

Liquidate your Assets

Reasoning about resource usage in Liquid Haskell

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`insert :: Ord a => x:a -> xs:[a] -> [a]`

`insert x [] = [x]`

`insert x (y:ys)`

`| x <= y = x:y:ys`

`| otherwise = y:insert x ys`

Refinement types for length preservation

```
insert :: Ord a => x:a -> xs:[a]  
      -> {os:[a] | len os == 1 + len xs}
```

```
insert x [] = [x]
```

```
insert x (y:ys)
```

```
  | x <= y      = x:y:ys
```

```
  | otherwise   = y:insert x ys
```

SMT automated checking.
Even for list sortedness.

Refinement types for length preservation

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  | x <= y      = x:y:ys
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```
  | otherwise  = y:insert x ys
```

What about resources?
(here number of comparisons)

Tracking resources

```
insert :: Ord a => x:a -> xs:[a]
        -> {os:[a] | len os == 1 + len xs}
        cost o <= len xs
insert x [] = [x]
insert x (y:ys)
  | x <= y    = x:y:ys
  | otherwise = y:insert x ys
```

Tracking resources using The Tick data type

```
insert :: Ord a => x:a -> xs:[a]
-> {o:Tick {os:[a]|len os == 1 + len xs}
   | tcost o <= len xs }
insert x [] = [x]
insert x (y:ys)
  | x <= y    = x:y:ys
  | otherwise = y:insert x ys
```

The Tick data type

```
data Tick a = Tick { tcost :: Int,  
                    tval  :: a  }
```

The Tick data type

```
data Tick a = Tick { tcost :: Int,  
                    tval  :: a  }
```

The Applicative Instance

```
pure :: x:a -> {t:Tick a | tcost t == 0}
```

```
pure x = Tick 0 x
```

```
(<*>) :: f:(Tick (a -> b)) -> x:Tick a  
      -> {t:Tick b | tcost t == tcost x + tcost f}
```

```
Tick i f <*> Tick j x = Tick (i+j) (f x)
```


Zero resources using the Tick data type

```
insert :: Ord a => x:a -> xs:[a]
-> {o:Tick {os:[a]|len os == 1 + len xs}
    | tcost o <= len xs }
insert x [] = pure [x]
insert x (y:ys)
  | x <= y    = pure (x:y:ys)
  | otherwise = (pure (y:)) <*> insert x ys
```

The Tick data type

```
data Tick a = Tick { tcost :: Int,  
                    tval  :: a  }
```

Resource tracking

```
step :: x:a -> {t:Tick a | tcost t == 1}
```

```
step x = Tick 1 x
```

```
(</>) :: f:(Tick (a -> b)) -> x:Tick a  
      -> {t:Tick b | tcost t == 1 + tcost x + tcost f}
```

```
Tick i f </> Tick j x = Tick (1+i+j) (f x)
```

Actual resources using the Tick data type

```
insert :: Ord a => x:a -> xs:[a]
-> {o:Tick {os:[a]|len os == 1 + len xs}
    | tcost o <= len xs }
insert x [] = pure [x]
insert x (y:ys)
  | x <= y    = step (x:y:ys)
  | otherwise = (pure (y:)) </> insert x ys
```

Let's define insertion sort!

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```
isort :: Ord a => xs:[a]
      -> {o:Tick {os:[a]|len os == len xs}
          | tcost o <= (len xs)2 }

isort [] = pure []
isort (x:xs) = isort xs >>= insert x
```

Tick is a Monad!

The Tick data type

```
data Tick a = Tick { tcost :: Int,  
                    tval  :: a  }
```

The Monad Instance

```
return :: x:a -> {t:Tick a | tcost t == 0}
```

```
return x = Tick 0 x
```

```
(>>=) :: x:Tick a -> f:(a -> Tick b)  
      -> {t:Tick b | tcost t == tcost x  
          + tcost (f (tval x)) }
```

```
Tick i x >>= f = case f x of  
                  Tick j y -> Tick (i+j) y
```

Resources of insertion sort

```
isort :: Ord a => xs:[a]
      -> {o:Tick {os:[a] | len os == len xs}
          | tcost o <= (len xs)2 }
isort [] = pure []
isort (x:xs) = isort xs >>= insert x
```



```
(>>=) :: x:Tick a -> f:(a -> Tick b)
      -> {t:Tick b | tcost t == tcost x
          + tcost (f (tval x)) }
```

Problem: type level computations!

