Gradual Liquid Types

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Abstract

We present gradual liquid types, an extension to refinement types with gradual refinements that range over a finite (predefined) set of predicates. This range restriction allows for an algorithmic inference procedure where all (finite) concretizations of the gradual refinements are exhaustively checked. Due to exhaustive searching we can detect the *safe concretizations*, *i.e.* the concrete refinements that caused the program to type check. We observe, for first time, that gradual liquid types can be used for (non-gradual) liquid type error explanation, since the safe concretizations contain all the potential inconsistencies that lead to type errors. We implemented gradual liquid types in Guilt, a tool that interactively presents all the safe concretizations to the user and we used it for Haskell to Liquid Haskell, user-aided migration of three commonly used list manipulation libraries.

1 Introduction

Refinement types [Freeman and Pfenning 1991] allow for lightweight program verification by decorating existing program types with logical predicates. For instance, the type { $x:Int \mid x \neq 0$ } describes non-zero values and can be used to validate at compile time the absence of division-by-zero runtime errors. Liquid types restrict refinements to decidable theories to achieve efficient type inference. The type { $x:Int \mid k$ } now describes integer values refined with an unknown predicate k, which will be solved based on the uses of x to a concrete refinement drawn from a finite domain of template refinements.

The attractiveness of liquid typing is usability. Verification only requires specification of top level functions, while all the intermediate types are automatically inferred and checked. Thus, in theory, users could be ignorant of the internal, liquid typing verification procedure. In practice, ignorance of the verification internals makes it impossible for the user to understand and fix potential type errors. Liquid types, as most type inference engines, suffer from terrible error messages [Zhang et al. 2015]. The system should (potentially erroneously) decide whether to blame the function definition or the client on wrong function applications and error reporting inevitably exposes the internals of the sophisticated verification procedure.

On a seemingly unrelated work, Lehmann and Tanter [2017] combined gradual and refinement types. The gradual refinement type { x:Int | ? } describes integer values for each occurence of which there *exists* a concrete refinement

that causes the program to type check. Thus, type checking involves solving existentials over refinements (*i.e.* second order logic), rendering the implementation of a practical gradual refinement type system challenging.

In this paper we present Gradual Liquid Types, a restriction of Lehmann and Tanter [2017]'s gradual refinement types system where the gradual refinement? ranges only over the finite domain of the liquid refinement templates. This (strong in theory but realistic in practice) restriction allows for an algorithmic implementation of gradual refinement types: we exhaustively search for safe concretizations (SCs), i.e. the concrete refinements that can replace each occurence of each gradual refinement to make the program type check. NV: New from abstract: not there in theory and overview. More importantly, we observe that the SCs can be used to explain liquid type errors. When liquid type checking of a function fails, the user may insert? on function preconditions and use the generated SCs to (locally) understand exactly what conditions should be met at each occurrence of each argument refined with ? (§ 2). The idea of using gradual typing for error explanation is novel and, since it is agnostic of the refinement typing framework, we believe it just generalizes to standard typing.

Our first contribution is to formalize the semantics and algorithmic inference of gradual liquid types and prove that it is correct and it satisfies the gradual criteria (§ 4).

Our second contribution is to implement gradual liquid types in Guilt, an extension to Liquid Haskell that supports gradual typing. Guilt takes as input a Haskell program annotated with gradual refinement types and generates an .html user interface that presents for each user specified? in the program, all the SCs (i.e. for each occurence of the? all the concrete predicates that cause the program to type check). The user can explore all suggested predicates and decide which one to replace each? with, so that their program refinement type checks (§ 5).

Our third contribution is to use GuilT for user-aided migration of three existing Haskell libraries (1260 LoC) from Haskell to Liquid Haskell. This experiment supports our claim that gradual liquid types allows for an efficient implementation (??s required for 228 functions) interactively used for error explanation (§ 6).

2 Overview

We start with an overview of gradual liquid types: the intersection of gradual refinement types (§ 2.4) with liquid types (§ 2.2), *i.e.* a decidable fragment of refinement types (§ 2.1).

2.1 Refinement Types

To prevent runtime divizion-by-zero, we refine the type of division to only accept positive integers.

(/) :: Int \rightarrow {x:Int | 0 < x} \rightarrow Int Next we define a function isPos x that checks positivity of x and use it to define the function divIf that either divides 1 with its argument x, if it is positive or with 1-x.

```
isPos :: x:Int -> \{b:Bool \mid b \Leftrightarrow 0 < x\}
isPos x = 0 < x
```

```
divIf :: Int -> Int
```

```
divIf x = if isPos x then 1/x else 1/(1-x)
```

The definition of divIf type checks, *i.e.* there are no violations of the precondition of (/). For type checking, the system performs three verification steps. (1). First, it uses the code and the specifications to generate refinement subtyping constraints. (2). Then, it reduces the subtyping constrains to logical implications, *i.e.* verification conditions (VC), that if valid, then the subtyping constrains hold. (3). Finally, using an SMT solver, it automatically checks the validity of the VCs.

Step 1: Constraint Generation In the first step, two subtyping constraints are generated, one for each call to (/). Both constraints check that under the environment that contains the argument x and the boolean branching guard b the second argument to (/) (i.e. v = x and v = 1-x, resp.) is safe (i.e. respects the precondition 0 < v).

In both constraints the branching guard b is refined with the result refinement of isPos (*i.e.* (b \Leftrightarrow 0 < x)). Also, the guard is strengthened to be true (*i.e.* b) or false (*i.e.* not b) in the constraints generated for the **then** or **else** branch, *resp.*.

Step 2: Verification Conditions In the second step, each constraint is reduced to a logical verification condition (VC), that intuitively checks that assuming all the refinements in the environments, the refinement of the left hand side implies the one in the right hand side. For instance, the two constraints above reduce to the following VCs.

```
true \land b \Leftrightarrow 0 < x \land b \Rightarrow v = x \Rightarrow 0 < v
true \land b \Leftrightarrow 0 < x \land not b \Rightarrow v = 1-x \Rightarrow 0 < v
```

Step 3: Implication Checking In the final verification step, an SMT is used to check the validity of the generated VC, and thus decide the safety of the source program. Here, the SMT decides that both VCs are valid, thus divIf type checks.

These three verification steps follow the literature [Knowles and Flanagan 2010; Vazou et al. 2014]. which, for completeness, is summarized in § 3.1 of this paper.

2.2 Liquid Types

Safety of divIf crucially relies on the guard predicate, as propagated by the refinement type of isPos. But could divIf type check, if the guard function isPos was an imported, unrefined function?

Let's now use liquid typing to infer a type for divIf. Before verification, liquid types are using refinement type variables, here k_x and k_o , for the unknown refinements of the input and the output types, *resp.*.

```
import isPos :: Int -> Bool
```

```
divIf :: x:{ Int | k_x } -> {o:Int | k_o }
divIf x = if isPos x then 1/x else 1/(1-x)
```

Once the unknowns are named, the inference procedure of liquid typing attempts to find a solution for the liquid variables (here k_x and k_o) so that the initial program type checks. Inference proceeds in two steps. First, exactly as in § 2.1 the proper subtyping constraints are generated. Second, the liquid variables k appearing in the constraints are solved, so that all the constraints are satisfied.

Step 1: Constraint Generation Exactly as in § 2.1, the first step of inference combines the refinement type specifications with the source code to generate subtying constraints, that now contain liquid refinement variables. Inference of divIf generates the below constraints.

```
x:\{k_x\}, b:\{b\} \vdash \{v \mid v=x \} \le \{v \mid 0 \le v \}
 x:\{k_x\}, b:\{not b\} \vdash \{v \mid v=1-x \} \le \{v \mid 0 \le v \}
 For space, we write \{v \mid p\} to denote \{v:t \mid p\} for some type t and we further omit the refinement bindings from the environment, simplifying x:\{x \mid p\} to x:\{p\}.
```

Step 2: Constraint Solving In the second step, inference solves the liquid refinement variables k so that the constraints are satisfied. The solving procedure takes as input a finite set of refinement templates \mathbb{Q}^* abstracted over program variables. For example, the below template set \mathbb{Q}^* describes ordering predicates, with \star ranging over program variables.

```
\mathbb{Q}^{\star} = \{0 < \star, 0 \leq \star, \star < 0, \star \leq 0, \star < \star, \star \leq \star\}
```

Next, for each liquid variable, the set \mathbb{Q}^* is instantiated with all the program variables in scope, to generate well sorted predicates. Instantiation of the above \mathbb{Q}^* for the liquid variables k_x and k_o leads to the following concrete predicate candidates.

```
\mathbb{Q}^{x} = \{ 0 < x, 0 \le x, x < 0, x \le 0 \}
\mathbb{Q}^{o} = \{ 0 < o, 0 \le o, o < 0, o \le 0, o < x, x < o, \dots \}
```

Finally, inference iteratively computes the strongest solution for each liquid variable that satisfies the constraints. It starts from an initial solution that maps each variable to the logical conjustion of all the instatiated templates

$$A = \{k_x \mapsto \bigwedge \mathbb{Q}^x, k_o \mapsto \bigwedge \mathbb{Q}^o\}$$

Repeatedly it filters out predicates of the solution until all constraints are satisfied. In our example, the initial solution solves both liquid variables to false (since the contradictory predicates 0 < x and x < 0 are part of the initial solution). Thus, both constraints are valid, and inference returns with the valid solution $k_x \mapsto false$, $k_o \mapsto false$, or

divIf :: x:{ Int | false } -> {o:Int | false } In § 3.2 we summarize the formal background of liquid type inference required for this paper, where we simplify the procedure to reason about instatiated set of templates (i.e. $\mathbb{Q} = \bigcup_{k^x \text{ liquid variable }} \mathbb{Q}^x$).

