# CONVEX AND CONIC OPTIMIZATION

# Homework 6

## NILAVA METYA

nilava.metya@rutgers.edu nm8188@princeton.edu

May 2, 2024

## Problem 1

- 1. Suppose you had a blackbox that given a 3SAT instance would tell you whether it is satisfiable or not. How can you make polynomially many calls to this blackbox to find a satisfying assignment to any satisfiable instance of 3SAT?
- 2. Suppose you had a blackbox that given a graph G and an integer k would tell you whether G has a stable set of size larger or equal to k. How can you make polynomially many calls to this blackbox to find a maximum stable set of a given graph?

#### **Solution**

1. Denote the blackbox by f. So f takes in a formula (in three variables) and outputs 1 if satisfiable, and 0 otherwise.

Let S be a formula in CNF, with variables  $x_1, \dots, x_n$ . Treat S as a polynomial in  $x_i$ 's. Assume S is satisfiable, i.e., there are values  $a_1, \dots, a_n \in \{0,1\}$  such that  $S(a_1, \dots, a_n) = 1$ . Often we denote  $\mathbf{a} = (a_1, \dots, a_n)$ . We will make n calls to the blackbox.

#### Claim 1

For each  $i \in [n]$ , at least one of  $f(x_i \wedge S)$  or  $f(\overline{x_i} \wedge S)$  is 1.

*Proof.* If 
$$a_i = 1$$
 then  $(x_i \wedge S)(\boldsymbol{a}) = 1 \cdot S(\boldsymbol{a}) = 1$ . If  $a_i = 0$  then  $(\overline{x_i} \wedge S)(\boldsymbol{a}) = 1 \cdot S(\boldsymbol{a}) = 1$ .

We find each  $f(x_i \wedge S)$  with the blackbox. For each i, if  $f(x_i \wedge S) = 1$  then set  $a_i = 1$ , otherwise set  $a_i = 0$ . Denote  $y_i := \begin{cases} x_i & \text{if } a_i = 1 \\ \overline{x_i} & \text{otherwise} \end{cases}$ . a satisfies every  $y_i S$  by the above. We'll show that a satisfies S.

$$\left(\bigwedge_{i=1}^{n} (y_i S)\right)(\mathbf{a}) = \left[\left(\bigwedge_{i=1}^{n} y_i\right) \wedge S\right](\mathbf{a}) \qquad [\because \alpha \wedge \alpha = \alpha]$$

$$\implies \prod_{i=1}^{n} (y_i \wedge S)(\mathbf{a}) = \left(\prod_{i=1}^{n} y_i(\mathbf{a})\right) \cdot S(\mathbf{a}) \qquad [\because (\alpha \wedge \beta)(\mathbf{a}) = \alpha(\mathbf{a}) \cdot \beta(\mathbf{a})]$$

$$\implies 1 = \left(\prod_{i=1}^{n} y_i(\mathbf{a})\right) \cdot S(\mathbf{a}) \qquad [\because (y_i S)(a) = 1 \forall i]$$

$$\implies 1 = S(\mathbf{a}) \qquad [\because y_i(\mathbf{a}) = 1 \forall i \text{ by construction}]$$

2.

# Problem 2

Consider a family of decision problems indexed by a positive integer k:

## RANK-k-SDP

**Input**: Symmetric  $n \times n$  matrices  $A_1, \dots, A_m$  with entries in  $\mathbb{Q}$ , scalars  $b_1, \dots, b_m \in \mathbb{Q}$ . **Question**: Is there a real symmetric matrix X that satisfies the constraints

$$Tr(A_iX) = b_i, i \in [m], X \succeq 0, rank(X) = k?$$