Topic 6: Threads\*

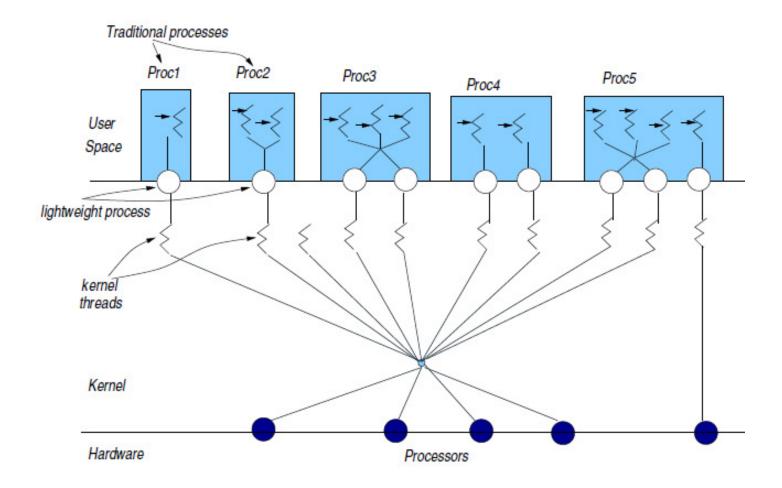
## Threads - Νήματα

- •Lightweight Processes (LWPs)
  - •share single address space,
  - •each has its own flow control
- •Try to overcome penalties
  - •separate process for every flow of of control is costly

## Why Threads?

- Offer a more efficient way to develop apps
  - on uniprocessor, one thread blocks on a syscall (e.g., read), another grabs CPU and does useful processing (faster user interface, lower program execution time)
  - on multiprocessor, separate thread on each CPU (program finishes faster)
  - •Question: In our network server example, if creating multiple processes is a performance issue, why not just use select()?
  - •What do threads give us over select()-based network server?

## Thread (Solaris) Model



#### Threads Overview

- One or more threads may be executed in the context of a process.
- The entity that is being scheduled is the thread – not the process itself.
- In the presence of a single processor, threads are executed concurrently.
- If there are more than one processors, threads can be assigned to different kernel thread (and so different CPUs) and run in parallel.
- Any thread may create a new thread.

#### Threads Overview

- All threads of a single process share the same address space (address space, file descriptors etc.) BUT they have their own PC, stack and set of registers.
- The kernel can perform content switches from one thread to another faster than from one process to another.
- The header #include <pthread.h> is required by all programs that use threads.
- Programs have to be compiled with the pthread library.
  - gcc <filename>.c -lpthread

#### Threads Overview

- ◆ The functions of the pthread library do not set the value of the variable *errno* and so, we cannot use the function *perror()* for the printing of a diagnostic message.
- If there is an error in one of the thread functions, strerror() is used for the printing of the diagnostic code (which is the "function return" for the thread).

#### char \*strerror(int errnum)

- returns a pointer to a string that describes the error code passed in the argument *errnum*.
- requires: #include <string.h>

### Threads vs. Processes

	Threads	Processes
Address space	Common. Any change made by one thread is visible to all (i.e., mallon()/free())	Different for each process. After a fork() we have different address spaces
File descriptors	Common. Any two threads can use the same descriptor. One close() on this descriptor is sufficient	Two processes use copies of the file descriptors
fork()	On a fork(), only the thread that invoked the fork is duplicated.	
exit()	On exit(), all threads die together (pthread_exit for the termination of a single thread).	
exec()	All threads disappear (the shared/common address space is replaced)	
Signals	This is somewhat more complex. See textbook.	

## POSIX Thread Management

POSIX function	description
pthread_create	create a thread
pthread_self	find out own thread ID
pthread_equal	test 2 thread IDs for equality
pthread_exit	exit thread without existing process
pthread_detach	set thread to release resources
pthread_join	wait for a thread
pthread_cancel	terminate another thread
pthread_kill	send a signal to a thread

#### Thread creation

- Creates a new thread with attributes specified by attr within a process.
- Thread executes the function at address start\_func
- Upon successful completion, pthread create() shall store the ID of the created thread in the location referenced by *thread*.
- Through *attr* we can change features of the thread but oftentimes we use the default attribute values work, by setting *attr* to NULL.
- If successful, returns 0; otherwise, an error number shall be returned to indicate the error.

#### Thread termination

#### void pthread\_exit (void \*retval);

- terminates the calling thread and makes the value retvalue available to any successful pthread\_join() with the terminating thread.
- After a thread has terminated, the result of access to local (auto) variables of the thread is undefined.
- References to local variables of the exiting thread should **not** be used for the *retvalue* parameter value.

# pthread join - waiting for thread termination

- suspends execution of the calling thread until the target thread terminates (unless the target thread has already terminated).
- When a pthread join() returns successfully, the target thread has been terminated.
- On successful completion, the function returns 0.
- If retval is not NULL, then pthread join()
  copies the exit status of the target thread
  into the location pointed to by \*retval.

# Identifying – Detaching Threads

pthread\_t pthread\_self(void);

 Returns the thread-ID of the calling thread

int pthread\_detach(pthread\_t thread);

- indicates that the storage for the thread can be reclaimed only when the thread terminates.
- If thread has not terminated, pthread detach() shall not cause it to terminate.
- If the call succeeds, pthread detach() shall return 0; otherwise, an error number shall be returned.
- Calling pthread\_join() on a detached thread fails.

```
Χρήση pthread_create, pthread_exit, pthread_join
    και pthread_self
#include <stdio.h>
#include <string.h>
              /* For strerror */
#include <stdlib.h> /* For exit
#include <pthread.h> /* For threads */
#define perror2(s,e) fprintf(stderr, "%s: %s\n", s, strerror(e))
void *thread f(void *argp){ /* Thread function */
  printf("I am the newly created thread %Id\n",
          pthread self());
  pthread_exit((void *) 47);
                         Not recommended way of "exit"ing..
main(){
                         avoid using automatic values; use
 pthread tthr;
                         malloc(ed) structs to return status
 int err, status;
 /* New thread */
 if (err = pthread create(&thr, NULL, thread f, NULL)) {
   perror2("pthread create", err);
   exit(1);
 printf("I am original thread %Id and I created
         thread %ld\n", pthread_self(), thr);
 /* Wait for thread */
 if (err = pthread_join(thr, (void **) &status)) {
   perror2("pthread join", err); /* termination */
   exit(1);
 printf("Thread %Id exited with code %d\n", thr, status);
 printf("Thread %Id just before exiting (Original)\n",
          pthread self());
 pthread exit(NULL); }
                                                           14
```

