# Language Features for Automated Evaluation of Cognitive Behavior Psychotherapy Sessions

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# Quality Assessment in Psychotherapy



https://cdn-images-1.medium.com/max/1600/1\*BHBHQcA30AjIpkEJFoVJxg.jpeg

- interventions based on spoken language ⇒ quality encoded in the rapists' and patients' speech/language characteristics
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- interventions based on spoken language ⇒ quality encoded in the rapists' and patients' speech/language characteristics
- traditionally addressed by human raters using recorded sessions
  - time consuming
  - cost prohibitive

 $\Downarrow$ 

- computational methods for automatic evaluation
  - already successfull application in *Motivational Interviewing* psychotherapy focused on behavior change, often used to treat addiction

D. Bone, C.-C. Lee, T. Chaspari, J. Gibson, and S. Narayanan, "Signal processing and machine learning for mental health research and clinical applications [Perspectives]". IEEE Signal Processing Magazine, 34(5), pp.196-195., 2017





B. Xiao, C. Huang, Z. Imel, D. Atkins, P. Georgiou, and S. Narayanan, "A technology prototype system for rating therapist empathy from audio recordings in addiction counseling". Peerl Computer Science, vol. 2, p. e59, 2016

# Cognitive Behavior Therapy

#### What is CBT

- the therapist works towards the modification of the patient's belief system
- based on the *cognitive model*: the link between a person's thoughts and feelings a primary factor contributing to mental illness
- original focus on depression but has expanded

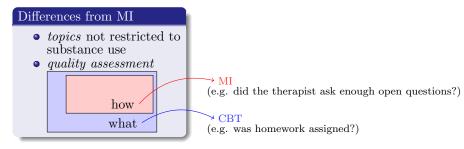




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# Cognitive Therapy Rating Scale

- 11 session-level codes scored on a 7-point Likert scale (0=poor, 6=excellent)
- $\sum_{i=1}^{11} \operatorname{code}_i \geq 40 \Rightarrow \text{competent delivery of CBT}$

Table: CBT quality codes defined by CTRS.

abbreviation	meaning	
ag fb pt hw	agenda feedback pacing and efficient use of time homework	management and structure
un ip co	understanding interpersonal effectiveness collaboration	$good \\ relationship$
gd cb sc at	guided discovery focusing on key cognitions and behaviors strategy for change application of cognitive-behavioral technique	conceptualization

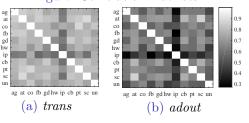




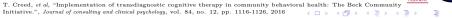
### Dataset

- Beck Community Initiative: recorded sessions, annotated with the CTRS, used for training in CBT
- adout set: 386 adult outpatient sessions from 131 therapists
  - trans set: 92 sessions from 70 therapists
    - SNR > 7dB
    - highest/lowest total CTRS in adout
    - manually transcribed

### Figure: Correlation matrices.



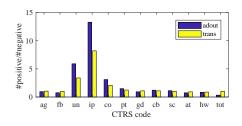
USC UNIVERSITY OF SOUTHERN



## Dataset

additional training of the therapist? alternative strategies for the patient?

- binary classification problem:
  Is CBT delivery satisfactory or in need of improvement?
- binarization: code  $\geq 3 \Rightarrow$  satisfactory (positive)  $\sum_{i=1}^{11} \operatorname{code}_i \geq 40 \Rightarrow$  satisfactory (positive)



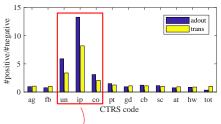




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codes related to 

patient-therapist relationship





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  - word occurences, downscaled for very common words





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- pretrained word embeddings (GloVe)
  - session embedding = mean(utterance embeddings)
  - utterance embedding = mean(word embeddings)
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- LIWC features
  - wide use in psychology domain
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  - capture some form of dyadic interaction
  - features: total number of each DA in a session
  - 7 dimensions: question / statement / agreement / appreciation / incomplete / backchannel / other





