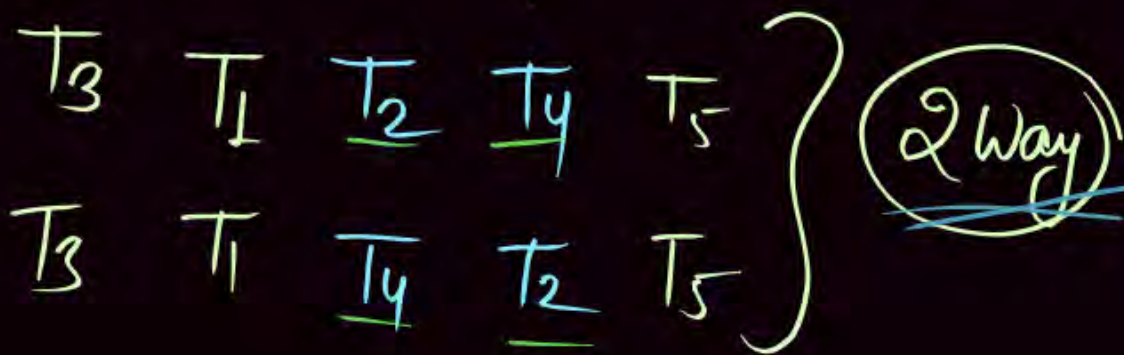
Case III



for  $T_2$  &  $T_4$

$T_2$   $T_4$

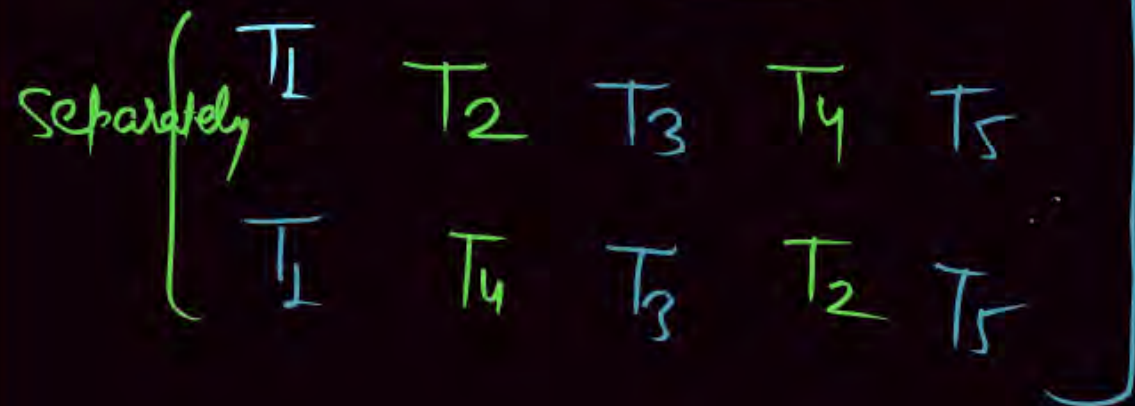
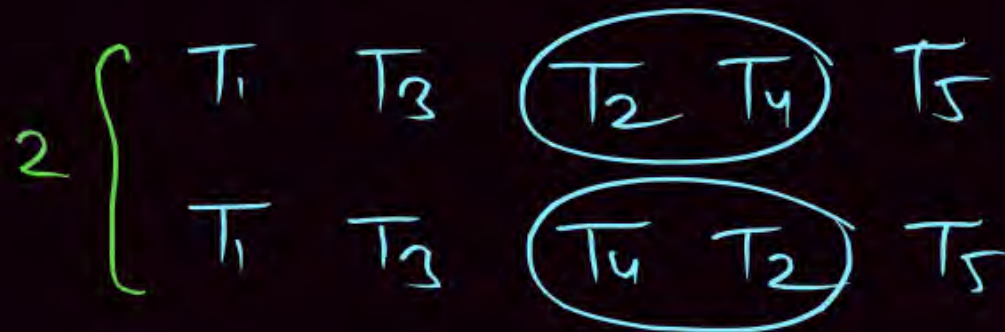
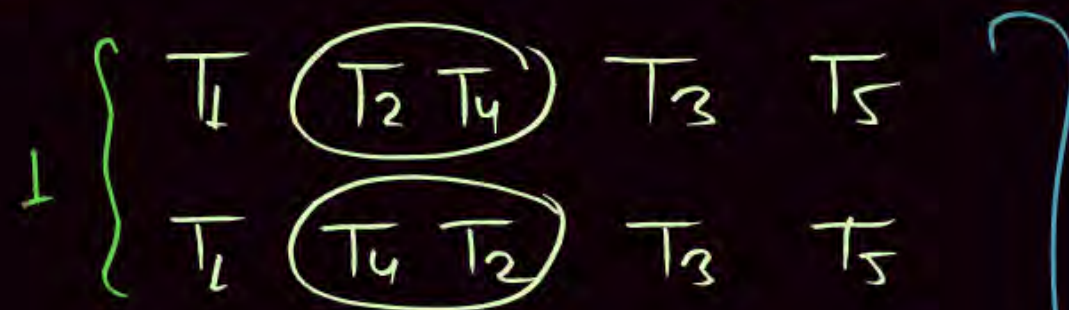
Case I



