A Logical Approach to Sentiment Analysis DRAFT C

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Summary (English)

The goal of the thesis is to \dots

Summary (Danish)

Målet for denne afhandling er at \dots

Preface

This thesis was prepared at Department of Informatics and Mathematical Modelling at the Technical University of Denmark in partial fulfilment of the requirements for acquiring MSc degree in Computer Science and Engineering.

The project concerns a extraction of opinions occurring in natural language text, also known as sentiment analysis and the thesis presents a formal logical method as a proposed solution. The reader is assumed to have reasonable knowledge of formal logic and formal languages, as well as fundamental knowledge of computational linguistics.

This project was conducted in the period April 1, 2012 to September 30, 2012 under the supervision of Jørgen Villadsen, and was valued at 35 ECTS-credit points. The project specific learning objectives for the project were:

- Understand and extend modern techniques for processing of natural language texts using formal logical systems.
- Demonstrate methods for formal reasoning with respect to natural language understanding.
- Present a *proof of concept* system, that is a fully functional implementation of essential theoretical presented methods.

Kgs. Lyngby, September 30, 2012

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Also the courses Program Analysis (02242) and Functional Programming (02157) have contributed with knowledge crucial to the completion of my thesis. I attended the 24th European Summer School in Logic, Language and Information (ESSLLI-2012) in Opole, Poland during the project, which also provided highly advanced knowledge that has been applied in this thesis.

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Contents

Summary (English)											
Summary (Danish)											
Preface											
\mathbf{A}	cknov	vledgements									
1	Intr	oduction 1									
	1.1	Classical data collection									
	1.2	Natural language data collection									
	1.3	Sentiment of a text									
	1.4	The logical approach									
	1.5	Related work									
	1.6	Using real data sets									
2	Sen	timent analysis 11									
	2.1	Tokenization									
	2.2	Lexical-syntactic analysis									
	2.3	Mildly context-sensitive grammars									
	2.4	Semantic analysis									
3	Combinatory categorial grammar										
	3.1	Combinatory rules									
	3.2	Coordination									
	3.3	Features and agreement									
	3.4	Extending the semantics									

x CONTENTS

4	4 Lexicon acquisition and annotat	ion											31
	4.1 Maximum entropy tagging												32
	4.2 Annotating the lexicon												33
	4.3 Semantic networks												37
	4.4 Sentiment polarity of adjectives												38
	4.5 Sentiment polarity of adverbs .												40
	4.6 Completing the analysis												41
5	5 Implementation	Implementation										43	
	5.1 Data structures												44
	5.2 Reducing semantic expressions												45
	5.3 Interacting with the C&C toolc												45
	5.4 WordNet interface and semantic												47
	5.5 Complexity analysis												50
6	6 Evaluation	Evaluation								51			
	6.1 Test data set												51
	6.2 Test results												52
	6.3 Understanding the results												53
7	7 Discussion											5 5	
8	Conclusion										5 9		
Α	A A naive attempt for lexicon acq	A naive attempt for lexicon acquisition											61
	A.1 Tokenizer and tagger												62
	A.1.1 Shift-reduce parser												62
	A.2 Find a good title												62
В	B Source code												65
\mathbf{C}	C Labeled test data												79
Bibliography										83			

Chapter 1

Introduction

The study of opinion is a one of the oldest fields, with roots in philosophy going back to the Ancient Greek philosophers. The wide adoption of the Internet has made it possible for individuals to express their subjective opinions to a extent much more far-reaching then previous was possible. This has recently been intensified even more due to the explosive popularity of social networks and microblogging services.

The amount of opinion data available is often huge compared to what traditional opinion analyses, e.g. questionnaire surveys, requires to yield significant results. Furthermore the opinions cover nearly every thinkable topic. This gives incentive, given that the potential value of such opinions can be great, if information can be extracted effectively and precisely. Given enough opinions on some topic of interest, they can yield significant indication of *collective opinion shifts*, e.g. shifts in market trends, political sympathies, etc. The interest in such shifts is far from recent, and is a well established subfield of the *psychometrics* and has strong scientific grounds in both psychology and statistics.

However, since these opinions are often stated in an informal setting using natural language, usual methods developed for traditional opinion analyses, e.g. questionnaire surveys, cannot by directly applied on the data. The burst of computational power available has meanwhile made it possible to automatically analyze and classify these huge amounts of opinion data. The application of computational methods to extract such opinions are more commonly known as *sentiment analysis*.

2 Introduction

This thesis presents a *formal logical approach* to extract the *sentiment* of natural language text reviews. In this chapter traditional methods for data collection of sentiments are briefly considered and thereafter the overall challenges involved in collecting reviews stated in natural language are presented. The opinions considered in this thesis are in form of product and service reviews, however most of the techniques presented can be generalized to other types of topics.

1.1 Classical data collection

One of the most used approaches to collect data for opinion analyses is through questionnaire surveys. Most of us are familiar with such surveys, where the subject is forced to answer questions with a fixed scale. For instance, given the statement "The rooms at the Swissôtel Hotel are of high quality.", a subject must answer by selecting one of a predefined set of answers, e.g. as shown in Figure 1.1.

- 1. Strongly disagree
- 2. Disagree
- 3. Neither agree nor disagree
- 4. Agree
- 5. Strongly agree

Figure 1.1: Likert scale.

Such scales, where the subject indicates the *level of agreement*, are known as *Likert scales*, originally presented by Likert [1932], and has been one of the favourite methods of collection data for opinion analyses cf. [?]. Other scales are also widely used, for instance the *Guttman scale* [?], where the questions are binary (yes/no) and ordered such that answering yes to a questions implies the answer yes to all questions ordered below this. Thus the answer on a Guttman scale can likewise be captured by a single index. An example of an Guttman scale is shown in Figure 1.2.

Given a set of answers, the result of such surveys are fairly easy to compute. At its simplest it can be an per question average of the subject's answers, however mostly it is also interesting to connect the questions – for instance how does subjects' answer to the above statement influent their answer to the statement "The food at the Swissôtel Restaurant are of high quality.", etc.

- 1. I like eating out
- 2. I like going to restaurants
- 3. I like going to themed restaurants
- 4. I like going to Chinese restaurants
- 5. I like going to Beijing-style Chinese restaurants

Figure 1.2: Guttman scale.

One advantage of using fixed frameworks as the Likert and Guttman scales is that the result of the data collection is highly well-structured, and multiple answers are known to be provided by the same subject. This makes further analysis as the example just mentioned possible, something that will be much harder to achieve when harvesting reviews from the Internet, where the author of the review is presumably unknown, or at least not connected to any other reviews. Furthermore, since most questionnaire surveys are conducted in relatively controlled settings, where the subjects in many cases has been preselected to constitute a representative sample of some population, the results intuitively have relative high certainty.

However these properties also contributes to some of the disadvantages of classical data collection, namely the difficulty of getting people to answer them. Another issue is that people only can answer on the questions that are provided, which mean that significant aspects of the subjects opinion might not be uncovered if it is not captured by a question.

1.2 Natural language data collection

In this thesis it is argued that a far more natural way for subjects to express their opinions is through their most natural communication form, i.e. their language. The strongest incentive for consider natural language texts as a data source is simply the amount of data available through the Internet. This especially includes posts on social networking services and microblogging services, e.g. Facebook¹ and Twitter², where people often express the opinion on products and services.

This though introduces the need for efficient candidate filtering as the posts in general

¹Facebook, http://www.facebook.com/

²Twitter, http://www.twitter.com/

4 Introduction

of cause are not constrained to a specific entity or topic of interest. This can be fairly easy achieved as most of the services provides APIs that allows keyword filtering. The approach also raises ethical issues, since the author of the post might never realize that it is being used for the purpose of opinion analysis. Larger texts, such as blog posts, could indeed also be considered, however the contextual aspects of large, contiguous texts often makes interpretation extremely complex, thus making it a difficult task to extract opinions on a specific entity. In this thesis only relatively short reviews are thus considered.

One concern is whether social networking users can constitute a representative sample of the population in question. The actual population of course rely on the target of the analysis. This is a non-trivial study itself, but just to demonstrate the sample bias that often are present consider Figure 1.3. The figure shows the age distribution of respectively Twitter Users and the population of Denmark cf. [Pingdom, 2010] and [Eurostat, 2010]. If the target group was Danes in general, harvesting opinions from Twitter without any correction would presumably cause some age groups to be vastly overrepresented, i.e. the mid-aged Danes, while others would be underrepresented, i.e. young and old Danes.

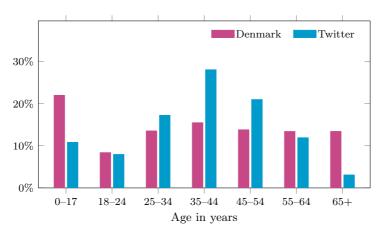


Figure 1.3: Age of Twitter Users and population of Denmark.

Further details on this issue will not be concerned, but it is indeed necessary to correct collected data for sampling bias in order to draw any significant conclusions, such that the distribution of collected opinions indeed follows the target of the analysis.

Another more progressive approach for natural language data collection could be opinion seeking queries as the one shown in (1.1). Such queries are intended to ensure succinct reviews that clearly relate to the entity in question (e.g. product or service) with respect to a specific topic of interest.

This method might not seem that different from that of the previously mentioned Likert scales, but it still allows the reviewer to answer with a much broader sentiment and lets the reviewer argue for his/hers answer as shown in the examples (1.2, 1.3).

The price is moderate for the service and the location.
$$(1.2)$$

1.3 Sentiment of a text

This section gives a succinct presentation of sentiment analysis, and introduce it as a research field. The research in sentiment analysis has only recently enjoyed high research activity cf. [Liu, 2007], [Pang and Lee, 2008], which probably is due to a combination of the progress in machine learning research, the availability of huge data sets through the Internet, and finally the commercial applications that the field offers. Liu [2007, chap. 11] identify three *kinds* of sentiment analysis:

• Sentiment classification builds on text classification principles, to assign the text a sentiment polarity, e.g. to classify the entire text as either positive or negative. This kind of analysis works on document level, and thus no details are discovered about the entity of the opinions that maybe are expressed by the text. The result is somewhat coarse, e.g. it seems to be hard to classify (1.4) as either positive or negative, since it contains multiple opinions.

The buffet was expensive, but the view is amazing.
$$(1.4)$$

- Feature-based sentiment analysis works on sentence level to discover opinions about entities present in the text. The analysis still assigns sentiment polarities, but on a entity level, e.g. the text (1.4) may be analyzed to express a negative opinion about the buffet, and a positive opinion about the view.
- Comparative sentence and relation analysis focus on opinions that describes similarities or differences of more than one entity, e.g. (1.5).

6 Introduction

The kind of analysis presented by this thesis is closest to the feature-based sentiment analysis, however Liu [2007, chap. 11] solely describes methods that uses machine learning approaches, whereas this thesis will present a formal logical approach. The difference between these approaches, and arguments for basing the solution on formal logic will be disclosed in the next section, and further details on the overall analytic approach is presented in Chapter 2.

Finally Liu [2007, chap. 11] identify two ways of expression opinion in texts, respectively explicit and implicit sentiments. An explicit sentiment is present when the sentence directly expresses an opinion about a subject, e.g. (1.6), whereas an implicit sentiment is present when the sentence implies an opinion, e.g. (1.7). Clearly sentences can contain a mix of explicit and implicit sentiments.

The food for our event was delicious.
$$(1.6)$$

When the food arrived it was the wrong order.
$$(1.7)$$

Most research focus on the explicit case, since identifying and evaluating implicit sentiment is an extremely difficult task which requires a high level of domain specific knowledge, e.g. in (1.7) where most people would regard it as negative if a restaurant served another dish then what they ordered. To emphasize this high domain dependency Pang and Lee [2008] considers the sentence (1.8), which in the domain of book reviews implies a positive sentiment, but the exact same sentence implies a negative sentiment in the domain of movie reviews.

Go read the book!
$$(1.8)$$

The thesis will thus focus on the explicit case, since the implicit case was considered to simply require too much domain specific knowledge. This is due to two reasons, firstly the presented solution should be adaptable to *any* domain, and thus tying it too closely to one type of domain knowledge was not an option, secondly the amount of domain knowledge required is in the vast number of cases simply not available, and thus needs to be constructed or collected. With that said the explicit case is neither domain independent, which is a problematic briefly touched in the next section, and detailed in Section 2.4.

1.4 The logical approach

A coarse classification of the different approaches to sentiment analysis is to divide it into two classes: *formal approaches* and *machine learning approaches*. To avoid any confusion this thesis will present a method that belong to the formal class.

- Formal approaches models the texts to analyze as a formal language, i.e. using a formal grammar. This allows a deep syntactical analysis of the texts, yielding the structures of the texts, e.g. sentences, phrases and words for phrase structure grammars, and binary relations for dependency grammars. Semantic information is then extractable by augmenting and inspecting these structures. The result of the semantic analysis is then subject to the actual sentiment analysis, by identifying positive and negative concepts, and how these modifies the subjects and objects in the sentences.
- Machine learning approaches uses feature extraction to train probabilistic models from a set of labeled train data, e.g. a set of texts where each text is labeled as either positive or negative for the sentiment classification-kind analysis. The model is then applied to the actual data set of which an analysis is desired. If the feature extracting really do captures the features that are significant with respect to a text either being negative or positive, and the texts to analyze has the same probability distribution as the training data, then the text will be classified correctly.

Notice that the presented classification only should be interpreted for the process of the actual sentiment analysis, not any preprocessing steps needed in order apply the approach. Concretely the presented formal approach indeed do rely on machine learning techniques in order to efficiently identify lexical properties of the text to analyze as will be covered in Chapter 4.

The motivation for focusing on the formal approach is two-folded: Firstly, different domains can have very different ways of expressing sentiment. What is considered as positive in one domain can be negative in another, and vice-verse. Likewise what is weighted as significant (i.e. either positive or negative) in one domain maybe completely nonsense in another, and again vice-verse. Scientific findings for this are also presented in Chapter 4, but also really follows from basic intuition. Labeled train data are sparse, and since machine learning mostly assumes at least some portion of labeled target data are available this constitutes an issue with the pure machine learning approach. The end result is that the models follows different probability distributions, which complicates the approach, since such biases needs to be corrected, which is not a trivial task.

8 Introduction

Secondly, machine learning will usually classify sentiment on document, sentence or simply on word level, but not on a entity level. This can have unintended results when trying to analyze sentences with coordination of sentiments for multiple entities, e.g. (1.4). The machine learning approaches that does try to analyze on entity level, e.g. feature-based sentiment analysis by Liu [2007, chap. 11], relies on some fixed window for feature extraction, e.g. Liu [2007, chap. 11] uses n-grams. As a result such methods fails to detect long distance dependencies between an entity and opinion stated about that entity. An illustration of this is shown by the potentially unbound number of relative clauses allowed in English, e.g. (1.9), where breakfast is described as best, however one would need to use a window size of at least 9 to detect this relation, which is much larger then normally considered (Liu only considers up to trigrams).

Formal logical systems are opposed to machine learning extremely precise in results. A conclusion (e.g. the sentiment value for a specific subject in a given text) is only possible if there exists a formal proof for this conclusion.

THESIS 1.1 It is the thesis that a logical approach will be able to capture these complex relationships between entities and sentiments, thus achieving a more fine-grained sentiment analysis.

With that said, a logical approach indeed also suffers from obvious issues, most notable robustness, e.g. if there are missing, or incorrect axioms a formal logical system will not be able to conclude anything, whereas a machine learning approach will always be able to give an estimate, which might be a very uncertain estimate, but at least a result. This issue of robustness is crucial in the context of review texts, since such may not always be grammatical correct, or even be constituted by sentences. In Section 1.6 this issue will be addressed further, and throughout this thesis it will be a returning challenge. Details on the logical approach is presented in Chapter 3

1.5 Related work

In the following notable related work on sentiment analysis are briefly presented. As mentioned there are two main flavors of sentiment analysis, namely implicit and explicit. Most of the work fund focus solely on the explicit kind of sentiment, just like this work does.

Furthermore it seems that there is a strong imbalance between the *formal approaches* and *machine learning approaches*, with respect to amount of research, i.e. there exists a lot of research on sentiment analysis using machine leaning compared to research embracing formal methods.

Notably related work using formal approaches include Tan et al. [2011], who presents a method of extracting sentiment from dependency structures, and also focus on capturing long distance dependencies. As dependency structures simply can be seen as binary relations on words, it is indeed a formal approach. However what seems rather surprising is that in the end they only classify on sentence-level, and thus in this process loose entity of the dependency.

The most similar work on sentiment analysis found using a formal approach is the work by Simančík and Lee [2009]. The paper presents a method to detect sentiment of newspaper headlines, also using a computational logic approach (in fact the same grammar formalism that later will be presented and used in this work). The paper focus on some specific problems arising with analyzing newspaper headlines, e.g. such as headline texts often do not constitute a complete sentence, etc. However the paper also present more general methods, including a method for building a highly covering map from words to polarities based on a small set of positive and negative seed words. This method has been adopted by this thesis, as it solves the assignment of polarity values on the lexical level quite elegantly, and is very loosely coupled to the domain. However their actual semantic analysis, which unfortunately is described somewhat shallow in the paper, seem to suffers from severe problems with respect to curtain phrase structures, e.g. relative clauses.

1.6 Using real data sets

For the presented solution to be truly convincing it is desired to present a fully functional *proof of concept* implementation that shows at least the most essential capabilities. However, for such product to be demonstrated properly, real data is required. Testing in on some tiny pseudo data set constructed for the sole purpose of this demonstration would not be convincing. Chapter 5 presents essential aspects of this *proof of concept* implementation.

An immediate concern that raises when dealing with real data sets is the possibility of incorrect grammar and spelling. A solution that would only work on *perfect texts* (i.e. text with perfectly correct grammar and spelling) would not be adequate. Reasons for this could be that word is simply absent from the system's vocabulary (e.g. misspelled), or on a grammatical incorrect form (e.g. wrong person, gender, tense, case, etc.).

