## **Syntax**

- Bool  $\in T$ ,  $i_{Bool} = 2$
- $\bullet \ \, {\tt True} \equiv D_1^{\tt Bool}, {\tt False} \equiv D_2^{\tt Bool}$
- $ty(True) = \{v: Bool \mid v \Leftrightarrow true\}, \text{ and } ty(False) = \{v: Bool \mid v \Leftrightarrow false\}$
- if e then  $e_1$  else  $e_2 \doteq \mathrm{case}_{\mathtt{Bool}} \ e \ x$  of  $\{\mathtt{True} \Rightarrow e_1; \mathtt{False} \Rightarrow e_2\}$

## **Erasing**

$$\lfloor \{v : b \mid e\} \rfloor = b$$
 
$$\lfloor x : \tau_x \to \tau \rfloor = \lfloor \tau_x \rfloor \to \lfloor \tau \rfloor$$

### Substitutions

$$(\{v:b \mid e\}) [e_y/y] = \{v:b \mid e [e_y/y]\}$$

$$(x:\tau_x \to \tau) [e_y/y] = x:(\tau_x [e_y/y]) \to (\tau [e_y/y])$$

# Interpretations

**Definition 1.** Let  $Fin_i$  (\*) and  $Valid_i$  (\*) be predicates on expressions such that

- 1. For  $\emptyset \vdash e : \{v:b \mid e_r\} \ (\forall i.Fin_i \ (e) \Rightarrow Valid_i \ (e_r))$  is a "meaningful" soundness predicate.
- 2. For any  $x, e, e_r, \theta$ , if  $e \hookrightarrow e'$  then  $\forall i. Valid_i (\theta e_r [e'/x]) \Rightarrow Valid_i (\theta e_r [e/x])$  and  $\forall i. Valid_i (\theta e_r [e/x]) \Rightarrow Valid_i (\theta e_r [e'/x])$ .

3. For any  $e_1, e_2$ ,

$$Valid_i(e_1) \wedge Valid_i(e_2) \Rightarrow Valid_i(e_1 \wedge e_2)$$

4.

$$Valid_i$$
 (true)

$$\begin{split} [|\left\{v : b' \mid e_v\right\}|] &= \left\{e \mid \quad \vdash e : b \land (\forall i. \operatorname{Fin}_i \ (e) \Rightarrow \operatorname{Valid}_i \ (e_v \ [e/v]))\right\} \\ [|\left\{v : T \mid e_T\right\}|] &= \left\{e \mid \quad \vdash e : b \land (\forall i. \operatorname{Fin}_i \ (e) \Rightarrow \operatorname{Valid}_i \ (e_T \ [e/v]))\right\} \\ &\cap \left\{e \mid \quad \forall (1 \leq i \leq i_T) \right\} D_i^T \in [|\overline{x} : \overline{\tau}_{D_i^T} \rightarrow \left\{v : T \mid e_T'\right\}|] \\ &\wedge \theta = [e_{y_i}/x] \land \forall e_{y_i} \in [|\theta \ t_{D_i^T}|] \\ &e \in [|\left\{v : T \mid \theta e_T'\right\}|] \Rightarrow e_i \ [e/x] \ [e_{y_i}/y_i] \in [|\tau|]\} \\ &\Rightarrow \operatorname{case}_T e \ x \ \text{of} \ D_i^T \ \overline{y_i} \rightarrow e_i \in [|\tau|]\} \\ [|x : \tau_x \rightarrow \tau|] &= \left\{e \mid \quad \vdash e : \lfloor \tau_x \rfloor \rightarrow \lfloor \tau \rfloor \land \forall e_x \in [|\tau_x|]. \ e \ e_x \in [|\tau \ [e_x/x]|]\} \end{split}$$