## Run output

mema@bowser> ./create\_a\_thread
I am the newly created thread 134558720
I am original thread 134557696 and I created thread 134558720
Thread 134558720 exited with code 47
Thread 134557696 just before exiting (Original)
mema@bowser>

#### whichexit() can be executed as a thread

```
void *whichexit(void *arg){
                                         1. n
  int n;
  int np1[1];
                                         2. &n
  int *np2;
                                         3. (int *)n
  char s1[10];
                                         4. np1
  char s2[] = "I am done";
                                         5. np2
  n = 3;
                                         6. s1
  np1 = n;
  np2 = (int *)malloc(sizeof(int *));
                                         7. s2
  *np2 = n;
                                         8. "This works"
  strcpy(s1,"Done");
                                         9. strerror(EINTR)
  return(NULL);
Which of the above options could be safe replacement
for NULL as return value in whichexit function?
(Or as a parameter to pthread_exit?)
```

#### whichexit() can be executed as a thread

```
void *whichexit(void *arg){
                                            1. n
  int n;
  int np1[1];
                                            2. &n
  int *np2;
                                            3. (int *)n
  char s1[10];
                                            4. np1
  char s2[] = "I am done";
                                            5. np2
  n = 3:
                                            6. s1
  np1 = n;
  np2 = (int *)malloc(sizeof(int *));
                                            7. s2
  *np2 = n;
                                            8. "This works"
  strcpy(s1,"Done");
                                            9. strerror(EINTR)
  return(NULL);
```

Which of the above options could be *safe* replacement for NULL as return value in whichexit function? (Or as a parameter to pthread\_exit?)

- 1. No, return value is a pointer not an int
- 2. No automatic variable
- 3. Might work (but not in all impls- avoid)
- 4. No automatic variable
- 5. Yes dynamically allocated
- 6. No automatic variable
- 7. No automatic storage
- 8. Yes In C, string literals have static storage
- 9. No The string produced by strerror might not exist

#### Χρήση pthread\_detach

```
#include <stdio.h>
#include <string.h>
#include <stdlib.h>
#include <pthread.h>
#define perror2(s,e) fprintf(stderr,"%s: %s\n",s,strerror(e))
void *thread f(void *argp){ /* Thread function */
  int err;
  if (err = pthread_detach(pthread_self())) { /* Detach thread */
    perror2("pthread detach", err);
    exit(1);
                                   Print "detached" thread
  printf("I am thread %d and I was called with
         argument %d\n",
         pthread_self(), *(int *) argp);
  pthread _exit(NULL);
main(){
  pthread_t thr;
  int err, arg = 29;
  /*New Thread */
  if (err = pthread_create(&thr,NULL,thread_f,(void *) &arg)){
    perror2("pthread_create", err);
    exit(1);
  printf("I am original thread %d and I created thread %d\n",
         pthread self(), thr);
  pthread_exit(NULL);
                                                              18
}
```

## Run output

mema@bowser> ./detached\_thread
I am thread 134558720 and I was called with argument 29
I am original thread 134557696 and I created thread 134558720
mema@bowser>

### Create *n* threads that wait for a random number of seconds and then terminate

```
#include <stdio.h>
#include <string.h> /* For strerror */
#include <stdlib.h> /* For exit
#include <pthread.h> /* For threads */
#define perror2(s,e) fprintf(stderr, "%s: %s\n", s, strerror(e))
#define MAX SLEEP 10
void *sleeping(void *arg) {
  int sl = (int) arg;
  printf("thread %ld sleeping %d seconds ...\n",
         pthread_self(), sl);
             /* Sleep a number of seconds */
  sleep(sl);
  printf("thread %ld waking up\n", pthread self());
  pthread exit(NULL);
}
main(int argc, char *argv[]){
  int n, i, sl, err;
  pthread t*tids;
  if (argc > 1) n = atoi(argv[1]); /* Make integer */
  else exit(0);
  if (n > 50) { /* Avoid too many threads */
    printf("Number of threads should be no more
          than 50\n"); exit(0); }
  if ((tids = malloc(n * sizeof(pthread_t))) == NULL) {
    perror("malloc"); exit(1); }
```

```
srandom((unsigned int) time(NULL)); /* Initialize generator */
 for (i=0; i< n; i++)
     /* Sleeping time 1..MAX_SLEEP */
     sl = random() % MAX SLEEP + 1;
     if (err = pthread_create(tids+i, NULL,
                              sleeping, (void *) sl)) {
        /* Create a thread */
        perror2("pthread_create", err); exit(1);}
 for (i=0; i< n; i++)
    /* Wait for thread termination */
    if (err = pthread_join(*(tids+i), NULL)) {
       perror2("pthread_join", err);
       exit(1);
 printf("all %d threads have terminated\n", n);
```

### Sample output

```
mema@bowser> ./create_many_threads 12
thread 134685184 sleeping 8 seconds ...
thread 134559232 sleeping 3 seconds ...
thread 134685696 sleeping 7 seconds ...
thread 134686208 sleeping 1 seconds ...
thread 134558720 sleeping 2 seconds ...
thread 134559744 sleeping 2 seconds ...
thread 134560256 sleeping 5 seconds ...
thread 134560768 sleeping 8 seconds ...
thread 134561280 sleeping 5 seconds ...
thread 134684672 sleeping 4 seconds ...
thread 134686720 sleeping 2 seconds ...
thread 134687232 sleeping 8 seconds ...
thread 134686208 waking up
thread 134558720 waking up
thread 134559744 waking up
thread 134686720 waking up
thread 134559232 waking up
thread 134684672 waking up
thread 134560256 waking up
thread 134561280 waking up
thread 134685696 waking up
thread 134685184 waking up
thread 134560768 waking up
thread 134687232 waking up
all 12 threads have terminated
mema@bowser>
```

## Going from a single-threaded program to multi-threading

```
#include <stdio.h>
#define NUM 5
void print_mesg(char *);
int main(){
print_mesg("hello");
print mesg("world\n");
void print mesg(char *m){
int i;
for (i=0; i<NUM; i++){
printf("%s", m);
fflush(stdout);
sleep(1);
```

mema@bowser> ./print\_single
hellohellohellohelloworld
world
world
world
world
world
mema@bowser>

