Refinement Types and Abstract Refinements

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Simple Types

LIBRARY

```
div :: Int -> Int -> Int
div x y = x / y
```

USER

div e1 e2

Simple Type Error

LIBRARY

```
div :: Int -> Int -> Int
```

$$div \times y = x / y$$

USER

Simple Type Error

div :: Int -> Int div x y = x / y

USER

div 4 "cat"

Type error:

"Couldn't match expected type Int with actual type String"

Run Time Error

LIBRARY

```
div :: Int -> Int -> Int
div x y = x / y
```

USER

div 4 0

Run time error:

"Exception: divide by zero"

an Int value, different than 0

```
div :: Int
    -> { v:Int | v!=0 }
    -> Int
```

LIBRARY

```
div :: Int -> { v:Int | v!=0 } -> Int
div x y = x / y
```

USER

```
div 4 e -- e::Int
```

```
LIBRARY
div :: Int -> { v:Int | v!=0 } -> Int
div x y = x / y
```

USER

div 4 e -- e::Int

Type error:

"Couldn't match expected type
{v:Int | v!=0} with actual type Int"

$$\langle Int \Rightarrow \{v:Int \mid v!=0\} \rangle^1 e$$

Cast from source type Int
 to target type {v:Int | v!=0}
 with a label 1

$$\langle Int \Rightarrow \{v:Int \mid v!=0\} \rangle^{1}e$$

Cast from source type Int
 to target type {v:Int | v!=0}
 with a label 1

$$\langle Int \Rightarrow \{v:Int \mid v!=0\} \rangle e$$

```
Cast from source type Int

to target type {v:Int | v!=0}

with a label 1
```

```
<Int ⇒ {v:Int | v!=0}>¹e

→ if (e!=0) then e else ↑¹
```

Cast from source type Int
 to target type {v:Int | v!=0}
 with a label 1

Contracts

LIBRARY

```
div :: Int -> { v:Int | v!=0 } -> Int
div x y = x / y
```

USER

$$\overline{\text{div 4}} \ (\langle \text{Int} \Rightarrow \{\text{v:Int} \mid \text{v!=0}\} \rangle^{1} \text{e})$$

 $e \rightarrow 0$

Run time error:

"Exception: **↑ 1**"

A predecessor example

```
pred :: Int -> Int
pred n = n - 1
```

"the result is less than the argument"

A predecessor example

```
pred :: n:Int -> {v:Int | v < n}
pred n = n - 1</pre>
```

"the result is less than the argument"

pred :: n:Int -> {v:Int | v<n} pred = <Int -> Int \Rightarrow n:Int->{v:Int | v<n}>\frac{1}{f} where f x = x - 1 :: Int -> Int

```
pred :: n:Int -> {v:Int | v<n}
pred = <Int -> Int = n:Int -> {v:Int | v<n} > 1 f
    where f x = x - 1 :: Int -> Int
```

LIBRARY

```
pred :: n:Int -> {v:Int | v<n}
pred = <Int -> Int ⇒ n:Int->{v:Int | v<n}>¹f
    where f x = x - 1 :: Int -> Int
```

USER

$$p = pred 4$$

LIBRARY

```
pred :: n:Int -> {v:Int | v<n}
pred = <Int -> Int ⇒ n:Int->{v:Int | v<n}>¹f
    where f x = x - 1 :: Int -> Int
```

USER

$$p = pred 4$$

-- assert (p<4)

pred :: n:Int -> {v:Int | v<n} pred = <Int -> Int \Rightarrow n:Int->{v:Int | v<n}> where f x = x + 1 :: Int -> Int

USER

$$p = pred 4$$

-- assert (p<4)

Run time error:

"Exception: 1 Library.1"

Functional Specifications

Refinement Types as Functional Specifications:

```
pred :: n:Int -> {v:Int | v < n}</pre>
```

```
div :: Int -> {v:Int | v = !0} -> Int
```

Specifications:

Properties that the program should satisfy

Functional Specifications:

