

CS & IT ENGINEERING

Database Management System

File Org & Indexing

DPP – 03 Discussion Notes



By- Vijay Agarwal sir



TOPICS TO BE
COVERED

01 Question

02 Discussion

Q.1



The order of a leaf node in a B+ tree is the maximum number of (value, data record pointer) pairs it can hold. Given that the block size is 1K bytes, data record pointer is 8 bytes long, the value field is 10 bytes long and a block pointer is 6 bytes, then what is the order of the leaf node?

[MCQ]

A. 53

B. 54

C. 55

☒ D. 56

$$P \times \text{key} + P \times R_p + 1 \times B_p \leq \text{Block Size}$$

$$P \times 10 + P \times 8 + 1 \times 6 \leq 1024 \text{ B}$$

$$10P + 8P + 6 \leq 1024$$

$$18P \leq 1018$$

$$P \leq \left\lfloor \frac{1018}{18} \right\rfloor = \textcircled{56} \text{ Ans}$$

$$\text{Block Size} = \overset{1 \text{ KB}}{1024 \text{ B}}, R_p = 8 \text{ B}, \text{key} = 10, B_p = 6 \text{ Byte}$$

Ans (D).

Q.2

The order of a node in B tree is defined as the number of pointers it can hold. What is the maximum number of keys that a B tree of order 4 and height 4 can have ? _____

[NAT]

(Assume that the height of a root node is 1)

Ans (255)

ORDER: P

	Height/Level	max #node	max #Bp	max #keys
1	0/1	1	P	P-1
2	1/2	P	P ²	P(P-1)
3	2/3	P ²	P ³	P ² (P-1)
4	3/4	P ³	P ⁴	P ³ (P-1)

Given Question

$$(4-1) = 3$$

$$4 \times 3 = 12$$

$$4^2 \times 3 = 48$$

$$4^3 \times 3 = 192$$

$$255$$

$$\text{ORDER}(P) = 4$$

Q.3

Given a block can hold either 3 records or 10 key pointers. A database contains P records then how many blocks do we need to hold the data file and the dense index? [MCQ]



A. $\frac{P}{30}$

B. $\frac{P}{3}$

3 Record Per Block

Block factor = 3

C. $\frac{13P}{30}$

D. $\frac{P}{10}$

Dense Index

Entries = # Records

↳ BF_i

To Store Record #Blocks Required = $\frac{P}{3}$

To Store keys, #Block Required = $\frac{P}{10}$

Ans (C)

$$\text{Total \#Blocks Required} = \frac{P}{3} + \frac{P}{10} = \frac{10+3}{30} = \frac{13P}{30}$$

Q.4

The order of an internal node in B+ tree index is the maximum number of children it can have. Assume that a child pointer takes 6 bytes, the search field value takes 34 bytes and the blocks size is 2048 bytes. The order of the internal node is _____. [NAT]

$$C_p / B_p = 6 \text{ Byte} \quad \text{keys} = 34 \text{ Byte}, \quad \text{Block size} = 2048 \text{ Byte}$$

B⁺ Tree
Internal Node

$$P \times B_p + (P-1) \text{keys} \leq \text{Block size}$$

$$\Rightarrow P \times 6 + (P-1) 34 \leq 2048$$

$$6P + 34P - 34 \leq 2048$$

$$40P \leq 2082$$

$$P = \left\lfloor \frac{2082}{40} \right\rfloor = 52 \text{ Ans}$$

Ans(52)

Q.5



Assume a disk with block size $B = 1024$ Bytes, A block pointer is $P_B = 12$ bytes long and a record pointer is $P_R = 18$ bytes long. A file has 1,00,000 patients records of size 100 bytes. Suppose the file is ordered by the key field PID and we want to construct a secondary (dense) index on non-key field DeptID (14 bytes), then minimum of how many blocks are required to store index file assuming an unspanned organisation?