$T_1 \rightarrow T_2$   
 $T_1 \rightarrow T_4$   
 $T_2 \rightarrow T_5, T_4 \rightarrow T_5$

$T_2$   $T_4$   $(T_3)$

Case II  $T_2$   $T_4$  After  $T_1$  & before  $T_5$   
 $T_1$   $T_3$   $T_5$



(6)



for  $T_2 T_4$   $\Rightarrow$  Placed Abt  $T_1$  & Below  $T_5$ .

Case III

$\begin{array}{ccccc} & \textcircled{T_2 T_4} & & & \\ T_1 & \text{9} & T_5 & & T_3 \\ & | & & & \end{array}$

$\begin{array}{ccccc} T_1 & \underline{T_2} & \underline{T_4} & T_5 & T_3 \\ T_1 & \underline{T_4} & \underline{T_2} & T_5 & T_3 \end{array} \Bigg] \textcircled{2}$

Case I : 2

Case II : 6

Case III : 2

10 Ans



Q.4

Consider the following transactions

$T_1: r_1(P); w_1(P); r_1(Q); w_1(Q) = 4$

$T_2: r_2(P); r_2(Q) = 2$

$T_3: w_3(P); w_3(Q) = 2$

How many concurrent schedules between  $T_1$ ,  $T_2$  and  $T_3$  transactions \_\_\_\_\_?

A.

400

B.

410

C.

420

D.

None

Ave (C)

[MCQ]



$T_1 \rightarrow n_1$

$T_2 \rightarrow n_2$

$T_3 \rightarrow n_3$

Total

# Concurrent  
Schedules

$$= \frac{(n_1 + n_2 + n_3)!}{(n_1)! (n_2)! (n_3)!}$$

$$\frac{(4 + 2 + 2)!}{(4)! (2)! (2)!}$$

$$\Rightarrow \frac{8!}{4! \times 2 \times 2}$$

$$\Rightarrow \frac{\cancel{8} \times 7 \times \underline{6} \times 5 \times \cancel{4} \times 3 \times 2 \times 1}{\cancel{4} \times \cancel{2} \times \cancel{2}}$$

$$= 420$$



# Q.5

How many views equivalent serial schedules are possible for the given schedules below 2 Ans



[NAT]

S:  $w_1(P)$   $r_2(P)$   $w_3(P)$   $r_4(P)$   $w_5(P)$   $r_6(P)$

$T_1$   $T_2$   $T_3$   $T_4$   $T_5$   $T_6$   
 $w_1(P)$   $r_2(P)$   $w_3(P)$   $r_4(P)$   $w_5(P)$   $r_6(P)$   
 ① Final Write  
 P:  $T_5$   
 ② UPDATED Read  
 $w_1(P) - r_2(P): T_1 \rightarrow T_2$   
 $w_3(P) - r_4(P): T_3 \rightarrow T_4$   
 $w_5(P) - r_6(P): T_5 \rightarrow T_6$