Treat the program as collection of functions

Functional Specifications

Refinement Types as Functional Specifications:

Check Specifications with Contracts

1970 Object Oriented Programming Eiffel

2002 Higher Order Programming (Findler, Felleisen)

- ✓ Expressive (express higher order predicates)
- ✓ Blame assignment (to the supplier of bad value)

- X Run time checks (consume computation cycles)
- X Limited coverage (one execution path is checked)

Outline

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Refinement Types

Liquid Types

Abstract Refinements

Refinements and Type Classes

Inductive Refinements

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```
div :: Int -> { v:Int | v!=0 } -> Int
div x y = x / y
```

USER

We want

e:: {v:Int |
$$v!=0$$
}

We have
$$p \Rightarrow v!=0$$
e:: {v:Int | p}

Basic Subtyping

```
p_s \Rightarrow p_t
\{ v:b \mid p_s \} \iff \{ v:b \mid p_t \}
```

s <: t

If e::s then e::t

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Decidable Subtyping

$$p_s \Rightarrow p_t$$

$$\{ v:b | p_s \} \leqslant : \{ v:b | p_t \}$$

refinement language in decidable theories

Propositional Logic +

Theories (equality, linear arithmetic, unint. functions)

Liquid Types

Propositional Logic +

```
\mathbf{Q}: Logical qualifiers (predicates on \mathbf{v}, \star)
        e.g., \mathbf{Q} = \{v > 0, * > 0, v < *, v = * - 1\}
 Q*: instantiate * with program variables
        e.g., \mathbf{Q}^* = \{v>0, y>0, v< n, v=n+1\}
 Liquid Types: { v:b | p}
         with p=\Lambda q, q \in \mathbb{Q}^*
refinement language in decidable theories
```

Theories (equality, linear arithmetic, unint. functions)

Liquid Types

Propositional Logic +

```
\mathbf{Q}: Logical qualifiers (predicates on \mathbf{v}, \star)
        e.g., \mathbf{Q} = \{v > 0, * > 0, v < *, v = * - 1\}
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        e.g., \mathbf{Q}^* = \{v>0, y>0, v< n, v=n+1\}
 Liquid Types: { v:b | p}
         with p=\Lambda q, q \in \mathbb{Q}^*
refinement language in decidable theories
```

Theories (equality, linear arithmetic, unint. functions)

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Basic Subtyping

$$p_s \Rightarrow p_t$$

$$\{ v:b | p_s \} \leftarrow \{ v:b | p_t \}$$

refinement language in decidable theories

Propositional Logic +

Theories (equality, linear arithmetic, unint. functions)

Basic Subtyping

SMT solver:

SAT + Theory Solvers

$$p_s \Rightarrow p_t$$

$$\{v:b | p_s\} <: \{v:b | p_t\}$$

refinement language in decidable theories

Propositional Logic +

Theories (equality, linear arithmetic, unint. functions)

Predecessor Example

```
pred :: n:Int->{v:Int| v<n}
pred n = n - 1</pre>
```

Predecessor Example

```
pred :: n:Int->{v:Int| v<n}
pred n = n - 1</pre>
```

We want

```
n:: Int
```

```
n-1 :: {v:Int | v<n}
```

Predecessor Example

```
pred :: n:Int->{v:Int| v<n}
pred n = n - 1</pre>
```

```
n :: Int
(-) :: x:Int -> y:Int -> {v:Int|v=x-y}
```

Predecessor Example

```
pred :: n:Int->{v:Int| v<n}</pre>
pred n = n - 1
                  v=1 ⇒ true
1 :: {v:Int | v=1} <: Int
n :: Int
(-)::x:Int -> y:Int -> {v:Int | v=x-y}
```

```
(n-1) :: \{v:Int | v=n-1\}
```

Predecessor Example

```
pred :: n:Int->{v:Int| v<n}</pre>
pred n = n - 1
1 :: {v:Int | v=1} <: Int
n :: Int
(-)::x:Int -> y:Int -> {v:Int | v=x-y}
                      \forall v=n-1 \Rightarrow v < n
(n-1):: {v:Int | v=n-1} <: {v:Int | v<n}
```

Predecessor Example