[NAT]

A. 3000

B. 3100

☒ C. 3125

D. None of the above

$\frac{AB(c)}{B}$

Block Size = 1024 Byte $R = 18$ Byte key = 14 Byte, #Records = 100,000
 $18 + 14 = 32$

$$\text{Block factor of Index file} = \left\lfloor \frac{\text{Block Size}}{\text{Index Record size}} \right\rfloor = \left\lfloor \frac{1024}{32} \right\rfloor \Rightarrow \frac{2^{10}}{2^5}$$

Dense

$\Rightarrow 32$ Index entries
Per Block

$$\rightarrow \text{Total \# Index entries} = 100,000 \text{ (\#DB Records)}$$

$$\text{Total \# of Index Blocks} = \left\lceil \frac{100,000}{32} \right\rceil = 3125 \text{ Index Block.}$$

Q.6



The order of a node in B tree is the maximum number of block pointers it can hold. Given that the block size is 2K bytes, data record pointer is 8 bytes long, the search key is 9 bytes long and a block pointer is 5 bytes long. The best possible order of B tree node is 93 Ans [NAT]

Block size = 2048 B
Rp = 8 Byte
key = 9 B
Bp = 5 B

ORDER P: $P \times Bp + (P-1) \text{ keys} + (P-1)Rp \leq \text{Block size}$

$$\Rightarrow P \times 5 + (P-1)9 + (P-1)8 \leq 2048$$

$$5P + 9P - 9 + 8P - 8 \leq 2048$$

$$22P - 17 \leq 2048$$

$$22P \leq 2065$$

$$P = \left\lfloor \frac{2065}{22} \right\rfloor = 93 \text{ Ans}$$

Ans (93)

Q.7



The order of a leaf node (P) in a B⁺ tree is the maximum number of (value, data record pointer) pairs it can hold. Given that P=36, data record pointer is 8 bytes long, the search field is 6 bytes long and a block pointer is 8 bytes long. The permissible block size is 512 Byte.

[NAT]

P=36
Rp=8
key=6
Bp=8 Byte

B⁺ Tree

$$P \times (\text{key} + R_p) + 1 \times B_p \leq \text{Block size}$$

$$36 \times (6 + 8) + 1 \times 8$$

$$36 \times 14 + 8$$

$$512 \text{ Byte}$$

Ans (512 Byte)

Q.8

[NAT]



(Assume that the level of root node is 1)

The order of different nodes in B⁺ tree/B tree are given as-
2 to P block pointers in root node.

$\left\lceil \frac{P}{2} \right\rceil$ to P block pointers in internal node.

$\left\lceil \frac{P}{2} \right\rceil - 1$ to (P-1) keys in leaf node.

Let a and b be

The minimum number of keys in
B tree and B⁺ tree node of order

P = 5 and level = 5. The value of (a + b) is

$$a = 161$$

$$b = 108$$

$$a + b = 161 + 108$$

$$\Rightarrow 269$$

Ans (269).

Height/Level	min #Node	min #Bp	min #Keys
0/1	1	2	1
1/2	2	$2 \lceil \frac{P}{2} \rceil$	$2(\lceil \frac{P}{2} \rceil - 1)$
2/3	$2 \lceil \frac{P}{2} \rceil$	$2 \lceil \frac{P}{2} \rceil^2$	$2 \lceil \frac{P}{2} \rceil (\lceil \frac{P}{2} \rceil - 1)$
3/4	$2 \lceil \frac{P}{2} \rceil^2$	$2 \lceil \frac{P}{2} \rceil^3$	$2 \lceil \frac{P}{2} \rceil^2 (\lceil \frac{P}{2} \rceil - 1)$
4/5	$2 \lceil \frac{P}{2} \rceil^3$	$2 \lceil \frac{P}{2} \rceil^4$	$2 \lceil \frac{P}{2} \rceil^3 (\lceil \frac{P}{2} \rceil - 1)$



$\lceil \frac{P}{2} \rceil - 1$

$\Rightarrow 3 - 1$

$= 2$

1 = 1 $\lceil \frac{P}{2} \rceil = 3$

$2 \times 2 = 4$

$2 \times 3 \times 2 = 12$ $\lceil \frac{P}{2} \rceil - 1 \Rightarrow 2$

$2 \times (3 \times 3) \times 2 = 36$

$2 \times (3 \times 3 \times 3) \times 2 = 108$

161

$a = 161$

$b = 108$

In B⁺ Tree all keys are available in (last (even) Leaf Node

Q.9

[NAT]



(Assume that the level of root node is 1)

The order of different nodes in B⁺ tree/B tree are given as-
2 to P block pointers in root node.

$\left\lceil \frac{P}{2} \right\rceil$ to P block pointer is internal node.

$\left\lceil \frac{P}{2} \right\rceil - 1$ to (P-1) keys in leaf node.