$T_1$   $T_2$   $T_3$   $T_4$   $T_5$   $T_6$   
 $w_1(P)$   $r_2(P)$   $w_3(P)$   $r_4(P)$   $w_5(P)$   $r_6(P)$

$T_3$   $T_4$   $T_1$   $T_2$   $T_5$   $T_6$   
 $w_3(P)$   $r_4(P)$   $w_1(P)$   $r_2(P)$   $w_5(P)$   $r_6(P)$

Ans 2

$T_1$	$T_2$	$T_3$	$T_4$	$T_5$	$T_6$
$w(P)$	$r(P)$				
		$w(P)$			
			$r(P)$		
				$w(P)$	
					$r(P)$



Q.6

The goal of concurrency control on database system is to

[MCQ]



A.

Only allow concurrent execution of transaction that correspond to serial execution of some of the transactions.

B.

Allow only transactions that don't access common relationship to run concurrently.

C.

Execute transactions serially.

D.

None of the above.

Serializable Schedule

m! Serial Schedule

m is # of Transaction

Ans (a).



Q.7

What problem can occur when a DBMS executes multiple transactions concurrently?

[MCQ]



A.

Lost update problem.

B.

Dirty read problem. (WR)

C.

Incorrect summary problem.

☒ D.

All of the above.

Ans (D)

Problem due to Concurrent execution

- ① WR / Uncommitted Read / Dirty Read
- ② RW / Unrepeatable Read
- ③ WW / Lost Update Problem
- ④ Phantom Tuple Problem
- ⑤ Incorrect Summary Problem



Q.8

Consider the following statements

[MCQ]



$S_1$ : Every view serializable schedule is conflict serializable.

False

$S_2$ : Some view serializable schedules are conflict serializable.

True

A.

Only  $S_1$  is true.

B.

Only  $S_2$  is true

C.

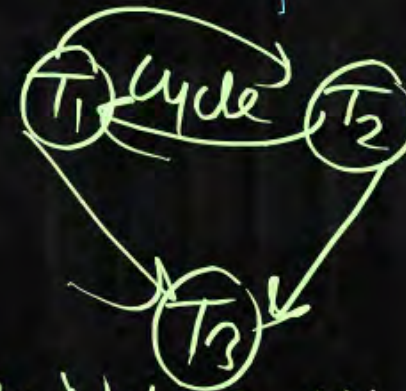
Both  $S_1$  &  $S_2$  are true

D.

Neither  $S_1$  nor  $S_2$  is true

$S_1$

$T_1$	$T_2$	$T_3$
<u><math>r(A)</math></u>		
$w(A)$	$w(A)$	
		<u><math>w(A)</math></u>



