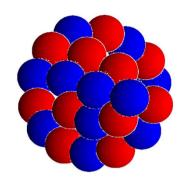


### Forces acting in the nucleus:

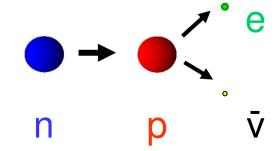


the Coulomb force repels the protons

the **strong interaction** ("nuclear force") causes binding is stronger for pn-systems than nn-systems

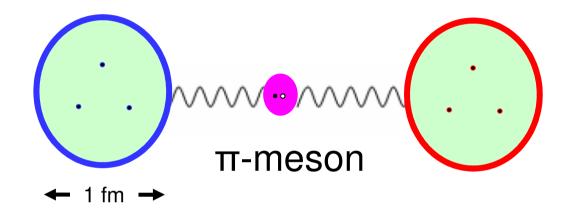
neutrons alone form no bound states exception: neutron stars (gravitation!)

the **weak interaction** causes  $\beta$ -decay:

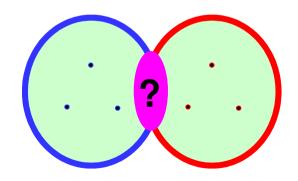


### the nucleon-nucleon interaction:

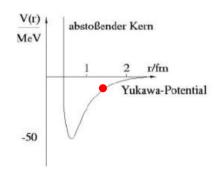
#### distance > 1 fm



#### distance < 0.5 fm

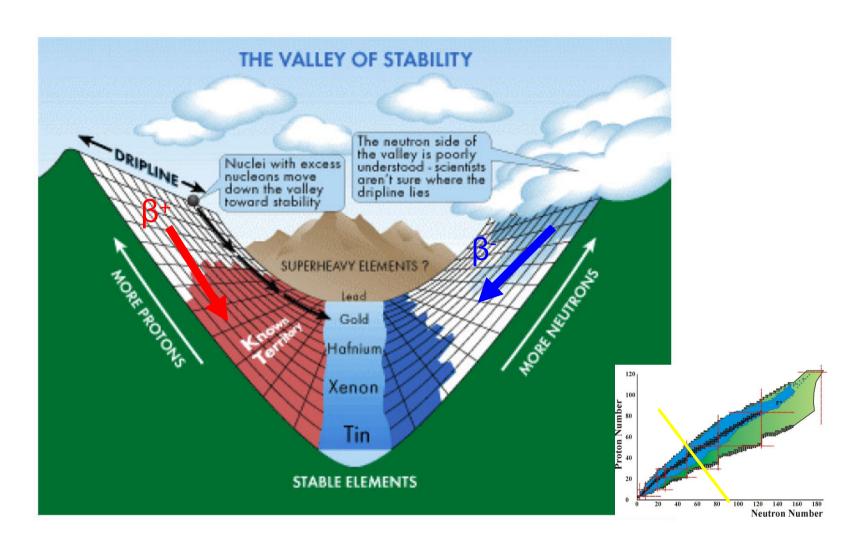


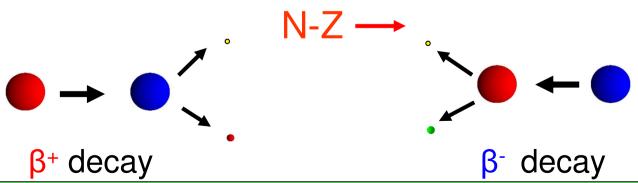
#### attractive

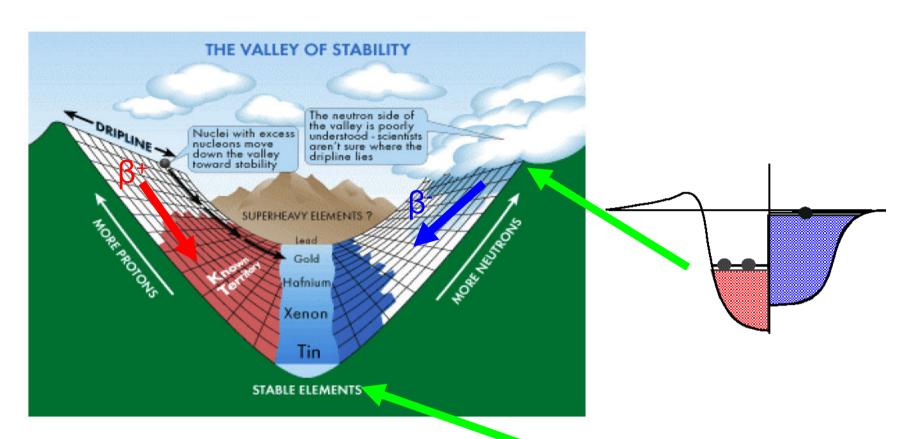


repulsive

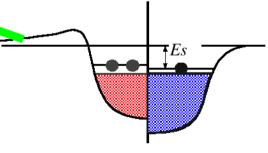
three-body forces?



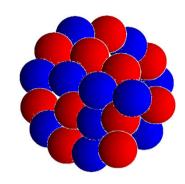


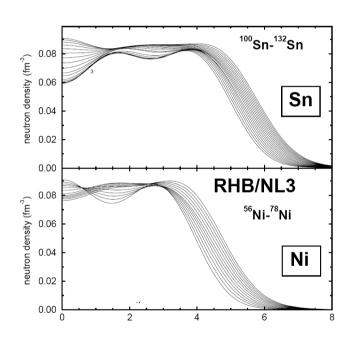




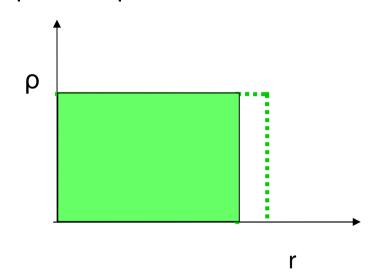


## the nuclear density: $\rho(r)$



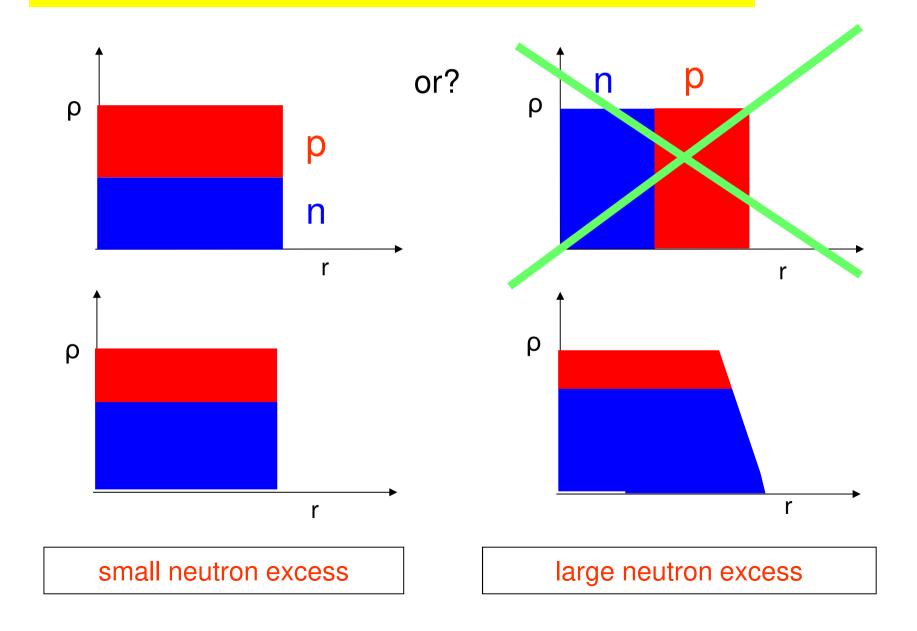


#### simplified representation:



 $\rho$ =1.6 nucleons/fm<sup>3</sup>

# proton and neutron densities

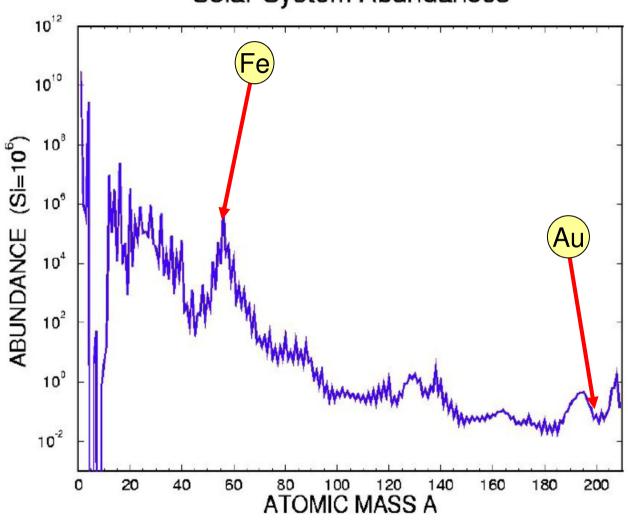


#### Nuclei far from stability: what can we learn?

- the origin of more than half of the elements with Z>30
- constraints on effective nuclear interactions
- evolution of shell structure
- reduction of the spin-orbit interaction
- properties of weakly-bound and open quantum systems
- exotic modes of collective excitations (pygmy, toroidal resonances)
- possible new forms of nuclei (molecular states, bubble nuclei, neutron droplets...)
- asymmetric nuclear matter equation of state and the link to neutron stars
- applications in astrophysics