## **Typing**

$$\Gamma \vdash e : \tau$$

$$\frac{\Gamma \vdash e : \{v : b \mid e'\}}{\Gamma \vdash e : \{v : b \mid v =_{b} e\}} \quad \text{T-Ex}$$

$$\frac{(x, \{v : b \mid e\}) \in \Gamma}{\Gamma \vdash x : \{v : b \mid v =_{b} x\}} \quad \text{T-Var-Base} \qquad \frac{(x, \tau) \in \Gamma}{\Gamma \vdash x : \tau} \quad \text{T-Var}$$

$$\frac{\Gamma \vdash e : \tau}{\Gamma \vdash w : \text{ty}(w)} \quad \text{T-Const} \qquad \frac{\Gamma \vdash e : \tau' \quad \Gamma \vdash \tau' \preceq \tau \quad \Gamma \vdash \tau}{\Gamma \vdash e : \tau} \quad \text{T-Sub}$$

$$\frac{\Gamma, x : \tau_x \vdash e : \tau \quad \Gamma \vdash \tau_x}{\Gamma \vdash \lambda x . e : (x : \tau_x \to \tau)} \quad \text{T-Fun} \qquad \frac{\Gamma \vdash e_1 : (x : \tau_x \to \tau) \quad \Gamma \vdash e_2 : \tau_x}{\Gamma \vdash e_1 e_2 : \tau [e_2/x]} \quad \text{T-App}$$

$$\frac{\Gamma \vdash e : \{v : T \mid e_T\}}{\Gamma \vdash \lambda x . e : (x : \tau_x \to \tau)} \quad \text{T-Fun} \qquad \frac{\Gamma \vdash e_1 : (x : \tau_x \to \tau) \quad \Gamma \vdash e_2 : \tau_x}{\Gamma \vdash e_1 e_2 : \tau [e_2/x]} \quad \text{T-App}$$

$$\frac{\Gamma \vdash e : \{v : T \mid e_T\}}{\Gamma \vdash \lambda x . e : (x : \tau_x \to \tau)} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 : \tau [e_2/x]} \quad \text{T-App}$$

$$\frac{\Gamma \vdash \tau}{\Gamma \vdash \lambda x . e : (x : \tau_x \to \tau)} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash \lambda x . e : (\tau \vdash \tau_x)} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash \tau}$$

$$\frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \text{T-Case}$$

$$\frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2} \quad \frac{\Gamma \vdash \tau_x}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \text{T-Fun}$$

$$\frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash x . e_1 e_2 \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash \tau} \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash \tau}$$

$$\frac{\vdash \Gamma \qquad \Gamma \vdash \tau}{\vdash x : \tau, \Gamma} \qquad \frac{}{\vdash \emptyset}$$

$$\Gamma \vdash \theta$$

$$\frac{\forall x \in \text{Dom}(\Gamma) \cdot \theta(x) \in [|\theta \ \Gamma(x)|]}{\Gamma \vdash \theta}$$

### Constants and Data Constructors

**Definition 2.** For each constant or data constructor w

- 1.  $\emptyset \vdash w : ty(w) \text{ and } \vdash ty(w)$
- 2. If  $ty(w) = x:\tau_x \to \tau$ , then for each v such that  $\emptyset \vdash v:\tau_x \ [|w|](v)$  is defined and  $\vdash [|w|](v):\tau \ [v/x]$

Also, for all  $e \in [|\tau_x|]$ , we have  $w \in [|\tau[e/x]|]$ 

3. If  $ty(w) = \{v:b \mid e\}$ , then  $(\forall i.Fin_i \ (w) \Rightarrow Valid_i \ (e [w/v]))$  and  $\forall w' \ w' \neq w.\neg((\forall i.Fin_i \ (w) \Rightarrow Valid_i \ (e [w'/v])))$ 

Moreover, for any base type b,  $=_b$  is a constant and

• For any expression e we have

$$\forall i. Valid_i \ (e =_b e)$$

• For any base type b

$$ty(=_b) \equiv x:b \rightarrow y:b \rightarrow bool$$

For each T there are exactly  $i_T$  constants with result type  $\{v:T \mid e_T\}$ , namely  $D_i^T$ ,  $\forall 1 \leq i \leq i_T$ .