For now, we observe that the inferred (false precondition) type for divIf, though correct, is not intuitive, in that a user would rarely write such a type. So, to understand the inferred type, the user needs to know the internals of the automated inference procedure. Worse, the inferred type of divIf depends on its clients. For instance, type inference in the presence of the "positive client" divIf 1 would establish a positive precondition (i.e. 0 < x) for divIf producing a type error at the definition of divIf (and not at the client). Thus, liquid inference needs to (potentially erroneously) decide what party to blame in case of definition and client incompatibility. These challenges are not unique in liquid typing, but rather frequently appear in type inference engines [Wand 1986]. Next, for first time, we address error reporting challenges by embarking gradual types.

2.3 Gradual Refinement Types

Here, we use a gradual, \grave{a} la Lehmann and Tanter [2017], precondition to the type of divIf. The precondition is written as x:{Int | ?} and denotes that the program type checks iff for each occurrence of the argument x, there exist a concrete refinement (called safe concretization SC) for which the (non-gradual) program type checks.

```
import isPos :: x:Int -> Bool
```

```
divIf :: x:{ Int | ? } -> Int
divIf x = if isPos x then 1/x else 1/(1-x)
```

Type checking of divIf follows the three steps of standard refinement type checking, adjusted to accommodate the semantics of gradual refinements.

Step 1: Constraint Generation First, we generate the subtyping constraints derived from the definition of divIf that now contain gradual refinements.

```
x:\{?\}, b:\{b\} \vdash \{v \mid v=x \} \le \{v \mid 0 < v\}
x:\{?\}, b:\{not b\} \vdash \{v \mid v=1-x\} \le \{v \mid 0 < v\}
```

Step 2: Gradual Verification Conditions Each subtyping reduces to a VC, where each type x:{?} translates intuitively to an existential refinement (∃ p. p x). The solution of these existentials produces the SCs of the program. For soundness and satisfaction of the gradual criteria of Siek et al. [2015], as formalized in § 3.3, the existentials cannot range

over arbitrary refinements but need to satisfy certain conditions (namely locality and precision). Here we informally use $\exists^?$ p to denote existential over predicates that satisfy the required conditions and call VCs that contain such existentials Gradual Verification Conditions (GVC). For example, the GVCs for divIf are the following.

```
(\exists? p_{then}. p_{then} x) \land b \Rightarrow v=x \Rightarrow 0 < v (\exists? p_{else}. p_{else} x) \land not b \Rightarrow v=1-x \Rightarrow 0 < v
```

Step 3: Gradual Implication Checking Checking the validity of the generated GVCs is an open problem. In the divIf example, we can, by observation, find the SCs that render the GVCs valid.

```
p_{then} x \mapsto 0 < x p_{else} x \mapsto x \leq 0
```

More importantly, since these concretizations are logical predicates, we can present them to the user as the conditions under which divIf type checks.

Our goal is to find an algorithmic procedure that solves GVCs. Lehmann and Tanter [2017] describe how GVCs over linear arithmetic can be checked while Courcelle and Engelfriet [2012] describe a more general logical fragment (monadic second order logic) with an algorithmic decision procedure. Yet, in both cases we loose the certificate generation, and thus the opportunity to use such certificates for error explanation.

2.4 Gradual Liquid Types

To algorithmically solve GVCs exhaustively search for SCs in the finite predicate domain of liquid types. The gradual liquid type system performs the two inference steps of §2.2 but in between concretizes the constraints, by instantiating the gradual refinements with each possible liquid template.

Step 1: Constraint Generation Constraint generation is performed exactly like gradual refinement types, leading to the constraints of § 2.3 for the divIf example.

Step 2: Constraint Concretization Next, we exhaustively generate all the possible concretizations of the constraints. For example, the x: {?} in the constrains can be concretized with each predicate from the \mathbb{Q}^x set of § 2.2, giving $|\mathbb{Q}^x|^2 = 16$ concrete constrain sets, among which the following two.

```
1. Concretization for p_{then} \ x \mapsto 0 < x, \ p_{else} \ x \mapsto 0 < x: \ x: \{0 < x\}, \ b: \{b\} \qquad \vdash \{v \mid v = x \quad \} \le \{v \mid 0 < v\} \ x: \{0 < x\}, \ b: \{not \ b\} \vdash \{v \mid v = 1 - x\} \le \{v \mid 0 < v\} \ 2. Concretization for p_{then} \ x \mapsto 0 < x, \ p_{else} \ x \mapsto x \le 0: \ x: \{0 < x\}, \ b: \{b\} \qquad \vdash \{v \mid v = x \quad \} \le \{v \mid 0 < v\} \ x: \{x \le 0\}, \ b: \{not \ b\} \vdash \{v \mid v = 1 - x\} \le \{v \mid 0 < v\}
```

Step 3: Constraint Solving After concretization, we merely invoke the Constraint Solving Step (*i.e.* Step 2) of liquid typing to find out the valid ones. In our example, the constraint 1

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above is invalid while 2 is valid. Out of the 16 concrete constraints, only two are valid, with $p_{then} x \mapsto 0 < x$ and p_{else} $x \mapsto x \le 0$ or $p_{else} x \mapsto x < 0$. Thus, divIf type checks and also the inference provides to the user the SCs (concretizations of pthen and pelse) as an explanation of type checking.

In § 4 we formalize these three inference steps and prove the correctness and the gradual criteria of our algorithm.

Number of Generated Constraints For complete inference (i.e. if there exist a valid liquid concretization, then type inference finds it) it does not suffice to concretize each? with the elements of \mathbb{Q} . Instead we need to try all possible conjuctions of these elements, $2^{|\mathbb{Q}|}$ in number, leading to $(2^{|\mathbb{Q}|})^2 = 256$ concretizations in our example. In our implementation (§ 5), we sacrifice completeness and, by default, we merely concretize with singletons from Q, though the user could adjust the size of conjuctions that are considered.

As a crucial optimization, before concretization, we partition the constraint set based on its dependencies. In our example, the two constraints are independent (i.e. validity of each does not depend on the other), thus we independently concretize and solve them, leading to only $2x(2^{|\mathbb{Q}|}) = 32$ constrains for complete inference $(2x|\mathbb{Q}) = 8$ checked by default in the implementation).

Summary To sum up, gradual liquid types address two main problems of gradual refinement types. They allow for algoritmic solving of GVCs over arbitrary domains and also they co-exist with liquid type inference, thus the user does not have to specify the types for each intermediate expression. As an important side effect, type checking generates existential certificates (or SCs) for the valid conretizations which, as later discussed, can be used for error reporting (§ 5) and user-aided program migration (§ 6).

3 Liquid Types and Gradual Refinements

We briefly provide the technical background required to describe gradual liquid types. We start with the semantics and rules of a generic refinement type system (§ 3.1) which we then adjust to describe both liquid types (§ 3.2) and gradual refinement types (§ 3.3).

3.1 Refinement Types

Syntax Figure 1 presents the syntax of a standard functional language with refinement types, λ_R^e . The expressions of the language include constants, lambda terms, variables, function applications, conditionals, and let bindings. Note that the argument of a function application needs to be syntactically a variable, as must the condition of a conditional; this normalization is standard in refinement types as it simplifies the formalization [Rondon et al. 2008]. There are two let binding forms, one where the type of the bound variable is inferred, and one where it is explicitly declared.

```
Constants
                       c ::= \land | \neg | = | \dots
                                 true | false
                                 0, 1, -1, \ldots
                                 c \mid \lambda x.e
        Values
                           ::=
 Expressions
                                 v \mid x \mid ex
                                 if x then e else e
                                 let x = e in e
                                 let x:t = e in e
   Predicates
  Basic Types
                       b
                           ::=
                                 int | bool
         Types
                                 \{x:b \mid p\} \mid x:t \rightarrow t
Environment
                                 \cdot \mid \Gamma, x:t
Substitution
                                \cdot \mid \sigma, (x, e)
                           ::=
```

Figure 1. Syntax of λ_R^e .

 λ_R^e types include base refinements $\{x:b \mid p\}$ where b is a base type (int or bool) refined with a logical predicate p. A predicate can be any expression e, which can refer to x. Types also include dependent function types $x:t_x \to t$, where x is bound to the function argument and can appear in the result type t. As usual, we write b as a shortcut for $\{x:b \mid \mathsf{true}\}\$, and $t_x \to t$ as a shortcut for $x:t_x \to t$ when xdoes not appear in t.

Denotations Each type of λ_R^e denotes a set of expressions [Knowless and Flanagan 2010]. The denotation of a base refinement includes all expressions that either diverge or evaluate to base values that satisfy the associated predicate. We write $\models e$ to represent that e is (operationally) valid and $e \downarrow$ to represent that e terminates:

```
\models e \doteq e \hookrightarrow^* \text{true}
                                                             e \downarrow = \exists v.e \hookrightarrow^* v
```

where $\cdot \hookrightarrow^{\star} \cdot$ is the reflexive and transitive closure of the small-step reduction relation. Denotations are naturally extended to function types and environments (as sets of substitutions).

```
[[\{x:b \mid p\}]] \doteq \{e \mid \vdash e : b, \text{ if } e \downarrow \text{ then } \models p[e/x]\}
```

Static semantics Figure 2 summarizes the standard typing rules that characterize whether an expression belongs to the denotation of a type [Knowles and Flanagan 2010; Rondon et al. 2008]. Namely, $e \in [t]$ iff $\vdash e:t$. These rules include three kinds of relations 1. typing, 2. subtyping, and 3. wellformedness.