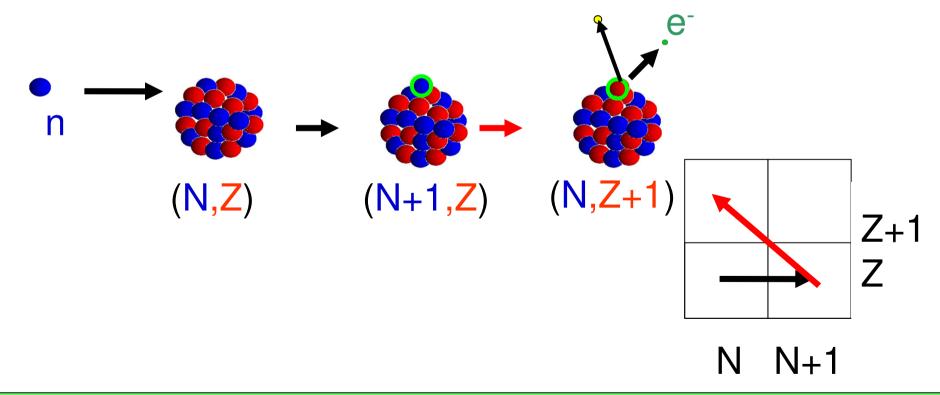
## Abundancies of elements in the solar system



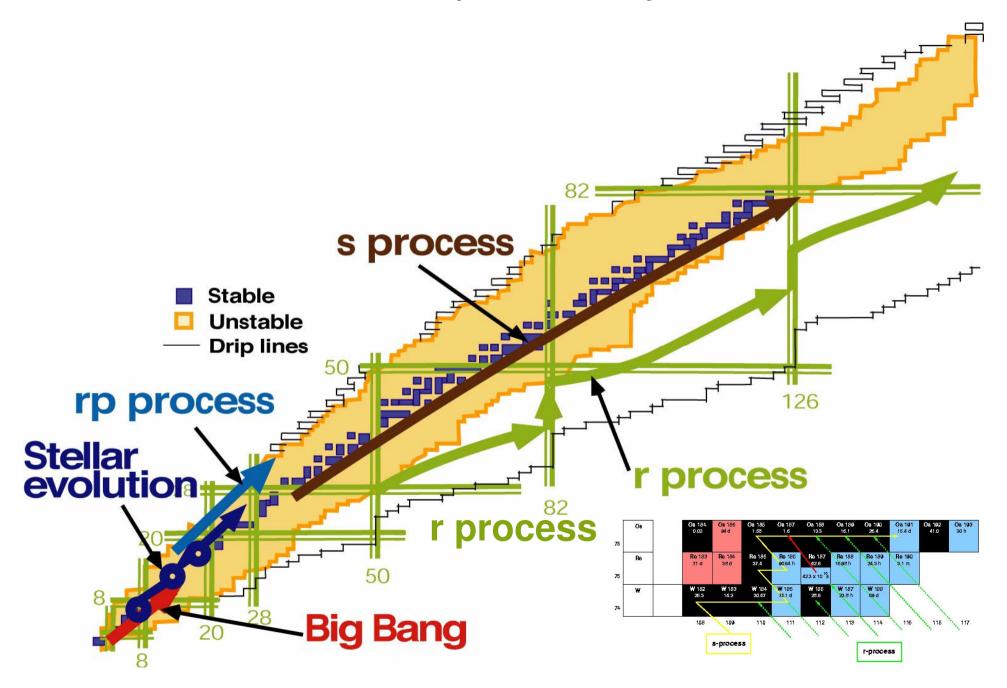


### synthesis of heavy elements beyond Fe

neutron capture and successive β-decay:



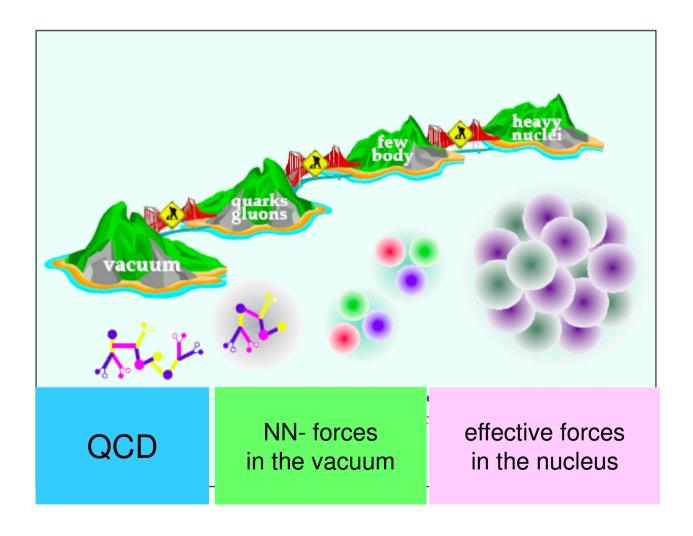
#### **Study of Nucleosynthesis**



# What do the astrophysicists need?

- nuclear masses (bindung energies Q-values)
- equation of state (EOS) of nuclear matter: Ε(ρ)
- isospin dependence  $E(\rho_p, \rho_n)$
- nuclear matrix elements (life times of β-decay ..)
- cross section for neutron or electron capture ....
- fission probabilities
- cross sections for neutrino reactions
- •
- ....

### nuclei and QCD?



Scales: 1 GeV 100 keV

### density functional theory:

#### theorem of Hohenberg und Kohn:

The exact energy of a quantum mechanical many body system is a functional of the local density  $\rho(\mathbf{r})$ 

$$E[\rho] = \langle \Psi | H | \Psi \rangle$$

This functional is universal. It does not depend on the system, only on the interaction.

One obtains the exact density  $\rho(\mathbf{r})$  by a variation of the functional with respect to the density

note:

 $\rho(\mathbf{r})$  is a function of 3 variables.

 $\Psi(\mathbf{r}_1 \dots \mathbf{r}_N)$  is a function of 3N variables.



Hohenberg



#### Kohn-Sham theory:

In order to reproduce shell structure Kohn and Sham introduced a single particle potential  $V_{\text{eff}}(r)$ , which is defined by the condition, that after the solution of the single particle eigenvalue problem

$$\left\{-\frac{\hbar^2}{2m}\Delta + V_{eff}(r)\right\}\phi_k(r) = \varepsilon_k \phi_k(r)$$

the density obtained as  $\rho(r) = \sum |\phi_i(r)|^2$  is the exact density

Obviously to each density  $\rho(r)$  there exist such a potential  $V_{eff}(r)$ .