```
pred :: n:Int->{v:Int| v<n}</pre>
pred n = n - 1
1 :: {v:Int | v=1} <: Int
n :: Int
(-)::x:Int -> y:Int -> {v:Int | v=x-y}
```



4 :: Int

div 4 :: ???

v=4 ⇒ true

$$4::\{v:Int | v=4\}$$
 {v:Int | v=4}<:Int

4 :: Int

div 4 :: { v:Int | v!=0 } -> Int

$$v=2 \Rightarrow v!=0$$

div 4 2 :: Int

$$v=2 \Rightarrow v!=0$$

div 4 0 :: ???

$$v=0 \Rightarrow v!=0 \times$$

$$0::\{v:Int | v=0\}\{v:Int | v=0\} \% \{v:Int | v!=0\}$$



Refinement Types

- 1991 Freeman and Pfenning
 Refine specific data types (nil, singleton list)
- 1999 DML(C)
 Refinements from a decidable domain C
- 2008 **Liquid Types** (Rondon *et. al.*) Algorithmic Type Inference
 - Static Verification
- Limited annotations
- X Limited expressiveness

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Max example

```
max::Int-> Int -> Int
max x y = if x > y then x else y
```

Max example

```
max::x:Int-> y:Int -> \{v:Int \mid v \ge x \land v \ge y\}
max x y = if x > y then x else y
```

```
max::x:Int-> y:Int -> \{v:Int \mid v \ge x \land v \ge y\}
max x y = if x > y then x else y
```

```
max::x:Int-> y:Int -> \{v:Int \mid v \ge x \land v \ge y\}
max x y = if x > y then x else y
```

max 8 12 ::
$$\{ v : Int | v > 0 \}$$

```
max::x:Int-> y:Int -> \{v:Int \mid v \ge x \land v \ge y\}
max x y = if x > y then x else y
```

We get

max $3.5 :: \{ v : Int | v \ge 5 \}$

We want

max 3 5 :: { $v : Int | v \ge 5 \land odd v \}_{59}$

Problem:

Information of Input Refinements is Lost

```
We get
max 3 5 :: \{ v : Int | v \ge 5 \}
We want
max 3 5 :: \{ v : Int | v \ge 5 \land odd v \}_{60}
```

Our Solution

Problem:

Information of Input Refinements is Lost

Solution:

Parameterize Type Over Input Refinement

Solution:

Parameterize Type Over Input Refinement

```
max::forall <p::Int -> Prop>. refinement

Int -> Int -> Int
max x y = if x > y then x else y
```

```
max::forall <p::Int -> Prop>. refinement

Int -> Int -> Int
max x y = if x > y then x else y
```

```
b = max [(>0)] 8 12 -- assert (b > 0)
c = max [odd] 3 5 -- assert (odd c)
```

```
max :: forall  Prop>.
Int -> Int
```

```
b = max [(>0)] 8 12 -- assert (b > 0)
c = max [odd] 3 5 -- assert (odd c)
```

```
max :: forall  Prop>.
Int -> Int</p
```

```
b = max [(>0)] 8 12 -- assert (b > 0)
c = max [odd] 3 5 -- assert (odd c)
```

```
max [odd] ::
Int -> Int [odd/p]
```

```
b = max [(>0)] 8 12 -- assert (b > 0)
c = max [odd] 3 5 -- assert (odd c)
```

```
max [odd] ::
{v:Int | odd v} -> {v:Int | odd v} -> {v:Int | odd v}
```

```
b = max [(>0)] 8 12 -- assert (b > 0)
c = max [odd] 3 5 -- assert (odd c)
```

```
max [odd] ::
```

3 :: { v:**Int** | odd v }

 $\{v:Int \mid odd v\} \rightarrow \{v:Int \mid odd v\} \rightarrow \{v:Int \mid odd v\}$