# Semantic Typing

$$\begin{split} \Gamma \vdash e \in \tau &\doteq \forall \theta. \Gamma \vdash \theta \Rightarrow \theta \ e \in [|\theta \ \tau|] \\ \Gamma \vdash \tau_1 &\subseteq \tau_2 \doteq \forall \theta. \Gamma \vdash \theta \Rightarrow [|\theta \ \tau_1|] \subseteq [|\theta \ \tau_2|] \end{split}$$

Lemma 1. .

- 1. If  $\Gamma \vdash \tau_1 \leq \tau_2$  then  $\Gamma \vdash \tau_1 \subseteq \tau_2$
- 2. If  $\Gamma \vdash e : \tau$  then  $\Gamma \vdash e \in \tau$

*Proof.* 1. Assume  $\Gamma \vdash \tau_1 \leq \tau_2$  We will prove it by induction on the derivation tree:

#### $\bullet$ $\preceq$ -BASE. We have

$$\Gamma \vdash \{v:b \mid e_1\} \preceq \{v:b \mid e_2\}$$

By inversion we get

$$\Gamma, v:b \vdash e_1 \Rightarrow e_2$$

By inversion of  $\Rightarrow$ -BASE we have

$$\forall \theta.\Gamma, v:b \vdash \theta \land \forall i. Valid_i \ (\theta \ e_1) \Rightarrow Valid_i \ (\theta \ e_2)(1)$$

We want to prove

$$\Gamma \vdash \{v:b \mid e_1\} \subseteq \{v:b \mid e_2\}$$

Equivalently

$$\forall \theta.\Gamma \vdash \theta \Rightarrow [|\theta \ \{v \hbox{:} b \mid e_1\}\,|] \subseteq [|\theta \ \{v \hbox{:} b \mid e_2\}\,|]$$

Equivalently

$$\forall \theta.\Gamma \vdash \theta$$

$$\Rightarrow \{e \mid \vdash e : b \land (\forall i.\text{Fin}_i \ (e) \Rightarrow \text{Valid}_i \ (\theta \ e_1 \ [e/v]))\}$$

$$\subseteq \{e \mid \vdash e : b \land (\forall i.\text{Fin}_i \ (e) \Rightarrow \text{Valid}_i \ (\theta \ e_2 \ [e/v]))\}$$

Since  $e \in [|b|]$ , we have  $\Gamma, v:b \vdash \theta, [e/v]$ . So, from (1) for  $\theta := \theta, [e/v]$  we have

$$\forall i. \text{Valid}_i \ (\theta \ e_1 \ [e/v]) \Rightarrow \text{Valid}_i \ (\theta \ e_2 \ [e/v])$$

• <u>≺</u>-Fun Assume

$$\Gamma \vdash x:\tau_x \to \tau \preceq x:\tau_x' \to \tau'$$

By inversion we have

$$\Gamma \vdash \tau'_x \preceq \tau_x \qquad \Gamma, x : \tau'_x \vdash \tau \preceq \tau'$$

By IH

$$\Gamma \vdash \tau'_x \subseteq \tau_x(1)$$
  $\Gamma, x:\tau'_x \vdash \tau \subseteq \tau'(2)$ 

We want to show that

$$\Gamma \vdash x:\tau_x \to \tau \subseteq x:\tau_x' \to \tau'$$

Equivalently

$$\forall \theta.\Gamma \vdash \theta \Rightarrow [|\theta \ (x:\tau_x \to \tau)|] \subseteq [|\theta \ (x:\tau_x' \to \tau')|]$$

Equivalently

$$\begin{split} \forall \theta. \Gamma \vdash \theta \\ &\Rightarrow \{e \mid \vdash e : \lfloor \tau_x \rfloor \rightarrow \lfloor \tau \rfloor \land \forall e_x \in [|\tau_x|]. \ e \ e_x \in [|\tau \left[e_x/x\right]|]\} \\ &\subseteq \{e \mid \vdash e : \lfloor \tau_x' \rfloor \rightarrow \lfloor \tau' \rfloor \land \forall e_x \in [|\tau_x'|]. \ e \ e_x \in [|\tau' \left[e_x/x\right]|]\} \end{split}$$

The above holds, as for any  $e, e_x$  if  $e_x \in [|\tau'|]$  then by (1)  $e_x \in [|\tau|]$ . Also, by (2) if  $e e_x \in [|\tau[e_x/x]|]$  then  $e e_x \in [|\tau'[e_x/x]|]$ .