The non interacting part of the energy functional is given by:

$$E_{ni}[\rho] = \int \frac{\hbar^2}{2m} \tau(r) d^3r = \int \frac{\hbar^2}{2m} \sum_{i=1}^{A} |\nabla \phi_i(r)|^2 d^3r = \sum_{i=1}^{A} \varepsilon_i - \int \rho(r) V_{eff}(r) d^3r$$

and obviously we have:

$$V_{eff}(r) = -\frac{\delta}{\delta \rho} E_{ni}[\rho] = -\frac{\delta}{\delta \rho} (E_{HK} - E_H - E_{xc})$$

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### limitations of exact density functionals:

formally exact

in practice

Hohenberg-Kohn:  $E[\rho(\mathbf{r})]$ 

Kohn-Sham:  $E[\rho(\mathbf{r}), \tau(\mathbf{r})]$ 

Skyrme:  $E[\rho(\mathbf{r}), \tau(\mathbf{r}), J(\mathbf{r})]$ 

Gogny:

no shell effects

no I·s,

no pairing

 $E[\rho(\mathbf{r}), \tau(\mathbf{r}), J(\mathbf{r}), \kappa(\mathbf{r})]$  no config.mixing

generalized mean field: no configuration mixing, no two-body correlations

local density:  $\rho(\mathbf{r}) = \langle a^{\dagger}(\mathbf{r})a(\mathbf{r}) \rangle = \sum_{i}^{A} |\varphi_{i}(\mathbf{r})\rangle \langle \varphi_{i}(\mathbf{r})|$ 

kinetic energy density:  $\tau(\mathbf{r}) = \sum_{i}^{A} |\nabla \varphi_i(\mathbf{r})\rangle \langle \nabla \varphi_i(\mathbf{r})|$ 

pairing density:  $\kappa(\mathbf{r}) = \langle a^{\dagger}(\mathbf{r},s)a^{\dagger}(\mathbf{r},-s) \rangle$ 

twobody density:  $\rho(\mathbf{r},\mathbf{r}') = \langle a^{\dagger}(\mathbf{r})a(\mathbf{r})a^{\dagger}(\mathbf{r}')a(\mathbf{r}') \rangle$ 

### Density functional theory in nuclei

 In nuclei DFT has been introduced by effective Hamiltonians: by Vautherin and Brink (1972)

$$E = \langle \Psi | H | \Psi \rangle \approx \langle \Phi | \hat{H}_{eff}(\hat{\rho}) | \Phi \rangle \stackrel{?}{=} E[\hat{\rho}]$$

Skyrme Gogny Rel. MF

 Nuclei are self-bound systems.
 The exact density is a constant. ρ(r) = const Hohenberg-Kohn theorem is true, but useless ρ(r) has to be replaced by the intrinsic density:

$$\rho_I(\vec{r}) = \rho(\vec{r} + \vec{R}_{CM}) \quad \text{with} \quad \vec{R}_{CM} = \frac{1}{A} \sum_i \vec{r}_i$$

 Density functional theory in nuclei is probably not exact, but it is a very good approximation.

#### General properties of self-consistent mean field theories:

- the nuclear energy functional is so far phenomenological and not connected to any NN-interaction.
- it is expressed in terms of powers and gradients of the nuclear ground state density using the principles of symmetry and simplicity
- The remaining parameters are adjusted to characteristic properties of nuclear matter and finite nuclei

#### **Virtues:**

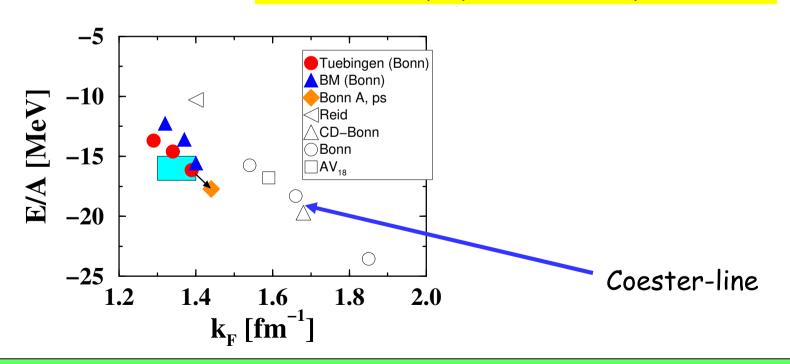
- (i) the intuitive interpretation of mean fields results in terms of intrinsic shapes and of shells with single particle states
- (ii) the **full model space** is used: no distinction between core and valence nucleons, **no need for effective charges**
- (iii) the functional is **universal**: it can be applied to all nuclei throughout the periodic chart, light and heavy, spherical and deformed

### Why covariant?

- no relativistic kinematic necessary:  $\sqrt{p_F^2 + m_N^2} = m_N \sqrt{1 + 0.075}$
- non-relativistic DFT works well
- technical problems:
   no harmonic oscillator
   no exact soluble models
   double dimension
   huge cancellations V-S
   no variational method
- conceptual problems:
   treatment of Dirac sea
   no well defined many-body theory

#### Why covariant?

- l) Large spin-orbit splitting in nuclei
- 2) Large fields V≈350 MeV, S≈-400 MeV
- 3) Success of Relativistic Brueckner
- 4) Success of intermediate energy proton scatt.
- 5) relativistic saturation mechanism
- 6) consistent treatment of time-odd fields
- 7) Pseudo-spin Symmetry
- 8) Connection to underlying theories?
- 9) As many symmetries as possible

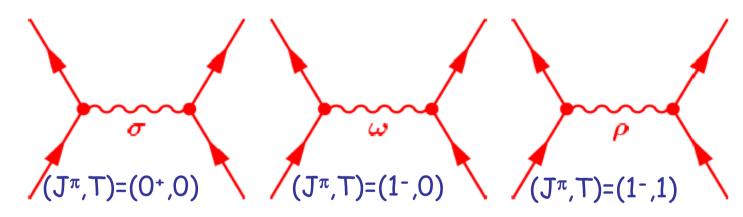


#### Walecka model





Nucleons are coupled by exchange of mesons through an effective Lagrangian (EFT)



$$S(r)=g_{\sigma}\sigma(r)$$

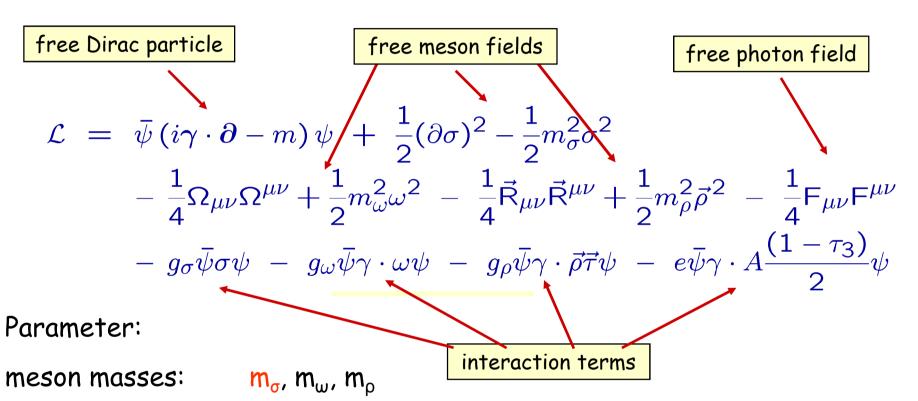
Sigma-meson: attractive scalar field

$$V(r) = g_{\omega}\omega(r) + g_{\rho}\vec{\tau}\vec{\rho}(r) + eA(r)$$

Omega-meson: short-range repulsive

Rho-meson: isovector field

### Lagrangian density



meson couplings:  $g_{\sigma}$ ,  $g_{\omega}$ ,  $g_{\varrho}$ 

$$\mathcal{L} = \mathcal{L}(\psi, \sigma, \omega, \rho, A)$$

### **Equations of motion**

$$\partial_{\mu} \frac{\partial L}{\partial (\partial_{\mu} q_{k})} - \frac{\partial L}{\partial q_{k}} = 0.$$

for the nucleons we find the Dirac equation

$$(\gamma^{\mu}(i\partial_{\mu}-V_{\mu})-m+S)\psi_{i}=0.$$

No-sea approxim.!