```
b = max [(>0)] 8 12 -- assert (b > 0)√
c = max [odd] 3 5 -- assert (odd c)
```

```
max [odd] 3 :: { v:Int | odd v } 
{v:Int | odd v } -> {v:Int | odd v}
```

```
b = max [(>0)] 8 12 -- assert (b > 0)
c = max [odd] 3 5 -- assert (odd c)
```

```
max [odd] 3 ::
```

5 :: { v:**Int** | odd v }

 $\{v:Int \mid odd v\} \rightarrow \{v:Int \mid odd v\}$

```
b = max [(>0)] 8 12 -- assert (b > 0)
c = max [odd] 3 5 -- assert (odd c)
```

```
max [odd] 3 5 ::
```

5 :: { v:**Int** | odd v }

{v:Int | odd v}

```
b = max [(>0)] 8 12 -- assert (b > 0)
c = max [odd] 3 5 -- assert (odd c)
```

```
max [odd] 3 5 :: {v:Int | odd v}
```

```
max::forall <p::Int -> Prop>. refinement

Int -> Int -> Int
max x y = if x > y then x else y
```

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```
next
acc
loop :: (Int -> a -> a) -> 1
loop f n z = go 0 z
where go i acc i < n = go (i+1) (f i acc)
                   otherwise = acc
  loop
 iteration
                           final
                          result
```

"\loop f n z =
$$f^n(z)$$
"

"\loop f n z =
$$f^n(z)$$
"

```
incr :: Int -> Int
incr n z = loop f n z
where f i acc = acc + 1
```

```
incr :: Int -> Int -> Int
incr n z = loop f n z
where f i acc = acc + 1
```

Question: Does ``incr n z = n+z`` hold?

Answer: Proof by Induction

Inductive Proof

Loop Invariant: \mathbb{R} :: (Int, a)

loop iteration

accumulator

Inductive Proof

Loop Invariant: R :: (Int, a)

Base: R(0, z)

Inductive Step: $R(i, acc) \Rightarrow$

R(i+1, fiacc)

Conclusion: R(n, loop f n z)

```
loop :: (Int -> a -> a) -> Int -> a -> a
loop f n z = go \Theta z
 where go i acc | i < n = go (i+1) (f i acc)
                   otherwise = acc
                      R :: (Int, a)
                      R(0, z)
                      R(i, acc) \Rightarrow
                         R(i+1, fiacc)
```

 $\mathbb{R}(n, loop f n z)$

 $\mathbb{R}(n, loop f n z)$

```
loop :: (Int -> a -> a) -> Int -> a -> a
loop f n z = go \theta z
where go i acc i < n = go (i+1) (f i acc)
                  otherwise = acc
                   r :: Int -> a -> Prop
R :: (Int, a)
R(0, z)
                  z ::a<r 0>
R(i, acc) \Rightarrow
   R(i+1, fiacc)
```

```
loop :: (Int -> a -> a) -> Int -> a -> a
loop f n z = go 0 z
where go i acc i < n = go (i+1) (f i acc)
                 otherwise = acc
                  r :: Int -> a -> Prop
R :: (Int, a)
R(0, z)
                 z :: a<r 0>
                 f ::i:Int -> a<r i>
R(i, acc) \Rightarrow
                 -> a<r (i+1)>
   R(i+1, f i acc)
```

R(n, loop f n z)

```
loop :: (Int -> a -> a) -> Int -> a -> a
loop f n z = go 0 z
 where go i acc i < n = go (i+1) (f i acc)
                otherwise = acc
                 r :: Int -> a -> Prop
R :: (Int, a)
R(0, z)
                 z :: a<r 0>
                 f :: i:Int -> a<r i>
R(i, acc) \Rightarrow
   R(i+1, f i acc)
                    -> a<r (i+1)>
R(n, loopfnz) loopfnz::a<r n>
```

```
loop :: (Int -> a -> a) -> Int -> a -> a
loop f n z = go \Theta z
where go i acc | i < n = go (i+1) (f i acc)
               otherwise = acc
                 r :: Int -> a -> Prop
                 z :: a<r 0>
                 f :: i:Int -> a<r i>
```