1. Typing: $\Gamma \vdash e:t \text{ iff } \forall \sigma \in [\Gamma] . \sigma \cdot e \in [\sigma \cdot t]$. Rule T-VAR-BASE refines the type of a variable with its exact value. Rule T-Const types a constant c using the function ty(c) that is assumed to be sound, *i.e.* we assume that for each constant $c, c \in [ty(c)]$. Rule T-Sub allows

$$\frac{\Gamma(x) = \{v:b \mid _\}}{\Gamma \vdash x : \{v:b \mid v = x\}} \quad \text{T-Var-Base} \qquad \frac{\Gamma(x) \text{ is a function type}}{\Gamma \vdash x : \Gamma(x)} \quad \text{T-Var} \qquad \frac{\Gamma \vdash c : ty(c)}{\Gamma \vdash c : ty(c)} \quad \text{T-Const}$$

$$\frac{\Gamma \vdash e : t_e \quad \Gamma \vdash t \quad \Gamma \vdash t_e \leq t}{\Gamma \vdash e : t} \quad \text{T-Sub} \qquad \frac{\Gamma, x : t_x \vdash e : t \quad \Gamma \vdash x : t_x \rightarrow t}{\Gamma \vdash \lambda x . e : (x : t_x \rightarrow t)} \quad \text{T-Fun}$$

$$\frac{\Gamma \vdash e : (x : t_x \rightarrow t) \quad \Gamma \vdash y : t_x}{\Gamma \vdash e : (x : t_x \rightarrow t) \quad \Gamma \vdash y : t_x} \quad \frac{\Gamma, x : t_x \vdash e : t \quad \Gamma \vdash x : t_x \rightarrow t}{\Gamma \vdash \lambda x . e : (x : t_x \rightarrow t)} \quad \text{T-Ip}$$

$$\frac{\Gamma \vdash e : (x : t_x \rightarrow t) \quad \Gamma \vdash y : t_x}{\Gamma \vdash e : (x : t_x \rightarrow t) \quad \Gamma \vdash t} \quad \frac{\Gamma \vdash e : (x : t_x \rightarrow t)}{\Gamma \vdash x : (v : bool \mid \bot) \quad \Gamma_1 \vdash e_1 : t \quad \Gamma_2 \vdash e_2 : t \quad \Gamma \vdash t}{\Gamma \vdash t \quad x \vdash t \quad \Gamma \vdash t} \quad \text{T-Ip}$$

$$\frac{\Gamma \vdash e_x : t_x \quad \Gamma, x : t_x \vdash e : t \quad \Gamma \vdash t \quad \Gamma \vdash t_x}{\Gamma \vdash 1 \quad t \quad t \vdash t \quad \Gamma \vdash t_x} \quad \frac{\Gamma \vdash e_x : t_x \quad \Gamma, x : t_x \vdash e : t \quad \Gamma \vdash t \quad \Gamma \vdash t_x}{\Gamma \vdash 1 \quad t \vdash t \quad \tau \vdash t_x} \quad \text{T-Spec}$$

$$\frac{\text{In} Valid}{\Gamma \vdash \{v : b \mid p_1\} \leq \{v : b \mid p_2\}} \quad S-BASE} \quad \frac{\Gamma \vdash t_x \leq t_{x_1} \quad \Gamma, x : t_{x_2} \vdash t_1 \leq t_2}{\Gamma \vdash x : t_{x_1} \rightarrow t_1 \leq x : t_{x_2} \rightarrow t_2} \quad S-FUN}$$

$$\frac{\text{Vell-Formedness}}{\Gamma \vdash t} \quad \frac{\Gamma \vdash t_x \quad \Gamma, x : t_x \vdash t}{\Gamma \vdash x : t_x \rightarrow t} \quad W-FUN} \quad \frac{\Gamma \vdash t_x \quad \Gamma \vdash t_x \quad \Gamma \vdash t_x}{\Gamma \vdash x : t_x \rightarrow t} \quad W-FUN}$$

Figure 2. Static Semantics of λ_R^e . (Types colored in blue need to be inferred.)

to weaken the type of a given expression by subtyping, discussed below. Rule T-IF achieves path sensitivity by typing each branch under an environment strengthened with the value of the condition. Finally, the two let binding rules T-Let and T-Spec only differ in whether the type of the bound variable is inferred or taken from the syntax. Note that the last premise, a well-formedness condition, ensures that the bound variable does not escape (at the type level) the scope of the let form.

2. Subtyping: $\Gamma \vdash t_1 \leq t_2$ iff $\forall e, \sigma \in [\Gamma]$. if $e \in [\sigma \cdot t_1]$ then $e \in [\sigma \cdot t_2]$.

Rule S-Base uses the relation is Valid(\cdot) to check subtyping on basic types; we leave this relation abstract for now since we will refine it in the course of this section. Knowles and Flanagan [2010] define subtyping between base refinements as follows:

$$\begin{split} \text{isValid}(\Gamma \vdash \{x : b \mid p_1\} \leq \{x : b \mid p_2\}) \\ \text{iff} \\ \forall \sigma \in [\![\Gamma, x : b]\!]. \text{if} &\models \sigma \cdot p_1 \text{ then } \models \sigma \cdot p_2 \end{split}$$

Of course, this semantic definition is undecidable, as it quantifies over all possible substitutions. We come back to decidability below.

3. Well-Formedness: Rule W-BASE overloads the relation is Valid(\cdot) to refer to well-formedness on base refinements. A base refinement $\{x:b \mid p\}$ is well-formed only when p is typed

as a boolean:

isValid(
$$\Gamma \vdash \{x:b \mid p\}$$
) iff $\Gamma, x:b \vdash p$:bool

Inference In addition to being undecidable, the typing rules in Figure 2 are not syntax directed: several types do not come from the syntax of the program, but have to be guessed—they are colored in blue in Figure 2. These are: the argument type of a function (Rule T-Fun), the common (least upper bound) type of the branches of a conditional (Rule T-IF), and the resulting type of let expressions (Rules T-Let and T-Spec), which needs to be weakened to not refer to variable \boldsymbol{x} in order to be well-formed. Thus, to turn the typing relation into a type checking algorithm, one needs to address both decidability of subtyping judgments, and inference of the aforementioned types.

3.2 Liquid Types

Liquid types [Rondon et al. 2008] provide a decidable and efficient inference algorithm for the typing relation of Figure 2. For decidability, the key idea of liquid types is to restrict refinement predicates to be drawn from a *finite* set of *predefined*, SMT-decidable predicates $q \in \mathbb{Q}$.

Syntax Figure 3 summarizes the syntax of *liquid predicates*, written \hat{p} . A liquid predicate can be true (true), an element from the predefined set of predicates (q), a conjunction of predicates ($\hat{p} \wedge \hat{p}$), or a predicate variable (κ), called a *liquid*

$$\begin{array}{ccccc} \hat{p} & ::= & \text{true} & & \text{True} \\ & | & q & & \text{Predicate, with } q \in \mathbb{Q} \\ & | & \hat{p} \wedge \hat{p} & & \text{Conjunction} \\ & | & \kappa & & \text{Liquid Variable} \\ A & ::= & \cdot & | & A, \kappa \mapsto \overline{q} & Solution \end{array}$$

Figure 3. Syntax of $\lambda_L^{\hat{p}}$. Completes Figure 1.

```
Infer :: Env -> Expr -> Quals -> Maybe Type Infer \hat{\Gamma} \hat{e} \mathbb{Q} = A <*> \hat{t} where A = Solve C A_0 and (\hat{t}, C) = Cons \hat{\Gamma} \hat{e}
```

```
Cons :: Env -> Expr -> (Maybe Type, [Cons])
Solve :: [Cons] -> Sol -> Maybe Sol
```

Figure 4. Liquid Inference Algorithm ET:♣ cons and solve in appendix♣

variable. A *solution* A is a mapping from liquid variables to a set of elements of \mathbb{Q} . The set \overline{q} represents a variable-free liquid predicate using true for the empty set and conjunction to combine the elements otherwise.

Checking When all the predicates in \mathbb{Q} belong to SMT-decidable theories, validity checking of λ_R^e : is Valid($\Gamma \vdash \{x:b \mid p_1\} \leq \{x:b \mid p_2\}$) which quantifies over all embedding of the typing environment, can be SMT automated in a sound and complete way. Consertable a subtraining

embedding of the typing environment, can be SMT automated in a sound and complete way. Concretely, a subtyping judgment $\Gamma \vdash \{x:b \mid \hat{p}_1\} \leq \{x:b \mid \hat{p}_2\}$ is valid *iff* under all the assumptions of Γ , the predicate \hat{p}_1 implies the predicate \hat{p}_2 .

$$\begin{split} \text{isValid}(\Gamma \vdash \{x : b \mid \hat{p}_1\} \leq \{x : b \mid \hat{p}_2\}) \\ & \textit{iff} \\ \text{isSMTValid}(\bigwedge \{\hat{p} \mid x : \{x : b \mid \hat{p}\} \in \Gamma\} \Rightarrow \hat{p}_1 \Rightarrow \hat{p}_2) \end{split}$$

Inference The liquid inference algorithm, defined in Figure 4, first applies the rules of Figure 2 using liquid variables as the refinements of the types that need to be inferred and then uses an iterative algorithm to solve the liquid variable as a subset of \mathbb{Q} [Rondon et al. 2008].