10 Introduction

Dealing with major grammatical errors, such as wrong word order is a much harder problem, since even small changes in for instance the relative order of subject, object, verb etc. may result in an major change in interpretation. Thus it is proposed, only to focus on minor grammatical errors such as incorrect form. Chapter 6 presents a evaluation of the implementation on actual review data.

Sentiment analysis

An continuous analog to the sentiment polarity model presented in the introduction is to weight the classification. Thus the polarity is essential a value in some predefined interval, $[-\omega; \omega]$, as illustrated by Figure 2.1. An opinion with value close to $-\omega$ is considered highly negative, whereas a value close to ω is considered highly positive. Opinions with values close to zero are considered almost neutral. This model allows the overall process of the sentiment analysis presented by this thesis to be given by Definition 2.1.



Figure 2.1: Continuous sentiment polarity model.

DEFINITION 2.1 A sentiment analysis \mathcal{A} is a computation on a review text $T \in \Sigma^*$ with respect to a subject $s \in S$, where Σ^* denotes the set of all permissible texts in the language. The result is an normalized score as shown in (2.1). The yielded score should reflect the *polarity* of the subject in the text, i.e. whether the overall opinion is positive, negative, or neutral.

$$\mathcal{A}: \Sigma^{\star} \to S \to [-\omega; \omega] \tag{2.1}$$

It should be evident that this computation is far from trivial, and constitutes the cornerstone of this thesis. There are several steps needed, if such computation should yield any reasonable result. As mentioned in the introduction the goal is a logical approach for achieving this. The following outlines the overall steps to be completed, their associated problematics in this process, and succinctly presents different approaches to solve each step. The chosen approach for each step will be presented in much more details in later chapters. Finally TODO: Something about data acquisition of test-data

2.1 Tokenization

In order the even start processing natural language texts, it is essential to be able to identify the elementary parts, i.e. lexical units and punctuation marks, that constitutes a text. Decent tokenization is essential for all subsequent steps. However even identifying the different sentences in a text can yield a difficult task. Consider for instance the text (2.2) which is taken from the Wall Street Journal (WSJ) corpus [Paul and Baker, 1992]. There are six periods in it, but only two of them indicates sentence boundaries, and delimits the text into its two sentences.

The domain of small review texts allows some restrictions and assumptions, that at least will ease this issue. For instance it is argued that the review texts will be fairly succinct, and thus it seems like a valid assumption that they will consists of only a few sentences. Its is argued that this indeed is achievable by sufficient instructing and constraining the reviewers doing data collection, e.g. only allowing up to a curtain number of characters. This allows sentences in such phrases to be processed independently (i.e. as two separate review texts).

Even with this assumption, the process of identifying the sentences, and the lexical units and punctuation marks within them, is not a trivial task. Webster and Kit [1992] criticizes the neglection of this process, as most NLP studies focus purely on analysis, and assumes this process has already been performed. Such common assumptions might derive from English being a relatively easy language to tokenize. This is due to its space marks as explicit delimiters between words, as opposed to other languages, e.g. Chinese which has no delimiters at all. This might hint that tokenization is very language dependent. And even though English is considered simple to tokenize, a naive approach like segmenting by the occurrence of spaces fails for the text (2.3), which is also from the WSJ corpus, as it would yield lexical

units such as "(or", "perceived," and "rate),". Simply consider all groups of non-alphanumerics as punctuation marks does not work either, since this would fail for i.a. ordinal numbers, currency symbols, and abbreviations, e.g. "Nov." and "Elsevier N.V." in text (2.2). Both of these methods also fail to recognize "Pierre Vinken" and "Elsevier N.V." as single proper noun units, which is arguably the most sane choice for such.

Padró et al. [2010] presents a framework of analytic tools, developed in the recent years, for various NLP tasks. Specially interesting is the morphological analyzer, which applies a cascade of specialized (i.e. language dependent) processors to solve exactly the tokenization. The most simple use pattern matching algorithms to recognize numbers, dates, quantity expressions (e.g. ratios, percentages and monetary amounts), etc. More advanced processing are needed for proper nouns, which relies on a two-level solution: first it applies a fast pattern matching, utilizing that proper nouns are mostly capitalized; and secondly a more advanced ... TODO Cite: (Named entity extraction using adaboost). These recognize proper nouns with accuracy of respectively 90% and over 92%. The analyzer also tries to identify lexical units that are composed of multiple words, e.g. proper nouns and idioms.

It is thus possible, by the use of this framework, to preprocess the raw review texts collected from users, and ensure that they will be tokenized into segments that are suitable for the lexical-syntactic analysis. Thus more details on the tokenizer as regards design will not be presented.

2.2 Lexical-syntactic analysis

The syntactic analysis determines the grammatical structure of the input texts with respect to the rules of the English language. It is expected that the reader is familiar with English grammar rules and syntactic categories, including phrasal categories and lexical categories (also called parts of speech). As mentioned earlier it is essential that the chosen solution is able to cope with real data, collected from actual review scenarios. This implies a robust syntactic analysis accepting a large vocabulary and a wide range of sentence structures. In order to calculate the actual polarity it is essential to have semantic annotations on the lexical units. It is argued that a feasible and suitable solution is to use a grammar that is lexicalized, i.e. where the rules are essentially language independent, and the syntactic properties are derived from a

lexicon. Thus the development of a lexicalized grammar is mainly a task of acquiring a suitable lexicon for the desired language.

Even though the task of syntactic analysis now is largely reduced to a task of lexicon acquisition, which will be addressed in chapter 3, there are still general concerns that are worth acknowledging. Hockenmaier et al. [2004, p. 108-110] identifies several issues in being able to efficiently handle natural language texts solely with lexicalized grammars, mainly due to the need for entries for various combinations of proper nouns, abbreviated terms, dates, numbers, etc. Instead they suggest to use pattern matching and statistical techniques as a preprocessing step, for which efficient components exists, which translate into reduced complexity for the actual syntactic analysis.

Even though it can be argued that the use of proper nouns and specific dates is fairly limited in review text, in that a context can have been established for the reviewer cf. Section 1.2.

However the domain of small review texts also introduce concerns that are absent from other domains, including the possibility of incorrect grammar and spelling, since the texts comes unedited from humans with varying English skills. A solution that would only work on *perfect texts* (i.e. texts of sentences with completely correct grammar and spelling) would not be adequate. In order to at least try to handle minor misspellings it is intended to use algorithms that can select alternatives from the lexicon. Reasons for this could be that word is simply absent from the system's vocabulary (e.g. misspelled), or on a grammatical incorrect form (e.g. wrong person, gender, tense, case, etc.).

2.3 Mildly context-sensitive grammars

There exists formal proofs that some natural language structures requires formal power beyond context-free grammars (CFG), i.e. [Shieber, 1985] and [Bresnan et al., 1982]. Thus the search for grammars with more expressive power has long been a major study within the field of computational linguistics. The goal is a grammar that is so restrictive as possible, allowing efficient syntactic analysis, but still capable of capturing these structures. The class of mildly context-sensitive grammars are conjectured to be powerful enough to model natural languages while remaining efficient with respect to syntactic analysis cf. [Joshi et al., 1990].

Different grammar formalisms from this class has been considered, including *Tree Adjunct Grammar* (TAG) [Joshi *et al.*, 1975], in its lexicalized form (LTAG), *Head Grammar* (HG) [Pollard, 1984] and *Combinatory Categorial Grammar* (CCG) [Steed-

man, 1998]. It has been shown that these are all equal in expressive power by Vijay-Shanker and Weir [1994]. The grammar formalism chosen for the purpose of this thesis is *Combinatory Categorial Grammar* (CCG), pioneered largely by Steedman [2000]. CCG adds a layer of combinatory logic onto pure Categorial Grammar, which allows an elegant and succinct formation of *higher-order* semantic expressions directly from the syntactical analysis. Since the goal of this thesis is a logical approach to sentiment analysis, CCG's native use of combinatory logic seemed like the most reasonable choice. Chapter 3 will formally introduce the CCG in much more detail.

2.4 Semantic analysis

The overall process of semantic analysis in the context of sentiment analysis is to identify the polarity of the entities appearing in the text, and to relate these entities to the subject of the sentiment analysis. The approach is to *annotate* the lexical units of adjectives adverbs with suitable polarities, and then fold these onto the phrasal structures, yielded by the syntactical analysis, in order to identify the bindings of these polarities, i.e. which subjects/objects they modify directly or indirectly.

There exists datasets that tries to bind a general polarity to each word in a lexicon, e.g. [Esuli and Sebastiani, 2006] and [Baccianella et al., 2010]. While such might be fine for general sentiment analyses, or analysis where the domain is not known, it is argued that better results can be achieved by using a domain specific annotation. For instance the adjective "huge" might be considered positive for a review describing rooms at a hotel, while negative for a review describing sizes of cell phones.

As already mentioned, the use of a lexicalized syntactic analysis allows the annotation to appear directly on the entries in the lexicon. A manual annotation of a large lexicon is evidently not a feasible approach. Furthermore the model must also be generic enough so it can be adapted to ideally any domain contexts with minimum efforts, i.e. it is not desired to tie the model to any specific domain, or type of domains. To achieve such a model that is loosely coupled to the domain the concept of semantics networks was chosen cf. Russell and Norvig [2009, p. 454–456].

A semantic network is in its simplest form just a collection of different semantic concepts, and relations between them. The idea is to dynamically construct such semantic networks from a small set of domain specific knowledge, namely a set of positive and negative *seed concepts* in the domain – a technique presented by Simančík and Lee [2009]. Section 4.3 in Chapter 4 will presents details on the approach of calculating the polarities of adjectives and adverbs and additionally present some handling of negations.

The final result of the sentiment analysis is simply the aggregation of the results yielded for each of the results of the semantic analysis.

Chapter 3

Combinatory categorial grammar

In this chapter the formalishm of Combinatory Categorial Grammar (CCG) is introduced, and based on this applied to the proposed sentiment analysis introduced in the previous chapter. For the purpose of explaining and demonstrating CCG a small fragment of English is used. This allow the usage of a "handwritten" lexicon initially. In Chapter 4 the issues related to acquiring, and analyzing with, a wide coverage lexicon are addressed. A CCG lexicon is defined cf. Definition 3.1.

DEFINITION 3.1 A CCG lexicon, \mathcal{L}_{CCG} , is mapping from a lexical unit, $w \in \Sigma^{\star}$, to a set of 2-tuples, each containing a lexical category and semantic expression that the unit can entail cf. (3.1), where Γ denotes the set of lexical and phrasal categories, and Λ denotes the set of semantic expressions.

$$\mathcal{L}_{\text{CCG}}: \Sigma^{\star} \to \mathcal{P}(\Gamma \times \Lambda) \tag{3.1}$$

A tagging of a lexical unit $w \in \Sigma^*$ is simply the selection of one of the pairs yielded by $\mathcal{L}_{\text{CCG}}(w)$. Thus given some ordered set of lexical units, which constitutes the text $T \in \Sigma^*$ to analyse, there might exists many different taggings. This is simply due to the fact that a lexical unit can entail different lexical categories (e.g. "service" is both a noun and a verb), and different semantic expressions (e.g. the noun "service" can

both refer to assistance and tableware). The number of taggings can thus be large, but is always finite.

The set of lexical and phrasal categories, Γ , is of a somewhat advanced structure in the CCG presented, since it follows recent work by Baldridge and Kruijff [2003] to incorporate modalities. A category is either primitive or compound. The set of primitive categories, $\Gamma_{\text{prim}} \subset \Gamma$, is language dependent and, for the English desired to be covered by this thesis, it consists of S (sentence), NP (noun phrase), N (noun) and PP (prepositional phrase). Compound categories are recursively defined by the infix operators $/\iota$ (forward slash) and $/\iota$ (backward slash), i.e. if α and β are members of Γ , then so are $\alpha/\iota\beta$ and $\alpha/\iota\beta$. This allows the formation of all other lexical and phrasal categories needed. The operators are left associative, but to avoid confusion inner compound categories are always encapsulated in parentheses througout this thesis.

The basic intuitive interpretation of $\alpha/\iota\beta$ and $\alpha\backslash\iota\beta$ is as a function that takes a category β as argument and yields a result of category α . Thus the argument is always stated on the right side of the operators, and the result on the left. The operator determines the dictionality of the application, i.e. where the argument should appear relative to the function: the forward operator ($/\iota$) denotes that the argument must appear on the right of the function, whereas the backward operator ($/\iota$) denotes that the argument must appear on the left. The subscript, ι , denotes the modality of the operator, which is a member of a finite set of modalities \mathcal{M} and will be utilized to restrict acceptence in the next section.

The syntactic categories constitutes a type system for the semantic expressions, with a set of primitive types, $\mathcal{T}_{\text{prim}} = \{\tau_x \mid x \in \Gamma_{\text{prim}}\}$. Thus, if a lexicon entry has category $(N \setminus_{\iota} N)/_{\iota}(S/_{\iota} NP)$ then the associated semantic expression must honor this, and have type $(\tau_{\text{NP}} \to \tau_{\text{S}}) \to \tau_{\text{N}} \to \tau_{\text{N}}$ (\to is right assosiative). This is a result of the *Principle of Categorial Type Transparency* [?, Montague, 1974], and the set of all types are denoted \mathcal{T} . For now it is sufficient to describe the set of semantic expressions, Λ , as the set of simply-typed λ -expressions, Λ' , cf. Definition 3.2. In Section 3.4 this is extended to support the desired sentiment analysis.

DEFINITION 3.2 The set of simply typed λ -expressions, Λ' , is defined recursively as the set of expressions e, where e is either a variable x from an infinite set of typed variables $\mathcal{V} = \{v_1 : \tau_{\alpha}, v_2 : \tau_{\beta}, \ldots\}$, a function abstraction, or a functional application.

$$x:\tau\in\mathcal{V}\quad\Rightarrow\quad x:\tau\in\Lambda' \tag{Variable}$$

$$x:\tau_{\alpha}\in\mathcal{V},\ E:\tau_{\beta}\in\Lambda'\quad\Rightarrow\quad\lambda x.E:\tau_{\alpha}\to\tau_{\beta}\in\Lambda' \tag{Abstraction}$$

$$E_{1}:\tau_{\alpha}\to\tau_{\beta}\in\Lambda',\ E_{2}:\tau_{\alpha}\in\Lambda' \quad\Rightarrow\quad (E_{1}E_{2}):\tau_{\beta}\in\Lambda' \tag{Application}$$

3.1 Combinatory rules

CCGs can be seen as a deductive proof system where the axioms are members of $\Gamma \times \Lambda$. A text $T \in \Sigma^*$ is accepted as a sentence in the language, if there exists a deductive proof for S, for some tagging of T.

The inference rules of the proof system are known as *combinators*, since they take one or more function pairs, in the form of instances of $\Gamma \times \Lambda$, and produces new instances from the same set. The combinators determines the expressive power of the grammar. A deep presentation of which rules are *needed*, and thus the linguistic motivation behind this, is out of the scope of this thesis. In the following essential combinators covered by Steedman [2011, chap. 6] are succinctly described, which constitutes a *midely context-sensitive* class grammar. These are the development of the combinatory rules Steedman presented in [2000, chap. 3], however with significant changes with respect to coordinating conjuctions, due to the introduction of modalities on the infix operators.

The set of modalities used, \mathcal{M} , follows [Baldridge and Kruijff, 2003] and [Steedman, 2011], where $\mathcal{M} = \{\star, \diamond, \times, \cdot\}$. The set is partially ordered cf. the lattice (3.2).



The basic concept of annotating the infix operators with $\iota \in \mathcal{M}$, is to restrict the application of inferrence rules during deduction in order ensure the soundness of the system. The \star is the most restrictive, allowing only basic rules, \diamond allows rules which perserves the word order, \times allows rules which permutate the word order, and finally \cdot allows any rule without restrictions. The partial ordering allows the most restrictive categories to also be included in the less restrictive, e.g. any rule that assumes $\alpha/_{\diamond}\beta$ will also be valid for $\alpha/_{\star}\beta$. Since \cdot permits any rule it is convenient to simply write / and \ instead of respectively /. and \., i.e. the dot is omitted from these operators.

The simplest combinator is the functional application, which simply allows the instances to be used as functions and arguments, as already described. The forward and backward functional application combinator can be formulated as respectively (>) and (<), where X and Y are variables ranging over lexical and phrasal categories, and f and a are variables ranging over semantic expressions. Since the operators are annotated with \star , the rules can apply to even the most restrictive categories. For

readability instances (α, e) of $\Gamma \times \Lambda$ is written $\alpha : e$. Notice that since the semantic expressions are typed, the application of a to f is sound.

$$X/_{\star}Y:f \qquad Y:a \quad \Rightarrow \quad X:fa \qquad (>)$$

$$Y: a \qquad X \setminus_{\star} Y: f \quad \Rightarrow \quad X: f a \tag{<}$$

With only these two simple combinatory rules, (>) and (<), the system is capable of capturing any context-free langauge cf. Steedman [2000, p. 34]. For the fragment of English, used to demonstrate CCG, the lexicon is considered to be finite, and it is thus possible, and also convinient, to simply write the mapping of entailment as a subset of $\Sigma^* \times \Gamma \times \Lambda$. Figure 3.1 shows a fragment of this demonstration lexicon. For readability, instances (w, α, e) of $\Sigma^* \times \Gamma \times \Lambda$ is written $w \models \alpha : e$. Notice that the semantic expressions are not yet specified, since it for now is sufficient that just the type of the expressions is correct, and this follows implicitly from the category of the entry.

$$\mathbf{the} \models NP/_{\diamond}N: (\ldots) \qquad \qquad \text{(Determiners)}$$

$$\mathbf{an} \models NP/_{\diamond}N: (\ldots)$$

$$\mathbf{hotel} \models N: (\ldots) \qquad \qquad \text{(Nouns)}$$

$$\mathbf{service} \models N: (\ldots)$$

$$\mathbf{had} \models (S \backslash NP)/NP: (\ldots) \qquad \qquad \text{(Transative verbs)}$$

$$\mathbf{exceptional} \models N/N: (\ldots) \qquad \qquad \text{(Adjectives)}$$

Figure 3.1: A fragment of a tiny handwritten lexicon.