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#### ✓ interpretability

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# Experimental Workflow

#### Feature Extraction

- trans: extract the features from the transcribed text
- adout: first, decode the audio session
  - VAD
  - diarization
  - role matching
  - ASR

#### Feature Normalization

- ullet all the features standardized, tf-idfs  $l_2$ -normalized
- dimensionality reduction for tf-idfs:

select K best features based on F-test and 5-fold

cross-validation on total CTRS

### Final Results

- 5-fold cross-validation across therapists
- classifier: linear support vector machine





	Т	herapis	t			Patient					majority class	
	$tf\text{-}idf\_T$	$pnf_{-}T$	$liwc_{-}T$	$glove\_T$	$da_T$	tf-idf_P	pnf_P	$liwc_P$	$glove\_P$	$da_P$	baseline	
ag	0.91	0.69	0.45	0.82	0.78	0.61	0.73	0.35	0.78	0.68	0.32	
fb	0.83	0.69	0.48	0.82	0.75	0.62	0.69	0.32	0.73	0.67	0.32	
un	0.55	0.47	0.46	0.51	0.52	0.45	0.48	0.38	0.47	0.51	0.43	
ip	0.46	0.43	0.41	0.62	0.46	0.56	0.44	0.39	0.47	0.49	0.57	
co	0.63	0.56	0.49	0.65	0.57	0.57	0.61	0.33	0.71	0.57	0.40	
$_{ m pt}$	0.87	0.63	0.51	0.77	0.70	0.65	0.64	0.38	0.68	0.60	0.35	
$\operatorname{gd}$	0.85	0.67	0.47	0.74	0.71	0.54	0.66	0.41	0.64	0.64	0.34	
cb	0.85	0.70	0.52	0.76	0.75	0.57	0.64	0.35	0.59	0.62	0.32	
sc	0.86	0.69	0.50	0.81	0.78	0.58	0.68	0.38	0.69	0.61	0.31	
at	0.86	0.71	0.50	0.76	0.75	0.67	0.63	0.38	0.70	0.61	0.34	
hw	0.82	0.61	0.49	0.71	0.70	0.56	0.66	0.40	0.70	0.67	0.34	
tot	0.86	0.71	0.49	0.81	0.76	0.63	0.68	0.37	0.71	0.65	0.31	

Table: Averaged  $F_1$  score for the classification of the *trans* sessions.



	T	herapis	st			P	atient			majo	ority class
	$tf$ -idf_ $T$	$pnf_{-}T$	$liwc_T$	$glove\_T$	$da_T$	$tf-idf_P$	$pnf_P$	$liwc\_P$	$glove\_P$	$da_P$	baseline
ag fb	$0.91 \\ 0.83$	0.69 0.69	$0.45 \\ 0.48$	$0.82 \\ 0.82$	0.78 0.75	0.61 0.62	0.73 0.69	0.35 0.32	0.78 0.73	0.68 0.67	0.32 0.32
un	0.55 0.46	$0.47 \\ 0.43$	$0.46 \\ 0.41$	0.51 <b>0.62</b>	$0.52 \\ 0.46$	$0.45 \\ 0.56$	$0.48 \\ 0.44$	0.38 0.39	$0.47 \\ 0.47$	$0.51 \\ 0.49$	$0.43 \\ 0.57$
ip co	0.63	0.56	0.49	0.65	0.57	0.57	0.61	0.33	0.71	0.57	0.40
$_{ m gd}^{ m pt}$	$\begin{array}{c} 0.87 \\ 0.85 \end{array}$	$0.63 \\ 0.67$	$0.51 \\ 0.47$	$0.77 \\ 0.74$	$0.70 \\ 0.71$	$0.65 \\ 0.54$	$0.64 \\ 0.66$	$0.38 \\ 0.41$	$0.68 \\ 0.64$	$0.60 \\ 0.64$	$0.35 \\ 0.34$
$_{ m sc}^{ m cb}$	$0.85 \\ 0.86$	$0.70 \\ 0.69$	$0.52 \\ 0.50$	$0.76 \\ 0.81$	$0.75 \\ 0.78$	$0.57 \\ 0.58$	$0.64 \\ 0.68$	$0.35 \\ 0.38$	$0.59 \\ 0.69$	$0.62 \\ 0.61$	$0.32 \\ 0.31$
$_{ m hw}^{ m at}$	$0.86 \\ 0.82$	$0.71 \\ 0.61$	$0.50 \\ 0.49$	$0.76 \\ 0.71$	$0.75 \\ 0.70$	$0.67 \\ 0.56$	$0.63 \\ 0.66$	$0.38 \\ 0.40$	$0.70 \\ 0.70$	$0.61 \\ 0.67$	$0.34 \\ 0.34$
tot	0.86	0.71	0.49	0.81	0.76	0.63	0.68	0.37	0.71	0.65	0.31