More precisely, given a typing environment $\hat{\Gamma}$, an expression \hat{e} , and the fixed set of predicates \mathbb{Q} , the function Infer $\hat{\Gamma}$ \hat{e} \mathbb{Q} returns the type of the expression \hat{e} under the environment $\hat{\Gamma}$, if it exists, or nothing otherwise. It first generates a template type \hat{t} and a set of constraints \mathbb{C} that contain liquid variables in the types to be inferred. Then it generates a solution A that satisfies all the constraints in \mathbb{C} . Finally, it returns the type \hat{t} in which all the liquid variables have been substituted by concrete refinements by the mapping in A.

The function Cons $\hat{\Gamma}$ \hat{e} uses the typing rules in Figure 2 to generate the template type Just \hat{t} of the expression \hat{e} , *i.e.* a type that potentially contains liquid variables, and the basic

$$\tilde{p}$$
 ::= p Precise Predicate $p \land ?$ Imprecise Predicates, where p is local

Figure 5. Syntax of $\lambda_G^{\tilde{p}}$. Completes Figure 1.

constraints that appear in the leaves of the derivation tree of the judgment $\hat{\Gamma} \vdash \hat{e}:\hat{t}$. If the derivation rules fail, then Cons $\hat{\Gamma}$ \hat{e} returns Nothing and an empty constraint list. The function Solve C A uses the decidable validity checking to iteratively pick a constraint in $c \in C$ that is not satisfied, while such a constraint exists, and weakens the solution A so that c is satisfied. The function $A < * > \hat{t}$ applies the solution A to the type \hat{t} , if both contain Just values, otherwise returns Nothing. Here and in the following, we pose: $A_0 = \lambda \kappa \cdot \mathbb{Q}$.

The algorithm Infer $\hat{\Gamma}$ \hat{e} \mathbb{Q} is proved to be terminating and sound and complete with respect to the typing relation $\hat{\Gamma} \vdash \hat{e}:\hat{t}$ as long as all the predicates are conjunctions of predicates drawn from the set \mathbb{Q} .

3.3 Gradual Refinement Types

Gradual refinement types [Lehmann and Tanter 2017] extend the refinements of λ_R^e to include imprecise refinements like x>0 \wedge ?. While they describe the static and dynamic semantics of gradual refinements, inference is left as an open challenge. Our work extends liquid inference to gradual refinements, therefore we hereby briefly summarize their basics

Syntax Figure 5 describes the syntax of predicates in $\lambda_G^{\tilde{p}}$. A predicate is either *precise* or *imprecise*. The syntax of an imprecise predicate $p \land ?$ allows for a *static part p*. Intuitively, with the predicate $x > 0 \land ?$, x is statically (and definitely) positive, but the type system can optimistically assume stronger, non-contradictory requirements about x. To make this intuition precise, and derive the complete static and dynamic semantics of gradual refinements, Lehmann and Tanter [2017] follow the Abstracting Gradual Typing methodology (AGT) [Garcia et al. 2016]. Following AGT, a gradual refinement type (resp. predicate) is given meaning by *concretization* to the set of static types (resp. predicates) it represents. Defining this concretization requires introducing two important notions.

Specificity First, we say that p_1 is stronger (or *more specific*)ET: any reason not to stick to specificity? than p_2 , written $p_1 \le p_2$ when p_1 is true when p_2 is true:

$$p_1 \le p_2 = \forall \sigma$$
. if $\models \sigma \cdot p_1$ then $\models \sigma \cdot p_2$

Locality Additionally, in order to avoid imprecise formulas to introduce contradictions—which would defeat the purpose of refinement checking—Lehmann and Tanter [2017] identify the need for the static part of an imprecise refinement to be *local*. Using an explicit syntax p(x) ET: maybe use p_x ? to

explicitly declare the variable x refined by the predicate p, a refinement is local if there exists a value v for which p[v/x] is true; and this, for any (well-typed) substitution that closes the predicate. Formally:

$$isLocal(p(x)) \doteq \forall \sigma, \exists v. \models \sigma \cdot p[v/x]$$

Concretization Armed with specificity and locality, Lehmann and Tanter [2017] define the concretization function $\gamma(\cdot)$, which maps gradual predicates to the set of the static predicates they represent.

```
\gamma(p(x)) \doteq \{p\}

\gamma((p \land ?)(x)) \doteq \{p' \mid p' \le p, \text{isLocal}(p'(x))\}
```

A precise predicate concretizes to itself (singleton), while an imprecise predicate denotes all the local predicates more specific than its static part. This definition extends naturally to types and environments.

```
\begin{array}{lcl} \gamma(\{x{:}b\mid \tilde{p}\}) & \doteq & \{\{x{:}b\mid p\}\mid p\in\gamma(\tilde{p}(x))\} \\ \gamma(x{:}\tilde{t_x}\to \tilde{t}) & \doteq & \{x{:}t_x\to t\mid t_x\in\gamma(\tilde{t_x}), t\in\gamma(\tilde{t})\} \\ \gamma(\tilde{\Gamma}) & \doteq & \{\Gamma\mid x{:}t\in\Gamma\; if\!f\, x{:}\tilde{t}\in\tilde{\Gamma}, t\in\gamma(\tilde{t})\} \end{array}
```

Denotations While Lehmann and Tanter [2017] do not provide denotations for gradual refinement types, we can extend our denotations from Section 3.1. The denotation of a base *imprecise* gradual refinement $\{x:b \mid p \land ?\}$ includes all (gradually-typed) expressions that satisfy some local predicate stronger than p. ET: this is somehow mixing concretization and type denotations... plus it's not needed, we can always pick p' = p...? The denotations of the other types are unchanged.

```
\begin{split} \|\{x:b\mid p\wedge?\}\| &\doteq \{\tilde{e}\mid \vdash \tilde{e}:b, \\ &\quad \text{if } \tilde{e} \Downarrow \text{ and } \exists p' \leq p. \text{isLocal}(p'(x)), \\ &\quad \text{then } \models p'[\tilde{e}/x] \text{ET:$ $$ check $exists$ $$ $$ } \\ \|\{x:b\mid p\}\| &\doteq \{\tilde{e}\mid \vdash \tilde{e}:b, \text{if } \tilde{e} \Downarrow \text{ then } \models p[\tilde{e}/x] \} \\ \|x:\tilde{t_x} \to \tilde{t}\| &\doteq \{\tilde{e}\mid \mid \forall \tilde{e_x} \in \|\tilde{t_x}\|.\tilde{e} \ \tilde{e_x} \in \|\tilde{t}[\tilde{e_x}/x]\| \} \end{split}
```

Type Checking Figure 2 is used "as is" to type gradual expressions $\tilde{\Gamma} \vdash \tilde{e}:\tilde{t}$, save for the fact that the validity predicate must be lifted to operate on gradual types. isValid(·) holds if there exists a justification, by concretization, that the static judgment holds. Precisely:

```
\begin{split} \text{is} \tilde{\text{Valid}}(\tilde{\Gamma} \vdash \tilde{t}_1 \leq \tilde{t}_2) &\doteq \exists \Gamma \in \gamma(\tilde{\Gamma}), t_1 \in \gamma(\tilde{t}_1), t_2 \in \gamma(\tilde{t}_2). \\ &\quad \text{is} \text{Valid}(\Gamma \vdash t_1 \leq t_2) \\ &\quad \text{is} \tilde{\text{Valid}}(\tilde{\Gamma} \vdash \tilde{t}) &\doteq \exists \Gamma \in \gamma(\tilde{\Gamma}), t \in \gamma(\tilde{t}). \\ \text{is} \text{Valid}(\Gamma \vdash t) \end{split}
```

4 Gradual Liquid Types

We now address the combination of liquid type inference and gradual refinements. We extend the work of Lehmann and Tanter [2017] by adapting the liquid type inference algorithm to the gradual setting. To do so, we apply the abstract interpretation approach of AGT [Garcia et al. 2016] to lift the Infer function (defined in § 3.2) so that it operates on gradual liquid types.

 $\check{p} := \hat{p}$ Precise Liquid Predicate $|\hat{p} \wedge ?|$ Imprecise Liquid Predicate, where \hat{p} is local

Figure 6. Syntax of $\lambda_{GL}^{\check{p}}$. Completes Figure 1.

Figure 6 defines the syntax of predicates in $\lambda_{GL}^{\check{p}}$, a gradual liquid core language whose predicates are gradual predicates (as in Figure 5) where the static part of an imprecise predicate is a liquid predicate (from Figure 3), with the additional requirement that it be *local* (as defined in § 3.3). The elements of $\lambda_{GL}^{\check{p}}$ are both gradual and liquid; *e.g.* expressions \check{e} could also be written as \hat{e} . Also, we write ? as a shortcut for the imprecise predicate $true \land$?

Our goal is to define Infer Γ \check{e} \mathbb{Q} so that it returns a type \check{t} such that $\Gamma \vdash \check{e}:\check{t}$. After deriving Infer using AGT, we provide an algorithmic characterization of Infer (§ 4.2), which serves as the basis for our implementation. We present the properties that Infer satisfies in § 4.3.

4.1 Lifting Liquid Inference

We define the function Infer using the abstracting gradual typing methodology [Garcia et al. 2016]. In general, AGT defines the consistent lifting of a type function f as:

$$\tilde{f} \tilde{t} = \alpha(\{f t \mid t \in \gamma(\tilde{t})\})$$

where α is the sound and optimal abstraction function that, together with γ , forms a Galois connection.