Solution I: ghost parameter

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      -> {o:Tick {os:[a] | len os == len xs}
          | tcost o <= (len xs)2 }

isort [] = pure []
isort (x:xs) = isort xs >>={len xs} insert x
```

```
(>>={n}) :: x:Tick a
      -> f:(a -> {t:Tick b | tcost t <= n})
      -> {t:Tick b | tcost t <= tcost x + n}
```

Solution I: ghost parameter

```
isort :: Ord a => xs:[a]
      -> {o:Tick {os:[a] | len os == len xs}
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isort [] = pure []
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```

```
(>>={n}) :: x:Tick a
      -> f:(a -> {t:Tick b | tcost t <= n})
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```

Cost of monadic function is bound by n

Solution I: ghost parameter

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```
(>>={n}) :: x:Tick a
      -> f:(a -> {t:Tick b | tcost t <= n})
      -> {t:Tick b | tcost t <= tcost x + n}
```

No type level computations...

Solution I: ghost parameter

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isort :: Ord a => xs:[a]
      -> {o:Tick {os:[a] | len os == len xs}
          | tcost o <= (len xs)2 }
isort [] = pure []
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```
(>>={n}) :: x:Tick a
      -> f:(a -> {t:Tick b | tcost t <= n})
      -> {t:Tick b | tcost t <= tcost x + n}
```



... but explicit parameter should be provided.

Resource Tracking using Refinement Types

Tick monad lets you track resources in refinement types

Problem:

The bind operation breaks automatic verification

Solution I: ghost parameter

Solution II: extrinsic proofs

Extrinsic resource analysis proofs

```
isortCostSorted :: Ord a => xs:OList a
    -> { tcost (isort xs) <= len xs }
isortCostSorted []          = () – automated
isortCostSorted [_]        = () – automated
isortCostSorted (x:xs@(y:ys))
=    tcost (isort (x:xs))
==.  tcost (isort xs >>={len xs} insert x)
==.  tcost (isort xs) + tcost (insert x (tval (isort xs)))
    ? isortSortedVal xs – tval (isort xs) == xs
==.  tcost (isort xs) + tcost (insert x xs)
    ? isortCostSorted xs
<=.  len xs + tcost (insert x xs)
<=.  len xs + tcost (insert x (y:ys))
<=.  len xs + 1
<=.  len (x:xs)
*** QED
```

Extrinsic resource analysis proofs
can be used for arbitrary properties

Relational Properties,
e.g., sorted lists are sorted faster.

`xs:OList a`

→ `ys:[a] | len xs == len ys`

→ `{ tcost (isort xs) <= tcost (isort ys) }`

Extrinsic resource analysis proofs
can be used for arbitrary properties

Relational Properties,
e.g., sorted lists are sorted faster.

We encoded all the examples from the
relational refinement types^{1, 2, 3} work.

) : But, required manual proofs :(

¹Aguirre et al: A Relational Logic for Higher-Order Programs. ICFP'17.

²Çiçek et al: Relational Cost Analysis. POPL'17.

³Radiček et al: Monadic Refinements for Relational Cost Analysis. POPL'18.

Extrinsic resource analysis proofs
can be used for arbitrary properties

Relational Properties,
e.g., sorted lists are sorted faster.

Function optimization,
e.g., `[] ++ xs` is faster than `xs ++ []`

We developed operators to simultaneously reason about
1/ resource modification and 2/program equivalence.

Extrinsic resource analysis proofs
can be used for arbitrary properties

Function optimization,
e.g., $[] ++ xs$ is faster than $xs ++ []$

$xs : [a] \rightarrow \{ [] ++ xs \sim xs ++ [] \}$

value preservation &
cost improvement!



Extrinsic resource analysis proofs
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Relational Properties,
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Higher Order Properties,
e.g., map fusion is an optimization

Extrinsic resource analysis proofs
can be used for arbitrary properties

Higher Order Properties,
e.g., map fusion is an optimization