#### First attempt..

```
#include <stdio.h>
#include <pthread.h>
#define NUM 5
main()
{ pthread_t t1, t2;
void *print mesg(void *);
pthread_create(&t1, NULL, print_mesg, (void *)"hello ");
pthread create(&t2, NULL, print mesg, (void *)"world\n");
pthread join(t1, NULL);
pthread join(t2, NULL);
void *print mesg(void *m)
    char *cp = (char *)m;
int i;
for (i=0;i< NUM; i++)
    printf("%s", cp);
    fflush(stdout);
    sleep(2);
return NULL;
```

#### Output of 4 runs

mema@bowser> ./multi\_hello
hello world
hello world
hello world
hello world
hello world
hello world

mema@bowser> ./multi\_hello
hello world
world
hello hello world
hello world
hello world

mema@bowser> ./multi\_hello world hello world hello hello world hello world hello world

mema@bowser> ./multi\_hello
world
hello hello world
hello world
world
hello world
hello world
hello mema@bowser>

## Another Example: Synchronization attempt (via *sleep()*)

```
#include <stdio.h>
#include <pthread.h>
#define NUM 5
      counter=0;
int
main(){
     pthread_t t1;
     void *print count(void *);
     int i;
     pthread create(&t1, NULL, print count, NULL);
     for(i=0; i< NUM; i++){
          counter++;
          sleep(1);
     pthread join(t1,NULL);
}
void *print count(void *m){
     /* counter is a shared variable */
     int i;
     for (i=0;i<NUM;i++)
          printf("count = %d\n",counter);
          sleep(1);
          /*changing this to something else has an effect */
     return NULL;
```

#### Output

```
mema@bowser>./incprint
count = 0
count = 1
count = 2
count = 3
count = 4
mema@bowser>./incprint
count = 1
count = 1
count = 3
count = 4
count = 5
                            Changing the sleep(1) to sleep(0)
mema@bowser> ./incprint
                            within print_count() we get the
count = 1
                            following:
count = 2
count = 3
                              mema@bowser> ./incprint
count = 4
                              count = 0
count = 5
                              count = 0
mema@bowser> ./incprint
                              count = 0
count = 1
                              count = 0
count = 1
                              count = 0
count = 3
                              mema@bowser>
count = 4
count = 5
mema@bowser>
```

#### Counting words from two distinct files

```
#include <stdio.h>
#include <stdlib.h>
#include <pthread.h>
#include <ctype.h>
int total words:
     main(int ac, char *av[]){
int
     pthread_t t1, t2;
     void *count words(void *);
     if (ac != 3) {
          printf("usage: %s file1 file2 \n", av[0]);
          exit(1); }
     total_words=0;
     pthread_create(&t1, NULL, count_words, (void *)av[1]);
     pthread_create(&t2, NULL, count_words, (void *)av[2]);
     pthread_join(t1, NULL);
     pthread_join(t2, NULL);
     printf("Main thread with ID: %ld reports %5d total words\n",
                 pthread_self(), total_words);
}
```

#### Output

```
mema@bowser> wc file1 file2

1     4    15 file1

1     4    17 file2

2     8    32 total

mema@bowser> ./wordcount1 file1 file2
In thread with ID: 134559232 counting words...
In thread with ID: 134558720 counting words...
Main thread with ID: 134557696 reports 8 total words mema@bowser> ./wordcount1 file1 file2
In thread with ID: 134559232 counting words...
In thread with ID: 134558720 counting words...
Main thread with ID: 134557696 reports 6 total words mema@bowser>
```

#### Concurrent Access

#### **Potential Problem:**

Thread1	Thread2
•••••	••••••
total_words++	total_words++
•••••	•••••

**Race-condition:** total\_words might not have *consistent value* after executing the above two assignments.

**Never** allow concurrent access to data without protection (when at least one access is write)!

## Binary POSIX Mutexes

- When threads share common structures (resources), the POSIX library offers a simplified version of semaphores termed binary semaphores or mutexes.
- A binary semaphore can find itself in only two states: locked or unlocked.
- int pthread\_mutex\_init(pthread\_mutex\_t \*mutex, const pthread\_mutexattr\_t \*mutexattr)
  - Initializes the mutex-object pointed to by mutex according to the mutex attributes specified in mutexattr.
  - pthread\_mutex\_init always returns 0
- A mutex may also be initialized by setting its value by the macro
- static pthread\_mutex\_t mymutex = PTHREAD\_MUTEX\_INITIALIZER;
- Initialization of a mutex should occur only once

## Locking a mutex

• Locking a mutex is carried out by:

int pthread\_mutex\_lock(pthread\_mutex\_t \* mutex)

- If the mutex is currently unlocked, it becomes locked and owned by the calling thread, and pthread\_mutex\_lock returns immediately.
- If successful, pthread\_mutex\_lock returns 0.
- If the mutex is already locked by another thread, pthread\_mutex\_lock blocks (or "suspends" for the user) the calling thread until the mutex is unlocked.

## Locking a mutex

- int pthread\_mutex\_trylock (pthread\_mutex\_t
  \*mutex);
- behaves identically to *pthread\_mutex\_lock*, except that it does not block the calling thread if the *mutex* is already locked by another thread
- instead, <a href="mailto:pthread\_mutex\_trylock">pthread\_mutex\_trylock</a> returns immediately with the error code *EBUSY*
- if *pthread\_mutex\_trylock* returns the error code *EINVAL*, the mutex was not initialized properly.

# Unlocking and destroying a mutex

int pthread\_mutex\_unlock ( pthread\_mutex\_t \*mutex)

- If the mutex has been locked and owned by the calling thread, the mutex gets unlocked.
- Upon successful call, it returns 0.

int pthread\_mutex\_destroy(pthread\_mutex\_t \*mutex)

- Destroys the mutex, freeing resources it might hold.
- In the LinuxThreads implementation, the call does nothing except checking that mutex is unlocked.
- Upon successful call, it returns 0.

