

Weyl's law:  $\Omega \subset \mathbb{R}^d$   
bounded domain

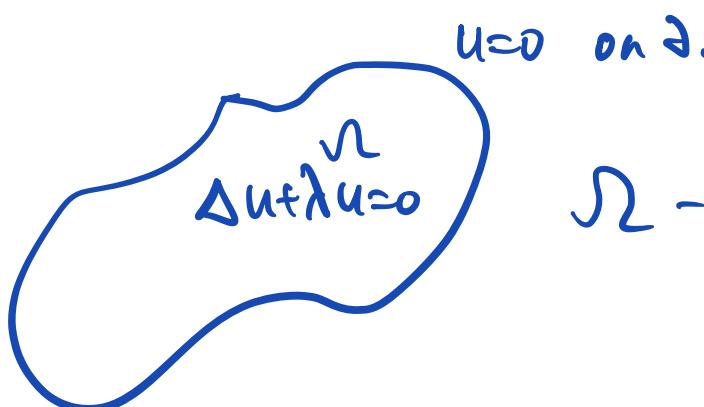
$$\lambda_k(\Omega) \underset{k \rightarrow \infty}{\sim} C_d \cdot \left( \frac{k}{|\Omega|} \right)^{\frac{2}{d}}$$

$$C_d = (2\pi)^2 \cdot |\mathcal{B}_1|^{\frac{-2}{d}}$$

# Polya's conjecture for Dirichlet eigenvalues in $\mathbb{R}^d$

$$\lambda_k(\Omega) \geq C_d \cdot \left( \frac{k}{|\Omega|} \right)^{\frac{2}{d}}$$

the same constant  
as in the Weyl law.



$\Omega$  - smooth bounded domain  
in  $\mathbb{R}^d$

Thm( Lieb).

$$\lambda_k \geq \tilde{C}_d \cdot \left( \frac{k}{|\mathcal{S}|} \right)^{\frac{2}{d}}$$

$$\tilde{C}_d \ll C_d$$

Thm( Li - Yau).

$$\lambda_k \geq \frac{d}{d+2} \cdot C_d \cdot \left( \frac{k}{|\mathcal{S}|} \right)^{\frac{2}{d}}$$

Thm (Li-Yau)

$$\sum_{i=1}^k \lambda_i \stackrel{\text{sharp}}{\geq} \frac{d}{d+2} C_d \cdot k^{\frac{d+2}{d}} \cdot |\mathcal{R}|^{-\frac{2}{d}}$$

$$k \lambda_k \geq \sum_{i=1}^k \lambda_i$$

$$\lambda_k \geq \frac{d}{d+2} C_d \cdot k^{\frac{2}{d}} \cdot |\mathcal{R}|^{-\frac{2}{d}}$$

likely non-sharp.

The proof is bare handed:

Basic properties of eigenfunctions  
+ Fourier transform.

We follow the article: "On the Schrodinger  
equation and the eigenvalue problem"  
by P. Li & S.-T. Yau )

For the sake of simplicity,  
suppose  $\Omega$  is  $(C^\infty)$  smooth.  
So that eigenfunctions are  
smooth up to the boundary

$$\Delta \varphi_k + \lambda_k \varphi_k = 0 \quad \text{in } \Omega$$

$$\varphi_k = 0 \quad \text{in } \partial\Omega$$

Orthogonality of gradients.

$$\int \nabla \varphi_i \cdot \nabla \varphi_j = \delta_{i,j} \lambda_i$$

In particular,

$$\sum_{i=1}^k \int |\nabla \varphi_i|^2 = \sum_{i=1}^k \lambda_i$$

Reproducing kernel:

$$K(x, y) = \sum_{i=1}^k f_i(x) \cdot f_i(y)$$

Integral operator  $T$ :

$$f \in L^2(\Omega) \rightarrow Tf$$

$$Tf(y) := \int_{\Omega} K(x, y) f(x) dx$$

$$K(x, y) = \sum_{i=1}^k p_i(x) \cdot p_i(y)$$

$$Tf(y) := \int_{\Omega} K(x, y) f(x) dx$$

Exercise.

① If  $f \in \text{Span}(p_1, \dots, p_k)$ , then  $Tf = f$ .

② If  $f \perp p_1, \dots, p_k$ , then  $Tf = 0$

Corollary.  $Tf(y) = \int K(x, y) f(x) dx$

is an orthonormal projection  
from  $L^2(\mathbb{R}^d)$  onto  $\text{span}(t_1, \dots, t_k)$ .

$$\|Tf\|_{L^2(\mathbb{R})} \leq \|f\|_{L^2(\mathbb{R})}$$

Informal point of view.

If we fix  $y$ , then

$$K_n^y(x) = \sum_{i=1}^n \varphi_i(x) \varphi_i(y)$$

"converges" to  $\delta_y$  as  $n \rightarrow \infty$ .