Cycle Not Conflict

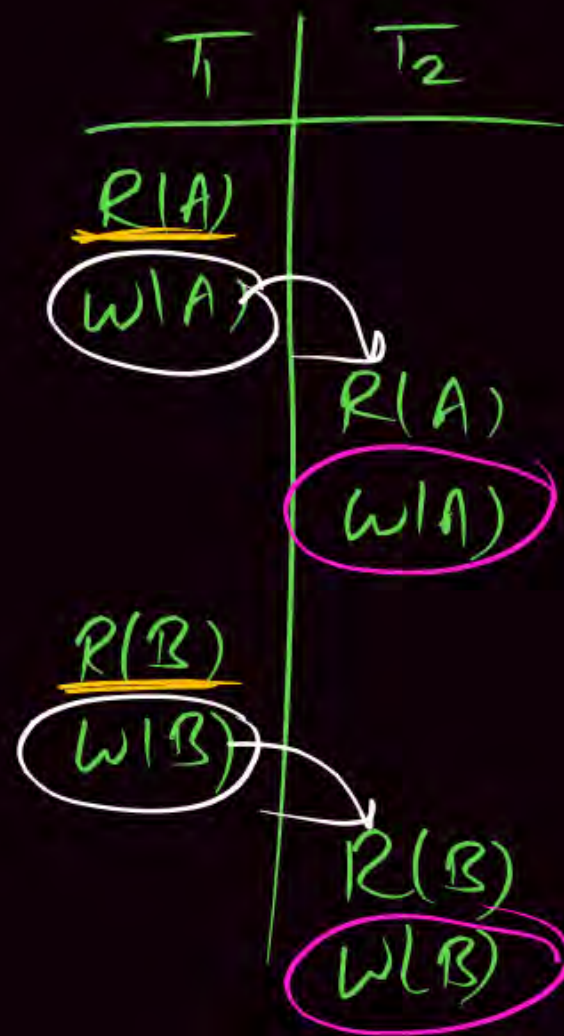
But View Serializable

$T_1$	$T_2$	$T_3$
<u><math>r(A)</math></u>		
$w(A)$	$w(A)$	
		<u><math>w(A)</math></u>

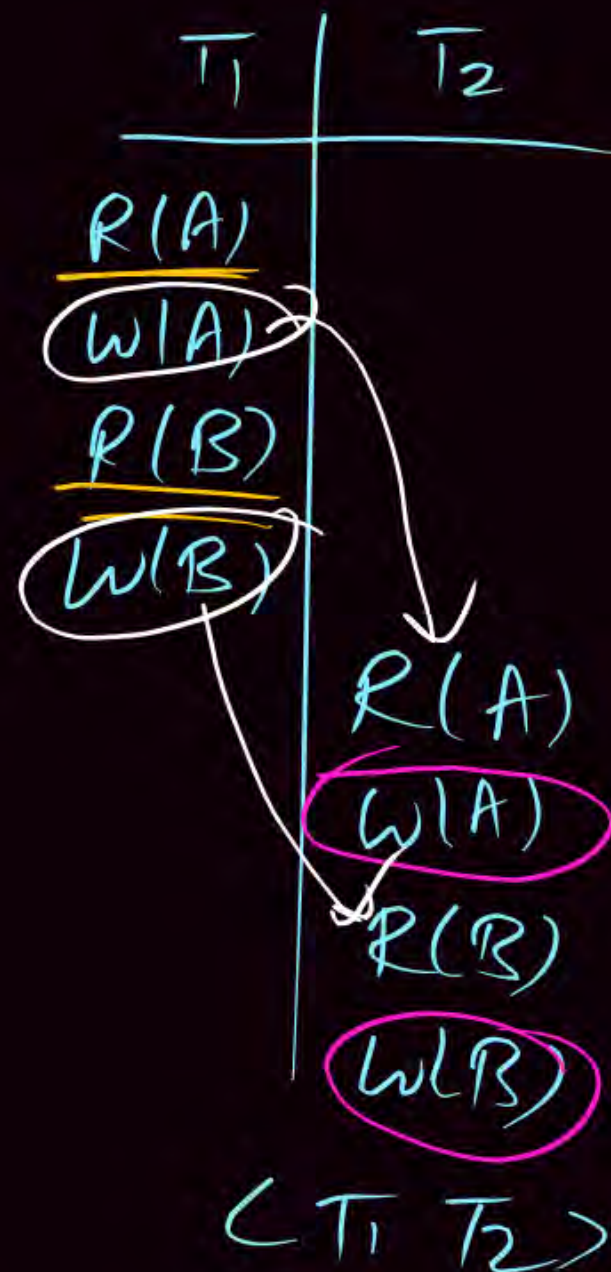
- ① Initial Read
- ② Final Write
- ③ Write-Read

Ans (B).





$\textcircled{T_1} \rightarrow \textcircled{T_2}$   
 Conflict serializable



① Initial Read

② Final Write

③ Updated Read (Write-Read)

View serializable

$\langle T_1, T_2 \rangle$   
 & Conflict serializable



Q.9

Consider the following schedule involving two transactions

$S_1: r_1(A); r_2(A); w_2(A); r_3(A); \underline{w_1(A)}; \underline{w_2(B)}; r_3(B),$   
 $\underline{c_2}, \underline{w_3(A)}; c_1, c_3$

$S_2: r_2(A); r_1(A); w_1(A); w_2(A); \underline{w_1(B)}; r_3(A); w_3(A),$   
 $r_2(B); c_1, c_3; c_2$

Which one of the following statements is TRUE?