- 2. Assume  $\Gamma \vdash e : \tau$ . We will prove it by induction on the derivation tree.
  - T-Ex Assume

$$\Gamma \vdash e : \tau$$

where  $\tau \equiv \{v:b \mid v =_b e\}$ . By inversion we have

$$\Gamma \vdash e : \{v:b \mid e'\}$$

We need to show that

$$\forall \theta.\Gamma \vdash \theta \Rightarrow \theta \ e \in [|\theta \ \tau|]$$

Which holds, as by definition of  $=_b \forall i. \text{Valid}_i \ ((v =_b \theta \ e) \ [\theta \ e/v])$ 

• T-Var Assume

$$\Gamma \vdash e : \tau$$

where  $e \equiv x$  By inversion we have

$$(x,\tau)\in\Gamma$$

We need to show that

$$\forall \theta.\Gamma \vdash \theta \Rightarrow \theta \ x \in [|\theta \ \tau|]$$

Which holds by the definition of well-formed substitutions

• T-VAR-BASE Assume

$$\Gamma \vdash e : \tau$$

where  $e \equiv x$  and  $\tau \equiv \{v:b \mid v =_b x\}$ . By inversion

$$(x, \{v:b \mid e_r\}) \in \Gamma$$

We need to show that

$$\forall \theta.\Gamma \vdash \theta \Rightarrow \theta \ x \in [|\theta \ \tau|]$$

Equivalently that

$$\forall e.e \in \left[ \left| \left. \left\{ v.b \mid e_r \right\} \right| \right] \Rightarrow e \in \left[ \left| \left. \left\{ v.b \mid v =_b e \right\} \right| \right] \right.$$

which holds, as by the definition of  $=_b$ 

$$\forall i. \text{Valid}_i \ (e =_b e)$$

• T-Const. Assume

$$\Gamma \vdash e : \tau$$

where  $e \equiv w$  and  $\tau \equiv \operatorname{ty}(c)$ . Then  $\Gamma \vdash e \in \tau$  holds by Definition 2.

• T-Case It follows from the definition of  $[|\{v:T\mid e\}|]$  using that

$$Valid_i(e_T) \wedge Valid_i(thetae'_T) \Rightarrow Valid_i(e_T \wedge \theta e'_T)$$

to prove that  $e \in \{v:T \mid e_T \land thetae'_T\}$ 

#### • T-Sub Assume

$$\Gamma \vdash e : \tau$$

By inversion

$$\Gamma \vdash e : \tau'(1)$$
  $\Gamma \vdash \tau' \preceq \tau(2)$   $\Gamma \vdash \tau(3)$ 

By IH on (1) we have

$$\Gamma \vdash e \in \tau'$$
 (4)

By 1 on (2) we have

$$\Gamma \vdash \tau' \subseteq \tau$$
 (5)

By (4) and (5) we get

$$\Gamma \vdash e \in \tau$$

#### • T-Fun Assume

$$\Gamma \vdash e : \tau$$

where  $e \equiv \lambda x.e'$  and  $\tau \equiv x:\tau_x' \to \tau'$ . By inversion we get

$$\Gamma, x:\tau'_x \vdash e':\tau'$$
 (1)  $\Gamma \vdash \tau'_x$  (2)

By IH on (1) we have

$$\Gamma, x: \tau'_x \vdash e' \in \tau'$$
 (3)

Equivalently

$$\forall \theta. (\Gamma, x : \tau'_x) \vdash (\theta [e_x/x]) \Rightarrow (\theta [e_x/x]) e' \in [|(\theta [e_x/x]) \tau'|]$$

Or

$$\forall \theta.\Gamma \vdash \theta \Rightarrow \forall e_x.e_x \in [|\tau_x'|] \Rightarrow \theta \ e \ e_x \in [|\theta \ (\tau' [e_x/x])|]$$