The question is how to apply this general approach to the liquid type inference algorithm. We answer this question via trial-and-error.

Try 1. Lifting Infer Assume we lift Infer in a similar manner, *i.e.* we pose

Infer
$$\check{\Gamma} \check{e} \mathbb{Q} = \alpha(\{\text{Infer } \Gamma e \mathbb{Q} \mid \Gamma \in \gamma(\check{\Gamma}), e \in \gamma(\check{e})\})$$

This definition of Infer is too strict: it rejects expressions that should be accepted. Consider for instance the following expression \check{e} that defines a function f with an imprecisely-refined argument:

```
// onlyPos :: {v:Int | 0 < v} -> Int
// check :: Int -> Bool
let f :: x:{Int | ?} -> Int
    f x = if check x then onlyPos x else
        onlyPos (-x)
```

in f 42

There is no single static expression $e \in \gamma(\check{e})$ such that the definition of f above type checks; for any \mathbb{Q} we will get Infer $\{\}$ $e \mathbb{Q} = \text{Nothing}$, and abstracting the empty set denotes a type error. This behavior breaks the flexibility programmers expect from gradual refinements (this example is based on the motivation example of Lehmann and Tanter [2017]). One expects that Infer $\{\}$ $\check{e} \mathbb{Q}$ should simply return

Int. Note that this is the same reason that Garcia et al. [2016] do not lift the typing relation as a whole, but instead lift type functions and predicates used in the definition of the typing relation. Here, as described in § 3.2, Infer calls the functions Cons and Solve, which in turn calls the function isValid. Which of these functions should we lift?

Try 2. Lifting is Valid To our surprise, using gradual validity checking (the lifting of is Valid, § 3.3) leads to an unsound inference algorithm! This is because, soundness of static inference implicitly relies on the property of validity checking that if two refinements p_1 and p_2 are right-hand-side valid, then so is their conjunction, *i.e.*:

isValid(
$$\Gamma \vdash \{v:b \mid p\} \le \{v:b \mid p_1\}$$
),
isValid($\Gamma \vdash \{v:b \mid p\} \le \{v:b \mid p_2\}$)
 \Rightarrow
isValid($\Gamma \vdash \{v:b \mid p\} \le p_1 \land p_2$)

But this property does not hold for gradual validity checking, because for any logical predicate q, it is true that $(q \Rightarrow p_1 \land q \Rightarrow p_1) \Rightarrow (q \Rightarrow p_1 \land p_2)$, but $((\exists q.(q \Rightarrow p_1)) \land (\exists q.(q \Rightarrow p_1))) \Rightarrow (\exists q.(q \Rightarrow p_1 \land p_2))$.

Try 3. Lifting Solve Let us try to lift Solve:

Solve
$$A\check{C} = \{\text{Solve } AC \mid C \in \gamma(\check{C})\}$$

where \check{C} denotes a gradual constraintET: where is the syntax of (static) constraints? This approach is successful, and leads to a provably sound and complete inference algorithm (§ 4.3).

Note that in the definition of Solve, we do not appeal to abstraction. This is because we can directly define Infer to consider all produced solutions instead.

Infer :: Env -> Expr -> Quals -> Set Type Infer
$$\check{\Gamma}$$
 \check{e} \mathbb{Q} = { \check{t}' | Just \check{t}' <- A <*> \check{t} , $A \in Solve A_0 \ \check{C}$ } where $(\check{t},\ \check{C})$ = Cons $\check{\Gamma}$ \check{e}

First, function Cons derives the typing constraints \check{C} , and if successful, the template type \check{t} . Then, we use the lifted Solve to derive solutions for each concretization of the derived constraints. Finally, we apply each solution to the template gradual type, yielding a set of inferred types. We do not explicitly abstract the set of inferred types back to a single gradual type. Adding abstraction by exploiting the abstraction function defined by Lehmann and Tanter [2017] is left for future work. The applications discussed in § 5 and § 6 make explicit use of the inferred set in order to assist users in understanding errors and migrating programs. 1

4.2 Algorithmic Concretization

To turn the definition of Infer into an inference algorithm, we need an algorithmic concretization function of a set of constraints, $\gamma(\check{C})$.

Recall that the concretization of gradual predicates is defined as

$$\gamma((p \land ?)(x)) \doteq \{p' \mid p' \le p, \text{isLocal}(p'(x))\}$$

In general, this function cannot be algorithmically computed, since it ranges over the infinite domain of predicates. In gradual liquid refinements, the domain of refinement predicates is restricted to the powerset of the *finite* domain \mathbb{Q} . We define the algorithmic concretization function $\gamma_{\mathbb{Q}}(\check{p}(x))$ as the intersection of the powerset of the finite domain \mathbb{Q} with the gradual concretization function.

$$\gamma_{\mathbb{Q}}(\check{p}(x)) \doteq 2^{\mathbb{Q}} \cap \gamma(\check{p}(x))$$

Thus concretization of gradual predicates reduces to the (decidable) locality and specificity checking on the elements of \mathbb{O} .

$$\gamma_{\mathbb{Q}}((\hat{p} \wedge ?)(x)) \doteq \{\hat{p}' \mid \hat{p}' \in 2^{\mathbb{Q}}, \hat{p}' \leq \hat{p}, \text{isLocal}(\hat{p}'(x))\}$$

We naturally extend the algorithmic concretization function to typing environments, constraints, and list of constraints.

$$\begin{array}{cccc} \gamma_{\mathbb{Q}}(\check{\Gamma}) \ \doteq \ \{\hat{\Gamma} \mid x : \hat{t} \in \hat{\Gamma} \ iff x : \check{t} \in \check{\Gamma}, \, \hat{t} \in \gamma_{\mathbb{Q}}(\check{t})\} \\ \gamma_{\mathbb{Q}}(\check{\Gamma} \vdash \check{t}_1 \leq \check{t}_2) \ \doteq \ \{\hat{\Gamma} \vdash \hat{t}_1 \leq \hat{t}_2 \mid \hat{\Gamma} \in \gamma_{\mathbb{Q}}(\check{\Gamma}), \, \hat{t}_i \in \gamma_{\mathbb{Q}}(\check{t}_i)\} \\ \gamma_{\mathbb{Q}}(\check{\Gamma} \vdash \check{t}) \ \doteq \ \{\hat{\Gamma} \vdash \hat{t} \mid \hat{\Gamma} \in \gamma_{\mathbb{Q}}(\check{\Gamma}), \, \hat{t} \in \gamma_{\mathbb{Q}}(\check{t}), \, \} \\ \gamma_{\mathbb{Q}}(\check{C}) \ \doteq \ \{\hat{C} \mid c \in \hat{C} \ iff \check{c} \in \check{C}, \, \hat{c} \in \gamma_{\mathbb{Q}}(\check{c})\} \end{array}$$

Finally, we use $\gamma_{\mathbb{Q}}(\cdot)$ to define an algorithmic version of Solve:

Solve
$$A \check{C} = \{ \text{Solve } A \hat{C} \mid \hat{C} \in \gamma_{\mathbb{Q}}(\check{C}) \}$$

which in turn yields an algorithmic version of Infer.

4.3 Properties of Gradual Liquid Inference

We prove that the inference algorithm Infer satisfies the the correctness criteria of Rondon et al. [2008], as well as the static criteria for gradually-typed languages [Siek et al. 2015].² The corresponding proofs can be found in supplementary material [Material 2017].

4.3.1 Correctness of Inference

The inference algorithm Infer is sound and complete, and terminates.

Theorem 4.1 (Correctness). Let \mathbb{Q} be a finite set of predicates from an SMT-decidable logic, $\check{\Gamma}$ a gradual liquid environment, and \check{e} a gradual liquid expression. Then

- **Soundness** If $\check{t} \in \text{In \check{f}er } \check{\Gamma} \check{e} \mathbb{Q}$, then $\check{\Gamma} \vdash \check{e} : \check{t}$.
- Completeness If Infer $\check{\Gamma} \check{e} \mathbb{Q} = \emptyset$, then $\nexists \check{t} . \check{\Gamma} \vdash \check{e} : \check{t}$.

¹In standard gradual typing, the set of static types denoted by a gradual type can be infinite, hence abstraction is definitely required. In contrast, here the structure of types is fixed, and the set of possible liquid refinements, even if potentially large, is finite. We can therefore do without abstraction. We discuss implementation considerations in § 5.

²Because this work focuses on the static semantics, *i.e.* the inference algorithm, we do not discuss the dynamic part of the gradual guarantee, which has been proven for gradual refinement types [Lehmann and Tanter 2017].

• Termination Infer $\check{\Gamma}$ ě $\mathbb Q$ terminates.

We note that, unlike the Infer algorithm that provably returns the strongest possible solution, we have not formalized whether or not the set of solutions returned by Infer $\check{\Gamma} \check{e} \mathbb{Q}$ are stronger that the rest of the types that satisfy $\check{\Gamma} \vdash \check{e} : \check{t}$.

4.3.2 Gradual Typing Criteria

Siek et al. [2015] list three properties related to the static semantics of a gradually-typed language, which the gradual algorithm Infer satisfies. These guarantees capture the fact that a gradual type system is (i) a conservative extension of a static type system, which is (ii) flexible enough to accommodate the dynamic end of the typing spectrum (in our case, unrefined types), and (iii) supports a smooth connection between both ends of the spectrum.