The lexicon for instance shows how determiners can be modeled by the category which takes a noun on the right and yields a noun phrase. Likewise a transitive verb is modeled by a category which first takes a noun phrase on the right (the object), then a noun phrase on the left (the subject) and lastly yields a sentence. Figure 3.2 shows the deduction of S from the simple declarative sentence "the hotel had an exceptional service" (semantics are omitted).

$$\frac{\textbf{the}}{NP / \diamond N} \stackrel{\textbf{hotel}}{N} > \frac{\textbf{had}}{NP / \diamond N} = \frac{\frac{\textbf{an}}{NP / \diamond N} = \frac{\frac{\textbf{exceptional}}{NP / \diamond N}}{\frac{NP}{NP}} > \frac{\textbf{exceptional}}{NP} > \frac{\textbf{service}}{N} > \frac{\textbf{had}}{NP / \diamond N} > \frac{\textbf{NP}}{NP} > \frac{\textbf{S} / NP}{S} > \frac$$

Figure 3.2: Deduction of simple declerative sentence.

Besides functional application, CCG also has a set of more restrictive rules, including functional composition, defined by the forward and backward functional composition combinators, respectively $(>_{\mathbf{B}})$ and $(<_{\mathbf{B}})$, where Z likewise is a variable ranging over Γ , and g over Λ .

$$X/_{\diamond}Y: f \quad Y/_{\diamond}Z: g \quad \Rightarrow \quad X/_{\diamond}Z: \lambda a.f(g \ a)$$
 (>B)

$$Y \setminus_{\diamond} Z : g \quad X \setminus_{\diamond} Y : f \quad \Rightarrow \quad X \setminus_{\diamond} Z : \lambda a. f(g \ a)$$
 (<\(\mathbf{B}\))

Notice that the semantic expression yielded by $(>_{\mathbf{B}})$ and $(<_{\mathbf{B}})$ is equivalent to regular functional composition (\circ) of f and g, but since $f \circ g \notin \Lambda$ they need to be written as λ -expressions.

Functional composition is often used in connection with another rule, namely type-raising, defined by the forward and backward type-raising combinators, respectively $(>_{\mathbf{T}})$ and $(<_{\mathbf{T}})$, where T is a variable ranging over categories.

$$X: a \Rightarrow T/_{\iota}(T \setminus_{\iota} X) : \lambda f. fa$$
 $(>_{\mathbf{T}})$

$$X: a \Rightarrow T \setminus_{\iota} (T/_{\iota}X) : \lambda f. fa$$
 $(<_{\mathbf{T}})$

Type-rasing allows a often primitive category, X, to raise into a category that instead captures a compound category, which is a function over X. The modality of the result is not controllable and is thus often suppressed, however any constrains of the applicability of X of cause continue cf. [Baldridge and Kruijff, 2003].

Notice that the introduction of these rules, i.e. function composition and type-raising, allows deductional ambiguity, i.e. a proof for some sentence may be achievable by multiple deductions as shown in Figure 3.3. However such ambiguities are immaterial, since they do not correspond to semantic ambiguity.

Figure 3.3: Multiple deductions of the same sentence.

A system with these rules demonstrates what is arguably CCG's most unique advantage, namely the ability to handle *unbounded dependencies* without any additional lexicon entries. For instance a transitive verb, with the *same* category as shown in Figure 3.1, can participate in relative clauses as shown in Example 3.1, given the presence of a small set of entries for relative pronouns, e.g. Figure 3.4.

$$\begin{array}{l} \mathbf{that} \models (N \backslash_{\diamond} N) / (S /_{\diamond} NP) : (\ldots) \\ \mathbf{that} \models (N \backslash_{\diamond} N) / (S \backslash_{\diamond} NP) : (\ldots) \\ \end{array}$$

Figure 3.4: Fragment of lexicon for the relative pronoun "that".

EXAMPLE 3.1 Figure 3.5 shows an example of both type-rasing and functional composition. The transitive verb (provided) is requiring an object in the form of a noun phrase to its right. However, since it participate in a relative clause, its object is given by the noun that the clause modifies. Type raising allows the subject of the relative clause to raise into a category that can compose with the verb, and thus allows the relative pronoun (that) to bind the relative clause to the noun.

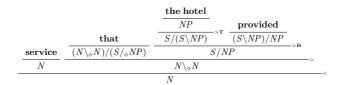


Figure 3.5: Deduction of noun phrase with relative clause.

The last set of rules presented here is the *crossed functional composition*, defined by the forward and backward crossed functional composition combinators, respectively $(>_{\mathbf{B}_{\times}})$ and $(<_{\mathbf{B}_{\times}})$.

$$\begin{array}{lll} X/_{\times}Y:f & Y\setminus_{\times}Z:g & \Rightarrow & X\setminus_{\times}Z:\lambda a.f(g\;a) & (>_{\mathbf{B}_{\times}}) \\ Y/_{\times}Z:g & X\setminus_{\times}Y:f & \Rightarrow & X/_{\times}Z:\lambda a.f(g\;a) & (<_{\mathbf{B}_{\times}}) \end{array}$$

Crossed functional composition allows *permutation* of the word order. This is usefull to allow adverbs in sentences with shifting of heavy noun phrases as shown in Example 3.2.

3.2 Coordination 23

EXAMPLE 3.2 Normally an adverb is put after the object of the verb it modifies in English, e.g. "the hotel served breakfast daily". However if the object of the verb becomes "heavy" it may sometimes be moved to the end of the sentence, e.g. "the hotel served daily a large breakfast with fresh juice".

In such cases the adverb needs to compose with the verb, before the verb combines with its object. The crossed functional composition allows exactly such structures as shown in Figure 3.6.

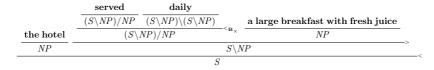


Figure 3.6: Deduction of "heavy" noun phrase shifting.

Steedman [2000; 2011] introduces a few additional combinators to capture even more "exotic" linguistic phenomena. Recollect that the rules are language independent, and indeed some of the additional phenomena covered by Steedman is either considered infrequent (e.g. parasitic gaps), or even absent (e.g. cross-serial dependencies), from the English language desired to cover by this sentiment analysis. It will later be shown (Chapter 4) that the rules already presented indeed cover a substantial part of English.

3.2 Coordination

As mentioned in the introduction, one of the goals is to correctly capture the sentiment of entities in sentences with coordination of multiple opinions.

Coordination by appearance of a coordinating conjunction, such as and, or, but, punctuation and comma, etc., can be modeled simply by the intuition that such should bind two constituents of same syntactic category, but with different semantic expressions, and yield a result also of that category. Some examples of the and coordinating conjunction is shown in Figure 3.7.

and
$$\models (S \setminus_{\star} S)/_{\star} S : (...)$$
 (Conjunctions)
and $\models (N \setminus_{\star} N)/_{\star} N : (...)$
and $\models (NP \setminus_{\star} NP)/_{\star} NP : (...)$

Figure 3.7: Fragment of lexicon for the coordinating conjunction "and".

It now becomes evident, why the modalities are needed, since application of the crossed composition combinators without any restrictions could allow scrambled sentences to be deducted falsely, e.g. Figure 3.8.

$$\frac{\mathbf{I}}{NP} = \frac{\mathbf{I}}{NP} = \frac{\mathbf{I}}{S \setminus NP} + \frac{\mathbf{I}}{S \setminus NP \setminus NP} + \frac{\mathbf{I}}{NP} + \frac{\mathbf{I}}{S \setminus NP \setminus NP} + \frac{\mathbf{I}}{S \setminus$$

Figure 3.8: Unsound deduction of sentence given absence of modalities.

Similar pit-falls are possible if unresticted application of $(>_{\mathbf{B}})$ and $(<_{\mathbf{B}})$ was allowed, as shown by Baldridge [2002, chap. 4] for the Turkish language. This justifies the requirement for the modalities Baldridge originally proposed in [2002, chap. 5] and Baldridge and Kruijff presented in a refined version in [2003].

3.3 Features and agreement

The syntactic analysis until now has concerned the acceptable order of lexical units based on their categories. However, to guarantee that the accepted phrases indeed follows correct grammar, the features of the lexical units must also agree. The set of features that might apply is language dependent, for instance most indo-european languages state features for person (e.g. 1st, 2nd or 3rd), number (e.g. singular or plural), gender (e.g. male or female), etc. To incorporate this the primitive categories, $\Gamma_{\rm prim}$, cannot be seen as atomic entities, but instead as structures that carries features, e.g. $S_{\rm dcl}$ and $NP_{\rm sg,3rd}$ denotes respectively a declarative sentence, and a singular, 3rd-person noun phrase. A set of features agrees with another if they do not contain different elements of the same kind. For instance $NP_{\rm sg,3rd}$ agree with $NP_{\rm sg}$, but not with $NP_{\rm pl,3rd}$, etc.

However, as mentioned in Section 2.2, a strict enforcement is not intended for the purpose of sentiment analysis, e.g. reviews containing small grammatical errors, such as wrong number as shown in (3.3), should not be discarded simply for this reason.

The hotel have great service
$$(3.3)$$

However completely ignoring the features is neither an option. A evident demonstration of this is the usage of *predicative adjectives*, e.g. adjectives that modify the subject in a sentence with a *linking verb* as shown in Figure 3.9. Without the correct features, having such entries in the lexicon would allow sentences as "the hotel great", which of cause is not desired. The linguistic background for the which features are considered necessary for English is not within the scope of this thesis, but one is given by Hockenmaier in [2003], and that feature-set will be used.

$$rac{ ext{the service}}{NP} = rac{rac{ ext{was}}{(S_{
m dcl} ackslash NP)/(S_{
m adj} ackslash NP)}}{S_{
m dcl} ackslash NP} > rac{ ext{great}}{S_{
m adj} ackslash NP}$$

Figure 3.9: Sentence with predicative adjective.

3.4 Extending the semantics

The CCG presented in the previous sections has been based on established literature, but in order to apply the grammar formalism to the area of sentiment analysis the expressive power of the semantics need to be adapted to this task. Until now the semantics has not been of major concern, recall that it just was defined as simply typed λ -expressions cf. Definition 3.2. Furthermore the actual semantics of these semantic expressions has not been disclosed, other than the initial use of λ -expressions might hint that ordinary conventions of such presumably apply. The semantic expressions are given by Definition 3.3.

DEFINITION 3.3 The set of semantic expressions, Λ , is defined as a superset of Λ ' (see Definition 3.2). Besides variables, function abstraction and functional application, the following structures are available: a n-ary functor $(n \geq 0)$ with name f from an infinite set of functor names, polarity $j \in [-\omega; \omega]$, and impact argument k $(0 \leq k \leq n)$; a sequence of n semantic expressions of the same type; the change of impact, the change of an expression's polarity; and the scale of an expression's polarity. The magnitude of which a expression's polarity may scale is given by $[-\psi; \psi]$.

$$E_{1}, \dots, E_{n} \in \Lambda, 0 \leq k \leq n, \ j \in [-\omega; \omega] \quad \Rightarrow \quad f_{j}^{k}(E_{1}, \dots, E_{n}) \in \Lambda \qquad \text{(Functor)}$$

$$E_{1}: \tau, \dots, E_{n}: \tau \in \Lambda \quad \Rightarrow \quad \langle E_{1}, \dots, E_{n} \rangle : \tau \in \Lambda \qquad \text{(Sequence)}$$

$$E: \tau \in \Lambda, 0 \leq k' \quad \Rightarrow \quad E^{\leadsto k'}: \tau \qquad \text{(Impact change)}$$

$$E: \tau \in \Lambda, \ j \in [-\omega; \omega] \quad \Rightarrow \quad E_{\circ j}: \tau \in \Lambda \qquad \text{(Change)}$$

$$E: \tau \in \Lambda, \ j \in [-\psi; \psi] \quad \Rightarrow \quad E_{\bullet j}: \tau \in \Lambda \qquad \text{(Scale)}$$

The semantics includes normal α -conversion and β -, η -reduction as shown below, where $E_1[x \mapsto E_2]$ denotes the *safe* substitution of x with E_2 in E_1 , and FV(E) denotes the set of free variables in E. For details see for instance [?].

$$\lambda x.E \Rightarrow \lambda y.E[x \mapsto y] \qquad y \notin FV(E) \qquad (\alpha\text{-conversion})$$

 $\lambda x.E_1E_2 \Rightarrow E_1[x \mapsto E_2] \qquad (\beta\text{-reduction})$
 $\lambda x.Ex \Rightarrow E \qquad x \notin FV(E) \qquad (\eta\text{-reduction})$

More interesting are the rules that actually allow the binding of polarities to the phrase structures. The *change of a functor* itself is given by the rule (FC1), which apply to functors with, impact argument, k = 0. For any other value of k the functor acts like a non-capturing enclosure that passes on any change to its k'th argument as follows from (FC2). The *change of a sequence* of expressions is simply the change of each element in the sequence cf. (SC). Finally it is allowed to *push change* inside an abstraction as shown in (PC), simply to ensure the applicability of the β -reduction rule.

$$f_j^0(E_1, \dots, E_n)_{\circ j'} \Rightarrow f_{j + j'}^0(E_1, \dots, E_n)$$
 (FC1)

$$f_j^k(E_1, \dots E_n)_{\circ j'} \quad \Rightarrow \quad f_j^k(E_1, \dots, E_{k \circ j'}, \dots E_n)$$
 (FC2)

$$\langle E_1, \dots, E_n \rangle_{\circ i'} \quad \Rightarrow \quad \langle E_{1 \circ i'}, \dots, E_{n \circ i'} \rangle$$
 (SC)

$$(\lambda x.E)_{\circ j'} \quad \Rightarrow \quad \lambda x.(E_{\circ j'})$$
 (PC)

Completely analogue rules are provided for the scaling as shown in respectively (FS1), (FS2), (SS) and (PS). Notice that these *change* and *scale* rules are type preserving.

$$f_j^0(E_1, \dots, E_n)_{\bullet j'} \Rightarrow f_{j \hat{\ } j'}^0(E_1, \dots, E_n)$$
 (FS1)

$$f_i^k(E_1, \dots E_n)_{\bullet j'} \Rightarrow f_i^k(E_1, \dots, E_{k \bullet j'}, \dots E_n)$$
 (FS2)

$$\langle E_1, \dots, E_n \rangle_{\bullet j'} \quad \Rightarrow \quad \langle E_{1 \bullet j'}, \dots, E_{n \bullet j'} \rangle$$
 (SS)

$$(\lambda x.E)_{\bullet j'} \quad \Rightarrow \quad \lambda x.(E_{\bullet j'})$$
 (PS)

It is assumed that the addition and multiplication operator, respectively $\widehat{+}$ and $\widehat{\cdot}$, always yields a result within $[-\omega;\omega]$, even if the pure addition and multiplication might not be in this range cf. (3.4) and (3.5).

$$j\widehat{+}j' = \begin{cases} -\omega & \text{if } j+j' < -\omega \\ \omega & \text{if } j+j' > \omega \\ j+j' & \text{otherwise} \end{cases}$$
 (3.4)

$$j\widehat{\cdot}j' = \begin{cases} -\omega & \text{if } j \cdot j' < -\omega \\ \omega & \text{if } j \cdot j' > \omega \\ j \cdot j' & \text{otherwise} \end{cases}$$
 (3.5)

Finally the change of impact allows change of a functors impact argument cf. (IC).

$$f_j^k(E_1, \dots E_n)^{\sim k'} \quad \Rightarrow \quad f_j^{k'}(E_1, \dots E_n)$$
 (IC)

The presented definition of semantic expressions allow the specification ...

Lead up to Example 3.3 and 3.4 ...

EXAMPLE 3.3 ...

Write example text for simple declarative sentence ... The entity "service" is modifyied by the adjective ... yada yada ... Notice that nouns, verbs, etc. are reduced to their lemma for functor naming...

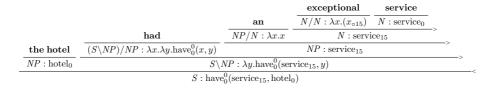


Figure 3.10: TODO

Example 3.4 ...

Write example text for sentence with relative clause and "heavy" noun phrase shifting ... The entity "breakfast" is modifyied by the adjective ... yada yada ... Notice that long distance relation between the entity and the adjective. Also explain why impact change is needed to "close" the relative clause for further modification.



Figure 3.11: Sentiment of sentence with "heavy" noun phrase shifting.

End on a up-note:)

CHAPTER 4

Lexicon acquisition and annotation

The tiny languages captured by "handwritten" lexicons, such as the one demonstrated in the previous chapter, are obviously not a sane option when the grammar is to accept a large vocabulary and a wide range of sentence structures.

In order to use the presented model on a actual data, the acquisition of a wide covering lexicon is crucial. Initially considerable effort was made to try to build a CCG lexicon from a POS-tagged corpus (part-of-speech-tagged corpus). A POS-tagged corpus is simply a corpus where each token is tagged with a POS-tag, e.g. noun, verb, etc. There is no deep structure in such a corpus as opposed to a treebank. This approach turned up to have extensive issues as a result of this lack of structure, some of which are detailed in Appendix A which succinctly describes the process of the attempt.

There exists some wide covering CCG lexicons, most notable CCGbank, compiled by Hockenmaier and Steedman [2007] by techniques presented by [Hockenmaier, 2003]. It is essentially a translation of almost the entire Penn Treebank [Marcus et al., 1993], which contains over 4.5 million tokens, and where each sentence structure has been analyzed in full and annotated. The result is a highly covering lexicon, with some entries having assigned over 100 different lexical categories. Clearly such lexicons only constitutes half of the previous defined \mathcal{L}_{CCG} map, i.e. only the lexical categories, Γ . The problem of obtaining a full lexicon, that also yields semantics expressions, is addressed in the next section. It is also worth mentioning that since

Baldridge's [2002] work on modalities only slightly predates Hockenmaier's [2003] work on CCGBank, the CCGBank does not incorporate modalities¹. However more unfortunately is that CCGBank is not free to use, mainly due to license restrictions on the Penn Treebank.