for the mesons we find the Klein-Gordon equation

$$\begin{split} & \left(\partial^{\nu}\partial_{\nu} + m_{\sigma}^{2}\right)\sigma = -g_{\sigma}\rho_{s} \\ & \left(\partial^{\nu}\partial_{\nu} + m_{\omega}^{2}\right)\omega_{\mu} = g_{\omega}j_{\mu} \\ & \left(\partial^{\mu}\partial_{\mu} + m_{\rho}^{2}\right)\vec{\rho}_{\mu} = g_{\rho}\vec{j}_{\mu} \\ & \left(\partial^{\nu}\partial_{\nu}A_{\mu} = ej_{\mu}^{(em)}\right) \end{split}$$

$$\begin{split} & \rho_{s}(x) \! = \! \sum_{i=1}^{A} \bar{\psi}_{i}(x) \psi_{i}(x) \\ & j_{\mu}(x) \! = \! \sum_{i=1}^{A} \bar{\psi}_{i}(x) \gamma_{\mu} \psi_{i}(x) \\ & \vec{j}_{\mu}(x) \! = \! \sum_{i=1}^{A} \bar{\psi}_{i}(x) \vec{\tau} \gamma_{\mu} \psi_{i}(x) \\ & j_{\mu}^{(em)}(x) \! = \! \sum_{i=1}^{A} \bar{\psi}_{i}(x) \frac{1}{2} (1 \! - \! \tau_{3}) \gamma_{\mu} \psi_{i}(x) \end{split}$$

### Static limit (with time reversal invariance)

for the nucleons we find the static Dirac equation

$$(\vec{\alpha}\vec{p} + V + \beta(m - S))\psi_i = \varepsilon_i \psi_i$$
.

$$S = -g_s \sigma$$
,  $V = g_\omega \omega_0 + g_\rho \rho_0 + eA_0$ 

for the mesons we find the Helmholtz equations

No-sea approxim.!

$$(-\Delta + m_{\sigma}^{2})\sigma = -g_{\sigma}\rho_{s}$$

$$(-\Delta + m_{\omega}^{2})\omega_{0} = g_{\omega}\rho_{B}$$

$$(-\Delta + m_{\rho}^{2})\rho_{0}^{3} = g_{\rho}\rho^{3}$$

$$-\Delta A_{0} = e\rho^{(em)}$$

$$\begin{split} & \rho_{s} = \sum_{i=1}^{A} \bar{\psi}_{i} \psi_{i} \\ & \rho_{B} = \sum_{i=1}^{A} \psi_{i}^{+} \psi_{i} \\ & \rho^{3} = \sum_{i=1}^{A} \psi_{i}^{+} \tau_{3} \psi_{i} \\ & \rho^{(em)} = \sum_{i=1}^{A} \psi_{i}^{+} \frac{1}{2} (1 - \tau_{3}) \psi_{i} \end{split}$$

#### Relativistic saturation mechanism:

We consider only the  $\sigma$ -field, the origin of attraction its source is the scalar density

$$m_{\sigma}^{2} \sigma = -g_{\sigma} \sum_{i=1}^{A} \overline{\psi}_{i} \psi_{i} = -g_{\sigma} \sum_{i=1}^{A} (g_{i}^{+} g_{i} - f_{i}^{+} f_{i})$$

for high densities, when the collapse is close, the Dirac gap  $\approx 2m^*$  decreases, the small components  $f_i$  of the wave functions increase and reduce the scalar density, i.e. the source of the  $\sigma$ -field, and therefore also scalar attraction.

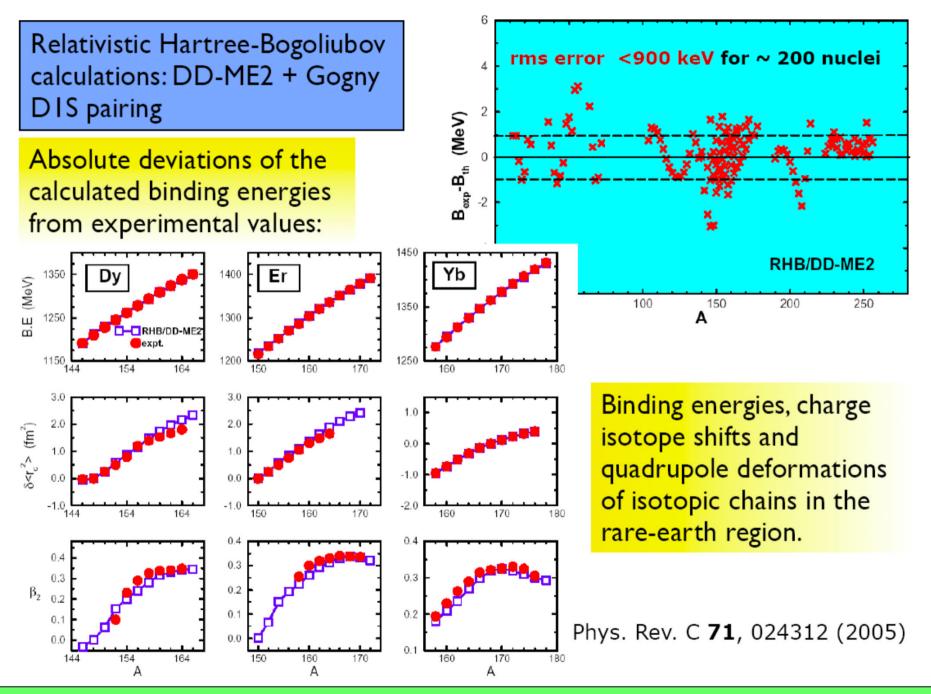
 $f_{i}(r) = \frac{1}{\varepsilon_{i} + 2\widetilde{m}} \vec{\sigma} \vec{k} g_{i}(r)$ 

$$m_{\sigma}^{2} \sigma \approx -g_{\sigma} \rho_{B} - 2 \sum_{i=1}^{A} f_{i}^{+} f_{i} = -g_{\sigma} \rho_{B} + \frac{1}{\widetilde{m}} \sum_{i=1}^{A} \nabla g_{i}^{+} \nabla g_{i}$$

In the non-relativistic case, Hartree with Yukawa forces would lead to collapse

#### Successes of relativistic investigations:

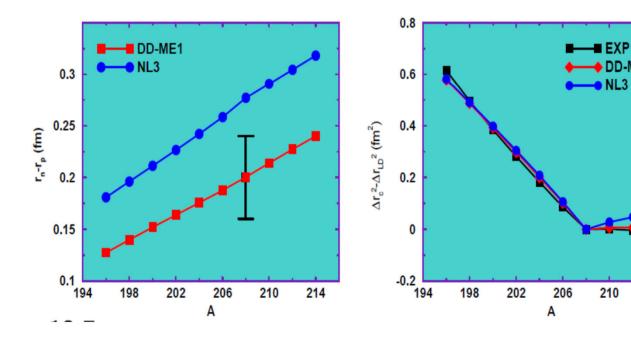
- Masses and radii
- Isotope shifts
- Neutron halo's
- Proton emitters
- Collective vibrations
- Pygmy modes
- Beyond mean field: transitional nuclei
- · Beyond mean field: complex configurations



### Kink in the isotopic shifts of radii: relativistic

#### Pb isotopes

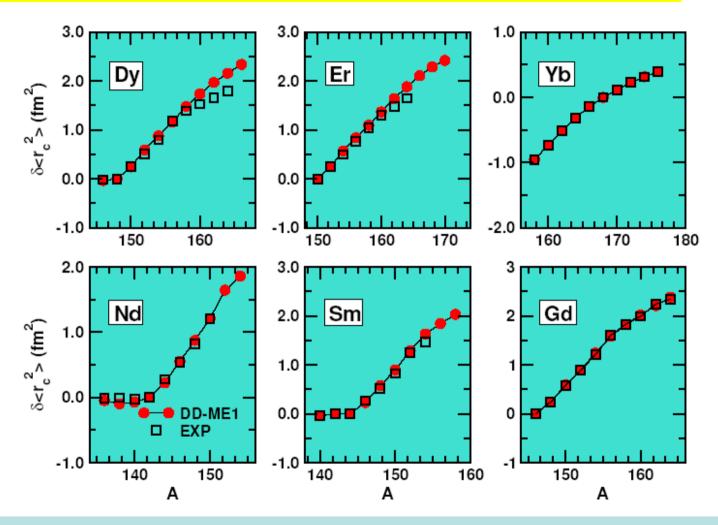
- differences between neutron and proton radii
- charge isotope shifts