# Counting (corretly) words in two files

```
/* add all header files */
           total words;
int
pthread_mutex_t counter_lock =
      PTHREAD MUTEX INITIALIZER;
int main(int ac, char *av[])
    pthread_t t1, t2;
void *count_words(void *);
if (ac !=3) {
    printf("usage: %s file1 file2 \n", av[0]);
    exit(1); }
total_words=0;
pthread_create(&t1, NULL, count_words, (void *)av[1]);
pthread_create(&t2, NULL, count_words, (void *)av[2]);
pthread_join(t1, NULL);
pthread_join(t2, NULL);
printf("Main thread wirth ID %ld reporting %5d
         total words\n", pthread_self(),total_words);
}
```

```
void *count_words(void *f){
    char *filename = (char *)f;
    FILE *fp; int c, prevc = \0;
    if ( (fp=fopen(filename,"r")) != NULL ){
        while ((c = getc(fp))! = EOF)
            if (!isalnum(c) && isalnum(prevc)){
                 pthread_mutex_lock(&counter_lock);
                 total_words++;
                 pthread_mutex_unlock(&counter_lock);
            prevc = c;
        fclose(fp);
    } else perror(filename);
    return NULL;
```

### Another program that counts words in two files correctly

```
#include <stdio.h>
#include <stdlib.h>
#include <pthread.h>
#include <ctype.h>
#define EXIT_FAILURE 1
struct arg_set{
     char *fname;
     int count;
     };
int
     main(int ac, char *av[]) {
     pthread_t t1, t2;
     struct arg_set args1, args2;
     void *count_words(void *);
     if (ac !=3) {
      printf("usage: %s file1 file2 \n", av[0]);
      exit (EXIT_FAILURE);
```

```
args1.fname = av[1]; args1.count = 0;
    pthread_create(&t1, NULL,
                    count_words, (void *) &args1);
    args2.fname = av[2]; args2.count = 0;
    pthread_create(&t2, NULL,
                    count_words, (void *) &args2);
    pthread_join(t1, NULL);
    pthread_join(t2, NULL);
    printf("In file %-10s there are %5d words\n",
                   av[1], args1.count);
    printf("In file %-10s there are %5d words\n",
                   av[2], args2.count);
    printf("Main thread %ld reporting %5d
                   total words\n", pthread_self(),
                   args1.count+args2.count);
```

```
void *count_words(void *a) {
   struct arg_set *args = a;
  FILE *fp; int c, prevc = \0;
  printf("Working within Thread with ID %ld
          and counting\n",pthread_self());
  if ((fp=fopen(args->fname,"r")) != NULL){
         while ((c = getc(fp))! = EOF)
              if (!isalnum(c) && isalnum(prevc)){
                   args->count++;
              prevc = c;
                         No mutex in this function!
         fclose(fp);
   } else perror(args->fname);
   return NULL;
```

mema@bowser> ./twordcount3 \
 /etc/dictionaries-common/words \
 /etc/dictionaries-common/ispell-default

Working within Thread with ID 1210238064 and counting
Working within Thread with ID 1218630768 and counting
In file /etc/dictionaries-common/words there are 123261 words
In file /etc/dictionaries-common/ispell-default there are 3 words
Main thread 1210235216 reporting 123264 total words
mema@bowser>

#### **Tips**

- pthread\_mutex\_trylock() returns EBUSY if the mutex is already locked by another thread
- Every mutex must be initialized only once
- pthread\_mutex\_unlock() should be called only by the thread holding the mutex
- NEVER have *pthread\_mutex\_lock()* called by the thread that has already locked the mutex. A deadlock will occur
- If *EINVAL* is returned when trying to lock a mutex, then the mutex has not been initialized properly
- NEVER call pthread\_mutex\_destroy() on a locked mutex (EBUSY)

Using: pthread\_mutex\_init, pthread\_mutex\_lock, pthread\_mutex\_unlock, pthread\_mutex\_destroy

```
/* Mutex for synchronization */
pthread_mutex_t mtx;
                              /* Message to communicate */
char buf[25];
void *thread_f(void *);
                              /* Forward declaration */
main() {
 pthread_t thr;
 int err;
 printf("Main Thread %ld running \n",pthread_self());
 pthread_mutex_init(&mtx, NULL);
 if (err = pthread_mutex_lock(&mtx)) { /* Lock mutex */
   perror2("pthread_mutex_lock", err); exit(1); }
 printf("Thread %d: Locked the mutex\n", pthread_self());
  /* New thread */
 if (err = pthread_create(&thr, NULL, thread_f, NULL)) {
   perror2("pthread_create", err); exit(1); }
 printf("Thread %ld: Created thread %d\n", pthread_self(), thr);
 strcpy(buf, "This is a test message");
 printf("Thread %ld: Wrote message \"%s\" for thread %ld\n",
      pthread_self(), buf, thr);
                                                             43
```

```
if (err = pthread_mutex_unlock(&mtx)) { /* Unlock mutex */
    perror2("pthread_mutex_unlock", err); exit(1);
    }
    printf("Thread %ld: Unlocked the mutex\n", pthread_self());

if (err = pthread_join(thr, NULL)) { /* Wait for thread */
    perror2("pthread_join", err); exit(1); } /* termination */

printf("Exiting Threads %ld and %ld \n", pthread_self(), thr);

if (err = pthread_mutex_destroy(&mtx)) { /* Destroy mutex */
    perror2("pthread_mutex_destroy", err); exit(1); }

pthread_exit(NULL);
}
```

Shall block here

```
void *thread_f(void *argp){ /* Thread function */
  int err;
  printf("Thread %ld: Just started\n", pthread_self());
  printf("Thread %ld: Trying to lock the mutex\n", pthread_self())
  if (err = pthread_mutex_lock(&mtx)) { /* Lock mutex */
    perror2("pthread_mutex_lock", err); exit(1); }
  printf("Thread %ld: Locked the mutex\n", pthread_self());
  printf("Thread %ld: Read message \"%s\"\n", pthread_self(), buf);
  if (err = pthread_mutex_unlock(&mtx)) { /* Unlock mutex */
    perror2("pthread_mutex_unlock", err); exit(1); }
  printf("Thread %ld: Unlocked the mutex\n", pthread_self());
  pthread_exit(NULL);
```

mema@linux01> ./sync\_by\_mutex

Main Thread -1217464640 running

Thread -1217464640: Locked the mutex

Thread -1217464640: Created thread -1217467536

Thread -1217464640: Wrote message "This is a test message"

for thread -1217467536

Thread -1217464640: Unlocked the mutex

Thread -1217467536: Just started

Thread -1217467536: Trying to lock the mutex

Thread -1217467536: Locked the mutex

Thread -1217467536: Read message "This is a test message"