Let a and b be the maximum number of keys in B tree and B⁺ tree node of order P = 5 and level = 5. The value of (a + b) is .

Ans (5624)

$$a = 3124$$

$$b = \frac{2500}{5624}$$

Height (Level)	max #Node	max #Bp	max #keys	
0/1	1	p	$(p-1)$	$4 = 4$
1/2	p	p^2	$p(p-1)$	$5 \times 4 = 20$
2/3	p^2	p^3	$p^2(p-1)$	$5 \times 5 \times 4 = 100$
3/4	p^3	p^4	$p^3(p-1)$	$5 \times 5 \times 5 \times 4 = 500$
4/5	p^4	p^5	$p^4(p-1)$	$5 \times 5 \times 5 \times 5 \times 4 = 2500$
				<u>3124</u>

Btree $a = 3124$

B+tree $b = 2500$ all keys available at leaf (last level).

ORDER = $(5 = p)$
 $(p-1) = 4$

Q.10



Consider the keys (1-5000) are going to be inserted into a B⁺ tree. Assume, all the order are available before insertion. The order P for B⁺ tree node is defined as- **[NAT]**

2 to P pointer for root

$\left\lceil \frac{P}{2} \right\rceil$ to P pointer for another node.

The maximum possible levels in a B⁺ tree index for $P = 9$ is 6 Ans

(Assume that level of the root node is 1)

Ans (6)

Maximum possible level, if we have min #key in Node =

$$\frac{5000}{4} = 1250 \text{ Node}$$

$$P=9$$

$$\text{minimum \# keys} = \left\lceil \frac{P}{2} \right\rceil - 1 = \left\lceil \frac{9}{2} \right\rceil - 1 = 5 - 1 = 4$$

$$\left\lceil \frac{2}{5} \right\rceil = 1 \text{ Block}$$

$$\left\lceil \frac{10}{5} \right\rceil = 2 \text{ Node}$$

$$\left\lceil \frac{50}{5} \right\rceil = 10 \text{ Node}$$

$$\left\lceil \frac{250}{5} \right\rceil = 50 \text{ Node}$$

$$\left\lceil \frac{1250}{5} \right\rceil = 250 \text{ Node}$$

$$\text{Min \# BP} = \left\lceil \frac{P}{2} \right\rceil = \left\lceil \frac{9}{2} \right\rceil = 5$$



Q.11

Consider the following statements:

[MCQ]



\checkmark S_1 : In a B+ tree, data pointers are stored only at the leaf nodes of the tree.

\checkmark S_2 : In a B+ tree, the leaf node has an entry for every value of the search field, along with the data pointer to the record.

Choose the correct statements.

Internal Node = $P \times B_p + (P-1) \times k_{ey} \leq B.S$

Leaf $\Rightarrow P \times (k_{ey} + R_p) + L B_p \leq B.S.$

Ans (C).

A.

Only S_1 is true

B.

Only S_2 is true

\checkmark C.

Both S_1 and S_2 are true

D.

Neither S_1 nor S_2 is true

$\frac{I.N}{P \times B_p + (P-1)k_{ey}}$

Leaf
 $(k + R_p)$ $\frac{L B_p}{P}$

Q.12



Consider the keys (1– 5000) are going to be inserted into a B⁺ tree. Assume, all the order are available before insertion. The order P for B⁺ tree node is defined as-

[NAT]

2 to P pointer for root

$\left\lceil \frac{P}{2} \right\rceil$ to P pointer for another node.

The minimum possible levels in a B⁺ tree index for P = 9 is 4.

(Assume that level of the root node is 1)

Ans(4)

Minimum #levels \Rightarrow Maximum Number of keys = 8

$$\text{ORDER} = P \quad \text{max keys} = (P-1)$$

$$\text{ORDER} = 9 \quad \text{max key} = 8$$

$$\& \text{ Maximum } B_p = P = \underline{\underline{9}}$$

$$\text{Total \# Block Read} = \frac{5000}{8} = \underline{\underline{625 \text{ Node}}}$$

$$\begin{aligned} \left\lceil \frac{8}{9} \right\rceil &= 1 \\ \left\lceil \frac{70}{9} \right\rceil &= 8 \\ \left\lceil \frac{625}{9} \right\rceil &= 70 \end{aligned}$$

4 Level Required.