loop f n z :: a < r n >

-> a<r (i+1)>

```
loop
:: forall <r :: Int -> a -> Prop>.
    f:(i:Int -> a<r i> -> a<r (i+1)>)
    -> n:{ v:Int | v>=0 }
    -> z:a<r 0>
    -> a<r n>
```

```
incr acc
        incr :: Int -> Int -> Int
                                      by 1
        incr n z = loop f n z
          where f i acc = acc + 1'
         R(i, acc) \Leftrightarrow acc = i + z
loop
  :: forall <r :: Int -> a -> Prop>.
      f:(i:Int -> a<r i> -> a<r (i+1)>)
  -> n:{ v:Int | v>=0 }
  -> z:a<r 0>
  -> a<r n>
```

```
incr :: Int -> Int -> Int
       incr n z = loop f n z
         where f i acc = acc + 1
        R(i, acc) \Leftrightarrow acc = i + z
loop [{\i acc -> acc = i + z}]
  :: f:(i:Int -> {v:a  v=i+z}
                -> \{v:a | v=(i+1)+z\}
 -> n:{v:Int | v>=0}
 -> z:Int
 -> {v:Int | v=n+z}
```

```
incr :: Int -> Int -> Int
        incr n z = loop f n z
          where f i acc = acc + 1
          R(i, acc) \Leftrightarrow acc = i + z
loop [\{ \{ i \ acc -> acc = i + z \} ]
  :: f:(i:Int -> {v:a | v=i+z}
-> {v:a | v=(i+1)+z})
 -> n:{v:Int | v>=0}
 -> z:Int
 -> {v:Int | v=n+z}
```

```
incr :: Int -> Int -> Int
       incr n z = loop f n z
         where f i acc = acc + 1
         R(i, acc) \Leftrightarrow acc = i + z
loop [{\i acc -> acc = i + z}] f
  :: n:{v:Int | v>=0}
  -> z:Int
  -> {v:Int | v=n+z}
```

```
incr :: Int -> Int -> Int
        incr n z = loop f n z
          where f i acc = acc + 1
         R(i, acc) \Leftrightarrow acc = i + z
loop [{\i acc -> acc = i + z}] f
  :: n:{v:Int | v>=0}
  -> z:Int
  -> {v:Int | v=n+z}
```

```
incr :: Int -> Int -> Int
     incr n z = loop f n z
       where f i acc = acc + 1
       R(i, acc) \Leftrightarrow acc = i + z
:: n:{v:Int | v>=0}
-> z:Int
-> {v:Int | v=n+z}
```

incr

```
incr :: n:{v:Int | v>=0}
    -> z:Int
    -> {v:Int | v=n+z}
incr n z = loop f n z
where f i acc = acc + 1
```

Question: Does ``incr n z = n+z`` hold?

Answer: Yes

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A Vector Data Type

Goal: Encode the domain of Vector

```
Abstract
             refinement
data Vec <d::Int -> Prop> a
  = V {f :: i:Int<d> -> a}
           index
         satisfies d
```

"vector defined on positive integers"

$$Vec < {\{ v -> v > 0 \}} > a$$

"vector defined only on 1"

$$Vec < {\{ v -> v = 1 \}} > a$$

"vector defined on the range 0 .. n"

Vec
$$<\{\v -> 0 \le v < n\}>$$
 a

Abstract refinement

value satisfies r at i

"vector defined on **positive integers**, with **values equal** to their **index**"

Vec
$$\{ v -> v > 0 \}$$
, $\{ i v -> i = v \} > Int$

"vector defined only on 1, with values equal to 12"

Vec
$$\{ v -> v = 1 \}$$
, $\{ v -> v = 12 \} > Int$

Null Terminating Strings

"vector defined on the range 0 .. n, with its last value equal to `\0`"

Vec
$$\{ v -> 0 \le v < n \}$$
,
 $\{ v -> i = n-1 => v = \0 \} > Char$

Fibonacci Memoization

"vector defined on **positives**, with i-th value equal to **zero or i-th fibonacci**"

Vec
$$\{ \langle v \rangle \le v \}$$
,
 $\{ \langle v \rangle v | = 0 => v = fib(i) \} > Int$

Using Vectors

• Abstract over d and r in vector op (get, set, ...)