Thread -1217467536: Unlocked the mutex

Exiting Threads -1217464640 and -1217467536

mema@linux01>

### Sum the squares of *n* integers using *m* threads

```
#include <pthread.h>
#define perror2(s, e) fprintf(stderr, "%s: %s\n", s, strerror(e))
#define LIMITUP 100
pthread_mutex_t mtx; /* Mutex for synchronization */
int n, nthr, mtxfl; /* Variables visible by thread function */
                        /* Sum of squares */
double sqsum;
void *square_f(void *); /* Forward declaration */
main(int argc, char *argv[]){
  int i, err;
  pthread_t *tids;
  if (argc > 3) {
    n = atoi(argv[1]); /* Last integer to be squared */
    nthr = atoi(argv[2]); /* Number of threads */
    mtxfl = atoi(argv[3]);  */ with lock (1)? or without lock (0) */
  else exit(0);
  if (nthr > LIMITUP) { /* Avoid too many threads */
     printf("Number of threads should be up to 100\n"); exit(0); }
  if ((tids = malloc(nthr * sizeof(pthread_t))) == NULL) {
     perror("malloc"); exit(1); }
```

```
sqsum = (double) 0.0; /* Initialize sum */
pthread_mutex_init(&mtx, NULL); /* Initialize mutex */

for (i=0; i<nthr; i++) {
   if (err = pthread_create(tids+i, NULL, square_f, (void *) i)) {
    /* Create a thread */
   perror2("pthread_create", err); exit(1); } }

for (i=0; i<nthr; i++)
   if (err = pthread_join(*(tids+i), NULL)) {
   /* Wait for thread termination */
     perror2("pthread_join", err); exit(1); }</pre>
```

```
if (!mtxfl) printf("Without mutex\n");
  else printf("With mutex\n");
  printf("%2d threads: sum of squares up to %d is %12.9e\n",
         nthr,n,sqsum);
  sqsum = (double) 0.0; /* Compute sum with a single thread */
  for (i=0; i< n; i++)
     sqsum += (double) (i+1) * (double) (i+1);
  printf("Single thread: sum of squares up to %d is %12.9e\n",
         n, sqsum);
  printf("Formula based: sum of squares up to %d is %12.9e\n",
        n, ((double) n)*(((double) n)+1)*(2*((double) n)+1)/6);
  pthread_exit(NULL);
void *square_f(void *argp){ /* Thread function */
  int i, thri, err;
  thri = (int) argp;
  for (i=thri; i<n; i+=nthr) {
    if (mtxfl) /* Is mutex used? */
       if (err = pthread_mutex_lock(&mtx)) { /* Lock mutex */
         perror2("pthread_mutex_lock", err); exit(1); }
    sqsum += (double) (i+1) * (double) (i+1);
    if (mtxfl) /* Is mutex used? */
       if (err = pthread_mutex_unlock(&mtx)) { /*Unlock mutex */
         perror2("pthread_mutex_unlock", err); exit(1); } }
  pthread exit(NULL); }
```

}

#### Execution outcome

mema@bowser> ./sum\_of\_squares 12345678 99 1 With mutex

99 threads: sum of squares up to 12345678 is 6.272253963e+20 Single thread: sum of squares up to 12345678 is 6.272253963e+20 Formula based: sum of squares up to 12345678 is 6.272253963e+20 mema@bowser> ./sum\_of\_squares 12345678 99 0

Without mutex

99 threads: sum of squares up to 12345678 is 4.610571900e+20 Single thread: sum of squares up to 12345678 is 6.272253963e+20 Formula based: sum of squares up to 12345678 is 6.272253963e+20

# Synchronization & Performance

- Two threads, A and B
- A reads data from net and places in buffer, B reads data from buffer and computes with it
- Α: 1) Ανάγνωση δεδομένων
  - 2) Κλείδωμα mutex
  - 3) Τοποθέτηση στην ουρά
  - 4) Ξεκλείδωμα mutex
  - 5) Επιστροφή στο 1)
- B: 1) Κλείδωμα mutex
  - 2) If buffer not empty, αφαίρεση δεδομένων
  - 3) Ξεκλείδωμα mutex
  - 4) Επιστροφή στο 1)

Αυτό δουλεύει μια χαρά. Βλέπετε κάποιο πιθανό πρόβλημα;

### **Condition Variables**

- A condition variable is a synchronization mechanism that allows POSIX threads to suspend execution and relinquish the processors until some predicate on the shared data is satisfied.
- Basic operations on condition variables:
  - signal the condition (when the predicate becomes true)
  - wait for the condition, suspending execution
  - The waiting lasts until another thread "signals" (also called "notifies") the condition
- A condition variable must always be associated with a mutex to avoid a race condition:
  - A thread prepares to wait on a condition variable and another thread signals the condition just before the first thread actually waits on the condition variable

## Initializing a condition variable

- Initializes the condition variable cond, using the condition attributes specified in cond\_attr, or default attributes of cond\_attr is simply NULL
- Always returns 0
- The LinuxThreads implementation does not support attributes for condition variables (cond\_attr is ignored).
- Variables of type pthread\_cond\_t can also be initialized statically, using the constant PTHREAD\_COND\_INITIALIZER.

### Waiting on a condition

- atomically unlocks the mutex and waits for the condition variable cond to be signaled.
- Before calling pthread\_cond\_wait() the thread must have \*mutex locked
- The thread's execution is suspended and the thread does not consume any CPU time until the condition variable is signaled (via a call by another thread to pthread\_cond\_ signal() or pthread\_cond\_broadcast()
- Before returning to the calling thread, pthread\_cond\_wait re-acquires mutex
- Always returns 0

# Signaling a condition variable

int pthread\_cond\_signal(pthread\_cond\_t \*cond)

- Restarts one of the threads that are waiting on the condition variable cond
- If no threads are waiting on cond, nothing happens
- If several threads are waiting on cond, exactly one is restarted
- Always returns 0

# Broadcasting a condition variable

int pthread\_cond\_broadcast(pthread\_cond\_t \*cond)

- Restarts all the threads that are waiting on the condition variable cond.
- Nothing happens if no threads are waiting on cond.
- Always returns 0.