What might not be as obvious is that besides obtaining a wide-covering lexicon, \mathcal{L}_{CCG} , a even harder problem is for some text T to select the right tagging from $\mathcal{L}_{\text{CCG}}(w)$ for each token $w \in T$. Hockenmaier and Steedman [2007] calculate that the expected number of lexical categories per token is 19.2 for the CCGBank. This mean that a exhaustive search of even a short sentence (seven tokens) is expected to consider over 960 million (19.2⁷ \approx 961 852 772) possible taggings. This is clearly not a feasible approach, even if the parsing can explore all possible deductions in polynomial time of the number of possible taggings. The number of lexical categories assigned to each token needs to be reduced, however simple reductions as just assigning the most frequent category observed in some training set (for instance CCGBank) for each token is not a solution. This would fail to accept a large amount of valid sentences, simply because it is missing the correct categories.

4.1 Maximum entropy tagging

Clearly a solution need to base the reduction on the setting of the token, i.e. in which context the token appears. Clark [2002] presents a machine learning approach based on a maximum entropy model that estimate the probability that a token is to be assigned a particular category, given the features of the local context, e.g. the POS-tag of the current and adjacent tokens, etc. This is used to select a subset of possible categories for a token, by selecting categories with a probability within a factor of the category with highest probability. Clark shows that the average number of lexical categories per token can be reduced to 3.8 while the parser still recognize 98.4% of unseen data. Clark and Curran [2007] presents a complete parser, which utilizes this tagging model, and a series of (log-linear) models to speed-up the actual deduction (i.e. the parsing) once the tagging model has assigned a set of categories to each token. What maybe even more interesting is that models trained on the full CCGBank, along with toolchain to use them (called the C&C tools), can be licensed freely for education or research purposes. For this reason it was chosen to use these models and tools.

Furthermore, even though the models neither incorporates modalities, since they are trained on the CCGBank, the deduction models solve many of these problems, since a more plausible deduction (i.e. a deduction seen more frequent in the CCGBank)

¹There does exists another project, OpenCCG, started by Baldridge, which actually does incorporate modalities, but it has little documentation and was therefore not valued mature enough.

always will suppress other less plausible deductions. Special care are taken about coordination, so neither here seems the lack of modalities to yield significant issues.

4.2 Annotating the lexicon

The output from the C&C toolchain can be printed in various formats, including Prolog, which was considered the closest to the presented model, as it, given some set of tokens, w_1, \ldots, w_n , simply returns a lexicon and a deduction. An illustrative output for the tokens "the service was great" is given in Figure 4.1. In Chapter 5 more details on the actual format and the processing of it is given.

Figure 4.1: Illustration of output from the C&C toolchain.

Clearly, deductions in the style previously presented is trivially obtained by substituting the axioms placeholders with the lexicon entries associated. The C&C toolchain also has a build-in morphological analyzer which allow the lexicon to provide the lemma of the tokens and the POS-tag² of the token. Both of these will be proven convenient later.

There is however one essential component missing from the lexicon, namely the semantic expressions. However due to the *Principle of Categorial Type Transparency* it is known exactly what the types of the semantic expressions should be. There are currently a total of 429 different tags in the C&C tagging model, thus trying to handle each of these cases individually is almost as senseless choice as trying to manually construct the lexicon, and certainly not very robust for changes in the lexical categories. The solution is to handle some cases that need special treatment, and then use a generic annotation algorithm for all other cases. Both the generic and the special case algorithms will be a transformation $(\mathcal{T}, \Sigma^*) \to \Lambda$, where the first argument is the type, $\tau \in \mathcal{T}$, to construct, and the second argument is the lemma, $\ell \in \Sigma^*$, of the lexicon entry to annotate. Since the special case algorithms will fallback to the

²Since the C&C models are trained on CCGBank, which in turn are a translation of The Penn Treebank (PTB), the POS-tag-set used is equivalent to that of PTB cf. [Marcus *et al.*, 1993].

generic approach, in case preconditions for the case are not met, it is convenient to start with the generic algorithm, \mathcal{U}_{GEN} , which is given by Definition 4.1.

DEFINITION 4.1 The generic semantic annotation algorithm, \mathcal{U}_{GEN} (4.1), for a type τ and lemma ℓ is defined by the auxiliary function $\mathcal{U'}_{GEN}$, which takes two additional arguments, namely an infinite set of variables \mathcal{V} cf. Definition 3.2, and an ordered set of sub-expressions, (denoted A), which initially is empty.

$$\mathcal{U}_{GEN}(\tau,\ell) = \mathcal{U}'_{GEN}(\tau,\ell,\mathcal{V},\emptyset) \tag{4.1}$$

If τ is primitive, i.e. $\tau \in \mathcal{T}_{\text{prim}}$, then the generic algorithm simply return a functor with name ℓ , polarity and impact argument both set to 0, and the ordered set A as arguments. Otherwise there must exists unique values for $\tau_{\alpha}, \tau_{\beta} \in \mathcal{T}$, such that $\tau_{\alpha} \to \tau_{\beta} = \tau$, and in this case the algorithm return an abstraction of τ_{α} on variable $v \in V$, and recursively generates a expression for τ_{β} .

$$\mathcal{U}_{\text{GEN}}'(\tau,\ell,V,A) = \begin{cases} \ell_0^0(A) : \tau & \text{if } \tau \in \mathcal{T}_{\text{prim}} \\ \lambda v.\mathcal{U}_{\text{GEN}}'(\tau_\beta,\ell,V\setminus\{v\},A') : \tau & \text{otherwise, where:} \end{cases}$$

$$v \in V$$

$$\tau_\alpha \to \tau_\beta = \tau$$

$$A' = \begin{cases} A[e : \tau_\alpha \to \tau_\gamma \mapsto ev : \tau_\gamma] & \text{if } e' : \tau_\alpha \to \tau_\gamma \in A \\ A[e : \tau_\gamma \mapsto ve : \tau_\delta] & \text{if } \tau_\gamma \to \tau_\delta = \tau_\alpha \land e' : \tau_\gamma \in A \end{cases}$$

$$A \cup \{v : \tau\} & \text{otherwise} \end{cases}$$

The recursive call also removes the abstracted variable v from the set of variables, thus avoiding recursive abstractions to use it. The ordered set of sub-expressions, A, is modified cf. A', where the notation $A[e_1:\tau_1\mapsto e_2:\tau_2]$ is the substitution of all elements in A of type τ_1 with $e_2:\tau_2$. Note that e_1 and τ_1 might be used to determine the new value and type of the substituted elements. Since the two conditions on A' are not mutual exclusive, if both apply the the first case will be selected. The value of A' can be explained in a informal, but possibly easier to understand, manner:

- If there is a least one function in A, that takes an argument of type τ_{α} , then apply v (which is known to by of type τ_{α}) to all such functions in A.
- If the type of v itself is a function (i.e. $\tau_{\gamma} \to \tau_{\delta} = \tau_{\alpha}$), and A contains at least one element that can be used as argument, then substitute all such arguments in A by applying them to v.
- Otherwise, simply append v to A.

The get a little familiar with how the generic semantic annotation algorithm works, Example 4.1 shows the computation of some types and lemmas.

EXAMPLE 4.1 Table 4.1 shows the result of applying \mathcal{U}_{GEN} on some lemmas and types. The result for a noun as "room" is simply the zero-argument functor of the same name. The transitive verb "provide" captures two noun phrases, and yields a functor with them as arguments.

More interesting is the type for the determiner "every", when used for instance to modify a performance verb, as shown in Figure 4.2. It starts by capturing a noun, then a function over noun phrases, and lastly a noun phrase. The semantic expression generated for this type is a functor, with simply the noun as first argument, and where the second argument is the captured function applied on the noun phrase.

Lemma	Type	Generic semantic expression
room provide every	$\begin{aligned} &\tau_{\text{N}} \\ &\tau_{\text{NP}} \to \tau_{\text{NP}} \to \tau_{\text{S}} \\ &\tau_{\text{N}} \to (\tau_{\text{NP}} \to \tau_{\text{S}}) \to (\tau_{\text{NP}} \to \tau_{\text{S}}) \end{aligned}$	$ \begin{array}{l} \operatorname{room}_0^0 \\ \lambda x.\lambda y.\operatorname{provide}_0^0(x,y) \\ \lambda x.\lambda y.\lambda z.\operatorname{every}_0^0(x,y z) \end{array} $

Table 4.1: Some input/output of generic annotation algorithm

	every	day	
cleaned	DT	NN	
VBN	$\overline{((S_x \backslash NP) \backslash (S_x \backslash NP))/N : \lambda x. \lambda y. \lambda z. \text{every}_0^0(x, y \ z)}$	$N: day_0$	
$S_{\mathrm{pss}} \backslash NP : \lambda x. \mathrm{clean}_0^0(x)$	$\frac{1}{(S_x \backslash NP) \backslash (S_x \backslash NP) : \lambda y. \lambda z. \text{every}_0^0(\text{day}_{0.0}, y \ z)}$		
	$S_{\mathrm{pss}} \backslash NP : \lambda z. \mathrm{every}_0^0(\mathrm{day}_0, \mathrm{clean}_0^0(z))$		

Figure 4.2: Complex determiner modifying performance verb.

Clearly the generic algorithm does not provide much use with respect to extracting the sentiment of entities in the text, i.e. it only provide some safe structures that are guaranteed to have the correct type. The more interesting annotation is actually handled by the special case algorithms. How this is done is determined by a combination of the POS-tag and the category of the entry. Most of these treatments are very simple, with the handling of adjectives and adverbs being the most interesting. The following briefly goes through each of the special case annotations.

- Determiners with simple category, i.e. NP/N, are simply mapped to the identity function, $\lambda x.x.$ While determiners have high focus in other NLP tasks, such as determine if a sentence is valid, the importance does not seem significant in sentiment analysis, e.g. whether an opinion is stated about *some* or all of entities does not change the overall polarity of the opinion bound to that entity.
- Nouns are in general just handled by the generic algorithm, however in some cases of multi-word nouns, the sub-lexical entities may be tagged with the category N/N. In these cases the partial noun is annotated with a list structure, that eventually will capture the entire noun, i.e. $\lambda x. \langle \mathcal{U}_{\text{GEN}}(\tau_{\text{N}}, \ell), x \rangle$, where ℓ is the lemma of the entity to annotate.
- Verbs are just as nouns in general handled by the generic algorithm, however linking verbs are a special case, since they relate the subject (i.e. a entity) with one or more predicative adjectives. Linking verbs have the category $(S_{\text{dcl}} \backslash NP)/(S_{\text{adj}} \backslash NP)$, and since the linked adjectives directly describes the subject of the phrase such verbs are simply annotated with the identity function, $\lambda x.x.$
- Adjectives can have a series of different categories depending on how they participate in the sentence, however most of them have the type $\tau_{\alpha} \to \tau_{\beta}$, where $\tau_{\alpha}, \tau_{\beta} \in \mathcal{T}_{\text{prim}}$. These are annotated with the *change* of the argument, i.e. $\lambda x.x_{\circ j}$, where j is a value determined based on the lemma of the adjective. Notice that this assumes implicit type conversion of the parameter from τ_{α} to τ_{β} , however since these are both primitive, this is a sane type cast. Details on how the value j is calculated are given in Section 4.4.
- Adverbs are annotated in a fashion closely related to that of adjectives. However the result might either by a change or a scale, a choice determined by the lemma: normally adverbs are annotated by the change in the same manner as adjectives, however intensifiers and qualifiers, i.e. adverbs that respectively strengthens or weakens the meaning, are scaled. Section 4.5 gives further details on how this choice is made. Finally special care are taken about negating adverbs, i.e. "not", which are scaled with a value j = -1.
- Prepositions and relative pronouns need to change the impact argument of captured partial sentences, i.e. preposition phrases and relative clauses, such that further modification should bind to the subject of the entire phrase as were illustrated by Example 3.4.
- Conjunctions are annotated by an algorithm closely similar to \mathcal{U}_{GEN} , however instead of yielding a functor of argument, the algorithm yields a list structure. This allow any modification to bind on each of the conjugated sub-phrases.

4.3 Semantic networks

In order to reason about the polarity of the entities present in the text to analyse, a understanding of the domain of the review is needed. For this purpose the concept of *semantic networks* is introduced. Formally a semantic network is a structure cf. Definition 4.2.

DEFINITION 4.2 a semantic network is a quadruple (L, S, R, M) where:

- $L \subset \Sigma^*$ is the set of lexical units recognized by the network.
- S is the set of semantic concepts in the network.
- R is a set of binary relations on S where the relation $r \in R$ describes links between semantic concepts, i.e. $r \subset S \times S$.
- M is a mapping from lexical units to a set of semantic entities that the lexical unit can entail, i.e. $M: L \to \mathcal{P}(S)$.

Notice that S and R constitutes a set of graphs, i.e. for each relation $r \in R$ the graph (S,r). The graph is undirected if r is symmetric, and directed if r is asymmetric. An illustrative example of such a graph, denoting a relation in a extract of a semantic network of adjective concepts is given in Figure 4.3. Since a lexical unit might entail many different concepts cf. Definition 4.2, semantic concepts is described by the set of lexical unit that can entail it, each denoted with the index that uniquely identifies that meaning of the lexical unit. For instance in Figure 4.3 the 4th meaning of olympian entail the same semantic concept as the 1st meaning of olympian entail the same semantic concept as the 1st meaning of olympian entail the same semantic concept as the 1st meaning of olympian entail the same semantic concept as the 1st meaning of olympian entail the same semantic concept as the 1st meaning of olympian entail the same semantic concept as the 1st meaning of olympian entail the same semantic concept as the 1st meaning of olympian entail the same semantic concept as the 1st meaning of olympian entail the same semantic concept as the 1st meaning of olympian entail the same semantic concept as the 1st meaning of olympian entail the same semantic concept as the 1st meaning of olympian entail the same semantic concept as the 1st meaning of olympian entail the same semantic concept as the 1st meaning of olympian entail the same semantic concept as the 1st meaning of olympian entail the same semantic concept as the 1st meaning of olympian entail the same semantic concept as the 1st meaning of olympian entail the same semantic concept as the 1st meaning of olympian entail the same semantic concept as the 1st meaning of olympian entail the same semantic concept as the 1st meaning of olympian entail the same semantic concept as olympian entail the same semantic concept as olympian entail the same semantic concept as olympian entail the same seman

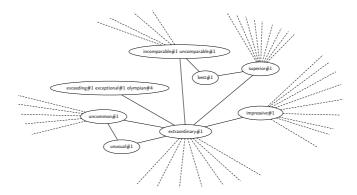


Figure 4.3: Illustration of relation in a semantic network.

The concrete semantic network used is WordNet, originally presented by Miller [1995], and later presented in depth by Fellbaum [1998]. Like it was the issue when acquiring a lexicon for the syntactic analysis, the availability of free semantic networks is very sparse. It has not been possible to find other competing networks with a coverage close to that of WordNet, and thus the decision of using WordNet is really based on it being the only choice. With that said, it is argued that WordNet is a quite extensive semantic network, which in its most recent revision (3.0) contains a relatively large number of semantic concepts, namely $|S| \approx 117\,000$, while the number of lexical units recognized may be argued is not as covering as ideally, namely $|L| \approx 155\,000$.

WordNet contains a variety of relations, R, however for the purpose of calculating sentiment polarity values, only the following were considered interesting:

- The similar-relation, $r_{similar}$, links $closely\ similar$ semantic concepts, i.e. concepts having almost the synonymies mensing in most contexts. The relation is present for most concepts entailed by adjectives.
- The see also-relation, $r_{\text{see-also}}$, links coarsely similar semantic concepts, i.e. concepts having a clear different mensing, but may be interpreted interchangeably for some contexts. Figure 4.3 on the preceding page shows an example of exactly the see also-relation.
- The pertainym-relation, $r_{pertainym}$, links the adjectives from which a adverb was derived, e.g. extreme is the pertainym of extremely.

4.4 Sentiment polarity of adjectives

The approach used to calculate sentiment polarity values for adjectives is very similar to the one presented by Simančík and Lee [2009], namely a domain specific set of positive and negative *seed concepts* are identified, respectively $S_{\rm pos}$ and $S_{\rm neg}$. Each semantic concept in the sets can be described by a lexical unit and the specific index for that lexical unit that entail the desired concept, e.g. as shown in (4.2) and (4.3).

$$S_{\text{pos}} = \{\text{clean} \#1, \text{quiet} \#1, \text{friendly} \#1, \text{cheap} \#1\}$$

$$(4.2)$$

$$S_{\text{neg}} = \{\text{dirty}\#1, \text{noisy}\#1, \text{unfriendly}\#1, \text{expensive}\#1\}$$
 (4.3)

The graph G_{adj} , given by (4.4), is considered to calculate the sentiment polarity change inflicted by the adjective. Notice that the graph includes both the *similar*-relation and the *see also*-relation, as is desired to be able to reason about as large a

set of adjectives as possible, and thus both closely and coarsely related concepts are considered.

$$G_{\text{adj}} = (S, r_{\text{similar}} \cup r_{\text{see-also}})$$
 (4.4)

Given an adjective with lemma $\ell \in \Sigma^{\star}$, the set of semantic concepts that this adjective may entail, $M(\ell)$, should provide the basis for the sentiment polarity change inflicted by the adjective. The overall approach is to base the polarity change on the distances between the concepts yielded by $M(\ell)$ and the seed concepts in G_{adj} . However, as the system only has very limited domain knowledge, namely S_{pos} and S_{neg} , there are no sure way of choosing which of the semantic concepts yielded by $M(\ell)$ is the "right" interpretation of ℓ . Considering all of the possible concepts yielded by $M(\ell)$ nigher seem like a sane choice, since this would evidently flatten the polarities and end in vague results. The method used to solve this semantic ambiguity follows from the rational assumption that adjectives stated in the texts presumably are to be interpreted within the domain given by the seed concepts. Thus concepts from $M(\ell)$ that are strongly related to one or more seed concepts should be preferred over weaklier related concepts. The solution is to select the n closest relations between $M(\ell)$ and respectively S_{pos} and S_{neg} , thus reasoning greedily positively, respectively greedily negatively, about ℓ . The multiset of all distances to either seed set for some lemma ℓ is given by $D_{\rm adj}(\ell, S')$, where S' is either $S_{\rm pos}$ or $S_{\rm neg}$ cf. (4.5), and where d_{adj} is the distance function for G_{adj} . The sub-multiset of $D_{\text{adj}}(\ell, S')$ which contains the minimal n values is denoted $D_{\mathrm{adi}}^n(\ell, S')$.

$$D_{\text{adj}}(\ell, S') = [d_{\text{adj}}(s, s') \mid s \in M(\ell), s' \in S']$$
 where $S' \in \{S_{\text{pos}}, S_{\text{neg}}\}$ (4.5)

Finally the sentiment polarity for an adjective with lemma ℓ , denoted $p_{\rm adj}(\ell)$, is then given by the difference of the sums of the n minimal normalized distances cf. (4.6), where N is a normalization factor, ensuring that $p_{\rm adj}(\ell) \in [-\omega; \omega]$. This follows the intuition, that positive adjectives are closer to $S_{\rm pos}$ than $S_{\rm neg}$ and vice-verse.

$$p_{\text{adj}}(\ell) = \left(\sum_{j \in D_{\text{adj}}^n(\ell, S_{\text{neg}})} N \cdot j\right) - \left(\sum_{j \in D_{\text{adj}}^n(\ell, S_{\text{pos}})} N \cdot j\right)$$
(4.6)

Clearly this intuition is only valid if $|S_{pos}| \approx |S_{neg}|$, or more precisely, the probability of some random semantic concept is close to a positive concept should be the same as the probability of it being close to a negative concept.

