

# 1 Dirac–Frenkel variational principal

Time dependant Shroedinger equation:

$$i\hbar \frac{\partial |\Phi_{ex}\rangle}{\partial t} = \hat{H} |\Phi_{ex}\rangle \quad (1)$$

We will consider the following mean value:

$$W = \frac{\langle \Phi | \hat{H} - i\hbar \frac{\partial}{\partial t} | \Phi \rangle}{\langle \Phi | \Phi \rangle}$$

which, if calculated on exact solutions  $|\Phi_{ex}\rangle$  of (1), equals to zero, and its variation:  $\delta W = 0$

If  $|\Phi_{ex}\rangle$  is an exact solution of (1), then the norm conservation condition is satisfied:

$$\frac{\partial \langle \Phi_{ex} | \Phi_{ex} \rangle}{\partial t} = 0$$

But we do not know beforehand about norm of arbitrary function  $|\Phi\rangle$ . We can consider mean value of  $i\hbar \frac{\partial}{\partial t}$ :

$$\langle \omega \rangle = \frac{\langle \Phi | i\hbar \frac{\partial}{\partial t} | \Phi \rangle}{\langle \Phi | \Phi \rangle}$$

Let us will calculate difference between  $\langle \omega \rangle$  and its complex conjugate:

$$\langle \omega \rangle - \langle \omega \rangle^* = \frac{i\hbar (\langle \Phi | \frac{\partial \Phi}{\partial t} \rangle + \langle \frac{\partial \Phi}{\partial t} | \Phi \rangle)}{\langle \Phi | \Phi \rangle}$$

$$\frac{i}{\hbar} (\langle \omega \rangle^* - \langle \omega \rangle) = \frac{\partial}{\partial t} \ln \langle \Phi | \Phi \rangle$$

Now we will solve this equation to obtain time dependance of norm:

$$N(t) = N(0)e^P, \text{ where } P = \frac{i}{\hbar} \int_0^t (\langle \omega \rangle^* - \langle \omega \rangle) dt'$$

We see, that if function has a conserved norm, then  $P = 0$ ,  $\langle \omega \rangle \in \mathbb{R}$  and hence  $W \in \mathbb{R}$ . But if it is an approximate solution we can not guarantee conservation of norm!

But let us assume, that we can construct function  $|\Phi'\rangle$ , that differs from  $|\Phi\rangle$  by angular multiplier:

$$|\Phi'\rangle = |\Phi\rangle \cdot e^Q, \text{ where } Q = \frac{i}{\hbar} \int_0^t \alpha(t') dt', \alpha(t') \in \mathbb{C}$$

We need to find parameter  $\alpha(t)$ , so that norm  $\langle \Phi' | \Phi' \rangle$  is conserved. Once again, we will consider a mean value:

$$\langle \omega' \rangle = \frac{\langle \Phi' | i\hbar \frac{\partial}{\partial t} | \Phi' \rangle}{\langle \Phi' | \Phi' \rangle} = \frac{\langle \Phi | e^{-Q} i\hbar \cdot \frac{i}{\hbar} \alpha(t) e^Q + i\hbar \frac{\partial}{\partial t} | \Phi \rangle}{\langle \Phi | \Phi \rangle} = \langle \omega \rangle - \alpha$$

If  $\langle \omega' \rangle \in \mathbb{R}$ , then:

$$0 = \langle \omega' \rangle - \langle \omega' \rangle^* = \langle \omega \rangle - \langle \omega \rangle^* - 2i \text{Im}(\alpha)$$

$$i \text{Im}(\alpha) = \frac{1}{2} (\langle \omega \rangle - \langle \omega \rangle^*)$$

$$\alpha = \text{Re}(\alpha) + i \text{Im}(\alpha) = \text{Re}(\alpha) + \frac{1}{2} (\langle \omega \rangle - \langle \omega \rangle^*)$$

$$\langle \omega' \rangle = \langle \omega \rangle - \alpha = \langle \omega \rangle - \frac{1}{2} (\langle \omega \rangle - \langle \omega \rangle^*) - \text{Re}(\alpha) = \frac{1}{2} (\langle \omega \rangle + \langle \omega \rangle^*) - \text{Re}(\alpha)$$

$$|\Phi'\rangle = |\Phi\rangle \cdot e^Q = |\Phi\rangle \cdot e^R \cdot e^{-0.5P}, \text{ where } R = \frac{i}{\hbar} \int_0^t \text{Re}(\alpha(t')) dt'$$

As  $N(t) = N(0) \cdot e^P$ , we have:

$$|\Phi'\rangle = |\Phi\rangle \cdot e^R \cdot \left( \frac{N(0)}{N(t)} \right)^{1/2}$$