# Destroying a condition variable

#### int pthread\_cond\_destroy(pthread\_cond\_t \*cond)

- Destroys a condition variable cond, freeing the resources it might hold.
- No threads must be waiting on the condition variable when pthread\_cond\_destroy() is called
- In LinuxThreads, the call does nothing except checking that the condition has no waiting threads
- On success, the call returns 0
- In case some threads are waiting on cond, pthread\_cond\_destroy returns EBUSY
- No need to call this function for statically initialized condition variables

# Tips for using condition variables

- For every condition, use a single, distinctly-associated with the condition, condition variable
- Associate/use a single, unique mutex with every condition variable
- Lock the mutex before checking the condition protected by that mutex
- Always use the same mutex when changing variables of a condition
- Keep a mutex for the shortest possible time
- Do not forget to release locks at the end with pthread\_mutex\_unlock()

```
Use of: pthread_cond_init, pthread_cond_wait, pthread_cond_signal, pthread_cond_destroy
```

```
#include <stdio.h>
#include <string.h>
#include <stdlib.h>
#include <pthread.h>
#define perror2(s, e) fprintf(stderr, "%s: %s\n", s, strerror(e))
pthread_mutex_t mtx = PTHREAD_MUTEX_INITIALIZER;
pthread_cond_t cvar;
                               /* Condition variable */
                               /* Message to communicate */
char buf[25];
void *thread_f(void *);
                              /* Forward declaration */
main(){
  pthread_t thr; int err;
  /* Initialize condition variable */
  pthread_cond_init(&cvar, NULL);
  if (err = pthread_mutex_lock(&mtx)) { /* Lock mutex */
    perror2("pthread_mutex_lock", err); exit(1); }
  printf("Thread %d: Locked the mutex\n", pthread_self());
  /* New thread */
  if (err = pthread_create(&thr, NULL, thread_f, NULL)) {
    perror2("pthread_create", err); exit(1); }
  printf("Thread %d: Created thread %d\n", pthread_self(), thr);
```

```
printf("Thread %d: Waiting for signal\n", pthread_self());
pthread_cond_wait(&cvar, &mtx);
                                     /* Wait for signal */
printf("Thread %d: Woke up\n", pthread_self());
printf("Thread %d: Read message \"%s\"\n",
        pthread_self(), buf);
if (err = pthread_mutex_unlock(&mtx)) { /* Unlock mutex */
   perror2("pthread_mutex_unlock", err); exit(1); }
printf("Thread %d: Unlocked the mutex\n", pthread_self());
if (err = pthread_join(thr, NULL)) { /* Wait for thread */
   perror2("pthread_join", err); exit(1); } /* termination */
printf("Thread %d: Thread %d exited\n", pthread_self(), thr);
if (err = pthread_cond_destroy(&cvar)) {
  /* Destroy condition variable */
  perror2("pthread_cond_destroy", err); exit(1); }
pthread_exit(NULL);
```

```
void *thread_f(void *argp){ /* Thread function */
  int err;
  printf("Thread %d: Just started\n", pthread_self());
  printf("Thread %d: Trying to lock the mutex\n", pthread_self());
  if (err = pthread_mutex_lock(&mtx)) /* Lock mutex */
    perror2("pthread_mutex_lock", err); exit(1); }
  printf("Thread %d: Locked the mutex\n", pthread_self());
  strcpy(buf, "This is a test message");
  printf("Thread %d: Wrote message \"%s\"\n",
         pthread_self(), buf);
  pthread_cond_signal(&cvar); /* Awake other thread */
  printf("Thread %d: Sent signal\n", pthread_self());
  if (err = pthread_mutex_unlock(&mtx)) { /* Unlock mutex */
    perror2("pthread_mutex_unlock", err); exit(1); }
  printf("Thread %d: Unlocked the mutex\n", pthread_self());
  pthread_exit(NULL);
```

### Execution output

mema@bowser>./mutex\_condvar
Thread 1210546512: Locked the mutex
Thread 1210549360: Just started
Thread 1210549360: Trying to lock the mutex
Thread 1210546512: Created thread 1210549360
Thread 1210546512: Waiting for signal
Thread 1210549360: Locked the mutex
Thread 1210549360: Wrote message "This is a test message"
Thread 1210549360: Sent signal
Thread 1210549360: Unlocked the mutex
Thread 1210546512: Woke up
Thread 1210546512: Read message "This is a test message"
Thread 1210546512: Thread 1210549360 exited
mema@bowser>

Three threads increase the value of a global variable while a fourth thread suspends its operation until a *maximum* value is reached.

```
#include <stdio.h>
#include <stdlib.h>
#include <pthread.h>
#define perror2(s, e) fprintf(stderr, "%s: %s\n", s, strerror(e))
#define COUNT_PER_THREAD 8 /* Count increments by each thread *
#define THRESHOLD 19 * Count value to wake up thread */
                                        /* The counter */
int count = 0;
                                       /* My thread ids */
int thread_ids[4] = \{0, 1, 2, 3\};
                                       /* mutex */
pthread_mutex_t mtx;
pthread_cond_t cv;
                                      /* the condition variable */
void *incr(void *argp){
  int i, j, err, *id = argp;
  for (i=0; i<COUNT_PER_THREAD; i++) {
    if (err = pthread_mutex_lock(&mtx)) { /* Lock mutex */
       perror2("pthread_mutex_lock", err); exit(1); }
    count++; /* Increment counter */
    if (count == THRESHOLD) { /* Check for threshold */
       pthread_cond_signal(&cv); /* Signal suspended thread */
       printf("incr: thread %d, count = %d, threshold reached\n",
             *id,count);
```

```
printf("incr: thread %d, count = %d\n", *id, count);
    if (err = pthread_mutex_unlock(&mtx)) { /* Unlock mutex */
       perror2("pthread_mutex_unlock", err); exit(1); }
    for (j=0; j < 1000000000; j++); /* For threads to alternate */
  pthread_exit(NULL);
void *susp(void *argp){
  int err, *id = argp;
  printf("susp: thread %d started\n", *id);
  if (err = pthread_mutex_lock(&mtx)) { /* Lock mutex */
    perror2("pthread_mutex_lock", err); exit(1);
  while (count < THRESHOLD) { /* If threshold not reached */
    pthread_cond_wait(&cv, &mtx); /* suspend */
    printf("susp: thread %d, signal received\n", *id);
  if (err = pthread_mutex_unlock(&mtx)) { /* Unlock mutex */
    perror2("pthread_mutex_unlock", err); exit(1);
  pthread_exit(NULL); }
```