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poor performance





	T	herapis	t			P	atient			majo	rity class
	${ m tf ext{-}idf ext{-}T}$	$pnf_{-}T$	liwc_T	$glove\_T$	$da_{-}T$	tf-idf_P	pnf_P	liwc_P	$glove\_P$	$da_P$	baseline
ag fb un ip co pt gd cb sc at hw	0.91 0.83 0.55 0.46 0.63 0.87 0.85 0.85 0.86 0.86	0.69 $0.69$ $0.47$ $0.43$ $0.56$ $0.63$ $0.67$ $0.70$ $0.69$ $0.71$ $0.61$	$\begin{array}{c} 0.45 \\ 0.48 \\ 0.46 \\ 0.41 \\ 0.49 \\ 0.51 \\ 0.47 \\ 0.52 \\ 0.50 \\ 0.49 \end{array}$	0.82 0.82 0.51 <b>0.62</b> <b>0.65</b> 0.77 0.74 0.76 0.81 0.76 0.71	$\begin{array}{c} 0.78 \\ 0.75 \\ 0.52 \\ 0.46 \\ 0.57 \\ 0.70 \\ 0.71 \\ 0.75 \\ 0.78 \\ 0.75 \\ 0.70 \\ \end{array}$	0.61 0.62 0.45 0.56 0.57 0.65 0.54 0.57 0.58 0.67	$\begin{array}{c} 0.73 \\ 0.69 \\ 0.48 \\ 0.44 \\ 0.61 \\ 0.64 \\ 0.66 \\ 0.64 \\ 0.68 \\ 0.63 \\ 0.66 \end{array}$	$\begin{array}{c} 0.35 \\ 0.32 \\ 0.38 \\ 0.39 \\ 0.33 \\ 0.38 \\ 0.41 \\ 0.35 \\ 0.38 \\ 0.40 \end{array}$	0.78 0.73 0.47 0.47 0.71 0.68 0.64 0.59 0.69 0.70	$\begin{array}{c} 0.68 \\ 0.67 \\ 0.51 \\ 0.49 \\ 0.57 \\ 0.60 \\ 0.64 \\ 0.62 \\ 0.61 \\ 0.67 \end{array}$	0.32 0.32 0.43 0.57 0.40 0.35 0.34 0.32 0.31 0.34 0.34
tot	0.86	0.71	0.49	0.81	0.76	0.63	0.68	0.37	0.71	0.65	0.31