[MCQ]

$S_1$

A.  $S_1$  is recoverable and  $S_2$  is not recoverable.

B.  $S_1$  is not recoverable and  $S_2$  is recoverable.

C. Both  $S_1$  and  $S_2$  are recoverable.

D. Both  $S_1$  and  $S_2$  are not recoverable.

Ans(a).

$S_1$  is Recoverable

$T_1$	$T_2$
$w(A)$	
	$R(A)$
	$\rightarrow$ Commit

$T_1$	$T_2$	$T_3$
$r(A)$	$r(A)$	
	<u><math>w(A)</math></u>	$r(A)$
<u><math>w(A)</math></u>		
	<u><math>w(B)</math></u>	$r(B)$
	Commit	
Commit		$w(A)$
		Commit

$S_1$  is Recoverable



Q.9

Consider the following schedule involving two transactions

$S_1: r_1(A); r_2(A); w_2(A); r_3(A); w_1(A); w_2(B); r_3(B);$   
 $c_2, w_3(A); c_1, c_3$

$S_2: r_2(A); r_1(A); w_1(A); w_2(A); w_2(A); r_3(A); w_3(A);$   
 $r_2(B); c_1, c_3; c_2$

Which one of the following statements is TRUE?

[MCQ]

$S_2$

A.

$S_1$  is recoverable and  $S_2$  is not recoverable.

B.

$S_1$  is not recoverable and  $S_2$  is recoverable.

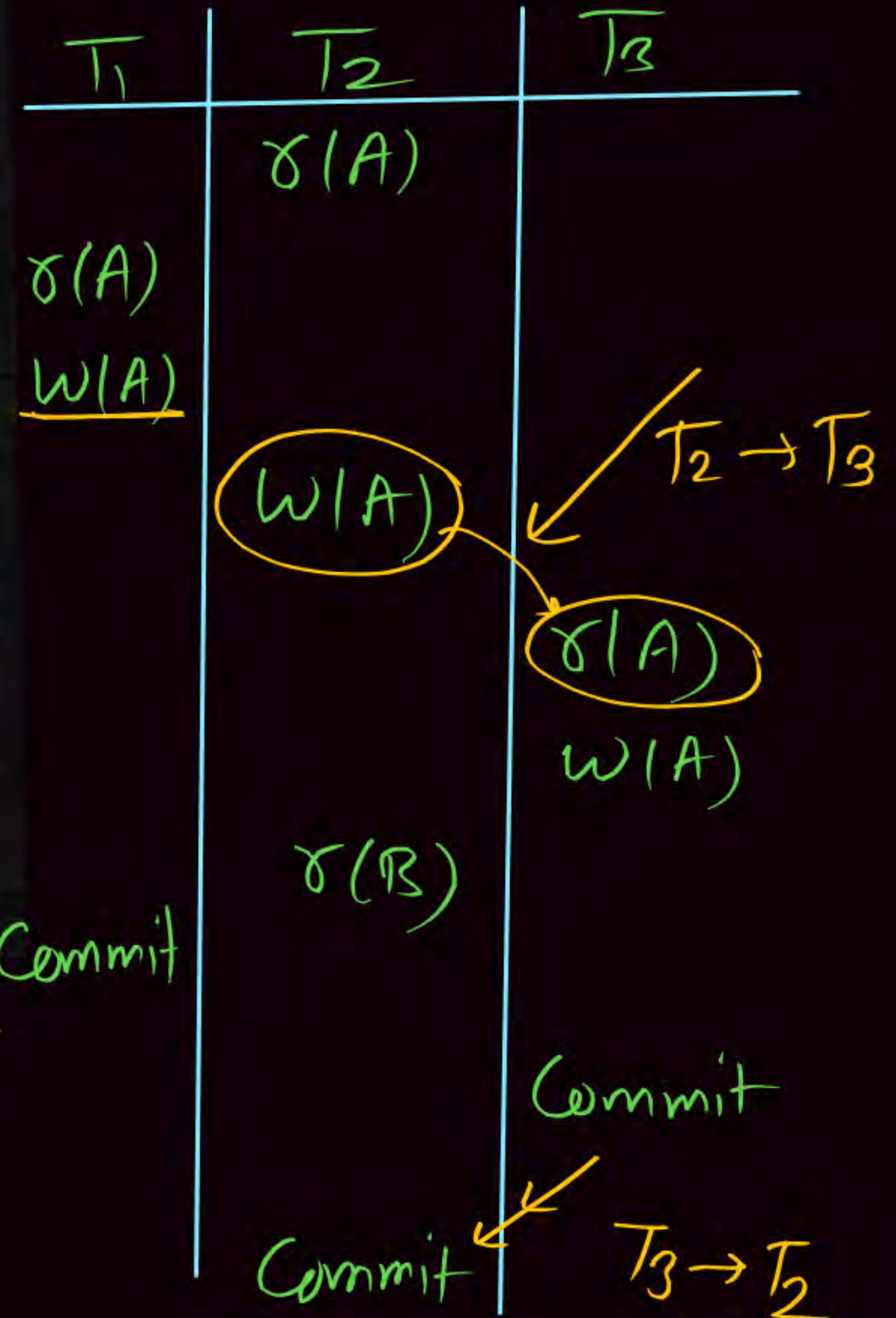
C.