The semantic expression of an adverb with type $\tau_{\alpha} \to \tau_{\alpha}$ is given by $e_{\text{adj}}(\ell)$ in (4.7).

$$e_{\rm adj}(\ell) = \lambda x. x_{\circ p_{\rm adj}(\ell)}$$
 (4.7)

The calculation of $p_{\text{adj}}(\ell)$ can be generalized for any semantic graph G, given its distance function d, and sets of respectively positive and negative seed concepts S_{p} and S_{n} cf. (4.9). This generalization will be convenient in a moment, and p_{adj} is trivially expressible in terms of p: $p_{\text{adj}}(\ell) = N \cdot p(d_{\text{adj}}, S_{\text{pos}}, S_{\text{neg}}, \ell)$.

$$p(d, S_{p}, S_{n}, \ell) = \left(\sum_{j \in D_{d}^{n}(\ell, S_{n})} j\right) - \left(\sum_{j \in D_{d}^{n}(\ell, S_{p})} j\right)$$
(4.8)

where:

$$D_d(\ell, S') = [d(s, s') \mid s \in M(\ell), s' \in S']$$
(4.9)

4.5 Sentiment polarity of adverbs

The approach for calculating sentiment polarity values for adverbs is very similar to the approach used for adjectives. In fact, since WordNet does not define neither $r_{\rm similar}$ nor $r_{\rm see-also}$ on adverbs the basic approach is simply to lookup the adjective from which the adverb is derived from, if any, using $r_{\rm pertainym}$. However since adverbs might also intensify or qualify the meaning of a verb, adjective, or another adverb, some special treatment are presented for this. Analog to the positive and negative concepts, sets of respectively intensifying and qualifying seed adjectives are stated, e.g. (4.10) and (4.11).

$$S_{\text{intensify}} = \{\text{extreme} \# 1, \text{much} \# 1, \text{more} \# 1\}$$

$$(4.10)$$

$$S_{\text{qualify}} = \{\text{moderate}\#1, \text{little}\#1, \text{less}\#1)\}$$
(4.11)

Recall from Section 4.2 that intensifiers and qualifiers are scaling the value of the verb, adjective or adverb they modify. To calculate the factor of which an intensifier or qualifier inflicts, the graph $G_{\rm scale}$, given by (4.12), is considered. The graph only contains the similar-relation, since related intensifiers, respectively qualifiers, seem to be captured most precisely by only considering closely similar adjectives to the selected seeds cf. [Simančík and Lee, 2009]. Also notice that, unlike $S_{\rm pos}$ and $S_{\rm neg}$, these sets does not rely on the domain, as they only strengthens or weakens domain specific polarities.

$$G_{\text{scale}} = (S, r_{\text{similar}})$$
 (4.12)

The value of a polarity scaling is then given by the exponential function (4.13) with range $\left[\frac{1}{2};2\right]$, i.e. the value of $p(d_{\text{scale}}, S_{\text{intensify}}, S_{\text{qualify}}, \ell)$ is normalized using N such

it yields the range [-1;1]. Thus a strong intensifier can double the sentiment polarity value of the unit it modifies, analogously a strong qualifier can reduce it by half.

$$p_{\text{scale}}(\ell) = 2^{N \cdot p(d_{\text{scale}}, S_{\text{intensify}}, S_{\text{qualify}}, \ell)} \tag{4.13}$$

Whether an adverb is considered and intensifier/qualifier or an normal adverb is determined by the value of $p_{\text{scale}}(\ell')$, where ℓ' is the pertainym of the adverb. The semantic expression of an adverb with type $\tau_{\alpha} \to \tau_{\alpha}$ is given by $e_{\text{adverb}}(\ell)$ in (4.14). If $p_{\text{scale}}(\ell') \neq 1$ then the adverb is considered as a intensifier/qualifier and scales the lexical unit it inflicts, otherwise if the adverb is an derivation of an adjective it simply changes the inflicted unit with the value of the adjective. Finally the adverb can be one of a small set of predefined negatives, L_{neg} , in this case the polarity of the inflicted unit is flipped. Otherwise the adverb is discarded by simply being annotated with the identity function.

$$e_{\text{adverb}}(\ell) = \begin{cases} \lambda x. x_{\bullet p_{\text{scale}}(\ell')} & \text{if } M(\ell) \times M(\ell') \subset r_{\text{pertainym}} \text{ and } p_{\text{scale}}(\ell') \neq 1 \\ \lambda x. x_{\circ p_{\text{adj}}(\ell')} & \text{if } M(\ell) \times M(\ell') \subset r_{\text{pertainym}} \\ \lambda x. x_{\bullet - 1} & \text{if } \ell \in L_{\text{neg}} \\ \lambda x. x & \text{otherwise} \end{cases}$$

$$(4.14)$$

4.6 Completing the analysis

All the components needed in order to calculate the sentiment for entities in a text have now been presented. The final step is to connect the components in a pipeline, allowing to compute the complete analysis originally presented originally in the start of Chapter 2. Recall that the presented analysis in a calculation of type (2.1), repeated here for convenience.

$$\mathcal{A}: \Sigma^{\star} \to S \to [-\omega; \omega] \tag{2.1}$$

After initial preprocessing (i.e. tokenization), the text is processed by the lexical-syntactic analysis using the C&C toolchain. The resulting lexicon is annotated with semantic expressions using the algorithms and semantic network structures described previous in this chapter. The deduction proof is then reconstructed based on the partial (i.e. syntax only) proof from the C&C toolchain. The resulting deduction proof uses the combinator rules over both lexical and semantic expressions presented in Chapter 3. During the reconstruction process, semantic expressions are reduced using the rewrite rules presented in Section 3.4. If these steeps completes successfully the deduction proof should yield a conclusion for a sentence with a *closed* semantic

expression. By *closed* semantic expression is meant that the expression is only consisting of functors and sequences. Details and examples of what might fail during this process will be elaborated upon evaluation of the system in Chapter 6.

The resulting semantic expression, e, is then inspected by the auxiliary sentiment extraction function $\mathcal{E}: S \to \Lambda \to \mathcal{P}([-\omega; \omega])$ cf. Definition 4.3.

DEFINITION 4.3 The extraction of sentiment, for a given subject of interest, s, from a given semantic expression, e, is defined recursively by the function \mathcal{E} cf. 4.15. If the expression is a functor with same name as the subject of interest, then the sentiment value of this functor is included in the resulting set, otherwise the value is simply discarded. In both cases the function is recursively applied to the subexpressions of the funtor. If the expression is a sequence, the function is simply recursively applied to all subexpressions in the sequence. Should the expression unexpectively include expressions of other kinds than functors and sequences, the function just yields the empty set.

$$\mathcal{E}(s,e) = \begin{cases} \{j\} \cup \bigcup_{e' \in E'} \mathcal{E}(s,e') & \text{if } e \text{ is } f_j^i(E') \text{ and } s = f \\ \bigcup_{e' \in E'} \mathcal{E}(s,e') & \text{if } e \text{ is } f_j^i(E') \text{ and } s \neq f \\ \bigcup_{e' \in E'} \mathcal{E}(s,e') & \text{if } e \text{ is } \langle E' \rangle \\ \emptyset & \text{otherwise} \end{cases}$$
(4.15)

Finally the algorithm for the complete sentiment analysis can be formulated cf. Figure 4.4. The Select function simply selects the strongest opinion extracted (i.e. the sentiment with largest absolute value). This is a very simple choice but are sufficient for evaluating the algorithm, which will be done in Chapter 6.

```
\mathcal{A}(text,s)
text' \leftarrow \text{Preprocess}(text)
(lexicon, proof) \leftarrow \text{SyntaxAnalysis}(text')
lexicon' \leftarrow \text{Annotate}(lexicon)
proof' \leftarrow \text{ReconstructProof}(lexicon', proof)
(\alpha:e) \leftarrow \text{Conclusion}(proof')
\mathbf{return} \ \text{Select}(\mathcal{E}(s,e))
```

Figure 4.4: Algorithm for sentiment analysis.

Chapter 5

Implementation

In order to demonstrate the logical approach, introduced in the previous chapters, a proof of concept system was implemented. In the following sections key aspects of the implementation of this system will be presented. A complete walk-though will not be presented, but the complete source code for the implementation is available in Appendix ??. Also notice that code segments presented in this chapter maybe simplified from the source code to ease understanding. For instance the C&C-toolchain uses some additional primitive categories to handle conjunctions, commas and punctuations that are not consider theoretical or implementationwise interesting, as they are translatable to the set of categories already presented. In the actual implementation of the proof of concept system this is exactly what is done, once the output from the C&C-toolchain has been parsed.

It was chosen to use the purely functional, non-strict programming language *Haskell* for implementing the proof of concept system. The reason Haskell, specifically the *Glasgow Haskell Compiler*, was chosen as programming language and platform, was i.a. its ability to elegantly and effectively implement a parser for the output of the C&C-toolchain. Data structures are like in many other functional languages also possible to state in a very succinct and neat manner, which allow Haskell to model the extended semantics presented in Section 3.4, as well as any other structure presented, e.g. deduction proofs, lexical and phrasal categories, etc.

44 Implementation

5.1 Data structures

Data structures are stated in Haskell by the means of type constructors and data constructors. To model for instance lexical and phrasal categories the two infix operators, :/ and :\ are declared (using / and \ was not considered wise, as / is already used for devision by the Haskell Prelude) as shown in Figure 5.1. The agreement of an primitive category is simply a set of features cf. Section 3.3, which is easiest modeled using the list structure. As features are just values from some language specific finite set they are simply modeled by nullary data constructors. One might argue that features have different types, e.g. person, number, gender, etc. However it is convenient to simply regard all features as being of the same type, a model borrowed from van Eijck and Unger [2010, chap. 9].

```
infix 9 :/ -- Forward slash operator
infix 9 :\ -- Backward slash operator
type Agreement = [Feature]
data Category = S Agreement
                                        -- Sentence
              | N Agreement
                                       -- Noun
              | NP Agreement
                                       -- Noun Phrase
             | PP Agreement
                                        -- Preposision Phrase
             | Category :/ Category
                                       -- Forward slash
              | Category :\ Category
                                      -- Backward slash
data Feature = SDcl | SAdj | SNb | SNg | ...
```

Figure 5.1: Example of declaring the data structure for categories.

The code shown in Figure 5.1 is really all what is needed to represent the syntactic structure of categories. Another illustration of one of the data structural advantages of using a functional programming language is shown in Figure 5.2. Notice how the declaration of the syntax for the semantic expressions is completely analog to the formal syntax given in Definition 3.2 and 3.3, with the exception that the implemented syntax is untyped. The reason why types are omitted from the implemented model of semantic expressions is simply that they are always accompanied by a category, and thus the type of the expression is trivially obtainable when needed.

```
data SExpr = Var String -- Variable

| Abs String SExpr -- Lambda abstraction |
| App SExpr SExpr -- Lambda application |
| Fun String Float Int [SExpr] -- Functor |
| Seq [SExpr] -- Sequence |
| ImpactChange SExpr Int -- Impact change |
| Change SExpr Float -- Change |
| Scale SExpr Float -- Scale
```

Figure 5.2: Example of declaring the data structure for semantic expressions.

5.2 Reducing semantic expressions

With data structures available for representing the syntax of the semantic expressions it is time to focus on reducing the expression using the semantic rules presented in Definition 3.3. This can be easily done in a functional language by specifying a reduction function, i.e. a function that recursively rewrites semantic expressions based on the rules presented in the definition. By using the pattern matching available in Haskell, each rule can be implemented in a one-to-one manner by a function declaration that only accepts the pattern of that rule. For instance Figure 5.3 shows the implementation of the (FC1), (SC) and (PC) rules. A small set of additional function declarations are needed to allow reduction inside a structure that itself cannot be reduced, and finally the identity function matches any pattern not captured by any of the other function declarations. Notice that η -reduction was not implemented, since this rule is merely a performance enhancing rule.

```
-- (FC1)
reduce (Change (Fun f j 0 ts) j') =
Fun f (j + j') 0 $ map reduce ts

-- (SC)
reduce (Change (Seq ts) j') =
Seq $ map (reduce . flip Change j') ts

-- (PC)
reduce (Change (Abs x t) j') =
Abs x $ reduce $ Change t j'
```

Figure 5.3: Example of declaring the rules for semantic expressions.

5.3 Interacting with the C&C toolchain

When processing multiple texts the most effective way of interaction with the C&C toolchain is by running a server instance of it, since this allows the toolchain to only load the trained models once (which are quite large). The server can be interacted with through a SOAP web service [Box et al., 2000]. It seem a somewhat strange choice that Clark and Curran choose to base the communication with the server on SOAP, since the only data structure ever exchanged is single strings (the text to parse as input, and the raw Prolog style string as output). It was chosen not to interact directly with the web service from Haskell, since no mature SOAP libraries are currently available natively for Haskell. Instead it was chosen to use a small client program distributed along with the C&C tools, allowing communication with the SOAP web service through the clients standard input/output.

46 Implementation

The implemented parser for the Prolog style output yielded by the C&C toolchain, presented briefly in Section 4.2, uses the Parsec library for Haskell by Leijen [2001]. Parsec is a strong monadic parser combinator, that among other things allows fast and efficient parsing of LL[1] grammars, and can thus easily capture the subset of the Prolog language used by the C&C-toolchain. Parsec differs significantly from common Yacc approaches, since it describes the grammar directly in Haskell, without the need of some intermediate language or processing tools.

Figure 5.4 shows the actual raw output from the C&C-toolchain that is the basis the illustration in Figure 4.1 shown back in Section 4.2. The first section of the output represents the deduction tree, while the second represents the lexicon (obviously without semantic expressions).

```
ccg(1,
ba('S[dcl]',
fa('MP[nb]',
    lf(1,1,'NP[nb]/N'),
    lf(1,2,'N')),
    fa('S[dcl]\NP',
    lf(1,3,'(S[dcl]\NP)/(S[adj]\NP)'),
    lf(1,4,'S[adj]\NP')))).

w(1, 1, 'the', 'the', 'DT', 'I-NP', '0', 'NP[nb]/N').
w(1, 2, 'service', 'service', 'NN', 'I-NP', '0', 'N').
w(1, 3, 'was', 'be', 'VBD', 'I-VP', '0', '(S[dcl]\NP)/(S[adj]\NP)').
w(1, 4, 'great', 'great', 'JJ', 'I-ADJP', '0', 'S[adj]\NP').
```

Figure 5.4: Raw output from the C&C toolchain.

One of the most admirable features of Parsec is its parser combinator library, containing a verity bundled auxiliary functions, which allows the declaration of advanced parsers by combining smaller parsing functions. To parse for instance the categories present in both of the sections one can build an expression parser simply by stating the symbol, precedence and associativity of the operators.

Figure 5.5 shows the parser for categories. The precedence of the operators are given by the outer list in the *operator table*, while operators within the same inner list have the same precedence, which is in the case for both of the categorial infix operators. Finally a category is declared as either compound (i.e. a category expression), or as one of the four primitive categories. Notice how the parser needs to first *try* to parse *noun phrases* (NP), and then *nouns* (N), since the parser otherwise could successfully parse a noun, and then meet an unexpected "P", which would cause a parser error.

The parsing of the lexicon is considered trivial, since its structure is flat with the exception of the category. The parser for the deduction proof is simply stated by two abstract rules, unary (e.g. for capturing type-raise) and binary (e.g. for capturing functional application), along with a top-rule for choosing specific instances of the

```
pCategoryExpr :: Parser Category
pCategoryExpr = buildExpressionParser pCategoryOpTable pCategory
pCategoryOpTable :: OperatorTable Char st Category
pCategoryOpTable = [ [ op "/" (:/) AssocLeft,
                       op "\\" (:\) AssocLeft ] ]
                   where
                     op s f a = Infix ( string s >> return f ) a
pCategory :: Parser Category
pCategory =
                    pParens pCategoryExpr
                    (pCategory, "S"
            <|> try (pCategory, "NP"
                                         NP)
                    (pCategory, "N"
                                         N)
            < | >
                   (pCategory, "PP"
            <|>
            <?> "category"
```

Figure 5.5: Example of parsing categorial expression.

rules. Besides this there is several rules for handling C&C's relatively verbose rules for coordination, especially in connection with commas and punctuations.

5.4 WordNet interface and semantic networks

To lookup semantic concepts and relations in the WordNet data files an open source interface library by Daumé III [2008] was used as base. However the interface was not complete, and missed critical features. For instance the library could only calculate the closure of two semantic concepts, which of cause only is possible when the relation forms a partial order, e.g. as is the case with the hyponym/hypernym relation and the holonym/meronym relation. Therefore the library has undergone significant rewrite and cleanup in order to use it for the presented purpose.