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	Т	herapis	st		Patient					majority class	
	tf-idf_T	$pnf\_T$	liwc_T	$glove\_T$	$da_{-}T$	$tf-idf_P$	$pnf\_P$	$liwc\_P$	$glove\_P$	$da_P$	baseline
ag fb un ip co pt gd cb sc at hw	0.91 0.83 0.55 0.46 0.63 0.87 0.85 0.85 0.86 0.86	0.69 0.69 0.47 0.43 0.56 0.63 0.67 0.70 0.69 0.71 0.61	0.45 0.48 0.46 0.41 0.49 0.51 0.47 0.52 0.50 0.49	0.82 0.82 0.51 <b>0.62</b> <b>0.65</b> 0.77 0.74 0.76 0.81 0.76 0.71	0.78 0.75 0.52 0.46 0.57 0.70 0.71 0.75 0.78 0.75 0.70	0.61 0.62 0.45 0.56 0.57 0.65 0.54 0.57 0.58 0.67	0.73 0.69 0.48 0.44 0.61 0.64 0.66 0.64 0.68 0.63 0.66	0.35 0.32 0.38 0.39 0.33 0.38 0.41 0.35 0.38 0.38 0.40	0.78 0.73 0.47 0.47 0.71 0.68 0.64 0.59 0.69 0.70 0.70	0.68 0.67 0.51 0.49 0.57 0.60 0.64 0.62 0.61 0.67	0.32 0.32 0.43 0.57 0.40 0.35 0.34 0.32 0.31 0.34
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second best performance



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	$tf\text{-}idf\_T$	$pnf\_T$	$liwc_T$	$glove\_T$	$da_{-}T$	tf-idf_P	$pnf\_P$	$liwc\_P$	$glove\_P$	$da_P$	baseline
ag fb un ip co pt gd cb sc at hw	0.91 0.83 0.55 0.46 0.63 0.87 0.85 0.86 0.86	0.69 0.69 0.47 0.43 0.56 0.63 0.67 0.70 0.69 0.71 0.61	$\begin{array}{c} 0.45 \\ 0.48 \\ 0.46 \\ 0.41 \\ 0.49 \\ 0.51 \\ 0.47 \\ 0.52 \\ 0.50 \\ 0.49 \\ \end{array}$	0.82 0.82 0.51 <b>0.62</b> <b>0.65</b> 0.77 0.74 0.76 0.81 0.76 0.71	$\begin{array}{c} 0.78 \\ 0.75 \\ 0.52 \\ 0.46 \\ 0.57 \\ 0.70 \\ 0.71 \\ 0.75 \\ 0.78 \\ 0.75 \\ 0.70 \\ \end{array}$	0.61 0.62 0.45 0.56 0.57 0.65 0.54 0.57 0.58 0.67 0.56	0.73 0.69 0.48 0.44 0.61 0.64 0.66 0.64 0.68 0.63 0.66	0.35 0.32 0.38 0.39 0.33 0.38 0.41 0.35 0.38 0.38	0.78 0.73 0.47 0.47 0.71 0.68 0.64 0.59 0.69 0.70 0.70	0.68 0.67 0.51 0.49 0.57 0.60 0.64 0.62 0.61 0.61	0.32 0.32 0.43 0.57 0.40 0.35 0.34 0.32 0.31 0.34 0.34
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second best performance out of interpretable features





- backward selection to find the 5 best words (tf-idfs) in each fold
- correlation of the words (tf-idfs) with the codes
- $\Rightarrow$  'homework', 'agenda', 'evidence' constantly among the best





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#### Experiment

classify the trans sessions after deleting all those words

							_
before deleting		tf-idf_T	da_T	tf-idf_T'	da_T′	$tf$ -idf $_{-}T'$ $+da_{-}T'$	after deleting
aș fl u i c c p g c c c s s a	n   D   D   D   D   D   D   D   D   D	0.91 0.83 0.55 0.46 0.63 0.87 0.85 0.85 0.86	0.78 0.75 0.52 0.46 0.57 0.70 0.71 0.75 0.78 0.75	0.73 0.69 0.49 0.46 0.53 0.71 0.66 0.74 0.74	0.78 0.74 0.52 0.47 <b>0.57</b> 0.70 0.71 0.75 0.78 0.75	0.80 0.78 0.60 0.47 0.56 0.75 0.74 0.78 0.80	
hv to	<u> </u>	0.86	0.70	0.65 $0.71$	0.70 <b>0.76</b>	$\begin{array}{c} 0.73 \\ \hline 0.76 \end{array}$	

Table: Averaged  $F_1$  score for the classification of the *trans*.