(i) Conservative Extension The gradual inference algorithm Infer coincides with the static algorithm Infer on terms that only rely on precise predicates. More specifically, if a term is inferred a static type with Infer, then Infer returns only that type. Conversely, if a term is not typeable with Infer, it is also not typeable with Infer.

Theorem 4.2 (Conservative Extension). If Just $\hat{t} = \operatorname{Infer} \hat{\Gamma} \hat{e} \mathbb{Q}$, then Infer $\hat{\Gamma} \hat{e} \mathbb{Q} = \{\hat{t}\}$. Otherwise, Infer $\hat{\Gamma} \hat{e} \mathbb{Q} = \emptyset$.

(ii) Embedding of imprecise terms We then prove that given a well-typed unrefined term (i.e. simply-typed), refining all base types with the unknown predicate? yields a well-typed gradual term. This property captures the fact that it is possible to "import" a simply-typed term into the gradual liquid setting. (In contrast, this is not possible without gradual refinements: just putting true refinements to all base types does not yield a well-typed programs.)

To state this theorem, we use t_s to denote simple types (b and $t_s \to t_s$), and similarly e_s and Γ_s for terms and environments. The simply-typed judgment is the standard one.

The following embedding function turns simple types into gradual liquid types by recursively introducing the unknown predicate on every base type:

$$\lceil b \rceil = \{v:b \mid ?\}$$
 $\lceil t_1 \rightarrow t_2 \rceil = x:\lceil t_1 \rceil \rightarrow \lceil t_2 \rceil$

We appeal to its natural extension to type environments and terms

Theorem 4.3 (Embedding of Unrefined Terms). *If* $\Gamma_s \vdash e_s : t_s$, then Infer $[\Gamma_s] [e_s] \mathbb{Q} \neq \emptyset$.

(iii) Static Gradual Guarantee Finally, we prove the static gradual guarantee, which states that typeability is monotonous with respect to the precision of type information. In other words, making type annotations less precise cannot introduce new type errors.

We first need to define the notion of precision of gradual types, defined using algorithmic concretization:

Definition 4.4 (Precision of Gradual Types). \check{t}_1 is less precise than \check{t}_2 , written as $\check{t}_1 \sqsubseteq \check{t}_2$ *iff* $\gamma_{\mathbb{Q}}(\check{t}_1) \subseteq \gamma_{\mathbb{Q}}(\check{t}_2)$.

The notion of type precision naturally extends to type environments and terms.

Theorem 4.5 (Static Gradual Guarantee). If $\check{\Gamma}_1 \sqsubseteq \check{\Gamma}_2$ and $\check{e}_1 \sqsubseteq \check{e}_2$, then for every $\check{t}_{1i} \in \operatorname{In\check{f}er} \check{\Gamma}_1 \check{e}_1 \mathbb{Q}$ there exists $\check{t}_{1i} \sqsubseteq \check{t}_{2i}$ so that $\check{t}_{2i} \in \operatorname{In\check{f}er} \check{\Gamma}_2 \check{t}_2 \mathbb{Q}$.

For a given term and type environment, the theorem ensures that, for every inferred type, the algorithm infers a less precise type when run on a less precise term in a less precise environment.

5 Application I: Error Explanation

ET: syntax: use of ?? vs. ?

We implemented Infer as GuilT, an extension to Liquid Haskell that takes as input a Haskell program with gradual refinement types specifications and returns an HTML interactive file that lets the user explore all gradually safe solutions.

ET: general comment: clarify the use of the words "safe" and "unsafe". For me, and I suspect for many, safe means "no crash" – hence the name "unsafeCoerce" in Haskell.

Concretely, Guilt uses the Liquid Haskell API to generate the constraints and the refinement templates. Then, it uses the templates to generate all the concretizations of the constraints. Finally, it uses the Liquid Haskell API to select the valid concretizations. ET: here you used "valid" instead of "safe" — I prefer valid as well, but the main point is that we should be consistent in which words we use.

Next, we illustrate GuilT's interface ET: more than the interface, illustrate the use of the tool the list indexing example. Consider the standard list indexing function xs!!i that returns the ith element of the list xs.

```
(!!) :: xs:[a] -> {i:Int | ?? } -> a
(x:_) !!0 = x
(_:xs)!!i = xs!!(i - 1)
_ !!_ = error "Out of Bounds!"
```

ET: start by introducing the two clients (called app1 and app2 on the figures btw), before talking about the fact there are two possible interpretations for indices here Solving?? to 0 <= i < len xs ensures that the error case is never called but renders the following client as unsafe ET: here the meaning is "throws a runtime error" (which is not the same as unsafe by the definition above! unsafe = crash/seg fault) - or "does not type check"?

```
client = [1, 2, 3] !! 3
```

As an alternative, solving ?? to 0 < i <= len xs renders the client function as safe, but the definition of (!!) is unsafe, since the error case is reachable. ET:4 the error case was reachable in the original definition.

ET: suggestion: the Haskell definition of (!!) is essentially a partial function, where partiality manifests by the fact

that the function can halt execution with a (uncatchable?) runtime error. Using refinements, we can make the function *total*, by making sure the error case is unreachable.

The above situation describes a characteristic challenge on error reporting, where the type system needs to decide whether to blame ET:* now safety is related to blame - again something with a technically overloaded meaning* the function definition or the client function for incompatible types.ET:* I'm not quite sure I buy that this example illustrates that. Clearly (!!) intends the index to be from to 0 to length-1. What's the meaning of the other interpretation?*

ET: explain why you only discuss these two possible instantiations.

To address this challenge instead of blaming any of the two parties, we use the valid gradual solution concretizations to present all the valid refinement instantiations to the programmer.

Figure 7 illustrates the generated HTML interactive file for the indexing example above. The ?ET:* one ? or two ??* refinement is connected to all its usages by highlighting them using the same color. Once the user clicks on the ? button a (gradually valid) solutionET:* solution and instantiation are used interchangeably* appears for each occurrence, combined with left/right navigations buttons that the user can use to explore potential solutions. This way, the error ET:* which error? there is no error unless I decide to view it as an error* is explained without having to blame a specific party.

As an alternative illustration, GuiLT allows the user to explore all the gradual solutions and accordingly adjusts the blame. ET: prior illustration was: stick to unknown refinement, view the constraints generated at each occurrence; this one is: (based on the observed possible constraints) pick a (more?) precise refinement and visualize which occurrences would break. For example, in the GUI of Figure 8 the user navigates to the left or right to explore the previous or next solutions of the ? they placed. ET: * terminology: differentiate the "binding occurrence" and "usage occurrences" of a question mark. As the solutions change ET: it's not really the solutions that change, it's the precise refinement that one picks to replace the unknown refinement, so does the blame (red in the figure) that is placed either on the client (left figure) or at the function definition (right figure). ET:♣ -1 is in red because (i-1) potentially violates the i > 0 condition, right? if so, what about in the other case? Since we're talking Int, and not Nat, i - 1 is not guaranteed to be ≥ 0 either – I'm confused.

5.1 Practical Implementation

The implementation of GuilT closely follows the theory of § 4 with some adjustments to make it practical.

Measures Following [Lehmann and Tanter 2017]ET:♣ use citet, not citep, when refering to the article explicitly♣, we

adjust locality checking to accommodate uninterpreted functions, ET:* introduce+cite measures* like the len of a list. For instance, the predicate 0 < len i is not local on i. This is because exists i. 0 < len i is not SMT valid, as there exists a model in which len is always negative. Thus to check locality of predicates that use uninterpreted functions we define a fresh variable ET:* eg. leni* for each function application ET:* eg. len i*, and then check locality. For instance 0 < len i is local on i because exists leni. 0 < leni is SMT valid.

Sensible Before checking for locality, the implementation is performing a heuristic "sensibility" testing to filter out automatically generated templates ET: you explain generated templates below, so this paragraph should come after that the user would not write. For instance we consider arithmetic operations on lists and booleans to be non-sensible and filter them out. ET: be more specific: filter ill-typed templates, remove False and other contradictions – anything else?

Templates To generate the templates we use Liquid Haskell's API for template generation. The generated templates consist of a predefined set of templates for linear arithmetic $(v \mid < \mid > \mid \geq \mid = \mid \neq \mid x)$, comparison with zero $(v \mid < \mid < \mid > \mid \geq \mid = \mid \neq \mid 0)$ and len operations (len $v \mid \geq \mid > \mid 0$), len v = len x, v = len x, v = len x + 1). Other than these default templates, templates are abstracted from each user-provided refinement type and the user can define their own templates.

Depth In the theoretical development, for completeness, we check validity of any solution—which is clearly not tractable in practice. In the implementation, we introduce an instantiation depth parameter. By default, depth is 1, meaning that we instantiate each? refinement with single templates, and not their conjunctions. To get the conjunction of two templates, such as the conjunction of $\emptyset \le i$ and $i \le i$

Partitions An implementation detail that is critical for efficiency is that before solving, we partition the set of constraints based on their dependencies and solve each partition independently. ET:♣ explain what "dependencies" means here♣ That way, each partition has a limited number of gradual occurrences ET:♣ what is a "gradual occurrence" − need to fix/streamline the terminology♣, thus findings the combination of all instantiations is pragmatic.

ET: I would tend to present all the techniques above in a different order. First, expressiveness (ie. measures). Second, efficiency, in an order that follows the general algorithm: partition, templates, depth, sensibility (is that the right/chronological order?).