To model semantic networks another open source library was used, namely the Functional Graph Library (FGL). The library implements efficient functional graph representation and algorithms presented by Erwig [2001]. However transforming the relational representation of WordNet into an actual graph in the sense of FGL is somewhat tricky. The reason for this is that intended usage of the WordNet data files do not exposes S, and neither r in the form of a subset of $S \times S$, which makes good sense since this representation does not scale well with |S|. Instead it is intended to query using the lookup function, M, which is indexed and allows logarithmic time lookup of lexical units; likewise a relation, \hat{r} , is a function from one semantic concept to a set of related concepts, i.e. $\hat{r}:S\to \mathcal{P}(S)$. This structure makes querying WordNet efficient, but also allows some optimization with respect to calculating the sentiment polarity value of lexical units. Recall from Section 4.3 that the approach is

48 Implementation

to select a set of respectively positive and negative seed concepts, and then measure the difference of the sum of distances from a lexical unit to these. However instead of regarding the entire graph (S,r) only a subgraph (S',r') is considered, namely the subgraph that constitutes the *connected component* that contains all semantic concepts that are reachable from the seed concepts using the relation function \hat{r} . This of cause assumes that r is symmetric, which is also the case for r_{similar} and $r_{\text{see-also}}$ cf. Section 4.3.

The construction of (S',r') for some set of positive and negative semantic concepts, respectively S_{pos} and S_{neg} , is denoted the unfolding of $S_{\text{pos}} \cup S_{\text{neg}}$ using \hat{r} . It is done using simple depth first search with the set of initially "unvisited" semantic concepts $S_{\text{pos}} \cup S_{\text{neg}}$. The FGL requires nodes to be assigned a unique index, and it is also clearly necessary to keep track of which semantic concepts has already been assigned a node in the graph, i.e. which nodes are considered visited. Lastly the set S' and r' should be build incrementally. In an imperative programming language maintaining such mutable state is straight forward, but in a purely functional programming language such as Haskell this require somewhat advanced techniques if it should be implemented efficiently. Launchbury and Peyton Jones [1994] presents a method to allow functional algorithms to update internal state, while still externally be purely functional algorithms with absolutely no side-effects. An implementation of Launchbury and Peyton Jones's method is available in Haskell through strict state strict state

Figure 5.6 shows the Haskell code for unfolding semantic graphs. The actual unfolding are done by the function unfoldST, which yields a state transformer with computation result of (Map a Node, Gr a Int), i.e. a map from the type of items to unfold, a, (e.g. semantic concepts) to nodes in the FGL graph and the actual FGL graph with nodes of type a and edges simply with integer weights (all edges have weight 1).

Since the ST structure conforms to the laws of monads state transformation computations can be chained into a pipeline, and thus even though the code for the algorithm might look almost imperatively, it is indeed purely functional. Initially three references to mutable state are constructed given each of the states an initial value. The visit function simply "visits" a item (e.g. semantic concept): if the item has already been visited, the unique index for its corresponding node in the FGL graph is simply returned; otherwise a new unique node index is allocated and outgoing relations from this item are visited by recursive calls. Mutable states can by modified by the modifySTRef function, which takes a reference to the state to modify, and a transformation function over the state. When the depth first search finishes, the map and FGL graph are returned as non-mutable structures as the result of the computation.

```
-- | Unfold the a graph using the given relation and seeds.   
unfoldG :: (Ord a) => (a -> [a]) -> [a] -> (Map a Node, Gr a Int)
unfoldG r seeds = runST $ unfoldST r seeds
-- \mid State trasformer for unfolding graphs.
unfoldST :: (Ord a) => (a -> [a]) -> [a] -> ST s (Map a Node, Gr a Int)
unfoldST r seeds =
  do mapRef
                <- newSTRef Map.empty -- Map from Item to Node</pre>
     nodesRef <- newSTRef []
idRef <- newSTRef 0
                                            -- List of Node/[Edge] pairs
                                            -- Counter for indexing nodes
      -- Recursively visits n
     let visit n =
            \mathbf{do} -- Test if n has already been visited
               test <- (return . Map.lookup n =<< readSTRef mapRef)</pre>
               case test of
                 Just v -> return v
                  Nothing ->
                    do -- Get next id for this item
                       i <- readSTRef idRef
                       modifySTRef idRef (+1)
                       -- Update item/node map
                       modifySTRef mapRef (Map.insert n i)
                        -- Recursively visit related items
                       ks <- mapM visit r n
                       let ns = ((i,n), [(i,k,1) | k < - ks])
                       modifySTRef nodesRef (ns:)
                       return i
      -- Visit seeds
     mapM visit seeds
      -- Read resuls and return map/graph-pair
     list <- readSTRef nodesRef
     nodeMap <- readSTRef mapRef
     let nodes = [n | (n, _) <- list]</pre>
     let edges = concat [es | (_, es) <- list]</pre>
     return (nodeMap, mkGraph nodes edges)
```

Figure 5.6: Example of usage of strict state threads.

50 Implementation

5.5 Complexity analysis

TODO: Hvis jeg får tid...

Chapter 6

Evaluation

This chapter evaluates the presented logical approach for sentiment analysis, specifically the *proof of concept* implementation presented in the previous section. In order to truly classify the capabilities of the system, real test-data are needed. In this chapter a test data set is introduced, and results from evaluation of the system on this set are presented. The results are explained, and it is considered whether the robustness and the correctness of the solution is significantly high enough for real applications.

6.1 Test data set

There are several *free to use* labeled review data set available (most of them consisting of movie reviews for some reason). However recall that most research have focused on classifying sentiment on document, sentence or simply on word level, but not on entity level cf. Section 1.4 on page 7. This mean that it unfortunately has not been possible to find any free data set there are labeled on entity level.

The test data set chosen for evaluation of the system is the *Opinosis data set* [Ganesan et al., 2010]. The data set consists of approximately 7000 texts from actual user reviews on a number of different topics. The topics are ranging over different product and services, from consumer electronics (e.g. GPS navigation, music players, etc.) to

52 Evaluation

hotels and restaurants. They are harvested from several online resellers and service providers, including i.a. $Amazon^1$ and $TripAdvisor^2$. The dataset are neither labeled on entity level (or any other level for that matter), since it originally was used for evaluating an automatic summarization project by Ganesan $et\ al.$ However the source of the reviews was one of the main reasons to use $Opinosis\ Dataset$ for the evaluation, since it was one of the original goals of the project, that a solution could process real data from actual businesses.

After a coarse review of the data set it is safe to argue that the data set chosen for evaluation indeed includes all of the problematics discussed in Section 1.6 on page 9. Since the data set is unlabeled is was chosen to label a small subset of it in order to measure the robustness and the correctness of the presented solution. To avoid biases toward how the proof of concept system analyzes text the labeling was performed independently by two individuals which had no knowledge of how the presented solution processes texts. As the example texts throughout this thesis might have hinted, the subset chosen was from the set of hotel and restaurant reviews. It was of cause a subset that had not previously been used to test the implementation during development, however fixing the evaluation on the domain of hotel and restaurant reviews allowed the choice of relevant seed concepts cf. Section 4.4. The *subject of interest* chosen for the analysis were *hotel rooms*, and the subset was thus randomly sampled from texts with high probability of containing this entity (i.e. containing any morphological form of the noun "room"). This approach were chosen, since there otherwise are no chance the proof of concept system can yield a result for the text.

The individuals were given a subset of 35 review texts, and should mark each text as either positive, negative or unknown with respect to the given subject of interest. Out of the 35 review text the two subject's positive/negative labeling agreed on 34 of them, while unknowns and disagreements were discarded. Thus the inter-human concordance for the test data set was 97.1%, which is very high, and would arguable drop significantly if just a few more individuals were used for label annotation. The full subset samples, as well as each subjects marking is available in Table C.1 in Appendix C.

6.2 Test results

The test data set was processed by the proof of concept system and the system was able to yield an sentiment value for the "room" entity for just 32.4% of the test texts. A entity sentiment value is considered to *agree* with the human labeling, if had the correct sign (i.e. positive sentiment values agreed with positive labels, and negative

¹Amazon, http://www.amazon.com/

²TripAdvisor, http://www.tripadvisor.com/

values with negative labels). As mentioned it is hard to compare the results to any baseline, since no published results has been obtainable for entity level sentiment analysis. The baseline presented here is thus a sentence-level baseline, calculated by using the Natural Language Toolkit (NLTK) for Python using a Naive Bayes Classifier (trained on movie reviews though rather then hotel reviews). Both the raw sentiment values calculated by the presented method, and the baseline classification are also available for each text in the test data set in Table C.1 in Appendix C. The precision and recall results for both the baseline, and the presented method are shown in Table 6.1. As seen the recall is somewhat low for the proof of concept system, which is addressed in the next section, while it is argued that precision of the system is indeed acceptable, since even humans will not reach a 100% agreement.

	Baseline	Presented method
Precision	71.5%	90.1%
Recall	44.1%	29.4%

Table 6.1: Precision and recall results for proof of concept system.

6.3 Understanding the results

To investigate the low recall, focus was turned to clarifying why the presented method only yields results for 32.4% of the test data set. The C&C toolchain was able to give a syntactic deduction proof for 94.4% of the test data set. However after closer inspection of the proofs constructed for texts in the test data set, it was discovered that approximately only half of these proofs were correct, given that the grammar of the input sentence also was correct. This is of cause a major handicap for the presented method, since it is highly reliable on correct deduction proofs.

The reason the C&C toolchain behaves so inadequately is indeed expected, and thus a low racall was also expected, even though it is lower then hoped for. Recall from Section 4.1 that the C&C parser could recognize 98.4% of unseen data. However there is one major assumption if this promise should be met: the probability distribution of the input should of cause follow the same distribution as the training data that the C&C models was created from. The models were trained on The CCGbank [Hockenmaier and Steedman, 2007] and thereby follows the distribution of The Penn Treebank [Marcus et al., 1993]. That this follows a different probability distribution than the Opinosis data set may not be that surprising, since the treebank consists mostly of well-written newspaper texts. To illustrate this consider Figure 6.1 which shows the distribution of sentence length (i.e. number of words) in: the set of hotels and restaurants reviews of Opinosis (2059 sentences); and respectively a subset of

54 Evaluation

Wall Street Jounal (WSJ) corpus, which is a representative and *free to use* sample of The Penn Treebank (3914 sentences). This measure gives a clear indication that the two data sets indeed follows different probability distributions.

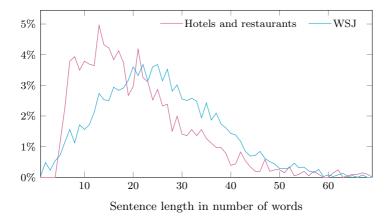


Figure 6.1: Sentence length distribution in representative subsets of Opinosis and The Penn Treebank.

At best this may however only explain half of missing results. To explain the rest focus needs to be turned to those sentences for which the C&C toolchain constructs proofs correctly, but does not yield any results. Consider Figure 6.2 which shows the deduction proof for test sentence #9, which most humans, like the two individuals used for labeling, would agree expressed a positive opinion about the rooms. However it is worth noticing, that the semantic expression in the conclusion of the proof, i.e. furnish $^0_{95,0}(\text{room}_{0.0})$, indeed contains a positive sentiment value.

	were	nicely				
Rooms	VBD	RB		furnished		
NNS	$(S_{dcl}\backslash NP)/(S_{pss}\backslash NP) : \lambda x.x$	$(S_X \backslash NP) \backslash (S_X \backslash NP) : \lambda x.(x_{\circ 95.0})$	- B	VBN		
$N : \text{room}_{0.0}$	$\frac{(S_{dcl}\backslash NP)/(S_{pss}\backslash NP): \lambda x.(x_{\circ 95.0})}{(S_{pss}\backslash NP): \lambda x.\text{furnish}_{0.0}^{0}(x)}$					
$NP : room_{0.0}$	$S_{dcl}\backslash NP : \lambda x. \text{furnish}_{95.0}^{0}(x)$					
S_{dcl} : furnish $^0_{95.0}$ (room $_{0.0}$)						$S_{dcl} \backslash S_{dcl} : \lambda x.x$
		S_{dcl} : furnish ⁰ _{95.0} (room _{0.0})				

Figure 6.2: Sentence positive about *room*, but with no sentiment value on its functor.

In the next chapter solutions for these problems are proposed and discussed, and it is argued that even though the recall results for the test data set are unacceptable low, applications for the presented method are indeed possible given some additional effort.

Chapter 7

Discussion

The presented method for *entity level* sentiment analysis using deep sentence structure analysis has shown acceptable correction results, but inadequate robustness cf. the previous chapter.

The biggest issue for the demonstrated proof of concept system is the lack of correct syntactic tagging models. It is argued that models following a closer probability distribution of review texts than The Penn Treebank models would have improved the robustness of the system significantly. One might think, that if syntactic labeled target data are needed, then the presented logical method really suffers the same issue as machine learning approaches, i.e. domain dependence. However it is argued that exactly because the models needed are of syntactic level, and not of sentiment level, they really does not need to be domain specific, but only genre specific. This reduces the number of models needed, as a syntactic tagging model for reviews might cover several domains, and thus the domain independence of the presented method is intact.

To back this argument up, consider Figure 7.1 which shows the probability of sentence lengths in two clearly different sentiment domains, namely hotels and restaurants (2059 samples) and GPS navigation equipment (583 samples). This measure gives strong indications, that a robust syntactic level model for either domain would also be fairly robust for the other. The same is intuitively not true for sentiment level models.

56 Discussion

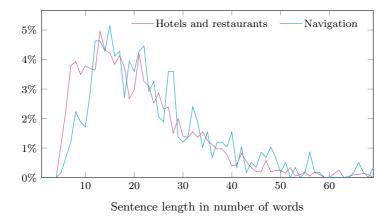


Figure 7.1: Sentence length distribution for different review topics.

An interesting experiment would have been to see how the presented method performed on such genre specific syntactic models. Building covering treebanks for each genre to train such models is an enormous task, and clearly has not been achievable in this project even for a single genre (The Penn Treebank took eight years to compile). However Søgaard [2012] presents methods for cross-domain semi-supervised learning, i.e. the combination of labeled (e.g. CCGBank) and unlabeled (e.g. review texts) data from different domains (e.g. syntactic genre). This allows the construction of models that utilizes the knowledge present in the labeled data, but also biases it toward the distribution of the unlabeled data. The learning accuracy is of cause not as significant as compared to learning with large amounts of labeled target data, but it can improve cases as the one presented in this thesis greatly. The reason why this was not performed in this project is partly due to it was not prioritized, and the fact that the method still assumes access to raw labeled data (e.g. CCGBank) which was not available.

The other issue identified when analyzing the low robustness was the failure of extracting sentiment values, even though they were actually present in the semantic expression yielded by the conclusion of the deduction proof. This clearly shows that the simple extraction algorithm given by Definition 4.3 is too constitutive, i.e. it turned out to be insufficient to only extract sentiment values at the atomic functor level for the *subject of interest*. However, since the knowledge is actually present, it is argued that more advanced extraction algorithms would be able to capture these cases. Extraction strategies could also include relating entities by the use of some of the abstract topological relations available in semantic networks, e.g. hy-ponym/hypernym and holonym/meronym. With such relations, a strong sentiment of the entity room might inflict the sentiment value of hotel, since room is a meronym of building, and hotel is a hyponym of building.

The reason why more advanced extraction algorithms were not considered was that it would require more test data to validate that such advanced extraction strategies are well-behaved, and it would also possible require more meta-data on the functors (at least which *part-of-speech* was the foundation of the functor). Recall that it has not possible to find quality test data labeled on entity level, and it was considered too time consuming to manually construct large amounts of entity labeled labeled data.

With these issue addressed, it is argued that the *proof of concept* system indeed shows at least the potential of the presented method, and further investment in labeled data, both syntactic tagging data, and labeled test data, would make the solution more robust.

58 Discussion

Chapter 8

Conclusion

This thesis has presented a formal logical method for entity level sentiment analysis, which utilizes machine learning techniques for efficient syntactic tagging. The method should be seen as an alternative to pure machine learning methods, which have been argued inadequate for capturing long distance dependencies between an entity and opinions, and highly dependent on the domain of the sentiment analysis.

The main aspects of method was presented in three stages, each roughly corresponding to one of the project specific learning objectives.

- The Combinatory Categorial Grammar (CCG) formalism, presented in Chapter 3, is a modern and formal logical technique for processing of natural language texts. The semantics of the system was extended in order to apply it to the field of entity level sentiment analysis.
- In order to allow the presented method to work on a large vocabulary and a wide range of sentence structures, Chapter 4 described the usage of statistical models for syntactic tagging of the texts, after it had been argued that such an approach is the only reasonable. Algorithms for building semantic expressions from the syntactic information was presented, along with a formal method for reasoning about the sentiment expressed in natural language texts by the use of semantic networks.

60 Conclusion

• Chapter 5 presented essential details about the *proof of concept* system, which has been fully implemented, using functional programming, in order to demonstrate and test the presented method.

Finally the presented method was evaluated against a small set of manually annotated data. The evaluation showed, that while the correctness of the presented method seem acceptably high, its robustness is currently inadequate for most real world applications as presented in Chapter 6. However it was argued in the previous chapter that it indeed is possible to improve the robustness significantly given further investment and development of the method.

Appendix A

A naive attempt for lexicon acquisition

This appendix describes the efforts that was initially made in order to acquire a CCG lexicon by transforming a tagged corpus, namely the Brown Corpus.

Since English is

As with English around the world, the English language as used in the United Kingdom and the Republic of Ireland is governed by convention rather than formal code: there is no equivalent body to the Académie française or the Real Academia Española, and the authoritative dictionaries (for example, Oxford English Dictionary, Longman Dictionary of Contemporary English, Chambers Dictionary, Collins Dictionary) record usage rather than prescribe it. In addition, vocabulary and usage change with time; words are freely borrowed from other languages and other strains of English, and neologisms are frequent.

http://en.wikipedia.org/wiki/British_English#Standardisation

The Brown Corpus was compiled by Francis and Kucera [1979] by collecting written works printed in United States during the year 1961. The corpus consists of just over

one million words taken from 500 American English sample texts, with the intension of covering a highly representative variety of writing styles and sentence structures.