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classify the trans sessions after deleting all those words

0100011	0110 0		010110 01			01100001	
before deleti	ng	tf-idf_T	da_T	tf-idf_T'	da_T′	tf-idf_T' +da_T'	after deleting
	ag fb un	0.91 0.83 0.55	$0.78 \ 0.75 \ 0.52$	$0.73 \\ 0.69 \\ 0.49$	$0.78 \\ 0.74 \\ 0.52$	$0.80 \\ 0.78 \\ 0.60$	tfidfa aignificantly affected
	ip co pt	$0.46 \\ 0.63 \\ 0.87$	$0.46 \\ 0.57 \\ 0.70$	$0.46 \\ 0.53 \\ 0.71$	0.47 <b>0.57</b> 0.70	$0.47 \\ 0.56 \\ 0.75$	tf-idfs significantly affected
	gd cb	$0.85 \\ 0.85$	$0.71 \\ 0.75$	$0.66 \\ 0.74$	$0.71 \\ 0.75$	$\begin{array}{c} \textbf{0.74} \\ \textbf{0.78} \end{array}$	
	at hw	0.86 0.86 0.86	$0.78 \\ 0.75 \\ 0.70$	$0.74 \\ 0.68 \\ 0.65$	$0.78 \\ 0.75 \\ 0.70$	$0.80 \\ 0.80 \\ 0.73$	
	tot	0.86	0.76	0.71	0.76	0.76	<u> </u>

Table: Averaged  $F_1$  score for the classification of the *trans*.



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classify the *trans* sessions after deleting all those words

before deleti	ing	tf-idf_T	da_T	${ m tf\text{-}idf\_T'}$	da_T′	$tf$ -idf $_{-}T'$ $+da_{-}T'$	after deleting
	ag fb un ip co pt gd cb sc at hw	0.91 0.83 0.55 0.46 0.63 0.87 0.85 0.85 0.86 0.86	0.78 0.75 0.52 0.46 0.57 0.70 0.71 0.75 0.78 0.75 0.70	0.73 0.69 0.49 0.46 0.53 0.71 0.66 0.74 0.68	0.78 0.74 0.52 0.47 <b>0.57</b> 0.70 0.71 0.75 0.78 0.75	0.80 0.78 0.60 0.47 0.56 0.75 0.74 0.78 0.80 0.80	DAs not affected
	tot	0.86	0.76	0.71	0.76	0.76	USC

ed!

Table: Averaged  $F_1$  score for the classification of the *trans*.





# Results after decoding

	tf-idf_T	tf-idf_T +da_T	baseline
ag fb un ip co pt gd cb sc	0.71 0.64 0.46 0.48 0.45 0.60 0.63 0.67	0.71 0.62 0.46 0.48 0.43 0.64 0.68 0.67	0.33 0.36 0.46 0.48 0.43 0.37 0.34 0.35
tot	0.62 0.63 0.56	0.64 0.65 0.58	$0.37 \\ 0.35 \\ \hline 0.42$

Table: Averaged  $F_1$  score for the classification of the *adout* sessions.

- performance drop due to
  - ASR errors
  - more imbalanced classes
- not significant differences after feature fusion





# Conclusions

- early results for interpretable evaluation of CBT
- therapist-related features have greater predictive power
- unigrams under tf-idf yield the best performance, but
  - sensitive to specific words  $\Rightarrow$  prone to ASR errors
  - fail to capture information relevant to the imbalanced, human-centric codes (un, ip, co)

#### Future Work

- regression instead of classification
- examine the extent to which different annotation systems (i.e. MI vs. CBT) capture unique therapeutic content