ET:**♣** I'm HERE**♣**

Table 1 provides a quantitative illustration of the indexing example. GuiLT used a template depth (Dep) of 2 to solve for the one (#?) gradual refinement that occurred (Occs)

```
1101
1102
1103
1104
```

```
1101
```

Figure 7. GuiLT for error explanation from here.

```
{-0 (!!) :: xs:[a] -> {i:Int | ?? } -> a 0-} {-0 (!!) :: xs:[a] -> {i:Int | ?? } -> a 0-}
                                                (!!) :: [a] -> Int -> a
(!!) :: [a] -> Int -> a
                                                (x:) !!0 = x
(x:_) !!0 = x
                                                (_:xs)!!i =
(_:xs)!!i =
                                                                      xs!!(i-1)
                       xs!!(i-1)
                                                             error "Out of Bou
                                                      11_ =
      !!_ = error "Out of Bounds!"
                                                             <<
                                                                            i < len xs&& i >= 0
                                                            i < len xs&& i > 0 >>
                                                             >>
                                                       [1, 2, 3] !! 0
app1 = [1, 2, 3] !! 0
                                                        <<
                                                       i < len xs&& i >= 0
                                                app2 = [1, 2, 3] !! 3
                                                        <<
app2 = [1, 2, 3] !! 3
                                                       i <= len xs&& i > 0
```

Figure 8. GuiLT for static typing from here.

four times in the generated constraints. Each occurence had 68 candidate solutions (Cands) out of which 38 were sensible (Sens), 34 were local (Local) and 34 were precise (Prec). Note that most non-local solutions were filtered out by the sensibility check. The constraints were split in 14 partitions (Parts), out of which 4 contained gradual refinements and had to be concretized. Each partition had 34 conretizations (# γ) out of which 22, 13, 10, and 13 were valid (Sols) for the four partitions. None of these solutions was common for all the occurences of the ?, thus the program has 0 static solutions (SSols). Finally, the running time of the whole process was 6.88 sec.

6 Application II: Migration Assistance

As a second application here we explain how the interface from § 5 was used to migrate commonly used libraries from Haskell to Liquid Haskell safe code.

Migration of a library from (refinement) untyped to typed code is an iterative procedure since errors propagate from the imported to the client libraries and back.

Benchmarks As a case study we used the interface from § 5 to aid the migration of three dependent, commonly used (thus safe) Haskell list manipulation libraries

- GHC.List (56 exported functions, 501 LOC) that provides the commonly used list functions available at Prelude,
- Data.List (115 exported functions, 490 LOC) that defines more sohpisticated list functions, like list transposing, and
- Data.List.NonEmpty (57 exported functions, 269 LOC) that lifts list functions to provably non empty lists.

Migration Process At the beginning of the migration process we run Liquid Haskell in the library to be migrated to

Dep	# ?		Cands					0	Sols	SSols	Time(s)
1	1	[4]	[12*]	[11*]	[11*]	[11*]	4/14	11*	[8,6,0,6]	1	3.39
2	1	[4]	[68*]	[38*]	[34*]	[34*]	4/14	34*	[22,13,10,13]	0	6.88

Table 1. Indexing example in Numbers

get the initial type errors. There are two sources for these initial errors

- 1. failure to satisfy imported functions preconditions, *e.g.* the error function assumes by default the false precondition, and
- incomplete patterns, that is a pattern-match that might fail at runtime, e.g. scanr matched the recursive call with a non empty list.

We note that by default, Liquid Haskell will also generate termination errors when the default termination check fails, but to simplify our case study, we deactivated termination checking.

To fix an error in a function there are three potential approaches (other than fixing the buggy code that never happened at our commonly used libraries of our case study). We can

- infer function's precondition (e.g. head is only called on non empty lists), at which case we need to revisit the clients of the functions (inside and outside the library),
- strengthen imported function's post-conditions, at which
 case we can either enforce the imported type (thus
 gradual verification) or we could update and re-verify
 the library.
- strengthen function's post condition (*e.g.* scanr always returns non-empty lists) at which case no external conditions are violated.

Liquid Haskell is able to infer the strongest possible post conditions (case 3), which interestingly our technique is very bad at (scanr1 time-outed because post-conditions means many different dependencies). Yet, the liquid type inference of Liquid Haskell is unable to infer pre-conditions (it only infers the strongest preconditions, *i.e.* false, for library functions that are never called) and further is it unable to infer types for imported functions. Thus the two techniques smoothly complement each other.

The *i*th iteration of our interactive migration process is the following. We use Liquid Haskell on the to-be-migrated library to trace potential type errors, *i.e.* unsafe functions. We fix the errors by adding ? in either precondition of the erroring function or post-condition of the potentially imported, thus assumed, types the function is using. We use the framework of § 5 to interactively solve the ? to static refinements. We repeat until Liquid Haskell reports no errors.

Table 2 summarizes our migration case study in numbers. Rndis the number of times we needed to iterate inside until each library got verified. At each round the reported functions (Function) we reported unsafe, thus we annotated their specification with gradual refinements and run GuilT to interactively inspect the proposed solutions.

Guilt by default proposes only solutions as instantiations of the provided templates. Few functions (in our case study only (!!)) can be solved only using conjunctions of the templates. Depis the depth of the conjuctions used.

#? is the number of? we manually inserted. For each? we report the number of constraints in which it appeared. For each occurrence we report the number of the generated template candidates (Cands) and how many of them are sensible (Sens), local (Local), and precise (Prec), where sensible is a heuristic we used to simplify away non-intuitive instantiations, *e.g.* comparison on booleans, tautologies and contradictions.

Partsis the number of partitions verification generated. For each partition we report the number of concretizations (# γ) generated, that is the combination of all instantiations. Further, for each partition we report the number of solutions (Sols). Finally, we combine together the solutions of different partitions to generate all possible static solutions. Time the whole time in seconds required for all the above process.

GHC.List Liquid Haskell reported three errors on GHC.List. The function errorEmp errored as is it merely a wrapper around the error function and scanr and scanr1 had incomplete patterns assuming a non empty list post condition. GuilT performed bad at all these three cases. It was unable to infer any solution for errorEmp, since the required false precondition is non local. It required more than one hour to infer the post condition of scanr and we timed-out it in the case of scanr1. The reason for this is that? in postconditions do not allow partition spitting leading to one partition with a huge number of potential combinations. NV: I do not understand why this happens: is it expected or an implementation bug? GuiLT was more efficient in the next two rounds. Specification of errorEmp introduced errors in eight functions that were trivially specified using GuilT. One of this functions, fold1 was further used by two more functions that were interactively migrated at the third and final specification round.

Data.List Migration of Data.List was simpler, only four functions errored due to incomplete patterns (transpose should return a list of non empty lists), while three other functions used functions with preconditions from GHC.List. Unexpectedly, GuilT was unable to find any solution for

Dep #? Occs

Cands

Rnd | Function

Sols

Y

SSols | Time(s)¹³⁷⁶

Parts

Prec

1	J	_	4
1	3	2	5
1	3	2	6
1	3	2	7
1	3	2	8
1	3	2	9
1	3	3	0
1	3	3	1
1	3	3	2
1	3	3	3
1		3	4
1		3	
1		3	
1	3	3	7
1	3	3	8
1	3	3	9
1	3	4	0
	3		
1	3	4	2
	3		3
	3		4
	3		
	3		
	3		
	3		
	3		
1	3	5	0
	3		
	3		
	3		
	3		
	3		
	3		
	3		
	3		
	3		
	3		
1	3	6	1