Always use a while loop and re-check the condition after receiving signal and returning from pthread\_cond\_wait; Why?

```
main() {
  int i, err;
  pthread_t threads[4];
  pthread_mutex_init(&mtx, NULL); /* Initialize mutex */
  pthread_cond_init(&cv, NULL); /* and condition variable */
  for (i=0; i<3; i++)
    if (err = pthread_create(&threads[i], NULL, incr,
                             (void *) &thread_ids[i])) {
       /* Create threads 0, 1, 2 */
       perror2("pthread_create", err); exit(1);
    if (err = pthread_create(&threads[3], NULL, susp,
                          (void *) &thread_ids[3])) {
    /* Create thread 3 */
     perror2("pthread_create", err); exit(1); }
  for (i=0; i<4; i++)
    if (err = pthread_join(threads[i], NULL)) {
       perror2("pthread_join", err); exit(1);
  /* Wait for threads termination */
  printf("main: all threads terminated\n");
  /* Destroy mutex and condition variable */
  if (err = pthread_mutex_destroy(&mtx)) {
     perror2("pthread_mutex_destroy", err); exit(1); }
  if (err = pthread_cond_destroy(&cv)) {
     perror2("pthread_cond_destroy", err); exit(1); }
  pthread_exit(NULL); }
```

mema@bowser> ./counter

incr: thread 0, count = 1

incr: thread 1, count = 2

incr: thread 2, count = 3

susp: thread 3 started

incr: thread 0, count = 4

incr: thread 2, count = 5

incr: thread 1, count = 6

incr: thread 1, count = 7

incr: thread 0, count = 8

incr: thread 2, count = 9

incr: thread 1, count = 10

incr: thread 0, count = 11

incr: thread 2, count = 12

incr: thread 1, count = 13

incr: thread 0, count = 14

incr: thread 2, count = 15

incr: thread 1, count = 16

incr: thread 0, count = 17

incr: thread 2, count = 18

incr: thread 0, count = 19, threshold reached

incr: thread 0, count = 19

susp: thread 3, signal received

incr: thread 2, count = 20

incr: thread 1, count = 21

incr: thread 0, count = 22

incr: thread 2, count = 23

incr: thread 1, count = 24

main: all threads terminated

mema@bowser>

### Thread safety

- Problem: a thread may call library functions that are not thread-safe creating spurious outcomes
- A function is "thread-safe," if multiple threads can simultaneously execute invocations of the same function without *side-effects* (or intereference of any type!)
- POSIX specifies that all functions (including all those from the Standard C Library) except those listed on next slide are implemented in a thread-safe manner
- Directive: the calls listed on the next slide should have thread-safe implementations denoted with the postfix \_r

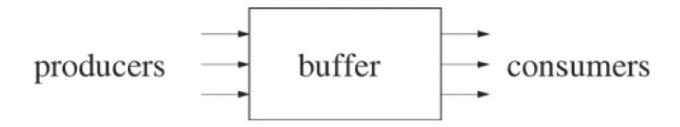
## System calls not required to be thread-safe

asctime	basename	catgets	crypt	ctime
dbm_clearerr	dbm_close	dbm_delete	dbm_error	dbm_fetch
dbm_firstkey	dbm_nextkey	dbm_open	dbm_store	dirname
dlerror	drand48	ecvt	encrypt	endgrent
endpwent	endutxent	fcvt	ftw	gcvt
getc_unlocked	getchar_unlocked	getdate	getenv	getgrent
getgrgid	getgrname	gethostbyaddr	gethostbyname	getlogin
getnetbyaddr	getnetbyname	getnetent	getopt	getprotobynam
getprotobynumber	getprotoend	getpwent	getopwnam	getpwuid
getservbyname	getservbyport	getservent	getutxent	getutxid
getutxline	gmtime	hcreate	hdestroy	hsearch
inet_ntoa	l64a	lgamma	lgammaf	lgammal
localeconv	localtime	Irand48	mrand48	nftw
nl_langinfo	ptsname	putc_unlocked	putchar_unlocked	putenv
pututxline	rand	readdir	setenv	setgrent
setkey	setpwent	setuxent	strerror	strtok
ttyname	unsetenv	wcstombs	wctomb	

### Thread safety

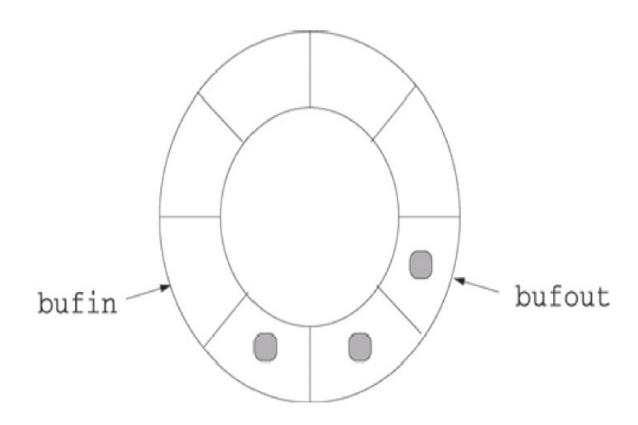
- An easy ("dirty") way to safely use the above calls with threads is to invoke them in conjunction with mutexes (i.e., in mutually exclusive fashion)
- Can convert non-thread-safe functions to safe as follows:
  - 1) Lock a mutex, 2) call the function, 3) use data returned (e.g., pointer to a struct allocated somewhere), 4) unlock mutex
  - 1) Lock mutex, 2) call function 3) copy struct pointed to by returned pointer for later use 4) unlock
- Remember: \_r at end of function name means it is re-entrant (i.e., thread-safe)

## Producer-Consumer Problem



- Producers (P) insert data into buffer
- Consumers (C) read data from the buffer
- What do we want to avoid?
  - C starts reading an object that a producer has not yet finished inserting
  - C reads an object from the buffer that does not exist
  - C reads an object that has already been removed from the buffer
  - P places an item in the buffer, when buffer is full
  - P overwrites an item in the buffer that has not yet been read by a consumer

## Example: Bounded cyclical buffer



bufin: points at next available slot for storing item bufout: points at slot where next reader should read from