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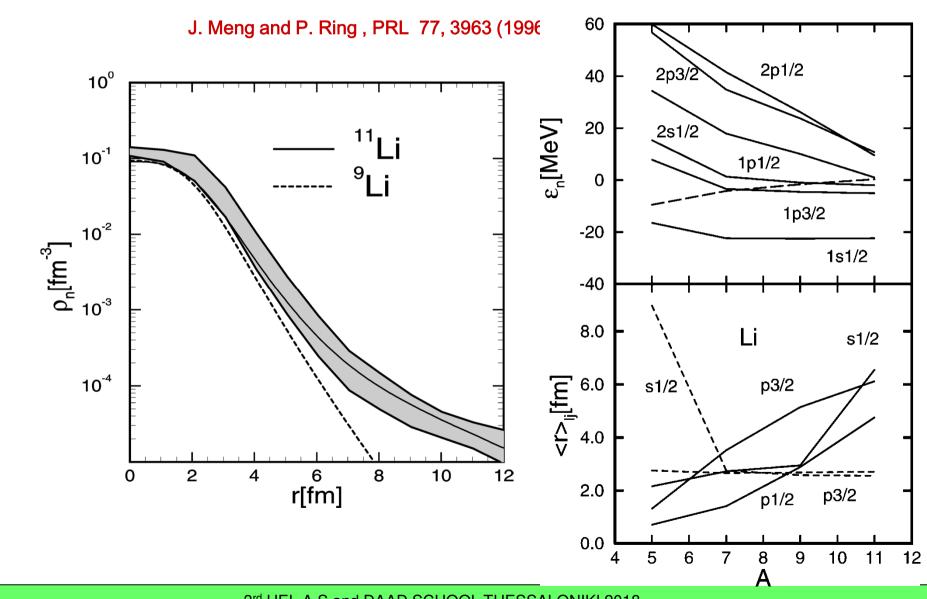
214

### NL3: Isotope shifts in deformed nuclei:



Empirical data: E.G. Nadjakov et al., At. Data Nucl. Data Tables 56, 133(1994)

### Density distribution in Li-nuclei

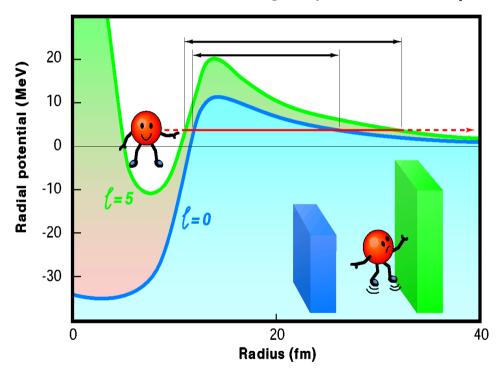


### Proton emitters at the proton dripline

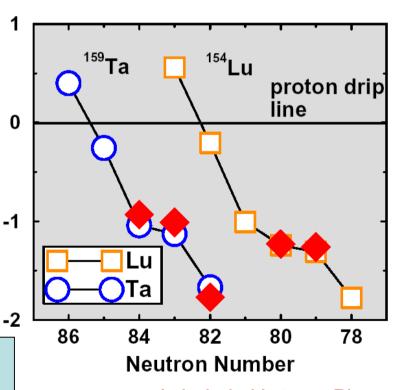
Vretenar, Lalazissis, Ring, Phys.Rev.Lett. 82, 4595 (1999)

characterized by exotic ground-state decay modes such as the direct emission of charged particles and β -decays with large Q-values.

Proton Separation Energy (MeV)



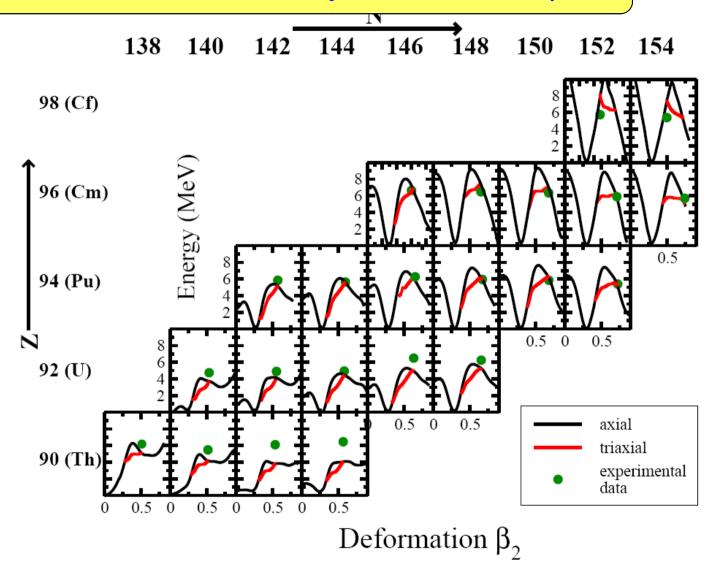
#### Ground-state proton emitters



Self-consistent RHB calculations -> separation energies, quadrupole deformations, odd-proton orbitals, spectroscopic factors

Lalazissis, Vretenar, Ring Phys.Rev. C60, 051302 (1999)

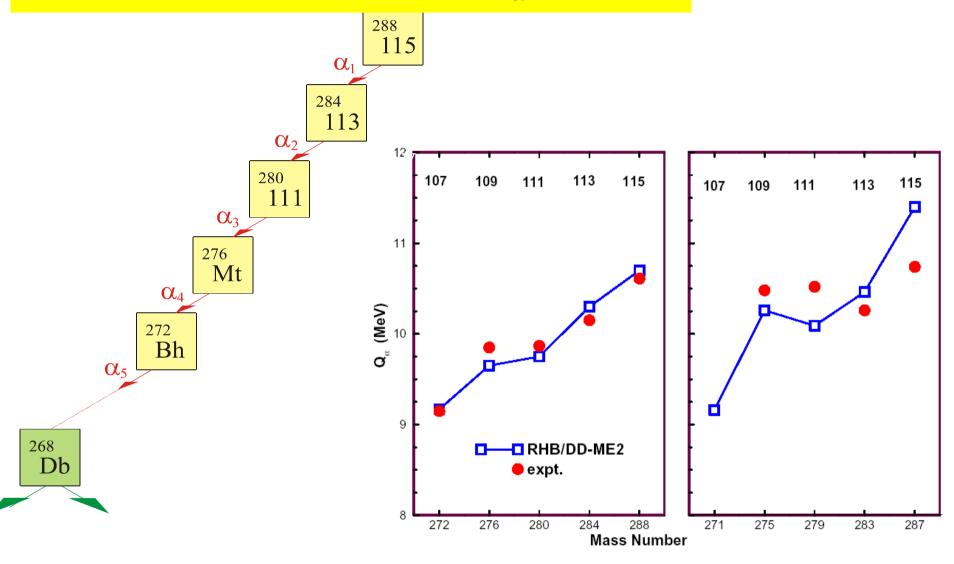
#### Fission barriers for triaxially deformed shapes:



Nucleus	B.E (MeV)	$r_c$ (fm)	$r_n$ (fm)	$Q_p(b)$	$H_p$ $(b^2)$
$224_{Ra}$	1720.47 (1720.31)	5.71	5.85	4.93 (6.33)	0.45
$^{226}Ra$	1731.13 (1731.61)	5.74	5.88	6.22 (7.19)	0.65
$^{228}Ra$	1741.67 (1742.49)	5.76	5.92	7.44 (7.76)	0.79
$230_{Ra}$	1751.94 (1753.05)	5.79	5.95	8.39	0.86
$^{228}Th$	1743.04 (1742.49)	5.78	5.90	7.64 (8.42)	0.88
$^{230}Th$	1751.94 (1753.05)	5.80	5.93	8.57 (8.99)	0.97 (1.09)
$^{232}Th$	1766.10 (1766.92)	5.82	5.96	9.28 ( 9.66)	1.00 (1.22)
$^{234}Th$	1776.80 (1777.68)	5.84	5.99	9.78 (8.96)	0.96
$^{232}$ U	1766.39 (1765.97)	5.83	5.94	9.57 (10.00)	1.10
$^{234}$ U	1778.66 (1778.57)	5.85	5.97	10.10 (10.35)	1.10 (1.40)
$^{236}$ U	1790.29 (1790.42)	5.87	6.00	10.46 (10.80)	1.03 (1.30)
$^{238}$ U	1801.38 (1801.69)	5.88	6.02	10.74 (11.02)	0.94 (0.83)
$^{240}$ U	1811.82 (1812.44)	5.90	6.05	11.03	0.86
$238_{Pu}$	1801.85 (1801.27)	5.89	6.01	11.09 (11.26)	1.00 (1.38)
$240_{ m Pu}$	1813.84 (1813.46)	5.91	6.03	11.32 (11.44)	1.00 (1.15)
$^{242}\mathrm{Pu}$	1825.26 (1825.01)	5.92	6.05	11.55 (11.61)	0.90
$^{244}\mathrm{Pu}$	1836.00 (1836.06)	5.94	6.08	11.61 (11.73)	0.79
246 <sub>Pu</sub>	1845.97 (1846.66)	5.95	6.10	11.52 (11.52)	0.66