Notable drawbacks of the Brown Corpus include its age, i.e. there are evidently review topics where essential and recurring words used in present day writing was not coined yet or rarely used back 50 years ago. For instance does the Brown Corpus not recognize the words *internet*, *hotspot*

sentences will containing words has found it's way into comon that

Other corpora has been considered [van Eijck and Unger, 2010]

A.1 Tokenizer and tagger

The tokenizer has a very simple task, namely to convert an input string to a list of tokens (lower case words) that represent the symbols of the language. An example of the transformation is shown in (A.1).

"Put the pyramid onto the table." \rightarrow [put, the, pyramid, onto, the, table] (A.1)

A.1.1 Shift-reduce parser

A.2 Find a good title

The initial attempt is simply to construct a parser that

There are three basic ways to build a shift-reduce parser. Full LR(1) (the 'L' is the direction in which the input is scanned, the 'R' is the way in which the parse is built, and the '1' is the number of tokens of lookahead) generates a parser with many states, and is therefore large and slow. SLR(1) (simple LR(1)) is a cut-down version of LR(1) which generates parsers with roughly one-tenth as many states, but lacks the power to parse many grammars (it finds conflicts in grammars which have none under LR(1)).

LALR(1) (look-ahead LR(1)), the method used by Happy and yacc, is tradeoff between the two. An LALR(1) parser has the same number of

states as an SLR(1) parser, but it uses a more complex method to calculate the lookahead tokens that are valid at each point, and resolves many of the conflicts that SLR(1) finds. However, there may still be conflicts in an LALR(1) parser that wouldn't be there with full LR(1).

The state S_{τ} ...

Formally a rule \mathcal{R}_{τ} , for the state type τ , is a transformation from a state $s \in \mathcal{S}_{\tau}$ onto a new set of states $\mathcal{S}'_{\tau} \subset \mathcal{S}_{\tau}$ cf. A.2.

$$\mathcal{R}_{\tau}: \mathcal{S}_{\tau} \to \mathcal{P}(\mathcal{S}_{\tau}) \tag{A.2}$$

The state type for analysing CCGs is a 2-tuple, where P is a totally ordered set of ...,

$$S_{CCG}: \mathcal{P}(T) \times \mathcal{P}(\mathcal{P}(T))$$

$$\mathcal{R}_{CCG}^{shift}$$
 (A.3)

If all rules in the set is monotone, then the parsing will terminate

Appendix B

Source code

Complete source code for the proof of concept solution presented can be downloaded from the url: http://www.student.dtu.dk/~s072466/msc.zip. The following appendix also includes

Note: Appendix med kildekode – komplet oversigt over filer / ansvar – Udvalgte filer inkluderet.

Main.hs

```
{-# LANGUAGE ImplicitParams #-}
module Main where
import Data.Map (Map)
import qualified Data.Map as Map
import Data.Graph.Inductive
import Data.Maybe
import Data.Char
import Control.Monad
import Parser
import CCG
import Annotate
import Pretty
import WordNet hiding (Word)
import qualified WordNet.Prims as P
import Debug.Trace
```

```
analyse :: (Word -> Word) -> CcEnv -> String -> (String, Int) -> IO (Maybe Float)
analyse annotationAlgorithm ccEnv subject (sentence, index) = do

tree <- runCcEnv ccEnv annotationAlgorithm sentence
if (isJust tree) then do
    latexify (fromJust tree) index
    let sexpr = nodeExpr $ fromJust tree
putStr $ show sexpr
    let r = extract subject sexpr
    let m = (maximum r) + (abs (minimum r))
if (null r) then return Nothing
    else if (m > 0) then
    return $ Just (maximum r)
    else if (m < 0) then
    return $ Just (minimum r)
else
           else
      return Nothing
           return Nothing
main :: IO ()
main = do wne <- initializeWordNetWithOptions Nothing Nothing
                           ccEnv <- createCcEnv
                           -- Define positive concepts

let adj_pos_list = [("good", 1), ("beautiful", 1), ("pleasant", 1), ("clean", 1), ("quiet", 1), ("friendly", 1), ("cheap", 1), ("fast", 1), ("large", 1), ("nice",1)]

let adj_neg_list = [("bad", 1), ("hideous", 1), ("unpleasant", 1), ("dirty", 1), ("noisy", 1), ("unfriendly", 1), ("expensive", 1), ("slow", 1), ("small ", 1), ("nasty",1)]
                            -- Define intensifiers concepts

let intensifiers = [("extreme", 1), ("much", 1), ("more", 1)]

let qualifier = [("moderate", 1), ("little", 1), ("less", 1)]
                           -- Load the synsets that corresponds to the words in the above lists. let s\_pos = (let ?wne = wne in map (\(w, i) -> head $ search w Adj i) adj\_pos\_list) let s\_neg = (let ?wne = wne in map (\(w, i) -> head $ search w Adj i) adj\_neg\_list)
                           -- Load the synsets that corresponds to the words in the above lists. let intensifiers ss = (let ?wne = wne in map (\((w, i) \rightarrow \text{head } \text{search } w \text{ Adj } i) \text{ intensifiers})
                            let qualifier ss = (let ?wne = wne in map (\((w, i) \rightarrow bad \$ search w Adj i) qualifier)
                           -- Print info about the seed concepts putStr "\n\n"
                           putStr $ "Positive_concepts:\n"
putStr $ unlines $ map show s_pos
putStr "\n"
putStr $ "Negative_concepts:\n"
putStr $ unlines $ map show s_neg
putStr "\n"
                                                 \\n" B"Intensifying_concepts:\n" B "Intensifying_concepts:\n"
                            putStr $
                           putStr $ unlines $ map show intensifiers_ss
putStr "\n"
putStr $ "Qualifying_concepts:\n"
putStr $ "Qualifying_concepts:\n"
                            putStr "\n\n"
                           -- Build semantic networks and annotation environment
putStr "Unfolding_semantic_networks...\n"
let (adjMap, adjGraph) = (let ?wne = wne in unfoldG (\x -> (relatedBy Similar x + relatedBy SeeAlso x)) (s_pos ++ s_neg))
let (scaleMap, scaleGraph) = (let ?wne = wne in unfoldG (relatedBy Similar) (
    intensifiers_ss ++ qualifier_ss))
putStr $ "-_Change_network_:_" ++ (show $ Map.size adjMap) ++ "_concepts.\n"
putStr $ "-_Scale_network_:_" ++ (show $ Map.size scaleMap) ++ "_concepts.\n"
                            let adjPosRoots = map (fromJust . flip Map.lookup adjMap) s_pos
```

Lambda.hs

```
| Reduces a semantic expression
 reduce :: SExpr -> SExpr
-- -reduction
 reduce (App (Abs x t) t')
reduce (App t1 t2)
' t2)
                                                                                                  = reduce \ subst (reduce t) x (reduce t')
= if (t1 /= t1') then (reduce \ App t1' t2) else (App t1
                                                                                                         where t1' = reduce t1
where t' = reduce t
 -- FS1, FC2, SS and PS rules: reduce (Scale (Fun f j 0 ts) j') = Fun f (if j == 0 then j' else j * j') 0 $ map reduce
 = Fun f j k \$ map reduce \$ (take (k - 1) ts) ++ [Scale (
                                                                                                 = Seq $ map (reduce . flip Scale v) ts
= Abs x $ reduce $ Scale t v
                                                                                                    = if (t /= t') then (reduce $ Scale t' j) else (Scale t'
    - IC rule:
 reduce (ImpactChange (Fun f j k ts) k') = Fun f j k' ts
reduce (ImpactChange t k') = if (t /= t') then (reduce $ ImpactChange t' k')
else (ImpactChange t k')
                                                                                                                          where t' = reduce t
 reduce x
 -- | Creates an infinite list of variables [x, x', x'', ...] xVars :: [String] xVars = iterate (++ "'") "x"
 zVars :: [String]
zVars = iterate (++ "'") "z"
 fVars :: [String]
fVars = iterate (++ "'") "f"
             Creates an infinite list of variables [x, y, z, x', y' z', x'', y'', z'', ...]
 -- | Identity semantic expression lid = Abs "x" Var "x"
 --\mid Determines if the expression complex, i.e. needs parenthesis is Complex Expr :: SExpr -> Bool
 isComplexExpr (App ___)
isComplexExpr (Seq __)
                                                                                               = True
                                                                                                = True
 isComplexExpr (Seq _) = True
isComplexExpr (Change _ ) = True
isComplexExpr (Scale _ ) = True
isComplexExpr (Scale _ ) = True
 isComplexExpr _
                                                                                                = False
-- | Pretty printing of data structures
instance Show SExpr where
showsPrec d (Var x) = (showS
showsPrec d (Abs x t) = (showS
showsPrec d (App t1 t2) = (showP
                                                                                       = (showString x)

= (showString $ "" ++ x ++ ".") . (shows t)

= (showParen (isComplexExpr t1) (shows t1)) .

(showString ".") .

(showParen (isComplexExpr t2) (shows t2))

= (showString $ f ++ """ ++ (show j))

= (showString $ f ++ """ ++ (show j) ++ "(") . (showList')
    **Mere showList' :: Show a => [a] -> ShowS showList' [] = showString "" showList' [a] = shows a showList' (a1:a2:as) = (shows a1) . (showString " ,_") . (showList' (a2:as)) showsPrec d (ImpactChange t k') = (shows t) . (showString "->") . (shows k') showsPrec d (Change t1 v) = (shows t1) . (showString "") . (showString "") . (showString "") . (showsPrec d (Scale t1 v) = (shows t1) . (showString "") . (showString "") . (showString "") . (showsPrec d (Scale t1 v) = (shows t1) . (showString "") . (showString "") . (showsPrec d (Scale t1 v) = (shows t1) . (showString "") . (showString "") . (showString "") . (showsPrec d (Scale t1 v) = (shows t1) . (showString "") . (showString "") . (showString "") . (showsPrec d (Scale t1 v) = (shows t1) . (showString "") . (s
```

CCG.hs

```
\{-\# LANGUAGE TypeSynonymInstances, FlexibleInstances \#-\}
module CCG (
module Unification,
module Lambda,
  module CCG
) where import Unification import Lambda
data Word = Word {
                 token :: Token,
                 lemma :: Lemma,
pos :: Pos,
category :: Category,
expr :: SExpr
               deriving (Eq)
type Lexicon = [Word]
infix 9 :/ -- Forward slash operator infix 9 :/ -- Backward slash operator
                 type Agreement = [Feature]
data Category = S
data Feature = Masc | Fem | Neutr | MascOrFem -- Gender
                  Sg
Fst
                            P1
                                                              -- Number
-- Person
                          | Snd | Thrd
                            AccOrDat | Gen
Refl | Wh
                  Nom
                                                              -- Case
                   Pers
                  Tense
                            Infl
                  On | With | By | To | From -- Preposition
SDcl | SAdj | SNb | SNg | SPt | SInv | SPss | SB | SEm -- Sentence
                     Type
                  FThr
                  FWq
                  FQ
FFor
                  FQem
                  FVar String
                deriving (Eq, Show)
{\tt data} PTree = PWord Word
             = rword word
| PFwdApp { nCategory :: Category, nExpr :: SExpr, subTree1 :: PTree, subTree2 :: PTree }
| PBwdApp { nCategory :: Category, nExpr :: SExpr, subTree1 :: PTree, subTree2 :: PTree }
| PFwdComp { nCategory :: Category, nExpr :: SExpr, subTree1 :: PTree, subTree2 :: PTree }
             deriving (Eq, Show)
\begin{array}{lll} nodeExpr & :: & PTree & -> & SExpr \\ nodeExpr & (PWord & w) & = & expr & w \\ nodeExpr & x & = & nExpr & x \end{array}
S -
N -
```

```
=? NP
=? PP -
           _{\mathrm{PP}}^{\mathrm{NP}}\ -
                                                                                                                                                                              = True
           CONJ = ? PP = ? CONJ = ? CONJ = ? Punctuation = ? Comma = ? Comma = ? (a : / b) = ? (a' : / b') = ? (a' : / b') = ? = ? Complex = ? Complex = ? Complex = ? Constant = ? Complex = ? Constant = ? Consta
                                                                                                                                                                              = True
                                                                                                                                                                              = True
                                                                                                                                                                                          True
                                                                                                                                                                            = True
= a =? a' && b =? b'
= a =? a' && b =? b'
 = True \\ -- \mid Return \ the \ argument \ of \ the \ type \ inflicted \ by \ a \ compound \ category . \\ arg \ (:: X) = Just \ x \\ arg \ (:: X) = Just \ x \\ arg \ x = Nothing 
-- | Return the result of the type inflicted by a compound category res :: Category -> Maybe Category res (x:\_) = Just x res (x:\_) = Just x res _ = Nothing
-- | Pretty printing of Word instance Show Word where showPrec d (Word { token = t, lemma = lemma, pos = p, category = c, ex (showString t) . (showString """) . (showString lemma) . (showString the showString lemma) .
                                                                                                                                                                                                                                                                                                                                                                           expr = e }) =
                    (showString t) . (showString "~") . (showString lemma) . (showString p) . (showString "_:_") . (showString "_:_") . (shows e)
                                                             printing of Category
(shows a)
                                                                                                                                                                                                                                                                                                                                                                                     (shows a)
                                                                                                                                                                                                                                                                                                                                                                                     (shows a)
                                                                                                                                                                                                                                                                                                                                                                                    (shows a)
                                                                                                                                                                                                                                                                                                                                                                                    (shows a)
(shows a)
                      ((showParen (isComplex a) (shows a)) . (showString "\\") . (showParen (isComplex b) (shows b)))
```

Annotate.hs

```
{-# LANGUAGE ImplicitParams #-}

module Annotate where

import Control.Monad.ST
import Data.STRef
import Data.STRef
import Data.List (sort)
import Data.Map (Map)
import qualified Data.Map as Map
import Data.Maybe
import Data.Maybe
import CCG
import CCG
import WordNet hiding (Word)

data AnnotationEnv = AnnotationEnv {
    wnEnv :: WordNetEnv,
    adjFun :: [SearchResult] -> Float, -- rename to adjChange?
    scaleFun :: [SearchResult] -> Float -- rename to adjScale?
}

-- Parameters for the annotation
```

```
omega = 100
--\mid Unfold the graph using the given relation and seeds. unfold G:: (Ord a) \Rightarrow (a \rightarrow [a]) \rightarrow [a] \rightarrow (Map a Node, Gr a Int) unfold Gr seeds = runST \ unfold Gr seeds
-- | State transformer for unfolding graphs. unfoldST :: (Ord a) => (a -> [a]) -> [a] -> ST s (Map a Node, Gr a Int) unfoldST r seeds =
                                                         -- Map from Item to Node

-- List of Node/[Edge] pairs

-- Counter for indexing node
       mapRef <- newSTRef Map.empty
nodesRef <- newSTRef []
idRef <- newSTRef 0
   do mapRef
        - Recursively visits n
       -- Recursively visit n =

do -- Test if n has already been visited

test <- (return . Map.lookup n =<< readSTRef mapRef)

case test of

Just v -> return v
                       Nothing ->
do -- Get next
                          do -- Get next id io:

i <- readSTRef idRef
                                                           this item
                              modifySTRef idRef (+1)
                              -- Update item/node map
modifySTRef mapRef (Map.insert n i)
                               -- Recursively visit related items ks <- mapM visit $ r n let ns = ((i,n), [(i,k,1) | k <- ks]) modifySTRef nodesRef (ns:)
           Visit seeds
       mapM visit seeds
       maph visit seeds  - \text{Read resuls and return map/graph-pair} \\ list <- \text{ readSTRef nodesRef} \\ nodeMap <- \text{ readSTRef mapRef} \\ let nodes = [n \mid (n, ) <- \text{ list}] \\ let edges = \text{concat } [es \mid (\_, es) <- \text{ list}] \\ return \text{ (nodeMap, mkGraph nodes edges)} 
adjective
                                where
                                   distAdj :: [Node] -> [Node] -> [Float]
distAdj sns qns = take n $ sort [normAdj (length (sp sn qn gr) -
1) | sn <- sns, qn <- qns]
                                   2**(sum & distance where distScale :: [Node] -> [Node] -> [Float] distScale sns qns = take n $ sort [normScale (length (sp sn qn gr) - 1) | sn <- sns, qn <- qns]
                                      = pos == "DT"
annotateDet env w@(Word { category = c, token = t, lemma = l }) | c =? NP [] :/ N [] = w { expr = lid } | otherwise =
      annotateAny env w
```

```
annotateNoun env w@(Word { category = c, token = t, lemma = 1 })
  | c =? N [] :/ N [] = w { expr = (Abs "x" $ Seq [Fun 1 0 0 [], Var "x"]) } -- Part of multi lexical noun
     otherwise
           annotateAny env w
\begin{array}{lll} annotateVerb \ env \ w@(Word \ \{ \ category = c \ , \ token = t \ , \ lemma = 1 \ \}) \\ | \ c = ? \ (S \ [SDcl] : \ \ NP \ []) : / (S \ [SAdj] : \ \ NP \ []) = \\ w \ \{ \ expr = lid \ \} -- \ Linking \ verb \\ | \ otherwise = annotateAny \ env \ w \end{array}
annotateAny env w
annotateAdverb env w@(Word { category = c, lemma = l })
     | arg c =? res c = | let -- Try to lookup adjective pertainyms | query = (let ?wne = (wnEnv env) in (concat2 $ map (relatedBy Pertainym) (search | Adv AllSenses)) ++ (search | Adj AllSenses)) | scaleValue = (scaleFun env) $ filter ((==) Adj . srPOS) $ query | changeValue | (adjFun env) $ filter ((==) Adj . srPOS) $ query | forslaValue | 1 then | then
           in if scaleValue /= 1 then
w { expr = (Abs "x" $ Scale (Var "x") $ scaleValue) }
                      else
                            w { expr = (Abs "x" $ Change (Var "x") $ changeValue) }
      otherwise =
           annotateAny env w
annotateP env w@(Word { category = c })
| (NP [| : \ NP [|) : / (S [] : / NP [|) =? c =
    w { expr = Abs "x" $ Abs "y" $ ImpactChange (App (Var "x") (Var "y")) 1 }
| (NP [| : \ NP [|) : / (S [] : \ NP [|) =? c =
    w { expr = Abs "x" $ Abs "y" $ ImpactChange (App (Var "x") (Var "y")) 2 }
| (NP [| : \ NP []) : / NP [] =? c =
    w { expr = shiftTerm (expr w') 2 }
| cherwise = chiftTerm (expr w') 2 }
      | otherwise =
      where w' = annotateAny env w
here

constructTerm :: [String] -> [(SExpr, Category)] -> Category -> SExpr

constructTerm vs ts c = case c of

r : \ a -> constructAbstraction vs ts a r

r : \ a -> constructAbstraction vs ts a r

-> Fun 1 0 0 $ reverse $ [v | (v, _) <- ts]
            constructAbstraction :: [String] -> [(SExpr, Category)] -> Category -> Category ->
                       SExpr
           argument
                            cond2 = any (\(\_, t) -> arg a =? (Just t)) ts -- types where a migth be used as
                                        function
                           Abs v (constructTerm vs (term) r)
-- | Special annotation for C&C conj-rule
annotateConj :: Category -> Word -> Word
annotateConj cat w@(Word { lemma = 1 }) =
    w { expr = Abs "x" $ Abs "y" $ constructTerm [] cat }
           newVar used = head $ dropWhile ('elem' used) $ (iterate (++ "'") "z") constructTerm :: [String] -> Category -> SExpr
           constructTerm :: [string] -> Category -> SEXPF
constructTerm used c = case c of
    a : \ -> let v = newVar used in Abs v (constructTerm (v:used) a)
    a : \ -> let v = newVar used in Abs v (constructTerm (v:used) a)
    --> Seq $ map (\text{term} -> foldr (flip App) term $ map Var used) [Var "x", Var "y
-- | Auxiliary function for annotation for C&C lp/rp-rule flatten :: Category -\!\!\!> [Category]
```

```
flatten (a : \ b) = b : flatten a flatten <math>(a : \ b) = b : flatten a
 flatten à
-- | Special annotation for C&C lp/rp-rule
annotateConj' :: Word -> Word
annotateConj' w@(Word { lemma = 1, category = c }) =
let f = flatten c
              c1 = f !! 0

c2 = f !! 1
    cr = f !! 2
        here
newVar used = head $ dropWhile ('elem' used) $ zVars
constructTerm :: [String] -> Category -> SExpr
constructTerm used c = case c of

a :/ -> let v = newVar used in Abs v (constructTerm (v:used) a)

a :/ -> let v = newVar used in Abs v (constructTerm (v:used) a)

- -> Seq $ map (\text{term -> foldr (flip App) term $ reverse $ map Var used) [Var "

x", Var "y"]
      | Special annotation for C&C ltc-rule
annotateLtc :: Lexicon -> Word -> Word annotateLtc :: Lexicon -> Word -> Word annotateLtc w@(Word { token = t, category = c, lemma = l }) = w { expr = constructTerm xyzVars [] c }
     where
         constructTerm :: [String] -> [(SExpr, Category)] -> Category -> SExpr
constructTerm vs ts c = case c of
  r : \ a -> constructAbstraction vs ts a r
  r : \ a -> constructAbstraction vs ts a r
  -> Seq $ reverse $ [v | (v, _) <- ts]</pre>
         constructAbstraction :: [String] -> [(SExpr, Category)] -> Category -> Category ->
                    SExpr
         constructAbstraction (v:vs) ts a r = -- a = tau_alpha, r = tau_beta

let t = Var v -- NP

cond = any (\( (, t) -> (Just a) = ? arg t) ts -- types where a migth be used as
                       term = if cond then map (\((t', c') -> if Just a =? arg c' then (App t' t, from Just \$ res c') else (t', c')) ts else (t, a):ts
              annotateWord :: AnnotationEnv -> Word -> Word annotateWord env w@(Word { pos = pos }) | isDet pos = annotateDet env w | isAdj pos = annotateAdj env w | isAdverb pos = annotateVerb env w | isAdverb pos = annotateAdverb env w | isAoverb pos = annotateAdverb env w | isNoun pos = annotateAoverb env w | isPoun pos = annotateP env w | otherwise = annotateAny env w
```