Moreover,  $\vdash_B e : \lfloor \tau'_x \rfloor \to \lfloor \tau \rfloor$  and  $Valid_i$  (true). So,

$$\forall \theta.\Gamma \vdash \theta \ \theta \ e \in [|\theta \ \tau|]$$

Or,

$$\Gamma \vdash e \in \tau$$

#### • T-App Assume

$$\Gamma \vdash e : \tau$$

where  $e \equiv e_1 \ e_2$  and  $\tau \equiv \tau' [e_2/x]$ . By inversion:

$$\Gamma \vdash e_1 : (x:\tau_x' \to \tau') \ (1) \qquad \Gamma \vdash e_2 : \tau_x' \ (2)$$

By IH we get

$$\Gamma \vdash e_1 \in (x:\tau'_x \to \tau')$$
 (3)  $\Gamma \vdash e_2 \in \tau'_x$  (4)

So

$$\forall \theta.\Gamma \vdash \theta \Rightarrow \forall e_x \in [|\theta \ \tau_x'|] \Rightarrow (\theta e_1) \ e_x \in [|\theta \ \tau' [e_x/x]|] \ (5)$$

and

$$\forall \theta.\Gamma \vdash \theta \Rightarrow \theta \ e_2 \in [|\theta \ \tau_x'|] \ (6)$$

From (5) and (6), we get

$$\forall \theta.\Gamma \vdash \theta \Rightarrow \theta \ e \in [|\theta \ \tau|]$$

Or

$$\Gamma \vdash e \in \tau$$

**Lemma 2** (Substitution). If  $\Gamma \vdash e_x \in \tau_x$  and  $\vdash \Gamma, x:\tau_x, \Gamma'$ , then

1. If 
$$\Gamma, x:\tau_x, \Gamma' \vdash \tau_1 \leq \tau_2$$
 then  $\Gamma, [e_x/x] \Gamma' \vdash [e_x/x] \tau_1 \leq [e_x/x] \tau_2$ 

2. If 
$$\Gamma, x:\tau_x, \Gamma' \vdash e : \tau$$
 then  $\Gamma, [e_x/x] \Gamma' \vdash [e_x/x] e : [e_x/x] \tau$ 

3. If 
$$\Gamma, x:\tau_x, \Gamma' \vdash \tau$$
 then  $\Gamma, [e_x/x] \Gamma' \vdash [e_x/x] \tau$ 

**Lemma 3.** If  $\Gamma \vdash e : \tau$  then  $\lfloor \Gamma \rfloor \vdash_B e : \lfloor \tau \rfloor$ .

**Lemma 4.** If  $\vdash \Gamma$  and  $\Gamma \vdash e : \tau$  then  $\Gamma \vdash \tau$ .

proved

## **Operational Semantic**

### Soundness

**Lemma 5.** If  $e \hookrightarrow e'$  then  $\Gamma \vdash \tau [e'/x] \preceq \tau [e/x]$ .

proved

**Lemma 6** (Preservation). If  $\emptyset \vdash e : \tau$  and  $e \hookrightarrow e'$  then  $\emptyset \vdash e' : \tau$ .

proved

**Lemma 7** (Progress). If  $\emptyset \vdash e : \tau$  and  $e \neq v$  then there exists an e' such that  $e \hookrightarrow e'$ .

proved

# Interpretations

$$\operatorname{Fin} (e) \doteq \exists v.e \hookrightarrow^{\star} v$$
 
$$\operatorname{Valid}(e) \Leftrightarrow e \hookrightarrow^{\star} v \Rightarrow e \hookrightarrow^{\star} \operatorname{true}$$

$$[|x|] = x$$
  $[|\lambda x.e|] = f$   $[|c|] = c$   $[|e_1 \ e_2|] = [|e_1|]([|e_2|])$ 

Claim 1.

$$\left\{ \bigwedge_{(x,\{b:v|e\})\in\Gamma} (Fin\ (x)\Rightarrow [|e\ [x/v]\ |])\Rightarrow [|e_1|]\Rightarrow [|e_2|] \right\} \Rightarrow \{\Gamma\vdash e_1\Rightarrow e_2\}$$