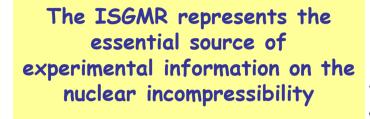
# Superheavy Elements: $Q_{\alpha}$ -values

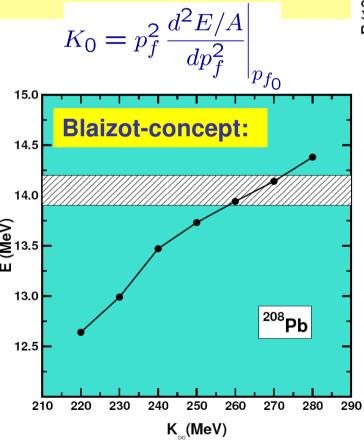
Exp: Yu.Ts.Oganessian et al, PRC 69, 021601(R) (2004)

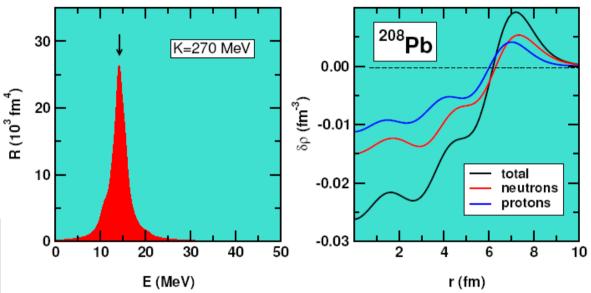


Lalzissis

### **Isoscalar Giant Monopole: IS-GMR**







constraining the nuclear matter compressibility

$$\rho(t) = \rho_0 + \delta \rho(t)$$

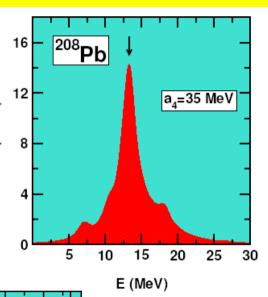
RMF models reproduce the experimental data only if

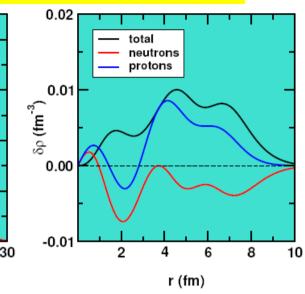
250 MeV  $\leq K_0 \leq$  270 MeV

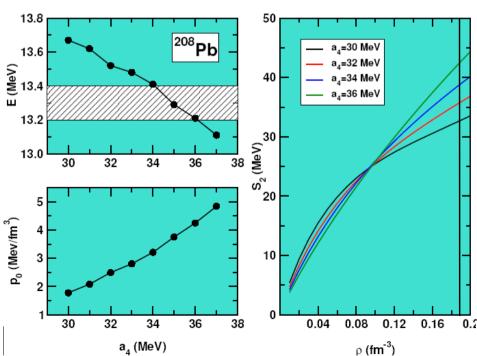
T. Niksic et al., PRC 66 (2002) 024306

### **Isovector Giant Dipole: IV-GDR**

the IV-GDR represents one of the sources of experimental informations on the nuclear matter symmetry energy







constraining the nuclear matter symmetry energy

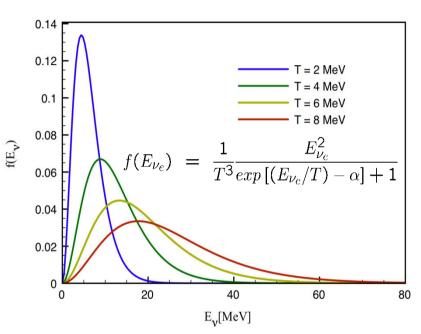
the position of IV-GDR is reproduced if

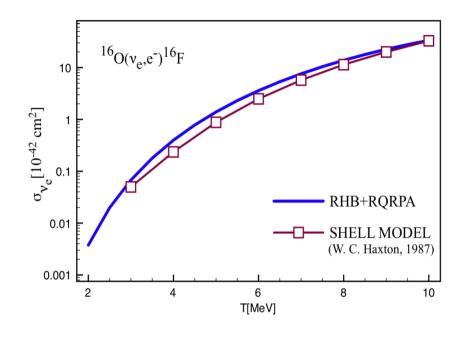
32 MeV  $\leq a_4 \leq 36$  MeV

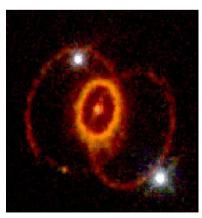
T. Niksic et al., PRC 66 (2002) 024306

#### Cross section averaged over supernova neutrino flux

# Supernova neutrino flux is given by Fermi-Dirac spectrum

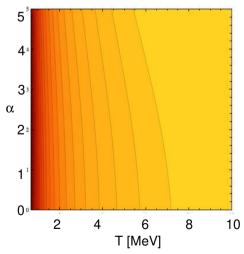






Cross section averaged over Supernova neutrino flux

$$\langle \sigma_{\nu} \rangle = \frac{\int dE_{\nu} \sigma_{\nu}(E_{\nu}) f(E_{\nu})}{\int dE'_{\nu} f(E'_{\nu})}$$



# **How many parameters?**

4 + 3 parameters

symmetric nuclear matter: E/A,  $\rho_0$   $\longrightarrow$   $G_{\sigma}$   $G_{\omega}$ 

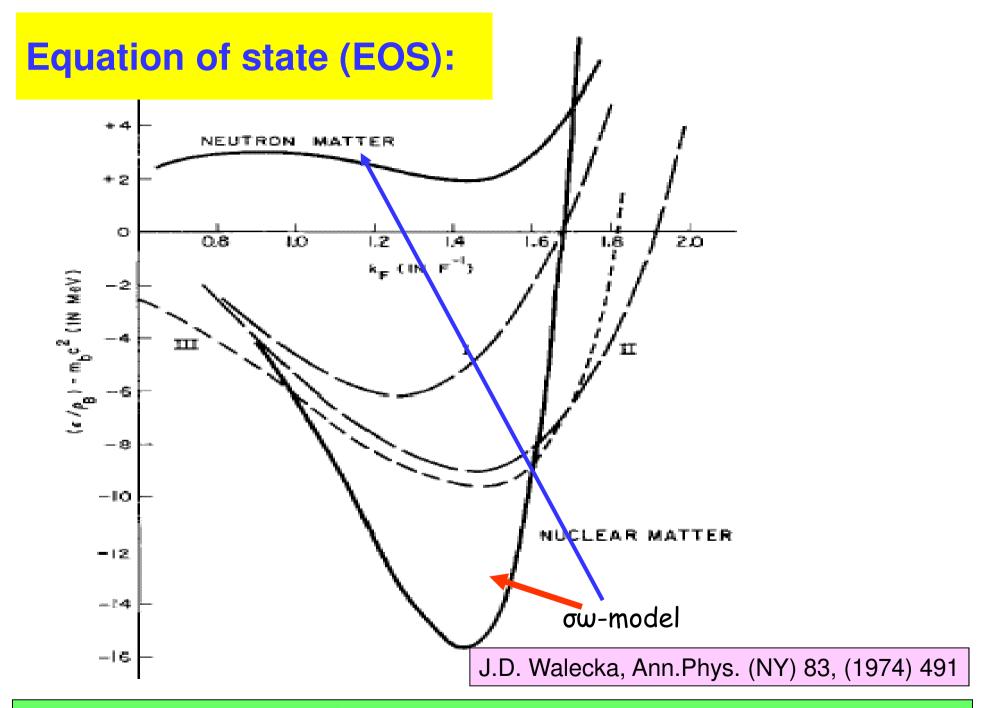
finite nuclei (N=Z): E/A, radii spinorbit for free  $m_{\sigma}$ 