Miu	Function	Deb	π:	Occs	Carius	36118	Local	TIEC	raris	# Y	3018	33018	111116(8)
GHC.List as L (56 functions defined and verified)													
1 st	errorEmp	1	1	[1]	[[5]]	[[4]]	[[4]]	[[4]]	1/4	[4]	[0]	0	1.00 ¹³⁷⁸
	scanr	1	1	[4]	[6*]	[5*]	[5*]	[5*]	1/5	[625]	[125]	1	4640.20 ¹³⁷⁹
	scanr1	1	2	[4,6]	[12*,5*]	[5*,4*]	[5*,4*]	[5*,4*]	1/5	[2560000]	??	??	??1380
2 nd	head	1	1	[1]	[[5]]	[[4]]	[[4]]	[[4]]	1/3	[4]	[1]	1	0.701381
	tail	1	1	[1]	[[5]]	[[4]]	[[4]]	[[4]]	1/5	[4]	[1]	1	0.771382
	last	1	1	[2]	[5*]	[4*]	[4*]	[4*]	2/4	4*	[4,1]	1	1.041383
	init	1	1	[3]	[5*]	[4*]	[4*]	[4*]	2/8	[16,4]	[16,1]	1	3.12 ₁₃₈₄
	fold1	1	1	[3]	[5*]	[4*]	[4*]	[4*]	2/5	[4,16]	[1,16]	1	2.41 ₁₃₈₅
	foldr1	1	1	[1]	[[5]]	[[4]]	[[4]]	[[4]]	1/2	[4]	[1]	1	1.08
	(!!)	1	2	[4,4]	[5*,10*]	[4*,9*]	[4*,9*]	[4*,9*]	4/9	36*	[12,24,36,36]	6	7.81
	(!!)	2	2	[4,4]	[12*,47*]	[10*,17*]	[6*,16*]	[6*,16*]	4/9	96*	[52,64,96,96]	26	19 72
	cycle	1	1	[2]	[5*]	[4*]	[4*]	[4*]	2/6	4*	[4,1]	1	1.37
3 rd	maximum	1	1	[3]	[5*]	[4*]	[4*]	[4*]	2/4	[4,16]	[1,16]	1	3.38
	minimum	1	1	[3]	[5*]	[4*]	[4*]	[4*]	2/4	[4,16]	[1,16]	1	2.80^{1390}
Data.	List as DL 115 f	function	ns def	ìned an	d verified)								1391
1 st	maximumBy	1	1	[3]	[5*]	[4*]	[4*]	[4*]	2/5	[16,4]	[16,1]	1	2.24
	minimumBy	1	1	[3]	[5*]	[4*]	[4*]	[4*]	2/5	[16,4]	[16,1]	1	2.40
	transpose	1	1	[3]	[12*]	[5*]	[5*]	[5*]	2/11	[25,5]	[0,4]	1	51.02 ¹³⁹⁴
	genericIndex	1	2	[6,6]	[2*,5*]	[1*,4*]	[1*,4*]	[1*,4*]	6/12	4*	[3,4,4,1,1,4]	0 ??	1395
Data.	List.NonEmpty	(57 fun	ctions	define	d and verifie	d)							1396
1 st	fromList	1	1	[2]	[5*]	[4*]	[4*]	[4*]	2/11	4*	[4,1]	1	1.41
	cycle	1	1	[1]	[[2]]	[[1]]	[[1]]	[[1]]	1/3	[1]	[0]	0	1.41 1398 1.27
	- toList	1	2	[1,2]	[[2],5*]	[[1],4*]	[[1],4*]	[[1],4*]	2/3	4*	[1,3]	1	3.11
	(!!)	1	1	[4]	[10*]	[9*]	[9*]	[9*]	4/22	9*	[9,4,4,9]	1	5.96 1400
	(!!)	2	1	[4]	[47*]	[17*]	[16*]	[16*]	4/12	16*	[16,16,11,8]	5	7.73 ¹⁴⁰¹
2 nd	cycle	1	2	[1,1]	[[12],[5]]	[[5],[4]]	[[5],[4]]	[[5],[1]]	1/2	[5]	[2]	2	3.13 ¹⁴ 02
		1	2	[1,1]	[[5],[12]]	[[4],[5]]	[[4],[5]]	[[4],[5]]	1/2	[20]	[6]	6	10.54 ¹⁴⁰³
	lift	1	1	[1]	[[6]]	[[5]]	[[5]]	[[5]]	1/2	[5]	[2]	2	2.901404
	inits	1	1	[1]	[[6]]	[[5]]	[[5]]	[[5]]	1/5	[5]	[2]	2	2.951405
	tails	1	2	[1,1]	[[5],[6]]	[[4],[5]]	[[4],[5]]	[[4],[5]]	1/5	[20]	[6]	6	10.221406
	scanl	1	1	[1]	[[6]]	[[5]]	[[5]]	[[5]]	1/5	[5]	[2]	2	2.23 ₁₄₀₇
	scanl1	1	1	[1]	[[6]]	[[5]]	[[5]]	[[5]]	1/2	[5]	[1]	1	1.13
	insert	1	1	[1]	[[12]]	[[5]]	[[5]]	[[5]]	1/5	[5]	[2]	2	2.25
	transpose	1	2	[1,1]	[[7],[12]]	[[6],[5]]	[[6],[5]]	[[6],[5]]	1/7	[30]	[6]	6	37.48
3 rd	reverse	1	2	[1,1]	[[5],[12]]	[[4],[5]]	[[4],[5]]	[[4],[5]]	2/3	[5,4]	[2,3]	5	0.07
	sort	1	2	[1,1]	[[12],[5]]	[[5],[4]]	[[5],[4]]	[[5],[4]]	2/3	[5,4]	[2,3]	5	1.03
	sortBy	1	2	[1,1]	[[12],[5]]	[[5],[4]]	[[5],[4]]	[[5],[4]]	2/3	[5,4]	[2,3]	5	0.97
T 11	O Errolmotion of								.1	·C 11·1	ъ	1	1413

Local

Sens

Table 2. Evaluation of Migrations Assistance. Rndis the number of iteration inside the verified library. Functionis the name of the gradualized function. Depis the number of template combinations we used. #? is the number of? we manually inserted. Occis the number each? was used inside the function. For each occurrence we report the number of template candidates (Cands), and how many of them are sensible (Sens), local (Local), and precise (Prec). Partsis the number of partitions spitted. For each partition we report the number of concretizations (# γ) and the number of solutions (Sols). SSolsis the number of static solutions found and Timeis the whole time taken to compute the above.

genericIndex, a generalized version of (!!) that indexes a list using any integral (instead of an integer index) because it lacks linear arithmetic templates for integrals.

Data.List.NonEmpty Migration was more interesting for the Data.List.NonEmpty library that manipulates a data type that represents non empty lists. The first round exposed that fromList requires the non empty precondition. The

GHC.List function cycle has a non empty precondition, thus lifted to non empty lists can only be specified once toList returns non empty lists.

Thus, to migrate cycle, we added? to the result of toList and GuilT quickly solved the specification.

Indexing non empty lists, invokes list indexing. Thus migration of non empty list indexing was more complex. since we needed to relate the length of empty and non lists (different data types) and further add templates that talk about non empty list length arithmetic operations.

Adding a non-empty list precondition to fromList fired errors to eight function that invoke them. All of them call list operations that return (unspecified) non empty lists. For example, inits on non empty lists is defined as follows

inits = fromList . List.inits . toList
To migrate such functions, we add a gradual assumed specification for the List library function. For example

```
assume List.inits :: [a] -> {o:[a] | ?? }
```

and use GuiLT to solve the ?. Once the ? is solved (here to 0 < 1en o) we could leave the gradual specification or revisit the library definition to strengthen the post-condition. This is not always trivial. For instance transpose only returns non empty lists when called with a non empty list of non empty lists, which was the case in the non empty list library.

As another interesting function, lift lifts a list to a nonempty list transformation.

```
-- /Beware/: If the provided function
  returns an empty list,
-- this will raise an error.
  lift :: ([a] -> [b]) -> NonEmpty a ->
      NonEmpty b
  lift f = fromList . f . toList
To migrate lift we inserted a ? at a higher order position.
  lift :: (i:[a] -> {o:[b] | ??}) ->
```

NonEmpty a -> NonEmpty b

GuiLT's first solution was that 0 < len o, which was non
sensible (how can a function generate bs on empty list?) thus
we selected the second solution len i == len o which
was strong enough to verify the three users of lift in the

7 Related Work

third and final migration round.

Liquid Types Dependent typing allow arbitrary program expressions in the type level to express theorems on programs, while theorem proving is simplified by various automations ranging from tactics (e.g. Coq [Bertot and Castéran 2004], Isabelle [Wenzel 2016]) to external SMT solvers (e.g. F* [Swamy et al. 2016]). Liquid types [Rondon et al. 2008] restrict the expressiveness of the type specifications to decidable fragments of logic to achieve decidable type checking and inference. This restriction makes program invariants that fall outside of the decidable fragment non-expressible in the logic, thus the user has to assume such invariants via runtime casts, unchecked yet trusted at verification time.

Gradual Refinement Types Many refinement type systems mix static verification with runtime typecasts, allowing

a notion of gradual refinement typing. Hybrid types [Knowles and Flanagan 2010] use an external prover to statically verify subtyping when possible, otherwise a cast is automatically inserted to defer checking at runtime. Ou et al. [2004] allow the user to decide whether an assertion e is verified at compileor runtime, by writing dependent{e} or assert{e}, respectively. Manifest contracts [Greenberg et al. 2010] formalize the metatheory of refinement typing in the presence of dynamic contract checking. Unlike all the above, gradual refinement types [Lehmann and Tanter 2017] is the first refined system that respects the gradual criteria as described by Siek et al. [2015]. Yet, none of these refinement systems discusses inference. On the contrary, they all allow for arbitrary predicates to appear in the refinements, rendering refinement type inference impossible. Here we restrict Lehmann and Tanter [2017]'s gradual refinements to a finite set of predicates to get refinement inference by direct application of the liquid inference procedure.

Gradual Type Inference Many systems study type inference under gradual types. Siek and Vachharajani [2008] infer gradual types using unification, while Rastogi et al. [2012] combine gradual typing and type inference to improve program performance. Garcia and Cimini [2015], like us, lift an inference algorithm from a core system to its gradual counterpart, by ignoring the unification constraints imposed by gradual types. In the liquid inference algorithm the constraints imposed by gradual refinements cannot be ignored, since unlike Hindley Milner-like inference, the liquid algorithm starts from the strongest solution (i.e. false) for the liquid variables and use constraints to weaken the solution.

Error Reporting Inference algorithms are prone to misleading error messages [Wand 1986], thus many algorithms have been proposed to to improve error reporting employing various techniques like counter-example generation [Nguyen and Horn 2015] heuristics [Zhang et al. 2015], or learning [Seidel et al. 2017]. Unlike all these techniques are are developed with the purpose of error reporting, we, for first time, use the existing, well-studied system of gradual typing for error explanation, after we observe that concretizations of gradual types contain inconsistencies that lead to refinement type errors

8 Conclusion

We presented gradual liquid types a gradual refinement type system where the gradual refinements range over the finite templates of liquid typing. As a consequence of this range restriction the system allows for algorithmic checking and inference. More importantly, we describe how the concretizations of the gradual refinements can be used for interactive error reporting and thus aid program migration. We conjecture that our idea of using gradual typing for error reporting,

which is agnostic of refinement types, generalizes to any gradual system.

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