As we've discussed previously, for exact solution of (1)  $|\Phi_{ex}\rangle$  mean value  $W$  equals to zero. Let us consider mean values  $W'$ , calculated on function  $|\Phi'\rangle$ :

$$W' = \langle H \rangle - \langle \omega' \rangle = \langle H \rangle - \frac{1}{2} (\langle \omega \rangle + \langle \omega \rangle^*) + \text{Re}(\alpha)$$

Now we need to understand, what  $\alpha$  should be to make  $W'$  equal to zero:

$$\text{Re}(\alpha) = -\langle H \rangle + \frac{1}{2} (\langle \omega \rangle + \langle \omega \rangle^*)$$

$$\alpha = -\langle H \rangle + \frac{1}{2} (\langle \omega \rangle + \langle \omega \rangle^*) + \frac{1}{2} (\langle \omega \rangle - \langle \omega \rangle^*) = \langle \omega \rangle - \langle H \rangle = -W$$

But if  $\alpha = -W$ , we will obtain:

$$|\Phi'\rangle = |\Phi\rangle \cdot e^{-\frac{i}{\hbar} \int_0^t W dt}$$

$$\langle \Phi' | \Phi' \rangle_t = \langle \Phi | \Phi \rangle_t \cdot e^{-\frac{i}{\hbar} \int_0^t (W - W^*) dt} = \langle \Phi | \Phi \rangle_0 \cdot e^P \cdot e^{-P} = \langle \Phi | \Phi \rangle_0$$

So we have built functions  $|\Phi'\rangle$ , that have conserved norm and lead to zero  $W'$ .

Let us consider for simplicity function  $|\Psi\rangle$  to be from the family of functions  $|\Phi'\rangle$ . This function has conserved norm, and mean value  $W$ , calculated on this

function, is zero. As the norm of  $|\Psi\rangle$  doesn't change with time, we can write the following equation:

$$\delta\langle\Psi|\Psi\rangle = \langle\delta\Psi|\Psi\rangle + \langle\Psi|\delta\Psi\rangle = 0 \quad (2)$$

If our variation is arbitrary, then we will obtain two equations:

$$\langle\delta\Psi|\Psi\rangle = 0, \quad \langle\Psi|\delta\Psi\rangle = 0$$

Thus we should consider only those variations  $|\delta\Psi\rangle$ , that are orthogonal to  $|\Psi\rangle$ .

Now we can write down variation  $\delta W$ . For simplicity, we shall denote  $\langle\Psi|\hat{H} - i\hbar\frac{\partial}{\partial t}|\Psi\rangle$  as  $A$  and  $\langle\Psi|\Psi\rangle$  as  $B$ :

$$W = \frac{A}{B}, \quad \delta W = \frac{B \cdot \delta A - A \cdot \delta B}{B^2} = \frac{\delta A - W\delta B}{B}$$

$$\begin{aligned} \delta A - W\delta B &= \langle\delta\Psi|\hat{H} - i\hbar\frac{\partial}{\partial t}|\Psi\rangle + \langle\Psi|\hat{H} - i\hbar\frac{\partial}{\partial t}|\delta\Psi\rangle - W\langle\delta\Psi|\Psi\rangle - W\langle\Psi|\delta\Psi\rangle = \\ &= \langle\delta\Psi|\hat{H} - i\hbar\frac{\partial}{\partial t}|\Psi\rangle + \langle\Psi|\hat{H} - i\hbar\frac{\partial}{\partial t}|\delta\Psi\rangle - W\delta\langle\Psi|\Psi\rangle \end{aligned}$$

As  $\delta\langle\Psi|\Psi\rangle = 0$ ,  $\delta W = 0$ , we obtain:

$$\langle\delta\Psi|\hat{H} - i\hbar\frac{\partial}{\partial t}|\Psi\rangle = 0 \quad \text{— Dirac–Frenkel variational principal} \quad (3)$$

$$\langle\Psi|\hat{H} - i\hbar\frac{\partial}{\partial t}|\delta\Psi\rangle = 0$$

We shall consider the second equation:

$$\begin{aligned} \langle\Psi|\hat{H} - i\hbar\frac{\partial}{\partial t}|\delta\Psi\rangle &= \left\langle \left( \hat{H} - i\hbar\frac{\partial}{\partial t} \right) \Psi \middle| \delta\Psi \right\rangle - i\hbar \left\langle \frac{\partial\Psi}{\partial t} \middle| \delta\Psi \right\rangle - i\hbar \left\langle \Psi \middle| \frac{\partial}{\partial t} \delta\Psi \right\rangle = \\ &= \langle\delta\Psi|\hat{H} - i\hbar\frac{\partial}{\partial t}|\Psi\rangle^* - i\hbar\frac{\partial}{\partial t}\langle\Psi|\delta\Psi\rangle = 0 \end{aligned}$$

Thus, the second equation is a mere consequence of Dirac–Frenkel variational principal (3) and condition (2).