#### Solution to bounded buffer problem

```
#include <errno.h>
#include <pthread.h>
#include "buffer.h"
static buffer_t buffer[BUFSIZE];
static pthread_mutex_t bufferlock =
         PTHREAD_MUTEX_INITIALIZER;
static int bufin = 0;
static int bufout = 0;
static int totalitems = 0; Πόσα υπάρχουν στο buffer.
                                      Χρειάζεται?
int getitem(buffer_t *itemp) { /* remove item from buffer
                              and put in *itemp */
 int error;
 int erroritem = 0;
 if (error = pthread_mutex_lock(&bufferlock))
  /* no mutex, give up */
  return error:
 if (totalitems > 0) { /* buffer has something to remove */
   *itemp = buffer[bufout];
   bufout = (bufout + 1) % BUFSIZE;
   totalitems--;
 } else
   erroritem = EAGAIN;
 if (error = pthread_mutex_unlock(&bufferlock))
   return error; /* unlock error more serious than no item*/
 return erroritem;
                                   Τι επιστρέφει αν δεν
                                   υπάρχουν δεδομένα?
```

```
int putitem(buffer_t item) { /* insert item into buffer */
  int error;
  int erroritem = 0;
  if (error = pthread_mutex_lock(&bufferlock))
    /* no mutex, give up */
    return error;
  if (totalitems < BUFSIZE) { /* buffer has room for another item */
    buffer[bufin] = item;
    bufin = (bufin + 1) % BUFSIZE;
    totalitems++;
  } else
    erroritem = EAGAIN;
  if (error = pthread_mutex_unlock(&bufferlock))
    return error; /* unlock error more serious than no slot*/
  return erroritem;
}</pre>
```

## fetching items from the buffer

The following piece of code attempts to retrieve 10 items from the buffer[8] ring...

```
int error, i, item;
for (i=0; i<10; i++){
   while ( (error = getitem(&item)) && (error== EAGAIN)) ;
   if (error) break;
   printf("Retrieved item %d: %d\n", i, item);
}</pre>
```

Problems??

## fetching items from the buffer

The following piece of code attempts to retrieve 10 items from the buffer[8] ring...

```
int error, i, item;
for (i=0; i<10; i++){
   while ( (error = getitem(&item)) && (error== EAGAIN)) ;
   if (error) break;
   printf("Retrieved item %d: %d\n", i, item);
  }</pre>
```

#### Problem??

- 1) busy waiting
- 2) producers might get blocked -- (readers might continuously grab lock first)

#### Solution:

Use condition variables

#### Another producer-consumer example

```
// from www.mario-konrad.ch, changed slightly
#include <stdio.h>
#include <pthread.h>
#include <unistd.h>
#define POOL_SIZE 6
typedef struct {
    int data[POOL_SIZE];
    int start;
    int end;
    int count;
} pool_t;
int num_of_items = 15;
pthread_mutex_t mtx;
pthread_cond_t cond_nonempty;
pthread_cond_t cond_nonfull;
pool_t pool;
void initialize(pool_t * pool) {
    pool->start = 0;
    pool->end = -1;
    pool->count = 0;
}
```

```
void place(pool_t * pool, int data) {
    pthread_mutex_lock(&mtx);
    while (pool->count >= POOL_SIZE) {
         printf(">> Found Buffer Full \n");
         pthread_cond_wait(&cond_nonfull, &mtx);
    pool->end = (pool->end + 1) % POOL_SIZE;
    pool->data[pool->end] = data;
    pool->count++;
    pthread_mutex_unlock(&mtx);
}
int obtain(pool_t * pool) {
    int data = 0;
    pthread_mutex_lock(&mtx);
    while (pool->count \leq 0) {
         printf(">> Found Buffer Empty \n");
         pthread_cond_wait(&cond_nonempty, &mtx);
    data = pool->data[pool->start];
    pool->start = (pool->start + 1) % POOL_SIZE;
    pool->count--;
    pthread_mutex_unlock(&mtx);
    return data;
}
```

```
void * producer(void * ptr)
{
    while (num\_of\_items > 0) {
         place(&pool, num_of_items);
         printf("producer: %d\n", num_of_items);
         num_of_items--;
         pthread_cond_signal(&cond_nonempty);
         usleep(300000);
    pthread_exit(0);
}
void * consumer(void * ptr)
{
    while (num\_of\_items > 0 \parallel pool.count > 0) {
         printf("consumer: %d\n", obtain(&pool));
         pthread_cond_signal(&cond_nonfull);
         usleep(500000);
    pthread_exit(0);
}
```

```
int main(){
    pthread_t cons, prod;
    initialize(&pool);
    pthread_mutex_init(&mtx, 0);
    pthread_cond_init(&cond_nonempty, 0);
    pthread_cond_init(&cond_nonfull, 0);
    pthread_create(&cons, 0, consumer, 0);
    pthread_create(&prod, 0, producer, 0);
    pthread_join(prod, 0);
    pthread_join(cons, 0);
    pthread_cond_destroy(&cond_nonempty);
    pthread_cond_destroy(&cond_nonfull);
    pthread_mutex_destroy(&mtx);
    return 0;
}
```

#### mema@bowser> ./producer-consumer

>> Found Buffer Empty

producer: 15 consumer: 15 producer: 14 consumer: 14

producer: 13 producer: 12 consumer: 13 producer: 11 consumer: 12

producer: 10 producer: 9 consumer: 11

producer: 8 producer: 7

consumer: 10

producer: 6 consumer: 9

producer: 5 producer: 4 consumer: 8

producer: 3
producer: 2

consumer: 7 producer: 1 consumer: 6 consumer: 5

consumer: 4

consumer: 3

consumer: 2 consumer: 1

mema@bowser>

mema@bowser> ./producer-consumer

>> Found Buffer Empty

producer: 15 consumer: 15 producer: 14

producer: 13 producer: 12

producer: 11

producer: 10

producer: 9

>> Found Buffer Full

consumer: 14 producer: 8

>> Found Buffer Full

consumer: 13 producer: 7

>> Found Buffer Full

consumer: 12 producer: 6

>> Found Buffer Full

consumer: 11 producer: 5

>> Found Buffer Full

consumer: 10

producer: 4

>> Found Buffer Full

consumer: 9 producer: 3

Outcome - usleep(0)

>> Found Buffer Full

consumer: 8

producer: 2

>> Found Buffer Full

consumer: 7

producer: 1

consumer: 6

consumer: 5

consumer: 4

consumer: 3

consumer: 2

consumer: 1

mema@bowser>

Outcome - usleep(0) (cont'd)

### Food for Thought

- •Anything wrong with this example? Hint: what data are protected by mutexes?
- •With one producer/consumer, can we use if instead while in the condition check?
- •With multiple producers/consumers where multiple items can be added/removed at a time, does code need to be changed?