Parser.hs

```
{-# LANGUAGE ImplicitParams #-}
module Parser (
    parseLexicon,
    parseTree,

    CcEnv,
    createCcEnv,
    closeCcEnv,
    runCcEnv
)    where

-- Misc.
import Control.Monad
import Data.Char
import Data.Char
import Text.ParserCombinators.Parsec hiding (token)
import Text.ParserCombinators.Parsec.Expr
```

```
Proccess handling
import System. Process
import GHC. IO. Handle
     - Data Structures
import CCG
import Annotate
\begin{array}{ll} pLexicon & :: & Parser & [Word] \\ pLexicon & = & pLexiconEntry & `endBy `` & newline \\ \end{array}
pLexiconEntry :: Parser Word
pLexiconEntry = do (string "w(
manyl digit
                                                                          manyl digit
(string ", ")
manyl digit
(string ", '")
t <- pToken
(string "', '")
lemma <- pToken
(string ", ", ")
pos <- pToken
                                                                           (string ",,,")
pos <- pToken
(string ",,,")
                                                                           pToken
                                                                             (string "',,,'")
                                                                          pToken (string "', "')
c <- pCategoryExpr (string "').")
return $ Word t lemma pos c (Var "?")
                                                                           pToken
\begin{array}{lll} pTree & :: & Lexicon \ -> \ Parser \ PTree \\ pTree & l \ = \ \mbox{\bf do} \ (\ string \ \ "\ ccg \ (" \ ) \end{array}
                                                 (string commany1 digit
                                                    (string ",")
t <- pSubtree 1
(string ").")
try (pBRule 1 "fa" $ \c t1 t2 -> PFwdApp c (reduce $ App (nodeExpr xpr t2)) t1 t2 )

<|> try (pBRule 1 "ba" $ \c t1 t2 -> PBwdApp c (reduce $ App (nodeExpr t2) (nodeExpr t1)) t1 t2 )

<|> try (pBRule 1 "fc" $ \c t1 t2 -> PFwdComp c (reduce $ App (nodeExpr t2) (rodeExpr t2) (rodeExp
                                                                                                                                                                                                                                                             c (reduce $ Abs "f" (App (
t <- pSubtree l
(string ")")
return $ r c t
pBRule :: Lexicon -> String -> (Category -> PTree -> PTree -> PTree) -> Parser PTree
pBRule 1 f r = do (string f) (string "('")
                                                                        c <- pCategoryExpr
(string "',")
t1 <- pSubtree 1
                                                                        (string
                                                                        t2 <- pSubtree 1
(string ")")
return $ r c t1 t2
```

```
c2 <- pCategoryExpr
              (string "', ")
t1 <- pSubtree 1
              (string
              if (isNothing t1') then
unexpected ("Left_child_of_a_conjunction_rule_'" ++ r ++ "'_should_be_a_
word.")
              else
                (string "('")
c <- pCategoryExpr
                  (string "',")
t1 <- pSubtree 1
                  (string ",")
t2 <- pSubtree 1
                  (string ")")
let t1' = case t1 of
                                 Word w -> Just $ PWord $

case r of
"lp" -> annotateConj' $ w { category = c :/ c }
"ltc" -> annotateLtc l (w { category = c :/ (nodeCategory t2) })

therwise -> Nothin-
                              PWord w
                 t2) })
otherwise -> Nothing
if (isNothing t1') then
unexpected $ "Left_child_of_a_conjunction_rule_'" ++ r ++ "'_should_be_a
_word."
                   return t })

c2 <- optionMaybe (do { char '\''; c <- pCategoryExpr; char '\''; char ',';
    return c })

t1 <- pSubtree l
                t1 <- p-
(string ",")
t2 <- pSubtree 1
                -> Just $ PWord $ annotateConj' $ w { category = c1
                if (isNothing t2') then
unexpected $ "Right_child_of_a_conjunction_rule_'" ++ r ++ "'_should_be_a
                  (string
             c2 <- pCategoryExpr
             (string
             (string ", ')
t <- pSubtree !
(string ")")
return $ PLexRaise c2 (nodeExpr t) t</pre>
\begin{array}{lll} pWord & :: & Lexicon \ -> & Parser & PTree \\ pWord & l & = & \textbf{do} & (string \ "lf(") \\ & & (many1 & digit) \end{array}
              (many1 d.g., (string ",") wordIndex <- (many1 digit) (string ",'")
              (string , )
c <- pCategoryExpr
(string "')")
return $ PWord (1 !! ((read wordIndex :: Int) - 1))
pToken :: Parser String
pToken = many1 $ upper <|> lower <|> digit <|> oneOf "_-$,.!?" <|> escaped <|> (char '' >>
    return '\'')
```

```
pParens :: Parser a -> Parser a pParens = between (char '(') (char ')')
pBrackets :: Parser a -> Parser a pBrackets = between (char '[') (char ']')
\begin{array}{lll} pCategoryExpr & :: & Parser & Category \\ pCategoryExpr & = & buildExpressionParser & pCategoryOpTable & pCategory \\ \end{array}
pCategoryOpTable :: OperatorTable Char st Category pCategoryOpTable = [ [ op "/" (:/) AssocLeft , op "\\" (:\) AssocLeft ] ]
                                  op s f a = Infix ( string s >> return f ) a
NP)
                                                                  N)
PP)
                                  (pCategory ' "PP"
                    <i>>
                                 (pCategory "PP"
(pCategory "conj"
(pCategory "."
(pCategory ","
                                                                  CONJ)
                    <|>
<|>
                                                                  Punctuation)
                    <?> "category
pCategory':: String \rightarrow (Agreement \rightarrow Category) \rightarrow Parser Category pCategory's c = do string s a \leftarrow pAgreement
pFeature :: Parser Feature
                  : Parser Feature
try (string "dcl"
<|> try (string "adj"
<|> try (string "adj"
<|> try (string "nb"
<|> try (string "nb"
<|> try (string "nb"
<|> try (string "em"
<|> try (string "inv"
<|> try (string "ins"
                                                         >> return SDcl
pFeature =
                                                          >> return SAdj
                                                          >> return SPt
                                                          >> return SNb
                                                          >> return SNg
                                                          >> return SEm
                                                          >> return SInv
                  <|> try (string "nv"
<|> try (string "pss"
<|> try (string "b"
<|> try (string "to")
                                                          >> return SPss
                                                          >> return SB
                                                          >> return To
                  cry (string "to"

cly try (string "thr"

cly (string "wq"
                                                          >> return FThr
                                                          >> return FWq
                  cry (string "wq"

cry (string "qem"

cry (string "q"

cry (string "for"

                                                         >> return FQem
>> return FQ
                                                        >> return FFor

<
parseLexicon :: String -> Lexicon
parseLexicon str =
   case parse pLexicon "Parse_error:" str of
Left e -> error $ show e
Right r -> r
parseTree :: Lexicon -> String -> (Maybe PTree)
parseTree ! str =
case parse (pTree !) "Parse_error:" str of
Left e -> Nothing -- error $ show e
Right r -> Just r
getSection :: Handle -> String -> IO (Maybe String)
getSection h s =

do -- hWaitForInput h (-1)
           eof <- hIsEOF h
if eof then
               return Nothing
            else do
inpStr <- hGetLine h
               if inpStr == "" then
return $ Just s
else do
                  -- putStr ("Got line: " ++ inpStr ++ "\n")
getSection h (s ++ inpStr ++ "\n")
data CcEnv = CcEnv {
    --serverInHandle :: Handle,
```

```
--serverOutHandle :: Handle
 createCcEnv :: IO (CcEnv)
createCcEnv = do
    -- Create C & C server process
              -- Create C & C server process

(_, _, _, serverHandle) <-
createProcess (proc "bin/soap_server" [
"--candc", "models",
"--server", "localhost:9000",
"--log", "log/candc.log",
"--candc-parser-noisy_rules", "false",
"-candc-printer", "prolog"])

putStr "Starting_C&_&_C_server_process...\n"

return $ CcEnv -- inHandle outHandle
 closeCcEnv :: CcEnv -> IO ()
closeCcEnv env = do
    --hClose (serverInHandle env)
    --hClose (serverOutHandle env)
                 return ()
 {\tt runCcEnv} \ :: \ CcEnv \ -> \ ({\tt Word} \ -> \ {\tt Word}) \ -> \ {\tt String} \ -> \ {\tt IO} \ ({\tt Maybe} \ {\tt PTree})
runCcEnv :: CcEnv -> (Word -> Word) -> String -> 10 (More the control of the
                  hSetBuffering inHandle LineBuffering
hSetBuffering outHandle LineBuffering
               putStr "\n"
putStr "Parsing:\n"
putStr s
putStr "\n"
hPutStr inHandle s
hPutStr inHandle "\n"
               getSection outHandle "" --- Discard comments getSection outHandle "" --- Discard functor declarations tree <-- getSection outHandle "" --- Tree lexicon <-- getSection outHandle "" --- Lexicon
               if (isJust tree) && (isJust lexicon) then

do let 1 = map a $ parseLexicon $ fromJust lexicon
    putStr "\n"
    --putStr "Lexicon:\n"
    --putStr $ unlines $ map show (1)
    --putStr $ '\n"
    -- putStr $ fromJust tree
    let tree' = filter (not . isSpace) $ fromJust tree
    let tree' = filter (not . isSpace) $ fromJust tree
    let t = parseTree 1 tree'
    -- if (isJust t)
    -- putStr "Tree:\n"
    -- putStr "Tree:\n"
    -- putStr "Tree'
    -- putStr "\n"
    return t
                                return Nothing
```

Appendix C

Labeled test data

The following table consists of a random sample chosen from the "Swissotel Hotel" topic of the *Opinosis data set* [Ganesan *et al.*, 2010] which contain any morphological form of the *subject of interest: hotel rooms*. Each sentence in the data set (which may not constitute a complete review) has been labeled independently by two human individuals *with respect to the subject of interest: hotel rooms*. Furthermore the table contains results for the baseline (sentence level polarity value), and results for the presented method (entity level polarity value of subject of interest).

#	Review text	Human A	Human B	Presented method
1	The rooms are in pretty shabby condition, but they are clean.	Negative	Negative	Unknown
2	The rooms are spacious and have nice views, I was NOT impressed with the mattress and every, little, tiny thing costs money .	Unknown	Unknown	N/A
3	The rooms look like they were just remodled and upgraded, there was an HD TV and a nice iHome docking station to put my iPod so I could set the alarm to wake up with my music instead of the radio .	Positive	Positive	Unknown
4	The rooms were cleaned spic and span every day.	Positive	Positive	Unknown
5	When I got to the room , I thought the new rooms would have a plasma since the website implies the new rooms would have them , but I guess those come later .	Negative	Negative	Unknown
6	Very impressed with rooms and view!	Positive	Positive	Unknown
7	The rooms are not all that big.	Negative	Negative	Unknown
8	Expensive Parking but great rooms.	Positive	Positive	10.0
9	Rooms were nicely furnished .	Positive	Positive	Unknown
10	The rooms are very clean , comfortable and spacious and up-to-date . $$	Positive	Positive	65.0
11	I've olny ever stayed in the "standard" rooms in this property , all of which are spacious and airy , and function well for both business or leisure travellers .	Positive	Positive	Unknown
12	It does suffer , however , from a trend that I have been noticing that as rooms at business class hotels are upgraded , particularly with a patch panel for the big LCD , TV , drawer space becomes less and less .	Negative	Negative	Unknown
13	We even got upgraded to one of the corner rooms which also looked west toward Michigan Ave and the Wrigley building .	Positive	Positive	Unknown
14	The rooms were very clean , the service was polite and helpful , and it's near the heart of Chicago !	Positive	Positive	45.0
15	You can see downtown and or the Navy Pier from most of the rooms .	Positive	Positive	Unknown

#	Review text	Human A	Human B	Presented method
16	no more bathrobes in corner rooms suites, coffee service in room is parred way down, the buffet offered in the cafe is not as bountiful, although the cafe staff is inpeccable and extremely gracious and will bring you what you wish, check in staff not at all eager to upgrade you, even though you may be a frequent visitor.	Negative	Negative	Unknown
17	Our rooms were nice and didn't look worn or old.	Positive	Positive	Unknown
18	Rooms at the hotel are getting somewhat tired.	Negative	Negative	TODO! (Positive)
19	Great Location great rooms and bed but no help from desk personnel .	Positive	Positive	30.0
20	While the rooms are quite nice , I was dismayed by the snotty service I received at the Swissotel in Chicago .	Positive	Positive	70.0
21	Rooms are dated , our corner room's bathroom was shabby .	Negative	Negative	Unknown
22	The hotel was very nice, rooms were big, the pool hot tub area was very nice, and the location was great and easy to get to.	Positive	Positive	Unknown
23	Rooms are good quality and clean , what you would expect from a four star business hotel .	Positive	Positive	45.0
24	The view from the rooms was fantastic, My daughters are allergic to feathers and all trace of them were removed from the room as soon as we advised housekeeping.	Positive	Positive	Unknown
25	The Swissotel is one of our favorite hotels in Chicago and the corner rooms have the most fantastic views in the city .	Positive	Positive	Unknown
26	Then again , the rooms are much larger and the view more than makes up for it .	Positive	Positive	65.0
27	Rooms in similar hotels would usually be about \$250, 300.	Positive	Positive	Unknown
28	The actual hotel and rooms were very nice with amazing views , the staff was extremely rude .	Positive	Positive	Unknown
29	The rooms were clean , and upscale for the low price we paid .	Positive	Positive	Unknown
30	Thanks to TravelZoo I was able to find an amazing deal, lakeside rooms for \$129 night as part of a spring promotion.	Positive	Positive	Unknown
31	I recieved a great deal on the rooms here and it was wonderful .	Positive	Positive	20.0

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	#	Review text	Human A	Human B	Presented method
-	32	The room was huge as hotel rooms go .	Positive	Positive	65.0
_	33	Hotel was very clean and the rooms were comfy .	Positive	Positive	Unknown
_	34	word to the wise , avoid the rooms ending with 11 .	Negative	Negative	Unknown
-	35	The rooms are large and well , appointed , the staff was very professional and friendly , and the view was striking!	Positive	Positive	40.0

Table C.1: Labeled test data, subject of interest: Room

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