We would like to extend  $\varphi_i(x)$  by 0 outside of  $\mathcal{N} \subset \mathbb{R}^d$

$$\varphi_i \in W_0^{1,2}(\mathcal{N}) \subset W_0^{1,2}(\mathbb{R}^d)$$

Fourier transform.

$$\widehat{\varphi}(\xi) = (2\pi)^{-d/2} \cdot \int \varphi(x) \cdot e^{ix \cdot \xi}$$

$$\int |\widehat{\varphi}|^2 = \int |\varphi|^2$$

$$\widehat{\partial_{x_i} \varphi} = (-i\zeta_i) \cdot \widehat{\varphi}$$

$$|\zeta|^2 = \sum |\zeta_c|^2$$

$$\int |\nabla \varphi|^2 = \int |\zeta|^2 \cdot |\widehat{\varphi}|^2$$

$$\varphi \in W_0^{1,2}(\Omega)$$

$$\begin{aligned} \|\varphi\|_{W_0^{1,2}}^2 &= \int |\varphi|^2 + \int |\nabla \varphi|^2 = \\ &= \int (1+|\zeta|)^2 |\widehat{\varphi}|^2 \end{aligned}$$

# Fourier transform of the reproducing kernel

$$K(x, y) = \sum_{i=1}^k p_i(x) \cdot p_i(y)$$

$$K(x, y) \in W_0^{1,2}(\Omega \times \Omega) \subset W_0^{1,2}(\mathbb{R}^d \times \mathbb{R}^d)$$

↓  
extension by 0.

Fourier transform of  $K(x, y)$ :

with respect to  $x$ -variable

$$\hat{K}(z, y) = (2\pi)^{-d/2} \int_{x \in \mathbb{R}^d} K(x, y) e^{iz \cdot x} dx$$

Exercise.

Show that  $S[K(x,y)]^2 = k$

$L^2$ -estimate of slices:

$$f(\zeta) = \int |\hat{K}(\zeta, y)|^2 dy = \\ = \int_{\mathcal{R}} (2\pi)^{-d} \left( \int_{\mathbb{R}^d} K(x, y) \cdot e^{ix \cdot \zeta} dx \right)^2 dy$$

Claim 1.  $\int_{\mathbb{R}^d} f(\zeta) d\zeta = k$

Claim 2.  $f(\zeta) \leq (2\pi)^d \cdot |\mathcal{R}|$

Claim 3.  $\int f(\zeta) \cdot |\zeta|^2 d\zeta = \lambda_1 + \dots + \lambda_k$

$$f(\xi) = \int |\hat{K}(\xi, y)|^2 dy =$$

$$= \int_{\Omega} (2\pi)^{-d} \left( \int_{\Omega} K(x, y) \cdot e^{ix \cdot \xi} dx \right)^2 dy$$

$$= (2\pi)^{-d} \left\| T e^{ix \cdot \xi} \right\|_{L^2(\Omega)}^2 \leq (2\pi)^{-d} \cdot \left\| (e^{ix \cdot \xi})^2 \right\|_{L^2(\Omega)}$$

$$= (2\pi)^{-d} \cdot |\Omega|$$

$$\text{Claim 3. } \int f(z) \cdot |z|^2 dz = \lambda_1 + \dots + \lambda_k$$

$$\begin{aligned} & \iint |z|^2 |\widehat{K}(z, y)|^2 dy dz = \\ &= \iint |\widehat{\nabla_x K}|^2(z, y) dy dz \end{aligned}$$

$$= \iint |\nabla_x K|^2(x, y) dx dy$$

$$\iint \sum \nabla \varphi_i(x) \cdot \varphi_i(y) \cdot \sum \nabla \varphi_i(x) \cdot \varphi_i(y) =$$

$$= \sum \int |\nabla \varphi_i|^2(x) \cdot \int |\varphi_i|^2(y) = \sum \lambda_i$$

All together :

$$\textcircled{1} \quad \int f(z) = k$$

$$\textcircled{2} \quad 0 \leq f(z) \leq (2\pi)^{-d} \cdot |\mathcal{R}|$$

$$\textcircled{3} \quad \int f(z) \cdot |z|^2 = \lambda_1 + \dots + \lambda_k.$$

$\textcircled{1} + \textcircled{2} + \textcircled{3}$  implies

a bound for  $\lambda_1 + \dots + \lambda_k$  in terms  
of  $k$  and  $|\mathcal{R}|$

Exercise. If  $\int f = k$ ,  $0 \leq f \leq M$ , then

$$\int |f(z)|^2 \geq \tilde{C}_d \cdot k^{\frac{d+2}{d}} \cdot M^{\frac{-2}{d}}$$