• Specify vector properties (NullTerm, FibV, ...)

Verify that user functions preserve properties

Using Vectors

```
type NullTerm n =
 Vec <{\v -> 0<=v<n},
       \{ i v \rightarrow i=n-1 => v=' (0') \} > Char
upperCase
  :: n:{v: Int | v>0}
  -> NullTerm n
  -> NullTerm n
upperCase n s = ucs ∅ s where
ucs i s =
  case get i s of
  '\0' -> S
  c -> ucs (i + 1) (set i (toUpper c) s)
```

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List Data Type

```
data List a
= N
| C (h :: a) (tl :: List a)
```

Goal: Relate tail elements with the head

Recursive Refinements

Abstract refinement

```
data List a  a -> Prop>
= N
| C (h :: a) (tl :: List  (a))
```

tail elements satisfy p at h

Unfolding Recursive Refinements

```
data List a  a -> Prop>
= N
| C (h :: a) (tl :: List  (a))
```

Unfolding Recursive Refinements

```
data List a  a -> Prop>
= N
| C (h :: a) (tl :: List  (a))
```

Unfolding Recursive Refinements (1/3)

```
data List a  a -> Prop>
= N
| C (h :: a) (tl :: List  (a))
```

```
h<sub>1</sub> :: a
tl<sub>1</sub> :: List  (a1</sub>>)
```

Unfolding Recursive Refinements (2/3)

```
data List a  a -> Prop>
= N
| C (h :: a) (tl :: List  (a))
```

Unfolding Recursive Refinements (3/3)

```
data List a  a -> Prop>
= N
| C (h :: a) (tl :: List  (a))
```

```
h_1 :: a
h_2 :: a 
h_3 :: a 
N :: List  (a )
```

Increasing Lists

```
data List a  a -> Prop>
= N
| C (h :: a) (tl :: List  (a))
```

type IncrLa = List $<{ \mid hd v -> hd \leq v }> a$

h₁ 'C' h₂ 'C' h₃ 'C' N :: IncrL a

Increasing Lists

```
data List a  a -> Prop>
    = N
     C (h :: a) (tl :: List  (a))
 type IncrLa = List <{ \mid hd v \rightarrow hd \leq v }> a
      h<sub>1</sub> 'C' h<sub>2</sub> 'C' h<sub>3</sub> 'C' N :: IncrL a
h₁ :: a
h_2 :: \{ v:a \mid h_1 \le v \}
h_3 :: \{ v:a \mid h_1 \le v \land h_2 \le v \}
N :: IncrL { v:a | h_1 \le v \land h_2 \le v \land h_3 \le v }
```

Sorting Lists

```
data List a  a -> Prop>
 = N
  C (h :: a) (tl :: List  (a))
type IncrLa = List <\{ hd v -> hd \le v \}> a
insert :: y:a -> IncrL a -> IncrL a
insert y N = N
insert y(x)^{C}xs y < x = y^{C}x^{C}xs
                   otherwise = y `C` insert y xs
insertSort :: xs:[a] -> IncrL a
insertSort = foldr insert N
```

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Abstract Refinements

```
LiquidHaskell = Liquid Types
+ Abstract Refinements
```

Increase expressiveness without complexity

Relate arguments with result, i.e., max
Relate expressions inside a structure, i.e., Vec, List
Express inductive properties, i.e., loop

Conclusion

Refinement Types for Functional Specifications

Verify Specifications

At run Time (Contracts)

- Expressive
- X Run time checks

Statically (Liquid Types)

- X Less Expressive
 Static verification

Abstract Refinements

Increase expressiveness without complexity

Thank you!