Coulomb (N≠Z):

$$a_4$$
  $G_{\rho}$ 

density dependence: T=0 K<sub>∞</sub> □ 9<sub>2</sub> 9<sub>3</sub>

$$T=1$$
  $r_n - r_p$   $a_p$ 



## **Effective density dependence:**

#### non-linear potential:

Boguta and Bodmer, NPA 431, 3408 (1977)

$$\frac{1}{2}m_{\sigma}^{2}\sigma^{2} \quad \Rightarrow \quad U(\sigma) = \frac{1}{2}m_{\sigma}^{2}\sigma^{2} + \frac{1}{3}g_{2}\sigma^{3} + \frac{1}{4}g_{3}\sigma^{4}$$

#### density dependent coupling constants:

R.Brockmann and H.Toki, PRL 68, 3408 (1992)

S.Typel and H.H.Wolter, NPA 656, 331 (1999)

T. Niksic, D. Vretenar, P. Finelli, and P. Ring, PRC 56 (2002) 024306

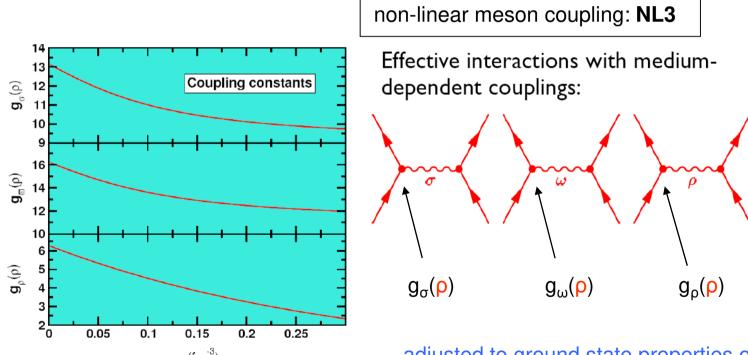
$$g_o, g_\omega, g_\rho \Rightarrow g_o(\rho), g_\omega(\rho), g_\rho(\rho)$$

$$g \rightarrow g(\rho(r))$$

DD-ME1, DD-ME2

#### Effective density dependence:

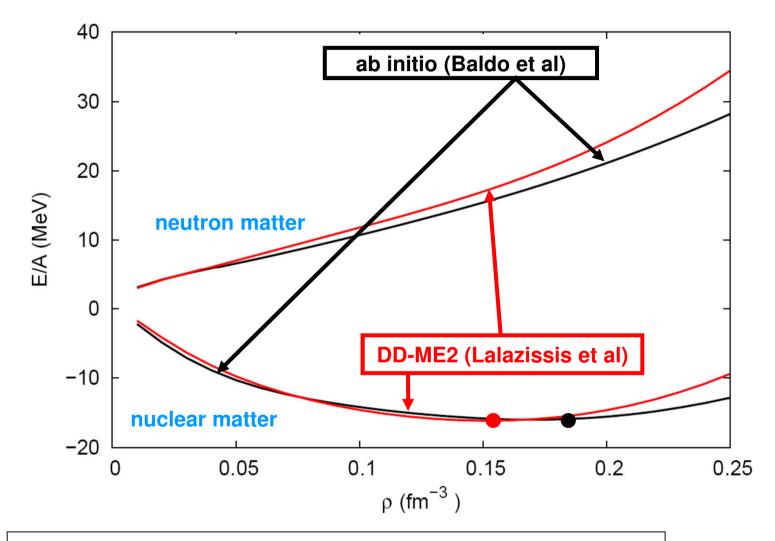
The basic idea comes from ab initio calculations density dependent coupling constants include Brueckner correlations and threebody forces



ρ (fm<sup>-3</sup>) adjusted to ground state properties of finite nuclei

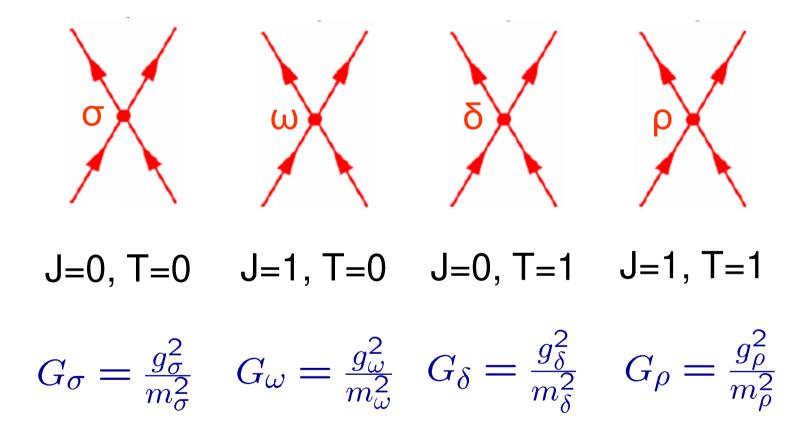
Typel, Wolter, NPA **656**, 331 (1999)
Niksic, Vretenar, Finelli, P.R., PRC **66**, 024306 (2002):
Lalazissis, Niksic, Vretenar, P.R., PRC 78, 034318 (2008): **DD-ME1 DD-ME2** 

#### Comparison with ab initio calculations:



we find excellent agreement with ab initio calculations of Baldo et al.

### **Point-Coupling Models**



Manakos and Mannel, Z.Phys. **330**, 223 (1988) Bürvenich, Madland, Maruhn, Reinhard, PRC **65**, 044308 (2002)

## Lagrangian density for point coupling

free Dirac particle

$$\mathcal{L} = \bar{\psi} (i\gamma \cdot \partial - m) \psi 
+ G_{\sigma}(\bar{\psi}\psi)(\bar{\psi}\psi) + G_{\omega}(\bar{\psi}\gamma^{\mu}\psi)(\bar{\psi}\gamma_{\mu}\psi) 
+ G_{\delta}(\bar{\psi}\bar{\tau}\psi)(\bar{\psi}\bar{\tau}\psi) + G_{\rho}(\bar{\psi}\gamma^{\mu}\bar{\tau}\psi)(\bar{\psi}\gamma_{\mu}\bar{\tau}\psi) 
+ D_{\sigma}(\bar{\psi}\partial^{\mu}\psi)(\bar{\psi}\partial_{\mu}\psi) 
- \frac{1}{4}\mathsf{F}_{\mu\nu}\mathsf{F}^{\mu\nu} + e^{2}\bar{\psi}\gamma^{\mu}A_{\mu}\frac{(1-\tau_{3})}{2}\psi \qquad (1)$$

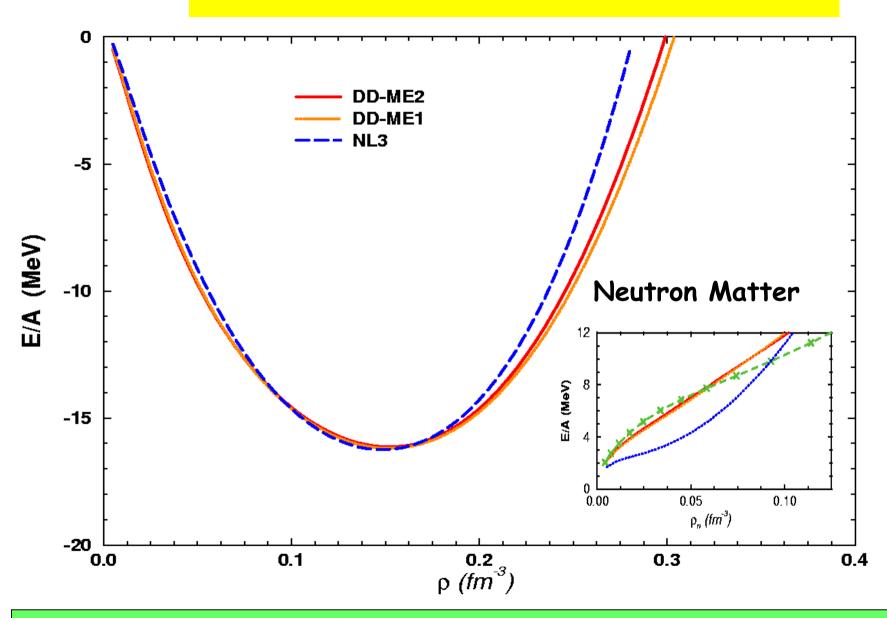
Parameter:

photon field

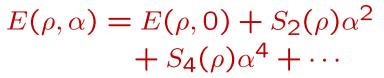
point couplings:  $G_{\sigma}$ ,  $G_{\omega}$ ,  $G_{\delta}$ ,  $G_{\rho}$ ,  $G_{i} = \left(\frac{g_{i}}{m_{i}}\right)^{2}$ 

derivative terms:  $D_{\sigma}$ 

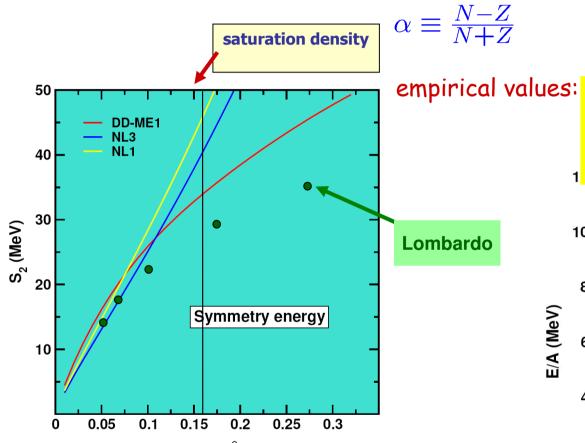
# **Nuclear matter equation of state**



## **Symmetry energy**

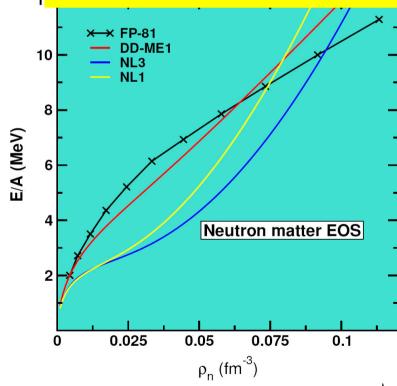


$$S_2(\rho) = a_4 + \frac{p_0}{\rho_{\text{sat}}^2} (\rho - \rho_{\text{sat}}) + \frac{\Delta K_0}{18\rho_{\text{sat}}^2} (\rho - \rho_{\text{sat}})^2 + \cdots$$



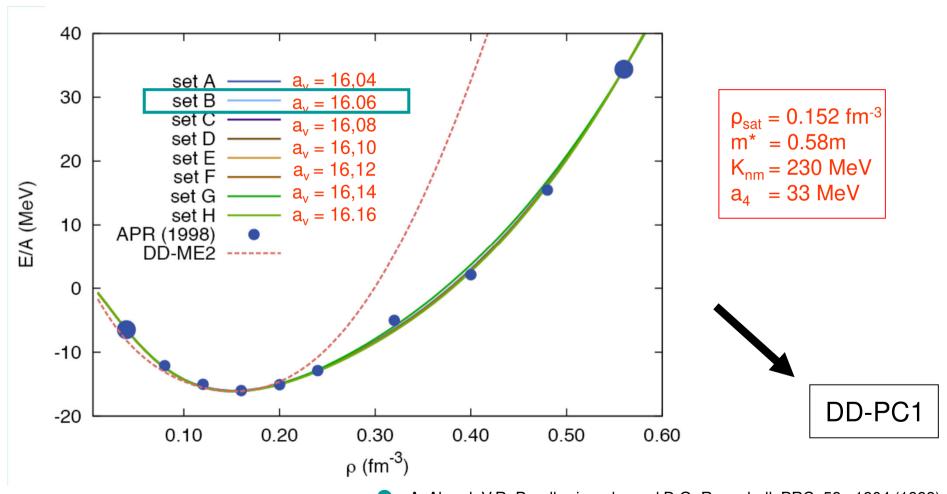
 $\begin{array}{c|ccccc} & DD\text{-ME1} & NL3 & NL1 \\ a_4(MeV) & 33.1 & 37.9 & 43.7 \\ p_0(MeV/fm^3) & 3.26 & 5.92 & 7.0 \\ \Delta K_0(MeV) & -128.5 & 52.1 & 67.3 \\ \end{array}$ 

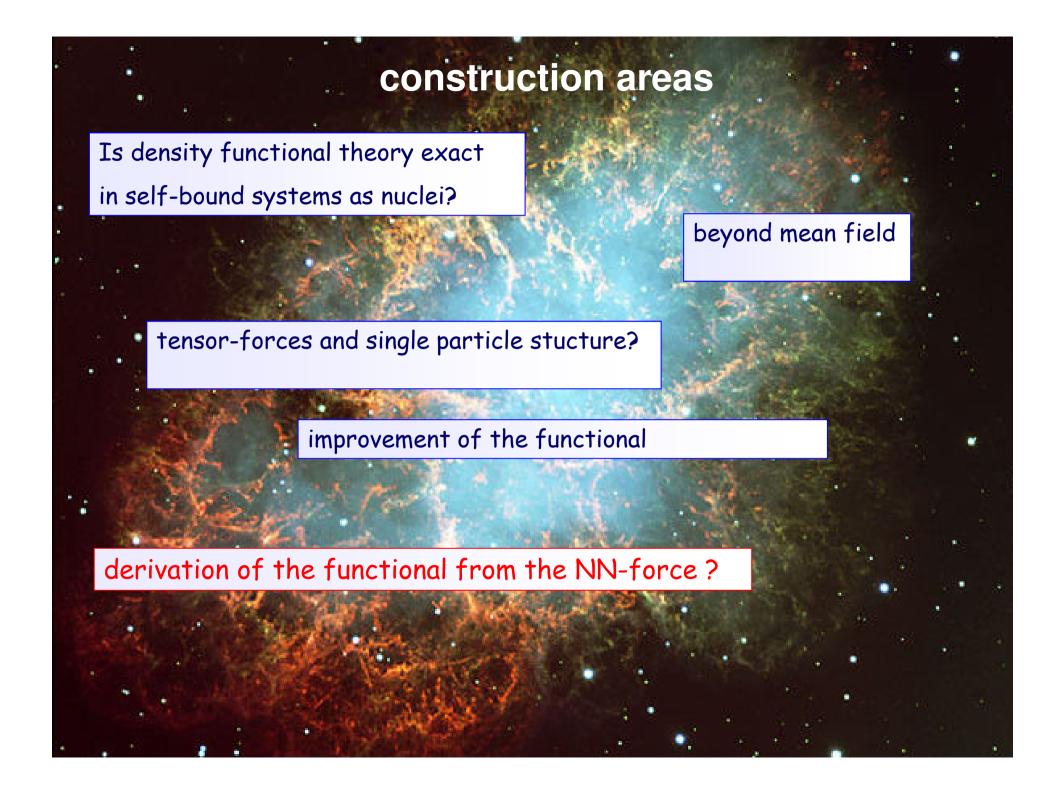
30 MeV  $\leq a_4 \leq$  34 MeV 2 MeV/fm<sup>3</sup> < p<sub>0</sub> < 4 MeV/fm<sup>3</sup> -200 MeV <  $\Delta K_0$  < -50 MeV



## Using ab initio data for the fit

point coupling model is fitted to microscopic nuclear matter and to masses of 64 deformed nuclei:





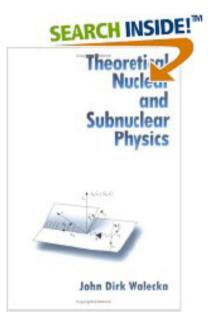
#### Literature:

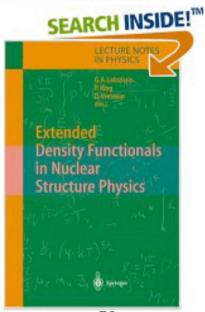
J. D. Walecka, Theoretical Nuclear and Subnuclear Physics, Oxford Studies in Nuclear Physics 16, 1995.



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