$\nwarrow$  calculate  $\tilde{C}_d$

Exercise The LHS is minimal

when  $f$  is the step function:

$$f = \chi_{B_R} \cdot M$$

where  $\chi$  is chosen in such way that

$$\int \chi_{B_R} \cdot M = k :$$

In other words,  $|B_1| \cdot R^d \cdot M = k$

Exercise      Use coarea formula

to show  $|\partial B_3| = d \cdot |B_1|$



$$0 \leq f \leq M \quad \int f = k \quad \min_{\mathbb{R}^d} \int_{\mathbb{R}^d} f \cdot |z|^2$$

$$f = \chi_{B_R} \cdot M \quad R=? \quad |B_R| \cdot M = \int f = k$$

$$|B_1| \cdot R^d \cdot M = k$$

$$M \int_{B_R} |z|^2 = M \cdot \int_0^R \int_{\partial B_p} p^2 = M \cdot \int_0^R p^2 \cdot |\partial B_p| dp =$$

$$= M \cdot |\partial B_1| \cdot \int_0^R p^{d+1} = \frac{M \cdot |\partial B_1|}{d+2} \cdot R^{d+2} =$$

$$= \frac{d}{d+2} M |\partial B_1| \cdot R^{d+2} = \frac{d}{d+2} R^2 \cdot k =$$

$$= \frac{d}{d+2} \cdot k \cdot \left( \frac{k}{|\partial B_1| \cdot M} \right)^{\frac{2}{d}}$$

We leave away the part  
of plugging in

$$M = (2\pi i)^d \cdot 154 ; R = \left( \frac{k}{M \cdot |B_s|} \right)^{\frac{1}{d}}$$

Li-Yau's bound:

$$\lambda_1 + \lambda_2 + \dots + \lambda_k \geq \frac{d}{d+2} \underbrace{\left(2\pi\right)^2 \cdot |B_1|^{-\frac{2}{d}}}_{C_d} \cdot k^{\frac{d+2}{d}} \cdot |\Omega|^{-\frac{2}{d}}.$$

Weyl's law:  $\lambda_k \sim C_d \cdot \left(\frac{k}{|\Omega|}\right)^{\frac{2}{d}}$

Polya's conjecture (for domains in  $\mathbb{R}^d$ )

$$\lambda_k \geq C_d \cdot \left(\frac{k}{|\Omega|}\right)^{\frac{2}{d}}$$

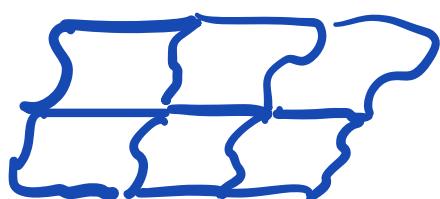
Li-Yau:  $\lambda_k \geq \frac{d}{d+2} C_d \left(\frac{k}{|\Omega|}\right)^{\frac{2}{d}}$

Def. A domain  $\Omega \subset \mathbb{R}^d$  is called tiling

if one can tile  $\mathbb{R}^d$

with translations of  $\Omega$ .

Example. A square is a  
tiling domain, but a ball isn't.



Exercise. Prove Polya's conjecture for tiling domains.

Reminder.

$$\lambda_{k+1} = \sup_{\substack{\text{L} \in W_0^{1,2}(\Omega) \\ \dim(L) = k}} \inf_{\substack{f \in W_0^{1,2}(\Omega) \\ f \perp L}} \frac{\int |\nabla f|^2}{\int f^2}$$

$\lambda_k$  are monotone :

$$\Omega \subset \tilde{\Omega}$$

$$\lambda_k(\Omega) > \lambda_k(\tilde{\Omega})$$

# Min-max principle

Neumann eigenvalues

$$M_k = \sup_{\substack{L \subset W^{1,2}(\Omega) \\ \dim(L) = k-1}} \inf_{\substack{\varphi \in W^{1,2}(\Omega) \\ \varphi \perp L}} \frac{\int |\nabla \varphi|^2}{\int \varphi^2}$$

Compare to Dirichlet eigenvalues.

$$\lambda_{k+1} = \sup_{\substack{L \subset W_0^{1,2}(\Omega) \\ \dim(L) = k}} \inf_{\substack{\varphi \in W_0^{1,2}(\Omega) \\ \varphi \perp L}} \frac{\int |\nabla \varphi|^2}{\int \varphi^2}$$

Weyl's law for Neumann's eigenvalues  
is the same as for Dirichlet's.

$$\lambda_k, M_k \sim C_d \cdot \left( \frac{k}{|\Omega|} \right)^{\frac{2}{d}}$$

Weyl's conjecture

$\Omega$ -smooth, bounded domain in  $\mathbb{R}^d$ .

The number of Dirichlet's eigenvalues  $\lambda_i$  in the interval  $(0, \lambda)$  behaves as follows

$$(2\pi)^{-d} \cdot \lambda^{\frac{d}{2}} |B_2| \cdot |\mathcal{N}| - \frac{1}{4} (2\pi)^{1-d} \cdot |DB_2| \cdot \lambda^{\frac{d-1}{2}} \cdot |\mathcal{N}| + o(\lambda^{\frac{d-1}{2}})$$

$\longrightarrow$  for Neumann eigenvalues with +

$$(2\pi)^{-d} \cdot \lambda^{\frac{d}{2}} |B_2| \cdot |\mathcal{N}| + \frac{1}{4} (2\pi)^{1-d} \cdot |DB_2| \cdot \lambda^{\frac{d-1}{2}} \cdot |\mathcal{N}| + o(\lambda^{\frac{d-1}{2}})$$

Polya's conjecture.

$$\mu_k \leq c_d \cdot \left( \frac{k}{|\mathcal{N}|} \right)^{\frac{2}{d}} \leq \lambda_k$$