```
mapM f xs >>= mapM g  
  >== len xs ==>  
mapM (f >=> g) xs
```



value preservation &
exact cost improvement!

Extrinsic resource analysis proofs
can be used for arbitrary properties

Relational Properties,
e.g., sorted lists are sorted faster.

Function optimization,
e.g., `[] ++ xs` is faster than `xs ++ []`

Higher Order Properties,
e.g., map fusion is an optimization

In the paper:

More benchmarks

Soundness of the Tick library

What about laziness?

Lazy ADTs should be explicitly defined using Ticks^{*}

^{*}Danielsson: Lightweight Semiformal Time Complexity Analysis for Purely Functional Data Structures. POPL'08.

Resource Tracking using Refinement Types

Tick monad lets you track resources in refinement types

Problem:

The bind operation breaks automatic verification

Solution I: ghost parameter

Solution II: extrinsic proofs

Extrinsic proofs can prove arbitrary resource properties

Thanks!

The End

Benchmarks

	Property	Lines of code		
		Exec.	Spec.	Proof
Laziness [Danielsson 2008]				
Insertion sort	$\text{COST}(\text{lisort } xs) \leq xs $	12	8	0
Implicit queues	$\text{COST}(\text{lsnoc } q \ x) = 5, \text{COST}(\text{view } q) = 1$	50	14	0
Relational [Aguirre et al. 2017; Çiçek et al. 2017; Radiček et al. 2017]				
2D count	$\text{COST}(\text{2DCount } find_1) \leq \text{COST}(\text{2DCount } find_2)$	16	3	24
Binary counters	$\text{COST}(\text{decr } k \ tt) = \text{COST}(\text{incr } k \ ff)$	26	21	21
Boolean expressions	$\text{NoSHORT}(e) \Rightarrow \text{COST}(\text{eval}_1 \ e) = \text{COST}(\text{eval}_2 \ e)$	28	2	13
Constant-time comparison	$\text{COST}(\text{compare } p \ u_1) = \text{COST}(\text{compare } p \ u_2)$	3	8	3
Insertion sort	$\text{SORTED}(xs) \Rightarrow \text{COST}(\text{isort } xs) \leq \text{COST}(\text{isort } ys)$	16	17	44
Memory allocation of length	$\text{COST}(\text{length}_2 \ xs) - \text{COST}(\text{length}_1 \ xs) = \text{length } xs$	10	4	6
Relational insertion sort	$\text{COST}(\text{isort } xs) - \text{COST}(\text{isort } ys) = \text{unsortedDiff } xs \ ys$	16	11	69
Relational merge sort	$\text{COST}(\text{msort } xs) - \text{COST}(\text{msort } ys) \leq xs (1 + \log_2(\text{diff } xs \ ys))$	23	25	59
Square and multiply	$\text{COST}(\text{sam } t \ x \ l_1) - \text{COST}(\text{sam } t \ x \ l_2) \leq t * \text{diff } l_1 \ l_2$	3	8	3
Datatypes [Vazou et al. 2018]				
Append’s monoid laws	<i>see example 5 of section 2</i>	12	10	74
Appending	$\text{COST}(xs \ ++ \ ys) = xs $	8	3	0
Flattening	$\text{PERFECT}(t) \Rightarrow \text{COST}(\text{flattenOpt } t) = 2^{ t } - 1$	5	18	45
Optimised-by-construction reverse	<i>reverse xs >~> fastReverse xs</i>	18	37	140
Reversing (naive)	$\text{COST}(\text{reverse } xs) = \frac{ xs ^2}{2} + \frac{ xs + 1}{2}$	9	7	22
Reversing (optimised)	$\text{COST}(\text{fastReverse } xs) = xs $	5	8	0
Sorting				
<i>Data.List.sort</i>	$\text{COST}(\text{ssort } xs) \leq 4 xs \log_2 xs + xs $	39	49	107
Insertion sort	$\text{COST}(\text{isort } xs) \leq xs ^2$	8	10	33
Merge sort	$\frac{ xs }{2} \log_2 xs \leq \text{COST}(\text{msort } xs) \leq xs \log_2 \frac{ xs }{2} + xs $	22	69	139
Quicksort	$\text{COST}(\text{qsort } xs) \leq \frac{1}{2}(xs + 1)(xs + 2)$	15	8	27
Total		344	340	829

Metatheory

A corollary of monadic encapsulation +
metatheory of refinement types:

THEOREM (SOUNDNESS OF COST ANALYSIS). *Let $p :: \text{Int} \rightarrow \text{Bool}$ be a predicate over integers and $f :: x : \tau_x \rightarrow \tau$ a safe and terminating function.*

- **Intrinsic cost analysis** *If $\emptyset \vdash f :: x : \tau_x \rightarrow \{t : \text{Tick}_\tau \mid p(\text{tcost}_\tau t)\}$, then for all $e_x \in \llbracket \tau_x \rrbracket$, $e_f e_x \hookrightarrow^\star \text{Tick}_\tau i$ and $p i \hookrightarrow^\star \text{true}$.*
- **Extrinsic cost analysis** *If $\emptyset \vdash e :: x : \tau_x \rightarrow \{v : \tau \mid p(\text{tcost}_\tau f x)\}$, then for all $e_x \in \llbracket \tau_x \rrbracket$, $f e_x \hookrightarrow^\star \text{Tick}_\tau i$ and $p i \hookrightarrow^\star \text{true}$.*

Future Directions

Resource Bound Inference

Automate lifting or, at least, erasure

Turn Tick into a monad transformer
(e.g., to combine with Parallel Monad)