Both  $S_1$  and  $S_2$  are recoverable.

D.

Both  $S_1$  and  $S_2$  are not recoverable.

$S_2$  is Not Recoverable Commit





**Q.10**

Consider the following schedule:

**[MCQ]**

S:  $r_1(A); r_2(C); w_1(A); r_3(A) r_2(B); w_2(B), w_3(A); r_3(B); r_2(A)$   
 for the schedule S given above two orderings of commits ( $c_i$ )  
 operations are specified.

**I.**  $c_1; c_3; c_2$ **II.**  $c_1; c_2; c_3$ Which of the above ordering ensures recoverability of schedule S?

- ☒ A. Only I  
☒ B. Both I and II  
☒ C. Only II  
☒ D. None of these

Ans (D)

$T_1$	$T_2$
$w(A)$	
$c_1$	$r(A)$
	$\rightarrow$ Commit
	Recoverable

I $c_1 c_3 c_2$ Not Recoverable

$T_1$	$T_2$	$T_3$
$r(A)$	$r(C)$	
<u><math>w(A)</math></u>		<u><math>r(A)</math></u>
	$r(B)$	
	<u><math>w(B)</math></u>	
		$w(A)$
	$r(A)$	<u><math>r(B)</math></u>
		Commit
		Commit



**Q.10**

Consider the following schedule:

**[MCQ]**

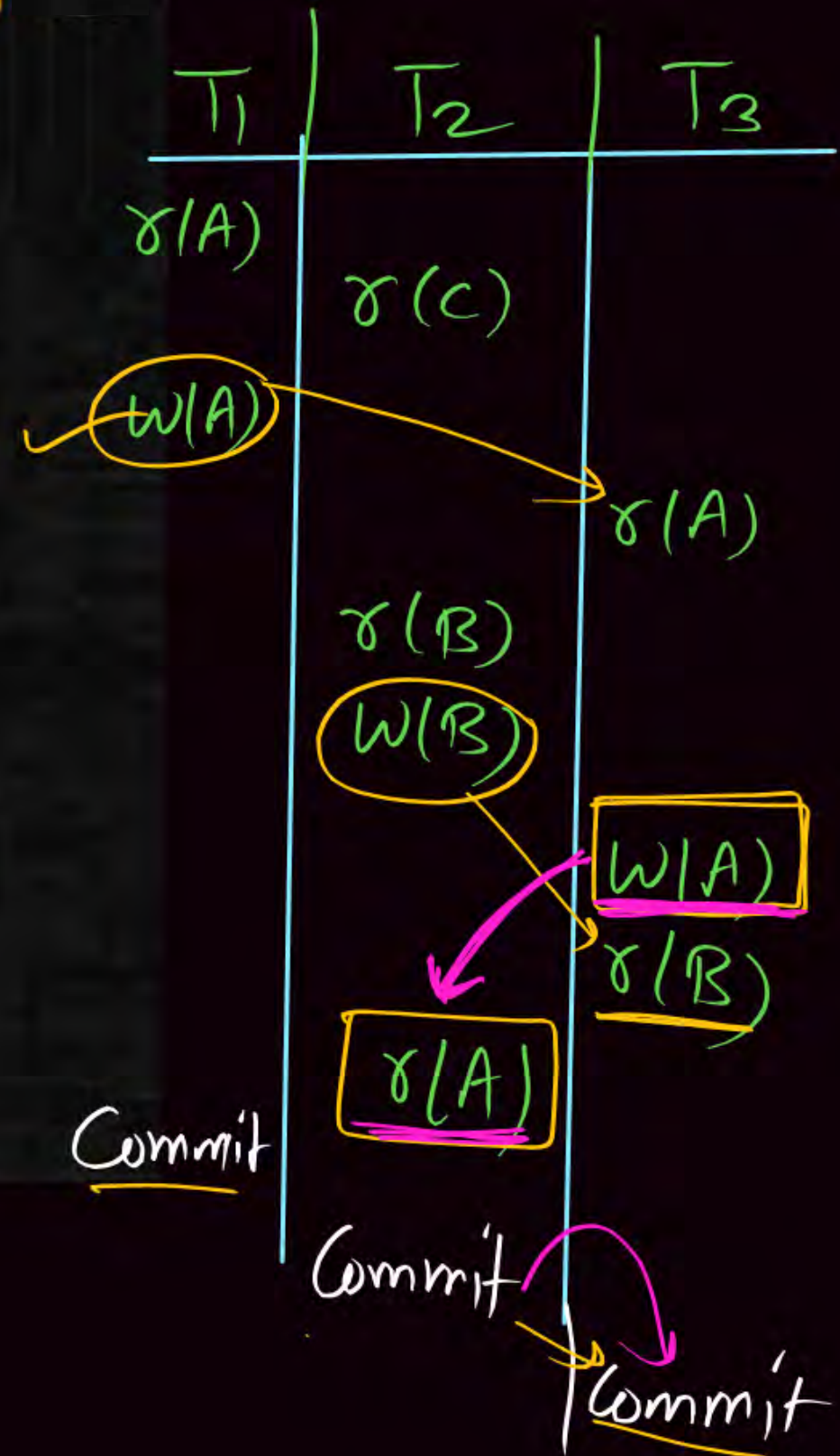
**S:**  $r_1(A)$ ;  $r_2(C)$ ;  $w_1(A)$ ;  $r_3(A)$   $r_2(B)$ ;  $w_2(B)$ ,  $w_3(A)$ ;  $r_3(B)$ ;  $r_2(A)$   
for the schedule S given above two orderings of commits ( $c_i$ )  
operations are specified.

**I.**  $c_1; c_3; c_2$ **II.**  $c_1; c_2; c_3$ 

Which of the above ordering ensures recoverability of schedule S?

- ☒ A. Only I
- ☒ B. Both I and II
- ☒ C. Only II
- ☒ D. None of these

II  $c_1 c_2 c_3$   
↓  
Not Recoverable





**Q.11**

Consider the following partial schedule 'S' involving two transaction  $T_1$  and  $T_2$

**[MCQ]**

Suppose that the transaction  $T_1$  fails immediately after time instance 8.

Which one of the following is correct?

☒  $S_1$ : Schedule S is non recoverable and cannot ensure transaction atomicity

☐  $S_2$ : Only  $T_2$  should be aborted and then restarted to ensure truncation atomicity

☒ A.

Only  $S_1$  is true

☐ B.

Only  $S_2$  is true

☐ C.

Both  $S_1$  and  $S_2$  are true

☐ D.

Both  $S_1$  and  $S_2$  are false

Not Recoverable

Ans (a).

Time	$T_1$	$T_2$
$t_0$	read(P);	
$t_1$	write(P);	
$t_2$		read(R);
$t_3$		write(R);
$t_4$		read(Q);
$t_5$		write(Q);
$t_6$		read(P);
$t_7$		commit;
$t_8$	read(Q);	



